

AIML TRADING PARTNERS LLC
FORM ADV PART 3: RELATIONSHIP SUMMARY
August 21, 2024

Item 1 – Introduction

AIML Trading Partners LLC (“AIMLTP”) is registered with FINRA and New York State (NYS) and is seeking registration with the Securities and Exchange Commission (SEC) as an Investment Advisor. AIMLTP provides investment advisory services, and we do not offer brokerage services. It is important for retail investors to understand the difference between those services and the fees associated with them.

For more information about our services and to access free tools for researching firms and financial professionals, visit Investor.gov/CRS. This site also offers educational materials about broker-dealers, investment advisors, and general investing. For additional details or inquiries, please contact AIMLTP at (631)944-5699 or via email at Ryan_Caldwell@AIMLTP.com

Item 2 – Relationships and Services *What investment services and advice can you provide me?*

AIML Trading Partners LLC offers investment advisory services tailored to qualified purchasers, accredited investors, and/or retail investors. Our primary services include **Individual and Corporate Investment Advisory Accounts**, we offer personalized investment advisory accounts, including margin and/or securities-based loans; **Retirement Accounts**, we manage Traditional IRAs, Roth IRAs, and SEP IRAs, focusing on long-term growth and income generating strategies; and, **Education and Health Savings Accounts**, we assist in managing 529 College Savings Plan accounts and Health Savings Accounts (HSAs), providing comprehensive planning.

Our approach is centered on active portfolio management, investing in exchange-traded securities across global public equity markets. We offer custom portfolio management, and our advisory services include private wealth management, financial planning, investment management, and other total wealth solutions, with a wrapped 1.50% annualized Advisory Fee program.

We are monitoring your investments status daily by our designated Control Person, Ryan Gregory Caldwell, and operate on a non-discretionary basis, meaning you retain the ultimate decision-making authority regarding the purchase or sale of investments. We offer a broad range of investment options tailored to your exclusive and individually specific financial goals and risk tolerances, and do not restrict our investment offerings to proprietary products or a limited menu of products. We do not require an account minimum size or investment amount to open or maintain an account with us under management. For more detailed information about our services, please refer to our Form ADV, Part 2A brochure, available upon request.

Item 3 – Fees, Costs, Conflicts, and Standard of Conduct *What fees will I pay?*

Clients participate in a wrap Advisory Fee of 1.50% (annualized) charged on the first of every month and is non-negotiable and deducted directly from client accounts. For example, the daily rate is as follows: $\text{Daily Rate} = 1.50\% - \text{Advisory-Fee} / 252 - \text{Trading-Days-per-Year} = 0.00595\%$; and if the account balance is \$10,000, the daily fee would be: $\text{Daily Fee} = 0.00595\% * \$10,000 = 0.595 \text{ USD}$; and since there are 21 trading days in the month, the total monthly fee would be: $\text{Monthly Fee} = 0.595 * 21 = 12.50 \text{ USD}$. Fees are calculated on a pro-rata basis if an account is opened or closed during a month.

In addition to the Advisory Fee's, you may incur **Custodian Fees**: Charged by the custodian of your assets (Charles Schwab, Goldman Sachs, or Interactive Brokers), **ETF and Mutual Fund Fees**: Embedded in the expense ratios of these funds, and **Margin Expenses**: Costs associated with borrowing funds to purchase securities. We do not charge direct broker-dealer fees, transaction costs, or accept compensation for the sale of underlier securities, and Clients are not charged fees in advance.

As your investment adviser, we are required to act in your best interest and not put our interests ahead of yours. However, the way we make money can create a conflict with your interests, and it is important for you to understand how they could influence that advice we provide. We are compensated upon the amount of client assets we manage, given the time and complexity required to meet client needs. We do not participate in principal trading, issue proprietary products, receive third-party payments, or engage in revenue sharing.

Item 4 – Disciplinary History *Do your financial professionals have legal/disciplinary history?*

Yes. AIML Trading Partners LLC and some of our financial professionals have disciplinary history. Ryan Gregory Caldwell has a disclosure on Form U5 from 2023 related to employment separation after allegations involving unapproved third-party communication and unsecured emails. Visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

Item 5 – Additional Information

For more information about our services or to request a copy of this summary, please contact us at (631) 944-5699 or via email at Ryan_Caldwell@AIMLTP.com.