

# Treeview Capital

## Client Relationship Summary – February 2025

Treeview Capital Management LLC (“Treeview Capital”) is an investment adviser registered with the U.S. Securities and Exchange Commission. Investment advisory and brokerage services and fees differ; therefore, it is important for you to understand the differences.

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about investment advisers, broker-dealers, and investing.

### *What investment services and advice can you provide me?*

We offer investment advisory services to retail investors over the internet. Our services include investment recommendations and access to various investment funds not generally available to retail investors. However, these funds are available through an investment advisor. We monitor clients’ portfolio holdings and the overall asset allocation strategy on an annual basis, or when market conditions or other triggers would warrant a review. Triggers include changes in a client’s circumstances or significant changes in the securities markets or economic environment.

We offer our investment advisory services on a non-discretionary basis. We provide investment recommendations but do not place trades for your account. As a client, you make the ultimate decision regarding the purchase or sale of investments and will be responsible for implementing trades. We will provide you with simple instructions on how to place these trades.

**For additional information, please see our Form ADV Part 2A (with special emphasis on Items 4, 7, and 16).**

#### ***Conversation Starters – Ask Your Financial Professional:***

Given my financial situation, should I choose investment advisory services? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

### *What fees will I pay?*

**Principal Fees:** We are compensated for providing investment advisory services by charging an ongoing asset-based fee of up to 0.5% per annum. Fees are billed monthly in arrears, based on the market value of your account on the last business day of the month and are negotiable. We only charge an advisory fee for those securities that require an investment advisor to purchase. With the asset-based fee, the more assets there are in your advisory account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the advisor-required assets in your account.

**Other Fees and Costs:** Treeview Capital’s fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses, which may be incurred by you. You may incur certain charges imposed by custodians, brokers, custodial fees, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual funds, exchange traded funds, and limited partnerships also charge internal management and other fees, which are disclosed in the fund managers’ and limited partnerships’ prospectuses, offering memoranda, or other documents. All fees paid to us for investment advisory services are separate and distinct from the fees and expenses charged by such partnerships and funds to their investors and shareholders.

**Additional Information:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. **More detailed information about our fees and costs is included in our Form ADV Part 2A (Item 5).**

#### ***Conversation Starter – Ask Your Financial Professional:***

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

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## ***What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?***

***When we act as your investment adviser***, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

As a retail investor, you have many options available for investment funds. We will recommend investment funds that a retail investor does not generally have access to because they have lower minimums, lower fees, or their investment class is unique. An investment fund manager may compensate Treeview Capital while you are an investor, but this will be disclosed.

### ***Conversation Starter – Ask Your Financial Professional:***

How might your conflicts of interest affect me, and how will you address them?

## ***How do your financial professionals make money?***

Our investment advisory services are entirely managed by software. This means we don't employ financial professionals or advisors to give investment advice.

## ***Do you or your financial professionals have legal or disciplinary history?***

No. Please visit [Investor.gov/CRS](https://Investor.gov/CRS) for a free and simple search tool to research our firm and financial professionals.

### ***Conversation Starter – Ask Your Financial Professional:***

As a financial professional, do you have any disciplinary history? For what type of conduct?

**We encourage you to seek out additional information about our investment advisory services in our Form ADV Brochure on [Investor.gov](https://Investor.gov) or [adviserinfo.sec.gov](https://adviserinfo.sec.gov) (CRD# 334591).** Alternatively, you can call us at (425) 224-2552 to request up-to-date information and request a copy of this relationship summary.

### ***Conversation Starters – Ask Your Financial Professional:***

Who is my primary contact person?

Is he or she a representative of an investment adviser or a broker-dealer?

Who can I talk to if I have concerns about how this person is treating me?

**As we only provide automated investment advisory services, our answers to the Conversation Starters can be found at <https://Treeview.Capital/crs-answers>.**