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## Item 1: Introduction

This Client Relationship Summary ("Form CRS") provides important information about Catch Capital Management, LLC ("Catch Capital Management") an investment adviser registered with the United States Securities and Exchange Commission ("SEC"). Investment advisory and brokerage services and fees differ from company to company. It is important for retail investors to understand the difference. This document gives you a summary of the types of services and fees you can expect from working with Catch Capital Management. Please visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for free, simple tools to research firms and for educational materials about broker-dealers, investment advisers, and investing.

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## Item 2: Relationships & Services

- **What investment services and advice can you provide me?**
- **How will you choose investments to recommend to me? Given my financial situation, should I choose an investment advisory service? Why or Why Not?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

Catch Capital Management provides automated portfolio management and passive investment services to help retail clients meet long term financial goals. We provide this through the Catch Managed Assets Program. The program is developed and maintained by licensed Investment Adviser Representatives (IARs) including a [Series 65](#) licensee and a [CFP® Professional](#). The Catch Managed Assets Program provides access to target asset allocations comprised of passive funds (index based ETFs or mutual funds). We will use the information you provide us to recommend an investment portfolio for you in accordance with your risk tolerance and investment objectives. Once you consider our recommendation and select a model portfolio, we will monitor your portfolio's performance and re-balance your investments as required by changes in market conditions and in your financial circumstances. Catch Capital Management's client assets are managed on a discretionary basis as part of its wrap fee program. The program is intended for those comfortable with automated investing via a technology platform. The service is probably not appropriate for those requiring frequent feedback from an adviser. Please see our [Form ADV Part 2a Appendix 1](#) for details.

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## Item 3: Fees, Costs, Conflicts & Standards of Conduct

- **What fees will I pay?**

The Catch Managed Assets Program provides professionally managed investment plans in which all expenses related to brokerage commissions, management fees, and basic administrative costs, are "wrapped" into a single charge. You are not charged separate fees for each of these respective component services. Participation in the wrap fee program incurs an annual fee of 0.5% assessed monthly on the closing market value of your account, billed in arrears. We charge a minimum fee of \$2/mo. but at our discretion, we may waive the minimum fee. We do not require a minimum dollar amount to open and maintain an advisory account; however, we have the right to terminate your account if it falls below a minimum size which, in our sole opinion, is too small to manage effectively. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Please visit <https://s.catch.co/legal/ccm-adv2a.pdf> for more details on our wrap fee program.

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will be invested for me? How much will go to fees and costs?**

We invest your funds to match your selected model portfolio. We purchase shares of ETF's or mutual funds to achieve this allocation. If partial of fractional shares are unavailable, we may allocate some cash to a highly liquid liquid money market fund until there is enough cash to buy more shares according to your selected allocation. We also allocate a small amount of cash to the money market fund to cover our annual 0.5% fee. Assuming your monthly balance was \$10,000, the monthly fee you would pay is approximately \$4.16 each month.

**Additional expenses:** The wrap fee program fee that you pay to our firm for portfolio management services is separate and distinct from fees and expenses charged by mutual funds or exchange traded funds (described in each fund's prospectus) to their shareholders. The wrap fee program does not include cost associated with mark-ups, mark-downs, dealer spreads, interest, taxes, national securities exchange fees, costs associated with exchanging currencies or other fees required by law or imposed by third parties. Catch Capital Management does not charge these fees and does not benefit directly or indirectly from any such fees. To fully understand the total cost you will incur, you should review all the fees charged by mutual funds, exchange traded funds, our firm, and others.

- **What are your legal obligations to me when acting as my investment adviser?**
- **How else does your firm make money and what conflicts of interest do you have? How might your conflicts of interest affect me, and how will you address them?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means. We benefit from the advisory services we provide to you because of the advisory fees we receive from you. Since the fees we receive are asset-based (i.e. based on the value of your account), we have an incentive to increase your account value, which creates a conflict of interest. To minimize this conflict of interest, we do not employ individuals to sell our products.

- **How do your financial professionals make money?**

The IAR's at Catch receive salary as compensation. IAR's do not earn commissions on trading or investment selection. Our IAR's construct model portfolios which are managed by software. Please see our [Form ADV Part 2a Appendix 1](#) for more details.

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#### Item 4: Disciplinary History

- **Do you or your financial professionals have any legal or disciplinary history? For what type of conduct?**

We do not have legal and disciplinary events. Visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free, simple search tool to research us and our financial professionals.

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#### Item 5: Additional Information

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**

While Catch does not have primary relationship managers, you may find additional information about our investment advisory services in our [Form ADV Part 2a Appendix 1](#). You can obtain a copy of this and the Form CRS at [catch.co/legal](http://catch.co/legal) or by emailing [help@catch.co](mailto:help@catch.co). Please email us at [help@catch.co](mailto:help@catch.co) or call (833) 202-2011 for questions/complaints or if you need technical assistance.