FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: MAN SOLUTIONS LIMITED	CRD Number: 108899
Annual Amendment - All Sections	Rev. 10/2021
3/28/2024 3:59:57 PM	

WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

А.	Your full legal name (if you are a sole proprietor, your last, first, and middle names)
	MAN SOLUTIONS LIMITED

B. (1) Name under which you primarily conduct your advisory business, if different from Item 1.A.
 MAN SOLUTIONS LIMITED

List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box \Box

If you check this box, complete a Schedule R for each relying adviser.

C. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of

 \square your legal name or \square your primary business name:

D. (1) If you are registered with the SEC as an investment adviser, your SEC file number: 801-57108
(2) If you report to the SEC as an *exempt reporting adviser*, your SEC file number:
(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:

No Information Filed

E. (1) If you have a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system, your CRD number: 108899

If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates.

(2) If you have additional CRD Numbers, your additional CRD numbers:

No Information Filed

F. Principal Office and Place of Business

(1)	Address (do not use a P.O. Box):
	Number and Street 1:	
	RIVERBANK HOUSE	
	City:	State:
	LONDON	

Number and Street 2: 2 SWAN LANE Country: United Kingdom

ZIP+4/Postal Code: EC4R 3AD

If this address is a private residence, check this box: \square

List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.

(2) Days of week that you normally conduct business at your *principal office and place of business:* • Monday - Friday • Other:

Normal business hours at this location: 9:00 AM - 5:00 PM GMT/BST

- (3) Telephone number at this location:+44 20 7144 1000
- (4) Facsimile number at this location, if any:

(5) What is the total number of offices, other than your *principal office and place of business*, at which you conduct investment advisory business as of the end of your most recently completed fiscal year?

0

C	Mailing address if different	form your principal office and place of	f husingga addraga			
G.	-	from your principal office and place of				
	Number and Street 1: City:	State:	Number and Street 2: Country:	ZIP+4/Postal Code:		
	City.	State.	Country.			
	If this address is a private	residence, check this box: \square				
H.	If you are a sole proprietor	, state your full residence address, if	different from your principal	office and place of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
				`	Yes	No
I.	Do you have one or more w LinkedIn)?	vebsites or accounts on publicly avail	able social media platforms	(including, but not limited to, Twitter, Facebook and	0	0
	If a website address serves addresses for all of the other available social media platfor	as a portal through which to access ot r information. You may need to list mo	her information you have pub ore than one portal address. D ent. Do not provide the individ	ly available social media platforms on Section 1.1. of Sch olished on the web, you may list the portal without listing to not provide the addresses of websites or accounts on p dual electronic mail (e-mail) addresses of employees or th	g publi	
J.	Chief Compliance Officer					
J.		ontact information of your Chief Com	pliance Officer. If you are ar	n exempt reporting adviser, you must provide the conta	ct	
		Compliance Officer, if you have one. If				
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if any:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ad	dress, if Chief Compliance Officer has	one:			
		pany Act of 1940 that you advise for nber (if any):		rou, a <i>related person</i> or an investment company registent officer services to you, provide the <i>person's</i> name and		
K.		act Person: If a person other than th may provide that information here.	e Chief Compliance Officer is	s authorized to receive information and respond to qu	iestic	ons
	Name:	hay provide that mornation here.	Titles:			
	Telephone number:		Facsimile number, if any:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ad	dress, if contact person has one:				
					Yes	No
L.	•	II of the books and records you are r ir principal office and place of business	•	on 204 of the Advisers Act, or similar state law,	0	0
	If "yes," complete Section 1.	.L. of Schedule D.			Yes	No
M.	Are you registered with a f	oreign financial regulatory authority?			•	0
	5	egistered with a foreign financial regul ," complete Section 1.M. of Schedule D	5 5 5	ave an affiliate that is registered with a foreign financial		
					Yes	No
N.	Are you a public reporting of	company under Sections 12 or 15(d)	of the Securities Exchange A	act of 1934?	0	\odot
				· · · · · · · · · · · · · · · · · · ·	Yes	No
Ο.	If yes, what is the approxir	more in assets on the last day of your mate amount of your assets:	r most recent fiscal year?		0	o
	S \$1 billion to less than					
	\$10 billion to less than	ו \$50 billion				

For purposes of Item 1.0. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one: 549300EHCDV4MB4WN407

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name.

Name: EXTERNAL ALPHA

Jurisdictions

🗹 AL		₽ NE	₽ sc	
R AK	IN IN	NV NV	SD SD	
🗹 AZ	IA IA	IN NH	TN TN	
🗹 AR	₽ KS	LN 🗹	TX 🗹	
🗹 CA	KY KY	NM	I UT	
🗹 со		NY NY	I ∨T	
🗹 СТ	I ME	NC NC		
🗹 DE	MD	ND ND	I VA	
DC	I MA	🗹 ОН	₽ WA	
🗹 FL	Г MI	🗹 ок	R wv	
🗹 GA	I MN	☑ OR	R wi	
🗹 GU	₽ MS	PA	₽ WY	
🗹 ні	MO	PR	Cother:	
🗹 ID	MT	RI		

List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name.

Name: MAN GROUP

Jurisdictions

🗹 AL	IL IL	I NE	₽ sc	
🗹 AK	IN IN	NV NV	₽ SD	
🔽 AZ	IA IA	I NH	TN TN	
🗹 AR	₽ KS	LN 🗹	TX 🗹	
🗹 CA	I KY	NM	₽ UT	
🔽 со		NY NY	₽ VT	
🗹 СТ	ME ME	I NC		
🗹 DE	MD MD	I ND	VA VA	
DC	MA MA	І ОН	₩ WA	
🗹 FL	MI	Г ОК	R wv	
🗹 GA	MN MN	☑ OR	₩ WI	
🗹 GU	MS MS	☑ PA	₩Y	
🗹 ні	MO	PR	Contraction Other:	
🗹 ID	I MT	RI RI		

No Information Filed

SECTION	1.I.	Website	Addresses
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List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.1. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: HTTPS://WWW.MAN.COM

SECTION 1.L. Location of Books and Records

DIGITAL REALTY TRUST

Complete the following information for each location at which you keep your books and records, other than your *principal office and place of business*. You must complete a separate Schedule D, Section 1.L. for each location.

Name of entity where books and records are kept: RESTORE DOCUMENT MANAGEMENT					
Number and Street 1: 08 COLDHARBOUR LN		Number and Street 2: RAINHAM			
City: LONDON		Country: United Kingdom		ZIP+4/Postal Code: RM13 9YQ	
If this address is a private residence, check this box	🗖				
Telephone Number: +4401708527600	Facsimile number,	if any:			
This is (check one): O one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at this I CERTAIN BOOKS AND RECORDS REQUIRED BY SECTI		OVISERS ACT ARE MAIN	TAINED AT THI	S LOCATION FROM TIME TO TIME.	
Name of entity where books and records are kept: IRON MOUNTAIN (SCHWEIZ) AG					
Number and Street 1: RUDOLF-DIESEL-STRASSE 25		Number and Stree	t 2:		
City: WINTERTHUR	State:	Country: Switzerland		IP+4/Postal Code: H-8404	
WINTERTHUR		Switzenand	CI	H-8404	
If this address is a private residence, check this box	::				
Telephone Number: +41 800002424	Facsimile numb	per, if any:			
This is (check one): o one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at this I CERTAIN BOOKS AND RECORDS REQUIRED BY SECTI		OVISERS ACT ARE MAIN	TAINED AT THI	S LOCATION FROM TIME TO TIME.	
Name of entity where books and records are kept:					

Number and Street 1: UNIT 21, GOLDSWORTH PARK TRADING ESTATE			ber and Street 2: REL WAY	
City:	State:	Cour		ZIP+4/Postal Code:
WOKING	Clater		ed Kingdom	GU21 3BA
If this address is a private residence, check this bo	рх: 🗖			
Telephone Number: (0)8006122933	Facsimile	e number, if any:		
 This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. 				
o other.				
Briefly describe the books and records kept at this THIS IS A PRIMARY DATA CENTER HOSTING SERVER		FORAGE.		
Name of entity where books and records are kept: MAN SOLUTIONS LLC	:			
Number and Street 1: 1345 AVENUE OF THE AMERICAS		Number and Stre 21ST FLOOR	et 2:	
City:	State:	Country:		ZIP+4/Postal Code:
NEW YORK	New York	United States		10105
If this address is a private residence, check this bo	рх: Г			
Telephone Number: 212.649.6600	Facsimile number, if a	ny:		
This is (check one): one of your branch offices or affiliates.				
O a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this CERTAIN BOOKS AND RECORDS REQUIRED BY SECT		SERS ACT ARE MAIN	TAINED AT THIS	LOCATION FROM TIME TO TIME.
Name of entity where books and records are kept: THE BANK OF NEW YORK MELLON				
Number and Street 1: 400 BELLEVUE PARKWAY		Number and Stre	et 2:	
City:	State:	Country:		ZIP+4/Postal Code:
WILMINGTON	Delaware	United States		19809
If this address is a private residence, check this bo	ox:			
Telephone Number: 302-791-1247	Facsimile number, if a	ny:		
This is (check one): o one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
o other.				
Briefly describe the books and records kept at this CERTAIN BOOKS AND RECORDS REQUIRED BY SECT		SERS ACT ARE MAIN	TAINED AT THIS	LOCATION FROM TIME TO TIME.

Name of entity where books and records are kept:			
SMARSH			
Number and Street 1: 851 SW 6TH AVE		Number and Street 2: SUITE 800	
City: PORTLAND	State: Oregon	Country: United States	ZIP+4/Postal Code: 97204
If this address is a private residence, check this bo	x: 🗖		
Telephone Number: 503 946-5980	Facsimile number, i	f any:	
This is (check one): O one of your branch offices or affiliates.			
${igcarrow}$ a third-party unaffiliated recordkeeper.			
€ other.			
Briefly describe the books and records kept at this CERTAIN RECORDS OF ELECTRONIC COMMUNICATION) AT THIS LOCATION.	
Name of entity where books and records are kept: IRON MOUNTAIN (UK) LTD.			
Number and Street 1: UNIT 5, ZODIAC BUSINESS PARK		Number and Street 2: HIGH ROAD, COWLEY	
City: UXBRIDGE	State:	Country: United Kingdom	ZIP+4/Postal Code: UB8 2GU
If this address is a private residence, check this bo	x:		
Telephone Number: +4401895433533	Facsimile number,	if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this CERTAIN BOOKS AND RECORDS REQUIRED BY SECT		SERS ACT ARE MAINTAINED AT THI	S LOCATION FROM TIME TO TIME.
Name of entity where books and records are kept: MAN INVESTMENTS INC.			
Number and Street 1: 1345 AVENUE OF THE AMERICAS		Number and Street 2: 21ST FLOOR	
5	State: New York	Country: United States	ZIP+4/Postal Code: 10105
If this address is a private residence, check this bo	x:		
Telephone Number: 212 649 6800	Facsimile number, if a	any:	
This is (check one): one of your branch offices or affiliates.			

O a third-party unaffiliated recordkeeper.

O other.				
Briefly describe the books and records kep CERTAIN RECORDS RELATING TO SALES AN		TAINED AT THIS LOCATION.		
Name of entity where books and records a TIERPOINT	are kept:			
Number and Street 1: 34 SAINT MARTIN DRIVE #3		Number and Street	2:	
City: MARLBOROUGH	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 01752	
If this address is a private residence, chec	k this box:			
Telephone Number: (508) 281-7600	Facsimile number, i	f any:		
This is (check one): O one of your branch offices or affiliates.				
 a third-party unaffiliated recordkeeper. 				
O other.				
Briefly describe the books and records kep THIS IS A DISASTER RECOVERY SITE WITH				
Name of entity where books and records a IRON MOUNTAIN (UK) LTD.	are kept:			
Number and Street 1: UNIT 5, THE BRUNEL CENTRE		Number and Street 2: NEWTON ROAD		
City: CRAWLEY	State:	Country: United Kingdom	ZIP+4/Postal Code: RH10 9TU	
If this address is a private residence, chec	k this box: 🗖			
Telephone Number: +4401293842800	Facsimile numb	er, if any:		
This is (check one): one of your branch offices or affiliates.				
${old o}$ a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kep CERTAIN BOOKS AND RECORDS REQUIRED		ADVISERS ACT ARE MAINTAINED A	T THIS LOCATION FROM TIME TO TIME.	
Name of entity where books and records a THE BANK OF NEW YORK MELLON SA/NV, L				
Number and Street 1: VERTIGO BUILDING - POLARIS		Number and Street 2: 2-4 RUE EUGÈNE RUPPERT		
City: LUXEMBOURG	State:	Country: Luxembourg	ZIP+4/Postal Code: L-2453	
If this address is a private residence, chec	k this box:			
Telephone Number: +352 24 524 1	Facsimile nu	mber, if any:		

 one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. 				
o other.				
ound.				
Briefly describe the books and records kept at th MAN SOLUTIONS LIMITED SERVES AS AN INVEST THOSE VEHICLES MAY BE MAINTAINED AT THIS L	MENT ADVISER TO	CERTAIN POOLED INVESTMENT VI	EHICLES. RECORDS RELATED TO ONE OR MORE OF	
Name of entity where books and records are ke GRM DOCUMENT MANAGEMENT	pt:			
Number and Street 1: 25 COLONY ROAD		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
JERSEY CITY	New Jersey	United States	07305	
If this address is a private residence, check this	box:			
Telephone Number: 201-798-7100	Facsimile number	, if any:		
This is (check one): o one of your branch offices or affiliates.				
 a third-party unaffiliated recordkeeper. 				
o other.				
Briefly describe the books and records kept at th CERTAIN BOOKS AND RECORDS REQUIRED BY SE		ADVISERS ACT ARE MAINTAINED	AT THIS LOCATION FROM TIME TO TIME.	
Name of entity where books and records are ke BNY MELLON FUND SERVICES (IRELAND) LIMITED		IVITY COMPANY		
BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1:		Number and Street 2:		
BNY MELLON FUND SERVICES (IRELAND) LIMITED Number and Street 1: ONE DOCKLAND CENTRAL		Number and Street 2: GUILD STREET, IFSC	ZIP+4/Postal Code:	
BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1:	DESIGNATED ACT	Number and Street 2:	ZIP+4/Postal Code: 1	
BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1: ONE DOCKLAND CENTRAL City:	DESIGNATED ACT	Number and Street 2: GUILD STREET, IFSC Country:		
BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN	DESIGNATED ACTI State: box:	Number and Street 2: GUILD STREET, IFSC Country:		
BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN If this address is a private residence, check this Telephone Number: +35319008500 This is (check one):	DESIGNATED ACTI State: box:	Number and Street 2: GUILD STREET, IFSC Country: Ireland		
BNY MELLON FUND SERVICES (IRELAND) LIMITED Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN If this address is a private residence, check this Telephone Number: +35319008500 This is (check one): one of your branch offices or affiliates.	DESIGNATED ACTI State: box:	Number and Street 2: GUILD STREET, IFSC Country: Ireland		
BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN If this address is a private residence, check this Telephone Number: +35319008500 This is (check one):	DESIGNATED ACTI State: box:	Number and Street 2: GUILD STREET, IFSC Country: Ireland		
BNY MELLON FUND SERVICES (IRELAND) LIMITED Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN If this address is a private residence, check this Telephone Number: +35319008500 This is (check one): one of your branch offices or affiliates. o a third-party unaffiliated recordkeeper.	DESIGNATED ACTI State: box:	Number and Street 2: GUILD STREET, IFSC Country: Ireland		
BNY MELLON FUND SERVICES (IRELAND) LIMITED Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN If this address is a private residence, check this Telephone Number: +35319008500 This is (check one): one of your branch offices or affiliates. o a third-party unaffiliated recordkeeper.	DESIGNATED ACTI State: box: Facsimile i his location.	Number and Street 2: GUILD STREET, IFSC Country: Ireland	1	
 BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN If this address is a private residence, check this Telephone Number: +35319008500 This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at the second s	DESIGNATED ACTI State: box: Facsimile i his location. CTION 204 OF THE	Number and Street 2: GUILD STREET, IFSC Country: Ireland	1	
BNY MELLON FUND SERVICES (IRELAND) LIMITEE Number and Street 1: ONE DOCKLAND CENTRAL City: DUBLIN If this address is a private residence, check this Telephone Number: +35319008500 This is (check one): • one of your branch offices or affiliates. • a third-party unaffiliated recordkeeper. • other. Briefly describe the books and records kept at the CERTAIN BOOKS AND RECORDS REQUIRED BY SE	DESIGNATED ACTI State: box: Facsimile i his location. CTION 204 OF THE	Number and Street 2: GUILD STREET, IFSC Country: Ireland	1	

If this address is a private residence, check this box:

Telephone Number: +4408006122933 Facsimile number, if any:

This is (check one): o one of your branch offices or affiliates.

o a third-party unaffiliated recordkeeper.

👩 other.

Briefly describe the books and records kept at this location. THIS IS A DISASTER RECOVERY SITE WITH DUPLICATE SERVERS.

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

List the name and country, in English, of each *foreign financial regulatory authority* with which you are registered. You must complete a separate Schedule D Section 1.M. for each *foreign financial regulatory authority* with whom you are registered.

Name of Country/*Foreign Financial Regulatory Authority*: United Kingdom - Financial Conduct Authority

Other:

Item 2 SEC Registration/Reporting

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an *annual updating amendment* to your SEC registration. If you are filing an *umbrella registration*, the information in Item 2 should be provided for the *filing adviser* only.

- A. To register (or remain registered) with the SEC, you must check at least one of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an *annual updating amendment* to your SEC registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. You (the adviser):
 - (1) are a **large advisory firm** that either:
 - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
 - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
 - (2) are a **mid-sized advisory firm** that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
 - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
 - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- (4) have your principal office and place of business outside the United States;
- (5) are an investment adviser (or subadviser) to an investment company registered under the Investment Company Act of 1940;
- (6) are an investment adviser to a company which has elected to be a business development company pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;
- (7) are a pension consultant with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);
- (8) are a **related adviser** under rule 203A-2(b) that *controls*, is *controlled* by, or is under common *control* with, an investment adviser that is registered with the SEC, and your *principal office and place of business* is the same as the registered adviser;

If you check this box, complete Section 2.A. (8) of Schedule D.

(9) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, complete Section 2.A. (9) of Schedule D.

(10) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If you check this box, complete Section 2.A. (10) of Schedule D.

- (11) are an Internet adviser relying on rule 203A-2(e);
- (12) have received an SEC order exempting you from the prohibition against registration with the SEC;

If you check this box, complete Section 2.A. (12) of Schedule D.

(13) are **no longer eligible** to remain registered with the SEC.

State Securities Authority Notice Filings and State Reporting by Exempt Reporting Advisers

C. Under state laws, SEC-registered advisers may be required to provide to *state securities authorities* a copy of the Form ADV and any amendments they file with the SEC. These are called *notice filings*. In addition, *exempt reporting advisers* may be required to provide *state securities authorities* with a copy of reports and any amendments they file with the SEC. If this is an initial application or report, check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to direct your *notice filings* or reports to additional state(s), check the box(es) next to the state(s) that you would like to receive notice of this an amendment to your registration to stop your *notice filings* or reports from going to state(s) that currently receive them, uncheck the box(es) next to those state(s).

Jurisdictions

AL	🗖 IL	ne Ne	□ sc
П AK	🗖 IN	□ NV	🗖 SD
AZ		nH NH	🗖 TN
AR	Г кs	🗖 NJ	□тх
CA	🗖 кү	NM	🗖 UT
🗖 со	🗖 LA	□ NY	🗖 VT
🗖 ст	П ME	□ NC	
🗖 de	MD	ND	□ va
DC DC	П ма	🗖 он	🗖 wa
🗖 FL	🗖 мі	🗖 ок	□ wv
🗖 GA	□ MN	C OR	🗖 wi
🗖 GU	n MS	D PA	□ wy
🗖 ні	П мо	PR	
D ID	MT MT	RI RI	
FL GA GU HI	MI MN MS MO	 OR PA PR 	□ wv □ wi

If you are amending your registration to stop your notice filings or reports from going to a state that currently receives them and you do not want to pay that state's notice filing or report filing fee for the coming year, your amendment must be filed before the end of the year (December 31).

SECTION 2.A.(8) Related Adviser

If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser

SEC Number of Registered Investment Adviser

SECTION 2.A.(9) Investment Adviser Expecting to be Eligible for Commission Registration within 120 Days

If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:

- I am not registered or required to be registered with the SEC or a *state securities authority* and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.

SECTION 2.A.(10) Multi-State Adviser

If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations

about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
- I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.

SECTION 2.A.(12) SEC Exemptive Order

If you are relying upon an SEC order exempting you from the prohibition on registration, provide the following information:

Application Number:

803-

Date of order:

Item 3 Form of Organization

If you are filing an umbrella registration, the information in Item 3 should be provided for the filing adviser only.

A. How are you organized?

- O Corporation
- O Sole Proprietorship
- C Limited Liability Partnership (LLP)
- o Partnership
- Limited Liability Company (LLC)
- Limited Partnership (LP)
- Other (specify): LIMITED LIABILITY COMPANY(ENGLISH LAW)

If you are changing your response to this Item, see Part 1A Instruction 4.

- B. In what month does your fiscal year end each year?
 DECEMBER
- C. Under the laws of what state or country are you organized?

State Country

United Kingdom

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.

If you are changing your response to this Item, see Part 1A Instruction 4.

Item 4 Successions Yes No A. Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organization or state of incorporation)? If "yes", complete Item 4.B. and Section 4 of Schedule D.

B. Date of Succession: (MM/DD/YYYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

Employees

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

- A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.
 50
- B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?
 - (2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?

0

0

- (3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives*?
- (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
- (5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
- (6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?

In your response to Item 5.B.(6), do not count any of your employees **and count a firm only once – do not count each of the firm's** employees that solicit on your behalf.

Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?

1

- (2) Approximately what percentage of your *clients* are non-*United States persons*?
 93%
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 <i>Clients</i>	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)			\$
(b) High net worth individuals			\$
(c) Banking or thrift institutions			\$

(d) Investment companies			\$
(e) Business development companies			\$
(f) Pooled investment vehicles (other than investment companies and business development companies)	80		\$ 34,051,009,231
(g) Pension and profit sharing plans (but not the plan participants or government pension plans)		য	\$ 2,425,584,925
(h) Charitable organizations			\$
(i) State or municipal <i>government entities</i> (including government pension plans)			\$
(j) Other investment advisers			\$
(k) Insurance companies			\$
(I) Sovereign wealth funds and foreign official institutions			\$
(m) Corporations or other businesses not listed above			\$
(n) Other:			\$

Compensation Arrangements

E. You are compensated for your investment advisory services by (check all that apply):

- ☑ (1) A percentage of assets under your management
- (2) Hourly charges
- (3) Subscription fees (for a newsletter or periodical)
- (4) Fixed fees (other than subscription fees)
- (5) Commissions
- ☑ (6) Performance-based fees
- ☑ (7) Other (specify): INTER-COMPANY SERVICE FEES

Item 5 Information About Your Advisory	Business - Regulatory Assets Under Manage	ement							
Regulatory Assets Under Management									
			Yes No						
F. (1) Do you provide continuous and regular supervisory or management services to securities portfolios?									
(2) If yes, what is the amount of your regulatory assets under management and total number of accounts?									
	U.S. Dollar Amount	Total Number of Accounts							
Discretionary:	(a) \$ 36,476,594,156	(d) 81							
Non-Discretionary:	(b) \$ 0	(e) 0							
Total:	(c) \$ 36,476,594,156	(f) 81							

Part 1A Instruction 5.b. explains how to calculate your regulatory assets under management. You must follow these instructions carefully when completing this Item.

(3) What is the approximate amount of your total regulatory assets under management (reported in Item 5.F.(2)(c) above) attributable to *clients* who are non-*United States persons*?

\$ 33,466,159,359

Item 5 Information About Your Advisory Business - Advisory Activities

Advisory Activities

G. What type(s) of advisory services do you provide? Check all that apply.

- (1) Financial planning services
- (2) Portfolio management for individuals and/or small businesses
- Portfolio management for investment companies (as well as "business development companies" that have made an election pursuant to section 54 of the Investment Company Act of 1940)
- (4) Portfolio management for pooled investment vehicles (other than investment companies)
- Portfolio management for businesses (other than small businesses) or institutional *clients* (other than registered investment companies and other pooled investment vehicles)
- (6) Pension consulting services
- (7) Selection of other advisers (including *private fund* managers)
- (8) Publication of periodicals or newsletters
- (9) Security ratings or pricing services
- (10) Market timing services
- (11) Educational seminars/workshops
- (12) Other(specify):

Do not check Item 5.G. (3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G. (3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G. (3) of Schedule D.

H. If you provide financial planning services, to how many *clients* did you provide these services during your last fiscal year?

- O 0
- o 1 10
- 11 25 $^{\circ}$
- 26 50 \circ
- 51 100 \circ
- 101 250 \cap
- 251 500 \cap
- More than 500
 - If more than 500, how many? (round to the nearest 500)

In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

Yes No Ι. (1) Do you participate in a wrap fee program? O \odot (2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as: (a) sponsor to a wrap fee program (b) portfolio manager for a wrap fee program? (c) sponsor to and portfolio manager for the same wrap fee program? \$ If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b). If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Schedule D. If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee program, do not check Item 5.1.(1) or enter any amounts in response to Item 5.1.(2). Yes No J. (1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of 0 \odot investments? (2) Do you report *client* assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your \mathbf{O} \odot regulatory assets under management? Separately Managed Account Clients Κ. Yes No (1) Do you have regulatory assets under management attributable to *clients* other than those listed in Item 5.D.(3)(d)-(f) (separately \odot \circ managed account *clients*)? If yes, complete Section 5.K.(1) of Schedule D. (2) Do you engage in borrowing transactions on behalf of any of the separately managed account *clients* that you advise? \odot \circ If yes, complete Section 5.K.(2) of Schedule D. (3) Do you engage in derivative transactions on behalf of any of the separately managed account *clients* that you advise? \odot \circ If yes, complete Section 5.K.(2) of Schedule D. (4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold 0 - 🖸 ten percent or more of this remaining amount of regulatory assets under management? If yes, complete Section 5.K.(3) of Schedule D for each custodian. Marketing Activities L. Yes No (1) Do any of your *advertisements* include: (a) Performance results? \odot 0 (b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?

 \odot

 \circ

0

 \odot

(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?

(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	\odot	0
(e) Third-party ratings?	0	o
(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	o	0
(3) Do any of your advertisements include hypothetical performance?	$oldsymbol{\circ}$	0
(4) Do any of your advertisements include predecessor performance?	0	o

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

No Information Filed

SECTION 5.1.(2) Wrap Fee Programs

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Asset Type	Mid-year	End of year
(i) Exchange-Traded Equity Securities	%	%
(ii) Non Exchange-Traded Equity Securities	%	%
(iii) U.S. Government/Agency Bonds	%	%
(iv) U.S. State and Local Bonds	%	%
(v) Sovereign Bonds	%	%
(vi) Investment Grade Corporate Bonds	%	%
(vii) Non-Investment Grade Corporate Bonds	%	%
(viii) Derivatives	%	%
(ix) Securities Issued by Registered Investment Companies or Business Development Companies	%	%
 Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies) 	S %	%
(xi) Cash and Cash Equivalents	%	%
(xii) Other	%	%

Generally describe any assets included in "Other"

(i)	Exchange-Traded Equity Securities	0 %
(ii)	Non Exchange-Traded Equity Securities	0 %
(iii)	U.S. Government/Agency Bonds	0 %
(iv)	U.S. State and Local Bonds	0 %
(v)	Sovereign Bonds	0 %
(vi)	Investment Grade Corporate Bonds	0 %
(vii)	Non-Investment Grade Corporate Bonds	0 %
(viii)	Derivatives	0 %
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	0 %
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	0 %
(xi)	Cash and Cash Equivalents	100 %
(xii)	Other	0 %

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3) Derivative Exposures				
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative		(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3)	Derivative E	xposures		
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative		(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative

Less than 10%	\$ \$	%	%	%	%	%	%
10-149%	\$ \$	%	%	%	%	%	%
150% or more	\$ \$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

SE	SECTION 5.K.(3) Custodians for Separately Managed Accounts									
	No Information Filed									
Ite	em 6 C	Other Business Activities								
In	this I	tem, we request information about your firm's other business activities.								
Α.		are actively engaged in business as a (check all that apply):								
		(1) broker-dealer (registered or unregistered)								
		(2) registered representative of a broker-dealer								
		 (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission membrant 								
		(4) futures commission merchant(5) real estate broker, dealer, or agent								
		(6) insurance broker or agent								
		(7) bank (including a separately identifiable department or division of a bank)								
		(8) trust company								
		(9) registered municipal advisor								
		(10) registered security-based swap dealer								
		(11) major security-based swap participant								
		(12) accountant or accounting firm								
		(13) lawyer or law firm								
	V	(14) other financial product salesperson (specify): DISTRIBUTION SERVICES TO AFFILIATES IN UK								
	lf y	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.								
	-		Yes	No						
B.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0	\odot						
	(2)	If yes, is this other business your primary business?								
	(2)	n yes, is this other business your primary business:	0	0						
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name	ame.							
			Yes	No						
	(3)	Do you sell products or provide services other than investment advice to your advisory clients?	0	\odot						

If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.

