

GLOBAL LISTING ACCELERATOR SECURITIES LIMITED

FORM CRS

August 30, 2023

Introduction

Global Listing Accelerator Securities Limited is registered with the Securities and Exchange Commission as an Investment Adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Relationships and Services

What investment services and advice can you provide me?

Global Listing Accelerator Securities Limited offers investment portfolio management, wealth management, and other consulting services to individuals, high net worth clients, trusts, and businesses. Our investment management services are provided on a discretionary basis, which allows us to buy and sell investments in your account without contacting you in advance. This discretionary authority is provided via our advisory agreement you execute, and we maintain this discretion until it is revoked (e.g., by termination of our agreement or upon written instruction from you). Clients are allowed to impose reasonable restrictions on the types of securities, sectors and/or industries they do not want to be included in their portfolio. Such restrictions must be communicated to us in advance and documented in writing. Generally, the minimum investment amount to open an investment management account with us is \$1,000,000 but we may accept smaller portfolios at our discretion. We monitor your investment management account on a periodic basis, which can be triggered by certain events, such as additions or withdrawals, asset allocation changes, and/or changes in client objectives. We typically do not limit the types of investments that we recommend. For our financial planning services, we gather information from you and assist with developing a strategy for managing income, assets, and liabilities in order to meet your overall financial objectives. With our financial planning services, you retain absolute discretion over all implementation decisions and are under no obligation to act upon any of our recommendations; the ultimate decision regarding the purchase or sale of investments lies with you. Our wealth management services include both financial planning and investment management services. Our consulting services are project based, such as an evaluation of a specific venture or investment a client wants to consider.

For additional information about our services and advice, please read Items 4, 7, and 8 of our Form ADV, 2A disclosure brochure.

Conversation starters.

Questions you might wish to ask when considering our services:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Fees, Costs, Conflicts and Compensation

What fees will I pay?

Investment management fees are based upon the value of assets in your accounts and are collected quarterly in advance. For equity portfolios we have a fee schedule. For fixed income and balanced portfolios fees are negotiated. From time to time, we may enter into alternative fee arrangements that will be negotiated on a case-by-case basis. We may also provide investment advisory services for a fixed fee in limited circumstances. In addition to the investment management fees, clients bear trading costs. To the extent that clients' accounts are invested in mutual funds including money market funds, these funds pay a separate layer of management, trading, and administrative expenses.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information about our fees and other costs, along with applicable conflicts, please review Items 5, 10, and 14 of our Form ADV, Part 2A disclosure brochure.

Conversation starters.

Questions you might wish to ask when considering our services:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the investment advice we provide you. Here is an example to help you understand what this means. Global Listing Accelerator does not have physical custody of any of your funds and/or securities. However, we recommend Schwab Advisor Services to serve as custodian to our clients. As part of their custodian platform, Schwab provides us with certain products and services at no cost that benefit us but do not benefit our clients. Certain of these products and services assist us in managing and administering our clients' accounts and others help us develop our business. The receipt of such creates a conflict of interest as it gives us an incentive to have clients custody their assets with Schwab.

For more detailed information about our conflicts of interest, please review Items 4, 10, 11, 12, and 14 of our Form ADV, Part 2A disclosure brochure.

Conversation starters.

Questions you might wish to ask when considering our services:

- How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

We pay our professionals a base salary and bonus based on the firm's overall revenue. They do not have the opportunity to earn more when opening more accounts, increasing assets, or investing in riskier investments. In addition, they do not get compensation based on the types of investment products we invest/recommend for clients.

Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. Please visit <http://Investor.gov/CRS> for a free and simple search tool to research our firm and our financial professionals.

Conversation Starters.

Questions you might wish to ask when considering our services:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

You can always ask your Financial Professional for more information about our investment advisory services, or you can request up-to-date information (including a copy of Form CRS) by contacting us at qqssipo@outlook.com.

Conversation Starters.

Questions you might wish to ask when considering our services:

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?