

Quadrant Capital Group, LLC
dba Constellation Wealth Advisors
dba Quadrant Family Wealth Advisors
dba Quadrant Private Capital Solutions
dba Constellation Sports Council

Form CRS Customer Relationship Summary, March 28, 2024

Quadrant Capital Group, LLC dba Constellation Wealth Advisors, dba Quadrant Family Wealth Advisors, dba Quadrant Private Capital Solutions, and dba Constellation Sports Council, is registered with the Securities and Exchange Commission (SEC) as an Investment Adviser. Brokerage and investment advisory services and fees differ and it is important for you as a retail investor to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer investment advisory services to retail investors, including customized investment advisory services and private investment funds. We generally invest client assets in domestic and international stocks, bonds, mutual funds, exchange traded funds, separately managed accounts, and alternative investments. We work with each client to establish an appropriate investment profile based upon the client's goals. Clients choose from growth, balanced, and conservative strategies, and can impose reasonable restrictions on our management of their accounts.

Investment management is provided on a discretionary or nondiscretionary basis. Discretionary means the trading activity with your account is entered by us without receiving prior authorization for each trade. For nondiscretionary accounts, you make the ultimate decision regarding the purchase and/or sale of investments. You may impose restrictions on the securities or types of securities in which you would like us to invest. We monitor your account at least quarterly. We will offer you advice on a regular basis and contact you at least annually to discuss your portfolio. The minimum client relationship size is generally \$2,000,000, but amounts are negotiable. We do not restrict our advice to limited types of products or investments.

We also provide investment advisory services to small businesses, plans, and private investment funds. We are the single member of Quadrant GP LLC and Quadrant PCS GP LLC, which are the general partners for the private investment funds. The private investment fund assets are held at qualified custodians which provide quarterly statements to the investors. Each of the private investment funds undergoes an annual audit conducted by an independent public accountant.

For additional information, please see our Form ADV Part 2A brochure Items 4 and 7, which is available online at: <https://adviserinfo.sec.gov/firm/summary/147253>.

Conversation Starters Questions to Ask Us:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

We provide fee options to clients which are both assets under management based (generally does not exceed 1.00% annually) and flat fee based (generally does not exceed \$500,000 annually). The firm has a minimum annual fee of \$20,000, which is payable quarterly. Clients who invest in Quadrant Capital Group private fund vehicles pay their portion of standard fund expenses, including operations fees, and in some instances, performance fees. Depending on circumstances, we may negotiate fees for clients and treat each client in a customized way depending upon their unique situation. Generally, the more assets you have in your advisory account, the more you will pay us. Therefore, we have an incentive to increase the assets in your advisory account in order to increase our fees. Fees are typically billed quarterly in advance, and in most cases the fee is automatically deducted from your account, which will reduce the value of your account.

The custodian/broker-dealer that holds your assets may charge you a transaction fee when we buy or sell an investment for you. These transaction fees are in addition to our fees. You may also pay charges imposed by the custodian/broker-dealer for custodial fees, account maintenance fees, fees related to mutual funds and other investment companies such as exchange-traded funds (“ETFs”), Separately Managed Accounts and assets managed by sub-advisers, variable annuities, and other product-level fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see our Form ADV Part 2A brochure Items 5, 12, and 18, which is available online at: <https://adviserinfo.sec.gov/firm/summary/147253>.

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

As indicated above, we are the single member of Quadrant GP LLC and Quadrant PCS GP LLC, which are the general partners for the private investment funds. To the extent certain of our individual advisory clients qualify, we may recommend that they participate as limited partners of the private investment funds. Relevant information, terms and conditions relative to the private investment funds, including the compensation received by us or any affiliate as the general partner and/or investment manager, suitability, risk factors, and potential conflicts of interest, are set forth in the Confidential Private Offering Memorandum, Limited Partnership Agreement, and Subscription, which each investor is required to receive and execute prior to being accepted as an investor in the private investment funds.

We recommend that you establish an account with a third-party independent custodian/broker-dealer. We receive research products and services from the custodian to assist us in the performance of our investment decision-making responsibilities. These arrangements present a conflict of interest in that we have a financial incentive to recommend that you maintain your account with the custodian for the availability of these products and services and not solely on the nature, cost, or quality of custody and brokerage services provided by the custodian.

Certain employees maintain licenses with various life and disability insurance companies and will receive commissions, if applicable, for sales of insurance products in their individual capacity (and not as Firm representatives).

- How might your conflicts of interest affect me, and how will you address them?

For additional information, please see our Form ADV Part 2A brochure Items 5, 10, and 12, which is available online at: <https://adviserinfo.sec.gov/firm/summary/147253>.

How do your financial professionals make money?

Our financial professionals are compensated by a salary and bonus based on their duties and the revenue our firm earns from its customized investment advisory services. We may also compensate our employees, including our portfolio managers, who refer potential clients to us for our services. Certain employees maintain licenses with various life and disability insurance companies and will receive commissions, if applicable, for sales of insurance products in their individual capacity (and not as Firm representatives).

Do you or your financial professionals have legal or disciplinary history?

No, our firm or financial professionals do not have any legal or disciplinary history. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

- As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about our services, you may visit the SEC’s website at adviserinfo.sec.gov by searching CRD #147253. If you would like additional information or a copy of this disclosure, please contact our firm at (513) 871-5500.

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?