

**10<sup>TH</sup> MOUNTAIN, LLC**  
**Form ADV Part 3 – CRS – Customer Relationship Summary**  
Firm CRD No. is 285804  
**March 7, 2025**

10<sup>th</sup> Mountain, LLC (the “Company”) is an Ohio Limited Liability Company (“LLC”) formed as an SEC Registered Investment Advisor in October 2016. The Company’s principal place of business is in Galena, Ohio and provides investment advisory services to its clients. Investment advisory services is different than Brokerage services and the fees differ, and it is important for all retail investors to understand the differences.

Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers, and investing.

***What investment services and advice can you provide me?*** The Company provides investment advice, supervisory portfolio management services, and monitoring of its clients’ securities portfolios to retail investors, businesses and charitable foundations.

***Description of Services:*** 10<sup>th</sup> Mountain, LLC takes a holistic approach to investment management services across multiple asset classes. The Company provides fixed income and public equities Portfolio Management Services to its Clients on a Discretionary basis. Discretionary authority is where the adviser is authorized to buy and sell securities without the client’s consent for each trade. The Company also assists its Clients with the selection of other advisers and outside money managers within its portfolio management services when applicable. To meet these objectives, 10<sup>th</sup> Mountain utilizes an independent contractor – Frank Wojcik, CFA – to provide additional portfolio management services and skills.

***10<sup>th</sup> Mountain, LLC*** provides “portfolio management services”, and the Client pays an annual or quarterly fixed fee based on the amount of assets under management rather than paying individual separate fees for each individual transaction. The Company provides and limits its services to a maximum of ten (10) retail families comprised of their related individual, company(ies) or charitable foundation accounts with a minimum total relationship of \$25 million.

***Additional Information:*** For additional information, please refer to the Company’s brochure (firm brochure Part 2A – Items 4, 5, 7, 8, 13 and 16. The firm brochure is available at <https://adviserinfo.sec.gov/firm/brochure/289801>.

***Conversation Starters:***

***Ask your financial professional:*** Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

***What fees will I pay?*** The fees you pay depends on the service(s) selected and will include advisory fees for portfolio management. Portfolio management fees begin at 0.50% for assets under management if the Client meets the minimum relationship requirement. Fee structures may be negotiated at the Company’s discretion. The minimum relationship amount is \$25 million dollars. Fixed fees are negotiated with the Client based on the level of services to be offered. Fees can be billed quarterly, semi-annually, or annually. Fees are billed both in advance and in arrears. See the firm’s Form ADV Part 2A Item 5. The terms and conditions of the services provided, and fees charged are agreed upon in writing by both the Client and the Company and described in the investment management agreement.

***Clients will pay fees and costs whether they make or lose money on their investments. Fees and costs will reduce any amount of money they make on their investments over time. We encourage clients to make sure they understand what fees and costs they are paying.***

***Ask your financial professional:***

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

***Ask your financial professional:***

How might your conflicts of interest affect me, and how will you address them?

***What are your legal obligations to me when acting as my investment adviser? How else does your firm make money, and what conflicts of interest do you have?*** When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Below is an example to help you understand what this means.

**Recommendations Involving Material Financial Interests:** The Company's owner and independent contractor are employed by DGD Group – a single family office --, and DGD Group maintains its own accounts and may buy and sell securities for its own account or the accounts of its owners, which may be different than the advice given, and the actions taken with respect to a retail client.

***For more information about our conflicts of interest,*** please refer to Form ADV Part 2A Item 11. The firm brochure can be found at <https://adviserinfo.sec.gov/firm/brochure/289801>.

***How do your financial professionals make money?*** The owner and sole employee of the Company is paid through quarterly distributions based on assets under management after all expenses are paid. The Company's independent Contractor is paid a negotiated fixed sum quarterly. Nonetheless, as the Company's revenue is based upon the amount of assets that it manages, the Company is incentivized to increase the amount of assets it manages.

***Ask your financial professional:*** As a financial professional, do you or your financial professionals have legal or any disciplinary history? For what type of conduct?

***Do you or your financial professionals have legal or disciplinary history?*** No. Tomislav Mitevski -- the President, Owner, and sole employee of the Company—and Frank Wojcik, CFA—the Company's independent contractor for portfolio management services—do not have any disciplinary history to report, and neither have been the subject of a bankruptcy petition at any time.

Visit <https://www.Investor.gov/CRS> for a free and simple search tool to research us and our financial professionals.

***Ask your financial professional:*** Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

***Additional Information:*** For more information about our services, please refer to the Company's Brochure available at <https://adviserinfo.sec.gov/firm/brochure/289801>. You may also call the Company to request a copy of the firm's Brochure at 614-893-8715.