

FORM CRS – CLIENT RELATIONSHIP SUMMARY

MERCOR INVESTMENT

Date: June 01, 2026

Item 1. Introduction

Mercor Investment is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2. Relationships and Services

What investment services and advice can you provide me?

We offer investment advisory services to retail investors. Our advisory services include Investment Strategy, Security Selection, Asset Allocation, and Risk Management. If you open an advisory account with our firm, we will tailor investment strategies based on your financial situation, stated goals, and objectives.

- **Monitoring:** Your portfolio management account will be monitored by us on an ongoing basis for proper asset allocation as part of our standard services. We will contact you at least annually to discuss your portfolio.
- **Investment Authority:** We manage accounts on a discretionary basis. You grant us full and exclusive authority to determine which securities are purchased and sold, as well as the amount, without asking you in advance. This discretionary authority remains until the advisory agreement is terminated.
- **Limited Investment Offerings:** We do not restrict our advice to proprietary products or limited types of investments.
- **Account Minimums:** Our firm currently imposes a minimum account opening requirement of \$5,000 for our Asset Allocation service.

For additional information, please see Items 4 and 7 of our Form ADV Part 2A Brochure available online at <https://adviserinfo.sec.gov/firm/summary/343279>

Conversation Starter(s) - Ask your financial professional:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Item 3. Fees, Costs, Conflicts, and Standard of Conduct

A. What fees will I pay?

You will be charged an ongoing performance-based fee calculated annually based on a mutually agreed percentage of the net profits generated by your account. We charge this fee only if account appreciation exceeds any unrecouped prior depreciation (High-Watermark).

- **Conflicts of Interest:** Performance fees may create an incentive for us to make investments that are riskier or more speculative. Additionally, the more assets you have in your advisory account, the more you will pay us in total fees; we therefore have an incentive to encourage you to increase the assets in your account.
- **Other Fees and Costs:** Our fees are automatically deducted from your account. You are also responsible for third-party fees, such as custodian fees, brokerage fees, transaction fees, and underlying product-level fees (e.g., mutual funds, ETFs, or variable annuities).

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see Item 5 of our Form ADV Part 2A Brochure available at <https://adviserinfo.sec.gov/firm/summary/343279>.

Conversation Starter(s) - Ask your financial professional:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

B. What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

- **Personal / Firm Trading:** Money related to our firm or proprietary accounts may be invested in the same securities purchased for you, which creates a potential conflict of interest.

For additional information, please see Items 5, 10, and 11 of our Form ADV Part 2A Brochure available at <https://adviserinfo.sec.gov/firm/summary/343279>.

Conversation Starter(s) - Ask your financial professional:

- How might your conflicts of interest affect me, and how will you address them?

C. How do your financial professionals make money?

Our financial professionals are compensated based on the revenue our firm earns from advisory services and recommendations, and on the amount of client assets they service.

Item 4. Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No.

Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

Conversation Starter(s) - Ask your financial professional:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5. Additional Information

For additional information about our investment advisory services or to request an up-to-date copy of this relationship summary, please visit the SEC's website at www.adviserinfo.sec.gov (CRD #343279), contact us by phone at +52 (222) 113 19 77, or email us at jmatienzo@mercorinvestment.com.

Conversation Starter(s) - Ask your financial professional:

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?