# **FORM ADV**

# UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Drin	nary Ru	siness Name: FRANKLIN TEMPI	I ETON PORTEOLIO ADVISORS	INC		CRD Number: 111372				
	_	-Annual Amendment - All Section		iivo.		Rev. 10/2021				
		2:49:27 PM								
WA	ARNING:	· · · · · · · · · · · · · · · · · · ·	False statements or omissions r g periodic amendments. See Fo		revocation of your registration, or criminal pro	osecution. You must				
lten	n 1 Ident	tifying Information								
	-		· · · · · ·	and how we can contact you. If you are on to assist you with filing an umbrella	e filing an umbrella registration, the information registration.	on in Item 1 should be				
A.		ull legal name (if you are a sole   KLIN TEMPLETON PORTFOLIO A	· · ·	iddle names):						
B.	` '	me under which you primarily co		f different from Item 1.A.						
	List on	Section 1.B. of Schedule D any	additional names under which	you conduct your advisory business.						
	(2) If y	ou are using this Form ADV to re	egister more than one investme	nt adviser under an <i>umbrella registratio</i>	on, check this box $\square$					
	If you	check this box, complete a Sche	edule R for each relying adviser	:						
C.		filing is reporting a change in your legal name or $\square$ your primary		nary business name (Item 1.B.(1)), ente	er the new name and specify whether the nam	ne change is of				
D.	. , .	ou are registered with the SEC a	•							
	. , .	(2) If you report to the SEC as an exempt reporting adviser, your SEC file number:								
	. , ,	(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:								
		CIK Number 1034647								
E.	(1) If yo	(1) If you have a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system, your CRD number: 111372								
	If your	If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates.								
	(2) If yo	ou have additional <i>CRD</i> Number	rs, your additional <i>CRD</i> numbe	rs: No Information Filed						
				No information i lieu						
F.	Princip	oal Office and Place of Business								
		ddress (do not use a P.O. Box):								
		umber and Street 1: NE FRANKLIN PARKWAY		Number and Street 2:						
	Cit		State:	Country:	ZIP+4/Postal Code:					
		AN MATEO	California	United States	94403-1906					
	<b>If</b> f	this address is a private residen	ce, check this box: $\square$							
	re; wl	gistration, or are registered, with hom you are registered. If you a	n one or more state securities au re applying for SEC registration,	uthorities, you must list all of your offices	nich you conduct investment advisory busines in the state or states to which you are applyir or if you are reporting to the SEC as an exen pleted fiscal year.	ng for registration or with				
		ays of week that you normally co	onduct business at your <i>principa</i>	al office and place of business:						
		ormal business hours at this loc	cation:							
	(3) Te	30 A.M. TO 5:00 P.M. elephone number at this location	า:							
	(4) Fa	00-822-8464 acsimile number at this location,	if any:							
	•	50) 312-4208 hat is the total number of offices	, other than your <i>principal office</i>	e and place of business, at which you co	onduct investment advisory business as of the	e end of your most				

recently completed fiscal year?

G.	Mailing address, if different from	your principal office and place of business	s address:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	If this address is a private resident	ence, check this box: $\Box$	,			
Н.	If you are a sole proprietor, state	your full residence address, if different from	m your <i>principal office and place</i>	e of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
					Yes	No
l.	Do you have one or more websit	tes or accounts on publicly available social	I media platforms (including, but	not limited to, Twitter, Facebook and LinkedIn)?	•	0
	address serves as a portal through information. You may need to lis	gh which to access other information you h at more than one portal address. Do not pro	nave published on the web, you novide the addresses of websites	e social media platforms on Section 1.1. of Schedule D. If a web may list the portal without listing addresses for all of the other or accounts on publicly available social media platforms when the addresses of employee accounts on publicly available social	re you	
ı	Chief Compliance Officer					
J.	(1) Provide the name and contact	et information of your Chief Compliance Offione. If not, you must complete Item 1.K. bel		ting adviser, you must provide the contact information for your C	Chief	
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if any:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) address	s, if Chief Compliance Officer has one:				
K.	Name:  IRS Employer Identification Num  Additional Regulatory Contact Permay provide that information here	erson: If a person other than the Chief Com	npliance Officer is authorized to	receive information and respond to questions about this Form	ADV, ː	you
	Name:	-	Titles:			
	Telephone number:		Facsimile number, if any:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Oity.	Giate.	Country.	ZII 14/1 Ostal Code.		
	Electronic mail (e-mail) address	s, if contact person has one:				
					Yes	No
L.	Do you maintain some or all of the principal office and place of busing		keep under Section 204 of the A	dvisers Act, or similar state law, somewhere other than your	0	0
	If "yes," complete Section 1.L. of	Schedule D.			Yes	No
M.	Are you registered with a foreign	financial regulatory authority?			0	•
	Answer "no" if you are not registed complete Section 1.M. of Schedu		ority, even if you have an affiliat	e that is registered with a foreign financial regulatory authority.		
					Yes	No
N.	Are you a public reporting compa	any under Sections 12 or 15(d) of the Secu	rities Exchange Act of 1934?		$\circ$	$\odot$
					Yes	No
O.	If yes, what is the approximate a		nt fiscal year?		0	•
	· ·					
	510 billion to less than \$50	) DIIIION				
	C \$50 billion or more					

For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one: 54930030DUP117HEKC23

A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.

List your other business nam	nes and the jurisdictions in which you use th	em. You must complete a separate Schedule	D Section 1.B. for each business name.	
Name: FRANKLIN PORTFOL	IO ADVISORS			
Jurisdictions				
<b>☑</b> AL	<b>☑</b> IL	<b>☑</b> NE	<b>☑</b> sc	
<b>☑</b> AK	<b>☑</b> IN	<b>☑</b> NV	<b>☑</b> SD	
<b>☑</b> AZ	<b>☑</b> IA	<b>☑</b> NH	<b>☑</b> TN	
<b>☑</b> AR	<b>☑</b> KS	<b>☑</b> NJ	<b>☑</b> TX	
<b>☑</b> CA	<b>☑</b> KY	<b>☑</b> NM	<b>☑</b> UT	
<b>☑</b> co	<b>☑</b> LA	<b>☑</b> NY	✓VT	
<b>☑</b> CT	<b>☑</b> ME	<b>☑</b> NC	✓VI	
<b>☑</b> DE	<b>☑</b> MD	<b>☑</b> ND	<b>☑</b> ∨A	
<b>☑</b> DC	<b>☑</b> MA	<b></b> ОН	<b>☑</b> WA	
<b>☑</b> FL	<b>☑</b> MI	<b>☑</b> OK	<b>☑</b> w∨	
<b>☑</b> GA	<b>☑</b> MN	<b>☑</b> OR	<b>☑</b> WI	
□GU	<b>☑</b> MS	<b>☑</b> PA	<b>☑</b> wy	
<b>⊡</b> ні	<b>☑</b> MO	<b>☑</b> PR	Cther:	
<b>☑</b> ID	<b>☑</b> MT	<b>☑</b> RI		
	L			
List your other business nam	nes and the jurisdictions in which you use th	em. You must complete a separate Schedule	D Section 1.B. for each business name.	
Name: TEMPLETON PORTF	OLIO ADVISORS			
Jurisdictions	1	1/	\[	
<b>☑</b> AL	<u></u> IL	<u></u> NE	<b>☑</b> sc	
<b>☑</b> AK	<b>☑</b> IN	<b>☑</b> NV	<b>☑</b> SD	
<b>▽</b> AZ	<b>☑</b> IA	<b>☑</b> NH	<b>☑</b> TN	
<b>☑</b> AR	<b>☑</b> KS	<b>☑</b> NJ	<b>▼</b> TX	
<b>☑</b> CA	<b>☑</b> KY	<b>☑</b> NM	<b>☑</b> UT	
<b>☑</b> co	<b>☑</b> LA	<b>☑</b> NY	<b>☑</b> VT	
<b>☑</b> CT	<b>☑</b> ME	<b>☑</b> NC	✓VI	
<b>☑</b> DE	<b>☑</b> MD	<b>☑</b> ND	<b>☑</b> VA	
<b>☑</b> DC	<b>™</b> MA	<b></b> ОН	<b>☑</b> WA	
<b>▽</b> FL	<b>☑</b> MI	<b>☑</b> OK	₽w	
<b>▽</b> GA	<b>☑</b> MN	<b>☑</b> OR	<b>☑</b> WI	
□ gu	<b>☑</b> MS	<b>☑</b> PA	<b>☑</b> WY	
<b>☑</b> HI	<b>☑</b> MO	<b>☑</b> PR	☐ Other:	
<b>☑</b> ID	<b>☑</b> MT	<b>☑</b> RI		

## **SECTION 1.F. Other Offices**

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: ONE FRANKLIN PARKWAY		Number and Street 2:		
City: SAN MATEO	State: California	Country: United States	ZIP+4/Postal Code: 94403	
If this address is a private residence, check this	box: 🗆			
Telephone Number: 2126323000	Facsimile Numb	oer, if any:		
If this office location is also required to be regist Branch Office Registration Form (Form BR), ple			location for a broker-dealer or investment adviser	r on the Uniform
How many <i>employees</i> perform investment advise	sory functions from this offic	ce location?		
Are other business activities conducted at this of (1) Broker-dealer (registered or unregistered (2) Bank (including a separately identifiable of (3) Insurance broker or agent (4) Commodity pool operator or commodity to (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	) department or division of a b rading advisor (whether regi	oank) istered or exempt from registration)		
SECTION 1.I. Website Addresses				
List your website addresses, including addresse Facebook and/or LinkedIn). You must complete			e you control the content (including, but not limite on a publicly available social media platform.	ed to, Twitter,
Address of Website/Account on Publicly Availab	e Social Media Platform: h	https://soundcloud.com/franklintemple	eton	
Address of Website/Account on Publicly Availab	le Social Media Platform: I	http://instagram.com/franklintempleto	n	
Address of Website/Account on Publicly Availab	le Social Media Platform: I	HTTPS://WWW.YOUTUBE.COM/USEF	₹/FRANKLINTEMPLETONTV	
Address of Website/Account on Publicly Availab	le Social Media Platform: I	HTTPS://WWW.FRANKLINTEMPLETC	N.COM	

Address of Website/Account on Publicly Available Social Media Platform: https://twitter.com/FTI\_Emerging

Address of Website/Account on Publicly Available Social Media Platform: HTTPS://TWITTER.COM/FTI\_US

Address of Website/Account on Publicly Available Social Media Platform: http://franklintempletontalkingmarkets.libsyn.com

Address of Website/Account on Publicly Available Social Media Platform: HTTPS://WWW.FACEBOOK.COM/FRANKLINTEMPLETON/

Address of Website/Account on Publicly Available Social Media Platform: https://www.linkedin.com/company/franklin-templeton

SECTION 1.L. Location of Books and Records			
Complete the following information for each location at which schedule D, Section 1.L. for each location.	ch you keep your books and	d records, other than your <i>principal offi</i>	ice and place of business. You must complete a separate
Name of entity where books and records are kept: FRANKLIN ADVISORY SERVICES, LLC			
Number and Street 1: 55 CHALLENGER ROAD		Number and Street 2: SUITE 501	
	State: New Jersey	Country: United States	ZIP+4/Postal Code: 07660
If this address is a private residence, check this box:			
	Facsimile number, if any: (201) 462-4967		
This is (check one):  one of your branch offices or affiliates.			
o a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. A PORTION OF REGISTRANT'S INVESTMENT ADVISORY MAINTAINED BY FRANKLIN ADVISORY SERVICES, LLC.		INAL BOOKS AND RECORDS INVOLV	ED WITH THAT PORTION OF THE BUSINESS ARE
Name of entity where books and records are kept: FRANKLIN TEMPLETON SERVICES, LLC			
Number and Street 1: ONE FRANKLIN PARKWAY		Number and Street 2:	
City: SAN MATEO	State: California	Country: United States	ZIP+4/Postal Code: 94403
If this address is a private residence, check this box:			
Telephone Number: (650) 312-4019	Facsimile number, if any: (650) 525-7275		
This is (check one):  one of your branch offices or affiliates.			
C a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this location. A PORTION OF THE ORIGINAL BOOKS AND RECORDS A		KLIN TEMPLETON SERVICES, LLC.	
Name of entity where books and records are kept: FRANKLIN TEMPLETON COMPANIES, LLC			
Number and Street 1: 300 SOUTHEAST 2ND STREET		Number and Street 2:	
City: FORT LAUDERDALE	State: Florida	Country: United States	ZIP+4/Postal Code: 33301
If this address is a private residence, check this box:			
Telephone Number: (954) 527-7500	Facsimile number, if a (954) 847-7329	ny:	

_				
C a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location CERTAIN PROXY VOTING SUPPORTING DOCUMENTATION		REGISTRANT ARE MAINTAINED	) AT THIS LOCATION FOR THE REGISTRANT.	
Name of entity where books and records are kept: FRANKLIN RESOURCES, INC.				
Number and Street 1: ONE FRANKLIN PARKWAY		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
SAN MATEO	California	United States	94403-1906	
If this address is a private residence, check this box:				
Telephone Number: 650-525-7510	Facsimile number, if a 650-525-7570	ny:		
This is (check one):  one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at this location SEE "MISCELLANEOUS SECTION".	n.			
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED				
Number and Street 1:		Number and Street 2:		
2131 NW 3RD AVE		Number and Street 2.		
			ZIP+4/Postal Code:	
City:	State:	Country:	ZIF +4/F USIAI COUE.	
City: POMPANO BEACH	State: Florida	Country: United States	33060	
POMPANO BEACH		United States		
POMPANO BEACH  If this address is a private residence, check this box:  Telephone Number:	Florida Facsimile number, i	United States		
POMPANO BEACH  If this address is a private residence, check this box:  Telephone Number: 1-800-934-3453  This is (check one): one of your branch offices or affiliates.	Florida Facsimile number, i	United States		
POMPANO BEACH  If this address is a private residence, check this box:  Telephone Number: 1-800-934-3453  This is (check one):	Florida Facsimile number, i	United States		
POMPANO BEACH  If this address is a private residence, check this box:  Telephone Number: 1-800-934-3453  This is (check one):    one of your branch offices or affiliates.    a third-party unaffiliated recordkeeper.	Florida  Facsimile number, i 1-800-934-5348	United States f any:	33060	
POMPANO BEACH  If this address is a private residence, check this box:   Telephone Number: 1-800-934-3453  This is (check one):   ○ one of your branch offices or affiliates.   ○ a third-party unaffiliated recordkeeper.   ○ other.  Briefly describe the books and records kept at this location	Florida  Facsimile number, i 1-800-934-5348	United States f any:	33060	
If this address is a private residence, check this box:  Telephone Number: 1-800-934-3453  This is (check one): one of your branch offices or affiliates. one of your branch offices or affiliates. other.  Briefly describe the books and records kept at this location CERTAIN PROXY VOTING SUPPORTING DOCUMENTATION.  Name of entity where books and records are kept:	Florida  Facsimile number, i 1-800-934-5348	United States f any:	33060	
If this address is a private residence, check this box:  Telephone Number: 1-800-934-3453  This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other.  Briefly describe the books and records kept at this location CERTAIN PROXY VOTING SUPPORTING DOCUMENTATI  Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED	Florida  Facsimile number, i 1-800-934-5348	United States  f any:  REGISTRANT ARE MAINTAINED	33060	
If this address is a private residence, check this box:  Telephone Number: 1-800-934-3453  This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other.  Briefly describe the books and records kept at this location CERTAIN PROXY VOTING SUPPORTING DOCUMENTATI  Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED  Number and Street 1:	Florida  Facsimile number, i 1-800-934-5348	United States  f any:  REGISTRANT ARE MAINTAINED	33060	

This is (check one):

If this address is a private res	idence, check this box:			
Telephone Number: 1-800-934-3453	Facsimile numb 1-800-934-534			
This is (check one):  O one of your branch offices	or affiliates.			
a third-party unaffiliated re	cordkeeper.			
other.				
Briefly describe the books and CERTAIN PROXY VOTING SU	-	OF REGISTRANT ARE MAINTAINE	ED AT THIS LOCATION FOR THE REGISTRANT.	
Name of entity where books a FIDUCIARY TRUST COMPAN	•			
Number and Street 1: 280 PARK AVENUE		Number and Street 2:		
City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10017	
NEW TORK	new fork	Officed States	10017	
If this address is a private res	idence, check this box:			
Telephone Number: (212) 632-3000	Facsimile number (212) 632-3265	r, if any:		
This is (check one):  one of your branch offices	or affiliates.			
a third-party unaffiliated re	cordkeeper.			
other.				
Briefly describe the books and CERTAIN BOOKS AND RECCYORK, NY.	-	MPLIANCE MATTERS ARE MAINTA	INED BY FIDUCIARY TRUST COMPANY INTERNATIONAL IN NEW	l
CECTIONA M. Domintontino mit	- Faraine Financial Baselatane Authoritica			
SECTION 1.M. Registration with	n Foreign Financial Regulatory Authorities			
		No Information Filed		
Item 2 SEC Registration/Repor				
	-	-	his Item 2.A. only if you are applying for SEC registration or submitting them 2 should be provided for the <i>filing adviser</i> only.	ting
	you are no longer eligible to register with the SE		(12), below. If you are submitting an <i>annual updating amendment</i> fruction 2 provides information to help you determine whether you	to
(1) are a large advi	isory firm that either:			
(a) has regulate	ory assets under management of \$100 million (in	n U.S. dollars) or more; or		
(b) has regulate with the SEC	·	U.S. dollars) or more at the time of	filing its most recent annual updating amendment and is register	red
(2) are a <b>mid-sized</b> you are either:	advisory firm that has regulatory assets under	management of \$25 million (in U.S	3. dollars) or more but less than \$100 million (in U.S. dollars) and	
(a) not required	to be registered as an adviser with the state se-	curities authority of the state where	you maintain your principal office and place of business; or	
(b) not subject	to examination by the state securities authority of	f the state where you maintain your	principal office and place of business;	
Click HERE	for a list of states in which an investment advise	er, if registered, would not be subjec	t to examination by the state securities authority.	
(3) Reserved				

(5) are an investment adviser (or sub	padviser) to an investment company	registered under the Investment Company Act of	1940;
, ,		siness development company pursuant to sectio regulatory assets under management;	n 54 of the Investment Company Act of 1940
(7) are a <b>pension consultant</b> with res	pect to assets of plans having an agg	regate value of at least \$200,000,000 that qualifies	s for the exemption in rule 203A-2(a);
, ,	03A-2(b) that <i>controls</i> , is <i>controlled</i> by business is the same as the registered	, or is under common <i>control</i> with, an investment a	adviser that is registered with the SEC, and
If you check this box, complete Se	ection 2.A.(8) of Schedule D.		
(9) are an <b>adviser</b> relying on rule 203.	A-2(c) because you <b>expect to be eligil</b>	ble for SEC registration within 120 days;	
If you check this box, complete Se	-	•	
(10) are a <b>multi-state adviser</b> that is re		s and is relying on rule 203A-2(d):	
If you check this box, complete Se	·	s and its rolying on rate 2007 (2(a),	
(11) are an <b>Internet adviser</b> relying on			
(12) have <b>received an SEC order</b> exem		registration with the SEC;	
If you check this box, complete Se			
(13) are <b>no longer eligible</b> to remain re	gistered with the SEC.		
State Securities Authority Notice Filings and St			
are called <i>notice filings</i> . In addition, <i>exempt</i> SEC. If this is an initial application or report, the SEC. If this is an amendment to direct you	reporting advisers may be required to check the box(es) next to the state(s) our notice filings or reports to additionate to the SEC. If this is an amendment to	curities authorities a copy of the Form ADV and an provide state securities authorities with a copy of that you would like to receive notice of this and all state(s), check the box(es) next to the state(s) the your registration to stop your notice filings or report	reports and any amendments they file with the subsequent filings or reports you submit to at you would like to receive notice of this and
Jurisdictions			
<b>☑</b> AL	<b>☑</b> L	<b>☑</b> NE	☑ sc
<b>☑</b> AK	<b>☑</b> IN	<b>☑</b> NV	<b>☑</b> SD
<b>☑</b> AZ	<b>☑</b> A	<b>☑</b> NH	<b>☑</b> TN
<b>☑</b> AR	✓ KS	<b>☑</b> NJ	<u>▼</u> TX
<b>☑</b> CA	☑ KY	M NM	☑ UT
<b>☑</b> co	<b>☑</b> LA	₽ NY	☑ VT
<b>☑</b> CT	₩ ME	₩ NC	₽ vi
☑ DE	<b>☑</b> MD	☑ ND	☑ VA
☑ DC	MA MA	<b>☑</b> OH	₩ WA
✓ FL ✓ GA	☑ MI ☑ MN	☑ OK ☑ OR	☑ w
☑ GA ☐ GU	MN MS	I OR I PA	₩ W
□ GU □ HI	₩ MS MO	₽ PA	IE VVY
☑ ID	₩ MT	₽ RI	
If you are amending your registration to stop report filing fee for the coming year, your an	your notice filings or reports from goin	ng to a state that currently receives them and you o	do not want to pay that state's notice filing or
If you are relying on the exemption in rule 2034-2	2(h) from the prohibition on registration	because you <i>control</i> , are <i>controlled</i> by, or are un	der common control with an investment
	-	s the same as that of the registered adviser, provi	
Name of Registered Investment Adviser	·	-	
CRD Number of Registered Investment Adviser			
SEC Number of Registered Investment Adviser			

(4) have your *principal office and place of business* **outside the United States**;

	California United States  If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.  If you are changing your response to this Item, see Part 1A Instruction 4.
<b>C</b> .	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.
C.	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.
О.	
C.	California United States
$\mathbf{c}$	Under the laws of what state or country are you organized?  State Country
B.	In what month does your fiscal year end each year? SEPTEMBER
	If you are changing your response to this Item, see Part 1A Instruction 4.
	Other (specify):
	C Limited Liability Company (LLC) C Limited Partnership (LP)
	C Partnership  Limited Liability Company (LLC)
	C Limited Liability Partnership (LLP)
	Sole Proprietorship
	How are you organized?
	a 3 Form of Organization organization, the information in Item 3 should be provided for the filing adviser only.
Dat	te of <i>order</i> .
803	
Apr	plication Number:
	ou are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:
SEC	CTION 2.A.(12) SEC Exemptive <i>Order</i>
	states to register as an investment adviser with the state securities authorities in those states.
	ou are submitting your annual updating amendment, you must make this representation:  Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15
	investment adviser with the state securities authorities of those states.
	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the <i>state</i> securities authorities in those states.  I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an
	ou are applying for registration as an investment adviser with the SEC, you must make both of these representations:
-	ou are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for C registration. By checking the appropriate boxes, you will be deemed to have made the required representations.
SEC	CTION 2.A.(10) Multi-State Adviser
	from registering with the SEC.
	120 days after the date my registration with the SEC becomes effective.  I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act
	u must make both of these representations:  I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within
-	uired to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

A. Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g.,

form of organization or state of incorporation)?

If "yes", complete Item 4.B. and Section 4 of Schedule D.

B. Date of Succession: (MM/DD/YYYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

#### **SECTION 4 Successions**

### No Information Filed

#### Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

## **Employees**

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.

5

B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?

(2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?

4

- (3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives*?
- (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?

(5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?

(6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?

In your response to Item 5.B.(6), do not count any of your employees and count a firm only once – do not count each of the firm's employees that solicit on your behalf.

## Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?

