Swiss Finance Private Wealth Management LLC

Client Relationship Summary (Form ADV Part 3)

July 2, 2026

Swiss Finance Private Wealth Management LLC is registered with the U.S. Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer investment advisory services to our clients, including portfolio management, financial planning, and, for eligible clients where suitable, recommendations to allocate assets to affiliated private investment funds. We offer portfolio management at two service levels. Under our foundational service, we monitor your account on an ongoing basis, review it formally at least annually, and provide reporting and oversight, but we do not direct trades in your account. If you elect our active management service, we also manage your account on a discretionary basis, meaning we decide which securities to buy and sell for your account; you may place reasonable written restrictions on that authority. We also manage an affiliated private fund, Swiss Finance Fund LLC (SFF), and may recommend an investment in SFF to eligible clients in our active management service, where suitable. We also offer non-discretionary management, in which you make the final decision on each transaction. Our advice is not limited to particular products; we typically advise on mutual funds, exchange-traded funds, individual stocks and bonds, and private funds. We do not impose a minimum account size, although a minimum annual fee applies as described below. For more detailed information, see Items 4, 7, 8, 13, and 16 of our Form ADV Part 2A, available at adviserinfo.sec.gov.

Conversation starters — ask us:

Given my financial situation, should I choose an investment advisory service? Why or why not?
How will you choose investments to recommend to me?
What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

Asset-based fees. Our portfolio management fee has up to two components. Every account pays a Program Fee of up to 0.60% per year of the assets we service, for the foundational services described above. If you elect our active management service, you also pay an Advisory Fee of up to an additional 1.75% per year, for a combined maximum of 2.35% per year; if you elect only the foundational service, you pay only the Program Fee. Fees are negotiable, are set out in your advisory agreement, and are payable quarterly in arrears; you may place different portions of your assets under different service levels, in which case each fee applies only to the assets under it. A minimum annual fee of $10,000 applies to your overall relationship with us; the minimum may be reduced or waived at our discretion, and because of it a smaller relationship may pay an effective rate higher than the stated maximums. With your written authorization, your qualified custodian deducts our fees directly from your account. Because our fees are based on the value of your account and increase when you elect additional services, we have an incentive to increase the assets in your account and to recommend services that increase our compensation.

Fixed fees. Standalone financial planning is charged as a fixed fee or annual retainer, quoted in advance and negotiated based on scope.

Affiliated fund (SFF). Investing in our affiliated fund SFF does not change what you pay us: SFF charges no fund-level fees, and your account fee applies whether or not part of your account is invested in SFF. You bear the fund's operating expenses indirectly.

Other costs. You will also pay costs charged by others, such as custodian and account-maintenance fees, brokerage commissions and transaction charges, and the internal fees and expenses of mutual funds and exchange-traded funds held in your account. If you invest in a private fund managed by our affiliates, you will bear that fund's own management fees, any performance-based fees or allocations, and operating expenses, which are paid to our affiliate, not to us; to avoid charging you twice on the same assets, we do not charge our advisory fee on assets invested in such a fund. Our own fund SFF is different: it charges no fund-level fees, and our account fee applies to assets in SFF, as described above.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more detail, see Item 5 of our Form ADV Part 2A.

> ***Conversation starters — ask us:***
> *Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. For example, because our revenue comes from asset-based fees, we have an incentive to increase the assets in your account, including by encouraging you to invest more. In addition, our affiliates manage private investment funds, and we may recommend that eligible clients allocate a portion of their assets to those funds; our affiliates earn compensation from those funds, which gives us an incentive to recommend those funds over unaffiliated alternatives. We address this by not charging our advisory fee on assets invested in a fund managed by our affiliates and by recommending such a fund only where we judge it is in your best interest. In addition, we manage our own fund SFF, which charges no fund-level fees, alongside client accounts and alongside the affiliate-managed funds; we address these conflicts by allocating investments among all accounts and funds on a fair and equitable basis. For more detail, see Items 10 and 11 of our Form ADV Part 2A.

> ***Conversation starters — ask us:***
> *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

Our financial professionals are compensated through a share of the advisory fees the Firm earns, based on the amount of client assets they service, and the Firm's principal owner receives the Firm's profits as its owner. Because compensation increases with the assets serviced, our professionals have an incentive to encourage you to increase the assets in your account.

Do you or your financial professionals have legal or disciplinary history?

No. Neither the Firm nor our financial professionals have any legal or disciplinary history to disclose. You can research us and our financial professionals at Investor.gov/CRS, a free and simple search tool.

> ***Conversation starters — ask us:***
> *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information

You can find additional information about our investment advisory services and request an up-to-date copy of this relationship summary by contacting us at (646) 791-6848 or compliance@swissfinancewealth.com. Additional information is also available at adviserinfo.sec.gov by searching for our firm name or CRD number.

> ***Conversation starters — ask us:***
> *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?*
> *Who can I talk to if I have concerns about how this person is treating me?*