Fountain Private Wealth, LLC

Form CRS - Customer Relationship Summary

September 25, 2026

Introduction

Fountain Private Wealth, LLC ("Fountain" or "the Firm") is registered with the Securities and Exchange Commission (SEC) as an investment advisor. This document gives you a summary of the types of services we provide and how you pay. Please ask us for additional information.

We provide advisory accounts and services rather than brokerage accounts and services. Brokerage and investment advisory services fees can differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker dealers, investment advisor, and investing.

Relationships and Services.

What Investment Services and Advice Can You Provide Me?

Fountain will offer discretionary and non-discretionary investment advisory services to retail investors including investment management services, financial planning, and consulting services.

If you retain our firm for investment management services, you will pay an on-going monthly asset-based fee for our services, based on the value of the cash and investments (to include any margin balances) in your advisory account. We will meet with you in person if possible, otherwise by phone and/or computer to determine your investment objectives, risk tolerance, and other relevant information at the beginning of our advisory relationship. We will use this information to develop a strategy that enables our firm to give you continuous and focused investment advice and/or to make investments on your behalf. Once we construct an investment portfolio for you, we will monitor and rebalance your portfolio's performance on an ongoing basis. If you participate in our discretionary investment management services, the authorization will allow us to manage your account regarding the purchase and/or sale of investments without your approval prior to each transaction until the termination of our agreement. You may limit our discretion by providing us with your restrictions and guidelines in writing. If you enter into a non-discretionary arrangement with our firm, you are required to make the ultimate decision regarding the purchase and/or sale of investments and we must obtain your approval prior to executing any transactions on behalf of your account.

Fountain also offers financial planning services which typically involve providing a variety of advisory services to clients regarding the management of their financial resources based upon an analysis of their individual needs. If you retain our firm for financial planning services, we will meet with you to gather information about your financial circumstances and objectives and make investment allocation recommendations based on your investment profile. You will be responsible for implementing our investment advice.

We offer investment advisory services with a large selection of investments to individuals and other business entities.

In general, we do not require a minimum to open and maintain an advisory account. **For additional information, please see Fountain ADV at www.adviserinfo.sec.gov (Part 2A brochure, Items 4 and 7).**

***Conversation Starters.* Ask your financial professional –**

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

Fees, Costs, Conflicts, and Standard of Conduct.

What Fees Will I Pay?

For investment management services, you will be charged an ongoing management fee based on the assets under management in accordance with the fee schedule presented in your agreement. Fees are typically charged monthly in arrears based on the value of your account on the last day of the previous period. The asset-based fee reduces the value of your account and will be deducted from your account.
Our current fee schedules are described in our Form ADV Part 2. **For additional information, please see Fountain's ADV at www.adviserinfo.sec.gov (Part 2A brochure, Item 5).**

Investment management clients generally pay a tiered management fee ranging up to 1%, depending on the size of your account. At our discretion, we may combine the account values of family members living in the same household to determine the applicable advisory fee. Certain clients that choose to have their assets sub-advised through Altruist, will also pay an additional fee ranging from 0.12 to 0.22 basis points depending on the strategy. Please review your advisory agreement for specific details on fees. Combining account values will increase your total assets under management, which may result in your paying a reduced advisory fee percentage. Although the effective management fee rate will decrease the larger your account, the total management fees you will pay will likely increase as you increase the total amount of assets under our management, and therefore we generally have an incentive to encourage transferring or depositing additional assets into your account.

Other fees and costs may include custodian fees and account maintenance fees. Some investments (such as mutual funds) impose additional fees that will reduce the value of your investment over time.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.
For additional information, please see Fountain's ADV at www.adviserinfo.sec.gov (Part 2A brochure, Items 5).

***Conversation Starters.* Ask your financial professional –**

- *Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment advisor? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment advisor, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

For additional information, please see Fountain's ADV at www.adviserinfo.sec.gov (Part 2A brochure, Item 10).

How do your financial professionals make money?

Our financial professionals are paid a salary plus discretionary bonuses based on company, team, and individual performance, which includes metrics like client retention, referrals, and because the firm's salaries are ultimately derived, from revenue the firm earns from the financial professional's advisory services or recommendations.

Disciplinary History.

Do you or your financial professionals have a legal or disciplinary history?

No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

***Conversation Starters.* Ask your financial professional –**

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information.

For additional information about our services, including up-to-date information about the firm and/or a copy of this disclosure, please call us at 816-262-9960. To report a problem to the SEC, visit Investor.gov or call the SEC's toll-free investor assistance line at (800) 732-0330. If you have a problem with your investments, investment account or a financial professional, you may contact us in writing at 4901 W. 136th Street, Leawood Kansas 66224.

***Conversation Starters.* Ask your financial professional –**

- *Who is my primary contact person? Is he or she a representative of an investment advisor or broker dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*