1265

0

- (2) Approximately what percentage of your *clients* are non-*United States persons*?
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Ту	pe of <i>Client</i>		(1) Number of Client(s)		wer than 5	(3) Amount of Regulatory Assets under Management
(a)	Individuals (other than high net worth individuals)		4550			\$ 1,630,284,696
(b)	High net worth individuals		1359			\$ 4,078,629,456
(c)	Banking or thrift institutions					\$
(d)	Investment companies					\$
	Business development companies			-		\$
<u> </u>	Pooled investment vehicles (other than investment compa	nies and business	4	-		\$ 63,781,499
	velopment companies)		·			Ç 66,7 6 1,1 66
1	Pension and profit sharing plans (but not the plan particip	ants or government	74			\$ 9,155,862
(h)	Charitable organizations		35			\$ 14,279,100
(i)	State or municipal government entities (including governm	ent pension plans)				\$
(j)	Other investment advisers					\$
(k)	Insurance companies				✓	\$ 235,190,090
(1)	Sovereign wealth funds and foreign official institutions					\$
	) Corporations or other businesses not listed above		117			\$ 226,644,873
	Other: VARIOUS		731			\$ 353,452
(11)	Curior. Vivudece		701		Passar	Ψ 000,402
E. You	<ul> <li>(1) A percentage of assets under your management</li> <li>(2) Hourly charges</li> <li>(3) Subscription fees (for a newsletter or periodical)</li> <li>(4) Fixed fees (other than subscription fees)</li> <li>(5) Commissions</li> <li>(6) Performance-based fees</li> <li>(7) Other (specify):</li> </ul>	(check all that apply):				
ltom 5 In	formation About Your Advisory Business - Regulatory As	ssets Under Management	•			
	ory Assets Under Management	ssets Officer management	•			
	o, <b>,</b> , , , , , , , , , , , , , , , , ,					Yes No
F. (1)	Do you provide continuous and regular supervisory or ma	nagement services to sec	curities portfolios?			• c
(2)	If yes, what is the amount of your regulatory assets under	management and total nu	umber of accounts?			
( )		U.S. Dollar Amount			Total Numb	er of Accounts
	Discretionary: (a)	\$ 6,258,319,028		(d)	5,606	
		\$ 0		(e)	0	
		\$ 6,258,319,028		(f)	5,606	
	Part 1A Instruction 5.b. explains how to calculate your reg	gulatory assets under mar	nagement. You must f	ollow the	se instruction	s carefully when completing this Item.
(3)	What is the approximate amount of your total regulatory as	ssets under management	(reported in Item 5.F.(	(2)(c) abo	ve) attributab	ole to clients who are non-United States
	persons?					
	\$ 477,328,012					
ltem 5 In	formation About Your Advisory Business - Advisory Activ	rities				
	y Activities					
	at type(s) of advisory services do you provide? Check all th	at apply.				
V	•					
	,	as well as "business deve	elopment companies"	that have	e made an ele	ection pursuant to section 54 of the
	Investment Company Act of 1940)  (4) Portfolio management for pooled investment vehicle	es (other than investmen	t companies)			
	(5) Portfolio management for businesses (other than sinvestment vehicles)	•		than reg	stered invest	ment companies and other pooled
	(6) Pension consulting services					
	(7) Selection of other advisers (including <i>private fund</i> n	nanagers)				
	<ul><li>(8) Publication of periodicals or newsletters</li><li>(9) Security ratings or pricing services</li></ul>					
	(10) Market timing services					
	(11) Educational seminars/workshops					

(12) Other(specify):

	Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment company or investment companies to which provide advice in Section 5.G.(3) of Schedule D.	you	
l.	If you provide financial planning services, to how many <i>clients</i> did you provide these services during your last fiscal year?		
	$\circ$ 0		
	C 1-10		
	O 11 - 25		
	C 26 - 50		
	o 51 - 100		
	o 101 - 250		
	C 251 - 500		
	O More than 500		
	If more than 500, how many? (round to the nearest 500)		
	In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those inve	stors.	
		Yes	N
	(1) Do you participate in a wrap fee program?	$\odot$	C
	(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as:		
	(a) sponsor to a wrap fee program \$ 0		
	(b) portfolio manager for a <i>wrap fee program</i> ? \$ 6,218,494,455		
	(c) <i>sponsor</i> to and portfolio manager for the same <i>wrap fee program</i> ?  \$ 0		
	If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
	If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Schedule D.		
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee p do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).	rogra	m,
	(A) In second to the set Don't Don't Don't Don't Don't do you indicate that you would investigate the second to limit a factor of the second to the second t	Yes	
•	(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	0	•
	(2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?	•	C
ζ.	Separately Managed Account Clients	Vaa	
	(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i> )?	Yes	
	(1) Do you have regulatory assets under management attributable to chemis other than those listed in item 3.D.(3)(u)-(i) (separately managed account chemis):	•	C
	If yes, complete Section 5.K.(1) of Schedule D.		
	(2) Do you engage in borrowing transactions on behalf of any of the separately managed account <i>clients</i> that you advise?	0	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(3) Do you engage in derivative transactions on behalf of any of the separately managed account <i>clients</i> that you advise?	0	C
	If yes, complete Section 5.K.(2) of Schedule D.		
	(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?	•	C
	If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
	Marketing Activities	Yes	N
	(1) Do any of your advertisements include:		
	(a) Performance results?	0	C

(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?

Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment

(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0 0
(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0 0
(e) Third-party ratings?	0 0
(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of testimonials, endorsements, or third-party ratings?	0 0
(3) Do any of your advertisements include hypothetical performance?	0 0
(4) Do any of your advertisements include predecessor performance?	0 0
SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies	
No Information Filed	
SECTION 5.I.(2) Wrap Fee Programs	
If you are a portfolio manager for one or more wrap fee programs, list the name of each program and its sponsor. You must complete a separate Schedule D Section 5.I.(2) wrap fee program for which you are a portfolio manager.	for each
Name of Wrap Fee Program ACCESS	
Name of Sponsor  UBS FINANCIAL SERVICES INC.	
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163	
Sponsor's CRD Number (if any): 8174	
Name of Wrap Fee Program ADVISOR ALLOCATION PROGRAM (AAP)	
Name of Sponsor  UBS FINANCIAL SERVICES INC.	
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163	
Sponsor's CRD Number (if any): 8174	
Name of Wrap Fee Program ARVEST MANAGED ACCOUNT SOLUTIONS	
Name of Sponsor  ARVEST WEALTH MANAGEMENT	
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 63738	

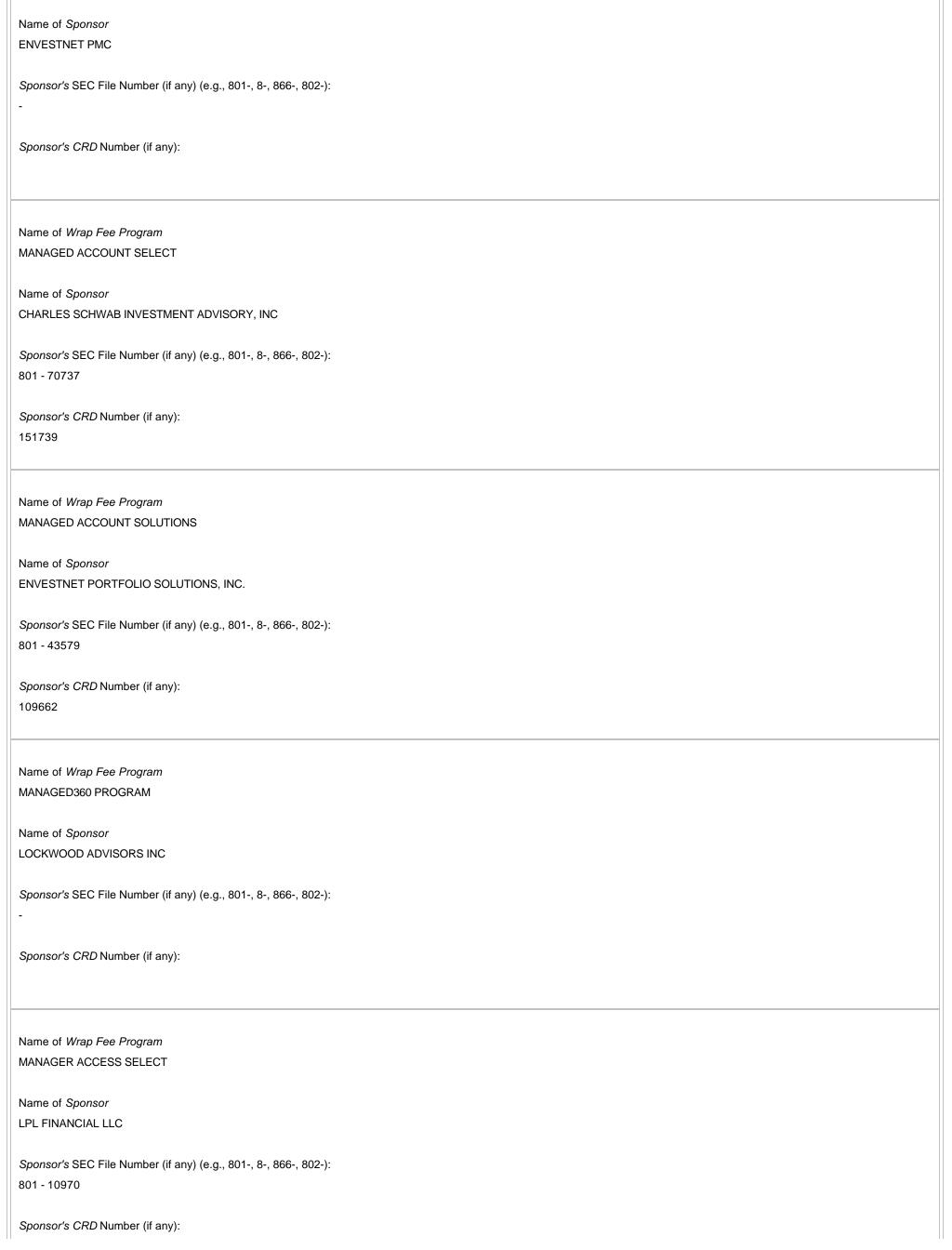
Sponsor's CRD Number (if any):
42057
Name of Wrap Fee Program
BBVA WEALTH SOLUTIONS INC.
Name of Sponsor
PNC MANAGED ACCOUNT SOLUTIONS, INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
801 - 56131
Sponsor's CRD Number (if any):
110476
Name of Wrap Fee Program
BENJAMIN F. EDWARDS & COMPANY, INCORPORATED
Name of Sponsor
BENJAMIN F. EDWARDS & COMPANY, INCORPORATED
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
801 - 71421
Sponsor's CRD Number (if any):
146936
Name of Wrap Fee Program
CANTELLA & CO., INC.
Name of Sponsor
CANTELLA & CO., INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
801 - 60841
Sponsor's CRD Number (if any): 13905
Name of Wrap Fee Program
CHOICE
Name of Spancar
Name of Sponsor PNC INVESTMENTS
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
801 - 66195
Spansor's CPD Number (if any)
Sponsor's CRD Number (if any): 129052
Name of Wrap Fee Program
CONSULTING AND EVALUATION SERVICES
Name of Cooper
Name of Sponsor

CITIGROUP GLOBAL MARKETS INC.					
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):					
801 - 3387					
Sponsor's CRD Number (if any): 7059					
Name of Wrap Fee Program					
CONSULTING AND EVALUATION SERVICES					
Name of Sponsor					
MORGAN STANLEY					
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):					
801 - 70103					
Sponsor's CRD Number (if any): 149777					
149777					
Name of Wrap Fee Program					
CUSTOM HIGH NET WORTH					
Name of Sponsor					
ASSETMARK, INC.					
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):					
801 - 56323					
Sponsor's CRD Number (if any): 109018					
109016					
Name of Wrap Fee Program					
DUAL CONTRACT					
Name of Sponsor					
ROBERT W. BAIRD & CO. INCORPORATED					
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):					
801 - 7571					
Sponsor's CRD Number (if any): 8158					
Name of Wrap Fee Program					
GLADOWSKY CAPITAL MANAGEMENT CORP.					
Name of Sponsor					
GLADOWSKY CAPITAL MANAGEMENT CORP					
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):					
801 - 55802					
Sponsor's CRD Number (if any):					
45287					

Name of Wrap Fee Program HORIZON
Name of <i>Sponsor</i> STIFEL, NICOLAUS & COMPANY, INCORPORATED
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 10746
Sponsor's CRD Number (if any): 793
Name of Wrap Fee Program HSBC SECURITIES (USA) INC.
Name of Sponsor HSBC SECURITIES (USA) INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 64563
Sponsor's CRD Number (if any): 19585
Name of Wrap Fee Program INVESTMENT COUNSELING SERVICES
Name of <i>Sponsor</i> J. P. MORGAN SECURITIES INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 46056
Sponsor's CRD Number (if any): 18718
Name of Wrap Fee Program INVESTMENT MANAGEMENT SERVICES PROGRAM
Name of Sponsor  MORGAN STANLEY
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 70103
Sponsor's CRD Number (if any): 149777
Name of Wrap Fee Program  LOCKWOOD SPONSORED PROGRAM
Name of Sponsor

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):

801 - 52378
Sponsor's CRD Number (if any): 106108
Name of Wrap Fee Program  MANAGED ACCOUNT ACCESS
Name of <i>Sponsor</i> CHARLES SCHWAB INVESTMENT ADVISORY, INC
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 70737
Sponsor's CRD Number (if any): 151739
Name of Wrap Fee Program  MANAGED ACCOUNT COMMAND
Name of Sponsor LOCKWOOD ADVISORS INC
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 52378
Sponsor's CRD Number (if any): 106108
Name of Wrap Fee Program  MANAGED ACCOUNT CONSULTING
Name of Sponsor UBS FINANCIAL SERVICES INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163
Sponsor's CRD Number (if any): 8174
Name of Wrap Fee Program  MANAGED ACCOUNT PROGRAM
Name of <i>Sponsor</i> RBC CAPITAL MARKETS, LLC
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 13059
Sponsor's CRD Number (if any): 31194
Name of Wrap Fee Program  MANAGED ACCOUNT RESOURCE



6413
Name of Wrap Fee Program MANAGER SELECT PROGRAM
Name of Sponsor LPL FINANCIAL LLC
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 10970
Sponsor's CRD Number (if any): 6413
Name of Wrap Fee Program  MANAGER SELECTION PROGRAM
Name of Sponsor CITIGROUP GLOBAL MARKETS INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 3387
Sponsor's CRD Number (if any): 7059
Name of Wrap Fee Program  MERRILL LYNCH INVESTMENT ADVISORY PROGRAM
Name of <i>Sponsor</i> MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 14235
Sponsor's CRD Number (if any): 7691
Name of Wrap Fee Program NAVIGATOR PROGRAM
Name of <i>Sponsor</i> J. P. MORGAN SECURITIES INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 46056
Sponsor's CRD Number (if any): 18718
Name of Wrap Fee Program  OPPORTUNITY
Name of Sponsor

STIFEL, NICOLAUS & COMPANY, INCORPORATED

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
-
Sponsor's CRD Number (if any):
Name of Wrap Fee Program
OUTSIDE MANAGER PROGRAM
Name of Sponsor
RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC
On accorde 050 5th Number (if any ) (a.m. 004 00 000 );
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 69815
Sponsor's CRD Number (if any): 149018
149010
Name of Wrap Fee Program
PERSONALIZED UNIFIED MANAGED ACCOUNT PROGRAM
Name of Sponsor
WELLS FARGO INVESTMENT INSTITUTE, INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
801 - 64191
Sponsor's CRD Number (if any):
133204
Name of Wrap Fee Program
PRIVATE ADVISOR NETWORK
Name of Sponsor WELLS FARGO INVESTMENT INSTITUTE, INC.
WELLOTARCO INVESTIGATIONE, INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
801 - 64191
Sponsor's CRD Number (if any):
133204
Name of Wrap Fee Program
SELECT SEPARATE ACCOUNTS
Name of Sponsor
AMERIPRISE FINANCIAL SERVICES, LLC
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):
801 - 28543
Sponsor's CRD Number (if any): 6363

Name of Wrap Fee Program SELECT UMA
Name of <i>Sponsor</i> MORGAN STANLEY
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 70103
Sponsor's CRD Number (if any): 149777
Name of Wrap Fee Program STRATEGIC WEALTH PORTFOLIOS
Name of Sponsor  UBS FINANCIAL SERVICES INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163
Sponsor's CRD Number (if any): 8174
Name of Wrap Fee Program STRATIS PROGRAM
Name of Sponsor  J. P. MORGAN SECURITIES INC.
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 46056
Sponsor's CRD Number (if any): 18718
Name of Wrap Fee Program UNIFIED MANAGED ACCOUNT
Name of <i>Sponsor</i> STEPHENS
Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 15510
Sponsor's CRD Number (if any): 3496
SECTION 5.K.(1) Separately Managed Accounts
After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).
Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your annual updating amendment. Mid-year is the date six months before

the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

) As	set Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	%	%
(ii)	Non Exchange-Traded Equity Securities	%	%
(iii)	U.S. Government/Agency Bonds	%	%
(iv)	U.S. State and Local Bonds	%	%
(v)	Sovereign Bonds	%	%
(vi)	Investment Grade Corporate Bonds	%	%
(vii	) Non-Investment Grade Corporate Bonds	%	%
(vii	i) Derivatives	%	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%	%
(xi)	Cash and Cash Equivalents	%	%
(xii	) Other	%	%

Generally describe any assets included in "Other"

Ass	et Type	End of year
(i)	Exchange-Traded Equity Securities	6 %
(ii)	Non Exchange-Traded Equity Securities	0 %
(iii)	U.S. Government/Agency Bonds	5 %
(iv)	U.S. State and Local Bonds	79 %
(v)	Sovereign Bonds	0 %
(vi)	Investment Grade Corporate Bonds	7 %
(vii)	Non-Investment Grade Corporate Bonds	0 %
(viii)	Derivatives	0 %
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	0 %
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	0 %
(xi)	Cash and Cash Equivalents	2 %
(xii)	Other	0 %

Generally describe any assets included in "Other"

## SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

Mo information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional* value of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of  $\it borrowings$  for the accounts included in column 1.

In column 3, provide aggregate gross notional value of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with

respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

### (ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional* value of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

## SECTION 5.K.(3) Custodians for Separately Managed Accounts

Complete a separate Schedule D Section 5.K.(3) for each custodian that holds ten percent or more of your aggregate separately managed account regulatory assets under management.

(a) Legal name of custodian:

MORGAN STANLEY SMITH BARNEY LLC

b) Primary business name of custodian:

MORGAN STANLEY

(c)	The location(s) of the custodian's office	(s) responsible for <i>custody</i> of the assets:			
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(d)	Is the custodian a related person of you	r firm?		0	$\odot$
(e)	If the custodian is a broker-dealer, provi 8 - 68191	de its SEC registration number (if any)			
(f)	If the custodian is not a broker-dealer, o	r is a broker-dealer but does not have an SEC	registration number, provide its legal entity identifier (if any)		
(g)	What amount of your regulatory assets \$ 1,031,929,250	under management attributable to separately r	managed accounts is held at the custodian?		
(a)	Legal name of custodian:				
	MERRILL LYNCH, PIERCE, FENNER &	SMITH INCORPORATED			
(b)	Primary business name of custodian:				
(0)	MERRILL LYNCH, PIERCE, FENNER &				
(c)	City:	(s) responsible for <i>custody</i> of the assets :  State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(d)	Is the custodian a related person of you	r firm?		0	•
(e)	If the custodian is a broker-dealer, provi	de its SEC registration number (if any)		O	٠
(0)	8 - 7221	de la dea registration number (il arry)			
(f)	If the custodian is not a broker-dealer, o	r is a broker-dealer but does not have an SEC	registration number, provide its legal entity identifier (if any)		
(g)	What amount of your regulatory assets \$ 1,056,333,621	under management attributable to separately r	managed accounts is held at the custodian?		
( )					
(a)	Legal name of custodian:  UBS ASSET MANAGEMENT (AMERICAS	s) INC.			
(b)	Primary business name of custodian: UBS	,,			
(c)	The location(s) of the custodian's office	(s) responsible for <i>custody</i> of the assets:			
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(d)	Is the custodian a related person of you	r firm?		0	$\odot$
(e)	If the custodian is a broker-dealer, provi	de its SEC registration number (if any)			
(f)	If the custodian is not a broker-dealer, o	r is a broker-dealer but does not have an SEC	registration number, provide its legal entity identifier (if any)		
(g)	What amount of your regulatory assets \$ 457,018,626	under management attributable to separately r	managed accounts is held at the custodian?		
(a)	Legal name of custodian:				
(b)	WELLS FARGO ADVISORS FINANCIAL Primary business name of custodian:	NETWORK, LLC			
	WELLS FARGO				
(c)		(s) responsible for <i>custody</i> of the assets :			
	City: NEW YORK	State: New York	Country: United States		
	HEW FORM	INOW I OIR	Stitled Oldies	Va-	. No
(d)	Is the custodian a related person of you	r firm?			
				0	⊙
(e)	If the custodian is a broker-dealer, provi	de its SEC registration number (if any)			

(f)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)		
(g)	What amount of your regulatory assets under management attributable to separately managed accounts is held at the custodian?		
	\$ 633,374,695		
	ther Business Activities		
In this It	em, we request information about your firm's other business activities.		
A. You	u are actively engaged in business as a (check all that apply):		
	(2) registered representative of a broker-dealer		
	<ul><li>(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li><li>(4) futures commission merchant</li></ul>		
	(5) real estate broker, dealer, or agent		
	(6) insurance broker or agent		
	(7) bank (including a separately identifiable department or division of a bank)		
	(8) trust company		
	<ul><li>(9) registered municipal advisor</li><li>(10) registered security-based swap dealer</li></ul>		
	(11) major security-based swap participant		
	(12) accountant or accounting firm		
	(13) lawyer or law firm		
	(14) other financial product salesperson (specify):		
If y	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.		
		Yes	No
B. (1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0	$\odot$
(2)	If yes, is this other business your primary business?	0	0
	If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name.		
		Yes	No
(3)	Do you sell products or provide services other than investment advice to your advisory clients?		•
,			٠
	If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.		
SECTION	N 6.A. Names of Your Other Businesses		
	No Information Filed		
SECTION	N 6.B.(2) Description of Primary Business		
Describ	e your primary business (not your investment advisory business):		
If you e	ngage in that business under a different name, provide that name:		
SECTION	N 6.B.(3) Description of Other Products and Services		
Describ	e other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above.		
If you e	ngage in that business under a different name, provide that name:		
Item 7 Fi	inancial Industry Affiliations		
	em, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between y	ou and	
your <i>clie</i>		ou una	
-	s part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affilia	tes and	
	o partor nom rireguireo you to provide information about you and your related persons, including foleigh allillates. Tour related persons all all or your addisory allilla	ios allu	
aliv	person that is under common control with you.		
,	person that is under common control with you.		
You	person that is under common control with you.  u have a related person that is a (check all that apply):		
,	person that is under common control with you.  u have a related person that is a (check all that apply):		
You	<ul> <li>(1) person that is under common control with you.</li> <li>(1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)</li> <li>(2) other investment adviser (including financial planners)</li> <li>(3) registered municipal advisor</li> </ul>		
You	<ul> <li>t person that is under common control with you.</li> <li>u have a related person that is a (check all that apply):</li> <li>(1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)</li> <li>(2) other investment adviser (including financial planners)</li> </ul>		

		(7)	futures commission merchant
	V	(8)	banking or thrift institution
		(9)	
		-	)) accountant or accounting firm
		-	) lawyer or law firm  2) insurance company or agency
			s) pension consultant
		-	l) real estate broker or dealer
		•	<ul> <li>i) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> </ul>
	V	-	s) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles
		(	
	nur	mber	at Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The rof your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered entatives of a broker-dealer should be disclosed under Item 5.B.(2).
			at if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in le D for your relying advisers. You should complete a Schedule R for each relying adviser.
	Foi	r eac	ch related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.
	you doe	ı pro əs no	not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services wide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person of trefer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that lationship with the related person otherwise creates a conflict of interest with your clients.
	Yo	и ти	ust complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than
			tual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 2 of the Advisers Act.
		-( -/ -	
SEC	TION	17.A	. Financial Industry Affiliations
Со	mplet	e a s	separate Schedule D Section 7.A. for each related person listed in Item 7.A.
1.	_		ame of Related Person:
	K2/L	0&S I	MANAGEMENT CO., L.L.C.
2.		•	Business Name of Related Person:
	K2/[	D&S I	MANAGEMENT CO., L.L.C.
3.			Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)
		- 618	852
	or		
	Othe	er	
4	Dole	otod	Person's
4.			
	(a)		D Number (if any):
	(b)		C Number(s) (if any):
	(D)		K Number (3) (ii dily).
			03095
5.	Rela	ated	Person is: (check all that apply)
	(a)		broker-dealer, municipal securities dealer, or government securities broker or dealer
	(b)	~	other investment adviser (including financial planners)
	(c)		registered municipal advisor
	(d)		registered security-based swap dealer
	(e)		major security-based swap participant
	(e) (f)	▽	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
			futures commission merchant
	(g)		
	(h)		banking or thrift institution
	(i)		trust company
	(j)		accountant or accounting firm
	(k)		lawyer or law firm
	(I)		insurance company or agency
	(m)		pension consultant
	(n)		real estate broker or dealer
	(o)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
	(p)	~	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

6.	Do y	ou control or are you controlled by the related person?	0	•
7.	Are	ou and the related person under common control?	•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	_	Ö
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	$\circ$	⊙
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	~	~
11	Dov	No Information Filed ou and the <i>related person</i> share any <i>supervised persons</i> ?	_	
11.	Бо у	ou and the related person share any supervised persons?	0	•
12.	Do y	ou and the related person share the same physical location?	0	•
1.	_	al Name of Related Person:		
	FRA	NKLIN TEMPLETON INVESTMENTS AUSTRALIA LIMITED		
2.		ary Business Name of Related Person:		
	FRA	NKLIN TEMPLETON INVESTMENTS AUSTRALIA LIMITED		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or Othe	ır		
4.		ted Person's CRD Number (if any):		
	(a)	CND Number (ii any).		
	(b)	CIK Number(s) (if any):		
		CIK Number 1349203		
		1349203		
5.		ted Person is: (check all that apply)		
	` '	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>☑ other investment adviser (including financial planners)</li> </ul>		
	` '	registered municipal advisor		
		registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i) (j)	<ul> <li>□ trust company</li> <li>□ accountant or accounting firm</li> </ul>		
	.,	□ lawyer or law firm		
		insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	( )	III an angular ay ay adigatay af limita dinayta ayahina (ay ayyiyalayt) ayalyalina na alad inyaatmay tiyahiala		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles  sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	(o) (p)		Yes	
6.	(o) (p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes C	

