

Introduction

Diastole Wealth Management (“DWM”) is registered with the Securities and Exchange Commission as an Investment Adviser based in Guilford, CT. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker dealers, investment advisers and investing. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

What investment services and advice can you provide me?

DWM offers the following investment services to retail investors: Investment Management, Financial Planning and Consulting, and Selection of Other Advisors.

Account Monitoring: If you open an investment account with DWM, as part of our standard service we will monitor your investments on an ongoing basis and will conduct account reviews at least annually to ensure the advisory services provided to you are consistent with your investment needs and objectives. We will provide you with written reports in conjunction with account reviews.

Investment Authority: DWM manages investment advisory accounts on a discretionary basis whereby DWM will decide which investments to buy or sell for your account. You may limit DWM's discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing. The Investment Advisor can also discuss recommended trades with you, and then you can decide whether or not to execute the trades. DWM also offers non-discretionary investment management services whereby DWM will provide advice, but you will ultimately decide which investments to buy and sell for your account. You have an unrestricted right to decline to implement any advice provided by our firm on a non-discretionary basis. DWM also provides financial planning services at no additional fee.

Investment Offerings: DWM offers advice on exchange traded funds ("ETFs"), mutual funds, stocks, bonds, options, certificates of deposit, US government securities, pooled investment vehicles, real estate limited partnerships, money market funds, real estate, and real estate investment trusts ("REITs"). If suitable, we would advise you on other investments based on your stated goals and objectives.

Account Minimums and Requirements: In general, DWM does not require a minimum dollar amount to open and maintain an advisory account. The minimum investment amount in Eden Partners Absolute Growth Fund, LP and the Steamboat Fund, LP is \$200,000.

Detailed information regarding our services, fees and other disclosures can be found in our Form ADV Part 2A Brochure, Items 4, 7, 8 by clicking this [link](#).

Key Questions to Ask Your Financial Professional

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses and other qualifications?

What do these qualifications mean?

What fees will I pay?

The following summarizes the fees and costs associated with engaging our firm for investment advisory services.

Asset-Based Fees: Our asset-based investment advisory fees are based on a percentage of your assets we manage. Fees will be debited directly from Client accounts in advance and calculated quarterly based upon the market value of the account as of the last business day of the previous calendar quarter. This presents a conflict of interest as we are financially incentivized to encourage you to place more assets in your advisory account as you will pay more in advisory fees.

Insurance: Persons providing investment advice on behalf of DWM are also licensed insurance agents. These persons will earn commission-based compensation for the sale of insurance products. Insurance commissions earned by these persons are separate and in addition to our advisory fees. This practice presents a conflict of interest because persons providing investment advice on behalf of our firm who are insurance agents have an incentive to recommend insurance products to you for the purpose of generating commissions.

Other Fees & Costs: In addition to our investment advisory fee, you will also be responsible for third party manager and/or platform fees, custody fees, account administrative and maintenance fees, fees and expenses related to mutual funds and exchange traded funds, applicable securities transaction fees, and other product-level fees associated with your investments. Clients invested in private fund vehicles will also incur management fees, as well as other fund expenses. Clients invested in DWM's private funds pay additional management fees. Such fees are all exclusive of the management fee and standard program fee paid to DWM for investment advice.

Additional Information: You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For detailed information regarding our fees, refer to our Form ADV Part 2A Brochure, Items 5 and 6 by clicking this [link](#).

Form CRS: Client Relationship Summary – March 12, 2024**Key Questions to Ask Your Financial Professional**

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we are a fiduciary, and we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates conflicts with our interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what this means:

Because our revenue is derived from asset-based fees, we have an incentive to grow your account as much as possible. This could cause us to take overly aggressive positions in conflict with your interests in an attempt to grow your account or could incentivize us to inflate the valuations of illiquid investments held in your account.

We serve as the investment manager or are affiliated with one or more private funds (private pooled investment vehicles) in which you may be solicited to invest. Our Company, certain members of its management, and other knowledgeable employees may acquire, directly or indirectly, investment interests in our fund or have other financial interests (e.g. General Partner, Officers, Board Members, etc.) in the funds. This presents a conflict of interest because we have investments in and/or are compensated by the private funds.

DWM serves as the Adviser and our affiliated entity, Eden Investment Partners, LLC, is the General Partner to Eden Partners Absolute Growth Fund, LP and Steamboat Fund, LP ("Funds"), private pooled investment vehicles in which our clients are solicited to invest. Persons affiliated with our firm may have made an investment in the funds and may have an incentive to recommend the fund over other investments.

DWM has many clients; therefore, our financial professionals may have a conflict in allocating time and investments to different clients. DWM has an allocation policy in place to mitigate such conflicts.

Key Questions to Ask Your Financial Professional

How might your conflicts of interest affect me and how will you address them?

Refer to our [Form ADV 2A Brochure](#) to help you understand what conflicts exist.

How do your financial professionals make money?

Our financial professionals make money by contracting with new clients and increasing assets under management. This presents a conflict of interest as we are financially incentivized to encourage you to place more assets in your advisory account as you will pay more in advisory fees.

Disciplinary History**Key Questions to Ask Your Financial Professional**

Do you or your financial professionals have legal or disciplinary history? For what type of conduct?

Yes, DWM and some of our financial professionals have incidents of legal or disciplinary history to report. Additional information can be found in Item 9 in DWM's [Form ADV Part 2A Brochure](#). Please visit [Investor.gov/CRS](https://www.investor.gov/crs) for a free and simple search tool to research financial professionals.

Additional Information

For additional information about our investment advisory services by viewing our Form ADV Part 2A available via our firm's Investment Adviser Public Disclosure Page or please contact us at 203-458-5220 or ecook@dwinvest.com and request a copy of the relationship summary.

Key Questions to Ask Your Financial Professional

*Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
Who can I talk to if I have concerns about how this person is treating me?*