

## Clearbrook Investment Solutions LLC (IARD #155607)

### Client Relationship Summary as of March 2023

#### Item 1. Introduction

Clearbrook Investment Solutions LLC (Clearbrook Investment) is an investment adviser registered with the Securities and Exchange Commission (SEC). Brokerage and investment advisory services and fees differ. It is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://Investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

#### Item 2. Relationships and Services/ What investment services and advice can you provide me?

We provide discretionary outsourced chief investment officer (OCIO) advisory services to pension plans, foundations, institutional clients. In addition, Clearbrook Investment provides discretionary investment management services to high-net worth individuals. “Discretionary” means the client authorizes us to place trades in their account without notifying them in advance. Although not currently provided, we may be engaged on a non-discretionary authority basis in which we have to obtain your approval prior to placing a trade.

As part of its services, Clearbrook Investment works with its clients to develop asset allocation and investment manager recommendations and will execute transactions on the client’s behalf regarding separately managed account managers, mutual funds, exchange traded funds (“ETFs”), hedge funds, private equity funds, real estate investment trusts (“REITs”), and other investments it deems appropriate for the client.

Clearbrook Investment provides quarterly reports and newsletters, occasional email updates, and availability for client calls or in-office meetings. We monitor accounts daily using a computer program, but we generally only trade when our investment committee advises a change, you need or deposit cash, or your investments move out of balance from your recommended allocation.

The minimum account size is typically \$500,000.00 but we may waive such minimums in certain situations.

**For additional information, please see our ADV Part 2A Firm Brochure – Items 4,7,8, and 13:**

<https://adviserinfo.sec.gov/firm/brochure/155607>

You may also wish to ask us:

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?**

#### Item 3. Fees Costs, Conflicts, and Standard of Conduct/What fees will I pay?

**We bill most clients *an ongoing asset-based fee, charged quarterly in advance.*** These fees are negotiable but generally depending on the size of the accounts, asset-based fees maximum is 100 basis points per year. Flat fee pricing and account minimum fees may be used with some accounts and will be described in the agreements with clients. You will pay transaction costs and custody services in addition to our management fee. Some investments, such as mutual funds, ETFs, and alternate investments (e.g. hedge funds and private equity) impose additional fees that will reduce the value of your investments over time.

You will pay fees and costs whether you make or lose money on your investments, and these will reduce any amount of money you might make over time. Please make sure you understand what fees and costs you are paying.

You may also wish to ask us:

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees, and how much will be invested for me?**

**For additional information, please see our ADV Part 2A Firm Brochure – Items 4,5 and 12:**

<https://adviserinfo.sec.gov/firm/brochure/155607>

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

*When we act as your investment adviser*, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can impact the objectivity of the investment advice we provide you. Please note that the following conflicts are fully disclosed in our Firm Brochure upon engagement and the firm has implemented internal controls to ensure our clients receive advice that is in their best interests.

Clearbrook Investment earns more fees as your account size increases. In the event Clearbrook Investment recommends a retirement account rollover to an IRA in which we manage presents a conflict of interest in that we receive additional management fees. In very limited situations and in accordance with the client's investment objectives and mandates, Clearbrook Investment may recommend the purchase of interests in an investment vehicle managed by Clearbrook Investment's affiliate, CBF Advisors LLC (CBF Advisors). In order to address any perceived conflicts of interest, CBF Advisors does not assess performance fees wherein any Clearbrook Investment client has invested into the fund. Furthermore, Clearbrook Investment's investment management fees are not assessed on assets invested in the Fund.

**For additional information, please see our ADV Part 2A Firm Brochure:**

<https://adviserinfo.sec.gov/firm/brochure/155607>

You may also wish to ask us:

- **How might your conflicts of interest affect me, and how will you address them?**

**How do your financial professionals make money?**

Our professionals are paid a salary and incentive compensation on assets brought to the firm. No compensation is based upon sales or product goals or other incentives that would impact a duty to act in the clients' best interest.

**Item 4. Disciplinary History/Do you or your financial professionals have legal or disciplinary history?**

No. Please visit [Investor.gov/CRS](https://Investor.gov/CRS) for free and simple search tool to research Clearbrook Investment and its financial professionals.

You may also wish to ask us:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

**Item 5. Additional Information**

You may obtain additional information about Clearbrook Investment including our most recent Client Relationship Summary form by visiting [www.clearbrookglobal.com](http://www.clearbrookglobal.com) or calling us at 1-212-359-0290.

You may also wish to ask us:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**