О.	(a)	boes the related person act as a qualified custodian for your chemis in confidence with advisory services you provide to chemis:	0	⊙
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	$\circ$	$\odot$
	(b)	If the answer is yes, under what exemption?		
10	(0)	In the valeted person registered with a fereign financial regulatory authority?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	⊙	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority		
		Australia - Australian Securities and Investments Commission		
		Hong Kong - Securities and Futures Commission		
		Ireland - Central Bank of Ireland		
		Other - LUXEMBOURG CSSF		
11.	Do y	vou and the related person share any supervised persons?	•	0
12.	Do y	you and the <i>related person</i> share the same physical location?	$\circ$	$\odot$
1.	Lega	al Name of Related Person:		
	FRA	NKLIN MUTUAL ADVISERS, LLC		
2.		nary Business Name of <i>Related Person</i> : NKLIN MUTUAL ADVISERS, LLC		
	110	MACHA MOTO ACTUAL AS VIOLENO, ELO		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 53068		
	or Othe			
	Othe	51		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(1.)	111376		
	(b)	CIK Number(s) (if any):  CIK Number		
		1023875		
5.	Rela	ated Person is: (check all that apply)		
	()	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)  registered municipal advisor		
		registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution  trust company		
	(i)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)	— Species, general paranot, managing member for equivalent) of pooled investment veriloies	Yes	No
6.	Do y	rou control or are you controlled by the related person?		•
			_	
7.	Are	you and the related person under common control?	•	0

8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	$\circ$	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	$\odot$	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission		
11	Do v	you and the related person share any supervised persons?	_	_
	БО у	you and the related person share any supervised persons:	•	0
12.	Do y	you and the related person share the same physical location?	0	•
	,			٠
				_
1.	_	al Name of <i>Related Person</i> : E ADVISERS, LLC		
2.	Prim	nary Business Name of Related Person:		
	FTP	E ADVISERS, LLC		
2	Dolo	ated Paragra SEC File Number (if any.) (a.g., 904, 9, 966, 902.)		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 117408		
	or			
	Othe	er		
4	Dolo	ated Person's		
4.	(a)	CRD Number (if any):		
	(~)	304565		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d) (e)	registered security-based swap dealer major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	☐ futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(J) (k)	accountant or accounting firm  lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Vec	No
6.	Do v	you control or are you controlled by the related person?	O	(O
	- )		0	٠
7.	Are	you and the related person under common control?	•	0
			~	~
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		

			Number and Street 1: Number and Street 2:		
			City: State: Country: ZIP+4/Postal Country: State: Country: ZIP+4/Postal Country: State: Country: Cou	Code:	
			ii tiiis address is a private residence, check tiiis box.	Yes	s No
٩	9. (	(a)	a) If the related person is an investment adviser, is it exempt from registration?		
	(	(b)	b) If the answer is yes, under what exemption?	_	_
			(PRIVATE FUND ADVISER EXEMPTION (RULE 203(M)-1 UNDER THE ADVISERS ACT)		
1	10. (	. ,			⊙
	(	(b)	b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the relative No Information Filed	ted person is registered.	
.	11. [	Do v	Oo you and the related person share any supervised persons?	_	•
		,	- ,	O	•
.	12. [	Do y	o you and the related person share the same physical location?	•	0
				_	
	1. L	ena	egal Name of <i>Related Person</i> :		
		_	BRANDYWINE GLOBAL INVESTMENT MANAGEMENT (ASIA) PTE. LTD		
2			rimary Business Name of Related Person:		
	E	BRAI	RANDYWINE GLOBAL INVESTMENT MANAGEMENT (ASIA) PTE. LTD		
	3. <i>I</i>	Rela	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-				
		or			
	(	Othe	Other Control of the		
	1. /	Rela	Related Person's		
			a) CRD Number (if any):		
	(	(b)			
			No Information Filed		
{	5. <i>l</i>	Rela	Related Person is: (check all that apply)		
	(	(a)	a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
		(c)			
		(d) (e)			
		(f)			
		(g)			
	(	(h)	n)  □ banking or thrift institution		
	(	(i)			
		(j)	·		
	•	(l) (m)			
		(n)			
	(	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		_		Yes	s No
(	6. [	Do yo	Oo you control or are you controlled by the related person?	•	0
-	7 /	Διαν	are you and the <i>related person</i> under common <i>control</i> ?	_	_
'	. ,	че у	ne you and the related person under common controls	•	0
1	3. (	(a)	a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>cl</i>	ients?	•
				V	_
	`		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a	·	
			or securities that are maintained at the related person?		
	(	(c)		ody of your <i>clients'</i> assets:	
			Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal C	Code:	
			If this address is a private residence, check this box:		
				Yes	s No
(	9. (	(a)	a) If the related person is an investment adviser, is it exempt from registration?	0	•

	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Singapore - Monetary Authority of Singapore		
11.	Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	$\circ$	•
12.	Do v	you and the <i>related person</i> share the same physical location?	0	~
		,		
1.	Lega	al Name of <i>Related Person</i> :		
	FRA	ANKLIN TEMPLETON INVESTMENTS (ME) LIMITED		
2.		nary Business Name of <i>Related Person</i> : ANKLIN TEMPLETON INVESTMENTS (ME) LIMITED		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 or	- 77965		
	Othe	er		
4	D-4	ated Demonds		
4.		ated Person's  CRD Number (if any):		
		163487		
	(b)	CIK Number(s) (if any):  No Information Filed		
		THE INICINICION FINE		
5.	Rela	lated Person is: (check all that apply)		
	(a) (b)	broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)		
	` '	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e) (f)	<ul> <li>□ major security-based swap participant</li> <li>☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> </ul>		
	(i) (g)	futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	trust company		
	(j) (k)	□ accountant or accounting firm □ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(F)		Yes	No
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	
٠.	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	٠	0
		Name of Country/English Name of Foreign Financial Regulatory Authority		

	Dubai - Dubai Financial Services Authority		
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : LEGG MASON (CHILE) INVERSIONES HOLDINGS LIMITADA		
2.	Primary Business Name of <i>Related Person</i> :  LEGG MASON (CHILE) INVERSIONES HOLDINGS LIMITADA		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's  (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
		Yes	
	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	⊙	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$
	<ul> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i>?</li> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:         <ul> <li>Number and Street 1:</li> <li>Number and Street 2:</li> </ul> </li> </ul>	0	0
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No
9.	<ul><li>(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?</li><li>(b) If the answer is yes, under what exemption?</li></ul>	0	•
10.	<ul> <li>(a) Is the related person registered with a foreign financial regulatory authority?</li> <li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.</li> <li>Name of Country/English Name of Foreign Financial Regulatory Authority</li> <li>Other - SUPERINTENDENCIA DE VALORES Y SEGUROS (CHILE)</li> </ul>	•	0
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•

1.	_	al Name of <i>Related Person</i> : NKLIN TEMPLETON INSTITUTIONAL, LLC		
2.		nary Business Name of <i>Related Person</i> : NKLIN TEMPLETON INSTITUTIONAL, LLC		
3.		nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 60684		
	or Othe	er en		
4.	Rela	nted Person's		
		CRD Number (if any):		
		117384 CIK Number(s) (if any):		
	(5)	CIK Number		
		1368427		
5.	Rela	ated Person is: (check all that apply)		
J.		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	` '	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(f) (g)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  futures commission merchant		
	(h)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) (m)	insurance company or agency  pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	ou control or are you controlled by the related person?	Yes	
7.	Are y	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
		If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	<b>V</b>	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	NO ⊙
	(b)	If the answer is yes, under what exemption?		
40	(-)			
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?  If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	⊙	0
	(b)	Name of Country/English Name of Foreign Financial Regulatory Authority		
		Hong Kong - Securities and Futures Commission		
		Ireland - Central Bank of Ireland		
11.	Do y	rou and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•

<ol> <li>Legal Name of Related Person:</li> <li>BRANDYWINE GLOBAL INVESTMENT MANAGEMENT (EUROPE) LIMITED</li> </ol>				
2.		nary Business Name of <i>Related Person</i> : ANDYWINE GLOBAL INVESTMENT MANAGEMENT (EUROPE) LIMITED		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or Othe	er		
4.		ated Person's  CRD Number (if any):		
	(a)	CAD Number (ii arry).		
	(b)	CIK Number(s) (if any):  No Information Filed		
5.		ated Person is: (check all that apply)		
	()	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>□ other investment adviser (including financial planners)</li> </ul>		
	` '	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(i) (g)	futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	trust company		
	(J) (k)	□ accountant or accounting firm □ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
		real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	you control or are you controlled by the related person?	Yes ⊙	No
7.	Are y	you and the related person under common control?	•	C
8.	(2)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?		_
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not		0
	(5)	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	O	О
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:  City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	С
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do y	you and the related person share any supervised persons?	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	Lega	al Name of <i>Related Person</i> :		
	CLE	EARBRIDGE INVESTMENTS LIMITED		
		nary Business Name of <i>Related Person</i> : EARBRIDGE INVESTMENTS LIMITED		
		AUTOMOOF HAA FOLIMEIALO FIIMILED		

3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	or Othe			
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	(6)	CIK Number		
		1499094		
5.	Rela	ated Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
		other investment adviser (including financial planners)		
	` '	registered municipal advisor registered security-based swap dealer		
		major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
		lawyer or law firm		
	(l)	insurance company or agency		
	(m) (n)	pension consultant real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	rou control or are you controlled by the related person?	$\circ$	•
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	_
	-		•	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds		
		or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	$\circ$	•
	(b)	If the answer is yes, under what exemption?		
10.		Is the related person registered with a foreign financial regulatory authority?	$\odot$	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Australia - Australian Securities and Investments Commission		
11	Do v	rou and the related person share any supervised persons?	_	_
	20,	au and the foldied percent chare any capervised percent.	0	•
12.	Do y	ou and the related person share the same physical location?	0	•
1.	_	al Name of Related Person:		
	CLE	ARBRIDGE INVESTMENTS (NORTH AMERICA) PTY LIMITED		
2.	Prim	nary Business Name of <i>Related Person</i> :		
		ARBRIDGE INVESTMENTS (NORTH AMERICA) PTY LIMITED		
0	<b>.</b>	stad Demonto 050 5th Number (Herry) (a.m. 004 - 0. 200 - 200 )		
3.		nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 70506		

	or Othe	er		
4.		ated Person's  CRD Number (if any):		
	(a)	151223		
	(b)	CIK Number(s) (if any):		
	( )	CIK Number		
		1806626		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
		registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  futures commission merchant		
	(g) (h)	banking or thrift institution		
	(i)	trust company		
	(i)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	$\circ$	$\odot$
7	۸			
/.	Are	you and the related person under common control?	⊙	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	_	_
0.	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	_
	(6)	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	$\circ$	$\odot$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		No Information Filed		
11	. Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	$\circ$	$\odot$
40	D	very and the vertex of never the corne who is all leasting?		
12	. Do y	you and the <i>related person</i> share the same physical location?	0	⊙
1.	-	al Name of Related Person:		
	CLE	EARBRIDGE RARE INFRASTRUCTURE INTERNATIONAL PTY LIMITED		
2	Drim	nary Business Name of <i>Related Person</i> :		
2.		EARBRIDGE RARE INFRASTRUCTURE INTERNATIONAL PTY LIMITED		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe	er er		
4	Role	ated Person's		
'-		CRD Number (if any):		

	(b)	CIK Number(s) (if any):  No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (n) (o)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	5		Yes	No
б.	ро у	ou control or are you controlled by the related person?	0	•
7.	Are y	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  City:  State:  Country:  ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Australia - Australian Securities and Investments Commission		
11.	Do y	vou and the related person share any supervised persons?	0	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : JCIARY TRUST INTERNATIONAL, LLC		
2.		nary Business Name of <i>Related Person</i> : JCIARY TRUST INTERNATIONAL, LLC		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 or	- 62001		
	Othe	er -		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
		124062 CIK Number(s) (if any):		
	(0)	CIK Number (S) (II any).  CIK Number		
		1424480		

5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	` '	registered security-based swap dealer		
	. ,	major security-based swap participant		
	( )	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) (h)	futures commission merchant  banking or thrift institution		
	(i)	trust company		
	(i)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
_	_		Yes	N
6.	Do y	vou control or are you controlled by the related person?	$\circ$	(
_				
1.	Are y	you and the <i>related person</i> under common <i>control</i> ?	$\odot$	(
_	(-)			
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	$\circ$	0
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	(
		If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	(0)	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
•			Yes	
9.	• •	If the related person is an investment adviser, is it exempt from registration?	$\circ$	0
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
10.	` ,	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	(
	(b)	No Information Filed		
11.	Do y	you and the related person share any supervised persons?	0	6
	•			
12.	Do y	ou and the related person share the same physical location?	0	6
1.	_	al Name of Related Person:		
	FRA	NKLIN DISTRIBUTORS, LLC		
_	<b>5</b> ·			
2.		nary Business Name of <i>Related Person</i> : INKLIN DISTRIBUTORS, LLC		
	111/4	MAKLIN DISTRIBUTORS, ELC		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		33089		
	or			
	Othe	er e		
4.		ated Person's		
	` '	CRD Number (if any): 109064		
		CIK Number(s) (if any):		
	(~)	CIK Number		
		1132573		
		1496095		
5.	Rela	ated Person is: (check all that apply)		
	(a)			

	(b)	other investment adviser (including financial planners)				
	(c)	registered municipal advisor				
	(d)	registered security-based swap dealer				
	(e)	major security-based swap participant				
	(f)	commodity pool operator or commodity trading advisor (whether register	ed or exempt from registration)			
	(g)	futures commission merchant				
	(h)	banking or thrift institution				
		trust company				
	(i)					
	(j)	accountant or accounting firm				
	(k)	lawyer or law firm				
	(I)	insurance company or agency				
	(m)	pension consultant				
	(n)	real estate broker or dealer				
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding p	poled investment vehicles			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled in	vestment vehicles			
				•	es/	No
6.	Doy	you control or are you controlled by the related person?			0	•
					•	
7	Δre	you and the related person under common control?			_	_
٠.	AIC	you and the related person under common controls			⊙	О
8.	(a)	Does the related person act as a qualified custodian for your clients in connec	tion with advisory services you provid	e to clients?	$\circ$	$\odot$
	(b)	If you are registering or registered with the SEC and you have answered "yes,	to question 8.(a) above, have you ov	ercome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related p	erson and thus are not required to ob-			
		or securities that are maintained at the related person?				
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the	related person's office responsible fo	r custody of your clients' assets:		
	` ,		Imber and Street 2:	, ,		
				ostal Code:		
		If this address is a private residence, check this box:	,			
				,	es/	No
a	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?				
٥.	(α)				0	O
	(b)	If the answer is yes, under what exemption?				
10.	(a)	Is the related person registered with a foreign financial regulatory authority?			$\circ$	$\odot$
	(b)	If the answer is yes, list the name and country, in English of each foreign final	cial regulatory authority with which th	ne related person is registered.		
		!	lo Information Filed			
11.	Doy	you and the related person share any supervised persons?			0	~
					0	•
12	Dov	you and the related person share the same physical location?			_	_
12.	D0 )	you and the related person share the same physical location:			0	⊚
						_
1.	_	al Name of Related Person:				
	LEG	GG MASON PARTNERS FUND ADVISOR, LLC				
2.		nary Business Name of Related Person:				
	LEG	GG MASON PARTNERS FUND ADVISOR, LLC				
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	801	- 66785				
	or					
	Othe	er				
4.	Rela	ated Person's				
	(a)	CRD Number (if any):				
		140432				
	(b)	CIK Number(s) (if any):				
	. ,	CIK Number				
		1370027				
		1 177				
5.		ated Person is: (check all that apply)				
	(a)	broker-dealer, municipal securities dealer, or government securities broker-	er or dealer			
	(b)	other investment adviser (including financial planners)				
	(c)	registered municipal advisor				
	(d)	registered security-based swap dealer				
	(e)	major security-based swap participant				
	(f)	commodity pool operator or commodity trading advisor (whether registered)	ed or exempt from registration)			

	(h) (i) (j) (k) (l) (m) (n) (o)	futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	you control or are you controlled by the related person?	Yes O	No ⊙
7.	Are y	you and the related person under common control?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
		ii tiiis address is a private residence, check tiiis box. I	Yes	No
9.	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration?  If the answer is yes, under what exemption?	0	•
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
	` '	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	0	•
11	Do v	No Information Filed you and the <i>related person</i> share any <i>supervised persons</i> ?	_	_
		you and the <i>related person</i> share the same physical location?	0	
1.	_	al Name of Related Person:		
	FRA	NKLIN TEMPLETON INVESTMENT TRUST MANAGEMENT CO., LTD.		
2.		nary Business Name of <i>Related Person</i> : NKLIN TEMPLETON INVESTMENT TRUST MANAGEMENT CO., LTD.		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 71877 er		
4.	(a)	ated Person's  CRD Number (if any): 155095		
	(b)	CIK Number(s) (if any):  No Information Filed		
5.	(a) (b) (c) (d) (e)	ated Person is: (check all that apply)  □ broker-dealer, municipal securities dealer, or government securities broker or dealer  □ other investment adviser (including financial planners)  □ registered municipal advisor  □ registered security-based swap dealer  □ major security-based swap participant  □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  □ futures commission merchant  □ banking or thrift institution  □ trust company  □ accountant or accounting firm  □ lawyer or law firm  □ insurance company or agency		

	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	0	$\odot$
7.	Are	e you and the <i>related person</i> under common <i>control</i> ?	⊙	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$
	(b)		0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> fundaments are not required to obtain a surprise examination for your <i>clients'</i> fundaments.	Is	
		or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:		
		Number and Street 1:    Number and Street 2:      City:    State:      Country:    ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
		ii tiilo dadroco lo a privato residence, encek tiilo sox.	Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?		
			0	⊙
	(b)	ii tile aliswel is yes, ulider what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
10.			•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority		
		Other - KOREA - FINANCIAL SERVICES COMMISSION ("FSC")		
	_			
11.	Do y	you and the related person share any supervised persons?	0	⊙
12.	Do y	you and the <i>related person</i> share the same physical location?	0	⊙
1	l ea:	gal Name of <i>Related Person</i> :		
'-	-	ESTERN ASSET MANAGEMENT COMPANY LIMITED		
2.	Prim	mary Business Name of Related Person:		
		ESTERN ASSET MANAGEMENT COMPANY LIMITED		
3.	Rela	lated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	1 - 21068		
	or			
	Othe	ner		
	<b>.</b> .			
4.		lated Person's		
	(a)	CRD Number (if any): 110427		
	(b)	CIK Number(s) (if any):		
	(D)	CIK Number		
		1179779		
				ı
5.	Rela	lated Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		

	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	rou control or are you controlled by the related person?	Yes O	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?  If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:	0	⊙
	(c)	Number and Street 1:  City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:	<b>V</b>	NI-
	(-)	Make related normanic an investment advisor is it assemble from registration?	Yes	
9.	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration?  If the answer is yes, under what exemption?	0	⊙
10	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  South Korea - Financial Supervisory Commission / Financial Supervisory Service		
	_	United Kingdom - Financial Conduct Authority		
11	. Do y	ou and the related person share any supervised persons?	0	⊙
12	Doy	ou and the related person share the same physical location?	0	•
	Prim FRA Rela 801 or Other Rela (a)	al Name of Related Person: NKLIN TEMPLETON INVESTMENTS CORP.  hary Business Name of Related Person: NKLIN TEMPLETON INVESTMENTS CORP.  hated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -58185  er  hated Person's CRD Number (if any): 111364 CIK Number(s) (if any):  CIK Number (if any):		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
1			Vac	Al-

6.	Doy	you control or are you controlled by the related person?	0	⊙
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	_	_
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
		ii this address is a private residence, check this box.	Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?		•
	(b)	If the answer is yes, under what exemption?		٠
	(-)			
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Canada - Alberta Securities Commission		
		Canada - British Columbia Securities Commission		
		Canada - Manitoba Securities Commission		
		Canada - New Brunswick Securities Commission		
		Canada - Newfoundland and Labrador, Financial Services Regulation Division		
		Canada - Nova Scotia Securities Commission  Canada - Ontario Securities Commission		
		Canada - Orince Edward Island, Securities Office		
		Canada - Prince Edward Island, Securities Office  Canada - Quebec, Financial Markets Authority		
		Canada - Saskatchewan Financial Services Commission		
		Canada - Yukon Territories, Registrar of Securities		
		Hong Kong - Securities and Futures Commission		
		Other - YUKON, REGISTRAR OF SECURITIES, YUKON, COMMUNITY SERVICES		
11	. Do	you and the <i>related person</i> share any <i>supervised persons</i> ?	_	
	. 50.	you and the relation person of the daily supervised persone.	0	•
12	. Do	you and the <i>related person</i> share the same physical location?	0	•
1	مم ا	gal Name of <i>Related Person</i> :		
١.	_	ARION PARTNERS SECURITIES, LLC		
2.		mary Business Name of Related Person:		
	CLA	ARION PARTNERS SECURITIES, LLC		
3.	Rela	lated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		69204		
	or			
	Oth	er er		
4.	Rela	lated Person's		
	(a)	CRD Number (if any):		
		166384		
	(b)	CIK Number(s) (if any):		
		CIK Number 1563961		
		1303901		
5.	Rela	lated Person is: (check all that apply)		
5.	Rela (a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
5.	(a) (b)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
5.	(a) (b) (c)	<ul> <li>▶ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>□ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> </ul>		
5.	(a) (b) (c) (d)	<ul> <li>▶ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>□ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> <li>□ registered security-based swap dealer</li> </ul>		
5.	(a) (b) (c)	<ul> <li>▶ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>□ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> </ul>		

	(i) (j)	<ul> <li>□ banking or thrift institution</li> <li>□ trust company</li> <li>□ accountant or accounting firm</li> <li>□ lawyer or law firm</li> </ul>		
	(I)	insurance company or agency		
		pension consultant real estate broker or dealer		
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	ou control or are you controlled by the related person?	Yes O	
7.	Are y	ou and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
0	(0)	If the related person is an investment advisor, is it exempt from registration?	Yes	
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration?  If the answer is yes, under what exemption?	0	О
	(0)	if the answer is yes, under what exemption:		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	$\odot$
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	0	⊙
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
				_
1.	-	nl Name of <i>Related Person</i> : TIN CURRIE FUND MANAGEMENT LIMITED		
2.		ary Business Name of <i>Related Person</i> : TIN CURRIE FUND MANAGEMENT LIMITED		
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	r		
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	` '	No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	` '	<ul> <li>other investment adviser (including financial planners)</li> <li>registered municipal advisor</li> </ul>		
		registered municipal advisor  registered security-based swap dealer		
	. ,	major security-based swap participant		
	(e)			
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(f) (g)	futures commission merchant		
	(f) (g)			
	(f) (g) (h)	futures commission merchant banking or thrift institution trust company accountant or accounting firm		
	(f) (g) (h) (i)	futures commission merchant banking or thrift institution trust company		

	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	•
			~	~
7.	Are '	you and the related person under common control?	•	0
			•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
0.			_	⊙
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	$\circ$	$\circ$
		or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	(c)	Number and Street 1:  Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		
0.			0	⊙
	(b)	If the answer is yes, under what exemption?		
4.0	<i>(</i> )			
10.		Is the related person registered with a foreign financial regulatory authority?	$\odot$	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do y	ou and the related person share any supervised persons?	0	•
			~	~
12.	Do y	you and the related person share the same physical location?	0	•
	·			٠
1.	Lega	al Name of Related Person:		
	FRA	NKLIN ADVISORY SERVICES, LLC		
2.	Prim	nary Business Name of Related Person:		
	FRA	NKLIN ADVISORY SERVICES, LLC		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 51967		
	or			
	Othe	er		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
		111361		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1027814		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		

6.	Do y	ou control or are you controlled by the related person?	Yes	No ⊙	
				٠	
7.	Are	you and the related person under common control?	•	0	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•	
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:			
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:			
		If this address is a private residence, check this box:	Voc	. No	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	res	⊙	
	(b)	If the answer is yes, under what exemption?			
10.	(2)	Is the related person registered with a foreign financial regulatory authority?	_	_	
10.		If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	•	0	
		Name of Country/English Name of Foreign Financial Regulatory Authority			
		Ireland - Central Bank of Ireland			
11.	Do y	ou and the related person share any supervised persons?	$\odot$	0	
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0	
					1
1.	_	al Name of <i>Related Person</i> : NKLIN TEMPLETON INTERNATIONAL SERVICES S.A R.L.			
2.		nary Business Name of <i>Related Person</i> :  NKLIN TEMPLETON INTERNATIONAL SERVICES S.A R.L.			
3.		nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	802 or	- 80319			
	Othe				
4.	Rela	nted Person's			
		CRD Number (if any):			
		172140 CIV Number(a) (if any)			
	(b)	CIK Number(s) (if any):  CIK Number			
		1766900			
					.
_	<b>5</b> /				
5.		nted Person is: (check all that apply)  It is broker-dealer, municipal securities dealer, or government securities broker or dealer			
	` '	other investment adviser (including financial planners)			
	(c)	registered municipal advisor			
	(d)	registered security-based swap dealer			
	(e)	major security-based swap participant			
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)			
	(3)	futures commission merchant  banking or thrift institution			
	(h) (i)	trust company			
	(i)	accountant or accounting firm			
	(k)	lawyer or law firm			
	(I)	insurance company or agency			
	(m)				
	(n)	real estate broker or dealer			
	(-)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yas	. No	
6.	Do y	ou control or are you controlled by the related person?		. 140	
			_	-	

