

**Customer Relationship Summary - Form CRS****May, 2024****Introduction**

Lewis Capital Management, LLLP ("LCM") is registered with the Securities and Exchange Commission ("SEC") as an Investment Advisor in Wisconsin. It is important for retail investors to understand that brokerage and investment advisory services and fees are different. Free and simple tools are available for you to research firms and financial professionals at [www.investor.gov](http://www.investor.gov), an SEC sponsored website that also provides educational information about investment advisors, broker-dealers and investing, Form CRS and why it is important that you read it. You will find informational videos on the website that describe, in general, the differences between investment advisors and broker-dealers and how they get paid.

**Relationships and Services****"What investment services and advice can you provide me?"**

LCM offers investment advisory services to new clients without the requirement of a minimum account size. When we first meet with you, we ask you questions about your investment goals and then formulate an investment strategy suited for you based on those goals. Your investments are monitored on a continuous basis, which is included in our standard services. Also, as part of our contract with you, we maintain discretion over your accounts, meaning that you give us the authority to act on your behalf with regard to purchase and sale decisions. We currently provide service to individuals and trust accounts and do not participate in proprietary or limited investment offerings but will offer advice about them if requested.

We base our services on our fiduciary duties of good faith, trust and an ethical responsibility to act in your best interests. Please go to [www.investor.gov](http://www.investor.gov) or click on the link below to find more detailed information about our advisory business and types of clients we serve, required by Items 4 and 7 of Form ADV, Part 2A, in our brochure:

[https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd\\_iapd\\_Brochure.aspx?BRCHR\\_VRSN\\_ID=886430](https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd_iapd_Brochure.aspx?BRCHR_VRSN_ID=886430)

**You are encouraged to ask the following types of questions:**

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications?*
- *What do these qualifications mean?*

**Fees, Costs, Conflicts, and Standard of Conduct****"What fees will I pay?"**

Our annual fee is 1% on the total value of a client's portfolio, billed quarterly in advance; however, all fees are negotiable.

Other types of fees you may pay are:

- Custodian fees
- Account maintenance fees – not applicable for LCM
- Fees related to mutual funds and variable annuities – mutual funds and annuities charge fees inside them
- Other transactional fees and product-level fees – minimal for LCM

Conflict in the management of assets is avoided by completing client account trades before personal account trades. Please go to [www.investor.gov](http://www.investor.gov) or click on the link below and refer to pp. 5-6 of our Form ADV, Part 2A brochure that covers conflicts of interest:

[https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd\\_iapd\\_Brochure.aspx?BRCHR\\_VRSN\\_ID=886430](https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd_iapd_Brochure.aspx?BRCHR_VRSN_ID=886430)

***You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.***

Please go to [www.investor.gov](http://www.investor.gov) or click on the link below to find more detailed information about our services and fees, required by Items 5.A, B., C., and D. of Form ADV, Part 2A, in our brochure:

[https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd\\_iapd\\_Brochure.aspx?BRCHR\\_VRSN\\_ID=886430](https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd_iapd_Brochure.aspx?BRCHR_VRSN_ID=886430)

**You are encouraged to ask the following types of questions:**

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

**“What are your legal obligations to me when acting as my investment advisor? How else does your firm make money and what conflicts of interest do you have?”**

We only charge an asset-based fee. We do not offer proprietary products nor do we participate in third-party payments, revenue sharing or principal trading. A conflict of interest we may have is to encourage you to invest more, which will increase the value of your assets and thus, our fees.

***When we act as your investment advisor, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.***

**You are encouraged to ask the following types of questions:**

- *How might your conflicts of interest affect me, and how will you address them?*

**“How do your financial professionals make money?”**

We only derive income from the fees we charge on the total value of the assets in your portfolio.

### **Disciplinary History**

**“Do you or your financial professionals have legal or disciplinary history?”**

No. Please visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for free and simple tools available for you to research LCM.

**You are encouraged to ask the following types of questions:**

- *As a financial professional, do you have any disciplinary history?*
- *If yes, for what type of conduct?*

### **Additional Information**

Please call Chuck Lewis at 239-404-1093 or email him at [hesed1998@icloud.com](mailto:hesed1998@icloud.com) to request up-to-date information or to request a copy of Form CRS. You can also find additional information about LCM, our Form ADV, Part 2A and Form CRS at [www.investor.gov](http://www.investor.gov).

**You are encouraged to ask the following types of questions:**

- *Who is my primary contact person?*
- *Is he or she a representative of an investment advisor or a broker-dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*