

## Introduction

OneAscent Investment Solutions LLC (“OASIS”) is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## What investment services and advice can you provide me?

OneAscent Investment Solutions LLC (“OASIS”) provides investment advisory services to registered investment companies, collective investment trusts, and, as recommended by their professional advisors, retail clients. OASIS does not have a specified minimum account size, but does not currently accept retail clients unless through a recommendation from their professional advisor through a managed account platform.

OASIS currently operates four lines of business: (1) models for use by other affiliated and unaffiliated investment advisers; (2) the management of certain Collective Investment Trusts (“CITs”); (3) the management of certain registered investment companies; and (4) acting as a third party manager for other registered investment advisers. Because this Form CRS is only to be utilized for retail investors, this Form CRS will not discuss the management of registered investment companies or CITs. More information on those services can be found in the OASIS Form ADV Part 2A.


### Models for Use by Other Investment Advisers


OASIS designs, constructs and maintains model portfolios that are distributed to advisers via Overlay Managers. The Overlay Manager provides discretionary trading and account maintenance for the models and the other investment advisers. Procedurally, OASIS maintains the models, giving trade signals to the Overlay Manager, who has the discretion to either implement or not implement the trades on behalf of the other investment advisers.


### Third-Party Manager

OASIS is a third-party manager to other Registered Investment Advisers. Unlike the model portfolios described above, in the case where OASIS acts as a third party manager selected by another registered investment adviser, OASIS will have direct access to the ultimate client’s account, and will implement its investment recommendations on a discretionary basis.

For more detailed information, please refer to our Disclosure Brochure, the ADV Part 2A, under Item 4 Advisory Business.

 **“Given my financial situation, should I choose an investment advisory service? Why or why not?”**


 **“How will you choose investments to recommend to me?”**

 **“What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?”**

## What fees will I pay?

Generally, fees may vary from 0.50% to 2.00% per annum of the market value of a client’s assets. The platform through which a retail client accesses OASIS’ services and the client’s direct investment advisor charge separate and additional fees with respect to client accounts. Portions of these fees are paid directly to OASIS. In addition, the client will be billed by OASIS for the advisory fee. Fees are negotiable, and may be higher or lower than this range, based on the nature of the account. Factors affecting fee percentages include the size of the account, complexity of asset structures, and other factors.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more specific information regarding our fees, please refer our Disclosure Brochure, the ADV Part 2A under Item 5 Fees and Compensation.

 **“Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”**

---

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

---

**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

OAIS is owned by OneAscent Holdings LLC, (“Holdings”) which is also the parent of OneAscent Financial Services LLC (“OAFS”), OneAscent Wealth Management LLC (“OAWM”) and OneAscent Family Office LLC (“OAF0”, and collectively, “OneAscent”). When a OneAscent advisor allocates client assets to a separate manager, including an affiliated manager such as OAIS, fees payable to such managers are separate from, and in addition to, fees payable to OneAscent. This means that the overall fees to OneAscent and these managers may be significantly higher than if OneAscent had managed the assets directly. OneAscent will consider these fees in its decision to recommend the use of any third party manager, including OAIS. OneAscent has a conflict of interest because OneAscent has the incentive to refer clients to OAIS, because of common ownership, and therefore are likely to receive greater overall compensation if assets are allocated to their respective affiliated firms as opposed to a different third party manager or in-house management. OneAscent also attempts to mitigate the conflict of interest by requiring employees to acknowledge the firm’s Code of Ethics, their individual fiduciary duty to the clients of OneAscent, which requires that employees put the interests of clients ahead of their own.

Holdings is also the owner of OneAscent Capital LLC (“OAC”) which is the manager of a registered investment company, OACOX. OAIS is also the manager of a number of registered investment companies. OAIS recommends the inclusion of one or more of the registered investment companies managed by a OAIS or OAC in the models it maintains, as well as in the portfolios managed by OAIS through the third party manager platform accessed by other investment advisers for their clients. Allocating assets to proprietary products presents a conflict of interest in that Holdings will receive greater compensation for allocating assets to proprietary products versus securities managed by third parties. OAIS will only recommend a security, whether proprietary or not, in a model or an account if the security is in the best interests of the model or client.

For more specific information regarding our fees, please refer our Disclosure Brochure, the ADV Part 2A under Item 5 Fees and Compensation.

 **“How might your conflicts of interest affect me, and how will you address them?”**

---

**How do your financial professionals make money?**

---

Financial professionals of OneAscent are paid a portion of the asset management fees collected from clients. Financial professionals are not rewarded sales bonuses.

---

**Do you or your financial professionals have legal or disciplinary history?**

---

No. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS).

 **“As a financial professional, do you have any disciplinary history? For what type of conduct?”**


---

**Additional Information**

---

Additional information about our investment advisory services can be found at [www.oneascent.com](https://www.oneascent.com). A copy of our *relationship summary* can also be requested by calling (205) 313-9142.

 **“Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?”**

 **“Who can I talk to if I have concerns about how this person is treating me?”**