7.	Are	you and the related person under common control?	$\odot$	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	o	_
	(c)	or securities that are maintained at the <i>related person</i> ?  If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:		
	(0)	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
^	(0)	If the related person is an investment advisor is it exempt from registration?	Yes	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	⊙	0
	(b)	If the answer is yes, under what exemption? PRIVATE FUND ADVISER EXEMPTION (RULE 203(M)-1 UNDER THE ADVISERS ACT)		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Austria - Financial Market Authority		
		Cyprus, Republic of - Cyprus Securities and Exchange Commission		
		Denmark - Danish Financial Supervisory Authority		
		Finland - Financial Supervision Authority		
		Germany - German Federal Financial Supervisory Agency		
		Greece - Hellenic Republic Capital Market Commission		
		Hong Kong - Securities and Futures Commission		
		Hungary - Hungarian Financial Supervisory Authority		
		Iceland - Financial Supervisory Authority		
		Ireland - Central Bank of Ireland		
		Liechtenstein - Financial Market Authority		
		Luxembourg, Grand Duchy of - Commission to Surveillance of the Finance Sector		
		Netherlands - The Netherlands Authority for the Financial Markets		
		Other - BELGIUM: FINANCIAL SERVICES AND MARKETS AUTHORITY ("FSMA")		
		Other - BRUNEI - FINANCIAL CENTRE		
		Other - BULGARIA - BULGARIAN FINANCIAL SUPERVISION COMMISSION ("FSC")		
		Other - CROATIA, CROATIAN FINANCIAL SERVICES SUPERVISORY AGENCY		
		Other - CZECH REPUBLIC - CZECH NATIONAL BANK		
		Other - ESTONIA -ESTONIAN FINANCIAL SUPERVISION AUTHORITY (THE "EFSA")		
		Other - FRANCE: AUTORITE DES MARCHES FINANCIERS ("AMF")		
		Other - GIBRALTAR - GIBRALTAR FINANCIAL SERVICES COMMISSION ("GFSC")		
		Other - ITALY - THE COMMISSIONE NAZIONALE PER LE SOCIETÀ E LA BORSA (CONSOB)		
		Other - LATVIA - FINANCIAL AND CAPITAL MARKET COMMISSION ("FCMC")		
		Other - LITHUANIA -BANK OF LITHUANIA ("BOL")		
		Other - MALTA -MALTA FINANCIAL SERVICES AUTHORITY ("MFSA")		
		Other - NORWAY: FINANSTILSYNET (THE FINANCIAL SUPERVISORY AUTHORITY OF NORWAY)		
		Other - POLAND -KOMISJA NADZORU FINANSOWEGO ("KNF")		
		Other - ROMANIA - AUTORITATEA DE SUPRAVEGHERE FINANCIARÄ ("ASF")		
		Other - SLOVAKIA - NATIONAL BANK OF SLOVAKIA ("NBS"		
		Other - SLOVENIA - SECURITIES MARKET AGENCY IN SLOVENIA (THE "SMA")		
		Other - SOUTH AFRICA: FINANCIAL SERVICES BOARD		
		Portugal - Securities Market Commission		
		Singapore - Monetary Authority of Singapore		
		Spain - National Commission of Securities Markets		
		Sweden - Swedish Financial Supervisory Authority		
		United Kingdom - Financial Conduct Authority		
11	. Do y	ou and the related person share any supervised persons?	•	0
12	. Do y	ou and the <i>related person</i> share the same physical location?	0	•
1.	Lega	al Name of Related Person:		

	LEG	G MASON ASSET MANAGEMENT SINGAPORE PTE. LIMITED		
2.		ary Business Name of <i>Related Person</i> :  G MASON ASSET MANAGEMENT SINGAPORE PTE. LIMITED		
3.	Rela -	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er en		
4.		ted Person's  CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		nted Person is: (check all that apply)		
	` '	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>☑ other investment adviser (including financial planners)</li> </ul>		
	( - )	registered municipal advisor		
	` '	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(0)	futures commission merchant		
	. ,	<ul><li>□ banking or thrift institution</li><li>□ trust company</li></ul>		
	(i) (i)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	()	real estate broker or dealer  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(o) (p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	,	ou control or are you controlled by the related person?	Yes	
				٠
7.	Are y	you and the related person under common control?	•	С
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	Œ
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	С
		If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	(0)	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	V	. NI.
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
•		If the answer is yes, under what exemption?	0	Į.
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority		
		Australia - Australian Securities and Investments Commission		
		Ireland - Central Bank of Ireland		
		Singapore - Monetary Authority of Singapore		
11.	Do y	ou and the related person share any supervised persons?	0	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
	•	al Name of <i>Related Person</i> : STERN ASSET MANAGEMENT COMPANY LTD		

2.		nary Business Name of <i>Related Person</i> : STERN ASSET MANAGEMENT COMPANY LTD		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 68224		
	or			
	Othe	}r		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	143388 CIK Number(s) (if any):		
	(D)	CIK Number		
		1488694		
5.	Rela	ated Person is: (check all that apply)		
0.		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(3)	futures commission merchant  banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	☐ lawyer or law firm		
	(I)	insurance company or agency		
	` '	pension consultant		
		real estate broker or dealer		
	(-)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)	Sponsor, general partner, managing member (or equivalent) or pooled investment vericles	Yes	No
6.	Do y	you control or are you controlled by the related person?		•
7.	Are v	you and the <i>related person</i> under common <i>control</i> ?	_	0
	- ,	,,	٠	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	(-)	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: $\square$		
0	<b>(</b> 0 <b>)</b>	If the related person is an investment advisor is it exempt from registration?	Yes	
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	⊙
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	O
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
4.4	D	Japan - Financial Services Agency		
11.	D0 у	ou and the related person share any supervised persons?	0	⊙
12.	Do y	you and the <i>related person</i> share the same physical location?	0	0
1	I ear	al Name of <i>Related Person</i> :		
	•	JCIARY TRUST COMPANY INTERNATIONAL		
2.	Prim	nary Business Name of <i>Related Person</i> :		
	FIDL	JCIARY TRUST COMPANY INTERNATIONAL		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		

	or Othe	er				
4.		ated Person's  CRD Number (if any):				
	(b)	CIK Number(s) (if any):  CIK Number				
		941407				
		824507				
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j)	ated Person is: (check all that apply)  □ broker-dealer, municipal securities dealer, or govern  □ other investment adviser (including financial planner  □ registered municipal advisor  □ registered security-based swap dealer  □ major security-based swap participant  □ commodity pool operator or commodity trading advise  □ futures commission merchant  □ banking or thrift institution  □ trust company  □ accountant or accounting firm	rs)	n registration)		
	(m) (n)	<ul> <li>□ lawyer or law firm</li> <li>□ insurance company or agency</li> <li>□ pension consultant</li> <li>□ real estate broker or dealer</li> <li>□ sponsor or syndicator of limited partnerships (or equ</li> </ul>	uivalent), excluding pooled investment	t vehicles		
	(o) (p)	sponsor, general partner, managing member (or equ	* * * * * * * * * * * * * * * * * * * *			
c	Do	you control or are you controlled by the related narrow?			Yes	
О.	ро ус	you control or are you controlled by the related person?			0	•
7.	Are y	you and the related person under common control?			•	0
8.	(a)	Does the related person act as a qualified custodian for y	our clients in connection with advisory	y services you provide to clients?	•	0
				) above, have you overcome the presumption that you are not re not required to obtain a surprise examination for your <i>clients'</i> funds	•	0
		If you have answered "yes" to question 8.(a) above, provide	de the location of the related person's	office responsible for <i>custody</i> of your <i>clients</i> ' assets:		
		Number and Street 1:	Number and Street 2:			
		280 PARK AVENUE City: State:	Country:	ZIP+4/Postal Code:		
		NEW YORK New York	United States	10017		
		If this address is a private residence, check this box: $\Box$			Yes	No
9.	(a)	If the related person is an investment adviser, is it exemp	ot from registration?		0	
	(b)	If the answer is yes, under what exemption?			~	~
40						
10.		Is the <i>related person</i> registered with a <i>foreign financial re</i> If the answer is yes, list the name and country, in English	of each foreign financial regulatory a		0	•
11	Do w	you and the related person share any supervised persons?	No Information Fil	led	_	_
11.	Бо у	you and the related person share any supervised persons:			0	•
12.	Do y	you and the <i>related person</i> share the same physical locati	on?		0	•
1.	_	al Name of <i>Related Person</i> : ANKLIN TEMPLETON INVESTIMENTOS (BRASIL) LTDA.				
2.		nary Business Name of <i>Related Person</i> : ANKLIN TEMPLETON INVESTIMENTOS (BRASIL) LTDA.				
		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-	·, 802-)			
	or	, 1001				

		Othe			
	4.	Pola	ted Person's		
			CRD Number (if any):		
			155141		
		(b)	CIK Number(s) (if any):		
			CIK Number		
			1717978		
	_	Dala	to di Davagni in Johan II albat anni N		
			ted Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer		
		` '	other investment adviser (including financial planners)		
			registered municipal advisor		
		(d)	registered security-based swap dealer		
		(e)	major security-based swap participant		
		(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		(g)	futures commission merchant		
		(h)	banking or thrift institution		
		(i) (i)	<ul> <li>□ trust company</li> <li>□ accountant or accounting firm</li> </ul>		
		(j) (k)	□ lawyer or law firm		
		(I)	insurance company or agency		
			pension consultant		
		(n)	real estate broker or dealer		
		(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	_	_		Yes	No
	6.	Do y	ou control or are you controlled by the related person?	$\circ$	⊙
	7	۸۳۵۰	roughed the related narrow under common control		
	7.	Are y	vou and the related person under common control?	⊙	0
	8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
			If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	
			operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
		(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
			Number and Street 1: Number and Street 2:		
			City: State: Country: ZIP+4/Postal Code:		
			If this address is a private residence, check this box:	V	NI-
	9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
				0	•
		(b)	If the answer is yes, under what exemption?		
	10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	_
			If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	٠	0
		(-)	Name of Country/English Name of Foreign Financial Regulatory Authority		
			Brazil - Securities and Exchange Commission		
			Other - BRAZIL - COMISSÃO DE VALORES MOBILIÁRIOS		
	11.	Do y	ou and the related person share any supervised persons?	0	_
		·			٠
	12.	Do y	ou and the related person share the same physical location?	0	•
				~	_
-					
		-	al Name of <i>Related Person</i> : PLETON HANA ASSET MANAGEMENT CO., LTD		
		I LIVI	TELTON TIANA AGGET MANAGEMENT GO., ETD		
	2.	Prim	ary Business Name of Related Person:		
			PLETON HANA ASSET MANAGEMENT CO., LTD		
	3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		-			
		or Othe			

4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):  No Information Filed		
5.	(a)	ated Person is: (check all that apply)  □ broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor		
	(d) (e) (f) (g) (h)	registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution		
	(i) (j) (k) (l)	trust company accountant or accounting firm lawyer or law firm insurance company or agency		
	` '	<ul> <li>□ pension consultant</li> <li>□ real estate broker or dealer</li> <li>□ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>□ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>		
6.	Doy	you control or are you controlled by the related person?	Yes O	No ⊙
7.	Are	you and the related person under common control?	0	С
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
0.	(b)	If the answer is yes, under what exemption?  PARTICIPATING AFFILIATE EXEMPTION	•	0
10	. (a)	Is the related person registered with a foreign financial regulatory authority?		0
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	٠	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
44	D	South Korea - Financial Supervisory Commission / Financial Supervisory Service		
11	. Doy	you and the related person share any supervised persons?	0	⊙
12	. Doy	you and the related person share the same physical location?	0	•
1.	•	al Name of <i>Related Person</i> : ANKLIN TEMPLETON PORTFOLIO ADVISORS, INC.		
2.		mary Business Name of <i>Related Person</i> : ANKLIN TEMPLETON PORTFOLIO ADVISORS, INC.		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 13802		
	Othe	er e		
4.	(a)	ated Person's  CRD Number (if any): 111372		
	(b)	CIK Number(s) (if any):		

5.	Related Person is: (check all that apply)		
	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	<ul> <li>(e)  major security-based swap participant</li> <li>(f)  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> </ul>		
	(g)  utures commission merchant		
	(h) banking or thrift institution		
	(i) trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant		
	(n)  real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	$\circ$	$\odot$
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	O
	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
0	(a) If the related parson is an investment advisor, is it exempt from registration?	Yes	
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	⊙
	(b) If the answer is yes, under what exemption?		
10	(a) Is the related person registered with a foreign financial regulatory authority?		_
10.		0	⊙
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  No Information Filed		
11	Do you and the related person share any supervised persons?	_	_
٠٠.	Do you and the related person shale any supervised persons:	0	•
12	Do you and the <i>related person</i> share the same physical location?	_	_
12.	bo you and the related person share the same physical location:	•	0
1.	Legal Name of Related Person:		
	FRANKLIN TEMPLETON FUND MANAGEMENT LIMITED		
2.	•		
	FRANKLIN TEMPLETON FUND MANAGEMENT LIMITED		
_			
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or .		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
_	Deleted Developing (about all that apply)		
J.	Related Person is: (check all that apply)  (a)  broker-dealer, municipal securities dealer, or government securities broker or dealer		
	THE PROPERTY OF THE PROPERTY O		

(b) other investment adviser (including financial planners)

	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	☐ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	·		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6	Dov	you control or are you controlled by the related person?		
0.	20,	you control are you controlled by the rolated percent.	$\circ$	⊙
7	Δre	you and the related person under common control?	_	_
٠.	7110	you and the rotated person ander common controls	⊙	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	_	_
0.				•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	$\circ$	$\odot$
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		_
		Name of Country/English Name of Facility Financial Decycletons Authority		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Doy		•	0
	•	United Kingdom - Financial Conduct Authority  you and the related person share any supervised persons?		
	•	United Kingdom - Financial Conduct Authority		°
12.	Doy	United Kingdom - Financial Conduct Authority  you and the <i>related person</i> share any <i>supervised persons</i> ?  you and the <i>related person</i> share the same physical location?		
12.	Doy	United Kingdom - Financial Conduct Authority  you and the related person share any supervised persons?		
12.	Doy	United Kingdom - Financial Conduct Authority  you and the related person share any supervised persons?  you and the related person share the same physical location?  yal Name of Related Person:		
12.	Do y Leg BAL	United Kingdom - Financial Conduct Authority  you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share any supervised persons  you and the related person share any supervised persons  you and the related person share any supervised persons?		
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12.	Leg BAL Prin BAL	United Kingdom - Financial Conduct Authority  you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share any supervised persons  you and the related person share any supervised persons  you and the related person share any supervised persons?		
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12.	Leg BAL Prin BAL	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share any supervised person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  you and the related person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  you and the related person share any supervised persons:  ANCED EQUITY MANAGEMENT PTY. LIMITED  you and the related person share any supervised persons:  ANCED EQUITY MANAGEMENT PTY. LIMITED  you and the related person share any supervised persons:  ANCED EQUITY MANAGEMENT PTY. LIMITED  you are defended person share the same physical location?		
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1. 2. 3.	Leg BAL Prin BAL Rela or Other	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person:  _ANCED EQUITY MANAGEMENT PTY. LIMITED  mary Business Name of Related Person: _ANCED EQUITY MANAGEMENT PTY. LIMITED  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):		
1. 2. 3.	Leg BAL Prin BAL Rela or Other	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person:		
12. 1. 2. 4.	Leg BAL Prin BAL  Rela Or Other	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons? you and the related person share the same physical location?  Jal Name of Related Person: ANCED EQUITY MANAGEMENT PTY. LIMITED  Mary Business Name of Related Person: ANCED EQUITY MANAGEMENT PTY. LIMITED  Jated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  Jated Person's  CRD Number (if any):  No Information Filed		
12. 1. 2. 4.	Leg BAL Print BAL Rela Or Other	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  you share a stated Person share of Related Persons.  ANCED EQUITY MANAGEMENT PTY. LIMITED  you share a stated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  No Information Filed		
12. 1. 2. 4.	Leg BAL Print BAL Rela Or Other (a) (b)	United Kingdom - Financial Conduct Authority  you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  mary Business Name of Related Person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  No Information Filed  ated Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer		
12. 1. 3.	Leg BAL Prin BAL Rela or Other (a) (b)	United Kingdom - Financial Conduct Authority  you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  ANCED EQUITY MANAGEMENT PTY. LIMITED  ared Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  No Information Filed  ated Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer  of other investment adviser (including financial planners)		
12. 1. 3.	Leg BAL Prin BAL Rela Cor Other (a) (b) Rela (b) (c)	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  are deem person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  No Information Filed  aled Person is: (check all that apply)  broker-dealer, ununicipal securities dealer, or government securities broker or dealer  of other investment adviser (including financial planners)  registered municipal advisor		
12. 1. 3.	Leg BAL Print BAL  Rela Or Other (a) (b)  Rela (b) (c) (d)	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  many Business Name of Related Person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  CIK Number(s) (if any):  No Information Filed  ated Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer  of the investment adviser (including financial planners)  registered municipal advisor  registered security-based swap dealer		
12. 1. 3.	Leg BAL Prin BAL Rela Cor Other (a) (b) Rela (b) (c)	United Kingdom - Financial Conduct Authority you and the related person share any supervised persons?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person share the same physical location?  you and the related person:  ANCED EQUITY MANAGEMENT PTY. LIMITED  are deem person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  No Information Filed  aled Person is: (check all that apply)  broker-dealer, ununicipal securities dealer, or government securities broker or dealer  of other investment adviser (including financial planners)  registered municipal advisor		

	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	` '	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(b)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	$\circ$	⊙
7.	Are y	you and the related person under common control?	$\odot$	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not		0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	~	~
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	. ,	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
	` '			
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	•	
	( )	Name of Country/English Name of Foreign Financial Regulatory Authority		
		Australia - Australian Securities and Investments Commission		П
11	Do v	vou and the related person share any supervised persons?	_	_
	20,	and the relation person that ourly supervised persons.	0	•
12	Do v	rou and the <i>related</i> person share the same physical location?	-	_
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	0
		al Name of <i>Related Person</i> :	0	•
	Lega		0	•
	Lega	al Name of Related Person:	0	•
	Lega FRA	al Name of Related Person:	0	•
1.	Lega FRA Prim	al Name of <i>Related Person</i> : NKLIN ADVISERS, INC.	0	•
1.	Lega FRA Prim FRA	al Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  hary Business Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.	0	•
1.	Lega FRA Prim FRA	al Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  hary Business Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  atted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	•
1.	Lega FRA Prim FRA Rela	al Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  hary Business Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.	0	•
1.	Lega FRA Prim FRA Rela 801 or	al Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  hary Business Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  - 26292	0	•
1.	Lega FRA Prim FRA Rela	al Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  hary Business Name of <i>Related Person</i> :  NKLIN ADVISERS, INC.  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  - 26292	0	•
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1. 2. 3.	Lega FRA Prim FRA 801 or Other (a) (b)	al Name of Related Person: NKLIN ADVISERS, INC.  hary Business Name of Related Person: NKLIN ADVISERS, INC.  ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 26292  er  ted Person's CRD Number (if any): 104517 CIK Number(s) (if any): CIK Number(s) (if any): CIK Number (78673 898420  broker-dealer, municipal securities dealer, or government securities broker or dealer	<u> </u>	
1. 2. 3.	Lega FRA Prim FRA Rela 801 or Othe (a) (b)	al Name of Related Person: NKLIN ADVISERS, INC.  hary Business Name of Related Person: NKLIN ADVISERS, INC.  hard Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  - 26292  er  hated Person's  CRD Number (if any): 104517  CIK Number(s) (if any):  CIK Number(s) (if any):  DIK Number  783673  898420  hated Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)	<u> </u>	
1. 2. 3.	Lega FRA Prim FRA Rela 801 or Other (a) (b) Rela (a) (b) (c)	al Name of Related Person: NKLIN ADVISERS, INC.  hary Business Name of Related Person: NKLIN ADVISERS, INC.  ared Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 26292  br  titled Person's  CRD Number (if any): 104517  CIK Number(s) (if any):  CIK Number(s) (if any):  Discontinuous of the properties	<u> </u>	
1. 2. 3.	Lega FRA Prim FRA Rela 801 or Other (a) (b) Rela (a) (b) (c) (d)	al Name of Related Person: NKLIN ADVISERS, INC.  hary Business Name of Related Person: NKLIN ADVISERS, INC.  hited Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 26292  of  hited Person's  CRD Number (if any): 104517  CIK Number(s) (if any):  CIK Number(s) (if any):  CIK Number  785673  898420  hted Person is: (check all that apply)  broker-dealler, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered security-based swap dealer	<u> </u>	
1. 2. 3.	Lega FRA Prim FRA Rela 801 or Other (a) (b) Rela (a) (b) (c) (d) (e)	al Name of Related Person: NKLIN ADVISERS, INC.  hary Business Name of Related Person: NKLIN ADVISERS, INC.  hard Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 26292  or  hard Person's  CRD Number (if any): 104517  CIK Number(s) (if any):  CIK Number(s) (if any):  CIK Number(s) (if any):  hard Person is: (check all that apply)  broker-dealer, municipal securitives dealer, or government securities broker or dealer  or registered municipal advisor  registered municipal advisor  registered security-based swap dealer  major security-based swap participant	<u> </u>	
1. 2. 3.	Lega FRA Prim FRA Rela 801 or Other (a) (b)  Rela (a) (b) (c) (d) (e) (f)	al Name of Related Person: NKLIN ADVISERS, INC.  hary Business Name of Related Person: NKLIN ADVISERS, INC.  hited Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 26292  of  hited Person's  CRD Number (if any): 104517  CIK Number(s) (if any):  CIK Number(s) (if any):  CIK Number  785673  898420  hted Person is: (check all that apply)  broker-dealler, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered security-based swap dealer	<u> </u>	
1. 2. 3.	Lega FRA Prim FRA Rela 801 or Other (a) (b) Rela (a) (b) (c) (d) (e)	al Name of Related Person: NKLIN ADVISERS, INC.  hary Business Name of Related Person: NKLIN ADVISERS, INC.  hard Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  - 26292  et atted Person's  CRD Number (if any): 104517  CIK Number(s) (if any):  CIK Number(s) (if any):  CIK Number  785673  898420  htted Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered municipal advisor  registered security-based swap dealer  major security-based swap participant  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		

	(j)	accountant or accounting firm		
	(k)	☐ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	<u> </u>		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	V	NI-
6	Day	very control or are you controlled by the related nerson?		No
о.	БО ў	you control or are you controlled by the related person?	0	⊙
7	۸ro	you and the related narran under common control?	_	_
7.	Ale	you and the related person under common control?	⊙	0
8.	(2)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	_	_
0.	(a)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	⊙
	(b)	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0	0
		or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
^	(-)	Million metale di managini anni inscribina antici di di secondo di financia di di secondo di managini di di secondo di	Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	⊙
	(b)	If the answer is yes, under what exemption?		
40	(-)	In the valeted may an existence durith a few sign fine point we will take a court of the valet.		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	⊙	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Brazil - Securities and Exchange Commission		
		Hong Kong - Securities and Futures Commission		
		India - Securities and Exchange Board of India		
		Other - SOUTH KOREA - FINANCIAL SERVICES COMMISSION / FINANCIAL SUPERVISORY SERVICE		
		Taiwan - Financial Supervisory Commission		
11	Day	you and the <i>related person</i> share any <i>supervised persons</i> ?		
11.	D0	you and the related person share any supervised persons:	⊙	0
12.	Do v	you and the <i>related person</i> share the same physical location?	•	_
	- ,	,	٠	0
1.	_	al Name of <i>Related Person</i> : NDOM FOREST CAPITAL, LLC		
	KAN	NDOW FOREST CAFTIAL, LLC		
2.	Prim	nary Business Name of <i>Related Person</i> :		
	RAN	NDOM FOREST CAPITAL, LLC		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	Othe	er		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(h)	289297 CN/ Number(a) (if anyl)		
	(b)	CIK Number(s) (if any):  No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer major security-based swap participant		
	(e) (f)	major security-based swap participant  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(I) (g)	futures commission merchant		
		banking or thrift institution		
	(h)			

	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	\I- /	——————————————————————————————————————	Yes	No
6.	Dον	you control or are you controlled by the related person?		
٥.	50,	you control are you controlled by the rotated percent.	0	⊙
7	۸ ۳۵	vou and the related narrow under common central?		
۲.	Ale	you and the related person under common control?	⊙	0
•				
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	` '	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?	~	~
	·~/			
10	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
	` '		O	⊙
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  No Information Filed		
11	Dox	you and the related person share any supervised persons?	_	_
11.	D0 )	you and the related person share any supervised persons?	0	⊙
12.	Doy	you and the related person share the same physical location?	•	0
1.	Leg	al Name of Related Person:		
	CLE	EARBRIDGE INVESTMENTS, LLC		
2.	Prim	mary Business Name of <i>Related Person</i> :		
	CLE	EARBRIDGE INVESTMENTS, LLC		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 64710		
	or			
	Othe	er e		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
		137028		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1348883		
5.	Rela	lated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		

	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	$\circ$	$\odot$
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	$\odot$	$\circ$
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds		
		or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	$\circ$	$\odot$
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	(-)	No Information Filed		
11.	Do v	you and the related person share any supervised persons?	_	_
	Бо у	de and the related person share any supervised persons.	⊙	0
40	D	and the veleted never shows the same why sized leasting?		
12.	ро у	vou and the related person share the same physical location?	$\circ$	⊙
1	Lear	al Name of Related Person:		
١.	_	G MASON ASSET MANAGEMENT HONG KONG LIMITED		
	LLO	NA NO		
2	Prim	nary Business Name of Related Person:		
۷.		G MASON ASSET MANAGEMENT HONG KONG LIMITED		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
0.	-	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1		
	or			
	Othe			
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		I
	()			
	(b)	CIK Number(s) (if any):		
	` '	CIK Number		
		147462		
5.	Rela	ated Person is: (check all that apply)		
0.	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	. ,	other investment adviser (including financial planners)		
		registered municipal advisor		
	(c)			
	(d)			
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(1)	trust company		
	(l)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
16	Do v	ou control or are you controlled by the related person?	0	$\odot$

