



# Customer Relationship Summary

## Advyzon Investment Management, LLC

CRD No. 318816

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### Customer Relationship Summary

(Form CRS, Form ADV Part 3)

March 31, 2025

#### Item 1: Introduction

Advyzon Investment Management, LLC ("we," "us," "our") is a registered investment adviser registered with the United States Securities and Exchange Commission. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences.

*Free and simple tools are available to research firms and financial professionals at [investor.gov/CRS](http://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.*

#### Item 2: Relationship and Services

*What investment services and advice can you provide me?*

##### Description of Services.

We provide a turnkey asset management program (the "Program") through an arrangement between us and your investment advisory firm ("Advisory Firm"). This arrangement allows your investment adviser representative ("Adviser") affiliated with your Advisory Firm to select from Investment Strategies to be used to manage some or all of your investment assets.

##### ASK YOUR INVESTMENT ADVISER:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Your Adviser is responsible for gathering sufficient information from you to evaluate your financial situation, investment objectives, financial goals, tolerance for risk, and investment time horizon. Your Adviser is also responsible for determining whether your participation in the Program is appropriate for you, and for selecting an Investment Strategy to be used in managing your assets.

In addition, your Advisory Firm may elect to use other services that we offer to facilitate the management of your account, such as our Nucleus Model Marketplace, Sphere Manager-Sponsored Models, Personalized Index Engine, Tax Overlay Services, Manager-Traded Portfolios or our outsourced trading, billing, or performance reporting services. We also offer Enterprise Platform Services to financial service organizations that in turn offer the services to their associated persons.

When Advisory Firms and financial service organizations engage us for these services, we receive additional compensation. Your Advisory Firm is responsible for providing you with details on all applicable fees that are incurred in connection with the management of your account, and other relevant information, such as any conflicts of interest that are presented by the use of our services.

**Monitoring.** Our investment team will review accounts in the Program on at least a quarterly basis, or more frequently if necessary. Events that may trigger a special review would be unusual performance, addition or deletions of client-imposed restrictions, cash flows, excessive draw-down, volatility in performance, or buy and sell decisions by our investment team. Each account is reviewed for alignment with the Investment Strategy mandate. When additional services are used, our monitoring will depend on the service selected by your Advisory Firm. See your Advisory Firm's Form ADV Part 2A for additional information.

**Investment Authority.** Your Adviser is responsible for determining which Investment Strategy is appropriate for you based on your financial situation. Once an Investment Strategy is selected for you, we manage your assets in the Investment Strategy on a discretionary basis. This means that we have the discretion to make investment decisions with regard to your account, and to implement transactions to carry out those decisions without seeking or obtaining the prior permission of you, your Adviser, or your Advisory Firm. When additional services are used, our investment authority will depend on the service selected by your Advisory Firm. See your Advisory Firm's Form ADV Part 2A for additional information.

**Limited Investment Offerings.** Our Investment Strategies are constructed using mutual funds, exchange-traded funds ("ETFs"), fixed-income securities, and/or equities.

**Account Minimums and Other Requirements.** Our Program is offered through arrangements we have with unaffiliated Advisory Firms, and is only available to clients of these Advisory Firms. Generally, participation in the Program requires that you have minimum household investable assets of \$50,000; however, minimums vary by strategy and are typically set at the account level. In addition, we reserve the right to waive this minimum based on your financial circumstances and if your participation in the Program is suitable.

**Additional Information.** This is a brief summary of our services. Additional information is available in Item 4 of our Form ADV Part 2A ("Disclosure Brochure"). See [Item 5 - Additional Information](#) below for instructions on how to obtain a copy of our Disclosure Brochure.