No Information Filed

SECTION 6.B.(2) Description of Primary Business

Describe your primary business (not your investment advisory business):

If you engage in that business under a different name, provide that name:

SECTION 6.B.(3) Description of Other Products and Services

Describe other products or services you sell to your *client*. You may omit products and services that you listed in Section 6.B.(2) above.

If you engage in that business under a different name, provide that name:

Item 7 Financial Industry Affiliations

In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your *clients*.

A. This part of Item 7 requires you to provide information about you and your *related persons*, including foreign affiliates. Your *related persons* are all of your *advisory affiliates* and any *person* that is under common *control* with you.

You have a *related person* that is a (check all that apply):

- (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
- (2) other investment adviser (including financial planners)
- □ (3) registered municipal advisor
- (4) registered security-based swap dealer
- □ (5) major security-based swap participant
- (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- (7) futures commission merchant
- (8) banking or thrift institution
- (9) trust company
- (10) accountant or accounting firm
- (11) lawyer or law firm
- (12) insurance company or agency
- □ (13) pension consultant
- (14) real estate broker or dealer
- (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
- (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).

Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SECTION 7.A. Financial Industry Affiliations

Complete a separate Schedule D Section 7.A. for each related person listed in Item 7.A.

- 1. Legal Name of *Related Person*: MAN GLOBAL PRIVATE MARKETS (USA) INC.
- 2. Primary Business Name of Related Person:

	MAN GLOBAL PRIVATE MARKETS (USA) INC.				
	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 108173 or Other				
	Othe				
4.		ited Person's CRD Number (if any): 284066			
	(b)	CIK Number(s) (if any):			
		No Information Filed			
5.	(a) (b) (c) (d) (e) (f)	 Inter Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 			
	(i) (j) (k) (l) (m) (n)	 banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles			
6	Πο γ			No	
0.	D0 y		0	O	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0	
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		0 0	
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> a Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	SSE	ts:	
			es	No	
9.			0	\odot	
	(b)	If the answer is yes, under what exemption?			
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registere No Information Filed		•	
11.	Do y		0	\odot	
12.	Do y			o	
1.	-	al Name of <i>Related Person</i> : /ERMINE CAPITAL MANAGEMENT, LLC			
2.		hary Business Name of <i>Related Person</i> : /ERMINE CAPITAL MANAGEMENT			
3.		nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 70516			

or

Other

4. Related Person's				
	(a)	CRD Number (if any):		
	(b)	146110 CIK Number(s) (if any):		
	(0)	No Information Filed		
				I
_	Dala			
5.		ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
		 ✓ broker-dealer, multipla securities dealer, or government securities broker or dealer ✓ other investment adviser (including financial planners) 		
	• •	registered municipal advisor		
	. ,	registered security-based swap dealer		
	• •	major security-based swap participant		
	• •	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
	(h)	banking or thrift institution		
	(i)	T trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	••	insurance company or agency		
	(m)			
	• •	real estate broker or dealer		
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	.,	
6	Dov	iou control or are you controlled by the related percent		No
Ο.	D0 у	ou <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	0	\odot
7	Aro	you and the <i>related person</i> under common <i>control</i> ?	_	_
7.	Arey		\odot	0
8	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	~	~
0.			0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	0	0
		required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i>	asse	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: 🗖		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	\odot
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	_	-
10.		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registe	0 bor	⊙
	(0)	No Information Filed	cu.	
11.	Do y	ou and the related person share any supervised persons?	0	\odot
			~	~
12.	Do y	you and the <i>related person</i> share the same physical location?	0	\odot
1	Loga	Il Name of Related Person:		
1.	-	INVESTMENTS (HONG KONG) LIMITED		
2.	Prim	ary Business Name of <i>Related Person</i> :		
	MAN	INVESTMENTS (HONG KONG) LIMITED		
3.	Rela	<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	or Othe			
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(6)	CIK Number(c) (if any)		
	(b)	CIK Number(s) (if any): No Information Filed		
				- I

5.	Related Person is: (check all that apply)		
	 (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) 		
	 (b)		
	(d)		
	(e) major security-based swap participant		
	(f) 🗖 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) 🗖 futures commission merchant		
	(h) abanking or thrift institution		
	(i) Trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	 (I) □ insurance company or agency (m) □ pension consultant 		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗖 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	\circ	\odot
7.	Are you and the <i>related person</i> under common <i>control</i> ?	\odot	\circ
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	\odot
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	\circ	\circ
	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not		
	required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	 (c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: 	asse	ets:
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	\odot	\circ
	(b) If the answer is yes, under what exemption?		
	FOREIGN PRIVATE ADVISER EXEMPTION		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	\odot	0
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register	ed.	
	Name of Country/English Name of Foreign Financial Regulatory Authority Hong Kong - Securities and Futures Commission		
11.	Do you and the related person share any supervised persons?	0	\odot
10			
12.	Do you and the <i>related person</i> share the same physical location?	0	\odot
1.	Legal Name of Related Person:		
	GLG PARTNERS LP		
2.	Primary Business Name of Related Person:		
	GLG PARTNERS LP		
2	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
3.	801 - 78835		
	or		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	163016		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) hroker dealer, municipal securities dealer, or deveryment securities broker or dealer		

(a) 🗖 broker-dealer, municipal securities dealer, or government securities broker or dealer

	(c) (d)	 other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer 		
	(f) (g)	 major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 		
	• •	 banking or thrift institution trust company 		
		accountant or accounting firm		
	• •	I lawyer or law firm		
	(l) (m)	 insurance company or agency pension consultant 		
		real estate broker or dealer		
	• •	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?		۲
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(C)	If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clien</i> . Number and Street 1: Number and Street 2:	ts' ass	ets:
		City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	\odot
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	o	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regis	tered.	_
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11	Πο γ	United Kingdom - Financial Conduct Authority		
11.	Do y	United Kingdom - Financial Conduct Authority you and the <i>related person</i> share any <i>supervised persons</i> ?	o	0
			©	0 0
		you and the <i>related person</i> share any <i>supervised persons</i> ?	_	0 0
12.	Do y Lega	you and the <i>related person</i> share any <i>supervised persons</i> ?	_	0
12.	Do y Lega GLG Prim	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> :	_	0
12. 1. 2.	Do y Lega GLG Prim GLG <i>Rela</i> 801	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : b LLC hary Business Name of <i>Related Person</i> :	_	0
12. 1. 2.	Do y Lega GLG Prim GLG <i>Rela</i>	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : 5 LLC hary Business Name of <i>Related Person</i> : 5 LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571	_	0
12. 1. 3.	Do y Lega GLG Prim GLG Rela 801 or Othe	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : 5 LLC hary Business Name of <i>Related Person</i> : 5 LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571	_	0
12. 1. 3.	Do y Lega GLG Prim GLG Rela 801 or Othe Rela	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : b LLC hary Business Name of <i>Related Person</i> : b LLC <i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571 er <i>ted Person's</i> <i>CRD</i> Number (if any): 138802	_	0
12. 1. 3.	Do y Lega GLG Prim GLG Rela 801 or Othe Rela	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : 5 LLC hary Business Name of <i>Related Person</i> : 5 LLC <i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571 er <i>teted Person's</i> <i>CRD</i> Number (if any): 138802 CIK Number(s) (if any):	_	0
12. 1. 3.	Do y Lega GLG Prim GLG Rela 0r Othe Rela (a)	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : b LLC hary Business Name of <i>Related Person</i> : b LLC <i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571 er <i>ted Person's</i> <i>CRD</i> Number (if any): 138802	_	0
12. 1. 3. 4.	Do y Lega GLG Prim GLG 801 or Othe Rela (a) (b)	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : i LLC hary Business Name of <i>Related Person</i> : i LLC <i>ted Person's</i> SEC File Number (If any) (e.g., 801-, 8-, 866-, 802-) - 65571 er <i>ted Person's</i> <i>CRD</i> Number (If any): 138802 CIK Number(s) (If any): No Information Filed	_	0
12. 1. 3.	Do y Lega GLG Prim GLG 801 or Othe Rela (a) (b)	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : 5 LLC hary Business Name of <i>Related Person</i> : 5 LLC <i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571 er <i>teted Person's</i> <i>CRD</i> Number (if any): 138802 CIK Number(s) (if any):	_	0
12. 1. 3. 4.	Do y Lega GLG Prim GLG Rela 801 or Othe (a) (b) Rela	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : LLC any Business Name of <i>Related Person</i> : LLC any Business Name of <i>Related Person</i> : LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571 er ted Person's CRD Number (if any): 138802 CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) CIK Number(s) (if apply): No Information Filed ted Person is: (check all that apply) CIK Number(s) (including financial planners)	_	0
12. 1. 3. 4.	Do y Lega GLG Prim GLG Rela (a) (b) (c)	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? all Name of <i>Related Person</i> : LLC alted <i>Person's</i> SEC File Number (If any) (e.g., 801-, 8-, 866-, 802-) - 65571 er ted <i>Person's CRD</i> Number (If any): 138802 CKD Number (If any): 138802 CKD Number (If any): No Information Filed	_	0
12. 1. 3. 4.	Do y Lega GLG Prim GLG Rela (a) (b) Rela (a) (b)	you and the <i>related person</i> share any <i>supervised persons</i> ? you and the <i>related person</i> share the same physical location? al Name of <i>Related Person</i> : LLC any Business Name of <i>Related Person</i> : LLC any Business Name of <i>Related Person</i> : LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65571 er ted Person's CRD Number (if any): 138802 CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) CIK Number(s) (if apply): No Information Filed ted Person is: (check all that apply) CIK Number(s) (including financial planners)	_	0

	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	5			No
6.	Do yo	ou control or are you controlled by the related person?	0	\odot
-				
1.	Are y	ou and the <i>related person</i> under common <i>control</i> ?	\odot	0
0		Describe related person set as a qualified sustation for your alights in connection with advisory services you provide to alight?		-
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	\odot
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	\circ	0
		required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		
		f you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i>	255	oter
		Number and Street 1: Number and Street 2:	435	C13.
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: 🗖		
			Yes	No
9.	(a)	f the related person is an investment adviser, is it exempt from registration?	\circ	\odot
	(b)	If the answer is yes, under what exemption?		
10.	(a)	s the related person registered with a foreign financial regulatory authority?	0	\odot
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	red.	
		No Information Filed		
11.	Do yo	ou and the related person share any supervised persons?	\circ	\odot
12.	Do yo	ou and the <i>related person</i> share the same physical location?	\circ	\odot
1.	-	Name of <i>Related Person:</i> ASSET MANAGEMENT (IRELAND) LIMITED		
	IVIAIN 7	ASSET MANAGEMENT (TRELAND) ENVITED		
2.	Prima	ry Business Name of <i>Related Person</i> :		
2.		ASSET MANAGEMENT (IRELAND) LIMITED		
3.	Relate	ed Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Other			
4.		ed Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Relate	ed Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(-)	major security-based swap participant		
		commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
	(h) I	banking or thrift institution		
	(i)	trust company		
	(i) (i)	trust company accountant or accounting firm		
	(i) (j) (k)	trust company accountant or accounting firm lawyer or law firm		

(I) \square insurance company or agency

	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do ک	you control or are you controlled by the related person?	\circ	\odot
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\odot	\circ
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	0
		presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not	~	~
		required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the related person?		
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients	asse	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
	<i>.</i>		Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\odot	0
	(b)	If the answer is yes, under what exemption?		
		FOREIGN PRIVATE ADVISER EXEMPTION		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\odot	$^{\circ}$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registe	ed.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Ireland - Central Bank of Ireland		
11.	Doy	you and the <i>related person</i> share any <i>supervised persons</i> ?	0	\odot
12.	Doy	you and the <i>related person</i> share the same physical location?	0	\odot
	-		~	~
1.	Lega	al Name of <i>Related Person</i> :		
	MAN	ASSET MANAGEMENT (CAYMAN) LIMITED		
2.	Prim	nary Business Name of <i>Related Person</i> :		
	MAN	I ASSET MANAGEMENT (CAYMAN) LIMITED		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe	er		
	- <i>'</i>			
4.		ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	(0)	No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	Iawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		

			Yes	No
6.	Do y	ou <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	0	\odot
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	õ	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clien</i> Number and Street 1:Number and Street 2:City:State:Country:ZIP+4/Postal Code:	s'asse	ets:
		If this address is a private residence, check this box: 🗖		
	(-)		Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\odot	0
	(b)	If the answer is yes, under what exemption? FOREIGN PRIVATE ADVISER EXEMPTION		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\odot	\circ
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regist	ered.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Cayman Islands - Cayman Islands Monetary Authority		
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	\odot
1. 2.	MAN	I Name of <i>Related Person:</i> SOLUTIONS LLC ary Business Name of <i>Related Person:</i> SOLUTIONS LLC		
3.		<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 72402 er		
4.	(a)	ted Person's CRD Number (if any): 157592		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) 	ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution accountant or accounting firm accountant or accounting firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	$oldsymbol{\circ}$

7. Are you and the *related person* under common *control*?

• •

8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asse	ets:
		Number and Street 1: Number and Street 2: Citru: Country:		
		City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:Image: Country:Image: Country:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	\odot
	(b)	If the answer is yes, under what exemption?		
10.		Is the related person registered with a foreign financial regulatory authority?	0	Θ
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register No Information Filed	ed.	
11.	Do yo	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
	J		e	
12.	Do yo	ou and the <i>related person</i> share the same physical location?	0	\odot
			_	
1	Logal	Name of <i>Related Person</i> :		
	-	INVESTMENTS (USA) CORP.		
		ary Business Name of <i>Related Person</i> :		
	MAN	INVESTMENTS (USA) CORP.		
3.	Relat	<i>ed Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe	r		
4.	Relat	ed Person's		
1.		CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Relat	ed Person is: (check all that apply)		
	• •	broker-dealer, municipal securities dealer, or government securities broker or dealer		
		other investment adviser (including financial planners)		
	. ,	 registered municipal advisor registered security-based swap dealer 		
	• •	 major security-based swap participant 		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
		banking or thrift institution		
	~ /	 trust company accountant or accounting firm 		
	0,	I lawyer or law firm		
	• •	Insurance company or agency		
	(m)	pension consultant		
	. ,	real estate broker or dealer		
		 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
	(p)		Yes	No
6.	Do yo	ou control or are you controlled by the related person?	0	o
	-		~	~
7.	Are y	you and the related person under common control?	\odot	0
8.		Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0

(c) If you have answered "yes" to question 8.(a) above, provide the location of the *related person's* office responsible for *custody* of your *clients'* assets:

		Number and Street 1: Number and Street 2:		
		City:State:Country:ZIP+4/Postal	Code:	
		If this address is a private residence, check this box: \square	Yes N	10
9.	(a)) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0 0	
			0.0	
	()			
10.	(a)) Is the related person registered with a foreign financial regulatory authority?	0 @	•
	(b)		which the <i>related person</i> is registered.	
	_	No Information Filed		
11.	Do yo	o you and the <i>related person</i> share any <i>supervised persons</i> ?	0 0	0
12.	Do yo	o you and the <i>related person</i> share the same physical location?	0 0	
1.	-	egal Name of <i>Related Person</i> :		
	MAN	AN GLOBAL PRIVATE MARKETS (UK) LIMITED		
2.	Prima	imary Business Name of <i>Related Person</i> :		
		AN GLOBAL PRIVATE MARKETS (UK) LIMITED		
0	- <i></i>			
3.		<i>elated Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 01 - 110060		
	or			
	Othe	ther		
4	Relat	elated Person's		
		198515		
	(b)) CIK Number(s) (if any): No Information Filed		
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) 	 registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registrat futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 	ion) Yes N	ю
6.	Do yo	b you control or are you controlled by the related person?	0 0	•
7.	Are y	e you and the <i>related person</i> under common <i>control</i> ?	•	2
8.	(a)) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services y	ou provide to <i>clients</i> ?	•
) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>relate</i> required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>r</i>	d person and thus are not	C
			•	s:
		Number and Street 1: Number and Street 2:		
		City:State:Country:ZIP+4/PostalIf this address is a private residence, check this box:I	Code:	
			Yes N	lo
9.	(a)) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0 0	

(b) If the answer is yes, under what exemption?

10.	(a)	Is the related person registered with a foreign financial regulatory authority?	Θ	$^{\circ}$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	ed.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		_
		United Kingdom - Financial Conduct Authority		
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	Do y	you and the <i>related person</i> share the same physical location?	o	0
1.	-	Il Name of <i>Related Person:</i> INVESTMENTS AUSTRALIA LIMITED		
2.		ary Business Name of <i>Related Person</i> : INVESTMENTS AUSTRALIA LIMITED		
3.		<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 121284		
	or Othe	er		
4.	Rela	ted Person's		
		CRD Number (if any): 313907		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) 	ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant		
	• •	real estate broker or dealer		
	(o) (p)	 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
	(P)		res	No
6.	Do y	ou control or are you controlled by the related person?	0	\odot
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	~	~
0.		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		⊙ 0
	(c)	If you have answered "yes" to question 8. (a) above, provide the location of the related person's office responsible for custody of your clients' Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: Image: Country is a private residence, check this box: Image: Country is a private residence, check this box:	ass€ ∕es	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	°.	
		If the answer is yes, under what exemption?	~	-
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	o	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register Name of Country/English Name of Foreign Financial Regulatory Authority		

	Australia - Australian Securities and Investments Commission		
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	o
12.	Do you and the <i>related person</i> share the same physical location?	0	o
	Legal Name of <i>Related Person:</i> VCC ADVISORS, LLC Primary Business Name of <i>Related Person:</i> VCC ADVISORS, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 - 115053 or Other		
4.	Related Person's (a) CRD Number (if any): 301023		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) coher investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (i) pension consultant (n) ension consultant (n) ension consultant (n) gensor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
7.	Are you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: 	0 0	⊙ O ets:
	If this address is a private residence, check this box: $lacksquare$	Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	©
10.	 (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe No Information Filed 	O red.	•
11.	Do you and the related person share any supervised persons?	0	o
12.	Do you and the <i>related person</i> share the same physical location?	0	•

1.	0	I Name of <i>Related Person:</i> PARTNERS LLP		
2.		ary Business Name of <i>Related Person</i> : PARTNERS LLP		
3.		<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or	- 79565		
	Othe			
4.	Rela	ted Person's		
		CRD Number (if any):		
	(1-)			
	(b)	CIK Number(s) (if any): No Information Filed		
F	Dolo	ted Person is: (sheek all that apply)		
5.	(a)	ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	• •	registered municipal advisor		
	• •	 registered security-based swap dealer major security-based swap participant 		
	• •	 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	(0)	futures commission merchant		
	. ,	 banking or thrift institution trust company 		
	()	accountant or accounting firm		
	()	lawyer or law firm		
	(l) (m)	 insurance company or agency pension consultant 		
	(n)			
	(0)			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	N
6.	Do y	ou control or are you controlled by the related person?	0	
	·		~	Č
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	0	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i>	asse	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	\odot
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\odot	~
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	_	0
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	Do y	ou and the related person share the same physical location?	\odot	0
				~
1.	Lega	I Name of Related Person:		
	MAN	INVESTMENTS INC.		

2. Primary Business Name of *Related Person*:

	MAN INVESTMENTS INC.				
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 8 - 32575				
	or Other				
4.	Related Person's (a) CRD Number (if any): 15770				
	(b) CIK Number(s) (if any): No Information Filed				
	No momation med				
5.	Related Person is: (check all that apply) (a) ☑ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ☑ other investment adviser (including financial planners) (c) ☑ registered municipal advisor (d) ☑ registered security-based swap dealer (e) ☑ major security-based swap participant				
	 (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company 				
	 (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency 				
	 (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 				
		Yes	s No		
6.	Do you control or are you controlled by the related person?	0	\odot		
7.	Are you and the <i>related person</i> under common <i>control</i> ?	\odot	0		
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	\odot		
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0		
	 (c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i>" Number and Street 1: City: State: Country: ZIP+4/Postal Code: 	'ass	ets:		
	If this address is a private residence, check this box: \square				
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?		No O		
	(b) If the answer is yes, under what exemption?				
10.	 (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed 	O red.	۲		
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	o		
12.	Do you and the <i>related person</i> share the same physical location?	0	O		
1.	Legal Name of <i>Related Person:</i> MAN FUND MANAGEMENT UK LIMITED				
2.	Primary Business Name of <i>Related Person:</i> MAN FUND MANAGEMENT UK LIMITED				
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -				
	or Other				

4.	Rela (a)	ated Person's CRD Number (if any):		
	(b) CIK Number(s) (if any):			
		No Information Filed		
5.	Rela (a)	ated Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) (c)	 other investment adviser (including financial planners) registered municipal advisor 		
	(d) (e)	 registered security-based swap dealer major security-based swap participant 		
	(f) (g)	 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 		
	(h) (i)	 banking or thrift institution trust company 		
	(j)	 accountant or accounting firm lawyer or law firm 		
	(k) (l)	insurance company or agency		
	(m) (n)	 pension consultant real estate broker or dealer 		
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	/es	No
6.	Do	you control or are you controlled by the related person?		0
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o	0
8.				\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: Number and Street 2:	asse	ets:
		City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:		
	(-)			No
9.	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	o	0
		FOREIGN PRIVATE ADVISER EXEMPTION		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	\odot	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register Name of Country/English Name of Foreign Financial Regulatory Authority	ed.	
		United Kingdom - Financial Conduct Authority		
11	. Do	you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12	. Do	you and the <i>related person</i> share the same physical location?	o	0
1.	-	al Name of <i>Related Person:</i> MERIC INVESTORS LLC		
2.		nary Business Name of <i>Related Person:</i> MERIC INVESTORS LLC		
3.		<i>ated Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) I - 63276		
	or Oth	ier		
4.	Rela	ated Person's		
	(a)	CRD Number (if any): 131684		

	(b)	CIK Number(s) (if any): No Information Filed			
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) 	 other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency 			
			Yes	No	
6.	Do y	you control or are you controlled by the related person?	0	\odot	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o	0	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0	
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: If	'asse Yes		
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	\odot	
	(b)	If the answer is yes, under what exemption?			
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\odot	$^{\circ}$	
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. Name of Country/English Name of Foreign Financial Regulatory Authority				
		Australia - Australian Securities and Investments Commission			
11.	Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	0	\odot	
12.	Do y	you and the <i>related person</i> share the same physical location?	0	0	
	MAN	al Name of <i>Related Person</i> : I INVESTMENTS (CH) AG			
2.	. Primary Business Name of <i>Related Person</i> : MAN INVESTMENTS (CH) AG				
3.	. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	or Othe	er			
4.	Related Person's (a) CRD Number (if any):				
	(b)	CIK Number(s) (if any):			

No Information Filed

	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	✓ other investment adviser (including financial planners)		
	(C)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	.,	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	10/	futures commission merchant		
	• •	banking or thrift institution		
		trust company		
	(j)	accountant or accounting firm		
	• •	lawyer or law firm		
		insurance company or agency		
	(m)			
	• •	real estate broker or dealer		
	• •	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	N	
,				s No
6.	Do у	you control or are you controlled by the related person?	0	\odot
7.	Are	you and the related person under common control?	\odot	\circ
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>cliet</i> . Number and Street 1: Number and Street 2:	nts' ass	ets:
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	s No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\odot	\circ
	(b)	If the answer is yes, under what exemption?		
		FOREIGN PRIVATE ADVISER EXEMPTION		
10		le the related person registered with a fereign financial regulatory with with 2	_	_
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	_	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regi	stered.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Switzerland - Swiss Financial Market Supervisory Authority		
11.	Do y	you and the related person share any supervised persons?	0	\odot
12.	Do ک	you and the <i>related person</i> share the same physical location?	0	\odot
1	Leas	al Name of <i>Related Person</i> :		
••	0	AGON CAPITAL PARTNERS, L.P.		
2.	Prim	nary Business Name of <i>Related Person</i> :		
		AGON CAPITAL PARTNERS, L.P.		
3.	Rela	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 107473		
	or			
	Othe	er		
4.		ited Person's		
	(a)	CRD Number (if any):		
	(1-)			
	(b)	CIK Number(s) (if any): No Information Filed		
5.	Rela	ited Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		

(c) 🗖 registered municipal advisor

(d) □ registered security-based swap dealer

	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	T trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		·	'es	No
6.	Doy	you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	0	\odot
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o	0
			U.	~
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the		_
	(0)	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	0	0
		required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		
	(C)	If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> '	isse	ets:
	(0)	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			'es	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	\odot
	(b)	If the answer is yes, under what exemption?	~	~
	(0)			
10	(a)	Is the related person registered with a foreign financial regulatory authority?	~	\odot
	(u) (b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register		e
	(u)	No Information Filed	eu.	
11		you and the <i>related person</i> share any <i>supervised persons</i> ?	~	_
	00 5	you and the related person share any supervised persons?	0	o
10	Day	very and the related names the same physical leastion?	_	_
12.	Doy	you and the <i>related person</i> share the same physical location?	0	\odot
Iter	n 7 [Private Fund Reporting		
rter	·· / F			
			'es	No
В	Are y	ou an adviser to any private fund?	•	0
			-	

If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.

In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.

