

AB2 Capital Partners LLC
CRD#318038
Client Relationship Summary
March 2023

Introduction

AB2 Capital Partners LLC (“AB2 Capital”) is registered with the U.S. Securities and Exchange Commission (“SEC”) as an investment adviser. Brokerage services and investment advisory services differ, and it is important that you understand the differences. Free and simple tools to help you research firms and financial professionals are available at investor.gov/crs. The site also provides educational materials about broker-dealers, investment advisers, and investing in general.

What investment services and advice can you provide me?

We offer investment advisory services to ultra-high net worth retail investors. The primary service we offer to our retail investors is portfolio management. Typically, we offer our portfolio management services on a **non-discretionary** (“**advisory**”) authority to invest and reinvest the investment assets at such time as we deems advisable in accordance with the Client’s investment guidelines. We will consult with the Client prior to purchase, sell, redeem, invest, reinvest or otherwise trade any security for the Client’s accounts. We work closely with our retail investors to understand their specific needs and overall financial profile. Based on discussions with you, we will structure your portfolio to align with your financial priorities through asset allocation. Generally, we will manage your portfolio through the purchase and sale of equities and fixed income instruments. These financial instruments may include private equity investments, venture capital, private credit and real estate in addition to traditional stocks and bonds.

As part of our standard services, we monitor your investment portfolio on a continuous and ongoing basis to ensure that it is managed in a manner consistent with your individual needs and objectives. We generally review all accounts on at least a weekly basis.

In addition to our portfolio management services, some of our retail investors may request from us other financial advice unrelated to their securities portfolios. For example, a retail investor may request guidance on long-range income and expense projections, analysis of real estate investments, or advice on a private business venture. Although we are able to provide our retail investors with this non-securities related advice based on our financial knowledge and business judgment, we only provide this advice as a complement to our primary role as our clients’ portfolio manager.

You may limit our investment discretion by requesting in writing that we refrain from investing in particular securities or certain types of securities. We typically do not place any limitation on the type of restrictions or instructions that you can place on our investment discretion.

We do not have a minimum portfolio valuation account size.

Additional information about our investment services can be found in Items 4, 7 and 8 of our ADV Part 2A Brochure here: <https://adviserinfo.sec.gov/firm/summary/134911>

Conversation Starter. Be sure to ask your financial professional:

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

AB2 Capital charges a fixed annual fee to manage and administer each client’s investment portfolio.

In addition to the fees disclosed above, you will pay, directly or indirectly, certain charges imposed by third parties, including custodial/administration fees, management and incentive fees charged by the underlying fund managers,

wire fees, holding fees, nominee fees, accounting fees, and transaction (subscription or redemption) fees. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Additional information about our fees can be found in Items 5 and 6 of our ADV Part 2A Brochure here: <https://adviserinfo.sec.gov/firm/summary/134911>

Conversation Starter. Be sure to ask your financial professional:

- Help me understand how these fees and cost might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interests ahead of yours. The way we make money may create some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide to you. Here are some examples to help you understand what this means:

We direct brokerage transactions to certain broker-dealers in return for investment research products and/or services which assist us in our investment decision-making process (soft dollar arrangements). The use of these arrangements poses a conflict of interest because we do not have to produce or pay for the products or services we receive.

Additional information about potential conflicts of interests can be found in Item 10 of our ADV Part 2A Brochure here: <https://adviserinfo.sec.gov/firm/summary/134911>

Conversation Starter. Be sure to ask your financial professional:

- How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are compensated via salary and discretionary bonuses. The discretionary bonus is typically based upon the revenue performance of the overall firm.

Do you or your financial professionals have any legal or disciplinary history?

No. Please visit www.investor.gov/crs for a free and simple search tool to research us and our financial professionals.

Conversation Starter. Be sure to ask your financial professional:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

If you would like additional up-to-date information about our services or a copy of this relationship summary, please contact us at Tel: (954) 802-8328; Email: alan@ab2capital.com.

Conversation Starter. Be sure to ask your financial professional:

- Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

Material Changes March 2023

What investment services and advice can you provide me?

- The Firm offers non-discretionary (“advisory”) investment services.
- We do not have a minimum portfolio valuation account size.