

Form ADV Part 3: Relationship Summary

Wealthview Capital, LLC

Introduction

Wealthview Capital, LLC (“Wealthview Capital” or “we”) is an investment adviser registered with the U.S. Securities and Exchange Commission. We offer our clients investment advisory services. Clients should understand that the services we provide and fees we charge are different than those of a broker-dealer, and that it is important to understand the difference between the two. Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about investment advisers, broker-dealers and investing.

What Investment Services and Advice Can You Provide Me?

Description of Services: Wealthview Capital offers investment advisory services to retail investors including: Asset Management and Financial Planning.

Asset Management Services: After a discovery process to learn your goals and objectives, we will design an investment strategy aimed at achieving those goals and objectives. You will delegate to us the authority to implement that strategy as your portfolio manager. We will structure, manage, and continuously monitor your designated account(s) as a part of our asset management services and will attempt to contact you at least annually to discuss your portfolio. For more information, please see **Item 4** of our **Form ADV Part 2A**. Asset management services are provided on a **discretionary** basis, meaning we will have the authority to determine the type and amount of securities to be bought or sold in your account without obtaining your prior consent for each transaction. For more information about investment authority, please see **Item 16** of our **Form ADV Part 2A**.

Financial Planning Services: We also provide financial planning and consulting services. Our financial planning services include: (1) the creation of a written financial plan for you which covers mutually agreed upon topics, (2) providing you with Internet access to that plan, and (3) being available to you to review and adjust your plan over time as market conditions or your circumstances change. The firm’s goals-based financial planning services are available to all of Wealthview’s non-qualified clients at no additional charge. For more information, please see **Item 4** of our **Form ADV Part 2A**.

Limited Investment Offerings: We primarily utilize Equity Securities, Mutual Funds, ETFs and Bonds. Some of the risks involved with only recommending these types of securities include market fluctuations, company or industry-specific risk, issuer default and inflation risk. When providing you services, we do not recommend or offer advice on any proprietary products.

Account & Fee Minimums: There are no minimum investment amounts or fees required for establishing an account managed by us. There are no minimum requirements for our financial planning and consulting services. However, we can only work with a limited number of clients and therefore, we reserve the right to decline to accept an engagement if we do not believe it to be in our mutual interest.

Conversation Starters: *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

What Fees Will I Pay?

Description of Principal Fees & Costs: Fees charged for our asset management services are charged based on a percentage of assets under management, billed in arrears on a quarterly calendar basis, and calculated based on the average daily balance of your account during the current billing period. The annual fee for asset management services will be between 0.25% and 1.00%. Because our fee is based upon the value of your account, we have an incentive to recommend that you increase the level of assets in your account. When engaging us for asset management services, you will also incur other fees and expenses. The broker-dealer/custodian for your account will charge you transaction fees for executing trades in your account. You will also be charged internal fees and expenses by the funds we invest in within your account.

For non-asset management clients, we provide financial planning and consulting services for a fixed fee depending upon the complexity of the engagement. Fees for the financial planning services will be waived by us at our sole discretion. Any fees we charge for financial planning and consulting services will not cover the costs associated with implementing any recommendations we make.

Additional Information: You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more information about the fees we charge and the other fees and expenses you will incur, please see **Item 5 of Form ADV Part 2A**.

Conversation Starters: *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What Are Your Legal Obligations to Me When Acting as My Investment Adviser?

How Else Does Your Firm Make Money and What Conflicts of Interest Do You Have?

Standard of Conduct: When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

When we provide asset management services, we prefer that you establish an account with Pershing Advisor Solutions LLC, a subsidiary of BNY Mellon, to maintain custody of your assets and to effect trades for your account. Our recommendation to use Pershing Advisor Solutions LLC is not based solely on your interest of receiving the best execution possible. We also recommend Pershing Advisor Solutions LLC because they provide us with brokerage, custody, research, access to mutual funds and tools that help us manage and further develop our business operations. As a result, we do not have to pay for such benefits, which save us money. Clients are free to select any broker dealer for custody and execution of trades. See **Item 12** of our **Form ADV Part 2A** for more information about our arrangements with Pershing Advisor Solutions LLC.

Conversation Starters: *How might your conflicts of interest affect me, and how will you address them?*

Additional Information: For more information about our conflicts of interests and the ways we are compensated, please see **Item 5** and **Item 10** of our **Form ADV Part 2A**.

How Do Your Financial Professionals Make Money?

Description of Salary/Payment of IARs: Investment adviser representatives (IARs) are paid a fixed salary thereby reducing the conflicts of interest that can occur.

Do You or Your Financial Professionals Have Legal or Disciplinary History?

Neither us, nor our investment adviser representatives have a legal or disciplinary history to report. You can look up more information about us and our investment adviser representatives at <https://www.investor.gov/CRS>.

Conversation Starters: *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information About Wealthview Capital

Additional information about us and a copy of this relationship summary are available on the Internet at www.wealthviewcapital.com. You can also find our disclosure brochures and other information about us at <https://adviserinfo.sec.gov/firm/summary/149476>. If you have any questions or want an up-to-date copy of this relationship summary, we can be reached by phone at 601-981-4040.

Conversation Starters: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*