SECTION 7.B.(1) Private Fund Reporting				
	Funds per Page: 15 💽 Total Funds: 14			
-				
A. PRIVATE FUND				
Information About the Private Fund				
1. (a) Name of the <i>private fund</i> :				
MAN AHL BADGER FUND LLC				
(b) <i>Private fund</i> identification number: (include the "805-" prefix also)				

	805-1607016249		
2.	Under the laws of what state or country is the private fund organize	:	
	State: Countr		
	Delaware United	States	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or J	persons serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director		
	MAN INVESTMENTS (USA) CORP.		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>n</i>	elying adviser(s) that sponsor(s) or manage(s) this private fund.	
	No I	nformation Filed	
4.	The <i>private fund</i> (check all that apply; you must check at least one): \Box		
		ompany under section 3(c)(1) of the Investment Company Act of 1940 ompany under section 3(c)(7) of the Investment Company Act of 1940	
		inparty under section 3(c)(7) of the investment company Act of 1940	
5.	List the name and country, in English, of each foreign financial regula	tory authority with which the private fund is registered.	
	No I	nformation Filed	
		Ye	es No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	c	0
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this private fund?	
	No I	nformation Filed	
		Ye	es No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	C	\circ
	 (d) If yes, what is the name and <i>private fund</i> identification number (Name of <i>private fund</i>: 	f any) of the master fund in which this <i>private fund</i> invests?	
	<i>Private fund</i> identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrang for the master-feeder arrangement or reporting on the funds separa	ement regardless of whether you are filing a single Schedule D, Section 7.E	3.(1)
	for the master-reeder arrangement of reporting on the runus separa	itery.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-fee	der arrangement according to the instructions to this Section 7.B.(1), for ea	ach of
	the feeder funds answer the following questions:		
	No I	nformation Filed	
	NOTE: For purposes of questions 6 and 7 in a master-feeder arrange	ement, one or more funds ("feeder funds") invest all or substantially all of	their
		der fund" investing in a "master fund" for purposes of this question if it iss	
	multiple classes (or series) of shares or interests, and each class (or	series) invests substantially all of its assets in a single master fund.	
0			es No
8.	(a) Is this <i>private fund</i> a "fund of funds"?		0
	vehicles, regardless of whether they are also <i>private funds</i> or register	nvests 10 percent or more of its total assets in other pooled investment ered investment companies.	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or		0
		Ye	es No
9.	During your last fiscal year, did the private fund invest in securities is	, , , , , , , , , , , , , , , , , , ,	0
	Company Act of 1940 (other than "money market funds," to the exte	ent provided in Instruction 6.e.)?	
10	What type of fund is the private fund		
10.	. What type of fund is the <i>private fund</i> ?		- ·
	$m{v}$ neage tund $m{v}$ liquidity tund $m{v}$ private equity fund $m{v}$ real estat	e fund $^{m O}$ securitized asset fund $^{m O}$ venture capital fund $^{m O}$ Other <i>private t</i>	und:

	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 172,004,785		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 50,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	9	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0% 		
		Yes	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>Υοι</u>	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17. (a) is "no," leave this question blank.	to	
	No Information Filed		
10	(a) Do any investment advisors (other than the investment advisors listed in Section 7 D (1) \wedge 2 (b)) advise the private fund	Yes	
18.	 (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>? (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank. 	O answ	© er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%		
Priv	vate Offering		
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes Ö	No ©
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. S	ERVICE PROVIDERS		
Auc	litors		
22	(a) (1) Are the private fundle financial statements subject to an ensure $-\frac{1}{2}$	Yes	No
23.	 (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? 	©	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditin	O	0

Г			
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm:		
	DELOITTE IRELAND LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	DUBLIN Ireland	Ves	i No
	(d) Is the auditing firm an <i>independent public accountant</i> ?	0	0
		~	Č
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	0
	If yes, Public Company Accounting Oversight Board-Assigned Number:		
	1193		
	(f) If "yea" to (a) shows is the suditing firm subject to regular increation by the Dublic Company Associating Oversight Deard in	_	_
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	o	0
^	re the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's		es N
	vestors?		•
D	o all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opini	ons?
	Ves ^O No ^O Report Not Yet Received		
	you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	t la av	ailabl
Bro	ker		
		Y	es N
D	bes the <i>private fund</i> use one or more prime brokers?		es N
			0 0
I1	bes the <i>private fund</i> use one or more prime brokers?		0 0
It	pes the <i>private fund</i> use one or more prime brokers? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If		0 0
I1	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If and uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		0 0
l1 fu	bes the <i>private fund</i> use one or more prime brokers? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If and uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed	the pi	0 (
II fu	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If and uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed	the pr	es N
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iar	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>ind</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed bes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the	the pr	es N
ar E	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>ind</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed bes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>ind</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. additional Custodian Information : 1 Record(s) Filed.	the priva	es N te
II fu ar	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>ind</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed bes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>ind</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	the priva	es N te
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II fi ar	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>ind</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed bes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>ind</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. if the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. if the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. if the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. if the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. if <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	the priva	es N te
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II fi ar	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>nd</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed bes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>nd</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Idditional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Idditional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: THE BANK OF NEW YORK MELLON (c) Primary business name of custodian: THE BANK OF NEW YORK MELLON	the priva	es N te
ar fi II fi	bes the <i>private fund</i> use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>ind</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed bes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>nd</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. idditional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) separately for each custodian. (b) Legal name of custodian: THE BANK OF NEW YORK MELLON (c) Primary business name of custodian:	the priva	es N te

(e) Is the cus	todian a <i>related person</i> o	of your firm?		0
(f) If the cus	odian is a broker-dealer	r, provide its SEC registration numb	per (if any):	
- CRD Num	per (if any):			
-		ealer, or is a broker-dealer but doe	es not have an SEC registration number, p	ovide its <i>legal entity</i>
<i>identifier</i> (HPFHU00	228E4NONFVK49			
strator				Yes
Does the private	fund use an administra	tor other than your firm?		o
		," respond to questions (b) through) separately for each administrator	n (f) below. If the <i>private fund</i> uses more t	han one administrator,
Additional Adn	inistrator Information	: 1 Record(s) Filed.		
		• • • • •	igh (f) below. If the <i>private fund</i> uses more	e than one
administrator,	you must complete que	estions (b) through (f) separately fo	or each administrator.	
(b) Name of a	dministrator:			
THE BANK	OF NEW YORK MELLON			
(c) Location (f administrator (city, sta	ate and country):		
City:		State:	Country:	
WILMING	ON	Delaware	United States	
(d) Is the adr	ninistrator a <i>related pers</i>	son of your firm?		Yes No
	,	5		0.6
(e) Does the	administrator prepare a	and send investor account statement	nts to the private fund's investors?	
⊙Yes (pr	ovided to all investors)	O Some (provided to some but not	t all investors) ${\displaystyle \mathop{igcap} olimits}$ No (provided to no inves	tors)
	•		nvestor account statements to the (rest of of the) private fund's investors, respond "n	
Investors			fi the private fund s investors, respond in	
	al year, what percentag	e of the <i>private fund's</i> assets (by v	alue) was valued by a <i>person</i> , such as an a	administrator, that is no
r related person? %				
vant quotes, an		for purposes of investor subscripti	edure established for that asset, if any, in ions, redemptions or distributions, and fee	• • •
rs				
Does the private	funduse the services c	of someone other than you or your	employees for marketing purposes?	Ye
			insultant, finder, introducer, municipal advi	sor or other solicitor, or
similar <i>person</i> . I	the answer to question	28.(a) is "yes," respond to questi	ons (b) through (g) below for each such m questions (b) through (g) separately for e	narketer the <i>private func</i>
Additional Mar	keter Information : 1 R	Record(s) Filed.		
or similar pers	on. If the answer to que	estion 28.(a) is "yes," respond to q	consultant, finder, introducer, municipal ac uestions (b) through (g) below for each su omplete questions (b) through (g) separate	ich marketer the <i>private</i>
				Yes N

	(c) Name of the marketer: MAN INVESTMENTS INC.		
	 (d) If the marketer is registered with the SEC, its file number (<i>e.g.</i>, 801-, 8-, or 866-): 8 - 32575 		
	and CRD Number (if any): 15770		
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country): City: State: Country:		
	NEW YORK New York United States	'es No	
	(f) Does the marketer market the <i>private fund</i> through one or more websites?		
	(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed		
۹. Pf	RIVATE FUND		
<u>nfo</u>	ormation About the Private Fund		
1.	(a) Name of the <i>private fund</i> :		
	MAN ARP LOW BETA		
	(b) <i>Private fund</i> identification number: (include the "805-" prefix also)		
	805-5568199922		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
Ζ.	State: Country:		
	Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
0.	Name of General Partner, Manager, Trustee, or Director		
	ERIC FORTIER		
	JENNIFER COLLINS		
	SIMON PALMER		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	\Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
,		Yes	No
6.	 (a) Is this a "master fund" in a master-feeder arrangement? (b) If was, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? 	0	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	\sim	

Name of private fund:

Private fund identification number
(include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1)
for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	\circ
		Yes	No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	\odot
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	e fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 398,050,123		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 100,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 79		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 65%		
Yoi	ur Advisory Services		

7.	(a)	Are you a	subadviser	to	this	private fund?
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⁽b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the *private fund*. If the answer to question 17.(a) is "no," leave this question blank.

			Yes	s No
8. (a	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b))	advise the private fund?	\odot	0
(b	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the ot to question 18.(a) is "no," leave this question blank.	ther advisers to the <i>private fund</i> . If t	the answ	wer
	Name of Other Adviser to <i>private fund</i> SEC file numb	er CRD number		
	AHL PARTNERS LLP 801-79565	167882		
	NUMERIC INVESTORS LLC801-63276	131684		
			Yes	s No
9. Ar	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?		0	œ
No	NOTE: For purposes of this question, do not consider feeder funds of the private fund.			
	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 12%			
rivat	vate Offering			
			Yes	s No
1. Ha	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation E	of the Securities Act of 1933?	0	\odot
2. If	If yes, provide the <i>private fund's</i> Form D file number (if any):			
	No Information Filed			
	ERVICE PROVIDERS			
JERN	ERVICE PROVIDERS			
uditc	litors			
			Ye	s N
3. (a	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		\odot	
			·	C
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance	nce with U.S. GAAP?	•	
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordant of the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm.		o	C
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p		o	C
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm.	<i>private fund</i> uses more than one aud	o	C
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the	<i>private fund</i> uses more than one aud	o	C
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP	<i>private fund</i> uses more than one aud	o	C
	 If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state a gradient of the auditing firm). 	<i>private fund</i> uses more than one aud	o	C
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP	<i>private fund</i> uses more than one aud	o	C
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state a City: State: Country:	<i>private fund</i> uses more than one aud	o	m,
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state a City: State: Country:	<i>private fund</i> uses more than one aud	iting firr	m,
	If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state a City: State: Country: GRAND CAYMAN Cayman Islands	<i>private fund</i> uses more than one aud	iting firr Yes	No
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state a City: GRAND CAYMAN (d) Is the auditing firm an independent public accountant?	<i>private fund</i> uses more than one aud	€ iting firr Yes	No
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state a City: GRAND CAYMAN (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number:	<i>e private fund</i> uses more than one aud	€ iting firr Yes	No
	If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state a City: State: Country: GRAND CAYMAN Cayman Islands (d) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1096 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company	<i>e private fund</i> uses more than one aud	Yes ©	No 0
	If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the p you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state a City: State: Country: GRAND CAYMAN Cayman Islands (d) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1096 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company	e private fund uses more than one aud	Yes ©	No O O S N

● Yes ● No ● Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime Broker

24. (a) Does the *private fund* use one or more prime brokers?

ditional Prime Broker I	nformation : 3 Record(s) Filed.			
	· · · · · · · · · · · · · · · · · · ·	through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker.		
b) Name of the prime br GOLDMAN SACHS & C				
) If the prime broker is 8 - 129	s registered with the SEC, its registration	number:		
CRD Number (if any): 361				
	ker's office used principally by the private			
City:	State:	Country:		
NEW YORK	New York	United States	Var	. ,
Doos this prime brok	or act as sustadian for some at all of the	privato fundio assoto?	Yes	
) Does this prime block	er act as custodian for some or all of the		O	
	an one prime broker, you must complete	through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker.		
J.P. MORGAN SECURI				
	s registered with the SEC, its registration	number:		
) If the prime broker is	s registered with the SEC, its registration	number:		
If the prime broker is 8 - 35008 CRD Number (if any): 79 Location of prime bro	s registered with the SEC, its registration oker's office used principally by the <i>private</i>	<i>fund</i> (city, state and country):		
If the prime broker is 8 - 35008 CRD Number (if any): 79 Location of prime bro City:	s registered with the SEC, its registration oker's office used principally by the <i>private</i> State:	<i>fund</i> (city, state and country): Country:		
If the prime broker is 8 - 35008 CRD Number (if any): 79 Location of prime bro	s registered with the SEC, its registration oker's office used principally by the <i>private</i>	<i>fund</i> (city, state and country):	Yes	
) If the prime broker is 8 - 35008 CRD Number (if any): 79) Location of prime bro City: NEW YORK 	s registered with the SEC, its registration oker's office used principally by the <i>private</i> State:	<i>fund</i> (city, state and country): Country: United States	Yes	5 [
) If the prime broker is 8 - 35008 CRD Number (if any): 79) Location of prime broc City: NEW YORK) Does this prime brok the answer to question <i>tivate fund</i> uses more the 	s registered with the SEC, its registration oker's office used principally by the <i>private</i> State: New York er act as custodian for some or all of the a 24.(a) is "yes," respond to questions (b) ban one prime broker, you must complete	<i>fund</i> (city, state and country): Country: United States	ses. If the	
 If the prime broker is 8 - 35008 CRD Number (if any): 79 Location of prime broc City: NEW YORK Does this prime brok the answer to question <i>ivate fund</i> uses more th Name of the prime br MORGAN STANLEY & 	exers office used principally by the <i>private</i> State: New York er act as custodian for some or all of the a 24.(a) is "yes," respond to questions (b) an one prime broker, you must complete roker: CO. LLC	fund (city, state and country): Country: United States brivate fund's assets? through (e) below for each prime broker the private fund u questions (b) through (e) separately for each prime broker.	ses. If the	
) If the prime broker is 8 - 35008 CRD Number (if any): 79) Location of prime broc City: NEW YORK) Does this prime broket) Does this prime broket ivate fund uses more the MORGAN STANLEY &) If the prime broket is 8 - 15869 CRD Number (if any): 8209) Location of prime broket 	exer's office used principally by the <i>private</i> State: New York er act as custodian for some or all of the a 24.(a) is "yes," respond to questions (b) an one prime broker, you must complete roker: CO. LLC s registered with the SEC, its registration	fund (city, state and country): Country: United States private fund's assets? through (e) below for each prime broker the private fund u questions (b) through (e) separately for each prime broker. number: fund (city, state and country):	ses. If the	
) If the prime broker is 8 - 35008 CRD Number (if any): 79) Location of prime broc City: NEW YORK) Does this prime brok) Does this prime brok the answer to question <i>ivate fund</i> uses more th) Name of the prime br MORGAN STANLEY &) If the prime broker is 8 - 15869 CRD Number (if any): 8209) Location of prime broc City: 	oker's office used principally by the <i>private</i> State: New York er act as custodian for some or all of the a 24. (a) is "yes," respond to questions (b) han one prime broker, you must complete roker: CO. LLC s registered with the SEC, its registration oker's office used principally by the <i>private</i> State:	fund (city, state and country): Country: United States private fund's assets? through (e) below for each prime broker the private fund u questions (b) through (e) separately for each prime broker. humber: fund (city, state and country): Country:	ses. If the	
) If the prime broker is 8 - 35008 CRD Number (if any): 79) Location of prime broc City: NEW YORK) Does this prime broket e) Does this prime broket e) Does this prime broket e) Name of the prime broket is MORGAN STANLEY & i) If the prime broket is 8 - 15869 CRD Number (if any): 8209 e) Location of prime broket 	exer's office used principally by the <i>private</i> State: New York er act as custodian for some or all of the a 24.(a) is "yes," respond to questions (b) an one prime broker, you must complete roker: CO. LLC s registered with the SEC, its registration	fund (city, state and country): Country: United States private fund's assets? through (e) below for each prime broker the private fund u questions (b) through (e) separately for each prime broker. number: fund (city, state and country):	ses. If the	

Yes No

Custodian

	(_)	Dece the	melicate	funding	any custodians	(impluding	+ +	m milman a k	a maliana	lintod	abarral	+			f !+~	+-7
25	(a)	Does me	onvare i	unause	any custodians.		i ine.	orime t	TORELS	usieo	abovei		i some () an (111S	assers

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

fun		5 1 1 1	through g) below for each custodian the <i>private fur</i>(b) through (g) separately for each custodian.	nd uses. If the <i>private</i>
b)	Legal name of custodian: GOLDMAN SACHS & CO. LLC			
:)	Primary business name of custo GOLDMAN SACHS & CO. LLC	odian:		
d)	The location of the custodian's	office responsible for custody o	f the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	Yes N
e)	Is the custodian a related perso	on of your firm?		o (
)	If the custodian is a broker-dea	aler, provide its SEC registration	number (if any):	
	8 - 129 CRD Number (if any):			
	361			
1)	If the custodian is not a broker	-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provid	de its <i>legal entity</i>
t	<i>identifier</i> (if any) he answer to question 25.(a) is	5 1 1 1	through g) below for each custodian the <i>private fur</i> (b) through (g) separately for each custodian.	nd uses. If the <i>privat</i>
t In	<i>identifier</i> (if any) he answer to question 25.(a) is	5 1 1 1		nd uses. If the <i>privat</i>
t un	<i>identifier</i> (if any) he answer to question 25.(a) is <i>d</i> uses more than one custodian Legal name of custodian:	, you must complete questions		nd uses. If the <i>privat</i> e
t In	<i>identifier</i> (if any) he answer to question 25.(a) is <i>d</i> uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC	odian:		nd uses. If the <i>privat</i> e
t In	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's City:	odian: office responsible for <i>custody</i> o State:	 (b) through (g) separately for each custodian. (b) through (g) separately for each custodian. (c) through (g) separately for each custodian. (b) through (g) separately for each custodian. (c) through (g) separately for each custodian. (c) through (g) separately for each custodian. 	nd uses. If the <i>private</i>
)	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's	odian:	(b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country):	
t ())))))))	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's City:	odian: office responsible for <i>custody</i> o State: New York	 (b) through (g) separately for each custodian. (b) through (g) separately for each custodian. (c) through (g) separately for each custodian. (b) through (g) separately for each custodian. (c) through (g) separately for each custodian. (c) through (g) separately for each custodian. 	Yes I
(((((((((((((((())))))))	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's City: NEW YORK Is the custodian a <i>related perso</i>	odian: office responsible for <i>custody</i> o State: New York	(b) through (g) separately for each custodian. (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	Yes I
t ////////////////////////////////////	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's City: NEW YORK	odian: office responsible for <i>custody</i> o State: New York	(b) through (g) separately for each custodian. (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	Yes I
t t ///////////////////////////////////	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's City: NEW YORK Is the custodian a <i>related perso</i> If the custodian is a broker-dea	odian: office responsible for <i>custody</i> o State: New York	(b) through (g) separately for each custodian. (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	Yes I
t t ///////////////////////////////////	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's City: NEW YORK Is the custodian a <i>related perso</i> If the custodian is a broker-dea 8 - 35008	odian: office responsible for <i>custody</i> o State: New York	(b) through (g) separately for each custodian. (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	Yes T
t innn))))))))))))))))))	identifier (if any) he answer to question 25.(a) is d uses more than one custodian Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custo J.P. MORGAN SECURITIES LLC The location of the custodian's City: NEW YORK Is the custodian a <i>related perso</i> If the custodian is a broker-dea 8 - 35008 CRD Number (if any): 79	odian: office responsible for <i>custody</i> o State: New York on of your firm? aler, provide its SEC registration	(b) through (g) separately for each custodian. (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	Yes I

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	Legal name of custodian: MORGAN STANLEY & CO. LLC			
(c)	Primary business name of cu MORGAN STANLEY & CO. LLC			
(d)	The location of the custodian	's office responsible for <i>custody</i> c	f the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	NEW YORK	New YOLK	United States	Yes N
(e)	Is the custodian a related per	<i>rson</i> of your firm?		0 0
(f)		lealer, provide its SEC registration	n number (if any):	
	8 - 15869 CRD Number (if any):			
	8209			
(g)	If the custodian is not a brok <i>identifier</i> (if any)	ker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provid	e its <i>legal entity</i>
fun		an, you must complete questions) through g) below for each custodian the <i>private fun</i> (b) through (g) separately for each custodian.	d uses. If the <i>private</i>
	THE DAINS OF NEW YORS MEL	LON		
(c)	Primary business name of cu THE BANK OF NEW YORK MEL			
(d)	The location of the custodian	's office responsible for <i>custody</i> c	f the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes N
(e)	Is the custodian a <i>related pe</i>	rson of your firm?		0 0
(f)	If the custodian is a broker-c	lealer, provide its SEC registration	n number (if any):	
	- CRD Number (if any):			
(g)	identifier (if any)	ker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provid	e its <i>legal entity</i>
	HPFHUOOQ28E4NONFVK49			
trator	<u>r</u>			Yes
Does	the <i>private fund</i> use an admir	nistrator other than your firm?		0
	•	"yes," respond to questions (b) t gh (f) separately for each adminis	hrough (f) below. If the <i>private fund</i> uses more than estrator.	one administrator, y
Addi	itional Administrator Informa	ation : 1 Record(s) Filed.		
	•	is "yes," respond to questions (b) e questions (b) through (f) separa	through (f) below. If the <i>private fund</i> uses more tha ately for each administrator.	n one
(b)	Name of administrator: BNY MELLON FUND SERVICES	G (IRELAND) DESIGNATED ACTIVIT	Y COMPANY	
d				
	Location of administrator (cit	v state and country)		

		City: DUBLIN 1	State:	Country: Ireland	
					Yes No
	(d)	Is the administrator	r a <i>related person</i> of your firm?		00
	(e)		ator prepare and send investor account state all investors) \bigcirc Some (provided to some but	ements to the <i>private fund's</i> investors? t not all investors) ONO (provided to no investo	ors)
		•		he investor account statements to the (rest of test of the test of the) <i>private fund's</i> investors, respond "no	• •
you		ur last fiscal year, w ed person?	hat percentage of the <i>private fund's</i> assets (b	by value) was valued by a <i>person</i> , such as an ac	dministrator, that is not
Inc	clude or evant q	uotes, and (ii) the v		procedure established for that asset, if any, incl riptions, redemptions or distributions, and fee c	0 0 5
Market	ers				
20 (a)	Doos	the private fund use	the services of someone other than you or y	your amplayees for marketing purposes?	Yes N
20. (a)	You m similar	ust answer "yes" w person. If the answ	whether the <i>person</i> acts as a placement agent ver to question 28.(a) is "yes," respond to qu	t, consultant, finder, introducer, municipal advis lestions (b) through (g) below for each such ma lete questions (b) through (g) separately for ea	arketer the <i>private fund</i>
	Addit	ional Marketer Info	ormation : 2 Record(s) Filed.		
	func	uses. If the <i>private</i>	e fund uses more than one marketer, you mus	to questions (b) through (g) below for each suc st complete questions (b) through (g) separatel	y for each marketer. Yes No
	(b)	Is the marketer a re	elated person of your firm?		\odot \circ
		Name of the market MAN INVESTMENTS			
	(d)	If the marketer is re	egistered with the SEC, its file number (<i>e.g.</i> , 8	301-, 8-, or 866-):	
		and CRD Number (if	any):		
	(e)	Location of the mar	keter's office used principally by the <i>private fu</i>	und (city, state and country):	
		City: PFÄFFIKON	State:	Country: Switzerland	
		PFAFFIKON		Switzenand	Yes No
	(f)	Does the marketer	market the <i>private fund</i> through one or more	websites?	00
	(g)	If the answer to qu	estion 28.(f) is "yes," list the website address	s(es):	
			No Info	ormation Filed	
	or si	milar <i>person</i> . If the	answer to question 28.(a) is "yes," respond t	ent, consultant, finder, introducer, municipal adv to questions (b) through (g) below for each suc st complete questions (b) through (g) separatel	ch marketer the <i>private</i>
					Yes No
	(b)	Is the marketer a re	elated person of your firm?		• •

	MAN INVESTMENTS INC.		
	(d) If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):		
	8 - 32575		
	and CRD Number (if any):		
	15770		
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country): City: State: Country:		
	City: State: Country: NEW YORK New York United States		
		es No	
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	\odot	
	(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed		
Α. Ρ	PRIVATE FUND		
Info	ormation About the Private Fund		
1.	(a) Name of the <i>private fund</i> :		
	MAN DESERTWOOD FUND LIMITED		
	(b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-8028779748		
0			
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country: Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		_
	Name of General Partner, Manager, Trustee, or Director		
	CASSANDRA POWELL JENNIFER THOMSON		_
	NICK GAZE		_
	MON OAZL		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority		
		Veel	
6.	(a) Is this a "master fund" in a master-feeder arrangement?	Yes I	_
0.	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	O	o
	No Information Filed		
		Yes I	٧o
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	o
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of <i>private fund</i> :		
	Private fund identification number:		

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:						
	No Information Filed						
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed				
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes					
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t t	o				
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0				
		Yes	No				
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		0				
10.	What type of fund is the <i>private fund</i> ?						
	ullet hedge fund $ullet$ liquidity fund $ullet$ private equity fund $ullet$ real estate fund $ullet$ securitized asset fund $ullet$ venture capital fund $ullet$ Other priva	te fur	пd:				
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.						
11.	Current gross asset value of the <i>private fund</i> : \$ 2,846,435,472						
<u>0v</u>	vnership						
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000,000						
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	;					
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2						
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%						
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%						
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O				
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons: 100%						
Yo	ur Advisory Services	N/	. .				
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No				
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.		U				

	Name of Other Adviser to private fund	SEC file number	CRD number	
	AHL PARTNERS LLP	801-79565	167882	
			Ye	es l
	e your <i>clients</i> solicited to invest in the <i>private fund</i> ? OTE: For purposes of this question, do not consider feeder fi	ide of the private fund	c	D
NC	OTE. FOR purposes of this question, do not consider reeder h	us of the private rund.		
Ар 1%	oproximately what percentage of your <i>clients</i> has invested %	n the <i>private fund</i> ?		
vate	e Offering		Ye	es
На	as the <i>private fund</i> ever relied on an exemption from regis	ation of its securities under Regulation D of the Securit	ties Act of 1933?	0
lfy	yes, provide the <i>private fund's</i> Form D file number (if any)			
		No Information Filed		
ERV	/ICE PROVIDERS			
	nrs			
	<u>ors</u>		Y	es
ditor) (1) Are the <i>private fund's</i> financial statements subject t	an annual audit?		es
ditor			6	es ©
ditor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses	GAAP?	0
ditor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses	GAAP?	0
ditor	 (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm.	GAAP? s more than one auditing fi	© 0
ditor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed.	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm.	GAAP? s more than one auditing fi	© 0
<u>dito</u>	 (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm.	GAAP? s more than one auditing fi	0
ditor	 (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through through (f) separately 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm.	GAAP? s more than one auditing fi	© 0
ditor	 (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. o questions (b) through (h) below. If the <i>private fund</i> us n (f) separately for each auditing firm.	GAAP? s more than one auditing fi	© 0
ditor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsil City: 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. b questions (b) through (h) below. If the <i>private fund</i> use for (f) separately for each auditing firm. e for the <i>private fund's</i> audit (city, state and country): State: Country:	GAAP? s more than one auditing fi	© 0
litor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsil 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. o questions (b) through (h) below. If the <i>private fund</i> us n (f) separately for each auditing firm.	GAAP? s more than one auditing fin ses more than one	⊙ irm,
litor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsil City: 	Financial statements prepared in accordance with U.S. Graduestions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. In questions (b) through (h) below. If the <i>private fund</i> use for questions (b) through (h) below. If the <i>private fund</i> use for the <i>private fund's</i> audit (city, state and country): State: Country: Cayman Islands	GAAP? s more than one auditing fir ses more than one Yes	€ O Irm,
itoı	 (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through (b) hrough (c) through (f) separately (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsil City: GRAND CAYMAN 	Financial statements prepared in accordance with U.S. Graduestions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. In questions (b) through (h) below. If the <i>private fund</i> use for questions (b) through (h) below. If the <i>private fund</i> use for the <i>private fund's</i> audit (city, state and country): State: Country: Cayman Islands	GAAP? s more than one auditing fin ses more than one	€ O Irm,
litor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through (b) hrough (c) through (f) separately (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsil City: GRAND CAYMAN 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. b questions (b) through (h) below. If the <i>private fund</i> use h (f) separately for each auditing firm. e for the <i>private fund's</i> audit (city, state and country): State: Country: Cayman Islands	GAAP? s more than one auditing fir ses more than one Yes	⊙ irm,
litor) (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) throu (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsil City: GRAND CAYMAN (d) Is the auditing firm an <i>independent public account</i> 	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. b questions (b) through (h) below. If the <i>private fund</i> use h (f) separately for each auditing firm. e for the <i>private fund's</i> audit (city, state and country): State: Country: Cayman Islands ht? pany Accounting Oversight Board?	GAAP? s more than one auditing fin ses more than one Yes	● O irm,
ditor	 (1) Are the <i>private fund's</i> financial statements subject t (2) If the answer to question 23.(a)(1) is "yes," are the If the answer to question 23.(a)(1) is "yes," respond to you must complete questions (b) through (f) separately Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsil City: GRAND CAYMAN (d) Is the auditing firm an <i>independent public account</i> (e) Is the auditing firm registered with the Public Complete Comp	inancial statements prepared in accordance with U.S. G questions (b) through (h) below. If the <i>private fund</i> uses for each auditing firm. b questions (b) through (h) below. If the <i>private fund</i> use h (f) separately for each auditing firm. e for the <i>private fund's</i> audit (city, state and country): State: Country: Cayman Islands ht? pany Accounting Oversight Board?	GAAP? s more than one auditing fin ses more than one Yes	⊙ irm,

(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?

⊙ Yes ○ No ○ Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

24. (a) Does the *private fund* use one or more prime brokers?

