

Zoop Alpha LLC

DBA Zoop Alpha Advisors

FORM CRS CUSTOMER RELATIONSHIP SUMMARY | September 9 2026

Zoop Alpha LLC has applied for registration with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer discretionary portfolio management services to retail investors. We develop or select an investment strategy based on information you provide about your investment objectives, risk tolerance, time horizon, financial circumstances, and reasonable restrictions.

Monitoring: We monitor your account on an ongoing basis as part of our standard advisory service and formally review it at least quarterly. Additional reviews may occur after significant market events or material changes to your circumstances that you communicate to us.

Investment Authority: After you sign an advisory agreement granting us discretionary authority, we may select the securities and amounts to buy or sell without obtaining your approval before each trade. This authority continues until the agreement is terminated and is subject to reasonable written restrictions we agree to accept.

Investment Offerings: Our advice may include structured notes, equities, fixed-income securities, options, mutual funds, exchange-traded funds, and cash equivalents. We do not limit our advice to proprietary products, but some strategies may concentrate on structured notes or a limited group of investments.

Account Requirements: We do not generally require a minimum account size, although we may decline or terminate an account that we believe is too small to manage effectively.

For additional information about our services, see our Form ADV Part 2A, Items 4 and 7: adviserinfo.sec.gov/firm/brochure/343280

Conversation Starters

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

We charge an asset-based advisory fee of up to 2.00% annually. The fee is calculated and billed monthly in arrears based on the value of the assets we manage, as provided in your advisory agreement. Our fees may be negotiable. The more assets in your account, the more you will pay us; therefore, we have an incentive to encourage you to increase the assets in your account.

You may also pay custodian and account-maintenance fees, brokerage commissions and transaction charges, trade-away fees, mutual fund and exchange-traded fund expenses, and other product-level or embedded expenses, including costs associated with structured notes. These fees and costs are separate from our advisory fee.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information about our fees and costs, see our Form ADV Part 2A, Item 5: adviserinfo.sec.gov/firm/brochure/343280

Conversation Starters

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Asset-Based Fees: Because we charge an asset-based fee, we earn more when we manage more assets. This creates an incentive to encourage you to increase the assets in your account.

Insurance Compensation: Stephen Brent Leaming, our investment adviser representative, is separately affiliated with SBL Partners, LLC, an insurance agency that is not under common ownership or control with Zoop Alpha. In his separate insurance capacity, Mr. Leaming may recommend insurance products and receive commissions through SBL Partners. This creates an incentive to recommend insurance products that generate compensation. You are not required to purchase insurance through Mr. Leaming or SBL Partners.

Conversation Starters

- **How might your conflicts of interest affect me, and how will you address them?**

For additional information about our conflicts of interest, see our Form ADV Part 2A, Items 5 and 10:

adviserinfo.sec.gov/firm/brochure/343280

How do your financial professionals make money?

Our financial professional receives compensation from the Firm for the advisory services he provides. His compensation may increase as the advisory revenue attributable to his services increases, creating an incentive to attract and retain clients and assets. In a separate insurance capacity, he may also receive commissions through SBL Partners, LLC, creating an incentive to recommend insurance products that pay commissions.

Do you or your financial professionals have legal or disciplinary history?

Yes. One or more of our financial professionals has legal or disciplinary history disclosed on the individual's Form U4. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starters

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional Information

For additional information about our investment advisory services, review our Form ADV Part 2A at

adviserinfo.sec.gov/firm/brochure/343280

To request up-to-date information or a free copy of this relationship summary, call 941-232-1837 or visit www.zoopalphaadvisors.com.

Conversation Starters

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**