



Cedarview  
Capital

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**Form ADV Part 3**  
**(“Form CRS”)**

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## **Item 1: Introduction**

Cedarview Capital Management, LP (“Cedarview”) is an investment adviser registered with the Securities and Exchange Commission. Brokerage and investment advisory services and fees differ and that it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## **Item 2: Relationships and Services**

### **What Investment Services and Advice Can You Provide Me?**

Cedarview offers investment advisory services to retail investors, which include individuals and families who seek investment services primarily for personal or family purposes, with a particular focus on high net worth individuals and families. Cedarview provides advice to clients regarding core asset classes, which include high-yield bonds, bank loans, distressed securities, equity of debt-laden companies and certain other investments and instruments. Cedarview’s advisory services may be tailored to meet your individual investment needs, such as by imposing restrictions on particular types of investments held in your account.

Cedarview provides investment advisory services on a discretionary and non-discretionary basis. Cedarview reviews your account and portfolio daily. This review is carried out by the portfolio managers and/or Cedarview’s investment personnel. Cedarview also reviews on a daily basis the transactions entered into for your account to ensure that correct entries have been made for your records. Cedarview provides you with quarterly reports with respect to your account and you receive monthly reports from your custodian. Cedarview does not have any requirements for retail investors to open or maintain an account or establish a relationship, such as minimum account size or investment amount.

**FOR ADDITIONAL INFORMATION** about our advisory services, please see Items 4 and 7 of our Form ADV Part 2A [Firm Brochure](#).

### **CONVERSATION STARTERS:**

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

## **Item 3: Fees, Costs, Conflicts, and Standard of Conduct**

### **What Fees Will I Pay?**

Retail investors will generally pay us an annual management fee of 1% of the net asset value of their account for our investment advisory services. These fees are charged each quarter in arrears. In some situations we may receive performance-based compensation on net profits attributable to your account, to the extent agreed upon by you and Cedarview. As described above, clients generally pay a fixed asset-based management fee and may be subject to a performance-based fee. Such fees may create conflicts of interest because the more assets there are in your advisory account, the more you will pay in fees. Thus, the Adviser may have an incentive to encourage a retail investor to increase the assets in his or her account and to favor those clients that pay Cedarview higher fees. Cedarview has adopted and implemented policies and

procedures intended to address conflicts of interest relating to the management of multiple accounts, including accounts with different fee arrangements.

In addition to paying investment management fees and any applicable performance-based fees, other fees and costs related to Cedarview's management of your account will include transaction-based fees when we buy or sell an investment for you (e.g., applicable commissions paid to broker-dealers for buying or selling investments). You may also experience fees paid to a broker-dealer or bank that holds your assets.

**FOR ADDITIONAL INFORMATION** about our fees and costs, please see Item 5 of our Form ADV Part 2A [Firm Brochure](#).

**CONVERSATION STARTER:** Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**What are your legal obligations to me when acting as my investment adviser?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

**CONVERSATION STARTER:** How might your conflicts of interest affect me, and how will you address them?"

**How do your financial professionals make money?**

Cedarview's financial professionals receive cash compensation. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

**Item 4: Disciplinary History**

**Do you or your financial professionals have legal or disciplinary history?**

No. You may visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free and simple search tool to research the Adviser and our financial professionals.

**CONVERSATION STARTER:** As a financial professional, do you have any disciplinary history? For what type of conduct?

**Item 5: Additional Information**

You can find additional information about our investment advisory services by visiting our website at [www.cedarviewcapital.com](http://www.cedarviewcapital.com). Additionally, you may request up-to-date information and request a copy of the relationship summary by contacting Stephen Wind, our Chief Compliance Officer, at [swind@cedarviewcapital.com](mailto:swind@cedarviewcapital.com).

**CONVERSATION STARTER:** Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?