

Relationship Summary

Firm: Stranberg Capital

Date: 1/30/2025

Introduction

Stranberg Capital LLC (Adviser/Firm) is a Texas Limited Liability Company formed in 1999. The firm has been rendering investment advisory service since its formation. The firm is solely owned and operated by Mr. Peter S. Stranberg. Stranberg Capital is built around the eclectic skill set of Mr. Stranberg...skills derived from high levels of academic training and professional experiences in trading, investment banking, private equity and, since 1999, managing other people's money...analytical skills pertaining to security valuation and capital markets; more esoteric analytical skills involving mathematics, macroeconomics and financial theory (especially Modern Portfolio Theory).

What Investment Services and Advice Can You Expect From Us?

Stranberg Capital is an "Advisory Business" in the sense that the firm will direct, in firm's sole discretion and without first consulting Client, the investment and reinvestment of the assets in the Client's account(s) in securities, cash or cash equivalents. Services are provided under a discretionary agreement.

In practice, Stranberg Capital builds and manages portfolios. The firm will continuously monitor Clients' accounts and make trades according to the judgment of the firm. Stranberg Capital is totally transparent and Clients may view their accounts on the web at any time. Clients may terminate the relationship at any time at no charge.

What Are Our Fees and Costs?

Compensation is derived solely from fees which are disclosed fully via billing. Billing is done quarterly in arrears. The quarterly billing results in fees being extracted from Client Accounts. The fee on stocks is 1%. The fee on mutual funds and bonds is ¼ of 1%. Fees are lower for multi-million-dollar accounts and negotiable. Mutual funds have additional fees imbedded in them as well. These fees can be found in each mutual funds' prospectus. Stranberg Capital receives no portion of these imbedded fees and is motivated to reduce these fees.

Billing for the fees earned by Stranberg Capital is done quarterly and in arrears. The withdrawal from Client accounts of Stranberg Capital's fees will be accomplished by Stranberg Capital's Custodian, not by our firm, and the Custodian will then remit the management fees directly to our firm.

Stranberg Capital's fees will not be based on a share of capital gains nor capital

appreciation (growth) of any portion of managed funds, also known as “performance-based fees.” Performance-based compensation creates incentives to take ill-advised risks. We do not use a performance-based fee structure because of the conflict of interest this type of fee structure poses.

Our fees will not be based on side-by-side management, which refers to a firm simultaneously managing accounts that do pay performance-based fees (such as a hedge fund) and those that do not. This type of arrangement, and the conflict of interest it may pose, does not conform to our firm’s practices.

Do you or your financial professionals have legal or disciplinary history?

Neither, Mr. Stranberg, Stranberg Capital LLC, or employees of the firm, have been involved in a material criminal, or civil action, in a domestic, foreign or military jurisdiction, an administrative enforcement action, or self-regulatory organization proceeding that would reflect poorly upon our firm’s advisory business or the integrity of our firm.

Additional Information

For additional information about our investment advisory services, please visit the [IAPD - Investment Adviser Public Disclosure - Homepage](#) to access our company brochure and you can contact us at the telephone number listed below.

Telephone Number: (817) 343- 0280