

Form CRS – Client Relationship Summary

CRD Number: 340137

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Introduction

Lemnis Capital is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer investment advisory services to retail investors including **portfolio management, financial planning, retirement plan advisory services, and subscription-based advisory and educational services.**

Portfolio Management: We provide discretionary and non-discretionary investment management services. When you grant us discretionary authority, we make investment decisions and execute trades without obtaining your prior approval for each transaction. When services are non-discretionary, we provide recommendations but you make all final investment decisions. We primarily invest in mutual funds, exchange-traded funds (ETFs), and other investment vehicles based on your financial objectives, risk tolerance, and time horizon. We monitor your portfolio on an ongoing basis.

Financial Planning: We provide financial planning services that may include retirement planning, cash flow analysis, education funding, investment coordination, and insurance review. These services are project-based and provided on a non-discretionary basis.

Retirement Plan Services: We provide consulting and advisory services to qualified retirement plans, including assistance with investment menus, investment policy statements, and participant education.

Subscription-Based Services: We offer subscription-based advisory and educational services for a fixed periodic fee, providing general financial guidance and retirement education on a non-discretionary basis.

Account Minimums and Requirements: We do not require a minimum account size to open or maintain an advisory account with our firm.

For more detailed information about our services, please see Items 4 and 7 of our Form ADV Part 2A brochure, available at [Investor.gov/CRS](https://www.investor.gov/CRS).

Conversation Starters:

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

What fees will I pay?

The principal fees and costs for our services are described below. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Portfolio Management Fees: We charge an ongoing fee based on a percentage of the assets we manage for you, up to a maximum of 2.00% annually. This fee is generally billed quarterly, either in advance or in arrears. Because our fee is asset-based, the more assets in your account, the more you will pay in fees, which creates an incentive for us to encourage you to increase the assets in your account.

Financial Planning Fees: We charge a fixed fee ranging from \$2,000 to \$5,000 for one-time financial planning engagements, or a monthly subscription fee ranging from \$15 to \$50 for ongoing financial planning services. Fees are based on the scope and complexity of services and are agreed upon in advance. Fees are typically billed at the inception of the engagement.

Retirement Plan Advisory Fees: We charge either a percentage of plan assets or a fixed fee for retirement plan services, as agreed with the plan sponsor.

Subscription-Based Service Fees: We charge a fixed monthly fee ranging from \$15 to \$50 per month for subscription-based services, billed in advance.

Other Costs: In addition to our advisory fees, you may pay other costs including custodian fees, brokerage commissions, mutual fund and ETF expenses (including management fees and 12b-1 fees), wire transfer fees, and other transaction-related charges.

Conversation Starters:

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

- **Broker-Dealer Affiliation:** We are not affiliated with a broker-dealer. However, some of our financial professionals are also registered representatives of a broker-dealer and may receive commissions for the sale of securities or other investment products in their separate capacity as registered representatives. This creates an incentive to recommend products that generate commissions.
- **Asset-Based Fees:** Because we charge fees based on assets under management, we have an incentive to encourage you to increase the assets in your advisory account.

For more detailed information about our conflicts of interest, please see Items 5, 10, 11, and 12 of our Form ADV Part 2A brochure.

Conversation Starters:

- *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

Our financial professionals are compensated through a salary and/or a portion of the advisory fees generated from the accounts they service. Some financial professionals may also receive commissions from the sale of securities or other investment products through our affiliated broker dealer. This compensation structure may create an incentive for our financial professionals to recommend products or services that result in higher compensation.

Our subscription-based membership services do not include discretionary investment management, trade execution, ongoing monitoring, or ERISA fiduciary services, and our financial professionals are compensated from advisory fees (including membership fees) and may earn additional compensation in separate brokerage or insurance capacities if you choose to engage them for those services.

Do you or your financial professionals have legal or disciplinary history?

Yes. One of our financial professionals has a legal disclosure. Visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

Conversation Starters:

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information

For additional information about our services, please visit [Investor.gov/CRS](https://www.investor.gov/CRS) or contact us at (972) 928-6196 or Ryan.Wood@lemniscap.com. To request a copy of this relationship summary or our Form ADV Part 2A brochure, please contact us at the phone number or email address above.

Conversation Starters:

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*