
INTRODUCTION

The Quick Group LLC (“The Quick Group”) is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

Asset management services will be provided on a discretionary basis. When The Quick Group is engaged to provide asset management services on a discretionary basis, we will monitor client accounts to ensure that they are meeting asset allocation requirements. As a client, if any changes are needed to your investments, we will make those changes. These changes may involve selling a security or group of investments and buying others or keeping the proceeds in cash. You may at any time place restrictions on the types of investments we may use on your behalf, or on the allocations to each security type. As a client, you will receive written or electronic confirmations from your account custodian after any changes are made to your account. You will also receive statements, at least quarterly, from your account custodian.

In limited circumstances, and as a courtesy to some clients, The Quick Group may provide asset management services on a non-discretionary basis. When a client engages us to provide investment management services on a non-discretionary basis, we monitor the accounts in the same way as for discretionary services. The difference is that changes to your account will not be made until we have confirmed with you (either verbally or in writing) that our proposed change is acceptable to you.

For more detailed information, please refer to our Disclosure Brochure, the ADV Part 2A, under Item 4 Advisory Business by [CLICKING HERE](#).

➤ **Questions to Ask Your Professional:**

“Given my financial situation, should I choose an investment advisory service? Why or why not?”

“How will you choose investments to recommend to me?”

“What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?”

WHAT FEES WILL I PAY?

Generally, fees vary from 0% to 2.00% per annum of the market value of a client’s assets managed by The Quick Group, which includes assets held with any custodian or held separately without the services of a custodian such as would be the case with certain private investments. Accounts are grouped together in a household for the purpose of determining the annual fee to be charged in the sole discretion of The Quick Group. The fee range stated is a guide. Fees are negotiable, and may be higher or lower than this range, based on the nature of the account. Factors affecting fee percentages include the size of the account, complexity of asset structures, and other factors.

All clients, but especially those with smaller accounts, should be advised they may receive similar services from other professionals for higher or lower overall costs.

Investment advisory fees will be debited directly from each client’s account. The advisory fee is paid quarterly, in advance, and the value used for the fee calculation is the net value as of the last market day of the previous quarter, including any cash in the client’s account. For example, if your annual fee is 1.00%, each quarter we will multiply the value of your account by 1.00%, then divide by the number of days in that calendar year and multiply that number by days in the quarter to calculate our fee. Once the calculation is made, The Quick Group will instruct your account custodian to deduct the fee from your account and remit it to The Quick Group.

There are a number of other fees that can be associated with holding and investing in securities. Expenses of a mutual fund or ETF will not be included in management fees, as they are deducted from the value of the shares by the manager. Fees charged by independent third party managers are also separate and additional to any fees paid

to The Quick Group, and such managers will be authorized to separately debit fees from client accounts. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more specific information regarding our fees, please refer our Disclosure Brochure, the ADV Part 2A under Item 5 Fees and Compensation by [CLICKING HERE](#).

➤ **Questions to Ask Your Professional:**

“Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

L. Christopher Quick is a registered representative of Finalis Securities LLC. In this capacity, Mr. Quick matches investors with private placements, and receives a commission based on the amount of the investment from the manager of that investment (through the broker-dealer). While this presents a conflict of interest in terms of Mr. Quick’s time spent on this activity versus TQG, it does not present a conflict of interest in terms of investment recommendations because Mr. Quick does not recommend that TQG clients be placed in the private placements that are compensating him. This is not due to the value or lack thereof in those private placements or managers, but rather due to those private placement seeking institutional investors, which are not TQG’s client base.

For more specific information regarding our fees, please refer our Disclosure Brochure, the ADV Part 2A under Item 5 Fees and Compensation, as well as Item 10.C of the ADV Part 2A for more information regarding related persons by [CLICKING HERE](#).

➤ **Questions to Ask Your Professional:**

“How might your conflicts of interest affect me, and how will you address them?”

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Financial professionals of The Quick Group are paid a portion of the asset management fees collected from clients. Financial professionals are not rewarded sales bonuses. Please reference the above fee description section for conflicts of interest.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

Yes. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS).

➤ **Questions to Ask Your Professional:**

“As a financial professional, do you have any disciplinary history? For what type of conduct?”

ADDITIONAL INFORMATION

Additional information about our investment advisory services as well as a copy of our relationship summary can be obtained by contacting The Quick Group at 646-453-6662 or [CLICKING HERE](#).

➤ **Questions to Ask Your Professional:**

“Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?”

“Who can I talk to if I have concerns about how this person is treating me?”