MAVERIQ

Form CRS – Client Relationship Summary October 2026

Introduction

MAVERIQ Capital Group LLC, is an investment adviser registered with the Securities and Exchange Commission. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. There are free and simple tools available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers, and investing.

What investment services and advice can you provide me?

We are a registered investment adviser that offers investment advisory services to retail investors, including Asset Allocation and Comprehensive Portfolio Management. We also provide Financial Planning and Family Office Services. Our Financial Planning and Family Office Services are generally provided at no additional charge as part of your advisory engagement; however, in some cases, we charge a separate fee for certain LLC management services. If you open an advisory account with our firm, we'll meet with you to understand your current financial situation, objectives, and risk tolerance. Based on what we learn, we'll recommend a portfolio of investments that is monitored on an ongoing basis and, if necessary, rebalanced to meet your changing needs and goals. We review your accounts periodically and typically meet with you at least annually, and more often as you request or as market conditions or your circumstances change. For sub-advisory accounts, we select and monitor the third-party money manager.

You can select in our agreement whether we are allowed to buy and sell investments in your account without asking you in advance ("discretion") or only after receiving your permission ("non-discretion"). If you select non-discretion, you make the ultimate decision regarding the purchase or sale of investments. Any limitations will be described in the signed advisory agreement.

We do not generally limit the types of investments we may recommend as part of our advisory service. .Our firm does not impose requirements for opening and maintaining accounts or otherwise engaging us. Certain investment opportunities, including private investment vehicles, may have separate eligibility or minimum investment requirements.

Additional information about our advisory services is in Items 4 & 7 of our Firm Brochure, which is available online at https://adviserinfo.sec.gov/firm/summary/344599.

Questions to Ask Us:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

You will be charged an asset-based fee or a flat advisory fee. Our fees are listed in our client agreements. Asset-based fees are typically tiered and charged quarterly in arrears. Flat fees are billed quarterly in advance or arrears. We bill on accrued interest and dividends, and the value of securities held on margin. Our maximum annual advisory fee is 1.00%. The more assets you have in your advisory account, the more you will pay us. We therefore have an incentive to increase the assets in your advisory account in order to increase our fees. Our fees vary and are negotiable. The amount you pay will depend, for example, on the services you receive and the amount of assets in your account. Our firm's fees will typically be automatically deducted from your advisory account, which will reduce the value of your advisory account. In rare cases, we will agree to send clients invoices rather than automatically deduct our firm's fees from their advisory account.

We do not charge performance fees on our portfolio management services. However, as noted below, certain MAVERIQ-sponsored vehicles charge performance-based allocations (carry) as described in the governing documents for each vehicle .

In addition to our firm's advisory fees, clients are responsible for the fees and expenses associated with the investment of their assets, such as charges imposed by the custodian holding your accounts for certain transactions or investments and maintaining your account, including transaction fees, account fees, wire fees, and other charges. Some investments, including mutual funds, index funds, and exchange traded funds, as well as managers of separately managed accounts and private fund managers, charge additional fees that will reduce the value of your investments over time. Investments in MAVERIQ-sponsored investment vehicles are charged an investment advisory fee (for current clients) or management fee (for former clients and direct investors), plus a performance-based allocation of up to 20% of returns in excess of their capital contribution, as described in each vehicle's governing documents. You understand that paying both an advisory fee and carry will result in higher total fees if the investment is profitable than if only the advisory fee or management fee were charged. MAVERIQ's potential for earning carry creates an incentive to recommend the investment of client assets in MAVERIQ-sponsored vehicles over other types of investments.

For certain LLC management services, we charge a separate fixed annual fee which is in addition to our advisory fee and is set forth in a separate written agreement.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Additional information about our fees is in Item 5 of our Firm Brochure, which is available online at https://adviserinfo.sec.gov/firm/summary/344599.

Questions to Ask Us:

- Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

Proprietary Vehicles: We earn fees in addition to our advisory fees, including performance-based allocation (carry), when advisory clients invest in MAVERIQ-sponsored vehicles. This gives us an incentive to recommend these vehicles over other investments.

Client LLC Fees: Where we charge a separate fee for LLC management services, we have an incentive to recommend that you use these structures.

Client-to-Client Transactions: We may facilitate sales of Vehicle interests or other illiquid investments between clients or with third-party investors, and we or a Vehicle may hold a right of first refusal. Because we may have a relationship with both parties, we have a conflict regarding price and timing.

Custodian Benefits: We receive certain benefits from the custodians we recommend to hold your account assets, some of which help us to manage and administer client accounts, and others of which help us to manage our accounts. These products and services are a benefit for us because we do not have to pay for them, which gives us an incentive to recommend these custodians over other service providers that do not furnish similar benefits.

Additional information about our conflicts of interest is in Item 10 of our Firm Brochure, which is available online at https://adviserinfo.sec.gov/firm/summary/344599.

Questions to Ask Us:

- How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals are compensated based on the revenue our firm earns from their advisory services or recommendations, the amount of client assets they service, and the time and complexity required to meet a client's needs. Therefore, our financial professionals have an incentive to increase assets managed by the firm and/or recommend services that generate additional revenue.

Do you or your financial professionals have legal or disciplinary history?

No, our firm and financial professionals do not have any legal and disciplinary history to disclose. Visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Questions to Ask Us:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

You can find additional information about our firm's investment advisory services on the SEC's website at www.adviserinfo.sec.gov by searching CRD #344599. You may also contact our firm at (415) 965-7437 to request a copy of this relationship summary and other up-to-date information.

Questions to Ask Us:

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?