7.	Are	you and the related person under common control?	$\odot$	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box: □		
			Yes	No
9.	` ,	If the <i>related person</i> is an investment adviser, is it exempt from registration?  If the answer is yes, under what exemption?	0	⊙
10	(a) (b)	Is the related person registered with a foreign financial regulatory authority?  If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	⊙	0
	(~)	Name of Country/English Name of Foreign Financial Regulatory Authority		
		Hong Kong - Securities and Futures Commission		
	_	Other - KOREAN FINANCIAL SUPERVISORY COMMISSION		
11	. Бо	you and the <i>related person</i> share any <i>supervised persons</i> ?	0	⊙
12	. Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : ARION PARTNERS, LLC		
	Drim	nory Pusinges Name of Polated Paragra		
Z.		nary Business Name of <i>Related Person</i> : ARION PARTNERS		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 16611		
	or Othe	er		
4.	Rela	ated Person's		
	(a)	CRD Number (if any): 108803		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1779153		
5.		ated Person is: (check all that apply)  Description broker-dealer, municipal securities dealer, or government securities broker or dealer		
	` '	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	.,	major security-based swap participant		
	(f) (g)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  futures commission merchant		
	,	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) (m)	insurance company or agency pension consultant		
	(III) (n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	D	you control or are you controlled by the related person?	Yes	
Ю.	D0 }	you control or are you controlled by the related person?	0	⊙
7.	Are	you and the related person under common control?	•	0

8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1:       Number and Street 2:         City:       State:         Country:       ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	$\circ$	$\odot$
	(b)	If the answer is yes, under what exemption?		
10	(0)	Is the related person registered with a foreign financial regulatory authority?	_	
10	(a) (b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  No Information Filed	О	•
11	. Do	you and the related person share any supervised persons?	0	•
12	. Do	you and the <i>related person</i> share the same physical location?	0	•
1.	Leg	gal Name of <i>Related Person</i> :		
	LEG	GG MASON INVESTMENT FUNDS LIMITED		
2.	Prin	mary Business Name of <i>Related Person</i> :		
		GG MASON INVESTMENT FUNDS LIMITED		
3.	Rel	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Oth	er er		
4.	Rel	lated Person's		
	(a)	CRD Number (if any):		1
				1
	(b)	CIK Number(s) (if any):  No Information Filed		
5.		lated Person is: (check all that apply)  Description broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(a) (b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f) (g)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k) (l)	□ lawyer or law firm □ insurance company or agency		
	(n)			
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voc	No
6.	Do	you control or are you controlled by the related person?	0	. ₩
	•			100
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	Ö	Ö
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		

		City: State: Country: ZIP+4/Postal Code:			
		If this address is a private residence, check this box:	Yos	No	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	C	. I¥O	
	(b)	If the answer is yes, under what exemption?	~	•	
10.		Is the related person registered with a foreign financial regulatory authority?	$\odot$	0	
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.			1
		Name of Country/English Name of Foreign Financial Regulatory Authority  United Kingdom - Financial Conduct Authority			
11	Do v	ou and the related person share any supervised persons?	_	_	1
' ' '	БО у	ou and the related person share any supervised persons:	О	•	
12.	Do y	ou and the related person share the same physical location?	0	•	
			~	•	
				=	-
1	l ens	al Name of <i>Related Person</i> :			
	•	NDYWINE GLOBAL INVESTMENT MANAGEMENT, LLC			
2.		ary Business Name of <i>Related Person</i> :			
	DKA	NDYWINE GLOBAL INVESTMENT MANAGEMENT, LLC			
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	801	- 27797			
	or Othe				
	Out				
4.	Rela	ted Person's			
		CRD Number (if any):			
		110783 CIK Number(s) (if any):			
	(~)	CIK Number			
		829108			
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	V	No	
6.	Dov	ou control or are you controlled by the related person?	Yes	_	
0.	<i>D</i> ∪ y	ou control of allo you controlled by the related person:	0	⊙	
7.	Are y	ou and the related person under common control?	•	0	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•	
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0	
		If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:			
		Number and Street 1: Number and Street 2:			
		City: State: Country: ZIP+4/Postal Code:			
		If this address is a private residence, check this box:	Yes	No	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	_	~	

	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		_
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Ireland - Central Bank of Ireland Other KOREA FINANCIAL SURFRY COMMISSION		
		Other - KOREA FINANCIAL SUPERVISORY COMMISSION South Africa - Financial Services Board		
11	Dov	ou and the related person share any supervised persons?		_
11.	БО у	ou and the related person shale any supervised persons:	0	⊚
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : TIN CURRIE INVESTMENT MANAGEMENT LIMITED		
2.		ary Business Name of <i>Related Person</i> :		
3.		nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 65715		
	or Othe	r		
4.		ated Person's		
		CRD Number (if any): 139012		
		CIK Number(s) (if any):		
		CIK Number		
		1034882		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o)	ted Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered security-based swap dealer  major security-based swap participant  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  futures commission merchant  banking or thrift institution  trust company  accountant or accounting firm  lawyer or law firm  insurance company or agency  pension consultant  real estate broker or dealer  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
6.	Do y	ou control or are you controlled by the related person?	Yes O	No ⊙
7.	Are y	you and the related person under common control?	•	0
8.	` '	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
^	(-)		Yes	
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	⊙
	(b)	If the answer is yes, under what exemption?		

10.	` ,	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?  If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	•	0
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do y	ou and the related person share any supervised persons?	0	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : PLETON ASSET MANAGEMENT LTD.		
2.		ary Business Name of <i>Related Person</i> : PLETON ASSET MANAGEMENT LTD.		
3.		nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 46997		
	Othe			
4.		oted Person's  CRD Number (if any): 111382		
	(b)	CIK Number(s) (if any):  CIK Number		
		926430		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	ou control or are you controlled by the related person?		<b>⊙</b>
7.	Are :	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
٥.	(a) (b)	If the answer is yes, under what exemption?	0	⊙
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?  If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	•	0

		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Hong Kong - Securities and Futures Commission		
		India - Securities and Exchange Board of India		
		Ireland - Central Bank of Ireland		
		Other - SINGAPORE - CENTRAL PROVIDENT FUND BOARD		
		Other - SOUTH KOREA - FINANCIAL SERVICES COMMISSION / FINANCIAL SUPERVISORY SERVICE		
		Singapore - Monetary Authority of Singapore		
		Taiwan - Financial Supervisory Commission		
11.	Do y	you and the related person share any supervised persons?	0	0
12.	Do y	you and the <i>related person</i> share the same physical location?	0	
1.	•	al Name of <i>Related Person</i> : IPLETON GLOBAL ADVISORS LIMITED		
2.		nary Business Name of <i>Related Person</i> :  MPLETON GLOBAL ADVISORS LIMITED		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 42343		
4.		ated Person's		
		CRD Number (if any):		
		111383		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1014885		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o)	broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered security-based swap dealer  major security-based swap participant  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  futures commission merchant  banking or thrift institution  trust company  accountant or accounting firm  lawyer or law firm  insurance company or agency  pension consultant  real estate broker or dealer  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles  sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	you control or are you controlled by the related person?	Yes O	
7.	Are <u>y</u>	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	o	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:  City: State: Country: TIP+4/Postal Code:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	
	(b)	If the answer is yes, under what exemption?	~	

10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Bahamas - Securities Commission of the Bahamas		
		Hong Kong - Securities and Futures Commission		
11.	Do y	you and the related person share any supervised persons?	$\odot$	0
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1	Logg	al Name of Related Darson		
1.	_	al Name of <i>Related Person</i> : IPLETON ASSET MANAGEMEN T (POLAND) TFI S.A.		
2.		nary Business Name of <i>Related Person</i> :  MPLETON ASSET MANAGEMEN T (POLAND) TFI S.A.		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe	er		
	<b>.</b> ,			
4.	(a)	ated Person's  CRD Number (if any):		
	(α)	CALL Hamber (ii diry).		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (o)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Don	you control or are you controlled by the related person?	Yes	
0.	Бо у	tou control of are you controlled by the related person:	0	⊙
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	Ö	_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
		ii uno address is a private residence, check uns box. I—	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		•
	(b)	If the answer is yes, under what exemption?	-	***
	, .			
10.		Is the related person registered with a foreign financial regulatory authority?	$\odot$	$\circ$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		

	Name of Country/English Name of Foreign Financial Regulatory Authority			
11	Other - POLISH FINANCIAL SUPERVISION AUTHORITY  Do you and the related person share any supervised persons?			_
11.	Jo you and the related person share any supervised persons?	0		⊙
12.	Do you and the <i>related person</i> share the same physical location?	C	)	•
1.	Legal Name of <i>Related Person</i> :			
	BRANDYWINE GLOBAL INVESTMENT MANAGEMENT (CANADA), ULC			
2.	Primary Business Name of <i>Related Person</i> : BRANDYWINE GLOBAL INVESTMENT MANAGEMENT (CANADA), ULC			
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	or Other			
4.	Related Person's  (a) CRD Number (if any):			
	(b) CIK Number(s) (if any):			
	No Information Filed			
5.	Related Person is: (check all that apply)  (a) Double broker-dealer, municipal securities dealer, or government securities broker or dealer  (b) Other investment adviser (including financial planners)			
	(c) registered municipal advisor (d) registered security-based swap dealer			
	(e) major security-based swap participant  (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)			
	<ul> <li>(g) ☐ futures commission merchant</li> <li>(h) ☐ banking or thrift institution</li> <li>(i) ☐ trust company</li> </ul>			
	(j) accountant or accounting firm  (k) awyer or law firm			
	(I)  insurance company or agency (m)  pension consultant			
	(n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Ye	s	Nο
6.	Do you control or are you controlled by the related person?		)	
7.	Are you and the <i>related person</i> under common <i>control</i> ?	e	)	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	o	5	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are repertationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your client or securities that are maintained at the related person?	•		0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:  City:  State:  Country:  ZIP+4/Postal Code:			
	If this address is a private residence, check this box:	Ye	s	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0		•
	(b) If the answer is yes, under what exemption?			
10.	(a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	)	0
	Name of Country/English Name of Foreign Financial Regulatory Authority			
	Other - ALBERTA SECURITIES COMMISSION Other - BRITISH COLUMBIA SECURITIES COMMISSION			
	Other - L' AUTORITE DES MARCHES FINANCIERS SERVICE DE L' ENCADREMENT DES INTERMEDIAIRES (QUEBEC)			

		Other - MANITOBA SECURITIES COMMISSION		
		Other - NEW BRUNSWICK SECURITIES COMMISSION		
		Other - NOVA SCOTIA SECURITIES COMMISSION		
		Other - ONTARIO SECURITIES COMMISSION		
		Other - PRINCE EDWARD ISLAND SECURITIES OFFICE		
		Other - SASKATCHEWAN FINANCIAL SERVICES COMMISSION		
		Other - SECURITIES COMMISSION OF NEWFOUNDLAND AND LABRADOR		
11.	Do y	/ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do y	ou and the related person share the same physical location?		·
1.	•	al Name of <i>Related Person</i> : COMBANK FUND MANAGEMENT		
2.		nary Business Name of <i>Related Person</i> :  COMBANK FUND MANAGEMENT		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er -		
4.		ated Person's  CRD Number (if any):		
	(a)	CAD Number (il arry).		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1595895		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l)	broker-dealer, municipal securities dealer, or government securities broker or dealer  to ther investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) tutures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voo	No
6.	Do v	you control or are you controlled by the related person?	Yes	
J.	<i>D</i> 0 y	to a control of allo you controlled by the related person:	O	⊙
7.	Are y	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	$\odot$
	(b)	If the answer is yes, under what exemption?		

10.	(a)	Is the related person registered with a foreign financial regulatory authority?	$\odot$	$\circ$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Other - VIETNAM - STATE SECURITIES COMMISSION OF VIETNAM		
11.	Do y	vou and the related person share any supervised persons?	0	•
				•
12.	Do y	you and the related person share the same physical location?	0	•
1.	Lega	al Name of Related Person:		
	_	CE FUND SERVICES, LLC		
2.		nary Business Name of <i>Related Person</i> : /CE FUND SERVICES, LLC		
	I(O)	I OLIVIOLO, LLO		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	8 - 2	28663		
	or			
	Othe			
4	Dolo	ated Person's		
4.		ated Person's		
	(a)	CRD Number (if any): 14470		
	(b)	CIK Number(s) (if any):		
	(~)	CIK Number		
		711125		$\neg$
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	. ,	registered municipal advisor		
	` '	registered security-based swap dealer		
		major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(b)	banking or thrift institution		
	(i)	trust company		
	(i)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	•
7.	Are	you and the related person under common control?	•	0
				~
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not		
	(~)	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds	0	0
	(0)	or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
		Number and Street 1: Number and Street 2:  City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	
	(b)	If the answer is yes, under what exemption?	0	•
	(0)	ii the answer is yes, under what exemption:		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	_
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	U	•
	(0)	No Information Filed		

11.	bo you and the related person share any supervised persons:	0 0	
12.	Do you and the <i>related person</i> share the same physical location?	0 ⊚	
2.	Legal Name of <i>Related Person</i> : LEGG MASON INVESTMENTS (EUROPE) LIMITED  Primary Business Name of <i>Related Person</i> : LEGG MASON INVESTMENTS (EUROPE) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
o.	or Other		
4.	Related Person's  (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  CIK Number  1157370		
5.	Related Person is: (check all that apply)  (a)   broker-dealer, municipal securities dealer, or government securities broker or dealer  (b)   other investment adviser (including financial planners)  (c)   registered municipal advisor  (d)   registered security-based swap dealer  (e)   major security-based swap participant  (f)   commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  (g)   futures commission merchant  (h)   banking or thrift institution  (i)   trust company  (j)   accountant or accounting firm  (k)   lawyer or law firm  (l)   insurance company or agency  (m)   pension consultant  (n)   real estate broker or dealer  (o)   sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes No	
6.	Do you control or are you controlled by the related person?	0 ⊙	
7.	Are you and the related person under common control?	<ul><li>O</li></ul>	
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' or securities that are maintained at the <i>related person</i>?</li> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets:         <ul> <li>Number and Street 2:</li> <li>City:</li> <li>State:</li> <li>Country:</li> <li>ZIP+4/Postal Code:</li> </ul> </li> </ul>	O O O funds	
	If this address is a private residence, check this box:	Yes No	
9.	<ul><li>(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?</li><li>(b) If the answer is yes, under what exemption?</li></ul>	O 0	
10.	<ul> <li>(a) Is the related person registered with a foreign financial regulatory authority?</li> <li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.</li> <li>Name of Country/English Name of Foreign Financial Regulatory Authority</li> <li>United Kingdom - Financial Conduct Authority</li> </ul>	• c	
11.	Do you and the related person share any supervised persons?	0 0	
12.	Do you and the <i>related person</i> share the same physical location?	0.0	

1.	_	al Name of <i>Related Person</i> : STERN ASSET MANAGEMENT COMPANY DISTRIBUIDORA DE TÍTULOS E VALO		
2.		nary Business Name of <i>Related Person</i> : STERN ASSET MANAGEMENT COMPANY DISTRIBUIDORA DE TÍTULOS E VALO		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 71657		
	Othe	er e		
1.	(a)	ated Person's  CRD Number (if any): 153986  CIK Number(s) (if any):  No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant		
	(m) (n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	you control or are you controlled by the related person?	Yes	
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	c
3.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	o	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
,	(0)	If the related narrow is an investment advisor, is it exampt from registration?	Yes	
1.		If the <i>related person</i> is an investment adviser, is it exempt from registration?  If the answer is yes, under what exemption?	0	•
	(~)			
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	$\odot$	C
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Other - BANCO CENTRAL DO BRASIL		
		Other - COMISSÃO DE VALORES MOBILIÁRIOS		
11.	Do y	rou and the related person share any supervised persons?	0	G
			•	•3
12.	Do y	ou and the <i>related person</i> share the same physical location?	$\circ$	•

1. Legal Name of Related Person:

	MAR	RTIN CURRIE INC		
2.		nary Business Name of <i>Related Person</i> : RTIN CURRIE INC		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 14261		
	Othe	∋r ∍r		
4.	(a)	ated Person's  CRD Number (if any): 110909  CIK Number(s) (if any):  CIK Number  1034883		
	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)		Yes	
	·	you and the <i>related person</i> under common <i>control</i> ?		0
8.	(b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?  If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:  City:  State:  Country:  ZIP+4/Postal Code:	0	0
		If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	No ⊙
		If the answer is yes, under what exemption?		٠
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?  If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.  Name of Country/English Name of <i>Foreign Financial Regulatory Authority</i>	•	0
		United Kingdom - Financial Conduct Authority		
11.	Do y	you and the related person share any supervised persons?	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
	EDIN Prim	al Name of <i>Related Person</i> :  NBURGH PARTNERS LIMITED  hary Business Name of <i>Related Person</i> :  NBURGH PARTNERS LIMITED		

3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 63714		
	or			
	Othe	)T		
	Rela	ated Person's		
	(a)	CRD Number (if any):		
		132796		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1313926		
j.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	. No
<b>5.</b>	Do y	you control or are you controlled by the related person?		•
·.	Are	you and the related person under common control?	•	0
ı	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
<b>.</b>				•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		С
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	,	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	
١.		If the related person is an investment adviser, is it exempt from registration?	0	⊙
	(b)	If the answer is yes, under what exemption?		
0.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		~
	(-)	Name of Country/English Name of Foreign Financial Regulatory Authority		
		Canada - British Columbia Securities Commission		
		Canada - Nova Scotia Securities Commission		
		Canada - Ontario Securities Commission		
		Canada - Quebec, Financial Markets Authority		
		Ireland - Central Bank of Ireland		
		South Korea - Financial Supervisory Commission / Financial Supervisory Service		
		United Kingdom - Financial Conduct Authority		
,	_			
1.	ро у	you and the related person share any supervised persons?	0	⊚
2.	Do v	you and the related person share the same physical location?	_	•
	- )		0	•
				_

1. Legal Name of Related Person:

2. Premay success Native of Notebook Prisoner. COINS LITE TRANSLAN ASSET M ANACCAMPT CO., LMTED  3. Researd Previous SEC Tile Number (1 and 16 a., 601, 5, 685, 602) or COINS LITE TRANSLAN ASSET M ANACCAMPT CO., LMTED  7. Researd Previous (2 a., 600) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602)  7. Researd Previous (2 a., 600) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602)  7. Researd Previous (2 a., 600) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602)  7. Researd Previous (2 a., 600) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602)  7. Researd Previous (2 a., 600) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 601, 5, 685, 602) OR COINS LITE Number (1 and 16 a., 602, 5, 602, 5, 602) OR COINS LITE Number (1 and 16 a., 602, 5, 602, 5, 602, 5, 602) OR COINS LITE Number (1 and 16 a., 602, 5, 60		CHIN	NA LIFE FRANKLIN ASSET M ANAGEMENT CO., LIMITED		
Collection of CAPA Number (if engly):  (b) CRIX Number(i) (if any):  (c) CRIX Number(i) (if any):  (d) CRIX Number(i) (if any):  (e) CRIX Number(i) (if any):  (f) CRIX Number(ii) (if any):  (f) CRIX Number(ii) (if any):  (f) CRIX Number(iii) (if any):  (	2.				
4. Relation Person's (a) CPC Number of any); (b) CIK Number of any); (c) CIK Number of any); (d) CIK Number of any); (d) CIK Number of any); (e) CIK Number of any); (e) CIK Number of any); (f) CIK	3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
(a) CRD Number (if any):  No Information Field  S. Melabed Person is: (check all that apple): (b) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (c) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (d) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (e) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (e) Discusse-dealer, multicoal security-based sixup data participant (e) Discusse-dealer, multicoal security-based sixup data participant (e) Discusse-dealer, multicoal security-based sixup data participant (e) Discusse-combination recombination or commonly trading advisor (whether registered or exempt from registration) (g) Discusse-combination recombination manufacture (e) Discusse-combination recombination (in multicompany) (g) Discusse-combination recombination recombination recombination (g) Discusse-combination recombination recombination recombination (g) Discusse-combination recombination recombination (g) Personation recombination recombinatio			er		
(a) CRD Number (if any):  No Information Field  S. Melabed Person is: (check all that apple): (b) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (c) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (d) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (e) Discusse-dealer, multicoal securities cealer, or government securities brown or dealer: (e) Discusse-dealer, multicoal security-based sixup data participant (e) Discusse-dealer, multicoal security-based sixup data participant (e) Discusse-dealer, multicoal security-based sixup data participant (e) Discusse-combination recombination or commonly trading advisor (whether registered or exempt from registration) (g) Discusse-combination recombination manufacture (e) Discusse-combination recombination (in multicompany) (g) Discusse-combination recombination recombination recombination (g) Discusse-combination recombination recombination recombination (g) Discusse-combination recombination recombination (g) Personation recombination recombinatio		Dala	stad Davagela		
No Information Filed    No Information Filed   Person Is: (neex all that apply)	4.				
No Information Filed    No Information Filed   Person Is: (neex all that apply)		(h)	CIV Number(e) (if equi):		
brother-dealer, municipal securities dealer, or government securities broker or dealer		(D)			
brother-dealer, municipal securities dealer, or government securities broker or dealer					
(a)   cyclester defined investment advisor (including frametal planners)	5.	Rela	ated Person is: (check all that apply)		
(c)   registered moticipal advisor registered moticipal advisor registered or exampt from registeration (c)   major security-based swap participant (c)   major security		(/			
(c)   registered security-based swap participant (c)   commodity pool operator or commodity trading advisor (whether registered or exampt from registration) (g)   futures commission merchant (h)   banking or third institution (i)   trust company (ii)   document or accounting firm (ii)   banking or third institution (iii)   trust company (iii)   pension consultant (iv)   real estate broker or dealer (iv)   pension consultant (iv)					
(e) major security-based awap participant (f) commodity pool operator or commodify trading advisor (whether registered or exempt from registration) (g) futures commission meticitant (h) banking or third institution (g) accountant or accounting firm (h) personance or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (g) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (g) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (g) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (g) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (g) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (g) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (g) sponsor or specification of limited partnerships (or equivalent) of pooled investment vehicles (g) sponsor or specification or accounting the partnerships (or equivalent) of pooled investment vehicles (g) sponsor or specification or accounting the partnerships (or equivalent) or accounting the partnerships (g) or equivalent or		` '			
(a)   banking or thrift institution					
banking or thrist institution   trust company   trust company   trust company   trust company		(f)			
(i)   succompany   accountant or accounting firm   survey or law firm			_		
Good   Several of the person of accounting firm					
(i) ☐ insurance company or agency (iii) ☐ pension consultant (iii) ☐ real estate broker or dealer (iv) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (iv) ☐ sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (iv) ☐ sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (iv) ☐ sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles  Yes N  Do you control or are you controlled by the related person?  7. Are you and the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients? (iv) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(H-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients* funds or securities that are maintained at the related person? (iv) If you have answered "yes," to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients* assets:  Number and Street 1:  Number and Street 2:  City:  City:  State:  Country:  ZIP+4/Postal Code:  If the answer is yes, under what examption?  (ii) If you have answered yes, is a private residence, check this box:  The state of person is an investment adviser, is it exempt from registration?  (ii) If the enaleted person registered with a foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong Securities and Futures Commission  11. Do you and the related person share the same physical location?  12. Do you and the related Person:  FIDUCIARY TRUST COMPANY OF CANADA					
(m)		(k)	·		
(c) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) □ sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles  7		` '			
(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles  Yes N  Do you control or are you controlled by the related person?  7. Are you and the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-26(4)5) from the related person 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-26(4)5) from the related person 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-26(4)5) from the related person 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-26(4)5) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?  (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:  Number and Street 1:  City:  City:  1. It is address is a private residence, check this box:  1. Use a private related person is an investment adviser, is it exempt from registration?  1. Use a private related person is a private residence, check this box:  1. Use a private related person share and accountry, in English of each foreign financial regulatory authority with which the related person is registered.  1. Use a private related person share the same physical locatio			_		
6. Do you control or are you controlled by the related person?  7. Are you and the related person under common control?  8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?  (b) If you are registering or registered with the SEC and you have answered 'yes,' to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?  (c) If you have answered 'yes' to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:  Number and Street 1:  City:  City:  If this address is a private residence, check this box:  9. (a) If the related person is an investment adviser, is it exempt from registration?  (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority?  (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share the same physical location?  12. Do you and the related Person share the same physical location?		(o)			
6. Do you control or are you controlled by the related person?  7. Are you and the related person under common control?  8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?  (b) If you are registering or registered with the SEC and you have answered 'yes,' to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?  (c) If you have answered 'yes' to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:  Number and Street 1:  Number and Street 2:  Country:  If this address is a private residence, check this box:  (b) If the related person is an investment adviser, is it exempt from registration?  (c) If the answer is yes, under what exemption?  (d) If the related person registered with a foreign financial regulatory authority?  (e) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share the same physical location?  12. Do you and the related Person:  FIDUCIARY TRUST COMPANY OF CANADA		(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?  (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients funds or securities that are maintained at the related person?  (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:  Number and Street 2:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:  Yes N  9. (a) If the related person is an investment adviser, is it exempt from registration?  (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority?  (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  C (a) If the answer is yes, list the name and country is any supervised persons?  Legal Name of Related Person:  FIDUCIARY TRUST COMPANY OF CANADA	6.	Do y	rou control or are you controlled by the related person?		
(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?  (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:  Number and Street 1:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:  Yes N  9. (a) If the related person is an investment adviser, is it exempt from registration?  (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority?  (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?	7.	Are y	you and the related person under common control?	•	0
operationally independent (pursuant to rule 208(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?  (c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:  Number and Street 1:  City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:   Yes N  9. (a) If the related person is an investment adviser, is it exempt from registration?  (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority with which the related person is registered.  Name of Country: Rightsh Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related Person share the same physical location?  13. Legal Name of Related Person:  FIDUCIARY TRUST COMPANY OF CANADA	8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □  Yes N  9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?  13. Legal Name of Related Person: FIDUCIARY TRUST COMPANY OF CANADA			operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0	0
City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:   9. (a) If the related person is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.    Name of Country/English Name of Foreign Financial Regulatory Authority		(c)			
9. (a) If the related person is an investment adviser, is it exempt from registration?  (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority?  (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?  13. Legal Name of Related Person:  FIDUCIARY TRUST COMPANY OF CANADA			City: State: Country: ZIP+4/Postal Code:		
9. (a) If the related person is an investment adviser, is it exempt from registration?  (b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority?  (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?  13. Legal Name of Related Person:  FIDUCIARY TRUST COMPANY OF CANADA			If this address is a private residence, check this box:	Yes	No
(b) If the answer is yes, under what exemption?  10. (a) Is the related person registered with a foreign financial regulatory authority?  (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?  13. Legal Name of Related Person:  FIDUCIARY TRUST COMPANY OF CANADA	9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		
(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?  13. Legal Name of Related Person:  FIDUCIARY TRUST COMPANY OF CANADA		(b)	If the answer is yes, under what exemption?		
(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?  13. Legal Name of Related Person:  FIDUCIARY TRUST COMPANY OF CANADA	40	(-)			
Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission  11. Do you and the related person share any supervised persons?  12. Do you and the related person share the same physical location?  13. Legal Name of Related Person: FIDUCIARY TRUST COMPANY OF CANADA	10.			⊙	0
11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?  12. Do you and the <i>related person</i> share the same physical location?  13. Legal Name of <i>Related Person</i> :  14. FIDUCIARY TRUST COMPANY OF CANADA		(D)			
12. Do you and the <i>related person</i> share the same physical location?  1. Legal Name of <i>Related Person</i> : FIDUCIARY TRUST COMPANY OF CANADA			Hong Kong - Securities and Futures Commission		
Legal Name of <i>Related Person</i> :     FIDUCIARY TRUST COMPANY OF CANADA	11.	Do y	rou and the related person share any supervised persons?	0	•
FIDUCIARY TRUST COMPANY OF CANADA	12.	Do y	rou and the related person share the same physical location?	0	0
FIDUCIARY TRUST COMPANY OF CANADA					
FIDUCIARY TRUST COMPANY OF CANADA	1	Lena	al Name of Related Person:		
Primary Business Name of <i>Related Person</i> :	1.	-			
FIDUCIARY TRUST COMPANY OF CANADA	2.		·		

