

**Item 1. Introduction**

Oakview Capital Management LP (“Oakview”) is registered with the U.S. Securities and Exchange Commission (“SEC”) as an investment adviser. Clients and prospective clients should be aware that services and fees differ between investment advisers and broker dealers, and it is important for retail investors to understand the differences. Free and simple tools are available to research advisory firms like us at [investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers and investing.

**Item 2. Relationships and Services**

*What investment services and advice can you provide me?*

**Services:** For our retail investors, Oakview generally offers discretionary investment advisory services. Client assets are managed through separately managed accounts (“SMAs”) and private funds. Oakview principally invests in stocks, bonds, notes, options and other securities and derivatives. Oakview generally allows SMA clients to impose restrictions on our trading ability, but generally does not allow our private fund investors to impose restrictions beyond those already contained in the underlying fund documentation. Oakview does not limit investments to only proprietary products or otherwise have a limited menu of investment types; rather Oakview generally has broad authority to invest in a wide array of investment products.

**Monitoring:** As part of our standard service, Oakview continuously reviews client portfolios to ensure they are following their investment strategy and any imposed limitations.

**Requirements to open and maintain an account:** All clients execute an advisory agreement with Oakview to open an account, and generally require a minimum investment of \$1,000,000, subject to change at the Adviser’s discretion.

**ADDITIONAL INFORMATION:** Additional information can be found in our Form ADV, Part 2A, Items 4-7 available at: <https://adviserinfo.sec.gov/firm/summary/144877>.

**Conversation Starters** - *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

**Item 3. Fees, Costs, Conflicts and Standard of Conduct**

*What fees will I pay?*

**Principal Fees and Costs:** Oakview uses two types of fee structures. One is a sliding scale fee structure where compensated for its investment advisory services provided to clients in the form of a management fees (charged quarterly) based on the assets under management. The other is the incentive fee structure, where there is a flat annualized management fee (payable quarterly) coupled with an incentive fee generated from profitable performance (charged annually) after the applicable hurdle. Clients should be aware that Oakview has a financial incentive to increase investor assets invested to increase its fees charged and to recommend riskier trades to increase its profitability, such as to invest in securities rather than hold cash. Fees paid to Oakview for investment advisory services are separate and distinct from the fees and expenses charged to clients’ accounts and investments, such as brokerage, custodial, trading, account maintenance, costs of the investment and related fees.

**ADDITIONAL INFORMATION:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more information, please turn to the investment advisory agreement and see Items 4-6 in Form ADV, Part 2, available at: <https://adviserinfo.sec.gov/firm/summary/144877>.

**Conversation Starter** - *"Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?"*

## **Standard of Conduct/Conflicts of Interest**

*What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?*

**When we act as your investment adviser**, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what that means. For example, Oakview may have incentive to increase your assets under management because it is paid, in part, based on this amount.

**Conversation Starter** - *How might your conflicts of interest affect me, and how will you address them?*

**ADDITIONAL INFORMATION:** For more information on conflicts of interest, see Items 4, 9, 10 and 14 of our Form ADV, Part 2, available at: <https://adviserinfo.sec.gov/firm/summary/144877..>

*How do your financial professionals make money?* Oakview personnel are compensated in the following ways: Cash compensation in the form of an annual salary and performance-based fees based on the capital gains or capital appreciation of your assets. Receipt of these types of compensation by Oakview personnel may create a conflict as employees have an incentive to make investments that are riskier or more speculative than would be the case absent a performance-based arrangement.

## **Item 4. Disciplinary History**

*"Do you or your financial professionals have legal or disciplinary history?"*

Oakview has not been subject to any disciplinary events by regulators nor is it a party to any legal events that are material to client evaluation of our advisory business. You can visit [Investor.gov/CRS](https://Investor.gov/CRS) for free and simple search tool to research us and our financial professionals.

**Conversation Starter** - *As a financial professional, do you have any disciplinary history? For what type of conduct?*

## **Item 5. Additional Information**

If you have any questions about our investment advisory services or if you wish to request a copy of the relationship summary, please contact us at [pmalone@oakviewcm.com](mailto:pmalone@oakviewcm.com). Additional information about us is also available on the SEC's website at [www.RIAinfo.sec.gov](http://www.RIAinfo.sec.gov). You can also call us at 214-999-1175 for up-to-date information and request a copy of the relationship summary.

**Conversation Starter** – *Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk o if I have concerns about how his person is treating me?*