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

Addi	tional Prime Broker Information :	10 Record(s) Filed.				
			-	below for each prime broker the <i>private fund</i> uses. through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: BARCLAYS BANK PLC					
(c)	If the prime broker is registered wi	th the SEC, its registrat	ion number:			
	CRD Number (if any):					
(d)	Location of prime broker's office use	1 1 3 3 1		te and country):		
	City: LONDON	State:	Country: United Kingd	lom		
					Yes	No
(e)	Does this prime broker act as custo	dian for some or all of t	the <i>private fund's</i> a	assets?	O	0
priv (b) (c)		broker, you must comp th the SEC, its registrat	lete questions (b) ion number:	below for each prime broker the <i>private fund</i> uses. through (e) separately for each prime broker. te and country): Country: United States		
					Yes	No
(e)	Does this prime broker act as custo	idian for some or all of t	the <i>private fund's</i> a	assets?	O	0
priv			•	below for each prime broker the <i>private fund</i> uses. through (e) separately for each prime broker.	If the	
(c)	If the prime broker is registered wi 8 - 32682	th the SEC, its registrat	ion number:			
	CRD Number (if any): 15794					
(d)	Location of prime broker's office use	ed principally by the <i>pri</i> State:	-	te and country): Country:		
	NEW YORK	New York		United States		
					Yes	No
(e)	Does this prime broker act as custo	dian for some or all of t	the <i>private fund's</i> a	assets?	0	\odot

• •

	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>vate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: CITIBANK N.A.		
(c)	If the prime broker is registered with the SEC, its registration number:		
	- CRD Number (if any):		
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	LONDON United Kingdom	Var	s No
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	0	•
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>vate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: GOLDMAN SACHS INTERNATIONAL		
(c)	If the prime broker is registered with the SEC, its registration number:		
	CRD Number (if any):		
(d)	Location of prime broker's office used principally by the private fund (city, state and country):City:State:Country:LONDONUnited Kingdom		
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	Yes	s No
		U	0
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>ate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: HSBC BANK PLC		
(c)	If the prime broker is registered with the SEC, its registration number:		
	- CRD Number (if any):		
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country: LONDON United Kingdom		
		Yes	s No
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	0	o
11	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>ate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: J.P. MORGAN SECURITIES LLC		

(c) If the prime broker is registered with the SEC, its registration number:

	8 - 35008			
	CRD Number (if any): 79			
	19			
(d)			private fund (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	NEW TORK	New TOTK	United States	Yes No
(e)	Does this prime broker act as	s custodian for some or all	of the <i>private fund's</i> assets?	• •
ft	ne answer to question 24.(a)	is "yes," respond to quest	ons (b) through (e) below for each prime broker the <i>private</i>	<i>fund</i> uses. If the
oriv	<i>rate fund</i> uses more than one p	orime broker, you must co	nplete questions (b) through (e) separately for each prime	broker.
(b)	Name of the prime broker: J.P. MORGAN SECURITIES PLC	2		
(c)	If the prime broker is register	red with the SEC, its regis ¹	ration number:	
	- CRD Number (if any):			
(d)	Location of prime broker's off	fice used principally by the	private fund (city, state and country):	
	City:	State:	Country:	
	LONDON		United Kingdom	
` _\	Deep this prime broker act as	austadian far some or all	of the private fund's eccets?	Yes No
e)	Does this prime broker act as			• C
(b)	Name of the prime broker: MORGAN STANLEY & CO. INTE	ERNATIONAL PLC		
(c)	If the prime broker is register	red with the SEC lits regis:	ration number	
	-			
	CRD Number (if any):			
(d)	Location of prime broker's off	fice used principally by the	private fund (city, state and country):	
	City:	State:	Country:	
	LONDON		United Kingdom	
(-)	Dess this prime broken set as	- austadian fan aanta an all		Yes No
(e)	Does this prime broker act as		or the private rund's assets?	0 0
F +	no operation 24 (a)	ic "use " respond to quest	and (b) through (c) below for each prime broker the private	fund uses of the
			ons (b) through (e) below for each prime broker the <i>private</i> nplete questions (b) through (e) separately for each prime	
(b)	Name of the prime broker: NATWEST MARKETS PLC			
(c)	If the prime broker is register	red with the SEC, its regist	ration number:	
	- CRD Number (if any):			
(d)	Location of prime broker's off	fice used principally by the	<i>private fund</i> (city, state and country):	
	City:	State:	Country:	

(e) Does this prime broker ac	t as custodian for some or all of the	e nrivate fund's assets?	Ye
(e) Does this prime broker ac			C
an			
		ers listed above) to hold some or all of its assets?	
• • • •	· · · · · ·	through (g) below for each custodian the <i>private</i> (b) through (g) separately for each custodian.	
Additional Custodian Informat	tion : 7 Record(s) Filed.		
		b) through g) below for each custodian the <i>privat</i> s (b) through (g) separately for each custodian.	<i>e fund</i> uses. If the <i>p</i>
(b) Legal name of custodian: BARCLAYS BANK PLC			
(c) Primary business name of	custodian:		
BARCLAYS BANK PLC			
(d) The location of the custod	ian's office responsible for <i>custody</i>	of the private fund's assets (city, state and countr	y):
City: LONDON	State:	Country: United Kingdom	
LONDON		onited Kingdom	Y
(e) Is the custodian a <i>related</i>	person of your firm?		c
(f) If the custodian is a broke	er-dealer, provide its SEC registration	on number (if any):	
- CRD Number (if any):			
(g) If the custodian is not a b <i>identifier</i> (if any) 213800UUGANOMFJ9X769		but does not have an SEC registration number, p	rovide its <i>legal entit</i> y
fund uses more than one custo (b) Legal name of custodian:		b) through g) below for each custodian the <i>privat</i> s (b) through (g) separately for each custodian.	e fund uses. If the p
BARCLAYS CAPITAL INC.			
(c) Primary business name of BARCLAYS CAPITAL INC.	custodian:		
(d) The location of the custod	ian's office responsible for <i>custody</i>	of the private fund's assets (city, state and countr	y):
City: NEW YORK	State: New York	Country: United States	
	TVC VV TOTA		Ye
(e) Is the custodian a <i>related</i>	person of your firm?		c
	er-dealer, provide its SEC registratio	on number (if any):	
8 - 41342 CRD Number (if any):			

	ne answer to question 25.(a) is "yes d uses more than one custodian, you	• • •			•	s. If the <i>pri</i> v	vate
(b)	Legal name of custodian: GOLDMAN SACHS INTERNATIONAL						
(c)	Primary business name of custodian GOLDMAN SACHS INTERNATIONAL	1:					
(d)	The location of the custodian's office	e responsible for <i>custody</i> o	of the <i>private fu</i>	nd's assets (city, state	and country):		
	City:	State:	Country:		5.		
	LONDON		United Kingdo	om		Vo	s No
(e)	Is the custodian a <i>related person</i> of	your firm?				0	•
(f)	If the custodian is a broker-dealer, p	provide its SEC registration	n number (if an	y):			
	- CRD Number (if any):						
(g)	If the custodian is not a broker-dea identifier (if any) W22LROWP2IHZNBB6K528	ler, or is a broker-dealer k	but does not hav	ve an SEC registration	number, provide its <i>l</i>	egal entity	
fun	ne answer to question 25.(a) is "yes d uses more than one custodian, you Legal name of custodian: J.P. MORGAN SECURITIES LLC	• • •			•	s. If the <i>pri</i> v	vate
(c)	Primary business name of custodian J.P. MORGAN SECURITIES LLC):					
(d)	The location of the custodian's office	e responsible for <i>custody</i> c	of the <i>private fu</i>	nd's assets (city, state	and country):		
	City:	State:		Country:			
	NEW YORK	New York	l	Jnited States			
(e)	Is the custodian a <i>related person</i> of	your firm?				ves O	s No
(f)	If the custodian is a broker-dealer, j	provide its SEC registration	n number (if an	<i>y</i>):			
	8 - 35008 CRD Number (if any): 79						
(g)	If the custodian is not a broker-dea identifier (if any)	ler, or is a broker-dealer k	but does not hav	ve an SEC registration	number, provide its <i>l</i>	egal entity	
	ne answer to question 25.(a) is "yes d uses more than one custodian, you				•	s. If the <i>pri</i> v	vate
(b)	Legal name of custodian: J.P. MORGAN SECURITIES PLC						
(c)	Primary business name of custodian J.P. MORGAN SECURITIES PLC	1:					
(d)	The location of the custodian's office	e responsible for <i>custodv</i> (of the <i>private fu</i>	nd's assets (city, state	and country):		
	City:	State:	Country:		<i></i>		

- State:
- Country:

		Ye	s No
)	Is the custodian a <i>related person</i> of your firm?	0	C
	If the custodian is a broker-dealer, provide its SEC registration number (if any):		
	- CRD Number (if any):		
)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any) K6Q0W1PS1L1O4IQL9C32	entity	
t	he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If	the <i>pri</i>	vate.
	d uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	·	
))	Legal name of custodian: MORGAN STANLEY & CO. INTERNATIONAL PLC		
)	Primary business name of custodian: MORGAN STANLEY & CO. INTERNATIONAL PLC		
)	The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
	City: State: Country: LONDON United Kingdom		
		Ye	s No
	Is the custodian a <i>related person</i> of your firm?	0	c
	If the custodian is a broker-dealer, provide its SEC registration number (if any):		
	- CRD Number (if any):		
3)	CRD Number (if any): If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal</i> <i>identifier</i> (if any) 4PQUHN3JPFGFNF3BB653	entity	
g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any)	entity	
t	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>id</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian:		vate
t un	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If ad uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		vate
t ()	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal</i> <i>identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>id</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON Primary business name of custodian: THE BANK OF NEW YORK MELLON The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country):		vate
t inn)))	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>id</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON Primary business name of custodian: THE BANK OF NEW YORK MELLON		vate
t ()))))))))	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>id</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON Primary business name of custodian: THE BANK OF NEW YORK MELLON The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: NEW YORK New York New York United States	the <i>pri</i> v	s No
t n)))))	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any) 4POUHN3JPFGFNF3BB653 he answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If id uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON Primary business name of custodian: THE BANK OF NEW YORK MELLON The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:	the <i>pri</i> n	
t n))))))	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>id</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON Primary business name of custodian: THE BANK OF NEW YORK MELLON The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: NEW YORK New York New York United States	the <i>pri</i> v	s No
t t nnn)))))))))))))))))	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal</i> <i>identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If a uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON Primary business name of custodian: THE BANK OF NEW YORK MELLON The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: NEW YORK New York United States Is the custodian a <i>related person</i> of your firm?	the <i>pri</i> v	s No
<pre>f t un o)) c))</pre>	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal leentifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>d</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON Primary business name of custodian: THE BANK OF NEW YORK MELLON The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: NEW YORK NEW YORK New York United States Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): T	the <i>pri</i> v	s No

HPFHU00Q28E4N0NFVK49

Administrator

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Yes No

 \odot \circ

Add	litional Administrator Information : 1 Record(s) Filed.	
	the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one ministrator, you must complete questions (b) through (f) separately for each administrator.	
(b)	Name of administrator:	
	BNY MELLON FUND SERVICES (IRELAND) DESIGNATED ACTIVITY COMPANY	
(C)	Location of administrator (city, state and country):	
	City: State: Country:	
	DUBLIN Ireland	
(4)	Yes N	_
(u)	Is the administrator a <i>related person</i> of your firm?	o
(e)	Does the administrator prepare and send investor account statements to the private fund's investors?	
	• Yes (provided to all investors) O Some (provided to some but not all investors) O No (provided to no investors)	
(f)	If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."	
relevant	only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including ns) was the valuation determined by such <i>person</i> .	I
eters		
a) Does	the private fundings the convision of company, other than you or your ampleyees for marketing purpage?	es No
You i simila	must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, c ar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fun</i> . If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	or 🧹
	No Information Filed	
VATE FU	ND	
mation A	About the Private Fund	
a) Nam	e of the <i>private fund</i> :	
MAN	FUNDS IX SPC - MAN INSTITUTIONAL PORTFOLIO 630 SP	
	the fund identification number:	

(b) *Private fund* identification number: (include the "805-" prefix also) 805-4741511742

2. Under the laws of what state or country is the *private fund* organized: State: Country:

Cavman Islands

3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):							
	Name of General Partner, Manager, Trustee, or Director							
	CASSANDRA POWELL							
	JENNIFER THOMSON							
	NICK GAZE							
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.							
	No Information Filed							
4.	The private fund (check all that apply; you must check at least one):							
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940							
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940							
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.							
	Name of Country/English Name of Foreign Financial Regulatory Authority							
	Cayman Islands - Cayman Islands Monetary Authority							
		Yes	s No					
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot					
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?							
	No Information Filed							
		Yes	s No					
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot					
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?							
	Name of <i>private fund</i> :							
	Private fund identification number:							
	(include the "805-" prefix also)							
7.	for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or eac	:h of					
	No Information Filed							
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed					
0	(a) Is this private fund a "fund of funds"?		s No					
8.	(a) Is this <i>private fund</i> a "fund of funds"?	•	0					
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t						
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\odot	0					
0		Yes	5 No					
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	o					
10.	. What type of fund is the <i>private fund</i> ?							
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other priva	ite fu	nd:					
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.							
11.	Current gross asset value of the <i>private fund</i> :							

\$ 224,227,329

<u>Ow</u>	ners	hip		
12.		imum investment commitment required of an investor in the <i>private fund</i> : 20,000		
		E: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).)	
13.	App 1	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha 0%	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	Wha 0%	at is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		
<u>You</u>	ır Ad	lvisory Services		
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	No
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	•
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ? If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	O answ	© er
		No Information Filed		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\circ	•
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Арр 1%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	<u>vate</u>	Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	lf ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		m D file number		
	021	1-352947		
B. SI	ERVI	CE PROVIDERS		
Auc	litors			
22	(a)	(1) Are the private fund's financial statements subject to an annual sudit?	Yes	
∠3.	(a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? 	•	
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing	o firm	
		you must complete questions (b) through (f) separately for each auditing firm.	_	
		Additional Auditor Information : 1 Record(s) Filed.		

	If the answer to question 23.(a)(1) is "y auditing firm, you must complete question	• • • • •	through (h) below. If the <i>private fund</i> uses more than one for each auditing firm.		
	(b) Name of the auditing firm:				
	DELOITTE & TOUCHE LLP				
	(c) The location of the auditing firm's or	ffice responsible for the <i>private</i>	fund's audit (city, state and country):		
	City: GRAND CAYMAN	State:	Country: Cayman Islands		
	GRAND CATWAN		Cayman Islanus	Yes	No
	(d) Is the auditing firm an <i>independent</i>	public accountant?		\odot	0
	(e) Is the auditing firm registered with	the Public Company Accounting	Oversight Board?	\odot	0
	If yes, Public Company Accounting (1096	Oversight Board-Assigned Numb	per:		
	(f) If "yes" to (e) above, is the auditing accordance with its rules?	g firm subject to regular inspect	ion by the Public Company Accounting Oversight Board in	۲	0
				Ye	es No
(g)	Are the <i>private fund's</i> audited financial sta investors?	tements for the most recently of	completed fiscal year distributed to the private fund's	C	0
(h)	Do all of the reports prepared by the audit	ting firm for the <i>private fund</i> sin	ce your last annual updating amendment contain unqualified	d opinio	ns?
	⊙ Yes O No O Report Not Yet Received				
	If you check "Report Not Yet Received," you	ı must promptly file an amendme	ent to your Form ADV to update your response when the repor	rt is ava	ilable.
Prime I	Broker				
				Ye	es No
24. (a)	Does the <i>private fund</i> use one or more pri	me brokers?		C	•
			gh (e) below for each prime broker the <i>private fund</i> uses. If through (e) separately for each prime broker.	the <i>pri</i>	ivate
		No Informa	ation Filed		
0					
<u>Custod</u>				Ye	es No
25. (a)	Does the private fund use any custodians	(including the prime brokers lis	ted above) to hold some or all of its assets?	œ	0
	If the answer to question 25.(a) is "yes," <i>fund</i> uses more than one custodian, you n		gh (g) below for each custodian the <i>private fund</i> uses. If th rough (g) separately for each custodian.	e privat	e
	Additional Custodian Information : 1 Re	ecord(s) Filed.			
		• • • • •	ough g) below for each custodian the <i>private fund</i> uses. If t through (g) separately for each custodian.	he <i>priva</i>	ate
	(b) Legal name of custodian: THE BANK OF NEW YORK MELLON				
	(c) Primary business name of custodiar THE BANK OF NEW YORK MELLON	1:			
	(d) The location of the custodian's offic	e responsible for <i>custody</i> of the	private fund's assets (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States	Yes	No
	(e) Is the custodian a related person of	vour firm?		0	•

	-	
	CRD Number (if any):	
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide <i>identifier</i> (if any) HPFHU00Q28E4N0NFVK49	e its <i>legal entity</i>
strato	<u>r</u>	X
Does	the private fund use an administrator other than your firm?	Ye
	e answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than o complete questions (b) through (f) separately for each administrator.	ne administrator,
Add	itional Administrator Information : 1 Record(s) Filed.	
	the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than ministrator, you must complete questions (b) through (f) separately for each administrator.	ı one
(b)	Name of administrator: BNY MELLON FUND SERVICES (IRELAND) DESIGNATED ACTIVITY COMPANY	
(c)	Location of administrator (city, state and country):	
	City: State: Country: DUBLIN Ireland	
	bobein	Yes N
(d)	Is the administrator a <i>related person</i> of your firm?	0 0
(e)	Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? \odot Yes (provided to all investors) \odot Some (provided to some but not all investors) \odot No (provided to no investors)	
(f)	If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) investors? If investor account statements are not sent to the (rest of the) <i>private fund's</i> investors, respond "not app	•
ur <i>rela</i> 0% lude o	our last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an admin <i>ted person</i> ? only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, includin quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calcu	g obtaining any
	s) was the valuation determined by such person.	
ers		Ye
You simil	the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or ar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such market . If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each m	er the <i>private fun</i>
Add	itional Marketer Information : 2 Record(s) Filed.	
	u must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such m and uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	arketer the <i>privat</i>
fur	Is the marketer a <i>related person</i> of your firm?	Yes N

		(d)	If the marketer is registered wit	h the SEC, its file number (e.g.	, 801-, 8-, or 866-):	
			- and CRD Number (if any):			
		(e)	Location of the marketer's office	e used principally by the <i>private</i>	e fund (city, state and country):	
			City:	State:	Country:	
			PFÄFFIKON		Switzerland	Yes No
		(f)	Does the marketer market the μ	<i>private fund</i> through one or mo	re websites?	0 0
		(g)	If the answer to question 28.(f)	-	ess(es): Iformation Filed	
		or s	milar <i>person</i> . If the answer to qu	uestion 28.(a) is "yes," respon	gent, consultant, finder, introducer, municipa d to questions (b) through (g) below for each ust complete questions (b) through (g) separ	such marketer the private
						Yes No
		(b)	Is the marketer a related person	o of your firm?		© 0
		• •	Name of the marketer: MAN INVESTMENTS INC.			
			If the marketer is registered wit 8 - 32575 and CRD Number (if any): 15770	h the SEC, its file number (<i>e.g.</i>	, 801-, 8-, or 866-):	
			Location of the marketer's office City: NEW YORK	e used principally by the <i>private</i> State: New York	<i>e fund</i> (city, state and country): Country: United States	Yes No
		(f)	Does the marketer market the μ	<i>private fund</i> through one or mo	re websites?	00
		(g)	If the answer to question 28.(f)	is "yes," list the website addr	ess(es):	
				No Ir	formation Filed	
A. Pf	RIVATE	E FUN	D			
<u>Info</u>	rmatic	on Ab	out the Private Fund			
1.	N (b) <i>P</i> (i	/IAN F Private inclue	of the <i>private fund</i> : UNDS XV - CRS EVERGREEN (LUX e <i>fund</i> identification number: le the "805-" prefix also) 030489894	(MASTER)		
2.		r the State:	laws of what state or country is	s the <i>private fund</i> organized: Country: Luxembourg		
3.				. ,	ons serving in a similar capacity):	
			General Partner, Manager, Tru	stee, or Director		
	MAN	FUNI	OS UMBRELLA GP			

	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .	
	No Information Filed	
4	The <i>private fund</i> (check all that apply; you must check at least one):	
4.	(1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$ of the Investment Company Act of 1940	
	$\mathbf{\overline{C}}$ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
	Name of Country/English Name of Foreign Financial Regulatory Authority Luxembourg, Grand Duchy of - Commission to Surveillance of the Finance Sector	
6.	(a) Is this a "master fund" in a master-feeder arrangement?	Yes No
0.	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	00
	No Information Filed	
		Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	00
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	
	Name of <i>private fund</i> :	
	<i>Private fund</i> identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sectio	n 7.B.(1)
	for the master-feeder arrangement or reporting on the funds separately.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), the feeder funds answer the following questions:	for each of
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a	all of their
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
		Yes No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	o o
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	nt
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
		0 0
		Yes No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment	00
	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	
10.	What type of fund is the <i>private fund</i> ?	
	• hedge fund \circ liquidity fund \circ private equity fund \circ real estate fund \circ securitized asset fund \circ venture capital fund \circ other <i>priv</i>	vato fund:
		ate runu.
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the private fund:	
	\$ 83,413,049	
<u>O</u> w	nership	
<u>Ow</u>	<u>nership</u>	

NOTE: Report the amount routinely required of investors who are not your *related persons* (even if different from the amount set forth in the organizational documents of the fund).

13.	Approximate number of the <i>private fund's</i> beneficial owners: 8	
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 51%	
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0% 	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%	
<u>Yo</u>	ur Advisory Services	Yes No
17.	(a) Are you a subadviser to this private fund?	
	(b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the question 17. (a) is "no," leave this question blank.	
	No Information Filed	
		Yes No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	\circ \circ
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> to question 18.(a) is "no," leave this question blank.	If the answer
	Name of Other Adviser to <i>private fund</i> SEC file number CRD num	oer
	MAN GLOBAL PRIVATE MARKETS (UK) LIMITED801-110060198515	
	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? <i>NOTE: For purposes of this question, do not consider feeder funds of the private fund</i> . Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%	00
<u>Pri</u>	vate Offering	Yes No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0 0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):	
	No Information Filed	
B. S	ERVICE PROVIDERS	
<u>Auc</u>	ditors	Yes No
23.	(a) (1) Are the private fund's financial statements subject to an annual audit?	• •
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0 0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one you must complete questions (b) through (f) separately for each auditing firm.	auditing firm,
	Additional Auditor Information : 1 Record(s) Filed.	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than or auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	e
	(b) Name of the auditing firm: DELOITTE AUDIT	

(c) The location of the auditing firm's office responsible for the *private fund's* audit (city, state and country):

	State:	Country:		
LUXEMBOURG		Luxembourg	Ves	: No
(d) Is the auditing firm an <i>indeper</i>	ndent public accountant?		o	0
e) Is the auditing firm registered	with the Public Company Accounting	Oversight Board?	۲	0
If yes, Public Company Accour 1287	nting Oversight Board-Assigned Numbe	ЭГ:		
f) If "yes" to (e) above, is the au accordance with its rules?	uditing firm subject to regular inspection	on by the Public Company Accounting Oversight Board in	o	0
			Y	es N
	ial statements for the most recently co	ompleted fiscal year distributed to the <i>private fund's</i>	(•
all of the reports prepared by the	e auditing firm for the <i>private fund</i> sinc	e your last annual updating amendment contain unqualifi	ed opini	ons?
Yes O No O Report Not Yet Rec	ceived			
		nt to your Form ADV to update your response when the rep	ort is ava	ailable
ker				
			Y	es N
				•
•		· · ·	If the pi	rivate
dditional Prime Broker Informat	ion : 3 Record(s) Filed.			
J.P. MORGAN SECURITIES LLC	ed with the SEC, its registration numb	er:		
(d) Location of prime broker's official	ce used principally by the private fund	(city, state and country):		
City:	State:	Country:		
NEW YORK	New York	United States	Vor	No
(e) Does this prime broker act as	custodian for some or all of the privat	e fund's assets?	0	•
 (b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (c) If the prime broker is registered - CRD Number (if any): 	rime broker, you must complete quest	tions (b) through (e) separately for each prime broker. er:	s. If the	
•				
LONDON		•		
	LUXEMBOURG (d) Is the auditing firm an <i>indepen</i> (e) Is the auditing firm registered If yes, Public Company Accoun 1287 (f) If "yes" to (e) above, is the au accordance with its rules? (f) If "yes" to (e) above, is the au accordance with its rules? (f) If "yes" to (e) above, is the au accordance with its rules? (f) If "yes" to (e) above, is the au accordance with its rules? (f) If "yes" to (e) above, is the au accordance with its rules? (f) If "yes" to (e) above, is the au accordance with its rules? (f) If "yes" to (e) above, is the au accordance with its rules? (f) If the reports prepared by the Yes O No O Report Not Yet Received (f) No O Report Not Yet Received (f) No O Report Not Yet Received (f) the answer to question 24. (a) is additional Prime Broker Informat (f) the answer to question 24. (a) is arrivate fund uses more than one p (b) Name of the prime broker is register 8 - 35008 (CRD Number (if any)): 79 (d) Location of prime broker act as (f) The answer to question 24. (a) is arrivate fund uses more than one p (b) Name of the prime broker is register (c) If the prime broker is register (c) Does this prime broker act as (f) The answer to question 24. (a) is arrivate fund uses more than one p (b) Name of the prime broker is register (c) If the prime broker is regi	LUXEMBOURG (d) Is the auditing firm an <i>independent public accountant?</i> (e) Is the auditing firm registered with the Public Company Accounting If yes, Public Company Accounting Oversight Board-Assigned Number 1287 (f) If "yes" to (e) above, is the auditing firm subject to regular inspectiv accordance with its rules? e the <i>private fund</i> 's audited financial statements for the most recently co restors? e all of the reports prepared by the auditing firm for the <i>private fund</i> since 'yes O No O Report Not Yet Received 'you check "Report Not Yet Received 'you check "Report Not Yet Received," you must promptly file an amendment 'ser 'set the <i>private fund</i> use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) throug 'a uses more than one prime broker, you must complete questions (b) inditional Prime Broker Information : 3 Record(s) Filed. If the answer to question 24. (a) is "yes," respond to questions (b) throug ''you check is registered with the SEC, its registration numb 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker's office used principally by the <i>private fund</i> (lip: State: NEW YORK New York (e) Does this prime broker is registered with the SEC, its registration numb 1 - CRD Number (if any): 1 - MORGAN SECURITIES LC (c) If the prime broker is office used principally by the <i>private fund</i> (c) Name of the prime broker is neglistered with the SEC, its registration numb (c) Does this prime broker is neglistered with the SEC, its registration of the private (c) Name of the prime broker is neglistered with the SEC, its registration (c) throug (c) Name of the prime broker is neglistered with the SEC, its registration (c) throug (c) Does this prime broker is neglistered with the SEC, its registration (c) throug (c) Name of the prime broker is neglistered with the SEC, its registration numb (c) Does this prime broker is registered with the SEC, its registration numb (c) Number (if any): (c) Location of prime broker: suggestered with the SEC, its registration num	IURENING Examinary (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the lubic Company Accounting Oversight Board Assigned Number: 1287 (f) If yes, Funde Company Accounting Oversight Board Assigned Number: 1287 (f) If yes to (e) above is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board Assigned Number: 1287 (f) If yes to (e) above is the auditing firm for the private fund since your last annual quantified assigned fund with its nules? (g) If yes to (e) above is the auditing firm for the private fund since your last annual quantified assigned fund with the private fund with the reports property by the auditing firm for the private fund since your Form ADV to update your response when the report sectors? (g) If the private fund use one or more prime brokers? (f) Board Abor You Received ' you must promotions (b) through (c) below for each prime broker the private fund uses the report is private fund uses one then use private fund uses one then use private fund uses one firms broker is registered with the Sec. (f) Receives (c) Horough (c) below for each prime broker the private fund use to decession 2.4.1 (b) is 'yes.' respond to questions (c) through (c) below for each prime broker the private fund use to decession 2.4.1 (b) is 'yes.' respond to questions (c) through (c) below for each prime broker the private fund use to decession 2.1 (b) MORCAN SECURITIES LUC (g) Name of the prime broker. (f) MORCAN SECURITIES LUC (g) below for each prime broker the private fund uses toreach prime broker the private fund use to decession (c)	LLICONDURS Lowening LLICONDURS LLICONDURS Lowening LLICONDURS LLIC

		Y	es
(e) D	Does this prime broker act as custodian for some or all of the private fund's assets?	0)
	e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> us ate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		ıe
• •	Name of the prime broker: MORGAN STANLEY & CO. INTERNATIONAL PLC		
(c) I1	If the prime broker is registered with the SEC, its registration number:		
C	- CRD Number (if any):		
(d) L	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country: LONDON United Kingdom		
_		Y	es
(e) D	Does this prime broker act as custodian for some or all of the private fund's assets?	0	5
			Ĵ
	the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	f the pr	
the a	the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	f the <i>pri</i>	1
f the a <i>und</i> us	answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If	f the <i>pr</i> .	1
f the a <i>und</i> us	answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	f the <i>pr</i> .	(
f the a und us Additio	answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		(va
T the a und us Addition If the fund to (b) L	answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ional Custodian Information : 1 Record(s) Filed. the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses.		
The a und us Addition If the fund of (b) L T (c) P	answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ional Custodian Information : 1 Record(s) Filed. re answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian:		(va
The a and us Addition If the fund of (b) L T (c) P T	answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ional Custodian Information : 1 Record(s) Filed. e answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. I uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. I uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH Primary business name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH		(va
T the a und us Addition If the fund of (b) L T (c) P T (d) T	answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ional Custodian Information : 1 Record(s) Filed. The answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH Primary business name of custodian:		(va
The a und us Addition If the fund of (b) L T (c) P T (d) T C	answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ional Custodian Information : 1 Record(s) Filed. The answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. The answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. The answer than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH Primary business name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	If the p	riv
f the a und us Addition If the fund the fund the (b) L T (c) P T (d) T C L	answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If ses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Ional Custodian Information : 1 Record(s) Filed. I e answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. I uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. Legal name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH Primary business name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:	If the p	riv
The a and us Addition If the fund to (b) L T (c) P T (d) T C (e) I:	answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If sees more than one custodian, you must complete questions (b) through (g) separately for each custodian. ional Custodian Information : 1 Record(s) Filed. re answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH Primary business name of custodian: THE ISANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City:	If the p	riv
The a and us Addition If the fund of (b) L T (c) P T (d) T (c) I (c) I (answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If sees more than one custodian, you must complete questions (b) through (g) separately for each custodian. ional Custodian Information : 1 Record(s) Filed. te answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through g) below for each custodian. Legal name of custodian: THE BANK OF NEW YORK MELLON SA/NV, LUXEMBOURG BRANCH Primary business name of custodian: THE location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City:	If the p	riv
f the a und us Additio If the fund the fund the (b) L T (b) L T (c) P T (d) T (c) II (c)	answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If see more than one custodian, you must complete questions (b) through (g) separately for each custodian. Ional Custodian Information : 1 Record(s) Filed. I e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. It is an one custodian in the private fund uses. It is a broker-dealer, provide its SEC registration number (if any):	If the p	((rriv

Administrator

26. (a) Does the *private fund* use an administrator other than your firm?

