SYKON Asset Management LLC

Form CRS - Customer Relationship Summary

January 1, 2026

Introduction

SYKON Asset Management LLC ("SYKON" or "the Firm") has filed for registration with the Securities and Exchange Commission (SEC) as an investment adviser. This document gives you a summary of the types of services we provide and how you pay. Please ask us for additional information.

We provide advisory accounts and services rather than brokerage accounts and services. Brokerage and investment advisory services fees can differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Relationships and Services.

What Investment Services and Advice Can You Provide Me?

SYKON offers investment management services to one investment company ("the client") registered under the Investment Company Act of 1940.

If you retain our firm for investment management services, you will pay an ongoing asset-based fee at the end of each month for our services, based on the value of the cash and investments in the ETF (the "portfolio"). We will meet with you in person if possible, otherwise by phone and/or computer, to determine your investment objectives, risk tolerance, and other relevant information at the beginning of our relationship. We will use this information to develop a strategy that enables our firm to give you continuous and focused investment advice and/or to make investments on your behalf. Once we construct an investment portfolio for you, we will monitor and rebalance your portfolio's performance on an ongoing basis. As you participate in our discretionary investment management services, the authorization will allow us to manage your account regarding the purchase and/or sale of investments without your approval prior to each transaction until the termination of our agreement. You may limit our discretion by providing our firm with your restrictions and guidelines in writing.

The Firm offers investment management services with a large selection of investments to one investment management company.

The Firm does not offer a wrap fee program.

In general, the Firm does not impose a minimum annual fee or a minimum portfolio value to open and maintain an advisory account.

For additional information, please see SYKON's ADV at www.adviserinfo.sec.gov (Part 2A brochure, Items 4 and 7).

Conversation Starters.* *Ask your financial professional –

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

Fees, Costs, Conflicts, and Standard of Conduct.

What Fees Will I Pay?

For investment management services, you will be charged an ongoing management fee based on the assets under management in accordance with the fee schedule presented in your agreement. The client will pay the Firm via ACH on a monthly basis based on the aggregated monthly fee revenue, net of the operating expenses of the fund. Our current fee schedules are described in our Form ADV Part 2.

Investment management clients generally pay a management fee ranging up to 1%, depending on the size of your account.

Other fees and costs may include custodian fees and account maintenance fees. Some investments (such as mutual funds) impose additional fees that will reduce the value of your investment over time.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see SYKON's ADV at www.adviserinfo.sec.gov (Part 2A brochure, Item 5).

***Conversation Starters.* Ask your financial professional –**

- *Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money, and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

- SYKON is a related person of SYKON Capital LLC ("SYKON Capital") through common control. Management persons of SYKON Capital are also management persons of SYKON. These relationships and any compensation received by SYKON Capital or SYKON create a conflict of interest in the event that clients of SYKON Capital invest in the ETF offered by the investment company. To address any conflict of interest, SYKON has implemented a Code of Ethics and specific policies and procedures to ensure any transaction is in the client's best interest.
- The following policies are pursuant to Rule 17j-1 of the Investment Company Act and the Advisers Act of 1940. Rule 17j-1 addresses conflicts of interest that may occur when SYKON Asset Management Access Persons buy or sell securities for their own accounts (personal investment activities). Further, SYKON Asset Management adheres to Rule 17j-1 by:
    1. Adopting a Code of Ethics containing provisions to prevent fraudulent, deceptive, or manipulative acts.
    2. Requiring Access Persons to report their personal securities transactions to SYKON Asset Management.
    3. Conducting oversight of personal investment activities.
    4. Monitoring compliance with Rule 17j-1.
    5. Making information about SYKON Asset Management's policies concerning personal investment activities available to the public.

***Conversation Starters.* Ask your financial professional –**

- *How might your conflicts of interest affect me, and how will you address them?*

For additional information, please see SYKON Capital's ADV at www.adviserinfo.sec.gov (Part 2A brochure, Item 10).

How do your financial professionals make money?

Our financial professionals are paid a salary plus discretionary bonuses based on company, team, and individual performance, which includes metrics like client retention, referrals, and, because the firm's salaries are ultimately derived from the revenue the firm earns from the financial professional's advisory services or recommendations.

Disciplinary History

Do you or your financial professionals have a legal or disciplinary history?

YES. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

***Conversation Starters.* Ask your financial professional –**

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information

For additional information about our services, including up-to-date information about the firm and/or a copy of this disclosure, please call us at 971-371-3450. To report a problem to the SEC, visit Investor.gov or call the SEC's toll-free investor assistance line at (800) 732-0330. If you have a problem with your investments, investment account, or a financial professional, you may contact us in writing at 500 Mamaroneck Ave, Suite 435, Harrison, NY 10528.

***Conversation Starters.* Ask your financial professional –**

- *Who is my primary contact person? Is he or she a representative of an investment adviser or broker dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*