

# M A V R O S

## Mavros Capital Management, LLC Form CRS - Client Relationship Summary July 2025

### Item 1. Introduction

Mavros Capital Management, LLC (“Mavros Capital” or “Mavros”) is a registered investment adviser based in Los Angeles, California. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

### Item 2. Relationships and Services

#### **What investment services and advice can you provide me?**

Mavros offers investment advisory services to ultra-high net worth and high net worth individuals, family offices, trusts, estates, endowments, foundations, and other entities. Mavros will meet with you to identify and discuss your financial goals, financial needs, and the level of investment risk that you are willing to bear. Mavros will then build a portfolio of investments (including investment restrictions) comprised of stocks, exchange traded funds (ETFs), mutual funds, bonds, private fund vehicles, and other investments that can help you meet those goals and financial needs. Mavros also provides advisory services on ancillary assets that are not advisory assets.

If you provide Mavros with discretionary authority, Mavros will make investment decisions for your account and execute trades on your behalf. Mavros also offers non-discretionary services, meaning that Mavros will discuss its trade recommendations with you, but you will make the ultimate decisions regarding the purchase or sale of securities. As part of the standard services Mavros provides, Mavros monitors the investments in your account on an ongoing basis to make sure the investments Mavros recommends to you are meeting your financial goals. Additional information can be found on Part 2 of our Form ADV.

#### **Conversation Starters. Ask your financial professional:**

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?**

### Item 3. Fees, Costs, Conflicts, and Standard of Conduct

#### **A. What fees will I pay?**

Mavros’ annual management fee is generally up to 1% of a client’s assets under management but may vary. Certain clients are subject to an annual minimum management fee of \$25,000 that may vary based on negotiations. Mavros’ fees are typically billed quarterly, in advance or in arrears, and debited from your account. Because Mavros is paid based on the assets in its clients’ accounts, there is an inherent incentive for Mavros to encourage a client to increase the assets under management in their account to earn higher fees. Mavros may also charge a flat fee billed quarterly in advance. Ancillary Assets and Non-Advisory Assets are charged a separate fee. Mavros also charges for certain family office services based on the complexity of services.

#### **Description of Other Fees and Costs**

You will typically be charged additional fees and/or expenses by third parties that include, but are not limited to, custodial fees, brokerage commissions, transaction fees, and management fees for third-party sub-advisers. Private funds which Mavros recommends typically charge their own management fees and performance fees. All of these fees are paid by you in addition to the management fees you pay to Mavros on these investments. You will likely pay Mavros for expenses incurred to conduct due diligence on funds. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Additional information can be found on Part 2A brochure of our Form ADV (specifically Items 5. A., B., C., D., and E.)

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**Conversation Starters.** Ask your financial professional:

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

### Item 3. Fees, Costs, Conflicts, and Standard of Conduct

#### **B. What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

Mavros has many clients, which means that our financial professionals may have a conflict in allocating time and investments to different clients. To address this conflict, we have procedures in place to monitor client portfolios and investment allocations. We may recommend certain access fund vehicles that are managed by third-party managers and for which we serve as a subadvisor. We will not charge you a management fee with respect to a portion of your account that is invested in those access fund vehicles since we will receive a management fee from those access fund vehicles in our capacity as the subadvisor. To address this conflict, we have internal review and approval procedures in place for such funds. In addition, we refer Altruist LLC (“Altruist”) to provide custodial services to client accounts. Altruist also provides certain support services related to the transition of client accounts from other investment advisers to us. You do not pay more as a result of this arrangement, but we benefit as these costs are not borne by us. Additional information can be found on Part 2 of our Form ADV.

**Conversation Starters.** Ask your financial professional:

- **How might your conflicts of interest affect me, and how will you address them?**

#### **C. How do your financial professionals make money?**

The compensation of Mavros’ financial professionals is determined at their discretion and is based on contracting with new clients and increasing assets under management.

### Item 4. Disciplinary History

#### **Do you or your financial professionals have legal or disciplinary history?**

No. Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

**Conversation Starters.** Ask your financial professional:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

### Item 5. Additional Information

**You may find additional information** about us and our services at Mavros Capital Management, LLC ([www.mavrosgroup.com](https://www.mavrosgroup.com)). Your selected investment adviser representative is your primary contact. Please contact us at (424) 324-2631 or [info@mavrosgroup.com](mailto:info@mavrosgroup.com) for additional information about our investment advisory services or to request a copy of this relationship summary.

**Conversation Starters.** Ask your financial professional:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**