3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or			
	or Othe	r		
	•			
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1689636		
5.	Rela	ted Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	✓ trust company		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	_		Yes	No
6.	ро у	ou control or are you controlled by the related person?	0	$\odot$
7	Are v	you and the related person under common control?	_	_
	,		⊙	O
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds		
		or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	$\odot$
	(b)	If the answer is yes, under what exemption?		
10.		Is the related person registered with a foreign financial regulatory authority?	$\odot$	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority		
		Canada - Alberta Securities Commission		
		Canada - British Columbia Securities Commission		
		Canada - Manitoba Securities Commission		
		Canada - New Brunswick Securities Commission		
		Canada - Newfoundland and Labrador, Financial Services Regulation Division		-
		Canada - Nova Scotia Securities Commission		
		Canada - Nova Scotia Securities Commission  Canada - Ontario Securities Commission		
		Canada - Oriano Securities Commission  Canada - Prince Edward Island, Securities Office		
		Canada - Prince Edward Island, Securities Office  Canada - Quebec, Financial Markets Authority		
		Canada - Quebec, Financial Markets Authority  Canada - Saskatchewan Financial Services Commission		
		Canada - Yukon Territories, Registrar of Securities		
		Other - AUTORITÉ DES MARCHÉS FINANCIERS (AMF) [QUEBEC]		
		Other - CANADA - OFFICE OF THE SUPERINTENDENT OF FINANCIAL INSTITUTIONS CANADA (OSFI)		
		Other - NORTHWEST TERRITORIES SECURITIES REGISTRY (DEPARTMENT OF JUSTICE)		
		OUIGI - NONTHIWEST TERRITORIES SECONTILES REGISTRY (DEPARTIVIENT OF JUSTICE)		

11.	Do you and the related person share any supervised persons?	$\circ$	$\odot$
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : FRANKLIN TEMPLETON SEALAND FUND MANAGEMENT CO., LTD.		
2.	Primary Business Name of <i>Related Person</i> : FRANKLIN TEMPLETON SEALAND FUND MANAGEMENT CO., LTD.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's  (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
6.	Related Person is: (check all that apply)  (a)	Yes O	
7.	Are you and the related person under common control?	•	0
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?</li> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets:  Number and Street 1:  Number and Street 2:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:  If this address is a private residence, check this box:</li> </ul>	O O	0
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	•
10.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	0
	Name of Country/English Name of Foreign Financial Regulatory Authority  China, People's Republic of - China Securities Regulatory Commission		
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•

	Legal Name of <i>Related Person</i> : FRANKLIN TEMPLETON ASSET MANAGEMENT (MALAYSIA) SDN. BHD.		
)	Primary Business Name of <i>Related Person</i> : FRANKLIN TEMPLETON ASSET MANAGEMENT (MALAYSIA) SDN. BHD.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
	Related Person's  (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
i.	Related Person is: (check all that apply)  (a)   broker-dealer, municipal securities dealer, or government securities broker or dealer  (b)   other investment adviser (including financial planners)  (c)   registered municipal advisor  (d)   registered security-based swap dealer  (e)   major security-based swap participant  (f)   commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  (g)   futures commission merchant  banking or thrift institution  (i)   trust company  (j)   accountant or accounting firm  (k)   lawyer or law firm  (l)   insurance company or agency  pension consultant  (n)   pension consultant  real estate broker or dealer  (o)   sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	N
<b>i</b> .	Do you control or are you controlled by the related person?	0	
<b>'</b> .	Are you and the related person under common control?	•	C
٠.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	6
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:	Yes	N
).	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	
	(b) If the answer is yes, under what exemption?	~	
0.	<ul> <li>(a) Is the related person registered with a foreign financial regulatory authority?</li> <li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.</li> <li>Name of Country/English Name of Foreign Financial Regulatory Authority</li> </ul>	•	
,	Malaysia - Securities Commission		_
1.	Do you and the related person share any supervised persons?	0	0
2.	Do you and the <i>related person</i> share the same physical location?	0	0
	Legal Name of <i>Related Person</i> : WESTERN ASSET MANAGEMENT COMPANY PTE LTD		

2. Primary Business Name of Related Person:

	WES	STERN ASSET MANAGEMENT COMPANY PTE LTD		
3.	801	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 67298		
	or Othe	er		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(h)	142188 CIK Number(s) (if any):		
	(b)	CIK Number		
		1488804		
5.	Rela	ated Person is: (check all that apply)		
	` '	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)  registered municipal advisor		
	` '	registered multicipal advisor  registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	<ul> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> </ul>		
	(g) (h)	futures commission merchant  banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k) (l)	□ lawyer or law firm □ insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)		Yes	No
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are	you and the related person under common control?	•	0
				~
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?		$\odot$
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:		
		Number and Street 1: Number and Street 2:  City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
	(-)		Yes	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?  If the answer is yes, under what exemption?	0	•
	(b)	if the answer is yes, under what exemption:		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Singapore - Monetary Authority of Singapore		
11.	Do v	you and the related person share any supervised persons?		•
	- ,			٠
12.	Do y	you and the related person share the same physical location?	0	•
1.		al Name of <i>Related Person</i> : STERN ASSET MANAGEMENT COMPANY, LLC		
2.		nary Business Name of <i>Related Person</i> : STERN ASSET MANAGEMENT COMPANY		
	vv⊏S	OTERIA ACCET MANAGEMENT COM ANT		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		

	801 - 8162	
	or Other	
4.	4. Related Person's	
٦.	(a) CRD Number (if any):	
	110441	
	(b) CIK Number(s) (if any):  No Information Filed	
5	5. Related Person is: (check all that apply)	
5.	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer	
	(b) ✓ other investment adviser (including financial planners)	
	(c) registered municipal advisor	
	(d) registered security-based swap dealer	
	<ul> <li>(e) ☐ major security-based swap participant</li> <li>(f) ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> </ul>	
	<ul><li>(f) ✓ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li><li>(g) ☐ futures commission merchant</li></ul>	
	(h) □ banking or thrift institution	
	(i) ☐ trust company	
	(j) accountant or accounting firm	
	(k) lawyer or law firm	
	(I) ☐ insurance company or agency (m) ☐ pension consultant	
	(n) real estate broker or dealer	
	(o)  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	
6	6. Do you control or are you controlled by the related person?	Yes No
0.	o. Do you control of are you controlled by the related person!	0 @
7.	7. Are you and the related person under common control?	⊙ ○
8.	8. (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0.0
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presump	
	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise exami or securities that are maintained at the <i>related person</i> ?	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clie	nts' assets:
	Number and Street 1: Number and Street 2:	
	City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:	
		Yes No
9.	9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0 @
	(b) If the answer is yes, under what exemption?	
10	10. (a) Is the related person registered with a foreign financial regulatory authority?	
10.	<ul><li>(a) Is the related person registered with a foreign financial regulatory authority?</li><li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is r</li></ul>	C
	No Information Filed	egistered.
11.	11. Do you and the related person share any supervised persons?	0 0
12.	12. Do you and the <i>related person</i> share the same physical location?	0 @
1.	Legal Name of Related Person:     FRANKLIN TEMPLETON INVESTMENT MANAGEMENT LIMITED	
2.	2. Primary Business Name of Related Person:	
	FRANKLIN TEMPLETON INVESTMENT MANAGEMENT LIMITED	
3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	
	801 - 55170	
	Or Others	
	Other	
4.	4. Related Person's	
	(a) CRD Number (if any):	

	(h)	111360 CIV Number(a) (if anyl)		
	(b)	CIK Number(s) (if any):		
		CIK Number		
		1200138		
5.	Rela	ted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	☐ futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	☐ trust company		
		accountant or accounting firm		
	(k)	☐ lawyer or law firm		
		insurance company or agency		
	` '	pension consultant		
		real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	` '	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment venicles	V	. NI.
	_			No
6.	Do y	ou control or are you controlled by the related person?	$\circ$	⊙
7.	Are y	ou and the related person under common control?	$\odot$	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0	0
		or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	( )	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	. No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?	~	~
	(2)	in the drieffer to you, and or what oxomption.		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	0
	(b)			
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Hong Kong - Securities and Futures Commission		
		Ireland - Central Bank of Ireland		
		Other - ROMANIA/ROMANIAN NATIONAL SECURITIES COMMISSION		
		Other - SOUTH KOREA - FINANCIAL SERVICES COMMISSION / FINANCIAL SUPERVISORY SERVICE		
		United Kingdom - Financial Conduct Authority		
11.	Do y	ou and the related person share any supervised persons?	-	0
	·		•	
12	Do v	ou and the related person share the same physical location?	_	_
	<i>D</i> 0 y	and the rolated percentate the dame physical location.	O	•
1.	l eas	l Name of Related Person:		
	•	NKLIN TEMPLETON JAPAN CO., LTD		
2.	Prim	ary Business Name of <i>Related Person</i> :		
		NKLIN TEMPLETON JAPAN CO., LTD		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe	r		
4	Rela	ted Person's		

	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):  CIK Number		
		1316466		
		1010400		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	☐ trust company		
	(j)	accountant or accounting firm		
	(k)	☐ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	0	0
7.	Are	you and the related person under common control?	$\odot$	C
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	G
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	O	
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
		il tilis address is a private residence, check tilis box. I	Yes	N
9.	(a)	If the related person is an investment adviser, is it exempt from registration?		
٠.	` '		0	Ŀ
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	C
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Japan - Financial Services Agency		
11.	Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	Lega	al Name of <i>Related Person</i> :		
	FRA	NKLIN TEMPLETON GSC ASSET MANAGEMENT SDN. BHD.		
2.		nary Business Name of <i>Related Person</i> : NKLIN TEMPLETON GSC ASSET MANAGEMENT SDN. BHD.		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-	,		
	or			
	Othe	∍r		
,	<u> </u>	ated Demonts		
4.		CRD Number (if any):		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		

No Information Filed

5.	Related Person is: (check all that apply)		
	(a) D broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) $\square$ registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) amajor security-based swap participant		
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)  futures commission merchant		
	(h) anking or thrift institution		
	(i) Tust company		
	(j) accountant or accounting firm		
	(k) awyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	$\circ$	$\odot$
7.	Are you and the related person under common control?	$\odot$	O
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds	~	
	or securities that are maintained at the related person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: □		
_		Yes	
9.	(a) If the related person is an investment adviser, is it exempt from registration?	$\circ$	⊙
	(b) If the answer is yes, under what exemption?		
40			
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	•	0
10.	<ul><li>(a) Is the related person registered with a foreign financial regulatory authority?</li><li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.</li></ul>	•	0
10.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority	•	0
10.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	0
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority		
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA	0	
11.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA	0	•
11.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?	0	
11.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?	0	•
11.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?	0	•
11.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person:	0	•
11.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?	0	•
11. 12.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person:  LEGG MASON INVESTMENTS (TAIWAN) LIMITED	0	•
11. 12.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person:  LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person:	0	•
11. 12.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person:  LEGG MASON INVESTMENTS (TAIWAN) LIMITED	0	•
11. 12. 1.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person:  LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person:  LEGG MASON INVESTMENTS (TAIWAN) LIMITED	0	•
11. 12. 1.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person:  LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person:	0	•
11. 12.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	•
11. 12. 1.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or	0	•
11. 12.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	•
11. 12. 1. 2.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other	0	•
11. 12. 1. 2.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other	0	•
11. 12. 1. 2.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other	0	•
11. 12. 1. 2.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other  Related Person's  (a) CRD Number (if any):	0	•
11. 12. 1. 2.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other	0	•
11. 12. 1. 2.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other  Related Person's  (a) CRD Number (if any):	0	•
11. 12. 1. 2.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other  Related Person's  (a) CRD Number (if any):	0	•
11. 12. 1. 3.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES COMMISSION MALAYSIA AND FEDERATION OF INVESTMENT MANAGERS MALAYSIA  Do you and the related person share any supervised persons?  Do you and the related person share the same physical location?  Legal Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Primary Business Name of Related Person: LEGG MASON INVESTMENTS (TAIWAN) LIMITED  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other  Related Person's  (a) CRD Number (if any):	0	•

	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	☐ trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	$\circ$	$\odot$
7.	Are y	ou and the related person under common control?	$\odot$	$\circ$
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	$\circ$	$\odot$
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds		
		or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	V	NI-
9.	(2)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
Э.			0	⊙
	(b)	If the answer is yes, under what exemption?		
10	(2)	Is the related person registered with a foreign financial regulatory authority?	_	_
10.			⊙	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Other - SECURITIES AND FUTURES BUREAU		
	_			
11.	. Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	⊙
12.	. Do y	ou and the <i>related person</i> share the same physical location?	$\circ$	$\odot$
1	Logo	Name of Related Person:		
١.	_	CE & ASSOCIATES, LP		
	1.01			
2.	Prim	ary Business Name of Related Person:		
		CE INVESTMENT PARTNERS		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 -	- 8268		
	or			
	Othe	r		
4	Dala	ted Develope		
4.		ted Person's		
		CRD Number (if any): 107689		
		CIK Number(s) (if any):		
	(6)	CIK Number		
		906304		
5.				
-	Rela	ted Person is: (check all that apply)		
	Rela (a)	ted Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(a)	ted Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		

	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	Trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	,		Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	•
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not		_
	(~)	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0	0
		or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: $\Box$		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	⊙
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	` ,	No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	0	•
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
		ou and the <i>related person</i> share any <i>supervised persons</i> ?  ou and the <i>related person</i> share the same physical location?		
			0	
12.	Do y	rou and the <i>related person</i> share the same physical location?		
12.	Do y	ou and the <i>related person</i> share the same physical location?  al Name of <i>Related Person</i> :		
12.	Do y	rou and the <i>related person</i> share the same physical location?		
12.	Do y	ou and the <i>related person</i> share the same physical location?  Al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC		
12.	Do y	ou and the <i>related person</i> share the same physical location?  al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  hary Business Name of <i>Related Person</i> :		
12.	Do y	ou and the <i>related person</i> share the same physical location?  Al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC		
12.	Lega FINA Prim	al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  ANCIAL GUARD, LLC  ANCIAL GUARD, LLC		
12.	Lega FINA Prim FINA	ou and the <i>related person</i> share the same physical location?  al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  hary Business Name of <i>Related Person</i> :		
12.	Lega FINA Prim FINA	ou and the <i>related person</i> share the same physical location?  Al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  Harry Business Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  Anter Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
12.	Do y  Lega FINA  Prim FINA  Rela 801	al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  MAYOR Business Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  MAYOR GUAR		
12.	Lega FINA Prim FINA Rela 801 or	al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  MAYOR Business Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  MAYOR GUAR		
12.	Do y  Lega FINA  Prim FINA  Rela 801  or Other	al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  MAYOR Business Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  MAYOR GUAR		
1. 2. 3.	Lega FINA Prim FINA Rela 801 or Other	al Name of <i>Related Person</i> :  ANCIAL GUARD, LLC  Pary Business Name of <i>Related Person</i> :  ANCIAL GUARD, LLC		
1. 2. 3.	Lega FINA Prim FINA Rela 801 or Other (a)	ou and the related person share the same physical location?  al Name of Related Person: NCIAL GUARD, LLC  hary Business Name of Related Person: NCIAL GUARD, LLC  ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  er  ted Person's  CRD Number (if any): 156059		
1. 2. 3.	Lega FINA Prim FINA Rela 801 or Other (a)	ou and the related person share the same physical location?  al Name of Related Person: NCIAL GUARD, LLC  hary Business Name of Related Person: NCIAL GUARD, LLC  ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  er  ted Person's  CRD Number (if any): 156059  CIK Number(s) (if any):		
1. 2. 3.	Lega FINA Prim FINA Rela 801 or Other (a)	ou and the related person share the same physical location?  al Name of Related Person: NCIAL GUARD, LLC  hary Business Name of Related Person: NCIAL GUARD, LLC  ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  er  ted Person's  CRD Number (if any): 156059		
1. 2. 3.	Lega FINA Prim FINA Rela 801 or Other (a)	ou and the related person share the same physical location?  al Name of Related Person: NCIAL GUARD, LLC  hary Business Name of Related Person: NCIAL GUARD, LLC  ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  er  ted Person's  CRD Number (if any): 156059  CIK Number(s) (if any):		
1. 2. 3. 4.	Do y  Lega FINA  Prim FINA  Rela 801  or Other  (a)  (b)	ou and the related person share the same physical location?  al Name of Related Person: NCIAL GUARD, LLC  any Business Name of Related Person: NCIAL GUARD, LLC  and Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  or  ated Person's CRD Number (if any): 156059 CIK Number(s) (if any):  No Information Filed		
1. 2. 3. 4.	Do y  Lega FINA  Prim FINA  Rela 801  or Other  (a)  (b)	al Name of Related Person:  INCIAL GUARD, LLC  Itary Business Name of Related Person:  INCIAL GUARD, LLC  Itary Business Name of Related Person:  INCIAL GUARD, LLC  Itary Business Name of Related Person:  INCIAL GUARD, LLC  Itary Business Name of Related Person:  INCIAL GUARD, LLC  Itary Business Name of Related Person:  INCIAL GUARD, LLC  Itary Business Name of Related Person:  INCIAL GUARD, LLC  INCIAL GUAR		
1. 2. 3. 4.	Do y  Lega FINA  Prim FINA  Rela 801  or Other  (a)  (b)	al Name of Related Person:  INCIAL GUARD, LLC  Inter Person's SEC File Number (if any):  Inter Person's  CRD Number (if any):  156059  CIK Number(s) (if any):  No Information Filed  Inter Person is: (check all that apply)		
1. 2. 3. 4.	Do y  Lega FINA  Prim FINA  Rela 801  or Other  (a) (b)	al Name of Related Person:  NCIAL GUARD, LLC  ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  -78197  of  ted Person's  CRD Number (if any):  156059  CIK Number(s) (if any):  No Information Filed  ted Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)		
1. 2. 3. 4.	Do y  Lega FINA  Rela 801 or Other (a) (b)  Rela (a) (b) (c)	al Name of Related Person: NCIAL GUARD, LLC  tary Business Name of Related Person: NCIAL GUARD, LLC  tary Business Name of Related Person: NCIAL GUARD, LLC  tarted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  or  tarted Person's  CRD Number (if any): 156059  CIK Number(s) (if any): No Information Filed  other investment adviser (including financial planners) registered municipal advisor		
1. 2. 3. 4.	Do y  Lega FINA  Rela 801 or Other (a) (b)  Rela (a) (b) (c)	ou and the related person share the same physical location?  al Name of Related Person: NCIAL GUARD, LLC  lary Business Name of Related Person: NCIAL GUARD, LLC  ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  et  ted Person's CRD Number (if any): 156059 CIK Number(s) (if any): No Information Filed		
1. 2. 3. 4.	Do y  Lega FINA  Prim FINA  Rela (a) (b)  Rela (a) (b) (c) (d)	al Name of Related Person: NCIAL GUARD, LLC  tary Business Name of Related Person: NCIAL GUARD, LLC  tary Business Name of Related Person: NCIAL GUARD, LLC  tarted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  or  tarted Person's  CRD Number (if any): 156059  CIK Number(s) (if any): No Information Filed  other investment adviser (including financial planners) registered municipal advisor		
1. 2. 3. 4.	Do y  Lega FINA  Rela 801 or Other (a) (b)  Rela (a) (b) (c) (d) (e)	al Name of Related Person:  INCIAL GUARD, LLC  Interpretation of the Number (if any) (e.g., 801-, 8-, 866-, 802-)  Interpretation of the Number (if any):  Interpretation of N		
1. 2. 3. 4.	Do y  Lega FINA Rela 801 or Other (a) (b)  Rela (a) (b) (c) (d) (e) (f)	al Name of Related Person: INCIAL GUARD, LLC Inter Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -78197  Inter Person's SEC File Number (if any):  Inter Person's  CRD Number (if any):  Inter Person is: (check all that apply)  Inter Development of the properties dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered municipal advisor  registered security-based swap dealer  major security-based swap participant  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
1. 2. 3. 4.	Do y  Lega FINA Rela 801 or Other (a) (b)  Rela (a) (b) (c) (d) (e) (f) (g)	al Name of Related Person: INCIAL GUARD, LLC Interest Person: INCIAL GUARD, LLC Interest Person: Interest Pe		

	(k) awyer or law firm		
	(I) insurance company or agency		
	(m) pension consultant  (n) real estate broker or dealer		
	<ul> <li>(n)  real estate broker or dealer</li> <li>(o)  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> </ul>		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	⊙
7.	Are you and the related person under common control?	•	0
_			
	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	$\circ$	⊙
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?		No
	(b) If the answer is yes, under what exemption?	0	⊙
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	$\odot$
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  No Information Filed		
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•
_		_	_
	Legal Name of <i>Related Person</i> : LEGG MASON ASSET MANAGEMENT AUSTRALIA LIMITED		
2	Drimary Business Name of Polated Paragra		
	Primary Business Name of <i>Related Person</i> :  LEGG MASON ASSET MANAGEMENT AUSTRALIA LIMITED		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	Or		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	<ul> <li>(b) ✓ other investment adviser (including financial planners)</li> <li>(c) ✓ registered municipal advisor</li> </ul>		
	(d) registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) banking or thrift institution		
	(i) accounting firm		
	<ul> <li>(j) accountant or accounting firm</li> <li>(k) lawyer or law firm</li> </ul>		
	(I) insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		

6.	Do you control or are you controlled by the related person?		s No ⊙
7.	Are you and the related person under common control?	•	0
8.	<ul> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' fund or securities that are maintained at the <i>related person</i>?</li> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets: Number and Street 1: Number and Street 2:</li></ul>	o s	<b>⊙</b> ○
	City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box: □		
9.	<ul><li>(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?</li><li>(b) If the answer is yes, under what exemption?</li></ul>	Yes	s No ⊙
10.	(a) Is the related person registered with a foreign financial regulatory authority?  (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority  Australia - Australian Securities and Investments Commission  Other - AUSTRALIAN PRUDENTIAL REGULATION AUTHORITY (APRA)	•	0
11.	. Do you and the related person share any supervised persons?	0	•
12.	2. Do you and the <i>related person</i> share the same physical location?	0	•
	Legal Name of <i>Related Person</i> : WESTERN ASSET MANAGEMENT COMPANY PTY LTD  Primary Business Name of <i>Related Person</i> : WESTERN ASSET MANAGEMENT COMPANY PTY LTD		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other		
4.	Related Person's  (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
5.	Related Person is: (check all that apply)  (a)	Yes	s No
6.	Do you control or are you controlled by the related person?	0	•

