

ITEM 1. INTRODUCTION

Eagles Coast Capital, LLC is registered as a broker-dealer with FINRA and Eagles Coast Wealth Management, LLC is registered as an investment adviser with the United States Securities and Exchange Commission (together “we”, “us” or “Eagles Coast”). These are affiliated entities. Brokerage and investment management services and fees differ, it is important for the retail investor to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which provides educational materials about broker-dealers, investment advisers, and investing.

ITEM 2. RELATIONSHIPS AND SERVICES

A. What investment services and advice can you provide me?

B. Description of Services

We offer both brokerage and investment advisory services to you. However, not all of our registered representatives are able to offer you investment advisory services. Please discuss this with your representative. Eagles Coast Wealth Management, LLC offers portfolio management for individuals, small businesses, businesses other than small businesses and institutional clients (other than registered investment companies and other pooled investment vehicles). More detailed information is available below in section **C. Additional Information**.

- If you open a brokerage account, you will pay us a **transaction-based fee**, generally referred to as a commission, every time you buy or sell an investment.
- If you sign an investment management agreement (managed account), you will pay us a **monthly management fee**, based upon an agreed annual percentage rate, generally referred to as a fee. Our annual fees are no higher than 2%. The monthly formula is based upon your account’s market value at the end of the preceding month, generally referred to as AUM, and calculated by multiplying by your annual fee, dividing it by 365 days, then multiplying that by the number of days in a month. $AUM \times \text{fee \%} \div 365 \times \text{\# of days in the month}$.
- If you open a brokerage account, you may select investments, or we may recommend investments for your account, but the ultimate investment decision as to your investment strategy and the purchase or sale of investments will be yours.
- **(i) Monitoring:** If you sign an investment management agreement with Eagles Coast Wealth Management, as part of our normal services, we will monitor your account on a regular basis and meet with clients at least annually.
- **(ii) Investment Authority:** If you sign an investment management agreement, we manage client assets on either a discretionary or non-discretionary basis. Discretionary means that we will make all the investment decisions deemed to be in your best interest. Non-discretionary means we will make recommendations deemed to be in your best interest, however, you will have final say.
- **(iii) Limited Investment Offerings:** While our primary focus is investing in diversified security (such as ETFs) portfolios, we do not limit our advice to a specific set of proprietary products or a limited menu of products or types of investments.
- **(iv) Account Minimums and Other Requirements:** The minimum account size for retail investors is \$25,000. Eagles Coast Wealth Management, LLC has the discretion to waive the account minimum.

C. Additional Information

Please refer to our Form ADV, Part 2A brochure (Items 4 and 7 of Part 2A or Items 4.A. and 5 of Part 2A Appendix 1) for a more detailed description of our investment advisory services and Regulation Best Interest for more information regarding our obligations to you as a broker-dealer. Links for both are below.

Form ADV, Part 2A: [crd_iapd_Brochure.aspx \(sec.gov\)](#)

Reg BI: <https://eaglescoast.com/legal-page/>

D. Conversation Starters

Below are additional questions for a retail investor to ask our financial professional and start a conversation about relationships and services:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

ITEM 3. FEES, COSTS, CONFLICTS, AND STANDARD OF CONDUCT

A. What fees will I pay?

Fees and costs affect the value of your account over time. Please ask your financial professional to give you personalized information on the fees and costs that you will pay.

- The commission you pay for a brokerage account is based on the specific transaction and not the value of your account. The more transactions in your account, the more fees we charge you. We therefore have an incentive to encourage you to engage in transactions.
- As an investment advisory client, you will pay a monthly management fee and no transaction-based commissions. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make over time. Please make sure you understand what fees and costs you are paying.
- With stocks or exchange-traded funds, this fee is usually a separate commission. With other investments, such as bonds, this fee might be part of the price you pay for the investment (called a “mark-up” or “mark down”). With mutual funds, this fee (typically called a “load”) reduces the value of your investment.
- Some investments such as mutual funds impose additional fees that will reduce the value of your investment over time.
- Our fees vary and are negotiable. The amount you pay will depend, for example, on how much you buy or sell, what type of investment you buy or sell, and what kind of account you have with us.
- We pass additional charges, from our Clearing Firm, on to you such as custodian fees, account maintenance fees, and account inactivity fees.

Conversation Starter: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will you invest for me?

What are your legal obligations to me when providing recommendations as my broker-dealer or when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation as your broker-dealer or act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide you. Here are some examples to help you understand what this means.

Examples of Ways You Make Money and Conflicts of Interest: (a) Proprietary Products: Investments that are issued, sponsored, or managed by us or our affiliates. We do not offer advice only with respect to proprietary or a limited menu of products; (b) Third-Party Payments: we receive compensation from third parties when we recommend or sell certain investments. We do not utilize the services of third parties in the management of client accounts; (c) Revenue Sharing: Investments where the manager or sponsor of those investments or another third party (such as an intermediary) shares with us revenue it earns on those investments. We do have a revenue sharing agreement(s). Eagles Coast receives revenue sharing compensation or distribution support from distributors or professionals of mutual funds, annuity products, UITs and other products we offer, it presents a conflict of interest between our interests and those of our clients.; (d) Principal Trading: Investments we buy from a retail investor, and/or investments we sell to a retail investor, for or from our own accounts, respectively. We can earn a profit on these trades, so we have an incentive to encourage you to trade with us.

Conversation Starter:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Registered representatives receive a commission every time you buy or sell an investment. Each representative has a commission schedule. Please ask your representative to discuss, in detail, their commission schedule.

Investment advisor representatives receive a monthly fee based upon your account's market value. The fee will increase as your account value increases and decreases if your account value decreases. Managed accounts are not charged any commissions, so the investment advisor representative only receives the monthly fee.

It is important to understand the differences between a brokerage commission-based account and an asset-based fee account.

<i>an asset-based fee</i> if you want continuing advice or want someone to make investment decisions for you, even though it may cost more than a transaction-based fee.	<i>a transaction-based fee</i> from a cost perspective, if you do not trade often or if you plan to buy and hold investments for longer periods of time.
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ITEM 4. DISCIPLINARY HISTORY

A. Do you or your financial professionals have legal or disciplinary history?

B. No – for our firm. Yes – for some of our financial professionals.

Please visit <https://www.investor.gov/CRS> for a free and simple search tool to research our firm and our financial professionals. You may research our financial professionals' experience and licenses on

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FINRA's BrokerCheck website at <https://brokercheck.finra.org/>.

Conversation Starter

As a financial professional, do you have any disciplinary history? For what type of conduct?

ITEM 5. ADDITIONAL INFORMATION

Additional information can be found on our website, www.eaglescoast.com. A copy of this form will be posted to our site or available upon request by calling 843-800-0491.

We also encourage you to seek additional information.

- For additional information about our brokers and services, visit <https://www.investor.gov>, BrokerCheck at <https://brokercheck.finra.org/>, and our web site <https://eaglescoast.com>.
- To report a problem to the SEC, visit Investor.gov or call the SEC's toll-free investor assistance line at (800) 732-0330. To report a problem to FINRA, <https://www.finra.org/investors/file-complaint>. If you have a problem with your investments, account or financial professional, contact us in writing or call (phone number).
- Additional information can be obtained by calling Igor Falkovich at either 843-800-0491.

Conversation Starter

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
Who can I talk to if I have concerns about how this person is treating me?