Yes No \odot \circ

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	the answer to question 26.(a) is " Iministrator, you must complete qu				uses more than one	
(b)) Name of administrator:					
	THE BANK OF NEW YORK MELLON	I SA/NV, LUXEMBOURG	BRANCH			
(c)) Location of administrator (city, s	tate and country):				
	City:	Sta	ite:	Country:		
	LUXEMBOURG			Luxembourg		Yes
(d) Is the administrator a <i>related pe</i>	rson of your firm?				O
(e) Does the administrator prepare • Yes (provided to all investors)					
(f)	If the answer to question 26.(e) investors? If investor account st					
ocatio	ns) was the valuation determined	by such <i>person</i> .				
	s the <i>private fund</i> use the services	of someone other than	you or your <i>emp</i>	ovees for marketing purpo	ses?	Ŷ
You simil	s the <i>private fund</i> use the services must answer "yes" whether the <i>p</i> lar <i>person</i> . If the answer to questic s. If the <i>private fund</i> uses more tha	<i>erson</i> acts as a placeme n 28.(a) is "yes," respo	ent agent, consult ond to questions (ant, finder, introducer, mu b) through (g) below for e	nicipal advisor or other s ach such marketer the <i>j</i>	solicitor, private fu
Does You simil uses	must answer "yes" whether the <i>p</i> lar <i>person</i> . If the answer to questic	erson acts as a placeme n 28.(a) is "yes," respo n one marketer you mu	ent agent, consult ond to questions (ant, finder, introducer, mu b) through (g) below for e	nicipal advisor or other s ach such marketer the <i>j</i>	solicitor, private fu
Does You simil uses Adc	must answer "yes" whether the p lar <i>person</i> . If the answer to questic s. If the <i>private fund</i> uses more tha	erson acts as a placeme in 28.(a) is "yes," respo n one marketer you mu Record(s) Filed. <i>person</i> acts as a place estion 28.(a) is "yes,"	ent agent, consult ond to questions (ust complete ques ment agent, consu respond to questio	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, private fu r solicito the priva
Does You simil uses Adc Yo or ful	must answer "yes" whether the p lar person. If the answer to questic s. If the private fund uses more that ditional Marketer Information : 2 ou must answer "yes" whether the similar person. If the answer to qu	erson acts as a placeme in 28.(a) is "yes," respo n one marketer you mu Record(s) Filed. <i>person</i> acts as a place estion 28.(a) is "yes," ore than one marketer,	ent agent, consult ond to questions (ust complete ques ment agent, consu respond to questio	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, private fu r solicito the priva
Does You simil uses Adc Yo or ful	must answer "yes" whether the <i>p</i> lar <i>person</i> . If the answer to questic s. If the <i>private fund</i> uses more that ditional Marketer Information : 2 bu must answer "yes" whether the similar <i>person</i> . If the answer to qu <i>nd</i> uses. If the <i>private fund</i> uses m) Is the marketer a <i>related person</i>	erson acts as a placeme in 28.(a) is "yes," respo n one marketer you mu Record(s) Filed. <i>person</i> acts as a place estion 28.(a) is "yes," ore than one marketer,	ent agent, consult ond to questions (ust complete ques ment agent, consu respond to questio	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, brivate fu er solicito the priva narketer. Yes
Does You simil uses Adc Yo or ful (b) (c)	must answer "yes" whether the p lar person. If the answer to questic s. If the private fund uses more that ditional Marketer Information : 2 bu must answer "yes" whether the similar person. If the answer to qu nd uses. If the private fund uses m) Is the marketer a related person) Name of the marketer:	erson acts as a placeme in 28.(a) is "yes," respo n one marketer you mu Record(s) Filed. <i>person</i> acts as a place estion 28.(a) is "yes," ore than one marketer, of your firm?	ment agent, consult ment agent, consult ment agent, consu respond to questio you must comple	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below te questions (b) through (g	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, brivate fu er solicito the priva narketer. Yes
Does You simil uses Adc Yo or ful (b) (c)	must answer "yes" whether the p lar person. If the answer to questic s. If the private fund uses more that ditional Marketer Information : 2 bu must answer "yes" whether the similar person. If the answer to qu nd uses. If the private fund uses m) Is the marketer a related person) Name of the marketer: MAN INVESTMENTS AG	erson acts as a placeme in 28.(a) is "yes," respo n one marketer you mu Record(s) Filed. <i>person</i> acts as a place estion 28.(a) is "yes," ore than one marketer, of your firm?	ment agent, consult ment agent, consult ment agent, consu respond to questio you must comple	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below te questions (b) through (g	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, brivate fu er solicito the priva narketer. Yes
Does You simil uses Adc Yo or ful (b) (c) (d)	must answer "yes" whether the p lar person. If the answer to questic a. If the private fund uses more that ditional Marketer Information : 2 bu must answer "yes" whether the similar person. If the answer to qu nd uses. If the private fund uses m) Is the marketer a related person) Name of the marketer: MAN INVESTMENTS AG) If the marketer is registered with - and CRD Number (if any):) Location of the marketer's office	erson acts as a placeme in 28. (a) is "yes," respon n one marketer you mu Record(s) Filed. <i>person</i> acts as a placed estion 28. (a) is "yes," ore than one marketer, of your firm? In the SEC, its file numb	ent agent, consult ond to questions (ust complete ques ment agent, consu respond to question you must comple er (<i>e.g.</i> , 801-, 8-, 1	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below te questions (b) through (g) te questions (b) through (g) bor 866-):	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, brivate fu er solicito the priva narketer. Yes
Does You simil uses Adc Yo or ful (b) (c) (d)	 must answer "yes" whether the plar person. If the answer to questices. If the private fund uses more that ditional Marketer Information : 2 bu must answer "yes" whether the similar person. If the answer to querind uses. If the private fund uses merited with a set of the marketer is registered with and CRD Number (if any):) Location of the marketer's office City: 	erson acts as a placeme in 28. (a) is "yes," respon n one marketer you mu Record(s) Filed. person acts as a placed estion 28. (a) is "yes," ore than one marketer, of your firm?	ent agent, consult ond to questions (ust complete ques ment agent, consu respond to question you must comple er (<i>e.g.</i> , 801-, 8-, 1	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below te questions (b) through (g) te questions (b) through (g) te and country): country:	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, brivate fu er solicito the priva narketer. Yes
Does You simil uses Adc Yo or ful (b) (c) (d)	must answer "yes" whether the p lar person. If the answer to questic a. If the private fund uses more that ditional Marketer Information : 2 bu must answer "yes" whether the similar person. If the answer to qu nd uses. If the private fund uses m) Is the marketer a related person) Name of the marketer: MAN INVESTMENTS AG) If the marketer is registered with - and CRD Number (if any):) Location of the marketer's office	erson acts as a placeme in 28. (a) is "yes," respon n one marketer you mu Record(s) Filed. <i>person</i> acts as a placed estion 28. (a) is "yes," ore than one marketer, of your firm? In the SEC, its file numb	ent agent, consult ond to questions (ust complete ques ment agent, consu respond to question you must comple er (<i>e.g.</i> , 801-, 8-, 1	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below te questions (b) through (g) te questions (b) through (g) bor 866-):	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, brivate fu er solicito the priva narketer. Yes
Does You simil uses Adc Yo or ful (b) (c) (d)	 must answer "yes" whether the plar person. If the answer to questices. If the private fund uses more that ditional Marketer Information : 2 bu must answer "yes" whether the similar person. If the answer to querind uses. If the private fund uses merited with a set of the marketer is registered with and CRD Number (if any):) Location of the marketer's office City: 	erson acts as a placeme in 28. (a) is "yes," respon n one marketer you mu Record(s) Filed. person acts as a placed estion 28. (a) is "yes," ore than one marketer, of your firm? In the SEC, its file numb used principally by the State:	ent agent, consult ond to questions (ust complete ques ment agent, consu respond to questi- you must comple er (<i>e.g.</i> , 801-, 8-, -	ant, finder, introducer, mu b) through (g) below for e tions (b) through (g) separ ultant, finder, introducer, m ons (b) through (g) below te questions (b) through (g) te questions (b) through (g) state and country): Country: Switzerland	nicipal advisor or other s ach such marketer the p rately for each marketer nunicipal advisor or othe for each such marketer	solicitor, private fu : er solicito the priva narketer. Yes o

		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other so	olicito	pr.
		or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for each mark	priv	ate
			Yes	No
		(b) Is the marketer a <i>related person</i> of your firm?	\odot	0
		(c) Name of the marketer: MAN INVESTMENTS INC.		
		 (d) If the marketer is registered with the SEC, its file number (<i>e.g.</i>, 801-, 8-, or 866-): 8 - 32575 		
		and CRD Number (if any): 15770		
		(e) Location of the marketer's office used principally by the private fund (city, state and country):		
		City:State:Country:NEW YORKNew YorkUnited States		
			Yes	No
		(f) Does the marketer market the <i>private fund</i> through one or more websites?	0	o
		(g) If the answer to question 28.(f) is "yes," list the website address(es):		
		No Information Filed		
A. PF	RIVA	e fund		
<u>Info</u>	rmat	ion About the Private Fund		
1.	(a)	Name of the <i>private fund</i> :		
	()	MAN HIGHLANDS FUND LLC		
	(b)	Private fund identification number:		
		(include the "805-" prefix also) 805-2127570230		
2	Und	ar the laws of what state or country is the private fund organized.		
Ζ.	Und	er the laws of what state or country is the <i>private fund</i> organized: State: Country:		
		Delaware United States		
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
		ne of General Partner, Manager, Trustee, or Director		
	MA	N INVESTMENTS (USA) CORP.		
	(b)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
4.	The	private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	\checkmark	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	C	
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
			Y	'es No
6.		Is this a "master fund" in a master-feeder arrangement?		0 0
	(b)	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed]

	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issued	d
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		0
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	o	0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes O	No ©
10.	. What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other priva	te fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 547,787,827		
<u>0w</u>	vnership		
12.	. Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 25,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	ý	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		

0%

Your	r Ad	visory Services	Ye	s N
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	0	Ģ
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
		No Information Filed		
			Ye	s N
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	G
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ans	wer
		No Information Filed		
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	ve:	s N
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
	Appr 1%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priva	ate (Offering		
			Ye	s N
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	G
22	lf ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
. SE Audi	itors		Ye	s N
. SE Audi	itors (a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? 	c c	0
. SE Audi	i <mark>tors</mark> (a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	c c	0
. SE Audi	i <mark>tors</mark> (a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditin 	c c	0
. SE Audi	i <mark>tors</mark> (a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditin you must complete questions (b) through (f) separately for each auditing firm. 	c c	0
. SE Audi	i <mark>tors</mark> (a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditin you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one 	c c	0
. SE Audi	i <mark>tors</mark> (a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditin you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): 	c c	0
. SE Audi	i <mark>tors</mark> (a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditin you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP 	c c	0
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. SE Audi	i <mark>tors</mark> (a)	(1) Are the private fund's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditin you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: GRAND CAYMAN Cayman Islands (d) Is the auditing firm an independent public accountant?	€ g fir	No
. SE Audi	i <mark>tors</mark> (a)	(1) Are the private fund's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditin you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: GRAND CAYMAN Cayman Islands (d) Is the auditing firm an independent public accountant?	€ g firi Yes	No

(g) Are the *private fund's* audited financial statements for the most recently completed fiscal year distributed to the *private fund's* investors?

(h) Do all of the reports prepared by the auditing firm for the *private fund* since your last *annual updating amendment* contain unqualified opinions?

● Yes ● No ● Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime Broker

24. (a) Does the private fund use one or more prime brokers?

Yes No

Yes No

⊙ ○

0 0 If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

	No Info	ormation Filed	
an			Yes
Does the private fund use any c	ustodians (including the prime broker	s listed above) to hold some or all of its assets?	o
		rough (g) below for each custodian the <i>private fur</i> through (g) separately for each custodian.	nd uses. If the private
Additional Custodian Informa	tion : 1 Record(s) Filed.		
-	odian, you must complete questions MELLON f custodian:	through g) below for each custodian the <i>private f</i> (b) through (g) separately for each custodian.	<i>und</i> uses. If the <i>private</i>
(d) The location of the custor	dian's office responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	:
City:	State:	Country:	
NEW YORK	New York	United States	
(e) Is the custodian a related	<i>I person</i> of your firm?		Yes N
	er-dealer, provide its SEC registration	number (if any):	~ `
- CRD Number (if any):			
		it does not have an SEC registration number, prov	vide its <i>legal entity</i>

Administrator

26. (a) Does the private fund use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator:

THE BANK OF NEW YORK MELLON

(c) Location of administrator (city, state and country):

City:	State:	Country:	
WILMINGTON	Delaware	United States	Yes
(d) Is the administrator	a related person of your firm?		o res
			~
	or prepare and send investor account stat		
• Yes (provided to a	Il investors) OSome (provided to some bu	t not all investors) ONO (provided to no investo	ors)
		the investor account statements to the (rest of est of the) <i>private fund's</i> investors, respond "no	•
related person?	at percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an a	dministrator, that is r
vant quotes, and (ii) the v	•	procedure established for that asset, if any, incl criptions, redemptions or distributions, and fee o	
ers			Y
Does the <i>private fund</i> use	he services of someone other than you or y	your <i>employees</i> for marketing purposes?	
similar <i>person</i> . If the answe	r to question 28.(a) is "yes," respond to question	t, consultant, finder, introducer, municipal advis uestions (b) through (g) below for each such ma lete questions (b) through (g) separately for ea	arketer the <i>private fu</i>
similar <i>person</i> . If the answe uses. If the <i>private fund</i> us Additional Marketer Info	r to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to quest more than one marketer you must composite from the second (s) Filed.	uestions (b) through (g) below for each such ma	arketer the <i>private fui</i> ach marketer.
similar <i>person</i> . If the answeruses. If the <i>private fund</i> uses Additional Marketer Information Marketer Informati	r to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question than one marketer you must composite the composite term one marketer you must composite term one marketer yo	uestions (b) through (g) below for each such ma lete questions (b) through (g) separately for ea	arketer the <i>private fun</i> ach marketer. visor or other solicitor ch marketer the <i>priva</i>
similar <i>person</i> . If the answeruses. If the <i>private fund</i> uses. If the <i>private fund</i> uses. Additional Marketer Information of the Marketer Information of the Marketer Information of the State of the	r to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to quest more than one marketer you must comp rmation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agenswer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu	uestions (b) through (g) below for each such ma lete questions (b) through (g) separately for ea ent, consultant, finder, introducer, municipal adv to questions (b) through (g) below for each suc	arketer the <i>private fun</i> ach marketer. visor or other solicitor ch marketer the <i>priva</i> ly for each marketer. Yes I
similar <i>person</i> . If the answeruses. If the <i>private fund</i> uses Additional Marketer Information Marketer Informati	r to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to quest more than one marketer you must comp rmation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agenswer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu	uestions (b) through (g) below for each such ma lete questions (b) through (g) separately for ea ent, consultant, finder, introducer, municipal adv to questions (b) through (g) below for each suc	arketer the <i>private fun</i> ach marketer. visor or other solicitor ch marketer the <i>priva</i> ly for each marketer.
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similar <i>person</i> . If the answeruses. If the <i>private fund</i> uses. If the <i>private fund</i> uses. Additional Marketer Info You must answer "yes" or similar <i>person</i> . If the <i>a</i> <i>fund</i> uses. If the <i>private</i> (b) Is the marketer a <i>re</i> (c) Name of the marketer MAN INVESTMENTS I (d) If the marketer is re 8 - 32575 and CRD Number (if 15770 (e) Location of the mark City: NEW YORK (f) Does the marketer r	r to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question : 1 Record(s) Filed . whether the <i>person</i> acts as a placement agenswer to question 28.(a) is "yes," respond fund uses more than one marketer, you mutated person of your firm? ated person of your firm? er: NC. gistered with the SEC, its file number (<i>e.g.</i> , any): eter's office used principally by the <i>private of</i> State: New York	<pre>uestions (b) through (g) below for each such ma lete questions (b) through (g) separately for each ent, consultant, finder, introducer, municipal adv to questions (b) through (g) below for each suc st complete questions (b) through (g) separatel 801-, 8-, or 866-): fund (city, state and country): Country: United States e websites?</pre>	arketer the <i>private fun</i> ach marketer. visor or other solicitor ch marketer the <i>priva</i> ly for each marketer. Yes I ©

A. PRIVATE FUND

Information About the Private Fund

 (a) Name of the *private fund*: MAN INSTITUTIONAL PORTFOLIO EC FUND LIMITED
 (b) *Private fund* identification number: (include the "805-" prefix also)

	805-3412854833		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
0.	Name of General Partner, Manager, Trustee, or Director		
	ERIC FORTIER		
	JENNIFER COLLINS		
	SIMON PALMER		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one): \Box_{i} (1) qualifies for the evolution from the definition of investment company. Let of 1040		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	-	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	o
	Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section	n 7.B.(1)
	for the master-feeder arrangement or reporting on the funds separately.		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1),	for oach	of
7.	the feeder funds answer the following questions:		01
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially		
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	It issued	2
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme	ent	
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\odot	0
		• -	
0	During your last fiscal year, did the private fund invest in securities issued by investment comparise resistant worder the Investment	Yes	
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	\odot

	• hedge fund ^O liquidity fund ^O private equity fund ^O real estate fund ^O securitized asset fund ^O venture capital fund ^O Other <i>priva</i>	te fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 100,883,631		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	È	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 1		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>Yo</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ©
	(b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17. (a) is "no," leave this question blank.	to	e
	No Information Filed		
		Yes	No
18.	 (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>? (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank. 	O answ	© ver
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%		
<u>Pri</u>	vate Offering		
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes Ō	No ⓒ
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. S	ERVICE PROVIDERS		
Λ.	litere		
<u>AU(</u>	<u>ditors</u>	Yes	No

	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
	 (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: 		
	GRAND CAYMAN Cayman Islands	Ves	s No
	(d) Is the auditing firm an <i>independent public accountant</i> ?	O	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	o	0
		Y	es l
	re the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> avestors?		•
ר) D	o all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	l opini	ons?
	o all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified Yes O No O Report Not Yet Received	l opini	ons?
0		·	
C 13	Yes \circ No \circ Report Not Yet Received you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	·	
(li e Bro	Yes O No O Report Not Yet Received you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	t is ava	
(li e Bro a) D	Yes O No O Report Not Yet Received you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report ker oes the <i>private fund</i> use one or more prime brokers?	t is ava Y	ailab es I
(// e Bro a) C	Yes O No O Report Not Yet Received you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	t is ava Y	ailab es I
(// e Bro a) C	Yes No Report Not Yet Received you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report oker oes the <i>private fund</i> use one or more prime brokers? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If	t is ava Y	ailab es l
(} a) □ f(Yes O No O Report Not Yet Received you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report oker oes the private fund use one or more prime brokers? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If ind uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed	t is ava Y	ailab es I
()))))))))))))	Yes O No O Report Not Yet Received Yes O No O Report Not Yet Received, " you must promptly file an amendment to your Form ADV to update your response when the report option of the private fund use one or more prime brokers? The answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If und uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed	t is ava Y the p	ailab es I
(Yes O No O Report Not Yet Received Yes O No O Report Not Yet Received, " you must promptly file an amendment to your Form ADV to update your response when the report over oes the private fund use one or more prime brokers? The answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If ind uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed I oes the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? The answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (b) is the answer to question 25. (c) is "yes," respond to questions (c) through (g) below for each custodian the private fund uses.	t is ava Y the p	es I O rivato
()))))))))))))	Yes No Report Not Yet Received you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report oes the private fund use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If and uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed	t is ava Y the p	es I O rivato
()))))))))))))	P Yes O No O Report Not Yet Received <i>i you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report operation of the private fund use one or more prime brokers?</i> the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>und</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>ind</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed.	t is ave Y the priva	es I O es I o te
())) ())) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ()) ())) ())) ()))) ()))) ())))) ()))) ()))) ()))) ())))) ())))) ())))) ())))) ()))) ())))) ())))) ()))) ()))) ()))) ()))) ()))) ()))) ()))) ()))) ()))) ()))) ()))) ()))) ()))) ())))) ())))) ()))) ()))))) ()))) ())))) ())))) ())))) ())))) ())))) ()))) ())))) ())))) ())))) ())))) ()))) ())))) ())))) ())))) ())))) ())))) ())))) ())))) ())))) ())))) ())))) ()))))) ()))))) ()))))))) ())))))))))) ()))))))) ()	Pres O No O Report Not Yet Received Type of the private fund use one or more prime brokers? The answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If and uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed No Information Filed In the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer than one custodian, you must complete questions (b) through (g) separately for each custodian.	t is ave Y the priva	es o es o te
()))))))))))))	P Yes O No O Report Not Yet Received <i>you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report integration of the private fund use one or more prime brokers?</i> the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>and</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i></i>	t is ave Y the priva	es I O es I o te
()))))))))))))	P Yes C No C Report Not Yet Received 'you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report induses the private fund use one or more prime brokers? The answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If and uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed No Information Filed I the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the and uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the and uses more than one custodian, you must complete questions (b) through (g) below for each custodian the private fund uses. If the and uses more than one custodian, you must complete questions (b) through (g) below for each custodian the private fund uses. If the and uses more than one custodian, you must complete questions (b) through (g) below for each custodian the private fund uses. If the and uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:	t is ave Y the priva	es o es o te

(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?

	City:	State:	Country:	
	LONDON		United Kingdom	
				Yes No
(e)	Is the custodian a re	elated person of your firm?		00
(f)	If the custodian is a	broker-dealer, provide its SEC regis	tration number (if any):	
	- CRD Number (if any):			
(g)		ot a broker-dealer, or is a broker-de	ealer but does not have an SEC registration n	umber, provide its <i>legal entity</i>
	<i>identifier</i> (if any)			
	HPFHU00Q28E4N0N	-VK49		
<u>strato</u>	<u>r</u>			Yes No
Does	the private fund use a	an administrator other than your firr	n?	
		, s	s (b) through (f) below. If the <i>private fund</i> use	
	•	b) through (f) separately for each ac		es more than one auministrator, you
Add	itional Administrator	Information : 1 Record(s) Filed.		
		26.(a) is "yes," respond to questio complete questions (b) through (f) :	ons (b) through (f) below. If the <i>private fund</i> useparately for each administrator.	uses more than one
4.5				
(b)	Name of administrate			
	BINY MELLON FUND S	ERVICES (IRELAND) DESIGNATED AC		
(c)	Location of administr	rator (city, state and country):		
	City:	State:	Country:	
	DUBLIN 1		Ireland	Yes No
(d)	Is the administrator	a related person of your firm?		
				~ ~
(e)			unt statements to the <i>private fund's</i> investors	
	Yes (provided to a	II investors) OSome (provided to s	some but not all investors) \bigcirc No (provided to	no investors)
(f)	If the answer to que	stion 26.(e) is "no" or "some." who	sends the investor account statements to th	ne (rest of the) private fund's
	•		o the (rest of the) <i>private fund's</i> investors, re	
ing yo	our last fiscal year, wh	nat percentage of the private fund's a	assets (by value) was valued by a <i>person</i> , suc	ch as an administrator, that is not
	ted person?	- ,		
)%				
	•	•	luation procedure established for that asset, or subscriptions, redemptions or distributions	
	•	determined by such <i>person</i> .		
ers				
51 5				Yes No
Does	the <i>private fund</i> use t	he services of someone other than	you or your <i>employees</i> for marketing purpose	es? © O
simila	ar <i>person</i> . If the answe	er to question 28.(a) is "yes," respo	nt agent, consultant, finder, introducer, muni nd to questions (b) through (g) below for eac st complete questions (b) through (g) separa	ch such marketer the private fund
Add	itional Marketer Info	rmation : 1 Record(s) Filed.		

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

		(b)	Yes Is the marketer a <i>related person</i> of your firm?		
				0	
		` ´	Name of the marketer: MAN INVESTMENTS INC.		
			If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-): 8 - 32575		
			and CRD Number (if any): 15770		
			Location of the marketer's office used principally by the <i>private fund</i> (city, state and country): City: State: Country:		
			NEW YORK New York United States		
		(f)	Does the marketer market the private fund through one or more websites? O	No ©	
		(g)	If the answer to question 28.(f) is "yes," list the website address(es):		
			No Information Filed		
A. PI	RIVA I	E FUN			
<u>Info</u>	rmat	ion Ak	bout the Private Fund		
1.			e of the <i>private fund</i> : INSTITUTIONAL PORTFOLIO HAWKEYE LLC		
			te fund identification number:		
	(0)		ude the "805-" prefix also)		
		805-4	4969699139		
2.	Und	or tho	e laws of what state or country is the <i>private fund</i> organized:		
۷.		State:			
		Delaw	•		
2		Nomo	a(a) of Conaral Partner, Managar, Tructed, or Directors (or persons conving in a similar conasity).		
3.			e(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): General Partner, Manager, Trustee, or Director		
			ESTMENTS (USA) CORP.		
	(b)	If filin	ng an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
			No Information Filed		
4.		•	te fund (check all that apply; you must check at least one):		
			ualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the na	ame and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
			No Information Filed		
			N	Yes	No
6.	(a)	Is this	is a "master fund" in a master-feeder arrangement?	0	\odot
	(b)	If yes	s, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
			No Information Filed		
			· · · · · · · · · · · · · · · · · · ·	Vac	Na
	(c)	ls this	ie e "feeder fund" in e meeter feeder errengement?	Yes	
			s, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	\odot
		-	e of private fund:		

Private fund identification number:
(include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1)
for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	\circ
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\odot	\circ
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes O	No ©
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other privat	te fur	าd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 104,792,619		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 0 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0% 		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>Yo</u> ı	ur Advisory Services		

	Yes	, Nc	С
17. (a) Are you a subadviser to this <i>private fund</i> ?	0	\odot	5

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the *private fund*. If the answer to question 17.(a) is "no," leave this question blank.

	No Information Filed				
		Ye	s No		
18. (a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot		
(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If t to question 18.(a) is "no," leave this question blank.	he ans	wer		
	No Information Filed				
		Ye	s No		
	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot		
NO	TE: For purposes of this question, do not consider feeder funds of the private fund.				
20. Apr 1%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?				
Private	Offering	N -			
21. Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	ve: O	s No		
22. lfy	yes, provide the <i>private fund's</i> Form D file number (if any):				
	No Information Filed				
8. SERVI	ICE PROVIDERS				
Auditor	'S				
		Ye	s No		
23. (a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	\odot	0		
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	O	0		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	ting firi	n,		
	Additional Auditor Information : 1 Record(s) Filed.				
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.				
	(b) Name of the auditing firm:				
	DELOITTE IRELAND LLP				
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):				
	City: State: Country:				
	City: State: Country: DUBLIN Ireland	Vos	No		
		Yes ©	No		
	DUBLIN Ireland		-		
	DUBLIN Ireland (d) Is the auditing firm an <i>independent public accountant</i> ? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	۲	0		
	DUBLIN Ireland (d) Is the auditing firm an independent public accountant?	۲	0		
	DUBLIN Ireland (d) Is the auditing firm an <i>independent public accountant</i> ? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number:	۲	0		
	DUBLIN Ireland (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1193 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in	•	0		
	DUBLIN Ireland (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 1193 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in	•	O O S No		

○ Yes ○ No ⊙ Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

24. (a) Does the private fund use one or more prime brokers?

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

No Information Filed

Custodian

25. (a) Does the *private fund* use any custodians (including the prime brokers listed above) to hold some or all of its assets?

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Inform	ation : 1 Record(s) Filed.		
		through g) below for each custodian the (b) through (g) separately for each custod	
(b) Legal name of custodian THE BANK OF NEW YORK			
(c) Primary business name THE BANK OF NEW YORK			
(d) The location of the custo	dian's office responsible for <i>custody</i> of	the private fund's assets (city, state and c	country):
City: NEW YORK	State: New York	Country: United States	
			Yes No
(e) Is the custodian a relate	d person of your firm?		00
(f) If the custodian is a brok	cer-dealer, provide its SEC registration	number (if any):	
- CRD Number (if any):			
(g) If the custodian is not a <i>identifier</i> (if any)	broker-dealer, or is a broker-dealer bu	It does not have an SEC registration numb	per, provide its <i>legal entity</i>
HPFHU00Q28E4N0NFVK	49		

Administrator

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator: THE BANK OF NEW YORK MELLON

(c) Location of administrator (city, state and country):

City: State: WILMINGTON Delaware Country: United States

(d) Is the administrator a *related person* of your firm?

Yes No

Yes No

 \circ

 \odot

Yes No

Yes No

0

 \odot

- (e) Does the administrator prepare and send investor account statements to the *private fund's* investors?
 Some (provided to some but not all investors)
 No (provided to no investors)
- (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."
- 27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

• •

Yes No

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

				Yes No)
(b)	Is the marketer a related pe	erson of your firm?		• •	I
(c)	Name of the marketer: MAN INVESTMENTS INC.				
(d)	If the marketer is registere	d with the SEC, its file number (<i>e.g.</i>	, 801-, 8-, or 866-):		
	8 - 32575				
	and CRD Number (if any):				
	15770				
(e)	Location of the marketer's	office used principally by the <i>private</i>	fund (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes No)
(f)	Does the marketer market	the private fund through one or more	re websites?	00	I
(g)	If the answer to question 2	8.(f) is "yes," list the website addre	ess(es):		
		No In	formation Filed		

A. PRIVATE FUND

Information About the Private Fund

- 1. (a) Name of the *private fund*: MAN INSTITUTIONAL PORTFOLIO LAB LLC
 - (b) Private fund identification number: (include the "805-" prefix also)
 805-9303194834
- Under the laws of what state or country is the *private fund* organized: State: Country:

	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	MAN INVESTMENTS (USA) CORP.		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	\Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Vos	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	\odot
	Name of <i>private fund</i> :		
	<i>Private fund</i> identification number: (include the "805-" prefix also)		
	(include the 805- prenx also)		
7.	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it		
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	Ves	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?		0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmer vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	_	č
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	\odot	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	o
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other priva	ate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		

\$84,195,580

<u>Ownership</u>

12.		imum investment commitment required of an investor in the <i>private fund</i> :		
	ΝΟΤ	0,000,000 FE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).	ŗ	
13.	Арр 2	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha 1%	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the private fund beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha 0%	at is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		
<u>Yoı</u>	ur Ad	lvisory Services		
			Yes	No
17.		Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(d)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	\odot
	(0)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	ei
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Арр 1%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	vate	Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes O	No ©
22.	lf ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. S	ERVI	CE PROVIDERS		
Auc	ditors			
Aut			Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	\odot	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	\odot	\circ
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	յ firm	,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		

	(b) Name of the auditing firm: DELOITTE IRELAND		
	 (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: DUBLIN Ireland 		
	(d) Is the auditing firm an <i>independent public accountant</i> ?	Yes ©	No
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	o	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1193		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	0
-	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	Ye	es No
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified of	opinic	ns?
	Yes ○ No ○ Report Not Yet Received Yes ○ No ○ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable.
Prime B	roker	۲e	es No
24. (a)	Does the <i>private fund</i> use one or more prime brokers?	C	
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	ne <i>pri</i>	vate
	No Information Filed		
Custodia	an		
			es No
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	e privat	
	Additional Custodian Information : 1 Record(s) Filed.		
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	e priva	ate
	(b) Legal name of custodian: THE BANK OF NEW YORK MELLON		
	(c) Primary business name of custodian: THE BANK OF NEW YORK MELLON		
	(d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):City:State:NEW YORKNew YorkUnited States		
	(e) Is the custodian a <i>related person</i> of your firm?	Yes Ö	No ©
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):		

CRD Number (if any):

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)

HPFHU00Q28E4N0NFVK49

Administrator

26	(a)	Does the	e private fund use	an adn	ninistrator	other	than	vour firm?
20.	(u)	D005 th	private rana ase	un uun	ministrator	other	unun	your minn.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator:

THE BANK OF NEW YORK MELLON

(c) Location of administrator (city, state and country):

Cit	Х У :	State:	Country:		
WI	ILMINGTON	Delaware	United States		
				Yes	No
(d) Is	the administrator a <i>related person</i> of your f	irm?		0	\odot

(e) Does the administrator prepare and send investor account statements to the *private fund's* investors?

