

Quant Alpha LLC

Client Relationship Summary (Form CRS)

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Introduction

Quant Alpha LLC ("Quant Alpha," "we," "our," "us") is an investment adviser registered with the U. S. Securities and Exchange Commission. Investment advisory and brokerage services and fees differ; therefore, it is important for you to understand the differences. Free information to help you compare them is available at <https://www.investor.gov/CRS>, which also provides educational materials about investment advisers, broker-dealers, and investing.

Conversation Starters – Ask Your Financial Professional:

- “Given my financial situation, why should I choose an investment-advisory service and not a brokerage account?”

What investment services and advice can you provide me?

We offer digital investment advisory services to retail investors **exclusively through our interactive website**. We do not provide investment advice through newsletters, emails, phone calls, or in-person meetings. All advice is generated by our software-based model using personal information you supply through our online platform.

When you open an account, you complete a detailed digital questionnaire covering your financial goals, risk tolerance, investment experience, and time horizon. Based on this information, our algorithm assigns you to one of several predefined strategy profiles with customized exposure and risk settings. This assessment also determines your eligibility for leveraged strategies and sets any necessary risk constraints.

We provide discretionary portfolio management, which means we automatically execute trades in your account without asking permission for each transaction. This authority is granted in your advisory agreement and may be modified or revoked at any time.

Your portfolio is continuously monitored and updated as needed based on model signals and any changes in your profile. You can revise your preferences at any time, and we prompt you at least **quarterly** to review your information and investment restrictions. We also reach out **annually** to confirm whether your goals or financial situation have changed.

We allow you to impose reasonable investment restrictions (e.g., excluding specific securities or sectors). Our system validates and implements these restrictions automatically. If a restriction would materially interfere with portfolio integrity, we notify you and may require adjustments.

Each client account is held individually in your name at our qualified custodian (Interactive Brokers) and is never pooled or commingled with those of other clients. These practices ensure that our advice is tailored to your specific circumstances and compliant with applicable regulatory standards.

- **Account minimum requirements** — We require a minimum account size of **\$50** to open and maintain an advisory account.

For additional Information, and a description of the service offerings, please see **Items 4, 5, and 8** of our Form ADV Part 2A at <https://adviserinfo.sec.gov/firm/summary/336290>.

Conversation Starters – Ask Your Financial Professional:

- “How will you choose investments to recommend for my account?”
- “What is your relevant experience, including licenses and education? What do those qualifications mean?”

What fees will I pay?

You will pay an ongoing **asset-based fee** for our investment-advisory services, calculated as a percentage of the assets we manage on your behalf and deducted directly from your account each month. For certain qualified clients, we may also charge a **performance-based fee** tied to the investment profits earned in your account.

In addition to advisory fees, you will incur **third-party costs**—such as broker commissions and ETF operating expenses—that are not paid to us but do reduce your overall returns.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

More detailed information about our fees and costs are included in our Brochure (Items 5 and 6).

Conversation Starters – Ask Your Financial Professional:

- “Help me understand how fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

- **Asset-based fees.** Because our advisory fee is a percentage of the assets we manage for you, we earn more when your account balance is larger. This gives us an incentive to encourage you to add assets to the account we manage.
- **Performance-based fees.** For certain qualified clients, we charge a fee based on the investment gains in your account. This creates an incentive for us to take more risk with your investments in an effort to generate higher returns.
- **Personal trading.** Our firm and its personnel may invest in the same securities we buy or sell for your account. We therefore have an incentive to benefit from price movements in those securities.

For more detailed information about our conflicts of interest, please see Items 10 and 11 of our Form ADV Part 2A Brochure at <https://adviserinfo.sec.gov/firm/summary/336290>.

Conversation Starters – Ask Your Financial Professional:

- “How might your conflicts of interest affect me, and how will you address them?”

How do your financial professionals make money?

Our investment adviser representatives (IARs) are compensated through the receipt of a portion of the revenue we receive for the advisory services we provide. The portion paid to each IAR generally does not vary based on the type of investments that are recommended. This structure reduces incentives to recommend one investment over another. However, the more assets under management they bring in, the more they may earn, which creates a potential conflict of interest.

Do you or your financial professionals have legal or disciplinary history?

No. Neither our firm nor our financial professionals have any legal or disciplinary history.

You can visit <https://www.investor.gov/CRS> or <https://adviserinfo.sec.gov> (CRD 336290) to learn more about us and to use a free and simple search tool to research our firm and financial professionals.

Conversation Starter – Ask Your Financial Professional:

- “As a financial professional, do you have any disciplinary history? For what type of conduct?”

Where can I find additional information?

We encourage you to seek out additional information about our investment advisory services in our Brochure, available on Investor.gov or on the SEC’s website at adviserinfo.sec.gov CRD Number: 336290.

For the most current information or to request a copy of this summary, email support@quantalpha.net or visit our website. If you have concerns about how you are being treated, please contact our Chief Compliance Officer at the same email address.

Conversation Starter – Ask Your Financial Professional:

- “Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns?”