#### Item 3: Fees, Costs, Conflicts, and Standards of Conduct

*What fees will I pay?*

**Principal Fees and Costs.** Our Program Fee is based on a percentage of the assets under management, including cash and cash equivalents. Our Program Fee is typically combined with your Advisory Firm's fee and is charged using the same method, frequency, and billable market value as used by your Advisory Firm. In cases where we charge only our Program Fee, we typically charge our fees quarterly in advance, based on the average daily balance of your account during the prior calendar quarter. Our maximum annual Program Fee is 0.35%. Our Program Fee is generally not negotiable, although we reserve the right to offer discounted fees, promotions, or waiver of fees under certain circumstances. Our Program Fee will be deducted directly from your account. Fees for partial billing periods will be prorated based on the number of days in the billing period that

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the account was under management. For fees billed in advance, if services are commenced during a billing cycle, typically prorated fees for the initial partial billing period will not be collected in advance but will instead be added to the fee for the first full billing cycle. Upon termination, any unearned fees paid in advance will be refunded. In some instances, we may adjust our fee billing arrangements based on the Advisory Firm's needs. You are encouraged to review the Disclosure Brochure of your Advisory Firm for further information on your fees and fee billing arrangements.

If your Advisory Firm elects to use other services that we offer to facilitate the management of your account, such as our Nucleus Model Marketplace, or our outsourced trading, billing, or performance reporting services, additional fees may be incurred. Your Advisory Firm is responsible for providing you with details on all applicable fees that will be incurred in connection with the management of your account and other relevant information, such as any conflicts of interest that are presented by the use of these additional services.

**Wrap Fee Programs.** We do not offer a wrap fee program.

**Other Fees and Costs.** In addition to our advisory fees, you may incur other fees and costs, such as custodian fees, account maintenance fees, fees related to mutual funds, or other administrative fees. Please refer to our Item 5 of our Disclosure Brochure for details on additional fees.

**Additional Information.** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Additional information about our fees is available in Item 5 of our Disclosure Brochure. See [Item 5 - Additional Information](#) below for instructions on how to obtain a copy of our Disclosure Brochure.

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money, and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what this means.

**Conflicts of Interest.** An asset-based fee presents a conflict of interest because the more assets you have in your advisory account, the more you will pay in fees; therefore, we may have an incentive to encourage you to increase the assets in your account. However, you work directly with your Advisory Firm to determine which assets we will manage. As discussed in the [Description of Services](#) in Item 2 above, we offer additional services to your Advisory Firm and other financial service organizations for which we receive additional compensation.

## ASK YOUR INVESTMENT ADVISER:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

## ASK YOUR INVESTMENT ADVISER:

- How might your conflicts of interest affect me, and how will you address them?

Your Advisory Firm is responsible for providing you with details on all applicable fees that are incurred in connection with the management of your account, and other relevant information, such as any conflicts of interest that are presented by the use of our services. We do not offer proprietary products, do not participate in any revenue sharing or client referral arrangements, or have any other sources of revenue. Additional information about our conflicts of interest is available in our Disclosure Brochure. See [Item 5 - Additional Information](#) below for instructions on how to obtain a copy of our Disclosure Brochure.

**How do your financial professionals make money?**

Our financial professionals receive a salary, and a discretionary bonus which may be based on the overall success and profitability of our firm. Some financial professionals may have a portion of their compensation based on revenue earned. Some AIM solutions result in higher revenue to the firm than others. Financial professionals educate Advisory Firms on solutions available, but Advisory Firms have the final decision in which solutions to use. Compensation is not based on factors such as the amount of client assets serviced, the time and complexity required to meet a client's needs, the products sold (differential compensation), or product sales commissions.

## Item 4: Disciplinary History

**Do you or your financial professionals have legal or disciplinary history?**

No.

Visit [investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

## ASK YOUR INVESTMENT ADVISER:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

## Item 5: Additional Information

Additional information is available in our Disclosure Brochure. An up-to-date copy of our Disclosure Brochure as well as our current Form CRS are available on our [website](#), or can be obtained from the SEC's [website](#) by conducting a Firm search using our CRD Number. You may also call us at (844) 340-1898 to obtain copies of these documents at any time.

## ASK YOUR INVESTMENT ADVISER:

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker dealer?
- Who can I talk to if I have concerns about how this person is treating me?

## Exhibit A - Summary of Material Changes

Since our last Form CRS dated March 26, 2024, we have made the following material change:

- We have added information to Item 2 regarding additional services we offer to Advisory Firms, including Sphere Manager-Sponsored Models, Personalized Index Engine, Tax Overlay Services, and Manager-Traded Portfolios. Details about these additional services can be found in our Disclosure Brochure. You should also consult your Advisory Firm's Form ADV Part 2A for more information regarding the services available to you and the associated fees.