- (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."
- 27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

- (b) Is the marketer a *related person* of your firm?
- (c) Name of the marketer: MAN INVESTMENTS INC.

(d) If the marketer is registered with the SEC, its file number (*e.g.*, 801-, 8-, or 866-):

8 - 32575

Yes No

Yes No

⊙ ○

⊙ ○

Yes No

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		and CRD Number (if any) 15770	:		
		a) Location of the marketer	's office used principally by the priva	to fund (city, state and country).	
		City:	State:	Country:	
		NEW YORK	New York	United States	
	(1	f) Does the marketer mark	et the <i>private fund</i> through one or m	nore websites?	Yes No
					00
	(g) If the answer to question	n 28.(f) is "yes," list the website add		
			No	Information Filed	
4. PI	RIVATE F	UND			
nfo	rmation	About the Private Fund			
1		as of the private fund			
1.		me of the <i>private fund</i> : N RISK PREMIA SPC - MAN A	LTERNATIVE RISK PREMIA SP		
		vate fund identification numb			
	• •	clude the "805-" prefix also)			
	805	5-4236981668			
2.	Under t	the laws of what state or co	untry is the <i>private fund</i> organized:		
	Sta	ite:	Country:		
			Cayman Islands		
3.	(a) Nar	me(s) of General Partner, Ma	anager, Trustee, or Directors (or <i>per</i>	sons serving in a similar capacity):	
	Name	of General Partner, Manag	er, Trustee, or Director		
	CASSA	NDRA POWELL			
	JENNIF	ER THOMSON			
	NICK G	GAZE			
	(b) If fi	iling an <i>umbrella registration</i>		<i>ving adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i>	•
				ormation Filed	
4.	The <i>pri</i>	wate fund (check all that ann	ly; you must check at least one):		
1.	-			pany under section 3(c)(1) of the Investment Company Act c	of 1940
				pany under section 3(c)(7) of the Investment Company Act c	
5.	List the	name and country, in Engli	sh, of each foreign financial regulator	y authority with which the private fund is registered.	
			of Foreign Financial Regulatory Au	uthority	
	Cayma	in Islands - Cayman Islands	Monetary Authority		
,					Yes No
6.		this a "master fund" in a ma	-		00
	(b) If y	ies, what is the name and p		any) of the feeder funds investing in this <i>private fund</i> ?	
				ormation Filed	
					Yes No
	(c) Ist	this a "feeder fund" in a ma	ster-feeder arrangement?		0 0
			-	any) of the master fund in which this <i>private fund</i> invests?	\sim \sim
		me of <i>private fund</i> :			
	Priv	vate fund identification numb	er:		
		clude the "805-" prefix also)			

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\odot	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	\odot
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • other priva	te fur	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 2,309,263,327		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 100,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 58		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0% 		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Ö	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 58%		
Υοι	Ir Advisory Services		
		Yes	No

17. (a) Are you a subadviser to this *private fund*?

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the *private fund*. If the answer to question 17.(a) is "no," leave this question blank.

No Information File	d
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18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?

Yes No

0 0

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(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the *private fund*. If the answer to question 18.(a) is "no," leave this question blank.

		No Information Filed		
			Yes	s No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Appr 9%	oximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Pri	vate (Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye	s No O
			e	^v
22.		es, provide the <i>private fund's</i> Form D file number (if any):		
		n D file number -286807		
	021	-20007		
B. S	ERVIC	E PROVIDERS		
Au	ditors			
0.5			Ye	s No
23.		(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	O	
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	ting firi	n,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
		 (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: GRAND CAYMAN Cayman Islands 		
			Yes	No
		(d) Is the auditing firm an <i>independent public accountant</i> ?	\odot	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0
			Ye	s No
		Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	œ	0
	(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinio	ns?
		● Yes ● No ● Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is avai	lable.
<u>Pri</u>	me Bi	roker		
ე ∦	(2)	Does the <i>private fund</i> use one or more prime brokers?	-	s No
∠4.		If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	C the <i>pri</i> v	

No Information Filed

		Y
		rs listed above) to hold some or all of its assets?
• • • • • •	• • • • •	hrough (g) below for each custodian the <i>private fund</i> uses. If the <i>priva</i> b) through (g) separately for each custodian.
Additional Custodian Information	: 1 Record(s) Filed.	
• • • • •	• • • • • •	through g) below for each custodian the <i>private fund</i> uses. If the <i>priv</i> (b) through (g) separately for each custodian.
(b) Legal name of custodian: THE BANK OF NEW YORK MEL	LON	
(c) Primary business name of cus THE BANK OF NEW YORK MEL		
(d) The location of the custodian	's office responsible for <i>custody</i> of	f the private fund's assets (city, state and country):
City: NEW YORK	State: New York	Country: United States
NEW TORK	New TOTK	Yes
(e) Is the custodian a <i>related per</i>	son of your firm?	0
(f) If the custodian is a broker-d	ealer, provide its SEC registration	number (if any):
CRD Number (if any):		
(g) If the custodian is not a brok <i>identifier</i> (if any)	er-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal entity</i>
HPFHU00Q28E4N0NFVK49		
nistrator		Y
a) Does the <i>private fund</i> use an admin	istrator other than your firm?	
If the answer to question 26.(a) is must complete questions (b) throug		nrough (f) below. If the <i>private fund</i> uses more than one administrator
Additional Administrator Informa	tion · 1 Pecord(s) Filed	

(b)	Name of administrator:
	BNY MELLON FUND SERVICES (IRELAND) DESIGNATED ACTIVITY COMPANY

(C)	Location of administrator (city, state and	country):	
	City: DUBLIN	State:	Country: Ireland

(d) Is the administrator a *related person* of your firm?

Yes No

00

(e)	Does the administrator prepare and send investor account statements to the private fund's investors?
	● Yes (provided to all investors) ○ Some (provided to some but not all investors) ○ No (provided to no investors)

(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's

investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

Yes No

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 \odot

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 2 Record(s) Filed.

NEW YORK

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

			Yes N	10
(b)	Is the marketer a <i>related person</i> of your firm?		•	0
(c)	Name of the marketer: MAN INVESTMENTS AG			
(d)	If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):		
	-			
	and CRD Number (if any):			
(e)	Location of the marketer's office used principally by the principally by the principally by the principally by the principal	vate fund (city, state and country):		
	City: State:	Country:		
	PFAFFIKON	Switzerland		
			Yes M	l o
(f)	Does the marketer market the private fund through one or	more websites?	0 0	•
(g)	If the answer to question 28.(f) is "yes," list the website a	ddress(es):		
	No	o Information Filed		

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

		Yes	No
(b)	Is the marketer a <i>related person</i> of your firm?	\odot	0
(C)	Name of the marketer: MAN INVESTMENTS INC.		
(d)	If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-): 8 - 32575 and CRD Number (if any): 15770		
(e)	Location of the marketer's office used principally by the private fund (city, state and country):		
	City: State: Country:		

United States

New York

	(f) Does the marketer market the private fund through one or more websites? C	es No	
	(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed		
A. P	RIVATE FUND		
Info	ormation About the Private Fund		
1.	(a) Name of the <i>private fund</i> :		
	MAN RISK PREMIA SPC - MAN DIVERSIFIED RISK PREMIA SP		
	(b) <i>Private fund</i> identification number: (include the "805-" prefix also)		
	805-9917789029		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
۷.	State: Country:		
	Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CASSANDRA POWELL		
	JENNIFER THOMSON		
	NICK GAZE		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
		Yes	s No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	s No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of <i>private fund</i> :		
	<i>Private fund</i> identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.((1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or eac	h of

No Information Filed

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	nt	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\odot	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	۲
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • other priva	≀ate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund:</i> \$ 378,825,913		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 100,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	ne	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 7		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0% 		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 57%		
<u>You</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this private fund?	Yes	No
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		Č
	No Information Filed		
		Voc	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	Yes	_
10.	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If th to question 18.(a) is "no," leave this question blank.	C e answ	© ver
	No Information Filed		
		Yes	No
19	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	-	-
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	0	O

20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%		
<u>Priv</u>	rate Offering		
0.1		Ye	s No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	⊙
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. SE	RVICE PROVIDERS		
<u>Aud</u>	itors		
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Ye	s No
201	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	e e	~~~
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aud you must complete questions (b) through (f) separately for each auditing firm.		
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City:State:Country:GRAND CAYMANCayman Islands		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	\odot	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	o	0
		Ye	s No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	o	
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	d opinio	ns?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is avai	lable.
Prin	ne Broker	N -	
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	Ye O	s No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
	No Information Filed		

-	dditional Custodian Informati	on : 1 Record(s) Filed.		
	•		through g) below for each custodian the <i>priva</i> (b) through (g) separately for each custodian.	<i>te fund</i> uses. If the <i>priv</i>
	(b) Legal name of custodian: THE BANK OF NEW YORK M	ELLON		
	(c) Primary business name of THE BANK OF NEW YORK M			
	(d) The location of the custodia	an's office responsible for <i>custody</i> of	the private fund's assets (city, state and count	ry):
	City: NEW YORK	State: New York	Country: United States	
	NEW YORK	New YOLK	United States	Yes
	(e) Is the custodian a related μ	person of your firm?		0
	(f) If the custodian is a broker	-dealer, provide its SEC registration	number (if any):	
	- CRD Number (if any):			
	(g) If the custodian is not a br <i>identifier</i> (if any)	oker-dealer, or is a broker-dealer bu	t does not have an SEC registration number, p	provide its <i>legal entity</i>
	HPFHU00Q28E4N0NFVK49			

Additional Administrato	r Information : 1 Record(s) Filed.		
	on 26.(a) is "yes," respond to questions (b) t complete questions (b) through (f) separa		more than one
(b) Name of administra	tor:		
BNY MELLON FUND	SERVICES (IRELAND) DESIGNATED ACTIVITY	COMPANY	
(c) Location of adminis	trator (city, state and country):		
City:	State:	Country:	
DUBLIN		Ireland	
			Yes No
(d) Is the administrato	r a related person of your firm?		00
(e) Does the administr	ator prepare and send investor account sta	tements to the <i>private fund's</i> investors?	
⊙Yes (provided to	all investors) O Some (provided to some be	ut not all investors) ${igcar_{ extsf{O}}}$ No (provided to no	investors)
(f) If the answer to qu	estion 26.(e) is "no" or "some," who sends	the investor account statements to the (re	est of the) private fund's
investors? If invest	or account statements are not sent to the (rest of the) private fund's investors, respon	nd "not applicable."

27. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person? 100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

28.	28. (a) Does the <i>private fund</i> use the services of someone other than you or your <i>employ</i>	yees for marketing purposes?

Yes No

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You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 2 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

		Yes	No
(b)	b) Is the marketer a <i>related person</i> of your firm?	۲	0
(c)) Name of the marketer: MAN INVESTMENTS AG		
	MAN INVESTMENTS AG		
(d)	I) If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):		
	and CRD Number (if any):		
(e)	e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	PFAFFIKON Switzerland		
		Yes	No
(f)	Does the marketer market the <i>private fund</i> through one or more websites?	0	\odot
(g)) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

				Yes	No
(b)	Is the marketer a <i>related person</i> of yo	our firm?		\odot	0
(c)	Name of the marketer: MAN INVESTMENTS INC.				
(d)	If the marketer is registered with the	SEC, its file number (e.g.,	801-, 8-, or 866-):		
	8 - 32575				
	and CRD Number (if any): 15770				
(e)	Location of the marketer's office used	d principally by the <i>private</i>	fund (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(f)	Does the marketer market the private	<i>e fund</i> through one or mor	e websites?	0	\odot
(g)	If the answer to question 28.(f) is "y	es," list the website addre	ss(es):		
		No Inf	ormation Filed		

Α. Ρ	RIVATE FUND		
<u>Infc</u>	ormation About the Private Fund		
1.	 (a) Name of the <i>private fund</i>: MAN RISK PREMIA SPC - MAN PROGRESSIVE DIVERSIFIED RISK PREMIA SP (b) <i>Private fund</i> identification number: (include the "805-" prefix also) 805-2866872226 		
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CASSANDRA POWELL		
	JENNIFER THOMSON		
	NICK GAZE		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
4.	 The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority		
/	(a) la thia a "maatan fuud" in a maatan faadan amamamanta	Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	o
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
	 (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i>: 	Yes O	No ©
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		

8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	\odot	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	۲
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund ^O liquidity fund ^O private equity fund ^O real estate fund ^O securitized asset fund ^O venture capital fund ^O Other <i>priva</i>	te fur	าd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 1,098,445,935		
<u>Ow</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 100,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	ž	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 13		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0% 		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		
<u>Yo</u> ı	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this private fund?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	_
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 2%		
<u>Pri</u>	vate Offering	X.	. .
		res	No

21. Has the *private fund* ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

0 0

	yes, provide the <i>private fund's</i> Form D file number (if any): No Information Filed		
B. SERV	VICE PROVIDERS		
Audito	<u>rs</u>		
23 (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		es No
20. (0	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.		~ ~~
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	GRAND CAYMAN Cayman Islands	Vaa	Na
	(d) Is the auditing firm an independent public accountant?	Yes ©	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	٥	0
		Y€	es No
(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinic	ns?
	Yes ○ No ○ Report Not Yet Received Yes ○ No ○ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable.
<u>Prime</u>	Broker	Ye	es No
24. (a) Does the <i>private fund</i> use one or more prime brokers?	c	_
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the pri	ivate
	No Information Filed		
Custo	lian		
ΩF () Doos the private fundues any sustadians (including the prime brokers listed should be held some as all of its consta		es No
∠ɔ. (a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	e privat	

Additional Custodian Information : 1 Record(s) Filed.

	Legal name of custodian: THE BANK OF NEW YORK MEI	LLON		
(c)	Primary business name of cu THE BANK OF NEW YORK MEI			
(d)	The location of the custodian	's office responsible for <i>custody</i> o	f the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Y
(e)	Is the custodian a related pe	<i>rson</i> of your firm?		C
(f)	If the custodian is a broker-c	lealer, provide its SEC registration	number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a brok <i>identifier</i> (if any)	ker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provi	de its <i>legal entit</i>
	HPFHU00Q28E4N0NFVK49			
If the must	the <i>private fund</i> use an admir e answer to question 26.(a) is	gh (f) separately for each adminis	nrough (f) below. If the <i>private fund</i> uses more than trator.	one administra
Does If the must Add If t	the <i>private fund</i> use an admir e answer to question 26.(a) is complete questions (b) throu itional Administrator Information the answer to question 26.(a)	"yes," respond to questions (b) the gh (f) separately for each administ ation : 1 Record(s) Filed.	trator. through (f) below. If the <i>private fund</i> uses more th	
Does If the must Add If t adr	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa the answer to question 26. (a) ministrator, you must complet	"yes," respond to questions (b) the gh (f) separately for each administ ation : 1 Record(s) Filed.	trator. through (f) below. If the <i>private fund</i> uses more th	
Does If the must Add If t adr	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa the answer to question 26. (a) ministrator, you must complet Name of administrator:	"yes," respond to questions (b) the gh (f) separately for each administ ation : 1 Record(s) Filed.	trator. through (f) below. If the <i>private fund</i> uses more th tely for each administrator.	
Does If the must Add If t adr (b)	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Information the answer to question 26. (a) ministrator, you must complet Name of administrator: BNY MELLON FUND SERVICES	"yes," respond to questions (b) the gh (f) separately for each administion : 1 Record(s) Filed . is "yes," respond to questions (b) e questions (b) through (f) separates (b) through (f) separates (IRELAND) DESIGNATED ACTIVIT	trator. through (f) below. If the <i>private fund</i> uses more th tely for each administrator.	
Does If the must Add If t adr	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa the answer to question 26. (a) ministrator, you must complet Name of administrator: BNY MELLON FUND SERVICES	"yes," respond to questions (b) the gh (f) separately for each administion : 1 Record(s) Filed . is "yes," respond to questions (b) e questions (b) through (f) separates (b) through (f) separates (IRELAND) DESIGNATED ACTIVIT	trator. through (f) below. If the <i>private fund</i> uses more th tely for each administrator.	
Does If the must Add If t adr (b)	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa the answer to question 26. (a) ministrator, you must complet Name of administrator: BNY MELLON FUND SERVICES Location of administrator (cit	"yes," respond to questions (b) the gh (f) separately for each administ ation : 1 Record(s) Filed. is "yes," respond to questions (b) e questions (b) through (f) separate (IRELAND) DESIGNATED ACTIVIT	trator. through (f) below. If the <i>private fund</i> uses more th tely for each administrator.	an one
Does If the must Add If t adr (b) (c)	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa the answer to question 26. (a) ministrator, you must complet Name of administrator: BNY MELLON FUND SERVICES Location of administrator (cit City:	"yes," respond to questions (b) the gh (f) separately for each administration : 1 Record(s) Filed. is "yes," respond to questions (b) e questions (b) through (f) separates 6 (IRELAND) DESIGNATED ACTIVITERY, state and country): State:	trator. through (f) below. If the <i>private fund</i> uses more th tely for each administrator. Y COMPANY Country:	an one
Does If the must Add If t adr (b) (c) (d)	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Information the answer to question 26. (a) ministrator, you must complet Name of administrator: BNY MELLON FUND SERVICES Location of administrator (cit City: DUBLIN Is the administrator a <i>related</i> Does the administrator prep	"yes," respond to questions (b) the gh (f) separately for each administration : 1 Record(s) Filed. is "yes," respond to questions (b) e questions (b) through (f) separates 6 (IRELAND) DESIGNATED ACTIVITER, state and country): State: <i>d person</i> of your firm? are and send investor account states	trator. through (f) below. If the <i>private fund</i> uses more th tely for each administrator. Y COMPANY Country:	an one Ye: O
Does If the must Add If t adr (b) (c) (d)	the <i>private fund</i> use an admir e answer to question 26. (a) is complete questions (b) throu itional Administrator Information the answer to question 26. (a) ministrator, you must complet Name of administrator: BNY MELLON FUND SERVICES Location of administrator (cit City: DUBLIN Is the administrator a <i>related</i> Does the administrator prep	"yes," respond to questions (b) the gh (f) separately for each administration : 1 Record(s) Filed. ation : 1 Record(s) Filed. is "yes," respond to questions (b) e questions (b) through (f) separately for each administration (f) separately (f) s	trator. through (f) below. If the <i>private fund</i> uses more th tely for each administrator. Y COMPANY Country: Ireland tements to the <i>private fund's</i> investors?	an one Ye o) private fund's

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

Marketers

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You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

or similar <i>person</i> . If the answe	er to question 28.(a) is "yes," respo	agent, consultant, finder, introducer, municipal a and to questions (b) through (g) below for each s must complete questions (b) through (g) separa	such marketer the <i>privat</i>
(b) Is the marketer a <i>related</i>	person of your firm?		Yes N
	person of your minit		⊙ (
c) Name of the marketer: MAN INVESTMENTS AG			
d) If the marketer is register	red with the SEC, its file number (<i>e</i> .	<i>g.</i> , 801-, 8-, or 866-):	
and CRD Number (if any):			
e) Location of the marketer's	s office used principally by the <i>priva</i>	ate fund (city, state and country):	
City:	State:	Country:	
PFAFFIKON		Switzerland	Yes N
Does the marketer marke	et the <i>private fund</i> through one or n	poro wobsitos?	
	a the private fund through one of h		0 0
g) If the answer to question	28.(f) is "yes," list the website add	dress(es):	
	No	Information Filed	
or similar <i>person</i> . If the answe	er to question 28.(a) is "yes," respo	agent, consultant, finder, introducer, municipal a and to questions (b) through (g) below for each s must complete questions (b) through (g) separa	such marketer the <i>privat</i>
or similar <i>person</i> . If the answe	er to question 28.(a) is "yes," respo	and to questions (b) through (g) below for each s	such marketer the <i>privat</i>
or similar <i>person</i> . If the answe <i>fund</i> uses. If the <i>private fund</i> u	er to question 28.(a) is "yes," respo uses more than one marketer, you	and to questions (b) through (g) below for each s	such marketer the <i>privat</i> tely for each marketer.
or similar <i>person</i> . If the answe <i>fund</i> uses. If the <i>private fund</i> uses by the marketer a <i>related</i> (er to question 28.(a) is "yes," respo uses more than one marketer, you	and to questions (b) through (g) below for each s	such marketer the <i>privat</i> tely for each marketer. Yes N
br similar <i>person</i> . If the answe fund uses. If the <i>private fund</i> uses b) Is the marketer a <i>related</i> c) Name of the marketer: MAN INVESTMENTS INC. d) If the marketer is register	er to question 28.(a) is "yes," respo uses more than one marketer, you	and to questions (b) through (g) below for each s must complete questions (b) through (g) separa	such marketer the <i>privat</i> tely for each marketer. Yes N
 b) Is the marketer a <i>related</i> c) Name of the marketer: MAN INVESTMENTS INC. d) If the marketer is register 8 - 32575 	er to question 28.(a) is "yes," respo uses more than one marketer, you <i>person</i> of your firm?	and to questions (b) through (g) below for each s must complete questions (b) through (g) separa	such marketer the <i>privat</i> tely for each marketer. Yes N
r similar <i>person</i> . If the answe und uses. If the <i>private fund</i> u b) Is the marketer a <i>related</i> c) Name of the marketer: MAN INVESTMENTS INC. d) If the marketer is register	er to question 28.(a) is "yes," respo uses more than one marketer, you <i>person</i> of your firm?	and to questions (b) through (g) below for each s must complete questions (b) through (g) separa	such marketer the <i>privat</i> tely for each marketer. Yes N
 r similar <i>person</i>. If the answer and uses. If the <i>private fund</i> uses. b) Is the marketer a <i>related</i>, c) Name of the marketer: MAN INVESTMENTS INC. d) If the marketer is register 8 - 32575 and CRD Number (if any): 15770 	er to question 28.(a) is "yes," respo uses more than one marketer, you <i>person</i> of your firm?	g., 801-, 8-, or 866-):	such marketer the <i>privat</i> tely for each marketer. Yes N
 b) Is the marketer a <i>related</i> , c) Name of the marketer: MAN INVESTMENTS INC. d) If the marketer is register 8 - 32575 and CRD Number (if any): 15770 	er to question 28.(a) is "yes," responses more than one marketer, you person of your firm?	g., 801-, 8-, or 866-):	such marketer the <i>privat</i> tely for each marketer. Yes N
 r similar <i>person</i>. If the answer and uses. If the <i>private fund</i> uses. b) Is the marketer a <i>related</i> of the marketer: MAN INVESTMENTS INC. d) If the marketer is register 8 - 32575 and CRD Number (if any): 15770 e) Location of the marketer's 	er to question 28.(a) is "yes," responses more than one marketer, you person of your firm? The with the SEC, its file number (e.s. soffice used principally by the priva	ate fund (city, state and country):	such marketer the <i>privat</i> tely for each marketer. Yes N © (
 b) Is the marketer a <i>related</i> , c) Name of the marketer: MAN INVESTMENTS INC. d) If the marketer is register 8 - 32575 and CRD Number (if any): 15770 e) Location of the marketer's City: NEW YORK 	er to question 28. (a) is "yes," responses more than one marketer, you person of your firm? The with the SEC, its file number (e. state) is office used principally by the privation State: New York	g., 801-, 8-, or 866-): ate fund (city, state and country): Country: United States	such marketer the <i>privat</i> tely for each marketer. Yes N © (
 b) Is the marketer a <i>related</i> (1) c) Name of the marketer: MAN INVESTMENTS INC. c) If the marketer is register 8 - 32575 and CRD Number (if any): 15770 c) Location of the marketer's City: NEW YORK 	er to question 28. (a) is "yes," responses more than one marketer, you person of your firm? The with the SEC, its file number (e.s. soffice used principally by the privation of the state:	g., 801-, 8-, or 866-): ate fund (city, state and country): Country: United States	such marketer the <i>privat</i> tely for each marketer. Yes N
 (b) Is the marketer a <i>related</i> (c) Name of the marketer: MAN INVESTMENTS INC. (c) If the marketer is register 8 - 32575 and CRD Number (if any): 15770 (e) Location of the marketer's City: NEW YORK (f) Does the marketer marketer 	er to question 28. (a) is "yes," responses more than one marketer, you person of your firm? The with the SEC, its file number (e. state) is office used principally by the privation State: New York	g., 801-, 8-, or 866-): ate fund (city, state and country): Country: United States hore websites?	such marketer the <i>privat</i> tely for each marketer. Yes N © (

A. PRIVATE FUND

Information About the Private Fund

	MAN TARGETRISK GLOBAL EQUITIES (CAYMAN)		
	(b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-2509561831		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ERIC FORTIER		
	JENNIFER COLLINS		
	SIMON PALMER		
	SIMON FALMER		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
		0	e
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	s No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	\circ	\odot
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section	7.B.	(1)
	for the master-feeder arrangement or reporting on the funds separately.		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	or eac	h of
	the feeder funds answer the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a	ll of ti	hair
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it		
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?		
0.		0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmer vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	ΙŢ	
		-	-
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	\circ

9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by Company Act of 1940 (other than "money market funds," to the extent prov		nder the Investment	0 0	•
10.	What type of fund is the private fund?				
	ullet hedge fund $ullet$ liquidity fund $ullet$ private equity fund $ullet$ real estate fund $ullet$	$^{ m O}$ securitized asset fund $^{ m O}$ venture	capital fund $^{m{O}}$ Other pr	rivate fund:	:
	NOTE: For definitions of these fund types, please see Instruction 6 of the In	structions to Part 1A.			
11.	Current gross asset value of the <i>private fund</i> : \$ 24,857,188				
<u>Ow</u>	nership				
12.	Minimum investment commitment required of an investor in the private fund:				
	\$ 100,000 NOTE: Report the amount routinely required of investors who are not your <i>r</i> organizational documents of the fund).	related persons (even if different from	the amount set forth in	the	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2				
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned be 100%	by you and your <i>related persons</i> :			
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially own0%	ed (in the aggregate) by funds of fu	nds:		
	(b) If the private fund qualifies for the exclusion from the definition of invest Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	ment company under section 3(c)(1)	of the Investment	Yes N	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned b 0%	y non-United States persons:			
You	r Advisory Services				
17.	(a) Are you a subadviser to this <i>private fund</i> ?			Yes N	o O
	(b) If the answer to question 17. (a) is "yes," provide the name and SEC file question 17. (a) is "no," leave this question blank.	number, if any, of the adviser of the	<i>private fund</i> . If the answ		
		ation Filed			
				Yes N	٩٥
18.	(a) Do any investment advisers (other than the investment advisers listed in	Section 7.B.(1).A.3.(b)) advise the	private fund?	o o	0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file to question 18.(a) is "no," leave this question blank.	number, if any, of the other adviser	s to the <i>private fund</i> . If the	he answer	-
	Name of Other Adviser to private fund	SEC file number	CRD number		
	AHL PARTNERS LLP	801-79565	167882		_
	NUMERIC INVESTORS LLC	801-63276	131684		
				Yes N	10
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?			0 0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private	e fund.			
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fu</i> 1%	nd?			
<u>Priv</u>	vate Offering				
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its sec	urities under Regulation D of the Sec	urities Act of 1933?	Yes N	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):				
	Form D file number				
	021-419878				

Β.	SERVICE	PROVIDERS

Auditors		
	Yes	No
23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	\odot	0
(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	\odot	\circ
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditin you must complete questions (b) through (f) separately for each auditing firm.	ıg firm	1
Additional Auditor Information : 1 Record(s) Filed.		

 If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.