7.	Are	vou and the related person under common control?	$\odot$	$\circ$	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•	
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0		
	(c)	or securities that are maintained at the <i>related person</i> ?  If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:			
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:			
		If this address is a private residence, check this box:			
			Yes	s No	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	⊙	
	(b)	If the answer is yes, under what exemption?			
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	•	0	
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.			
		Name of Country/English Name of Foreign Financial Regulatory Authority			
	_	Australia - Australian Securities and Investments Commission			
11	. Do y	ou and the related person share any supervised persons?	0	⊙	
12	. Do y	ou and the related person share the same physical location?	0	•	
1.	_	al Name of <i>Related Person</i> : G MASON PRIVATE PORTFOLIO GROUP, LLC			
	Drim	any Business Name of Related Barrow			
Z.		ary Business Name of <i>Related Person</i> : G MASON PRIVATE PORTFOLIO GROUP, LLC			
3.		<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 67411			
	or	-07411			
	Othe	r			
4.	Polo	ted Person's			
4.		CRD Number (if any):			
	, ,	142219			
	(b)	CIK Number(s) (if any):			
		<b>CIK Number</b> 1409108			
5.		ted Person is: (check all that apply)			
	(a) (b)	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>☑ other investment adviser (including financial planners)</li> </ul>			
	( )	registered municipal advisor			
	(d)	registered security-based swap dealer			
	(-)	major security-based swap participant			
	(f) (g)	<ul> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> </ul>			
		banking or thrift institution			
	(i)	□ trust company			
	(j)	accountant or accounting firm			
	(k) (l)	<ul> <li>□ lawyer or law firm</li> <li>□ insurance company or agency</li> </ul>			
	(i) (m)				
	(n)	real estate broker or dealer			
	( - )	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Υρς	s No	
6.	Do y	ou control or are you controlled by the related person?	0		
	,			~	
7.	Are	ou and the related person under common control?	•	0	
	/=\	Describe related person set on a qualified quotedier for your eliente in competition with a triangular transport of the contraction of the contraction with a triangular transport of the contraction of th			
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	⊙	

	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:				
	(0)	Number and Street 1: Number and Street 2:				
		City: State: Country: ZIP+4/Postal Code:				
		If this address is a private residence, check this box:				
			Yes	No		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		•		
			0	٠		
	(b)	If the answer is yes, under what exemption?				
40	(-)	In the molecule of a consequence of with a familiar financial manufacture and basis of				
10.	. (a)	Is the related person registered with a foreign financial regulatory authority?	$\circ$	⊙		
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.				
		No Information Filed				
11.	. Do y	you and the related person share any supervised persons?	$\circ$	$\odot$		
12.	. Do y	you and the related person share the same physical location?	0	•		
1.	Lega	al Name of Related Person:				
	FRA	NKLIN BSP LENDING ADVISER, L.L.C.				
2.	Prim	nary Business Name of Related Person:				
		NKLIN BSP LENDING ADVISER, L.L.C.				
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
-		- 72113				
	or					
	Othe	er E				
4.	Rela	ated Person's				
(a) CRD Number (if any): 155644						
	155644 (b) CIK Number(s) (if any):					
	(b) CIK Number(s) (if any):  CIK Number					
		1510456				
5.	Pols	ated Person is: (check all that apply)				
J.		broker-dealer, municipal securities dealer, or government securities broker or dealer				
	. ,	other investment adviser (including financial planners)				
	(b)					
	(c)					
	(1)	registered municipal advisor				
	(d)	registered municipal advisor registered security-based swap dealer				
	(d) (e)	registered municipal advisor registered security-based swap dealer major security-based swap participant				
		registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration)				
	(e)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant				
	(e) (f)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration)				
	(e) (f) (g)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant				
	(e) (f) (g)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution				
	(e) (f) (g)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company				
	(e) (f) (g) (h) (i) (j)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm				
	(e) (f) (g) (h) (i) (j)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm				
	(e) (f) (g) (h) (i) (j) (k) (l)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency				
	(e) (f) (g) (h) (i) (j) (k) (l) (m)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer				
	(e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles				
	(e) (f) (g) (h) (i) (j) (k) (l) (m) (n)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer	Yes	No		
6	(e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) (p)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	_		
6.	(e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) (p)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	_	20 ⊙		
	(e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	_	_		
	(e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	0	_		
	(e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	0	•		
	(e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	<ul><li>○</li></ul>	•		
7.	(e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)  Are	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles you control or are you controlled by the related person?	0	· ·		
7.	(e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)  Are :	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles  you control or are you controlled by the related person?  you and the related person under common control?  Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	0		
7.	(e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)  Are :	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles  you control or are you controlled by the related person?  Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	· ·		
7.	(e) (f) (g) (h) (i) (j) (k) (l) (n) (o) (p)  Are :	registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) tutures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles  ou control or are you controlled by the related person?  Vigu and the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds	0	· ·		

		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	.,	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
J.			0	⊙
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	` ,	No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	0	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	$\odot$
1.	Lega	al Name of Related Person:		
•	•	NKLIN TEMPLETON ASSET MANAGEMENT (INDIA) PRIVATE LIMITED		
2.		ary Business Name of Related Person:		
	FRAI	NKLIN TEMPLETON ASSET MANAGEMENT (INDIA) PRIVATE LIMITED		
3.	Rola	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
J.		- 68606		
	or			
	Othe	r		
4.		ted Person's		
		CRD Number (if any): 145421		
		CIK Number(s) (if any):		
		CIK Number		
		1666807		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	ou control or are you controlled by the related person?		•
7.	Are y	you and the related person under common control?	•	0
ρ	(2)	Does the related person act as a qualified custodian for your clients is connection with advisory consider you provide to clients?	_	
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?		•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Vaa	NI-
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
٥.		If the answer is yes, under what exemption?	0	•
	(NI	II NIO GIOTIO IO 1001 GIIGOI TIIGI ONOIIDIIOITI		

10.	(a) (b)	Is the related person registered with a foreign financial regulatory authority?  If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority	•	0
		India - Securities and Exchange Board of India		
11.	Do y	ou and the related person share any supervised persons?	$\circ$	⊙
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : IEFIT STREET PARTNERS L.L.C.		
2.		nary Business Name of <i>Related Person</i> : IEFIT STREET PARTNERS L.L.C.		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 72843		
	Othe	er		
4.	(a)	ated Person's  CRD Number (if any): 158672  CIK Number(s) (if any):  CIK Number  1543160		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o)	arted Person is: (check all that apply)  □ broker-dealer, municipal securities dealer, or government securities broker or dealer  □ other investment adviser (including financial planners)  □ registered municipal advisor  □ registered security-based swap dealer  □ major security-based swap participant  □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  □ futures commission merchant  □ banking or thrift institution  □ trust company  □ accountant or accounting firm  □ lawyer or law firm  □ insurance company or agency  □ pension consultant  □ real estate broker or dealer  □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles  □ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	you control or are you controlled by the related person?	Yes	No ©
	,		v	•
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		<ul><li>⊙</li><li>○</li></ul>
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  City:  State:  Country:  ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	Nο
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		•
	(b)	If the answer is yes, under what exemption?		
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?  If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.	0	•

	No Information Filed		
11	11. Do you and the related person share any supervised persons?	0	•
12	12. Do you and the <i>related person</i> share the same physical location?	0	•
	<ol> <li>Legal Name of <i>Related Person</i>:         FRANKLIN TEMPLETON ASSET MANAGEMENT MEXICO, S.A. DE C.V., SOCIEDAD OPERADORA DE FONDOS DE INVERSION</li> <li>Primary Business Name of <i>Related Person</i>:         FRANKLIN TEMPLETON ASSET MANAGEMENT MEXICO, S.A. DE C.V., SOCIEDAD OPERADORA DE FONDOS DE INVERSION</li> </ol>		
3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  or Other		
4.	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
5.	5. Related Person is: (check all that apply)  (a)	Yes	No
6.	6. Do you control or are you controlled by the related person?	0	•
7.	7. Are you and the related person under common control?	•	0
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' fur or securities that are maintained at the <i>related person</i>?</li> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets:  Number and Street 1:  Number and Street 2:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:  If this address is a private residence, check this box:</li> </ul>		© C
9.	<ul><li>(a) If the related person is an investment adviser, is it exempt from registration?</li><li>(b) If the answer is yes, under what exemption?</li></ul>		©
	<ul> <li>(a) Is the related person registered with a foreign financial regulatory authority?</li> <li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.</li> <li>Name of Country/English Name of Foreign Financial Regulatory Authority</li> <li>Other - NATIONAL BANKING AND SECURITIES COMMISSION (CNBV)</li> </ul>	•	0
11	11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12	12. Do you and the <i>related person</i> share the same physical location?	0	•

1.	_	al Name of <i>Related Person</i> : NKLIN TEMPLETON INVESTMENTS (ASIA) LIMITED		
2.		nary Business Name of <i>Related Person</i> :  NKLIN TEMPLETON INVESTMENTS (ASIA) LIMITED		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 60477		
	or Othe			
4.	Rela	ated Person's		
		CRD Number (if any):		
		114898 CIK Number(s) (if any):		
	(6)	CIK Number		
		1200139		
5.	(a) (b) (c) (d)	broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered security-based swap dealer  major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j) (k)	accountant or accounting firm lawyer or law firm		
	(K) (I)	insurance company or agency		
		pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	•
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	Nο
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	
		If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority  Hong Kong - Securities and Futures Commission		
		Other - THE MANDATORY PROVIDENT FUND SCHEMES AUTHORITY		
11	Dov	rou and the related person share any supervised persons?	_	_
11.	<i>⊔</i> ∪ у	ου από της τοιαίου μοτούπ οπαίο απή σύμοι νίσου μοτούπο:	0	•
12.	Do y	rou and the <i>related person</i> share the same physical location?	0	•

۱.	_	al Name of <i>Related Person</i> : PLETON INVESTMENT COUNSEL, LLC							
2.	Prim	ary Business Name of <i>Related Person</i> :							
	TEMPLETON INVESTMENT COUNSEL, LLC  Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)								
3.									
		- 15125							
	or Othe	or and the state of the state o							
1.	Rela	ated Person's							
		CRD Number (if any):							
		111370 CIK Number(s) (if any):							
		CIK Number							
		315282							
5.	Rela	nted Person is: (check all that apply)							
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer							
		other investment adviser (including financial planners)							
	` '	registered municipal advisor							
	(d) (e)	registered security-based swap dealer major security-based swap participant							
	` '	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)							
	(g)	futures commission merchant							
	(h)	banking or thrift institution							
	(i)	trust company							
	(j) (k)	accountant or accounting firm  lawyer or law firm							
	(N) (I)	insurance company or agency							
	(m)								
	(n)	real estate broker or dealer							
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles							
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No					
6.	Do y	ou control or are you controlled by the related person?		•					
7.	Are y	you and the related person under common control?	•	0					
3.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•					
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not		0					
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	~	~					
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:							
		Number and Street 1: Number and Street 2:  City: State: Country: ZIP+4/Postal Code:							
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:							
			Yes	No					
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	$\circ$	$\odot$					
	(b)	If the answer is yes, under what exemption?							
10.		Is the related person registered with a foreign financial regulatory authority?	•	0					
		If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.							
		Name of Country/English Name of Foreign Financial Regulatory Authority  China, People's Republic of - China Securities Regulatory Commission							
		Hong Kong - Securities and Futures Commission							
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	•	0					
	_		_						
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•					
				_					
١.	Lega	al Name of Related Person:							
	K2 A	DVISORS, L.L.C.							

2.	Primary Business Name of <i>Related Person</i> : K2 ADVISORS, L.L.C.						
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 110100					
	Othe						
4.		ated Person's  CRD Number (if any):					
		284891					
	(b)	CIK Number(s) (if any):					
		CIK Number					
		1303092					
5.	Rela	ated Person is: (check all that apply)					
		<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>☑ other investment adviser (including financial planners)</li> </ul>					
		<ul> <li>other investment adviser (including financial planners)</li> <li>registered municipal advisor</li> </ul>					
		registered security-based swap dealer					
	(e)	major security-based swap participant					
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)					
	(3)	futures commission merchant  banking or thrift institution					
	(h) (i)	trust company					
	(j)	accountant or accounting firm					
	(k)	lawyer or law firm					
	(I)	insurance company or agency					
	(m) (n)	pension consultant real estate broker or dealer					
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles					
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles					
6.	Do y	rou control or are you controlled by the related person?	Yes O				
7.	Are y	you and the related person under common control?	•	0			
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•			
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0				
		If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:					
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:					
		If this address is a private residence, check this box:					
			Yes	No			
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration?	⊙	О			
		If the answer is yes, under what exemption?  GP OF PRIVATE FUNDS NO ACTION GUIDANCE					
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•			
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.  No Information Filed	~	~			
11.	Do y	ou and the related person share any supervised persons?	0	•			
12.	Do y	you and the related person share the same physical location?	0	•			
1.	-	al Name of <i>Related Person</i> : NKLIN BSP CAPITAL ADVISER LLC					
2.		nary Business Name of <i>Related Person</i> : NKLIN BSP CAPITAL ADVISER LLC					

3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 119619		
	or .		
	Other		
4.	Related Person's		
	a) CRD Number (if any):		
	307684		
	b) CIK Number(s) (if any):  No Information Filed		
	No information riled		
5.	Related Person is: (check all that apply)		
	<ul> <li>a)</li></ul>		
	b)		
	d)  registered security-based swap dealer		
	e) major security-based swap participant		
	f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	g)		
	i)   trust company		
	j)  accountant or accounting firm		
	k) awyer or law firm		
	insurance company or agency		
	m)		
	o)  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	p) 🗖 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes	
0.	to you control of are you controlled by the related person:	0	•
7.	Are you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are n operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clie	•	0
	or securities that are maintained at the related person?	illo lulius	
	c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
		Yes	No
9.	a) If the related person is an investment adviser, is it exempt from registration?	0	•
	b) If the answer is yes, under what exemption?		
10	a) In the valeted person registered with a fereign financial regulatory outhority?		
10.	<ul> <li>a) Is the related person registered with a foreign financial regulatory authority?</li> <li>b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.</li> </ul>	0	•
	No Information Filed		
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : DARBY ASIA INVESTORS (HK), LTD		
	ANDI ASIA INVESTORS (TIK), ETD		
2.	Primary Business Name of Related Person:		
	DARBY ASIA INVESTORS (HK), LTD		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
٠.			
	Or Cabor		
	Other		
4	Related Person's		

	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):  No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (i) (k) (l) (m) (o)	▼ other investment adviser (including financial planners)         □ registered municipal advisor         □ registered security-based swap dealer         □ major security-based swap participant         □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         □ tutures commission merchant         □ banking or thrift institution         □ trust company         □ accountant or accounting firm         □ lawyer or law firm         □ insurance company or agency         □ pension consultant         □ real estate broker or dealer         sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	: N
6.	Do y	you control or are you controlled by the related person?	0	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	⊙	
_				
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	N
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	•	(
	(b)	If the answer is yes, under what exemption? PARTICIPATING AFFILIATE EXEMPTION		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	G
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	~	_
		No Information Filed		
11.	Do y	you and the related person share any supervised persons?	0	0
12.	Do y	you and the <i>related person</i> share the same physical location?	0	6
1.	-	al Name of <i>Related Person</i> : RBY ASIA INVESTORS, LTD		
2.		nary Business Name of <i>Related Person</i> : RBY ASIA INVESTORS, LTD		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ated Person's  CRD Number (if any):		
	(∽)			
	(b)	CIK Number(s) (if any):  No Information Filed		

5.	Rela	ated	Person is: (check all that apply)		
	(a)		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	V	other investment adviser (including financial planners)		
	(c)		registered municipal advisor		
	(d)		registered security-based swap dealer		
	(e)		major security-based swap participant		
	(f)		commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)		futures commission merchant		
	(h)		banking or thrift institution		
	(i)		trust company		
	(j)		accountant or accounting firm		
	(k)		lawyer or law firm		
	(I)		insurance company or agency		
	(m)		pension consultant		
	(n)		real estate broker or dealer		
	(o)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)		sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)			Yes	No
6	Do v	/OU C	control or are you controlled by the related person?		
0.	<i>D</i> 0 y	you o	ionator of the you controlled by the related person:	0	•
7	۸				
1.	Are	you a	and the related person under common control?	$\odot$	0
8.	(a)	Doe	es the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	$\circ$	$\odot$
	(b)	If yo	ou are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		ope	erationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds		
		or s	securities that are maintained at the related person?		
	(c)	If yo	ou have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Nu	mber and Street 1: Number and Street 2:		
		City	· ·		
		If th	nis address is a private residence, check this box:		
				Yes	No
9.	(a)	If th	e related person is an investment adviser, is it exempt from registration?	$\odot$	0
	(b)	If th	e answer is yes, under what exemption?		
		PAF	RTICIPATING AFFILIATE EXEMPTION		
10.	(a)	ls th	ne related person registered with a foreign financial regulatory authority?	0	0
	(b)	If th	e answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	(~)		No Information Filed		
11	Dον	vou a	and the related person share any supervised persons?	_	_
• • •	Б0 ,	you o	and the related percent chare any capervised percente.	0	•
10	Dox		and the valeted never the same physical leastion?		
12.	D0 )	you a	and the <i>related person</i> share the same physical location?	$\circ$	⊙
		- I N I -	and a Deleted Demons		
1.	-		ame of <i>Related Person</i> : IN TEMPLETON SERVICIOS DE ASESORIA MEXICO, S. DE R.L. DE C. V.		
	FKA	NINKL	IN TEMPLETON SERVICIOS DE ASESORIA MEXICO, S. DE R.L. DE C. V.		
2	Duim		Dusings Name of Delated Dayson.		
2.		-	Business Name of <i>Related Person</i> :		
	FKA	MININL	IN TEMPLETON SERVICIOS DE ASESORIA MEXICO, S. DE R.L. DE C. V.		
2	Dala	-41	Developing CEC File Number (if any) (a.g., 204, 12, 200)		
პ.	Reia	atea	Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-				
	or Othe	or			
	Otric	<b>5</b> 1			
1	Dolo	atad	Person's		
4.					
	(a)	CR	D Number (if any):		
	/h \	CII	Number(a) (if any)		
	(b)	CIK	Number(s) (if any):  No Information Filed		
			NO IIIIOIIIIAUOII FIIEU		
_	D- '	04- 1	Developing (about all that apply)		
5.		ated	Person is: (check all that apply)		
	(a)	  G2	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	~	other investment adviser (including financial planners)		
	(c)		registered municipal advisor		

	(d) registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)  futures commission merchant		
	(h) banking or thrift institution		
	(i)		
	(j) accountant or accounting firm		
	(k) awyer or law firm		
	(I) insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	$\odot$
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	Ö	
	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0	0
	or securities that are maintained at the <i>related person</i> ?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: $\Box$		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	$\odot$
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	•	0
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Other - NATIONAL BANKING AND SECURITIES COMMISSION (CNBV)		
11.	Do you and the related person share any supervised persons?	0	•
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of Related Person:  CLARION PARTNERS EUROPE LIMITED		
	CLARION FARTNERS EUROPE LIMITED		
2	Primary Business Name of Related Person:		
۷.	CLARION PARTNERS EUROPE LIMITED		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	•		
	00		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(h) CIV Number(e) (if env):		
	(b) CIK Number(s) (if any):  No Information Filed		
	(b) CIK Number(s) (if any):  No Information Filed		
5.	No Information Filed		
5.	No Information Filed  Related Person is: (check all that apply)		
5.	No Information Filed  **Related Person* is: (check all that apply)  (a)   broker-dealer, municipal securities dealer, or government securities broker or dealer		
5.	No Information Filed  **Related Person* is: (check all that apply)  (a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
5.	No Information Filed  Related Person is: (check all that apply)  (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer  (b) ☑ other investment adviser (including financial planners)  (c) □ registered municipal advisor		
5.	No Information Filed  Related Person is: (check all that apply)  (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer  (b) ☑ other investment adviser (including financial planners)  (c) □ registered municipal advisor  (d) □ registered security-based swap dealer		
5.	Related Person is: (check all that apply)  (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer  (b) ☑ other investment adviser (including financial planners)  (c) □ registered municipal advisor  (d) □ registered security-based swap dealer		
5.	No Information Filed  Related Person is: (check all that apply)  (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer  (b) ▼ other investment adviser (including financial planners)  (c) □ registered municipal advisor  (d) □ registered security-based swap dealer  (e) □ major security-based swap participant		

	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	V N	
6	Dox	you control or are you controlled by the related person?	Yes N	
6.	ַ טַּט	you control of are you controlled by the related person?	0 0	9
7	۸ra	you and the related person under common control?		
7.	AIE	you and the related person under common controls	0 0	9
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?		
0.	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0 0	
	(D)	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0 0	)
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes N	lo
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	© (	)
	(b)	If the answer is yes, under what exemption?		
		FOREIGN PRIVATE ADVISER		
10	(a)	Is the related person registered with a foreign financial regulatory authority?		
10.			0 0	)
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Financial Regulatory Authority		
		Jersey - Jersey Financial Services Commission		
11	Do	you and the related person share any supervised persons?		_
' '.	D0 ;	you and the related person share any supervised persons:	0 0	•)
12	Do	you and the <i>related person</i> share the same physical location?		
		you and the relation person of the dame projected resultern.	0 0	•)
1.		pal Name of Related Person:		
	B2F	P CLO MANAGEMENT LLC		
2.	Prin	mary Business Name of <i>Related Person</i> :		
		P CLO MANAGEMENT LLC		
3.		lated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 117734		
	or Oth			
	Oth	er e		
4.	Roll	lated Person's		
<b>→.</b>	(a)	CRD Number (if any):		
	(α)	304962		
	(b)			
	` ,	No Information Filed		
5.		lated Person is: (check all that apply)		
5.	(a)	Droker-dealer, municipal securities dealer, or government securities broker or dealer		
5.	(a) (b)	□ DIOKEI-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)		
5.	(a) (b) (c)	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>☑ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> </ul>		
5.	(a) (b) (c) (d)	<ul> <li>□ DIOKEI-GEAIEI, MUNICIPAL SECURIUS GEAIEI, OF GOVERNMENT SECURIUS DIOKEI OF GEAIEI</li> <li>□ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> <li>□ registered security-based swap dealer</li> </ul>		
5.	(a) (b) (c) (d) (e)	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>☑ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> <li>□ registered security-based swap dealer</li> <li>□ major security-based swap participant</li> </ul>		
5.	(a) (b) (c) (d) (e) (f)	□ broker-dealer, municipal securities dealer, or government securities broker or dealer  other investment adviser (including financial planners)  registered municipal advisor  registered security-based swap dealer  major security-based swap participant		
5.	(a) (b) (c) (d) (e)	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>▼ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> <li>□ registered security-based swap dealer</li> <li>□ major security-based swap participant</li> <li>□ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> </ul>		
5.	(a) (b) (c) (d) (e) (f) (g)	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>□ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> <li>□ registered security-based swap dealer</li> <li>□ major security-based swap participant</li> <li>□ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>□ futures commission merchant</li> </ul>		
5.	(a) (b) (c) (d) (e) (f) (g)	<ul> <li>□ broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>□ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> <li>□ registered security-based swap dealer</li> <li>□ major security-based swap participant</li> <li>□ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>□ futures commission merchant</li> <li>□ banking or thrift institution</li> </ul>		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i)	□ broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company		

		(m) pension consultant (n) real estate broker or dealer		
		(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	6.	Do you control or are you controlled by the related person?	Yes	
	0.	bo you control of are you controlled by the related person:	0	⊙
	7.	Are you and the related person under common control?	•	0
	8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
		(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
	9.	(a) If the related person is an investment adviser, is it exempt from registration?	$\circ$	$\odot$
		(b) If the answer is yes, under what exemption?		
	10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
		(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		٠
		No Information Filed		
	11.	Do you and the related person share any supervised persons?	$\circ$	$\odot$
	12	Do you and the <i>related person</i> share the same physical location?	_	_
	12.	bo you and the related person share the same physical location:	0	⊙
	1	Legal Name of Related Person:		
	1.	DARBY OVERSEAS PARTNERS, L.P.		
	2.	Primary Business Name of <i>Related Person</i> :  DARBY OVERSEAS PARTNERS, L.P.		
		DARDI OVERGEAS FARTNERS, E.F.		
	3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		801 - 73239		
		or Other		
	4.	Related Person's		
		(a) CRD Number (if any): 160799		
		(b) CIK Number(s) (if any):		
		No Information Filed		
	5.	Related Person is: (check all that apply)		
		(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
		(b) other investment adviser (including financial planners)		
		<ul> <li>(c) registered municipal advisor</li> <li>(d) registered security-based swap dealer</li> </ul>		
		(e) major security-based swap participant		
		(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		(g) futures commission merchant		
		<ul> <li>(h) □ banking or thrift institution</li> <li>(i) □ trust company</li> </ul>		
		(j) accountant or accounting firm		
		(k) awyer or law firm		
		(I) insurance company or agency (m) pension consultant		
		(m) pension consultant  (n) real estate broker or dealer		
		(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	6.	Do you control or are you controlled by the related person?	Yes	
Ш	٠.	= 1, 1 = 1 = 1 = 1 = 1 = 1 = 1 = 1 = 1 =	0	⊙

