

Broadside Wealth Technologies Inc. (CRD# 342220)
Client Relationship Summary (Form CRS)

Broadside Wealth Technologies Inc. ("Broadside or the Firm") is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We provide investment advisory services to ultra-high-net-worth individuals, families, family offices, trusts, estates, and institutional clients through a membership-based model. For purposes of this client relationship summary, "members" are clients of Broadside receiving advisory services under a written agreement.

Our services include portfolio management, performance monitoring, cost and fee analysis, tax-aware investment strategies, coordination with tax professionals, and liquidity planning. Our approach focuses on improving cost efficiency, tax outcomes, and overall portfolio construction.

Monitoring: We monitor member portfolios on an ongoing basis through our technology platform. Formal reviews are conducted at least quarterly.

Investment Authority: We provide services on both a discretionary and non-discretionary basis. For certain strategies (such as portfolio rebalancing or tax-aware implementation), we may have discretionary authority. For other services, we provide recommendations, and you make the final decision. You may limit or revoke discretionary authority at any time.

Investment Offerings: We do not limit our advice to proprietary products. We primarily utilize index-based and other investment vehicles to achieve market exposure and may also work with third-party managers.

Account Minimums: We generally require a minimum net worth of \$50,000,000, although this may be waived at our discretion.

For more detailed information about our services, please see Items 4 and 7 of our Form ADV Part 2A brochure, available at www.adviserinfo.sec.gov.

Conversation Starters: "Given my financial situation, should I choose an investment advisory service? Why or why not?" "How will you choose investments to recommend to me?" "What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?"

What fees will I pay?

Our primary fee is an annual flat membership fee, generally \$250,000 per family, billed quarterly in advance and typically debited from your custodial account. Fees are based on the scope and complexity of services, not on assets under management. For institutional clients, fees are negotiated and typically range from \$250,000 to \$1,000,000 or more depending on scope of services. Certain additional services may be provided on a cost-plus basis, where we pass through the cost of the service and apply a margin (generally up to 15%), which is disclosed in advance.

You will also incur other fees and costs, such as: custodial fees, transaction costs, fund expenses, and fees charged by third-party managers. Because our membership fee is flat, we do not have an incentive to increase the assets in your account. However, our cost-plus services create a potential conflict in that additional services generate additional revenue for the Firm.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information, please see Item 5 of our Form ADV Part 2A brochure.

Conversation Starters: "Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?"

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about

these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- The Firm’s principals, employees, and their family members are also members of the Firm and have their accounts managed alongside yours, which could create conflicts relating to loyalty, governance, time allocation, or continuation of the advisory relationship. We address these conflicts through supervisory oversight, segregation of duties, governance procedures, and independent approval processes, as applicable.
- Custodians may provide us with institutional services, technology, and practice management support at no charge, creating an incentive to recommend those custodians.
- We may receive client referrals from other advisers, which could create an incentive to refer business back to referring parties.
- We may use artificial intelligence (“AI”) technology tools in connection with certain aspects of its advisory activities. These tools may be used to assist in the analysis of documents, data, and other information relevant to member accounts and financial situations. While AI-based tools may enhance the Firm’s efficiency and analytical capabilities, they are used to support—not replace—the Firm’s judgment and decision-making processes.

For more detailed information about our conflicts of interest, please see Items 10, 11, 12, and 14 of our Form ADV Part 2A brochure.

Conversation Starters: *“How might your conflicts of interest affect me, and how will you address them?”*

How do your financial professionals make money?

Our financial professionals may receive compensation from the firm through salary, owner distributions, or other compensation based on the firm’s revenue and profitability. This creates an incentive to increase firm revenue, including by retaining members, adding new members, or providing additional fee-based services.

Do you or your financial professionals have legal or disciplinary history?

No. Neither our firm nor our financial professionals have legal or disciplinary history to report. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

Conversation Starters: *“As a financial professional, do you have any disciplinary history? For what type of conduct?”*

Additional Information

We encourage you to seek out additional information. For more information about our services, please visit www.broadsidewealth.com or see our Form ADV Part 2A brochure at www.adviserinfo.sec.gov (CRD#342220). To request a copy of this relationship summary or our Form ADV brochure, or to report a complaint, please contact us at 628-218-5315 or regulatory.affairs@broadsidewealth.com.

Conversation Starters: *“Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?”*