

Form ADV Part 3: Relationship Summary

Introduction

Veta Investment Partners, LLC (“Veta” or “we” or “our”) is an investment adviser registered with the U.S. Securities and Exchange Commission (“SEC”). Brokerage and investment advisory services and fees differ and it is important for investors to understand the differences. Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about investment advisers, broker-dealers, and investing.

What investment services and advice can you provide me?

Description of Services: Veta’s investment advisory services are offered to retail investors in separate accounts (“SMAs”) and as a participant in wrap and/or managed account programs offered by affiliated or unaffiliated third parties (“Program Sponsors”). In such programs, Veta has discretionary authority to execute transactions on behalf of the program client, subject to any conditions or limitations set forth in the client’s written agreement with the Program Sponsor.

Veta also provides non-discretionary investment recommendations in the form of model portfolios distributed to various model marketplace platforms or directly to the Program Sponsor. Veta does not have a contractual relationship with the end-client or have discretion over the end client accounts invested in its model portfolios. We also do not provide individualized advice to end-clients. When providing model portfolio signals, Veta will not be involved in the management of, or make suitability or best interest determinations for, the end client accounts. These responsibilities rest with the adviser receiving the signals. Veta monitors and updates each model on a regular basis and delivers updates as agreed upon with each platform or Program Sponsor.

For additional information about our advisory services, please see Item 4 of our Form ADV, Part 2A, available at: <https://adviserinfo.sec.gov/>.

Account & Fee Minimums: Our guidelines for managed account platforms typically require a minimum of \$200,000 to open an account managed by us. However, we may negotiate lower account minimums in certain circumstances based on the applicable platform requirements or our agreement with a particular client.

Ask your financial professional: *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

What fees will I pay?

Description of Principal Fees & Costs: If you open an advisory account, you will pay an ongoing asset-based fee based on the assets in your account. Our fees are exclusive of brokerage commissions, transaction fees and other related costs and expenses that will be incurred by the client in addition to the management fees we charge. Clients may also incur certain charges imposed by custodians, brokers and other third parties such as fees charged by wealth managers, custodial fees, corporate action fees, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees and other fees and taxes on brokerage accounts and securities transactions. Because we are compensated through asset-based fees, you will pay more in fees if more assets are held in your account. We therefore have an incentive to encourage you or the Program Sponsor to increase assets under our management. For wrap and model delivery programs, the Program Sponsor typically charges a single, all-inclusive (or “wrap”) asset-based fee which is typically higher, as it includes the cost of other services including trade execution, custodial, performance monitoring and reporting. The Program Sponsor will typically pay Veta a portion of this wrap fee at a rate pre-determined by the Program Sponsor. You should refer to disclosures provided by the Program Sponsor for important information concerning such programs and their fees.

Additional Information: You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more information about the fees we charge and the other fees and expenses you will pay, please see **Item 5 of Form ADV Part 2A:** <https://adviserinfo.sec.gov/>.

Ask your financial professional: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

Standard of Conduct: When we act as your investment adviser, we have to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

We provide advisory services to an affiliated Program Sponsor, pursuant to an investment management agreement. When an affiliated Program Sponsor places a client in a portfolio managed by Veta, the principal owners of both firms benefit.

Veta receives compensation, known as “revenue sharing,” from certain third-party product providers or sponsors (“Strategic Sponsors”). This is an inherent conflict of interest for Veta because it creates an incentive for us to favor and recommend certain models and products that pay revenue sharing over other models and products that do not. While we typically do not have discretionary authority over client accounts in these instances, our models may influence client allocations to these products. This creates a conflict of interest because we have a financial incentive to include or maintain such products in our models or recommendations. We address this conflict by applying consistent investment selection criteria and disclosing this arrangement. Veta also receives an indirect benefit through invitations to, and/or attendance at, sponsored conferences or seminars, and additional education from the Strategic Sponsors. The marketing and educational activities paid for by the Strategic Sponsor could lead Veta to focus more on the Strategic Sponsor’s products. However, no revenue sharing payments are made to the IARs who recommend these products to you. As a result, your IAR does not have a direct financial incentive to recommend a product to you based on Veta’s receipt of revenue sharing and each IAR is required by regulation and policy to make recommendations to you solely in your best interest.

Ask your financial professional: How might your conflicts of interest affect me, and how will you address them?

Additional Information: For more information about our conflicts of interests and the ways we are compensated, please see *Item 5*, *Item 10*, and *Item 14* of our **Form ADV Part 2A** available at: <https://adviserinfo.sec.gov/>.

How do your Financial Professionals make money?

Description of Compensation/Payment of IARs: Our financial professionals are compensated with a salary and a potential bonus. Compensation is not based on amount of assets serviced, products sold, or commissions.

Do you or your Financial Professionals have legal or disciplinary history?

No. You may visit <https://www.investor.gov/CRS> for a free and simple research tool to research Veta and our financial professionals.

Ask your financial professional: As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information Veta Investment Partners, LLC

Additional information about us and copies of our Form ADV Part 2 and Appendix I disclosure brochures and this relationship summary are available on the Internet at <https://vetainvestmentpartners.com/>. You can also find our disclosure brochures and other information about us at <https://adviserinfo.sec.gov/firm/summary/309023>. If you have any questions or want an up-to-date copy of this relationship summary, we can be reached by phone at.....

Ask your financial professional: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?