

Form CRS: Client Relationship Summary
Goldfarb Financial Management, LLC
Effective Date: June 11, 2025
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Item 1: Introduction

Goldfarb Financial Management, LLC is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers, and investing.

Item 2: What investment services and advice can you provide me?

We offer investment advisory services to retail investors, including discretionary and non-discretionary asset management, and financial planning services.

If you open an advisory account, we will offer you advice on a regular basis. We will discuss your goals and design a strategy to try to achieve them. We will regularly monitor your account as part of our standard service.

We manage accounts on both a discretionary and non-discretionary basis. Discretionary means we can make investment decisions for you without asking in advance. Non-discretionary means we give you advice and you decide what investments to buy and sell. We do not have a minimum account size. For additional information, please see our [Form ADV, Part 2A brochure](#).

Ask us:

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
 - *How will you choose investments to recommend to me?*
 - *What is your relevant experience, including your licenses, education, and other qualifications?*
 - *What do these qualifications mean?*
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Item 3(A): What fees will I pay?

We charge fixed fees for our services. These fees are charged on a monthly basis. Because our fees do not vary based on the value of your investments, we have less of an incentive to help grow your account over time.

You will also pay fees to third parties such as custodians, brokers, or other account-related expenses. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For additional information, please see our [Form ADV, Part 2A brochure](#).

Ask us:

- *Help me understand how these fees and costs might affect my investments.*
- *If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested?*

3(B): What are your legal obligations to me when acting as my investment adviser?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Because our fees do not vary based on the value of your investments, we have less of an incentive to help grow your account over time.

Ask us:

- *How might your conflicts of interest affect me, and how will you address them?*

3(C): How do your financial professionals make money?

We charge fixed fees for our services. These fees are charged on a monthly basis. Because our fees do not vary based on the value of your investments, we have less of an incentive to help grow your account over time.

Ask us:

- *Help me understand how these fees and costs might affect my investments.*
 - *If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested?*
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Item 4: Do you or your financial professionals have legal or disciplinary history?

No. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

Ask us:

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*
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Item 5: Additional Information

For additional information about our services or to request a copy of the relationship summary, please contact us at:

Phone: 1-310-402-2152

Email: GoldfarbMgtCo@gmail.com

Ask us:

- *Who is my primary contact person?*
- *Is he or she a representative of an investment adviser or a broker-dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*