

IME Visva Investment Services Private Limited

Client Relationship Summary (Form ADV Part 3 / Form CRS) · Aug-2026 · CRD 343826

Item 1 - Introduction

IME Visva Investment Services Private Limited ("IME Visva," "we," "us") is registered with the U.S. Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2 - What investment services and advice can you provide me?

We offer investment advisory services to a broad spectrum of U.S. and non-U.S. clients including affluent, high-net-worth, and ultra-high-net-worth individuals, as well as corporate and other entities, with assets managed as separately managed accounts. We build globally diversified portfolios tailored to your objectives, risk tolerance, time horizon and circumstances, using mainly exchange-traded funds (including UCITS ETFs), mutual funds and similar pooled funds, and, where suitable for you, individual shares, U.S. Treasuries and bonds. Financial planning is included at no separate fee. We offer no wrap fee programs and give no tax or legal advice.

Two service models. Advisory, implementation and reporting — your assets are held in your own name at Interactive Brokers LLC, an independent qualified custodian; we advise you, report to you, and can place trades under a limited PoA. Advisory only — you keep your own custodian and place your own trades; we advise and monitor, and implementing is up to you.

Monitoring. As part of our standard services we monitor your portfolio on an ongoing basis and review it with you at least once a year — more often on request, or if your circumstances or market conditions change materially.

Investment authority. In the advisory, implementation and reporting model you choose one of two bases:

- **Discretionary** — you authorize us in writing to decide which investments to buy and sell, and to place those trades, within an agreed mandate without asking you first. This begins when you sign the advisory agreement and limited power of attorney and runs until you or we end it. It covers trading and deducting our fee only — we can never withdraw or transfer your money or securities. You may impose reasonable restrictions.
- **Non-discretionary** — you make the ultimate decision on every purchase or sale. We place an order only after you have reviewed and approved that specific recommendation.

In the advisory only model we hold no trading authority — you make all investment decisions and place all trades yourself.

Offerings. We recommend non-proprietary investments only, primarily ETFs, mutual funds and other pooled investment vehicles, many of which track indexes. We do not advise on Indian-listed securities or Indian-domiciled funds. In the “advisory, implementation and reporting” model we recommend one custodian and broker, Interactive Brokers.

Account minimum. Generally US\$50,000, which we may waive — for example for family members of existing clients, related accounts, or our own employees and their relatives — or increase for a complex mandate.

ASK YOUR FINANCIAL PROFESSIONAL

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

More detailed information about our services is in our Form ADV Part 2A brochure — at adviserinfo.sec.gov and imevisva.com.

Item 3A - What fees will I pay?

We charge an ongoing asset-based fee, a percentage of the assets we advise on, billed quarterly in arrears — never in advance. Your rate is agreed in writing before we start, guided by the size of your portfolio:

Portfolio Size	Fee Per Year (% of portfolio)
Up to \$1 million	1.20%
Over \$1 million to \$2.5 million	1.00%
Over \$2.5 million to \$5 million	0.90%
Over \$5 million to \$10 million	0.80%
Above \$10 million	0.70%

Your rate is fixed in your agreement and does not change automatically as your portfolio grows; a lower rate applies only if we agree to it in writing. Because rates are negotiated individually, clients receiving similar services may pay different fees. We charge no performance fees, and we accept no commissions, sales loads or trailing fees on anything we recommend for your account. In the “advisory, implementation and reporting” model, Interactive Brokers applies your rate to your account value each

day and deducts the fee at quarter-end from your account; in the advisory-only model we invoice you and the rate would be applied to your net liquidation value on a month-end or quarter-end basis as described in the advisory agreement.

Other costs you pay. Brokerage commissions and transaction charges; custody, account, wire and currency-conversion charges; the internal expenses of the funds you hold; and any applicable taxes or regulatory charges. None of these are paid to us.

The more assets there are in your advisory account, the more you will pay us in fees. We therefore have an incentive to encourage you to increase the assets in your account.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

ASK YOUR FINANCIAL PROFESSIONAL

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

More detailed information about our fees and costs is in our Form ADV Part 2A brochure — at adviserinfo.sec.gov.

Item 3B - What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- **Our affiliates and our people.** We are part of the IME group. Our parent, IME Capital Private Limited, is paid ongoing commission by AMCs for distributing Indian mutual funds; our affiliate IME PMS manages Indian portfolios and charges performance fees. Some of the people who advise you also work for other IME businesses and personally earn compensation from them. Because different IME businesses are compensated in different ways, they may have an incentive to favor a particular business, product, or arrangement over another. Neither we nor our affiliates pay or receive any fee for referring clients to one another, and our advisory fee is charged only on the assets we advise here — never on assets you hold in our affiliates.
- **Our custodian.** Interactive Brokers gives us operational software and technology at no or reduced cost because our clients hold their accounts there. This creates a conflict because it gives us an incentive to recommend Interactive Brokers based in part on those benefits, rather than solely on your interest in best execution.

ASK YOUR FINANCIAL PROFESSIONAL

- *How might your conflicts of interest affect me, and how will you address them?*

More detailed information about our conflicts of interest is in our Form ADV Part 2A brochure — at adviserinfo.sec.gov.

Item 3C - How do your financial professionals make money?

A salary, a share of the advisory revenue from the clients they serve, or both. They receive no commission or other payment from us or from any product provider for what they recommend for your account here. Some of them also work for our affiliates and personally earn from those businesses trail commission on Indian mutual funds distributed by IME Capital and a share of the management and performance fees earned by IME PMS. These compensation arrangements are separate from IME Visva's advisory fee, but may create incentives relating to affiliate businesses and services.

Item 4 - Do you or your financial professionals have legal or disciplinary history?

No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

ASK YOUR FINANCIAL PROFESSIONAL

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Item 5 - Additional Information

You can find additional information about our investment advisory services in our Form ADV Part 2A brochure at adviserinfo.sec.gov (search CRD 343826) and at imevisva.com. To request up-to-date information, or a free copy of this relationship summary, call us at +91 9036476667 or email compliance@imevisva.com.

ASK YOUR FINANCIAL PROFESSIONAL

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*