
Ocean Endowment Partners, LLC
Form CRS – September 2023

Ocean Endowment Partners, LLC (“Ocean Endowment”) is an investment advisory firm registered with the Securities and Exchange Commission (“SEC”) and, as such, we provide advisory services rather than brokerage services. Investment advisory and brokerage services and fees differ, and it is important for you, our client, to understand the differences. Additionally, free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

For retail clients, we provide investment advisory services on a discretionary basis via individually managed accounts and certain pooled investment vehicles. Discretionary authority allows us to decide the type and amount of securities to be bought or sold for your account and when to invest, without consulting you first. We maintain this discretion until it is revoked (e.g., by termination of our agreement or upon written instruction from you).

We determine what we believe is the optimal asset allocation mix based on your investment objective. We utilize investments including mutual funds, exchange traded funds, exchange-traded notes, individual equities, fixed income securities, private investments, and cash or cash equivalents. Our investment advice will cover a limited selection of investments. Other firms could provide advice on a wider range of choices, some of which might have lower costs.

We monitor client accounts on an ongoing basis, with each account reviewed at least quarterly. We also perform a review when there is a change to your financial position or investment objectives, or in case of unusual market or economic circumstances or other unforeseen events. We generally require a minimum of \$25 million of assets under management for an individual account. This minimum requirement may be waived at our discretion.

Additional information about our advisory services is located in Item 4 of our firm Brochure, which can be found at <https://adviserinfo.sec.gov>.

Conversation Starters

1. Given my financial situation, should I choose an investment advisory service? Why or why not?
2. How will you choose investments to recommend to me?
3. What is your relevant experience, including your licenses, education and other qualifications? What do those qualifications mean?

What fees will I pay?

You will be charged an ongoing quarterly fee based on the value of the assets in your account. The fees will be automatically deducted from your account on a quarterly basis on the first day of the quarter. Our standard fee schedule is based on the market value of your account and ranges from 0.80% to 1.50% per annum, depending on the value of your account. We typically have a minimum quarterly fee per account that, depending on the value of your account, could make the total fees charged, when expressed as a percentage of the market value of your account, higher than the per annum fees stated on our standard fee schedule. When this occurs, it is usually because you have requested that we waive our typical minimum account size. Certain qualified clients are charged performance-based fees on a case-by-case basis. We may, in our sole discretion, reduce or waive any fees charged to your account. If you terminate your account with us during a quarter, we will prorate any fees paid and return paid but unearned fees to you subject to the provisions of your investment management agreement with us.

Our fee structure creates an incentive to encourage you to increase your account balance over time so that we earn a higher fee. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

The custodian that holds your assets can charge you a transaction fee when we buy or sell an investment for you. The custodian’s transaction fees are in addition to our fees for our investment advisory service. You could also pay charges imposed by the custodian holding your assets for certain investments and maintaining your account. Some investments,

including but not limited to mutual funds, exchange-traded funds, and private investment funds, charge additional fees that will reduce the value of your investments over time.

Additional information about our fees is located in Item 5 of our firm Brochure, which can be found at <https://adviserinfo.sec.gov>.

Conversation Starters

1. Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. The firm's revenue is derived from the advisory fees we collect from client accounts. Our fee structure creates an incentive to encourage you to increase your account balance over time so that we earn a higher fee. As you invest more assets in your account, the amount of fees we collect will increase; therefore, there could be incentive to encourage you to increase your assets with us. Likewise, the higher your investment returns are for your account, the more assets you will have in your account, and the amount of fees we collect will increase; therefore, there could be incentive to invest your account in higher returning investment vehicles.

Additional information about our potential conflicts of interest is located in Item 11 of our firm Brochure, which can be found at <https://adviserinfo.sec.gov>.

Conversation Starters

1. How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are paid a fixed monthly amount. They may also receive a discretionary bonus based upon the success of the firm and the contributions of the professional. Neither the salary nor bonus is based on or tied to the number of clients that have been referred to the firm or the types of investments we recommend to clients. Our financial professionals are not compensated by the firm or any other person or entity for the sale of investment products or securities.

Do you or your financial professionals have legal or disciplinary history?

No, our firm and our financial professionals currently do not have any legal or disciplinary history to disclose. You may visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Conversation Starters

1. As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional information:

For more information regarding our advisory services, you may obtain a copy of our firm Brochure or Form CRS Relationship Summary from <https://adviserinfo.sec.gov>, or contact us at (650) 234-7803 to request a copy of this relationship summary and other up-to-date information.

Conversation Starters

1. Who is my primary contact person?
2. Is he or she a representative of an investment adviser or a broker-dealer?
3. Who can I talk to if I have concerns about how this person is treating me?