

Form ADV Part 3 – Client Relationship Summary

Date 12./08/2020

Item 1: Introduction

QUANTALYTICS INVESTMENT ADVISORS, LLC is an investment adviser registered with the Securities and Exchange Commission offering advisory accounts and services to retail investors. Brokerage and investment advisory services and fees differ, and it is important that you understand the differences. This document gives you a summary of the types of services and fees we offer. Please visit www.investor.gov/CRS for free, simple tools to research firms and financial professionals, as well as educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services

Questions to ask us: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What investment services and advice can you provide me? Our firm primarily offers the following investment advisory services to retail clients: portfolio management via a wrap fee program (we review your financial and investment background, and suggest an investment strategy and portfolio model based on this input). As part of our standard services, we monitor client accounts periodically, and sometimes more frequently based on certain types of activity or inactivity in the account. Our firm has discretionary management without any material limitations. We limit the types of investments that are recommended since not every type of investment vehicle is needed to create an appropriate portfolio. Our firm *does not* have a minimum account size. Please also see our Form ADV Part 2A (“[Brochure](#)”), specifically Items 4 & 7.

Item 3: Fees, Costs, Conflicts, and Standard of Conduct

Questions to ask us: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? How might your conflicts of interest affect me, and how will you address them?

What fees will I pay? Our fees vary but range between \$5 and \$30 a month based on the type of model portfolio used for your account. Changes in the model portfolio you utilize will affect the advisory fee we quote you. All fees are paid monthly in advance. You pay our fees even if you do not have any transactions. Please also see Items 4 and 6 of our [Brochure](#).

Some investments (e.g., ETFs.) impose additional fees (e.g. management fees) that reduce the value of your investment over time. For the wrap fee program, you will not typically pay additional transaction fees and thus our advisory fee may be higher than if you paid transaction fees separately. **You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.** Please also see our [Brochure](#) for additional details.

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have? *When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same*

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time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means (see heading immediately below).

How do your financial professionals make money? Primarily, we and our financial professionals receive cash compensation from the advisory fees we receive from you. This compensation may vary based on different factors, such as those listed above in this Item. It does not vary based on performance of your account.

Item 4: Disciplinary History

Questions to ask us: As a financial professional, do you have any disciplinary history? For what type of conduct?

Do you or your financial professionals have legal or disciplinary history? No, we do not have legal and disciplinary events. Visit <https://www.investor.gov/> for a free, simple search tool to research us and our financial professionals.

Item 5: Additional Information

Questions to ask us: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

For additional information on our advisory services, see our [Brochure](#) available at <https://adviserinfo.sec.gov/firm/summary/309249> and any individual brochure supplement your representative provides. If you have any questions, need additional information, or want another copy of this Client Relationship Summary, then please contact us at 800-295-0893.

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Exhibit A – Material Changes to Client Relationship Summary

As of November 30, 2020, Quantalytics Investment Advisors, LLC has no clients, and has not previously provided this Form CRS to clients or prospective clients. Therefore no changes to this Form CRS are required to be disclosed in this item.