 (b) Name of the auditing firm:

 DELOITTE & TOUCHE LLP

 (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country):

 City:
 State:

 Country:

 GRAND CAYMAN

 Cayman Islands

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Yes No

O

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(d) Is the auditing firm an *independent public accountant*?

(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?

If yes, Public Company Accounting Oversight Board-Assigned Number: 1096

(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?

Ye	es	No
(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?)	0

(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?

⊙ Yes ○ No ○ Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime Broker

24. (a) Does the *private fund* use one or more prime brokers?

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

Additional Prime Broker Information : 2 Record(s) Filed. If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: GOLDMAN SACHS & CO. LLC (c) If the prime broker is registered with the SEC, its registration number: 8 - 129 CRD Number (if any): 361 (d) Location of prime broker's office used principally by the *private fund* (city, state and country): City: State: Country: NEW YORK New York United States

		as custodian for some of all of m	e private fund's assets?	\odot
If the			·	
	e answer to question 24 (a	a) is "ves." respond to questions (b) through (e) below for each prime broker the <i>private</i>	fund uses. If the
	•		re questions (b) through (e) separately for each prime	
	Name of the prime broker: .P. MORGAN SECURITIES L	LC		
		stered with the SEC, its registratio	n number:	
С	3 - 35008 CRD Number (if any): 79			
(d) L	ocation of prime broker's	office used principally by the <i>priva</i>	<i>te fund</i> (city, state and country):	
	City:	State:	Country:	
N	NEW YORK	New York	United States	
(a) [loss this prime broker act	as sustadian for some or all of th	a privata fundia accata?	Ye
(e) D	Joes this prime broker act	as custodian for some or all of th	e private fund's assets?	o
<u>ın</u>				
				N
Does th	ne <i>private fund</i> use any cus	stodians (including the prime brok	ers listed above) to hold some or all of its assets?	
	•		through (g) below for each custodian the private fund	uses. If the <i>priv</i>
fund use	ses more than one custodia	an, you must complete questions	(b) through (g) separately for each custodian.	
Additic	onal Custodian Informati	ion · 3 Record(s) Filed		
	•		b) through g) below for each custodian the <i>private fun</i> e is (b) through (g) separately for each custodian.	d uses. If the <i>pri</i>
		ulan, you must complete question	is (b) through (g) separately for each custodian.	
	egal name of custodian: GOLDMAN SACHS & CO. LL			
		С		
(c) P	Primary business name of (custodian:		
(c) P		custodian:		
G (c) P G	Primary business name of G GOLDMAN SACHS & CO. LL	custodian: C	of the <i>private fund's</i> assets (city, state and country):	
(c) PI G (d) T C	Primary business name of G GOLDMAN SACHS & CO. LL The location of the custodia City:	custodian: C an's office responsible for <i>custody</i> State:	Country:	
(c) PI G (d) T C	Primary business name of G GOLDMAN SACHS & CO. LL The location of the custodia	custodian: C an's office responsible for <i>custody</i>		
(c) Pi G (d) T C N	Primary business name of G GOLDMAN SACHS & CO. LL The location of the custodia City:	custodian: C an's office responsible for <i>custody</i> State: New York	Country:	Ye
(c) PI G (d) T C N (e) Is	Primary business name of G GOLDMAN SACHS & CO. LL The location of the custodia City: NEW YORK s the custodian a <i>related</i> p	custodian: C an's office responsible for <i>custody</i> State: New York	Country: United States	
(c) PI G (d) T C N (e) Is (f) If	Primary business name of G GOLDMAN SACHS & CO. LL The location of the custodia City: NEW YORK s the custodian a <i>related</i> p	custodian: C an's office responsible for <i>custody</i> State: New York <i>berson</i> of your firm?	Country: United States	
(c) PI G (d) T C N (e) Is (f) If 8 C	Primary business name of G GOLDMAN SACHS & CO. LL The location of the custodia City: NEW YORK s the custodian a <i>related</i> p f the custodian is a broker 3 - 129	custodian: C an's office responsible for <i>custody</i> State: New York <i>berson</i> of your firm?	Country: United States	
(c) PI G (d) T C N (e) Is (f) If 8 C 3 (g) If	Primary business name of a GOLDMAN SACHS & CO. LLG The location of the custodia City: NEW YORK s the custodian a <i>related</i> p f the custodian is a broker 3 - 129 CRD Number (if any): 361	custodian: C an's office responsible for <i>custody</i> State: New York <i>berson</i> of your firm?	Country: United States	0
(c) PI G (d) T C N (e) Is (f) If 8 C 3 (g) If	Primary business name of 6 GOLDMAN SACHS & CO. LLG The location of the custodia City: NEW YORK s the custodian a <i>related p</i> f the custodian is a broker 3 - 129 CRD Number (if any): 361 f the custodian is not a br	custodian: C an's office responsible for <i>custody</i> State: New York <i>berson</i> of your firm?	Country: United States on number (if any):	0
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J.P. MORGAN SECURITIES LLC

 (e) Is the custodian a related person of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 35008 CRD Number (if any): 79 (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> <i>identifier</i> (if any) (g) If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: THE BANK OF NEW YORK MELLON (c) Primary business name of custodian: THE BANK OF NEW YORK MELLON (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): City: NEW YORK New York United States 	k State: Country: k New York United States usade is a braker-dealer, provide its SEC registration number (f any): Image: State is a braker-dealer, or is a braker-dealer but does not have an SEC registration number, provide its legal entry: (f any): to austion 25 (a) is "yes." respond to questions (b) through (c) below. For each ousloation the preset functures. If the preset functures is preserved for custodian: COE NEW YORK MELLON Number State: State: Country: K New York United States Country: K New York United States Country: k New York Country: Country: k New York United States Country: k New York Country: k New York <t< th=""><th>(d)</th><th></th><th></th><th></th><th></th></t<>	(d)				
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79 (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number; provide its <i>legal entit</i> , <i>lettinite</i> (if any) If the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. (e) Legal name of custodian: THE BANK OF NEW YORK MELLON (f) The loadion of the custodian: THE BANK OF NEW YORK MELLON (g) The loadion of the custodian: THE BANK OF NEW YORK MELLON (g) The loadion of the custodian: THE BANK OF NEW YORK MELLON (g) The loadion of the custodian: THE BANK OF NEW YORK MELLON (g) The loadion of the custodian: THE BANK OF NEW YORK MELLON (g) The loadion of the custodian's office responsible for custody of the private fund's easets (sity, state and country): City: NEW YORK (g) The loadion of the custodian is office responsible for custody of the private fund's easets (sity, state and country): City: NEW YORK (g) If the custodian is not a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any): (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entit</i> , <i>lefthullococuste</i> (nonFVK19 It the answer to question 26. (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator must complete questions 20. (b) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator must complete questions 20. (b) is "yes," respond to questions (b) through (f) below. If the	tadian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> (Pary) to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. The of nustodian: COF MEW VORK METLON ausiness name of nustodian: COF MEW VORK METLON AND					
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(d) Is the administrator a *related person* of your firm?

- (e) Does the administrator prepare and send investor account statements to the *private fund's* investors?
 Some (provided to some but not all investors) No (provided to no investors)
- (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."
- 27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

Yes No

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

• •

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 2 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

			Yes No
(b)	b) Is the marketer a <i>related person</i> of your firm?		• •
(c)	e) Name of the marketer: MAN INVESTMENTS AG		
(d)	d) If the marketer is registered with the SEC, its file number (e	<i>.g.</i> , 801-, 8-, or 866-):	
	-		
	and CRD Number (if any):		
(e)	 e) Location of the marketer's office used principally by the priva City: 	<i>ate fund</i> (city, state and country): Country:	
	PFÀFFIKON	Switzerland	
		o milloniana	Yes No
(f)) Does the marketer market the <i>private fund</i> through one or r	nore websites?	00
(g)	g) If the answer to question 28.(f) is "yes," list the website ad	dress(es): Information Filed	
	NO		

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

(b) Is the marketer a *related person* of your firm?

(c) Name of the marketer: MAN INVESTMENTS INC.

(d) If the marketer is registered with the SEC, its file number (*e.g.*, 801-, 8-, or 866-):

Yes No

0 0

	8 - 32575				
	and CRD Number (if any): 15770				
		office used principally by the privat			
	City: NEW YORK	State: New York	Country: United States		
				Yes No	
	(f) Does the marketer market	the <i>private fund</i> through one or mo	pre websites?	00	
	(a) If the answer to question 2	8.(f) is "yes," list the website addı	222(22).		
	(g) in the answer to question z		nformation Filed		
ч . Р	RIVATE FUND				
nfc	ormation About the Private Fund				
4					
1.	(a) Name of the <i>private fund</i> : MAN TREND AND CRISIS OFESET S	TRATEGIES (RESTRICTED) FUND LL	C		
	(b) <i>Private fund</i> identification number:				
	(include the "805-" prefix also)				
	805-1045849036				
2.	Under the laws of what state or count	ry is the <i>private fund</i> organized:			
	State:	Country:			
	Delaware	United Sta			
3.	(a) Name(s) of General Partner, Mana	iger, Trustee, or Directors (or <i>pers</i>	ons serving in a similar capacity):		
	Name of General Partner, Manager,	Trustee, or Director			
	MAN INVESTMENTS (USA) CORP.				
	(b) If filing an <i>umbrella registration</i> , id		ng adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Infor	rmation Filed		
4.	The <i>private fund</i> (check all that apply;	you must check at least one).			
			any under section 3(c)(1) of the Investment Company Act of 19-	40	
			any under section 3(c)(7) of the Investment Company Act of 19-		
5.	List the name and country in English	of each foreign financial regulatory	authority with which the private fund is registered.		
0.			rmation Filed		
				Yes	No
6.	(a) Is this a "master fund" in a maste	er-feeder arrangement?		0	\odot
	(b) If yes, what is the name and priva	ate fund identification number (if an	ny) of the feeder funds investing in this private fund?		
		No Infor	rmation Filed		
				Yes	No
	(c) Is this a "feeder fund" in a maste	r-feeder arrangement?		0	\odot
	(d) If yes, what is the name and priva	ate fund identification number (if ar	ny) of the master fund in which this <i>private fund</i> invests?		
	Name of <i>private fund</i> :				
	Private fund identification number:				
	(include the "805-" prefix also)				
	NOTE: You must complete question 6	for each master-feeder arrangem	ent regardless of whether you are filing a single Schedule D, Sec	tion 7 R (1)
	for the master-feeder arrangement or	_			• /

If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of

7.

the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes No 8. (a) Is this *private fund* a "fund of funds"? \odot 0 NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? \odot \circ Yes No 9. During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment \mathbf{O} \odot Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? 10. What type of fund is the private fund? Ind C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other private fund: NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A. 11. Current gross asset value of the private fund: \$ 193,660,474 **Ownership** 12. Minimum investment commitment required of an investor in the private fund: \$ 50,000,000 NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund). 13. Approximate number of the *private fund's* beneficial owners: 2 14. What is the approximate percentage of the private fund beneficially owned by you and your related persons: 1% 15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds: 0% Yes No (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment $^{\circ}$ 0 Company Act of 1940, are sales of the fund limited to qualified clients? 16. What is the approximate percentage of the private fund beneficially owned by non-United States persons: 0% Your Advisory Services Yes No 17. (a) Are you a subadviser to this private fund? $^{\circ}$ \odot (b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question blank. No Information Filed Yes No 18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund? 0 0 (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer

No Information Filed

to question 18.(a) is "no," leave this question blank.

19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 1%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	/ate	Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	s No
22.	lf ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. SI	ERVI	CE PROVIDERS		
Auc	litors	S		
		-	Ye	s No
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	\odot	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	\odot	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more that you must complete questions (b) through (f) separately for each auditing firm.			n,
	Additional Auditor Information : 1 Record(s) Filed.			
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses auditing firm, you must complete questions (b) through (f) separately for each auditing firm.			
		(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: DUBLIN Ireland		
		(d) is the auditing firm an independent public accountent?	Yes	-
		(d) Is the auditing firm an <i>independent public accountant</i> ?	\odot	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 1193		
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	o	0
	Y			s No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>p</i> investors?		o	0
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinion			าร?
	• Yes • No • Report Not Yet Received			
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is ava			lable.
<u>Prir</u>	ne B	Broker	Vo	
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	ve: O	s No
		If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		

No Information Filed

<u>Custodian</u>

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

		through g) below for each custodian the <i>private</i> (b) through (g) separately for each custodian.	<i>fund</i> uses. If the <i>pr</i>
(b) Legal name of custodian: THE BANK OF NEW YORK M	IELLON		
(c) Primary business name of THE BANK OF NEW YORK N			
(d) The location of the custodi	an's office responsible for <i>custody</i> o	the <i>private fund's</i> assets (city, state and country):
City:	State:	Country:	
NEW YORK	New York	United States	
			Ye
(e) Is the custodian a <i>related</i>	person of your firm?		C
(f) If the custodian is a broke	r-dealer, provide its SEC registratior	number (if any):	
- CRD Number (if any):			
(g) If the custodian is not a br <i>identifier</i> (if any)			
identifier (if any))		
<i>identifier</i> (if any) HPFHU0OQ28E4N0NFVK49			
<i>identifier</i> (if any) HPFHU0OQ28E4N0NFVK49 trator Does the <i>private fund</i> use an adn If the answer to question 26.(a)	ninistrator other than your firm? is "yes," respond to questions (b) t	nrough (f) below. If the <i>private fund</i> uses more the	
<i>identifier</i> (if any) HPFHU0OQ28E4N0NFVK49 trator Does the <i>private fund</i> use an adn If the answer to question 26.(a)	ninistrator other than your firm? is "yes," respond to questions (b) to bugh (f) separately for each adminis		
<i>identifier</i> (if any) HPFHUOOQ28E4NONFVK49 trator Does the <i>private fund</i> use an adm If the answer to question 26.(a) must complete questions (b) thro Additional Administrator Inform	ninistrator other than your firm? is "yes," respond to questions (b) t ough (f) separately for each adminis mation : 1 Record(s) Filed.	trator.	an one administrat
<i>identifier</i> (if any) HPFHUOOQ28E4NONFVK49 trator Does the <i>private fund</i> use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform	ninistrator other than your firm? is "yes," respond to questions (b) t ough (f) separately for each adminis mation : 1 Record(s) Filed.	through (f) below. If the <i>private fund</i> uses more	an one administrate
<i>identifier</i> (if any) HPFHUOOQ28E4NONFVK49 trator Does the <i>private fund</i> use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform If the answer to question 26. (a administrator, you must complete	ninistrator other than your firm? is "yes," respond to questions (b) t ough (f) separately for each adminis mation : 1 Record(s) Filed. a) is "yes," respond to questions (b)	through (f) below. If the <i>private fund</i> uses more	an one administrate
<i>identifier</i> (if any) HPFHUOOQ28E4NONFVK49 trator Does the <i>private fund</i> use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform	ninistrator other than your firm? is "yes," respond to questions (b) t ough (f) separately for each adminis mation : 1 Record(s) Filed. a) is "yes," respond to questions (b) ete questions (b) through (f) separa	through (f) below. If the <i>private fund</i> uses more	an one administrate
identifier (if any) HPFHUOOQ28E4NONFVK49 trator Does the private fund use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform If the answer to question 26. (a administrator, you must complete (b) Name of administrator:	ninistrator other than your firm? is "yes," respond to questions (b) t ough (f) separately for each adminis mation : 1 Record(s) Filed. a) is "yes," respond to questions (b) ete questions (b) through (f) separa	through (f) below. If the <i>private fund</i> uses more	an one administrate
identifier (if any) HPFHUOOQ28E4NONFVK49 trator Does the private fund use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform If the answer to question 26. (a administrator, you must complete (b) Name of administrator: THE BANK OF NEW YORK M	ninistrator other than your firm? is "yes," respond to questions (b) t ough (f) separately for each adminis mation : 1 Record(s) Filed. a) is "yes," respond to questions (b) ete questions (b) through (f) separa	trator. through (f) below. If the <i>private fund</i> uses more tely for each administrator. Country:	an one administrate
identifier (if any) HPFHUOOQ28E4NONFVK49 trator Does the private fund use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform If the answer to question 26. (a administrator, you must complete (b) Name of administrator: THE BANK OF NEW YORK M (c) Location of administrator (ninistrator other than your firm? is "yes," respond to questions (b) ti ough (f) separately for each adminis mation : 1 Record(s) Filed. a) is "yes," respond to questions (b) ete questions (b) through (f) separa IELLON city, state and country):	trator. through (f) below. If the <i>private fund</i> uses more tely for each administrator.	an one administrate
identifier (if any) HPFHUOOQ28E4NONFVK49 trator Does the private fund use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform If the answer to question 26. (a administrator, you must complete (b) Name of administrator: THE BANK OF NEW YORK M (c) Location of administrator (City: WILMINGTON	ninistrator other than your firm? is "yes," respond to questions (b) th ough (f) separately for each adminis mation : 1 Record(s) Filed. a) is "yes," respond to questions (b) ete questions (b) through (f) separa IELLON city, state and country): State: Delaware	trator. through (f) below. If the <i>private fund</i> uses more tely for each administrator. Country:	an one administrate
identifier (if any) HPFHUOOQ28E4NONFVK49 trator Does the private fund use an adm If the answer to question 26. (a) must complete questions (b) thro Additional Administrator Inform If the answer to question 26. (a administrator, you must complete (b) Name of administrator: THE BANK OF NEW YORK M (c) Location of administrator (City:	ninistrator other than your firm? is "yes," respond to questions (b) th ough (f) separately for each adminis mation : 1 Record(s) Filed. a) is "yes," respond to questions (b) ete questions (b) through (f) separa IELLON city, state and country): State: Delaware	trator. through (f) below. If the <i>private fund</i> uses more tely for each administrator. Country:	an one administrate

(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."

27.	During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not
	your related person?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

20	(a)	Dooo tho	nrivata funduca	the convision of	faamaana	athar than	VOLL OF MOLIF	amplayaaa far	· markating nur	~~~~?
ZŎ.	(a)	DOES THE	Drivale Iuna use	The services o	i someone	other than	vou or vour	emploveesio	⁻ marketing purp	JUSES
	· · · /						J = =			

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

				Yes	No
()) Is the marketer a <i>related</i> p	erson of your firm?		o	0
(0) Name of the marketer: MAN INVESTMENTS INC.				
(0	I) If the marketer is registere	ed with the SEC, its file number (<i>e.g.</i>	, 801-, 8-, or 866-):		
	8 - 32575				
	and CRD Number (if any): 15770				
(4	e) Location of the marketer's	office used principally by the private	e fund (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(f) Does the marketer market	the private fund through one or mo	pre websites?	0	\odot
(9) If the answer to question 2	28.(f) is "yes," list the website addr No Ir	ess(es): nformation Filed		
		Funds per Page: 1	5 💌 Total Funds: 14		

SECTION 7.B.(2) Private Fund Reporting		
	1.	Name of the <i>private fund</i> : CMAP SRPM FUND LIMITED
	2.	<i>Private fund</i> identification number: (include the "805-" prefix also) 805-1891376808

 Name and SEC File number of adviser that provides information about this *private fund* in Section 7.B.(1) of Schedule D of its Form ADV filing Name: LYXOR ASSET MANAGEMENT LLC SEC File Number: 801 - 61375

4. Are your *clients* solicited to invest in this *private fund*?

Yes No

0

 \odot

	In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
1.	Name of the <i>private fund</i> :	
	MAN FUNDS XII SPC	
2.	Private fund identification number: (include the "805-" prefix also) 805-4408237133	
3.	Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing Name: MAN SOLUTIONS LLC SEC File Number: 801 - 72402	<i>.</i>
4.		/es No
	In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
1.	Name of the <i>private fund</i> :	
	MAN STRATEGIES 1783	
2.	Private fund identification number: (include the "805-" prefix also) 805-8345656269	
3.	Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing Name: MAN SOLUTIONS LLC SEC File Number: 801 - 72402	
		res No
4.	Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?	00
	In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	u .
Item	n 8 Participation or Interest in <i>Client</i> Transactions	
conf	nis Item, we request information about your participation and interest in your <i>clients</i> ' transactions. This information identifies additional areas in wh flicts of interest may occur between you and your <i>clients</i> . Newly-formed advisers should base responses to these questions on the types of partici interest that you expect to engage in during the next year.	

Like Item 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.

Proprietary Interest in Client Transactions

A. Do you or any *related person*:

Yes No (1) buy securities for yourself from advisory *clients*, or sell securities you own to advisory *clients* (principal transactions)? \odot $^{\circ}$ (2) buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory *clients*? \odot $^{\circ}$

	(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	۲	0
Sa	es Ir	nterest in <i>Client</i> Transactions		
В.	Do	you or any related person:	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	\odot
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	\odot	0
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	۲
Inv	/estn	nent or Brokerage Discretion		
C.	Do	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	\odot	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	۲	0
D.	lf y	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	o
E.	Do	you or any related person recommend brokers or dealers to clients?	\odot	0
F.	lf y	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	o
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	\odot	0
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	O	0
H.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	\odot	0
	(2)	Do you or any related person, directly or indirectly, provide any employee compensation that is specifically related to obtaining clients for the firm (cash or non-cash compensation in addition to the employee's regular salary)?	۲	0
I.		you or any <i>related person</i> , including any <i>employee</i> , directly or indirectly, receive compensation from any <i>person</i> (other than you or any <i>related</i> son) for client referrals?	0	o

In your response to Item 8.1., do not include the regular salary you pay to an employee.

In responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.

Item 9 Custody

In this Item, we ask you whether you or a *related person* has *custody* of *client* (other than *clients* that are investment companies registered under the Investment Company Act of 1940) assets and about your custodial practices.

Α.	(1)	Do you have <i>custody</i> of any advisory <i>clients'</i> :	Yes	No
		(a) cash or bank accounts?	\odot	0
		(b) securities?	\odot	0

If you are registering or registered with the SEC, answer "No" to Item 9.A. (1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.

(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which you have *custody*:

U.S. Dollar Amount	Total Number of Clients
(a) \$ 13,098,701,554	(b) 22

If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A. (2). If your related person has custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A. (2). Instead, include that information in your response to 1tem 9.B. (2).

В.	(1)	(1) In connection with advisory services you provide to <i>clients</i> , do any of your <i>related persons</i> have <i>custody</i> of any of your advisory <i>clients</i> ':				
		(a) cash or bank accounts?		\odot	\circ	
		(b) securities?		\odot	0	
	You	are required to answer this item regardless of how you	u answered Item 9.A.(1)(a) or (b).			
	(2)	If you checked "yes" to Item 9.B.(1)(a) or (b), wha your <i>related persons</i> have <i>custody</i> :	at is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for	which	۱	
		U.S. Dollar Amount Total	Number of <i>Clients</i>			
		(a) \$ 10,442,911,584 (b) 18	3			
C.	2	ou or your <i>related persons</i> have <i>custody</i> of <i>client</i> func t apply:	ds or securities in connection with advisory services you provide to <i>clients</i> , check all the f	ollowi	ng	
	(1)	A qualified custodian(s) sends account statements	at least quarterly to the investors in the pooled investment vehicle(s) you manage.			
	(2)	An <i>independent public accountant</i> audits annually th are distributed to the investors in the pools.	ne pooled investment vehicle(s) that you manage and the audited financial statements	V		
	(3)	An independent public accountant conducts an annu	al surprise examination of <i>client</i> funds and securities.			
	(4)	An <i>independent public accountant</i> prepares an internare qualified custodians for <i>client</i> funds and securit	nal control report with respect to custodial services when you or your <i>related persons</i> ties.			
	an i		9.C. of Schedule D the accountants that are engaged to perform the audit or examination or our out of the accountants that are engaged to perform the audit or examination or out do not have to list auditor information in Section 9.C. of Schedule D if you already provided n Section 7.B. (1) of Schedule D).		re	
D.	Doy	you or your <i>related person(s)</i> act as qualified custodi	ans for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	Yes	No	
	(1)	you act as a qualified custodian		0	\odot	
	(2)	your related person(s) act as qualified custodian(s)		0	\odot	

If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

- E. If you are filing your *annual updating amendment* and you were subject to a surprise examination by an *independent public accountant* during your last fiscal year, provide the date (MM/YYYY) the examination commenced:
- F. If you or your *related persons* have *custody* of *client* funds or securities, how many *persons*, including, but not limited to, you and your *related persons*, act as qualified custodians for your *clients* in connection with advisory services you provide to *clients*?
 12

SECTION 9.C. Independent Public Accountant

No Information Filed

Item 10 Control Persons

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

Yes No

○

A. Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

No Information Filed

SECTION 10.B. Control Person Public Reporting Companies

No Information Filed

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your advisory affiliates. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the filing adviser and all relying advisers under an umbrella registration.

Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A. (1), 11.A. (2), 11.B. (1), 11.B. (2), 11.D. (4), and 11.H. (1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

	Yes N	٩٥
Do any of the events below involve you or any of your supervised persons?	0 0	•
For "yes" answers to the following questions, complete a Criminal Action DRP:		
A. In the past ten years, have you or any advisory affiliate:	Yes N	٩٥
(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	0 (•
(2) been <i>charged</i> with any <i>felony</i> ?	0 (•

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A. (2) to charges that are currently pending.

В. In the past ten years, have you or any advisory affiliate:

(1)	been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving:	0	\odot
i	investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury,	_	_
1	forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?		
(2)	been <i>charged</i> with a <i>misdemeanor</i> listed in Item 11.B.(1)?	0	\odot

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B. (2) to charges that are currently pending.

For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	\odot	0
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	O
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	\odot	0
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	\odot	0
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	\odot
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	\odot	0
	(3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	O
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	\odot	0
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	O

E. Has any *self-regulatory organization* or commodities exchange ever:

	(1) found you or any advisory affiliate to have made a false statement or omission?		0	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	(•	0
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business de suspended, revoked, or restricted?	enied, (0	\odot
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	you (0	•
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any <i>advisory affiliate</i> ever been revoked or suspended?		0	o
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	ć	0	o
For	or "yes" answers to the following questions, complete a Civil Judicial Action DRP:			
H.	(1) Has any domestic or foreign court:	Y	res	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	(o	\circ
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	(0	\odot
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any advisory affiliat a state or foreign financial regulatory authority?	e by	0	•
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	? (0	\odot

Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a *person*, whether through ownership of securities, by contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Yes	No
Α.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	0
If "	ves," you do not need to answer Items 12.B. and 12.C.		
B.	Do you:		
	(1) <i>control</i> another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
C.	Are you:		
	(1) <i>controlled</i> by or under common <i>control</i> with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) <i>controlled</i> by or under common <i>control</i> with another <i>person</i> (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0

Schedule A

Direct Owners and Executive Officers

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-inlaw, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? Yes No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY		Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
SQUIRE, KATHERINE, ELIZABETH	I	CHIEF COMPLIANCE OFFICER	12/2016	NA	Y	N	6738205
CRUICKSHANK, TANIA, INGE MARIA	I	DIRECTOR	07/2019	NA	Y	N	6932705
BARBER, DAVID, ALBERT	I	DIRECTOR	03/2021	NA	Y	N	7357277
FORTERRE, ANTOINE, HUBERT JOSEPH MARIE	I	DIRECTOR	10/2021	NA	Y	N	5194753
PANNU-PUREWAL, AMENDEEP, KAUR	1	DIRECTOR	10/2021	NA	Y	Ν	7456001
SHARP, RACHEL, ELLEN	1	SECRETARY	01/2022	NA	N	Ν	7500468
WOODS, ELIZABETH, ANNE	1	SECRETARY	05/2023	NA	N	Ν	6777465
MAN INVESTMENTS FINANCE LIMITED	FE	SHAREHOLDER	08/2023	E	Y	Ν	
FOUNTAIN, JULIE, LOUISE	I	DIRECTOR	09/2023	NA	Y	N	7807965
DESMYTER, STEVEN, PETER	I	PRESIDENT, MAN GROUP	03/2024	NA	N	N	7894100
WARD, CAROL, NMN	I	HEAD OF SOLUTIONS	03/2024	NA	N	N	6334034
THOMPSON, SAMUEL, JOHN	I	HEAD OF BUSINESS STRATEGY	03/2024	NA	N	N	7332255

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more

 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)

- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	•	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
MAN STRATEGIC HOLDINGS LIMITED	FE	MAN INVESTMENTS FINANCE LIMITED	SHAREHOLDER	07/2010	E	Y	N	
MAN GROUP LIMITED	FE	MAN STRATEGIC HOLDINGS LIMITED	SHAREHOLDER	05/2019	E	Y	N	
MAN GROUP TREASURY LIMITED	FE	MAN GROUP LIMITED	SHAREHOLDER	05/2019	E	Y	N	
MAN GROUP PLC	FE	MAN GROUP TREASURY LIMITED	SHAREHOLDER	05/2019	E	Y	N	

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

ITEM 5.A. AND ITEM 5.B.: PERSONNEL PERFORMING FUNCTIONS ON BEHALF OF MAN SOLUTIONS LIMITED (THE "FIRM") ARE EMPLOYED BY A RELATED PERSON OF THE FIRM. THE FIGURE PROVIDED IN ITEM 5.A. INCLUDES KEY PERSONNEL THAT PERFORM FUNCTIONS FOR THE FIRM, INCLUDING SALES PERSONNEL PROVIDING DISTRIBUTION SERVICES TO AFFILIATES. ITEM 5.B.(6): THE FIRM HAS ARRANGEMENTS WITH AFFILIATED ENTITIES TO SOLICIT ADVISORY CLIENTS OR ACT AS PLACEMENT AGENT FOR FUNDS. FROM TIME TO TIME, THE FIRM MAY ALSO ENTER INTO A CONTRACTUAL OBLIGATION TO COMPENSATE A THIRD PARTY FOR SOLICITING ADVISORY CLIENTS IN THE EVENT THAT THE FIRM WERE TO OBTAIN A NEW CLIENT THROUGH SUCH THIRD PARTY. ITEM 5.F: VALUE OF REGULATORY ASSETS UNDER MANAGEMENT HAS BEEN DETERMINED AS OF JANUARY 01, 2024. ITEMS 5.D., 5.F AND SECTION 7.B.(2): AS OF JANUARY 01, 2024, THE FIRM'S AFFILIATE, MAN SOLUTIONS LLC ("MS LLC"), BEGAN CO-MANAGING THE PRIVATE FUND MAN FUNDS XII SPC, WHICH CONSISTS OF A MASTER FUND AND ITS FEEDER FUND, MAN STRATEGIES 1783. THE MASTER AND FEEDER FUNDS ARE INCLUDED IN ASSETS UNDER MANAGEMENT IN ITEM 5.F FOR BOTH THE FIRM AND MS LLC WHILE REPORTED IN 7.B.(1) OF ADV PART 1 FOR MS LLC AND IN 7.B.(2) OF ADV PART 1 FOR THE FIRM. ITEM 7A: THE FIRM HAS IDENTIFIED CERTAIN OF ITS RELATED PERSON ENTITIES THAT ARE SPONSORS, GENERAL PARTNERS AND MANAGING MEMBERS (OR EQUIVALENT) OF POOLED INVESTMENT VEHICLES ADVISED BY THE FIRM; SUCH ENTITIES ARE CONSIDERED TO BE REGISTERED INVESTMENT ADVISERS PURSUANT TO THE JANUARY 18, 2012, NO-ACTION LETTER FROM THE SEC STAFF TO THE AMERICAN BAR ASSOCIATION, BUSINESS LAW SECTION. THE FIRM HAS IDENTIFIED CERTAIN OF ITS RELATED PERSONS AS INDICATED IN THE INSTRUCTIONS BUT HAS NOT SEPARATELY SCHEDULED ALL AFFILIATES OF THOSE RELATED PERSONS. SECTION 7.B.(1): MAN FUNDS XV - CRS EVERGREEN (LUX MASTER) - THE FEEDER FUNDS HAVE NOT BEEN ESTABLISHED AS OF DECEMBER 31, 2023. SECTION 7.B.(1) ITEM A.12: MINIMUM INVESTMENT COMMITMENT REQUIRED MAY VARY DEPENDING ON SUB FUND, CURRENCY AND/ OR SHARE CLASS, AS APPLICABLE. ITEMS 9.A.(2) AND 9.B(2): U.S. DOLLAR AMOUNT OF CLIENT FUNDS AND SECURITIES UNDER CUSTODY IS AS OF JANUARY 01, 2024. SCHEDULE B: IT SHOULD BE NOTED THAT THERE IS NO SHAREHOLDER HOLDING MORE THAN 25% OF MAN GROUP PLC.

