

Form ADV Part 3 – Client Relationship Summary

Introduction (Item 1)

WELLINGTON CONSULTING SERVICES, INC. (“WCS”) is an investment adviser registered with the Securities and Exchange Commission (“SEC”) offering investment advisory accounts and services. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. This document gives you a summary of the types of services we offer and fees that we charge. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Relationships and Services (Item 2)

Questions to ask us: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What investment services and advice can you provide me?

Our firm primarily offers discretionary managed model investment portfolios for both retirement and individual accounts. We assist clients select a model portfolio based on their risk profile and investment time horizon. We also offer general financial consulting regarding asset allocation and tax planning. Model portfolios are reviewed at least quarterly for both cost efficiency and asset allocation alignment based on current macroeconomic conditions and our outlook for the financial markets. Our firm also offers non-discretionary services for both retirement and individual accounts whereby the investor makes the ultimate decision regarding the purchase or sale of investments. We limit the types of investments that are recommended since not every type of investment vehicle is needed to create an appropriate portfolio.

Our firm has discretionary management without any material limitations for client accounts, but also offers non-discretionary services for retirement plans where the investor makes the ultimate decision regarding the purchase or sale of investments. Our minimum account size is generally \$25,000, although we can accept smaller accounts at our discretion. Please also see our Form ADV Part 2A (“[Brochure](#)”), specifically Items 4 & 7.

Fees, Costs, Conflicts, and Standards of Conduct (Item 3)

Questions to ask us: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have? How might your conflicts of interest affect me, and how will you address them?

What fees will I pay?

You will pay fees and costs whether you make or lose money on your investments which lower the returns on your investments over time. Our fees vary depending on the services you receive. Additionally, the amount of assets in your account affects our advisory fee; the more assets you have in your advisory account, the lower our fees generally are as a percentage of your investment, but the

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higher the dollar amount of our total fees you will pay. We therefore have an incentive to increase those assets in order to increase our fee. Typically, portfolio management fees are charged quarterly in arrears or as an average daily account balance and are automatically taken from assets in your account. You pay our fees even if you do not have any transactions, and the advisory fee paid to us generally does not vary based on the type of investments selected. Please make sure you understand what fees and costs you are paying. Please also see our Form ADV Part 2A ("[Brochure](#)") for additional details.

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

Examples of our conflict of interests would be our preference to utilize mutual funds which have additional costs built into them which increases the overall costs that you pay (although we do not receive and retain any part of these costs). Similarly, in order to manage your money, we may utilize third-party providers who likewise have additional custodian, transaction or other applicable fees.

How do your financial professionals make money?

Primarily, we and our financial professionals benefit from the advisory services we provide to you because of the advisory fees we receive from you. This compensation may vary based on different factors, such as those listed above in this Item. Please also see Item 5 of our [Brochure](#) for additional details.

Disciplinary History (Item 4)

Questions to ask us: As a financial professional, do you have any disciplinary history? For what type of conduct?

Do you or your financial professionals have legal or disciplinary history?

We do not have any legal and disciplinary events. Please visit [Investor.gov](https://investor.gov) for a free, simple search tool to research us and our financial professionals.

Additional Information (Item 5)

Questions to ask us: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

For additional information on our advisory services, see our Brochure available at: <https://adviserinfo.sec.gov/firm/summary/105837> and any individual brochure supplement your representative provides. If you have any questions or want another copy of this Client Relationship Summary, please contact your Wellington representative.