

## Introduction

Nest EGG ETFs, LLC dba NESTYIELD ETFs, is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investments advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS), which also provides educational materials about investment advisers, broker-dealers, and investing.

## What investment services and advice can you provide me?

We offer discretionary asset management services to retail investors. We provide customized portfolio management in accordance with the stated guidelines and objectives of the separately managed account.

We also serve as sub-advisor to 1940 Investment Company Act products which are part of a series of the Tidal ETF Trust.

You can select in our agreement whether we are allowed to buy and sell investments in your account without asking you in advance (“discretion”) or only after receiving your permission (“non-discretion”). If you select non-discretion, you make the ultimate decision regarding the purchase or sale of investments. Any limitations will be described in the signed advisory agreement. We will have discretion or non-discretion until the advisory agreement is terminated by you or our firm.

Our portfolios, accounts, and their holdings are continuously monitored for compliance with the stated guidelines, and we generally review deviations on an exception basis. We do not restrict our advice to limited types of products or investments. We do not require a minimum dollar amount to open and maintain an advisory account.

*Additional information about our advisory services is in Items 4 & 7 of our Firm Brochure which is available online at <https://adviserinfo.sec.gov/firm/summary/332971>.*

### Questions to Ask Us:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do those qualifications mean?

## What fees will I pay?

The maximum annual fee for our separate account strategies is 2.00% of assets under management billed monthly in arrears based on the beginning quarter of Net Asset Value. Fees are subject to negotiation and may vary to reflect circumstances that apply to a specific client account. These fees are billed quarterly in advance based upon the market value of the client's account at the end of the previous quarter. Fees will be debited from the account in accordance with the client's written authorization.

The more assets you have with us, the more you will pay in fees, so we have an incentive to encourage you to increase the assets in your account. Our fees vary and are negotiable. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

You may also pay charges imposed by the custodian holding your accounts for certain investments and maintaining your account. Some investments, such as mutual funds, index funds, exchange traded funds, and variable annuities, charge additional fees that will reduce the value of your investments over time. In addition, you may have to pay fees such as “surrender charges” to sell certain illiquid securities.

In certain cases, we select third party money managers, sub-advisers, and/or separate account managers to assist us with managing your account. If selected, they will charge you a fee, which will be described to you in their Form ADV and/or agreement.

Additional information about our fees is in Item 5 of our Firm Brochure which is available online at <https://adviserinfo.sec.gov/firm/summary/332971>.

**Questions to Ask Us:**

- Help me understand how these fees and costs may affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs and how much will be invested for me?

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

Our firm's financial professionals are registered representatives of Centaurus Financial Inc. ("Centaurus"), an unaffiliated broker-dealer. Your financial professional may offer you brokerage services through Centaurus or advisory services through our firm. Brokerage and advisory services are different, and the fees our firm and Centaurus charge for those services are different. Registered representatives charge a transaction-based commission each time they buy or sell a security in a brokerage account. As a result, they have an incentive to trade as much as possible in order to increase their compensation. You can learn more about Centaurus's brokerage services and fees at [https://files.brokercheck.finra.org/crs\\_30833.pdf](https://files.brokercheck.finra.org/crs_30833.pdf).

Additional information about our conflicts of interest is in Item 10 of our Firm Brochure which is available online at <https://adviserinfo.sec.gov/firm/summary/332971>.

**Questions to Ask Us:**

- How might your conflicts of interest affect me, and how will you address them?

**How do your financial professionals make money?**

Our financial professionals are compensated based on the revenue our firm earns from their advisory services or recommendations, the amount of client assets they service, and the time and complexity required to meet a client's needs. In addition, they are compensated based on the type of product sold and/or product sales commissions.

**Do you or your financial professionals have legal or disciplinary history?**

Yes, our financial professionals have legal and disciplinary history. Visit [Investor.gov/CRS](https://Investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

**Questions to Ask Us:**

- As a financial professional, do you have any disciplinary history? For what type of conduct?

**Additional Information**

You can find additional information about our firm's investment advisory services on the SEC's website at [www.adviserinfo.sec.gov](https://www.adviserinfo.sec.gov) by searching CRD #332971. You may also contact our firm at 562-862-9673 to request a copy of this relationship summary and other up-to-date information.

**Questions to Ask Us:**

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?