Schedule R

No Information Filed

DRP Pages

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

GENERAL INSTRUCTIONS
This Disclosure Reporting Page (DRP ADV) is an 👩 INITIAL OR 💿 AMENDED response used to report details for affirmative responses to Items 11.C., 11.D.,
11.E., 11.F. or 11.G. of Form ADV.

		Regulatory Ac	tion		
Check item(s) being	responded to:				
[11.C(1)	☑ 11.C(2)	🗖 11.C(3)	☑ 11.C(4)	✓ 11.C(5)	
🗖 11.D(1)	🗖 11.D(2)	🗖 11.D(3)	🗖 11.D(4)	🗖 11.D(5)	
🗖 11.E(1)	🗖 11.E(2)	🗖 11.E(3)	1 1.E(4)		
🗖 11.F.	🗖 11.G.				

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.

PART I

- A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):
 - O You (the advisory firm)
 - You and one or more of your advisory affiliates
 - One or more of your advisory affiliates

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - ADVISORY AFFILIATE

<i>CRD</i> Number:	163016	This advisory affiliate is 💿 a Firm 🗢 an Individual
Registered:	⊙ Yes ○ No	
Name:	GLG PARTNERS LP (For individuals, Last, First, Middle)	

- \Box This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser.
- This DRP should be removed from the ADV record because: (1) the event or *proceeding* occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an *exempt reporting adviser* with the SEC and the event was resolved in the adviser's or *advisory affiliate's* favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

- This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
- B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.

• Yes • No

NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.

PART II

- Regulatory Action initiated by:
 SEC Other Federal OState OSRO Foreign (Full name of regulator, *foreign financial regulatory authority*, federal, state, or SRO) U.S. SECURITIES AND EXCHANGE COMMISSION
- Principal Sanction: Cease and Desist Other Sanctions: DISGORGEMENT, CIVIL MONEY PENALTY AND UNDERTAKINGS
- 3. Date Initiated (MM/DD/YYYY):

12/12/2013 • Exact • Explanation If not exact, provide explanation:

- Docket/Case Number: RELEASE NO. 71050/RELEASE NO. 3516/FILE NO. 3-15641
- 5. Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
- Principal Product Type: No Product Other Product Types:

7.	IN A VAL WAS OF C REV	N ADMINISTR JATION OF LE NOT PROVID GLG'S CONTRO ENUE OF \$7,7	VEL 3 ASSETS AND THAT, AS ED TO THE INDEPENDENT PR DLS, THE PRIVATE EQUITY AS	C ALLEGED THAT GLG PARTN A RESULT, RELEVANT INFOR RICING COMMITTEE IN A TIME SET WAS OVERVALUED FROM FILIATE, GLG PARTNERS, INC	ERS LP ("GLG") DID NOT MATION RELATING TO T ELY MANNER. THE SEC A M NOVEMBER 2008 THRC . ("GPI"), AND THAT THE	MAINTAIN SUFFICIEN HE VALUATION OF A SI LLEGED THAT, AS A RE DUGH NOVEMBER 2010 E OVERVALUATION LED	T CONTROLS RELATING TO THE INGLE PRIVATE EQUITY ASSET ESULT OF THE INADEQUACIES), RESULTING IN INFLATED FEE TO MISSTATEMENTS IN GPI'S
8.		ent Status?		peal • Final			
9.	If or	n appeal, regu	llatory action appealed to (S	EC, <i>SRO,</i> Federal or State Co	ourt) and Date Appeal F	iled:	
lf F	inal c	r On Appeal,	complete all items below. Fo	r Pending Actions, complete	Item 13 only.		
10.	How Sett	r was matter i led	resolved:				
11.	Reso	olution Date (N	/M/DD/YYYY):				
	12/	12/2013 🙆 E	xact O Explanation				
	lf no	ot exact, prov	ide explanation:				
10	Dee						
12.		Woro any of	the following Sanctions Ord	larad (chack all appropriate it	ome)2		
	Α.		y/Fine Amount: \$ 375,000.0		enis)?		
			ion/Expulsion/Denial	0	Disgorgement/R	estitution	
		Censure			Cease and Desis		
		🗖 Bar			Suspension		
	B.	Sanction det Financial Op requalify/ret disgorgemen of penalty w CIVIL MONE	E WITH UNDERTAKINGS ENUN tail: if suspended, <i>enjoined</i> o erations Principal, etc.). If re rain, type of exam required a nt or monetary compensation	r barred, provide duration in equalification by exam/retrain and whether condition has b n, provide total amount, por 75,000); CIVIL MONEY PENA	ncluding start date and on ning was a condition of t been satisfied. If disposi- tion levied against you of LTY AGAINST GPI (\$375,	capacities affected (Ge the sanction, provide le tion resulted in a fine, or an <i>advisory affiliate</i> , .000); DISGORGEMENT	ength of time given to penalty, restitution, date paid and if any portion (\$7,766,667), AND PRE-
13.			mmary of details related to a space provided).	the action status and (or) di	sposition and include re	levant terms, conditio	ns and dates (your response
	PEN. (4)	ALTIES, DISG FO CEASE ANE	ORGEMENT, AND PRE-JUDGM	ENT INTEREST; (3) TO COMP OR CAUSING ANY VIOLATIO	LY WITH UNDERTAKINGS NS AND ANY FUTURE VIC	S ENUMERATED IN SEC DLATIONS OF SECTION	2) TO EACH PAY CIVIL MONEY TION III OF THE ORDER; AND S 13(A), 13(B)(2)(A) AND 13(B)
				GENERAL INS	STRUCTIONS		
		osure Reporti F. or 11.G. of		INITIAL OR [•] AMENDED re	sponse used to report d	letails for affirmative r	esponses to Items 11.C., 11.D.,
				Regulator	y Action		
	ск пе 1.С(1	m(s) being re	sponded to: 11.C(2)	1 1.C(3)	[] 11.C(4)	🗖 11.C(5)
	1.D(-	□ 11.D(2)	1 1.D(3)	[] 11.D(,	□ 11.D(5)
[] 1	1.E(1)	☑ 11.E(2)	🗖 11.E(3)	🗖 11.E(4	4)	
[] 1	1.F.		🗖 11.G.				
with	a co	mpleted Exec					
		3	n more than one affirmative at gives rise to actions by mo			5	•

PART I

Α.	The person(s)	or entity (ies)) for whom this	DRP is being	filed is (a	re):

- O You (the advisory firm)
- O You and one or more of your advisory affiliates

⊙ One or more of your *advisory affiliates*

ADV DRP - ADVISORY AFFILIATE

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

	<i>CRD</i> Number:	This advisory affiliate is 💿 a Firm 🔹 an Individual
	Registered:	O Yes 💿 No
	(MAN INVESTMENTS LIMITED (For individuals, Last, First, Middle)
	This DRP sh registered c	hould be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. Hould be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is for applying for registration with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the <i>advisory affiliate's</i> favor.
	11.D(4), and o	stered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any Item 11 that occurred more than ten years ago.
	This DRP sh circumstance	nould be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the ces:
B.	•	affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to D for the event? If the answer is "Yes," no other information on this DRP must be provided.
	O Yes 💿 M	No
	NOTE: The com	npletion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.
AR	T II	
1.	5 5	ion initiated by: Ier Federal 👩 State 💿 _{SRO} O Foreign
		egulator, <i>foreign financial regulatory authority</i> , federal, state, or <i>SRO</i>) CANTILE EXCHANGE
2.	Principal Sancti Civil and Admir Other Sanction DISGORGEMEN	nistrative Penalt(ies) /Fine(s) is:
3.	Date Initiated (MM/DD/YYYY):
		Exact O Explanation Fovide explanation:
4.	Docket/Case N CME 11-8609-E	
5.	Advisory Affiliat	e Employing Firm when activity occurred which led to the regulatory action (if applicable):
6.	Principal Produ Futures - Comr Other Product	nodity
7.	Describe the al	llegations related to this regulatory action (your response must fit within the space provided):
-	ON NOVEMBER FEEDER")CONT	4, 2011, A DATE SUBJECT TO THE 300 CONTRACT POSITION LIMIT FOR THE EXPIRING NOVEMBER 2011 FEEDER CATTLE ("NOV11 RACT, MAN INVESTMENTS LIMITED ("MAN") MAINTAINED A LONG NOV11 FEEDER POSITION OF 436 CONTRACTS, 136 CONTRACTS XCESS OF THE APPLICABLE SPECULATIVE SPOT MONTH POSITION LIMIT. MAN LIQUIDATED THE OVERAGE POSITION AND REALIZED

PROFITS IN THE AMOUNT OF \$35,050. THE PANEL FOUND THAT AS A RESULT MAN VIOLATED CME RULE 562.

8.	Current Status? O	Pending On App	eal 💿 Final		
9.	9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:				
lf F	If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.				
10.	How was matter resolve Settled	ed:			
11.	Resolution Date (MM/DD/	YYYY):			
	03/26/2012 💿 Exact	C Explanation			
	If not exact, provide exp	planation:			
12.	Resolution Detail:				
	A. Were any of the fol	llowing Sanctions Orde	ered (check all appropriate items)	?	
		Amount: \$ 25,000.00	_	_	
	Revocation/Exp	oulsion/Denial		Disgorgement/Restitution	
	🗖 Censure 🗖 Bar			Cease and Desist/Injunction	
		idarad.	1	Suspension	
	B. Other Sanctions Or	derea:			
	Financial Operation requalify/retrain, ty disgorgement or m of penalty was wai	s Principal, etc.). If rec ype of exam required a onetary compensation ved:	qualification by exam/retraining v nd whether condition has been	was a condition of the sanction, satisfied. If disposition resulted levied against you or an <i>advisol</i>	fected (General Securities Principal, provide length of time given to I in a fine, penalty, restitution, ry affiliate, date paid and if any portion
13.	13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided). PURSUANT TO AN OFFER OF SETTLEMENT THAT MAN INVESTMENTS LTD. ("MAN") PRESENTED AT A HEARING ON MARCH 22, 2012 IN WHICH MAN NEITHER ADMITTED NOR DENIED THE RULE VIOLATION UPON WHICH THE PENALTY IS BASED, A PANEL OF THE CME BUSINESS CONDUCT COMMITTEE ("BCC") FOUND THAT MAN VOLUNTARILY SUBMITTED ITSELF TO THE JURISDICTION OF THE BCC FOR PURPOSES OF SETTLING THIS MATTER, AND THAT ON NOVEMBER 4, 2011, A DATE SUBJECT TO THE 300 CONTRACT POSITION LIMIT FOR THE EXPIRING NOVEMBER 2011 FEEDER CATTLE ("NOV11 FEEDER")CONTRACT, MAN MAINTAINED A LONG NOV11 FEEDER POSITION OF 436 CONTRACTS, 136 CONTRACTS (45.33%) IN EXCESS OF THE APPLICABLE SPECULATIVE SPOT MONTH POSITION LIMIT. MAN LIQUIDATED THE OVERAGE POSITION AND REALIZED PROFITS IN THE AMOUNT OF \$35,050. THE PANEL FOUND THAT AS A RESULT MAN VIOLATED CME RULE 562. IN ACCORDANCE WITH THE SETTLEMENT OFFER, THE PANEL ORDERED MAN: (1) TO PAY A FINE TO THE EXCHANGE IN THE AMOUNT OF \$25,000 AND (2) TO DISGORGE PROFITS IN THE AMOUNT OF \$35,050.				MARCH 22, 2012 IN WHICH MAN CME BUSINESS CONDUCT COMMITTEE OF SETTLING THIS MATTER, AND THAT ER 2011 FEEDER CATTLE ("NOV11 TS (45.33%) IN EXCESS OF THE LIZED PROFITS IN THE AMOUNT OF TTLEMENT OFFER, THE PANEL ORDERED
	Disclosure Reporting Page E., 11.F. or 11.G. of Form A		GENERAL INSTRUC NITIAL OR • AMENDED respon		firmative responses to Items 11.C., 11.D.,
			Regulatory Ac	tion	
	ck item(s) being responde				
	11.C(1)	□ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)
	11.D(1) 11.E(1)	✓ 11.D(2) ✓ 11.E(2)	☐ 11.D(3) ☐ 11.E(3)	☑ 11.D(4) □ 11.E(4)	🗖 11.D(5)
	11.E(1) 11.F.	□ 11.E(2) □ 11.G.	\square II.E(3)	\Box II.E(4)	
		L 11.G.			
with One	event may result in more	age. e than one affirmative a		I.E., 11.F. or 11.G. Use only on	ne <i>person</i> or entity using one DRP. File e DRP to report details related to the arate DRP.
PAR	ΤI				
A.	The <i>person(s)</i> or entity(ie O You (the advisory firm		is being filed is (are):		
	C You and one or more	of your advisory affilia	tes		

• One or more of your *advisory affiliates*

If this DRP is being filed for an advisory affiliate, give the full name of the advisory affiliate below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

CRD	This advisory affiliate is 💿 a Firm 🗢 an Individual
Number:	
Registered:	O Yes O No
Name:	MAN GROUP PLC (For individuals, Last, First, Middle)
This DRP registered	should be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. should be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is d or applying for registration with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the or <i>advisory affiliate's</i> favor.
11.D(4), and	egistered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item d only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any in Item 11 that occurred more than ten years ago.
This DRP circumsta	should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the nces:
	ry affiliate is registered through the IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.
O Yes 💿	No
NOTE: The co	ompletion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.
RT II	
• •	ction initiated by: Ither Federal 👩 State 👩 _{SRO} 💿 ^F oreign
	f regulator, <i>foreign financial regulatory authority</i> , federal, state, or <i>SRO</i>) ACIONAL DEL MERCADO DE VALORES
Principal San Civil and Adn Other Sanctio	ninistrative Penalt(ies) /Fine(s)
Date Initiated	(MM/DD/YYYY):
	Exact C Explanation provide explanation:
Docket/Case 2021025805	
Advisory Affili	iate Employing Firm when activity occurred which led to the regulatory action (if applicable):
Principal Prod Equity Listed Other Produc	(Common & Preferred Stock)
Describe the	allegations related to this regulatory action (your response must fit within the space provided):
EURO 240,00 THE CNMV'S	2021, MAN GROUP PLC ("MAN"), AN ADVISORY AFFILIATE OF MAN SOLUTIONS LIMITED BASED IN LONDON, UK, AGREED TO PAY A FINE C DO TO THE COMISION NACIONAL DEL MERCADO DE VALORES (THE "CNMV"), PURSUANT TO A SETTLED RESOLUTION, IN CONNECTION WI FINDINGS THAT MAN HAD NOT COMPLIED WITH EUROPEAN UNION ("EU") REGULATIONS REQUIRING THE DISCLOSURE OF AN INVESTOR TIONS IN THE SECURITIES OF ANY ISSUER BASED IN AN EU MEMBER COUNTRY, SUCH AS SPAIN. SPECIFICALLY, THE EUROPEAN AND MARKETS AUTHORITY ("ESMA") HAS ISSUED REGULATIONS ON SHORT SELLING AND CREDIT DEFAULT SWAPS ("SSR") THAT REQUIRI

INVESTORS TO FILE REPORTS DISCLOSING ANY SHORT POSITIONS ABOVE A THRESHOLD OF 0.2% OF AN ISSUER'S OUTSTANDING SHARES, WITH ADDITIONAL DISCLOSURE THRESHOLDS AT INCREASING INTERVALS OF 0.1%. THUS, AN INVESTOR MUST FILE DISCLOSURES CALCULATING ITS NET SHORT POSITION WHENEVER IT CROSSES THRESHOLDS (UP OR DOWN) OF 0.2%, 0.3%, 0.4%, 0.5% ETC. IN THE CASE OF MAN, THE CNMV DETERMINED THAT, BETWEEN DECEMBER 2017 AND NOVEMBER 2018, THREE OF MAN'S AFFILIATED MANAGERS HAD ON FIVE OCCASIONS FAILED TO CORRECTLY DISCLOSE THEIR NET SHORT POSITION IN FOUR SPANISH ISSUERS AMOUNTING TO BETWEEN 0.2% AND 0.7% OF THE ISSUERS' OUTSTANDING SHARES. THE SSR REGULATIONS IMPOSE RULES FOR CALCULATING NET SHORT POSITIONS AT THE FUND LEVEL AMONG AFFILIATED ENTITIES, WHICH MAN ATTEMPTED TO APPLY IN GOOD FAITH. SEE ESMA 70-145-408, QUESTION 8.5, AVAILABLE AT

	HTTPS://WWW.ESMA.EUROPA.EU/SITES/DEFAULT/ FROM THE INCORRECT IDENTIFICATION OF THE PC WAS INCORRECTLY NOTIFIED AS A POSITION HELE NOTIFICATIONS TO THE CNMV. IN ONE INSTANCE, DISPATCH RECORD NO. 2021025805 OF THE CNMM CONDUCT, NOR THAT IT COMMITTED ANY VIOLATIO	DSITION HOLDING ENTITY N D BY ANOTHER MAN MANAG A POSITION WAS INADVER V. THE CNMV DID NOT DET	WITHIN MAN; (FOR EXAMPLE, A ER). THIS MISREPORTING RES RTENTLY NOT REPORTED. THE (ERMINE THAT MAN ENGAGED	POSITION HELD BY ONE MAN MANAGER ULTED IN DELAYED CORRECTING ORDER RELATED TO MAN IS SET FORTH IN N ANY MISLEADING OR DECEPTIVE	
8.	Current Status? O Pending O On Appeal	• Final			
9.	If on appeal, regulatory action appealed to (SEC,	SRO, Federal or State Cour	rt) and Date Appeal Filed:		
lf F	Final or On Appeal, complete all items below. For Per	nding Actions, complete Ite	em 13 only.		
10	How was matter resolved: Settled				
11	Resolution Date (MM/DD/YYYY):				
	04/07/2021 Exact C Explanation If not exact, provide explanation:				
12	. Resolution Detail:				
	A. Were any of the following Sanctions Ordered	(check all appropriate item	าร)?		
	Monetary/Fine Amount: \$		_		
	Revocation/Expulsion/Denial		Disgorgement/Restitution		
	Censure Bar		Cease and Desist/InjuncSuspension	lion	
	B. Other Sanctions <i>Ordered:</i>		Suspension		
13	 Sanction detail: if suspended, <i>enjoined</i> or ba Financial Operations Principal, etc.). If requal requalify/retrain, type of exam required and disgorgement or monetary compensation, pr of penalty was waived: ON APRIL 7, 2021, MAN, AN ADVISORY AFFILI NACIONAL DEL MERCADO DE VALORES (THE EUROPEAN UNION ("EU") REGULATIONS REQU BASED IN AN EU MEMBER COUNTRY, SUCH AS Provide a brief summary of details related to the a must fit within the space provided). ON APRIL 7, 2021, MAN AGREED TO ACCEPT THE F 	ification by exam/retraining whether condition has bee rovide total amount, portion IATE OF MAN SOLUTIONS L "CNMV") IN CONNECTION V JIRING THE DISCLOSURE C S SPAIN.	g was a condition of the sanct n satisfied. If disposition resu n levied against you or an <i>ad</i> IMITED AGREED TO PAY A FINI VITH THE CNMV'S FINDINGS TO OF AN INVESTOR'S SHORT POS	on, provide length of time given to ted in a fine, penalty, restitution, <i>visory affiliate</i> , date paid and if any portion E OF EURO 240,000 TO THE COMISION HAT MAN HAD NOT COMPLIED WITH TIONS IN THE SECURITIES OF ANY ISSUER	
0.114					
	IL JUDICIAL ACTION DISCLOSURE REPORTING F	· ·			
	s Disclosure Reporting Page (DRP ADV) is an $ ho$ INIT or Item 2.F. of Part 1B of Form ADV.	GENERAL INSTR		affirmative responses to Item 11.H. of Pa	rt
		Civil Judio	sial		
	ck Part 1A item(s) being responded to: 11.H(1)(a)		□ 11.H(1)(c)	□ 11.H(2)	
	eck Part 1B item(s) being responded to: 2.F(1)	□ 2.F(3)	2 .F(4)	2.F(5)	
	a separate DRP for each event or <i>proceeding</i> . The a completed Execution Page.	same event or <i>proceeding</i> r	may be reported for more than	n one <i>person</i> or entity using one DRP. File	
	e event may result in more than one affirmative ans ne event. Unrelated civil judicial actions must be rep		A or Item 2.F. of Part 1B. Use	only one DRP to report details related to t	he

PART I

A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):

O You (the advisory firm)

O You and one or more of your advisory affiliates

⊙ One or more of your *advisory affiliates*

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

		DVISORY AFFILIATE	
	<i>CRD</i> Number:	163016	This advisory affiliate is 💿 a Firm 🗢 an Individual
	Registered:	🖸 Yes 🔘 No	
	Name:	GLG PARTNERS LP (For individuals, Last, Fin Middle)	rst,
1	This DRP registered	should be removed from	the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is tion with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the r.
	(1)(a), and c	only if that event occurred	ith a <i>state securities authority</i> , you may remove a DRP for an event you reported only in response to Item 11.H I more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any more than ten years ago.
I	This DRP circumsta		the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the
			rough the IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to answer is "Yes," no other information on this DRP must be provided.
	• Yes • M	lo	
١	NOTE: The co	empletion of this form doe	es not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records.
PART I	11		
		initiated by: (Name of req TES AND EXCHANGE COM	gulator, <i>foreign financial regulatory authority, SRO</i> , commodities exchange, agency, firm, private plaintiff, etc.) IMISSION
(Principal Relic Cease and D Other Relief S DISGORGEME	esist	Y AND UNDERTAKINGS
3. F	Filing Date of	Court Action (MM/DD/YYY	YY):
		Exact C Explanation provide explanation:	n
Ν	Principal Proc No Product Other Produc		
٩	Number):	n was brought in (include TIES AND EXCHANGE COM	name of Federal, State or Foreign Court, Location of Court - City or County <u>and</u> State or Country, Docket/Case
6. <i>F</i>	Advisory Affili	ate Employing Firm when	activity occurred which led to the civil judicial action (if applicable):
۱ ۱ ۱	N AN ADMIN /ALUATION C WAS NOT PRO	ISTRATIVE PROCEEDING, OF LEVEL 3 ASSETS AND T OVIDED TO THE INDEPENI	s civil action (your response must fit within the space provided): THE SEC ALLEGED THAT GLG PARTNERS LP ("GLG") DID NOT MAINTAIN SUFFICIENT CONTROLS RELATING TO THI HAT, AS A RESULT, RELEVANT INFORMATION RELATING TO THE VALUATION OF A SINGLE PRIVATE EQUITY ASSET DENT PRICING COMMITTEE IN A TIMELY MANNER. THE SEC ALLEGED THAT, AS A RESULT OF THE INADEQUACIES QUITY ASSET WAS OVERVALUED FROM NOVEMBER 2008 THROUGH NOVEMBER 2010, RESULTING IN INFLATED FER

REVENUE OF \$7,766,667 FOR GLG AND ITS AFFILIATE, GLG PARTNERS, INC. ("GPI"), AND THAT THE OVERVALUATION LED TO MISSTATEMENTS IN GPI'S

FILINGS WITH THE SEC RELATING TO THE PERIOD FROM 2008 THROUGH THE SECOND QUARTER OF 2010.

8. Current Status? ^O Pending ^O Or	n Appeal 🛛 🏾 💿 Final
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9. If on appeal, action appealed to (provide name of court) and Date Appeal Filed (MM/DD/YYYY):

10. If pending, date notice/process was served (MM/DD/YYYY):

Exact Explanation If not exact, provide explanation:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 14 only.

11. How was matter resolved: Settled

12. Resolution Date (MM/DD/YYYY):

12/12/2013 • Exact • Explanation If not exact, provide explanation:

13. Resolution Detail:

A. Were any of the following Sanctions Ordered or Relief Granted(check appropriate items)?

- Monetary/Fine Amount: \$ 375,000.00
- Revocation/Expulsion/Denial
- Censure
- 🗖 Bar
- B. Other Sanctions:

- Disgorgement/Restitution
- Cease and Desist/Injunction

Yes No

 \odot

 \mathbf{O}

Suspension

COMPLIANCE WITH UNDERTAKINGS ENUMERATED IN SECTION III OF ADMINISTRATIVE ORDER ("ORDER").

C. Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement, or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

CIVIL MONEY PENALTY AGAINST GLG (\$375,000); CIVIL MONEY PENALTY AGAINST GPI (\$375,000); AND DISGORGEMENT (\$7,766,667), AND PRE-JUDGMENT INTEREST (\$437,679). ALL AMOUNTS ORDERED TO BE PAID WITHIN 14 DAYS OF THE ENTRY OF THE ORDER.

14. Provide a brief summary of circumstances related to the action(s), allegation(s), disposition(s) and/or finding(s) disclosed above (your response must fit within the space provided).

WITHOUT ADMITTING OR DENVING THE SEC'S ALLEGATIONS, GLG AND GPI CONSENTED: (1) TO ENTRY OF THE ORDER; (2) TO EACH PAY CIVIL MONEY PENALTIES, DISGORGEMENT, AND PRE-JUDGMENT INTEREST; (3) TO COMPLY WITH UNDERTAKINGS ENUMERATED IN SECTION III OF THE ORDER; AND (4) TO CEASE AND DESIST FROM COMMITTING OR CAUSING ANY VIOLATIONS AND ANY FUTURE VIOLATIONS OF SECTIONS 13(A), 13(B)(2)(A) AND 13(B) (2)(B) OF THE SECURITIES EXCHANGE ACT, AND RULES 12B-20, 13A-1, 13A-11, AND 13A-13 THEREUNDER.

Part 2

Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Brochure ID	Brochure Name	Brochure Type(s)
276729	MSL FORM ADV PART 2A	Pension plans/profit sharing plans, Private funds or
		pools

Part 3

CRS	Type(s)	Affiliate Info	Retire

There are no CRS filings to display.

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:		
Printed Name:		

Adviser *CRD* Number: 108899 Date: MM/DD/YYYY Title:

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: KATHERINE ELIZABETH SQUIRE

Printed Name: KATHERINE ELIZABETH SQUIRE

Adviser *CRD* Number: 108899

Date: MM/DD/YYYY 03/28/2024 Title: CHIEF COMPLIANCE OFFICER