

Eagle Global Advisors, LLC
FORM CRS – CUSTOMER RELATIONSHIP SUMMARY

March 13, 2024

Item 1. Introduction

Eagle Global Advisors, LLC (“Eagle Global” or the “Company”) is registered with the Securities and Exchange Commission (SEC) as an investment advisor. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2.
Relationships and Services

What investment services and advice can you provide me?

We offer investment advice to retail investors, including portfolio management, performance evaluation, and monitoring services to mutual funds, exchange traded funds, high net worth individuals, retirement plans for corporations and unions, financial institutions, trusts, endowments, and foundations. We also serve as a portfolio manager for a variety of wrap fee programs. We receive a portion of the wrap fee the program sponsor charges the investor for the portfolio management services we provide. Each program sponsor has prepared a wrap brochure which contains detailed information about its wrap fee program, including the wrap fee charged.

Monitoring

Accounts are typically reviewed monthly or as new transactions are considered but will be reviewed no less frequently than quarterly. A more frequent review may also be instigated by a client inquiry due to changes in investment objectives or risk tolerance. Reviews will be performed by the Partners and Portfolio Managers of Eagle Global.

Investment Authority

We manage client accounts on a discretionary basis, subject to the restrictions (if any) that have been provided by clients. For accounts handled on a discretionary basis, we typically have the authority to determine which securities, and the amount of the securities, to be bought and sold without obtaining client consent to specific transactions.

Limited Investment Offering

We offer a wide variety of investment products, including our proprietary investment strategies and products. Our clients may invest in our strategies and products, however, are not limited to Eagle Global’s strategies and products while investing with us.

Account Minimums and Other Requirements

In general, the minimum account size for a separately managed account is \$1,000,000, unless an exception is agreed upon by the members of the Company.

More Additional information about Eagle Global services is available on Part 2 of our Form ADV, which is available at <https://adviserinfo.sec.gov/firm/brochure/107306>.

Conversation Starters. Ask your financial professional—

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

Item 3.
Fees, Costs, Conflicts, and Standard of Conduct

A. What fees will I pay?

Eagle Global charges clients an investment management fee that is based on the value of each client’s assets under management. Fees are negotiable and range between .6% and 1.25% annually, depending on the size, complexity, and investments of each client’s accounts. Fees are payable in arrears by separate account clients on the last day of each quarter. Generally, Wrap Program Clients pay a single, all-inclusive fee quarterly in advance to the program sponsor, based on the net assets under management. Additional information about our firm’s fees are included in Item 5 of Part 2 of Form ADV, available at <https://adviserinfo.sec.gov/firm/brochure/107306>.

Management fees charged are separate and distinct from the fees and expenses charged by mutual funds, ETFs, ETNs and closed-end funds which may be recommended to clients. A description of these and other

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expenses are available in each mutual fund's prospectus. However, clients that have invested in the mutual funds or ETFs advised by Eagle Global will not be charged advisory fees on the portion of the account that is invested in an Eagle Global advised mutual fund or ETF.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Conversation Starter. Ask your financial professional—

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

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Standard of
Conduct

B. What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you. Here are some examples to help you understand what this means.

- We manage accounts for multiple clients, and we allocate our time based on each client's needs. Our firm earns more as we expand our client base and grow our assets under management, and we seek to balance our staffing with the individualized needs of each client.
- Some of the broker-dealers that we trade with allocate a portion of the commissions that our clients pay to be used by us to pay for research. These "soft dollar" arrangements for research services are instrumental for our investment process, however, they can have the effect of increasing clients' transaction costs.

Conversation Starter. Ask your financial professional—

- **How might your conflicts of interest affect me, and how will you address them?**

More Additional information about conflicts of interest between Eagle Global and its clients is available on Part 2 of our Form ADV, which is available at <https://adviserinfo.sec.gov/firm/brochure/107306>.

How do your financial professionals make money?

Eagle Global's financial professionals receive a salary and may receive a discretionary bonus. Equity owners of the firm may receive an owner's distribution. Compensation is set with the intention of attracting and retaining highly qualified professionals. Compensation is based on a variety of factors, including the number, value and complexity of accounts under management, the performance of those accounts, and client satisfaction and retention.

Item 4.
Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

Yes ☐

No ☒

Visit [Investor.gov](https://investor.gov)/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starter. Ask your financial professional—

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Item 5.
Additional
Information

Additional information about our services can be found at <https://adviserinfo.sec.gov/firm/brochure/107306>. If you have any questions about the contents of this brochure or would like to request a copy of this relationship summary, please contact us at 713-952-3550.

Conversation Starter. Ask your financial professional—

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**