7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
0.	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:  Number and Street 1:  Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
Э.		If the answer is yes, under what exemption?	0	⊙
	(b)	if the answer is yes, under what exemption:		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.  No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	0	•
12.	Do y	rou and the <i>related person</i> share the same physical location?	0	•
1.	-	al Name of <i>Related Person</i> : BY ADMINISTRAÇÃO DE INVESTIMENTOS LTDA		
2.		nary Business Name of <i>Related Person</i> : BY ADMINISTRAÇÃO DE INVESTIMENTOS LTDA		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe			
4.		ated Person's CRD Number (if any):		
	4.			
	(b)	CIK Number(s) (if any):  No Information Filed		
5.		ated Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer  the start investment adviser (including financial planners)		
	(b)	other investment adviser (including financial planners)  registered municipal advisor		
		registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) (h)	futures commission merchant  banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) (m)	insurance company or agency pension consultant		
		real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Dov	rou control or are you controlled by the related person?		No
J.	<i>Б</i> О у	ou control die you controlled by the related person:	0	•
7.	Are y	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0

operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds

			or securities that are maintained at the related person?				
		(c)	If you have answered "yes" to question 8.(a) above, provide the loca	ation of the related person's	office responsible for <i>custody</i> of your <i>clients'</i> assets:		
			Number and Street 1:	Number and Street	t 2:		
			City: State:	Country:	ZIP+4/Postal Code:		
			If this address is a private residence, check this box:	,			
						Yes	No
	0	(0)	If the related nersen is an investment advisor, is it event from rem	intration?			
	9.	(a)	If the related person is an investment adviser, is it exempt from regi	istration?		$\odot$	$\circ$
		(b)	If the answer is yes, under what exemption?				
			PARTICIPATING AFFILIATE EXEMPTION				
	10	(a)	Is the related person registered with a foreign financial regulatory a	authority?		_	_
	10.			-		$\circ$	•
		(b)	If the answer is yes, list the name and country, in English of each for		· · · · · · · · · · · · · · · · · · ·		
				No Information Fil	led		
	11.	Do y	you and the related person share any supervised persons?			0	•
						~	•
	40	D					
	12.	ро у	you and the related person share the same physical location?			$\circ$	⊙
ŀ							
	1	Lea	al Name of Related Person:				
	•	_	RION PARTNERS EUROPE (UK) LIMITED				
		OLA	INTON I ARTIVERO EGROT E (OR) EINITED				
	2.	Prim	nary Business Name of Related Person:				
		CLA	RION PARTNERS EUROPE (UK) LIMITED				
	3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)				
	-	_	(,), (g.,, , . , . , . , , , , , ,				
		or					
		or					
		Othe					
	4.	Rela	ated Person's				
		(a)	CRD Number (if any):				
		(b)	CIK Number(s) (if any):				
		` ,		No Information Fil	led		
	5.	Rela	ated Person is: (check all that apply)				
		(a)		urities broker or dealer			
		(b)	other investment adviser (including financial planners)				
		` '	registered municipal advisor				
		` '					
		` '	registered security-based swap dealer				
		(e)	major security-based swap participant				
		(f)	☐ commodity pool operator or commodity trading advisor (whether	er registered or exempt fror	m registration)		
		(g)	futures commission merchant				
		(h)	☐ banking or thrift institution				
		(i)	trust company				
		(j)	accountant or accounting firm				
		(k)	lawyer or law firm				
		<b>(l)</b>	insurance company or agency				
		(m)	pension consultant				
		` '	real estate broker or dealer				
		` '					
		(o)	sponsor or syndicator of limited partnerships (or equivalent), e.	<del>*</del> ·			
		(p)	sponsor, general partner, managing member (or equivalent) of	f pooled investment vehicle	es		
						Yes	No
	6.	Do y	ou control or are you controlled by the related person?			0	•
		•	, , , , ,				100
	_						
	1.	Are	you and the related person under common control?			$\odot$	$\circ$
	8.	(a)	Does the related person act as a qualified custodian for your clients	s in connection with advisor	y services you provide to <i>clients</i> ?	0	•
				_			
		(b)	If you are registering or registered with the SEC and you have answ			$\circ$	$\circ$
				e related person and thus a	re not required to obtain a surprise examination for your <i>clients'</i> funds		
			or securities that are maintained at the related person?				
		(c)	If you have answered "yes" to question 8.(a) above, provide the local	ation of the related person's	office responsible for <i>custody</i> of your <i>clients</i> ' assets:		
			Number and Street 1:	Number and Street	t 2:		
			City: State:	Country:	ZIP+4/Postal Code:		
			If this address is a private residence, check this box:	•			

			Yes	No	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0	
	(b)	If the answer is yes, under what exemption?			
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0	
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.			
		Name of Country/English Name of Foreign Financial Regulatory Authority			
		United Kingdom - Financial Conduct Authority			
11.	Do y	ou and the related person share any supervised persons?	0	•	
12.	Do y	ou and the related person share the same physical location?	0	$\odot$	
					1
	1	al Name of Deleted Demons			
1.	_	al Name of <i>Related Person</i> :  RION PARTNERS EMEA LIMITED			
	OLA	MONT ANTHERO EINEA EINITED			
2.	Prim	nary Business Name of Related Person:			
		RION PARTNERS EMEA LIMITED			
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	-				
	or Othe				
4.	Rela	ated Person's			
	(a)	CRD Number (if any):			
	(b)	CIK Number(s) (if any):  No Information Filed			
		No illiotifiation i fled			1
5.		ated Person is: (check all that apply)			
		broker-dealer, municipal securities dealer, or government securities broker or dealer			
	(b)	other investment adviser (including financial planners)  registered municipal advisor			
	(c) (d)	registered security-based swap dealer			
		major security-based swap participant			
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)			
	(g)	futures commission merchant			
	(h)	banking or thrift institution			
	(i)	trust company			
	(j)	accountant or accounting firm			
	(k)	lawyer or law firm insurance company or agency			
	(l) (m)				
	(n)	real estate broker or dealer			
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles			
			Yes	No	
6.	Do y	rou control or are you controlled by the related person?	$\circ$	$\odot$	
7.	Are	you and the related person under common control?	⊙	$\circ$	
8.	(2)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_	
0.	(a)		0	•	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:			
		Number and Street 1: Number and Street 2:			
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:			
			Yes	No	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0	
	(b)	If the answer is yes, under what exemption?			
					1

10. (a)	) Is the related person registered with a foreign financial regulatory authority?	•	0
(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	United Kingdom - Financial Conduct Authority		
11. Do	you and the related person share any supervised persons?	0	•
12. Do	you and the <i>related person</i> share the same physical location?	0	•
(b) If the answer is year, is the name and country, in English of each Energy Stancials regulatory authority with which the related person is registered.  Name of Country/English Name of Foreign Fhancial Regulatory Authority  United (Ringlion - Prisonal Conduct Authority  11. Do you and the related person share the same physical location?  12. Do you and the related person share the same physical location?  Items 7 Private Fund Reporting  8. Are you an adviser to any private fund?  If "yes," then for each private fund that you subtice, you must camplete a Section 7.8.(1) of Schedule D, except in contain circumstances described in the next sentence and in instruction 6 of the locations to Part 14.1 you are registered or applying for registeration with the REC or regulating as an REC except regardles publicated and in information 6 of the locations to Part 14.1 you should be information with the REC or regulating as an REC except regardles publicated and information 4 who the section of REC except register and where the respect in any work private fund in Section 7.8.(1) of Schedule D and request Any you provide fund in Section 7.8.(1) of Schedule D and request any work provides fund in Section 7.8.(1) or 7.8.(7) of Schedule D and request in respect to a young believed by the private fund in Section 7.8.(1) or 7.8.(7) of Schedule D and replaced in contains in numerical or information in place of the fund's designation, pursuant to rule 204-2(d), you may identify the photole fund in Section 7.8.(1) or 7.8.(7) of Schedule D and replaced in contains in numerical or information in place of the fund's designation or interest in Client Transactions  No Information Filed  No Information Filed  Lieu 8 Participation or Interest in Client Transactions  No Information Filed  Lieu 8 Participation or Interest in Client Transactions  No Information Filed provides formation about you and your related poroons, including foreign affiliates.  Proprietary Interest in Client Transactions  Lieu 9 Participation or Interest in Client T			
B)   The anatomic systs, list the name and country, in English of each integral frameous regulatory authority with which the related person is registered.	Yes	s No	
B. Are	you an adviser to any <i>private fund</i> ?	0	•
Inst regi sub	truction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC istered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you adviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.	:- ou are	e a
			ne.
SECTIO	DN 7.B.(1) Private Fund Reporting		
	No Information Filed		
SECTIO	DN 7.B.(2) Private Fund Reporting		
	No Information Filed		
tem 8 F	Participation or Interest in <i>Client</i> Transactions		
betwee		-	
Like Ite	em 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.		
Propri	etary Interest in <i>Client</i> Transactions		
A. Do	o you or any <i>related person</i> :	Yes	No
(1)	) buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	0	•
(2)	) buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	•	0
(3)		•	0
Sales	Interest in Client Transactions		
B. Do	o you or any related person:	Yes	No
(1)		0	•
(2)		•	0
(3)		0	•
Invest	ment or Brokerage Discretion		
C. Do	o you or any related person have discretionary authority to determine the:	Yes	No
(1)	) securities to be bought or sold for a <i>client's</i> account?	$\odot$	0
(2)	) amount of securities to be bought or sold for a <i>client's</i> account?	$\odot$	0
(3)	) broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	•	0
(4)	) commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	0

D.	If yo	u answer "yes" to C.(3) above, are any of the brokers or dealers <i>related persons</i> ?	0	$\odot$
E.	Do y	ou or any related person recommend brokers or dealers to clients?	0	•
F.	If yo	u answer "yes" to E. above, are any of the brokers or dealers related persons?	0	0
G.		Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	•	0
		If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	0
Н.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	•	0
		Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	•	0
I.	-	ou or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any related person) for client rals?	0	•
	In yo	our response to Item 8.I., do not include the regular salary you pay to an employee.		
		sponding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering to lient referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.	∍ring	
Item	ı 9 Cu	stody		
		m, we ask you whether you or a related person has custody of client (other than clients that are investment companies registered under the Investment Company Act of about your custodial practices.	ıf 19₄	40)
A.	(1)	Do you have <i>custody</i> of any advisory <i>clients</i> *.	Yes	No
		(a) cash or bank accounts?	0	$\odot$
		(b) securities?	0	$\odot$
	are i	ts' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption the not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.  If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of client funds and securities and total number of clients for which you have custody:  U.S. Dollar Amount  Total Number of Clients	яг уо	u
		(a) \$ (b)		
	of th	u are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the ose assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services you ide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response to I	ı	
B.	(1)	In connection with advisory services you provide to clients, do any of your related persons have custody of any of your advisory clients':	Yes	No
		(a) cash or bank accounts?	•	$\circ$
		(b) securities?	⊙	0
	You	are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).		
		If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for which your <i>related persons</i> houstody:	ave	
		U.S. Dollar Amount Total Number of <i>Clients</i>		
		(a) \$ 9,223,093 (b) 3		
C.	If you	u or your related persons have custody of client funds or securities in connection with advisory services you provide to clients, check all the following that apply:		
	(1)	A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.		
		investors in the pools.		
		The mappendative public deceased an annual culprice examination of olient rando and ecoamics.		
	` '	An independent public accountant prepares an internal control report with respect to custodial services when you or your related persons are qualified custodians for client funds and securities.		
	-	u checked Item 9.C.(2), C.(3) or C.(4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or prepare an internal cort. (If you checked Item 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provided this information with respect to the privi		I

D.	Do you or your related person(s) act as qualified custodians for your clients in connection with advisory services you provide to clients?	Yes	No
	(1) you act as a qualified custodian	0	$\odot$
	(2) your related person(s) act as qualified custodian(s)	$\odot$	0
	If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advised		<u>.</u>
E.	If you are filing your annual updating amendment and you were subject to a surprise examination by an independent public accountant during your last fiscal year, provided to the date (MM/YYYY) the examination commenced:	e the	
F.	If you or your related persons have custody of client funds or securities, how many persons, including, but not limited to, you and your related persons, act as qualified custor for your clients in connection with advisory services you provide to clients?  1	todia	ns
SEC	CTION 9.C. Independent Public Accountant		
	No Information Filed		
lten	n 10 Control Persons		
	his Item, we ask you to identify every <i>person</i> that, directly or indirectly, controls you. If you are filing an umbrella registration, the information in Item 10 should be provided for viser only.	the f	iling
Scl	rou are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive content of the second of the		
A.	Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies?	Yes	No ②
	If yes, complete Section 10.A. of Schedule D.		
B.	If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of please complete Section 10.B. of Schedule D.	1934,	,
SEC	CTION 10.A. Control Persons		
	No Information Filed		
SEC	CTION 10.B. Control Person Public Reporting Companies		
В.	If any <i>person</i> named in Schedules A, B, or C, or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of please provide the following information (you must complete a separate Schedule D Section 10.B. for each public reporting company):	of 193	4,
	(1) Full legal name of the public reporting company:  FRANKLIN RESOURCES,	INC.	
	(2) The public reporting company's CIK number (Central Index Key number that the SEC assigns to each reporting company): 38777		
	n 11 Disclosure Information		
app foc	his Item, we ask for information about your disciplinary history and the disciplinary history of all your advisory affiliates. We use this information to determine whether to graphication for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem are us on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form A graphical support include the filing adviser and all relying advisers under an umbrella registration.	as to	
Υοι	ur advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers	,	

partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.B.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final

department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.

order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

funds you advise in Section 7.B.(1) of Schedule D).

You	mus	t complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.		
			Yes	No
Do	any c	of the events below involve you or any of your supervised persons?	$\circ$	$\odot$
For	"yes	" answers to the following questions, complete a Criminal Action DRP:		
A.		ne past ten years, have you or any <i>advisory affiliate</i> :	Yes	No
		been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	$\circ$	$\odot$
	(2)	been charged with any felony?	0	⊙
	-	ou are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are cuding.	ırrent	ly
B.	In th	ne past ten years, have you or any advisory affiliate:		
		been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	⊙
	(2)	been charged with a misdemeanor listed in Item 11.B.(1)?	0	$\odot$
	-	ou are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are cuding.	ırrent	ly
For	"yes	" answers to the following questions, complete a Regulatory Action DRP:		
C.		s the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1)	found you or any advisory affiliate to have made a false statement or omission?	$\circ$	$\odot$
	(2)	found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	$\circ$	$\odot$
	(3)	found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	⊙
	(4)	entered an order against you or any advisory affiliate in connection with investment-related activity?	0	•
	(5)	imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	•
D.		s any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
		ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	⊙
		ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	0	$\odot$
		ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	⊙
	(4)	in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	$\circ$	$\odot$
		ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	•
E.	Has	s any self-regulatory organization or commodities exchange ever:		
	(1)	found you or any advisory affiliate to have made a false statement or omission?	0	•
		found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	•
		found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	⊙
	(4)	disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	•
F.	Has	s an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	•
G.	Are	you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	•
		answers to the following questions, complete a Civil Judicial Action DRP:		
H.	(1)	Has any domestic or foreign court:	Yes	No
		(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	$\circ$	$\odot$
		(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	$\circ$	$\odot$
		(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any <i>advisory affiliate</i> by a state or <i>foreign financial regulatory authority</i> ?	0	⊙
	(2)	Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•

#### Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a *person*, whether through ownership of securities, by contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Yes	No
A.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	С
If "	yes," you do not need to answer Items 12.B. and 12.C.		
B.	Do you:		
	(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	С
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	С
C.	Are you:		
	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of	0	0

# Schedule A

# **Direct Owners and Executive Officers**

fiscal year?

1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.

(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent

2. Direct Owners and Executive Officers. List below the names of:

Form ADV) of \$25 million or more on the last day of its most recent fiscal year?

- (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
- (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

  Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital:
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B?  $\,\,_{\hbox{\scriptsize C}}$  Yes  $\,\,_{\hbox{\scriptsize \odot}}$  No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

A - 5% but less than 10%  $\,$  C - 25% but less than 50%  $\,$  E - 75% or more

- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
- (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name,	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of Birth, IRS
First Name, Middle Name)			Acquired MM/YYYY	Code	Person		Tax No. or Employer ID No.
FRANKLIN RESOURCES, INC.	DE	SHAREHOLDER	03/1978	Е	Υ	Υ	
TYLE, CRAIG, STEVEN	I	CHIEF LEGAL OFFICER	12/2006	NA	N	N	5026433
CONSTANT, MARK, LECHO	I	TREASURER	10/2007	NA	N	N	2164003
PORTERA, PHILIP, N/A	I	DIRECTOR	01/2011	NA	N	N	4832336
OSHITA, LINDSEY, HARUMI	I	CHIEF FINANCIAL OFFICER	10/2019	NA	Υ	N	7183188

CARAMAZZA, PIERRE, CAMILLE	I	DIRECTOR & SENIOR	03/2021	NA	Υ	N	2839190	П
		VICE PRESIDENT						
MASOM, JEFFREY, SCOTT	I	PRESIDENT	03/2021	NA	Υ	N	2870966	
BECKERLE, BREDA, MARY	I	CHIEF COMPLIANCE	09/2009	NA	N	N	1078762	
		OFFICER						

#### Schedule B

#### **Indirect Owners**

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;
    - For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
  - (c) in the case of an owner that is a trust, the trust and each trustee; and
  - (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

\* ITEM 1.L LOCATION OF BOOKS AND RECORDS: BOOKS AND RECORDS ARE KEPT AT THE HOLDING COMPANY'S HEADQUARTERS IN SAN MATEO, CALIFORNIA.

- 6. Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

No Information Filed

## Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

ACCOUNTING TRANSACTIONS ARE RECORDED ELECTRONICALLY USING STANDARD ACCOUNTING SOFTWARE LICENSED TO THE HOLDING COMPANY. TRANSACTIONS ARE ENTERED AND REVIEWED DAILY. EACH MONTH THE RECORDS ARE SUMMARIZED INTO FINANCIAL STATEMENTS FOR THE MONTH AND FOR THE FISCAL YEAR-TO-DATE. REPORTS ARE PRODUCED AND PRESENTED TO MANAGEMENT VIA ELECTRONIC FILES AND PAPER DOCUMENTS. THE MAIN ADDITIONAL LOCATIONS AT WHICH RECORDS ARE GENERALLY KEPT ARE LISTED IN THIS SECTION 1.L OF SCHEDULE D. TO THE EXTENT THAT ANY RECORDS ARE KEPT AT OTHER LOCATIONS NOT LISTED HERE, FOR EXAMPLE BECAUSE THE SETS OF RECORDS ARE SMALL AND A COMPLETE LIST OF ALL LOCATIONS WOULD BE EXTREMELY LONG, WE WILL MAKE COPIES OF SUCH RECORDS AVAILABLE TO THE SEC ON REQUEST AT THE HOLDING COMPANY'S HEADQUARTERS. \*ITEM 5.C AND 5.D: THE RESPONSES TO THESE ITEMS REFLECT ALL CLIENTS OF THE ADVISER, INCLUDING ANY CLIENTS THAT THE ADVISER DOES NOT INCLUDE IN ITS RAUM BECAUSE THE ADVISER HAS NEITHER (I) DISCRETIONARY AUTHORITY OVER THE ACCOUNT NOR (II) RESPONSIBILITY FOR ARRANGING OR EFFECTING ANY PURCHASE OR SALE FOR THE ACCOUNT, AND THEREFORE DOES NOT PROVIDE CONTINUOUS AND REGULARY SUPERVISORY OR MANAGEMENT SERVICES. \*ITEM 5.F(2) AND 5.K: THE RESPONSES TO THESE ITEMS REFLECT ALL CLIENTS OF THE ADVISER FOR WHICH IT HAS RAUM. \* ITEM 5.F. REGULATORY ASSETS UNDER MANAGEMENT ("RAUM"): RAUM IN ITEM 5.F MAY DIFFER FROM ASSETS UNDER MANAGEMENT DISCLOSED IN ITEM 4 OF ADVISER'S FORM ADV PART 2A DUE TO SPECIFIC CALCULATION INSTRUCTIONS FOR RAUM. RAUM REPORTED IN ITEM 5.F FOR ANY ACCOUNTS THAT ARE NOT FRANKLIN TEMPLETON SPONSORED INVESTMENT PRODUCTS REFLECT NET ASSETS PROVIDED BY ACCOUNT'S CUSTODIAN. \*Item 5.K(4): COMPLETENESS OF THIS RESPONSE IS DEPENDENT ON THE ADVISER'S ABILITY TO OBTAIN INFORMATION FROM SEPARATELY MANAGED ACCOUNT PROGRAM SPONSORS REGARDING THE CUSTODIANS USED BY THE PARTICIPANTS IN SUCH PROGRAMS. \*SECTION 7.A FINANCIAL INDUSTRY AFFILIATIONS: REGISTRANT MAY HAVE ONE OR MORE OTHER RELATED PERSONS WHO ARE NOT LISTED ON SECTION 7.A OF SCHEDULE D BECAUSE (1) IT HAS NO BUSINESS DEALINGS WITH THE RELATED PERSON IN CONNECTION WITH ADVISORY SERVICES IT PROVIDES TO ITS CLIENTS; (2) IT DOES NOT CONDUCT SHARED OPERATIONS WITH THE RELATED PERSON; (3) IT DOES NOT REFER CLIENTS OR BUSINESS TO THE RELATED PERSON, AND THE RELATED PERSON DOES NOT REFER PROSPECTIVE CLIENTS OR BUSINESS TO REGISTRANT; (4) IT DOES NOT SHARE SUPERVISED PERSONS OR PREMISES WITH THE RELATED PERSON; AND (5) REGISTRANT HAS NO REASON TO BELIEVE THAT ITS RELATIONSHIP WITH THE RELATED PERSON OTHERWISE CREATES A CONFLICT OF INTEREST WITH ITS CLIENTS. TO THE EXTENT THAT THE REGISTRANT HAS ONE OR MORE OTHER RELATED PERSONS WHO ARE NOT LISTED ON SECTION 7.A OF SCHEDULE D, A SUPPLEMENTARY LIST OF THESE RELATED PERSONS IS AVAILABLE AND WILL BE PROVIDED TO THE SEC UPON REQUEST. \*SECTION 7.A.(5): CERTAIN RELATED PERSONS INDICATED AS CONDUCTING ONE OR MORE OF THE ACTIVITIES LISTED IN SECTION 7.A.(5) MAY BE CONDUCTING SUCH ACTIVITIES SOLELY WITH RESPECT TO NON-U.S. PERSONS. \*SECTION 7.A(9)(a): RELATED PERSONS OF REGISTRANT RESPONDING "NO" TO 9(a) INCLUDE BOTH INVESTMENT ADVISERS REGISTERED WITH SEC AND INVESTMENT ADVISERS NOT SEEKING TO RELY ON AN EXEMPTION AS THEY HAVE NO U.S. PLACE OF BUSINESS, HAVE NO U.S. CLIENTS OR INVESTORS AND DO NOT HOLD THEMSELVES OUT TO THE PUBLIC IN THE U.S. AS AN INVESTMENT ADVISER. \*ITEM 9-CUSTODY: THE REGISTRANT ANSWERED "YES" TO ITEM 9.B(1) SOLELY BECAUSE THE ASSETS OF A NUMBER OF CLIENTS ADVISED BY THE REGISTRANT ARE CUSTODIED WITH ITS AFFILIATE, FIDUCIARY TRUST COMPANY INTERNATIONAL, AS DISCLOSED IN ITEM 9.D(2) AND IDENTIFIED IN SECTION 7.A. OF SCHEDULE D.\*SCHEDULE A: MIDDLE NAMES OF EXECUTIVE OFFICERS ROBERT LIM AND PHILIP PORTERA HAVE BEEN UPDATED AS "N/A" AS THEY DO NOT HAVE A MIDDLE NAME

	No Information Filed								
ı									
	DRP Pages								
	CRIMINAL DISCLOSURE REPORTING PAGE (ADV)								
	No Information Filed								
	REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)								
	No Information Filed								
	CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)								
	No Information Filed								
	Part 2								
	Exemption from brochure delivery requirements for SEC-registered advisers								
	SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to <i>all</i> of your advisory clients, you do not have to prepare a brochure.								
	Yes No								
	Are you exempt from delivering a brochure to all of your clients under these rules?								
	If no, complete the ADV Part 2 filing below.								

Part 3			
CRS	Type(s)	Affiliate Info	Retire
<u>B</u>	Investment Advisor Investment Advisor		

# **Execution Pages**

Schedule R

## DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

Amend, retire or file new brochures:

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

## Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

# Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am

signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:
BREDA BECKERLE
Printed Name:

Date: MM/DD/YYYY 05/13/2022

BREDA BECKERLE

Title: CHIEF COMPLIANCE OFFICER

Adviser CRD Number:

111372

### **NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE**

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

### 1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

### 2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

#### 3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

## Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:

Date: MM/DD/YYYY

Printed Name:

Title:

Adviser *CRD* Number:

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