

Capital View Wealth Management, LLC
March 4, 2023

FORM CRS

Capital View Wealth Management, LLC is registered with the Securities and Exchange Commission as an investment adviser and, as such, we provide advisory services rather than brokerage services. Brokerage and investment advisory services and fees differ and it is important for you, our client, to understand the differences. Additionally, free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

What investment services and advice can you provide me?

We offer portfolio management and advisory consulting services to retail investors. Detailed information regarding our services, fees and other disclosures can be found in our Form ADV Part 2A Items 4, 7, and 8 via the following the link: <https://adviserinfo.sec.gov/firm/brochure/126653>.

Account Monitoring: If you open an investment account with our firm, as part of our standard service we will monitor your investments on an ongoing basis.

Investment Authority: We manage investment accounts on a **discretionary** basis whereby **we will decide** which investments to buy or sell for your account.

Investment Offerings: We provide advice on various types of investments. Our services are not limited to a specific type of investment or product.

Account Minimums and Requirements: In general, we require a minimum account size of \$5,000,000 to open and maintain an advisory account.

What fees will I pay?

The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services. For detailed information, refer to our Form ADV Part 2A, Items 5 and 6 via the following the link: <https://adviserinfo.sec.gov/firm/brochure/126653>.

- We charge an **asset-based fee** for our portfolio management services, which is payable quarterly in arrears. Since the fees we receive are based on the value of your account, we have an incentive to grow your account value.
- We charge an **hourly fee** for advisory consulting services, which is payable in arrears subject to a \$500 deposit. Since the fee is based on the amount of time we spend on your plan, we have an incentive to spend more time on your plan.
- Additionally, the following are examples of the most common fees and costs applicable to our clients, which are separate and distinct from our advisory fees:
 - Custodian and/or account maintenance fees;
 - Fees related to mutual funds and exchange-traded funds;
 - Transaction charges when purchasing or selling securities; and
 - Other product-level fees associated with your investments.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Because our revenue is derived from asset-based fees, we have an incentive to grow your account. Refer to our via the following the link: <https://adviserinfo.sec.gov/firm/brochure/126653> to help you understand what conflicts exist.

How do your financial professionals make money?

The financial professionals servicing your account(s) are paid a salary. Compensation is based, in part, on the amount of client assets they service; therefore, they have an incentive to grow the advisory business.

Do you or your financial professionals have legal or disciplinary history?

No. Visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Additional Information

You can find additional information about your investment advisory services via the following the link: <https://adviserinfo.sec.gov/firm/brochure/126653> and request a copy of the relationship summary at 515-238-3737.

Questions to Ask Us

- Given my financial situation, should I choose an investment advisory service? Why or Why Not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications and what do these qualifications mean?
- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
- How might your conflicts of interest affect me, and how will you address them?
- As a financial professional, do you have any disciplinary history? For what type of conduct?
- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?

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Exhibit to Form CRS

Capital View Wealth Management, LLC is required to update its Form CRS when information in the Form CRS becomes materially inaccurate. This Exhibit summarizes the following material changes to the firm's Form CRS, implemented on February 28, 2023 (**WILL BE UPDATED TO ACTUAL FILING DATE PRIOR TO FILING**):

We have changed our contact phone number found on our Form CRS to: 515-238-3737