

Strategic Options LLC is registered with the Securities and Exchange Commission (“SEC”) as an investment adviser. Investment advisory services and fees differ from brokerage services and fees, and it is important that you understand the difference. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS which also provides educational materials about broker-dealers, investment advisers and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

Based on the information you provide us during the risk assessment test that can be found [here](#). If suitable, based on the assessment, Strategic Options currently only offers two portfolios and Strategic Options can customize the portfolios to the needs of the individual client. Our services are provided on a discretionary basis, which means that we have the power to buy and sell securities for your account without your prior consent. This authority is usually unlimited and remains in effect until you revoke it. We do not provide non-discretionary investment advice, as this is just a waste of our time. We do allow clients to have non-discretionary investments in the account. We generally review portfolios daily. We suggest a minimum of \$2,000 of investable assets per client relationship. For more detailed information about our advisory business and the types of clients we serve, please [email us](#) for a copy of our ADV part 2.

Here are some questions you can use to start a conversation with your financial professional:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

WHAT FEES WILL I PAY?

You will pay a mutually agreed-upon fee for our services per the terms of your wealth management agreement. The fee will be a recurring “asset-based fee” since the fee is calculated based on a percentage of the supervised assets in your account. You will be billed once every three months. The more assets that are in your account, the more you will pay in fees. This incentivizes us to invest your assets in a way that delivers growth in alignment with your objectives and encourages you to increase the number of assets in your account. You could also pay fees to other third parties which will depend on your account type and custodian. These fees include custodian fees, trust accounting, and trustee fees, account maintenance fees, fees related to exchange-traded and mutual funds, and transactional fees. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Qualified investors will pay performance fees.

Here is a question you can use to start a conversation with your financial professional:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. For all clients, we require that you use Interactive Brokers as your custodian. Interactive Brokers does not directly compensate us for making this recommendation. Interactive Broker is the industry leader in Application Programming Interface (API). The API is vital in connecting your brokerage account at IB to the Strategic Options trading Algorithm. We do receive products and services that benefit us and our ability to manage and administer our clients' accounts. These direct and indirect benefits include electronic access to client account data, trade execution, pricing. We, therefore, have an incentive to encourage you to maintain custody of your accounts at Interactive Brokers. Also, our asset-based fee schedule means that we will make more money if our clients increase and/or keep their assets with our firm.

Here is a question you can use to start a conversation with your financial professional:

- How might your conflicts of interest affect me, and how will you address them?

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

All compensation paid to our financial professionals is a function of our firm's overall revenues from advisory fees, creating a financial incentive to find and retain clients.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

Firm-yes. Financial professionals – no. Visit www.investor.gov/CRS for a free, simple search tool to research our firm and our financial professionals.

Here is a question you can use to start a conversation with your financial professional:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

ADDITIONAL INFORMATION

Strategic Options is set up a little differently from other investment advisers. Because we provide investment advice over the internet, your primary way of interacting with us as your investment adviser will be through our website. You can find additional information about our firm's investment advisory services on the SEC's website at www.adviserinfo.sec.gov

Here are questions you can use to start a conversation with your financial professional:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?