FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

		ss Name: GREENWOODS ASSET MANAGEMI nent - All Sections	ENT LIMITED		CRD Number: 139054
)/2021 11:00				Rev. 03/2020
0,00	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
WA		mplete this form truthfully. False statements on this form updated by filing periodic amending	<u>-</u>		f your registration, or criminal prosecution. You must
Iten	n 1 Identifyin	g Information			
	=	is Item tell us who you are, where you are do filing adviser only. General Instruction 5 prov		-	abrella registration, the information in Item 1 should be
A.		gal name (if you are a sole proprietor, your la	st, first, and middle names):		
В.		nder which you primarily conduct your adviso	ory business, if different from Ite	em 1.A.	
	List on Sec	tion 1.B. of Schedule D any additional names	s under which you conduct you.	r advisory business.	
	(2) If you a	re using this Form ADV to register more than	one investment adviser under	an <i>umbrella registration</i> , check this	box 🗆
	If you chec	k this box, complete a Schedule R for each r	relying adviser.		
C.		is reporting a change in your legal name (Iteral name or \square your primary business name:	m 1.A.) or primary business nai	me (Item 1.B.(1)), enter the new na	me and specify whether the name change is of
D.	(1) If you a	re registered with the SEC as an investment a	adviser, your SEC file number:	801-106694	
		port to the SEC as an exempt reporting advis	-		
	(3) If you ha	ave one or more Central Index Key numbers	assigned by the SEC ("CIK Nui	mbers"), all of your CIK numbers:	
	1577133				
E.	(1) If you ha	ave a number ("CRD Number") assigned by t	the FINRA's CRD system or by	the IARD system, your CRD number	er: 139054
	If your firm	does not have a CRD number, skip this Item	n 1.E. Do not provide the CRD i	number of one of your officers, emp	loyees, or affiliates.
	(2) If you ha	ave additional <i>CRD</i> Numbers, your additional		e: = 1	
			NO INTO	rmation Filed	
_	D	(F) (D)			
F.	•	ffice and Place of Business			
		ss (do not use a P.O. Box): er and Street 1:		Number and Street 2:	
		DARY HALL, CRICKET SQUARE		GEORGETOWN	
	City: GRANI	D CAYMAN	State:	Country: Cayman Islands	ZIP+4/Postal Code: KY1-1103
		address is a private residence, check this box	x: 🗖		
	lint no	Continued Foot Colombia Downstian Alban	the construction of a fifteen and a		
	registr whom	ation, or are registered, with one or more state	e securities authorities, you mu C registration, if you are registe	st list all of your offices in the state ered only with the SEC, or if you are	duct investment advisory business. If you are applying for or states to which you are applying for registration or with reporting to the SEC as an exempt reporting adviser, list year.
	. ,	of week that you normally conduct business a enday - Friday $_{f C}$ Other:	nt your <i>principal office and place</i>	e of business:	
		I business hours at this location: 4-6.00PM			
	(3) Teleph	one number at this location: 07 6280			
		nile number at this location, if any:			

(5) What is the total number of offices, other than your principal office and place of business, at which you conduct investment advisory business as of the end of your most

recently completed fiscal year?

	0					
G	Mailing address if different from	n your <i>principal office and place of busi</i>	noss addross:			
G.	-	n your principal office and place of busin				
	Number and Street 1:	0	Number and Street 2:	TIP 4/P 4 4 9 4		
	City:	State:	Country:	ZIP+4/Postal Code:		
	If this address is a private resid	dence, check this box:				
Н.	If you are a sole proprietor, state	e your full residence address, if differen	t from your <i>principal office and</i>	I place of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	•		,		Yes	No
l.	Do you have one or more webs	ites or accounts on publicly available so	ocial media platforms (includin	g, but not limited to, Twitter, Facebook and LinkedIn)?	•	0
	address serves as a portal throu information. You may need to li	ugh which to access other information y ist more than one portal address. Do no	ou have published on the web, it provide the addresses of wel	ailable social media platforms on Section 1.I. of Schedule D. If a w , you may list the portal without listing addresses for all of the other bsites or accounts on publicly available social media platforms what is or the addresses of employee accounts on publicly available so	er here you	
J.		act information of your Chief Compliance one. If not, you must complete Item 1.K.	•	reporting adviser, you must provide the contact information for you	ır Chief	
		one. If not, you must complete item 1.K.				
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if any	:		
	Number and Street 1:	0	Number and Street 2:	TIP 4/P 4 4 9 4		
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) addres	ss, if Chief Compliance Officer has one:				
IZ.	Company Act of 1940 that you a Name: IRS Employer Identification Num	advise for providing chief compliance offi	icer services to you, provide the	ated person or an investment company registered under the Investe person's name and IRS Employer Identification Number (if any):	:	
ĸ.	may provide that information he	•	Compliance Officer is authoriz	ed to receive information and respond to questions about this For	m ADV,	you
	Name:		Titles:			
	Telephone number:		Facsimile number, if any	r.		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) addres	es if contact parson has one:				
	Liectronic mail (e-mail) addres	ss, ii contact person has one.			Vaa	Na
L.	Do you maintain some or all of to principal office and place of bus	-	to keep under Section 204 of	the Advisers Act, or similar state law, somewhere other than your	Yes	⊙
	If "yes," complete Section 1.L. o	of Schedule D.				
M.	Are you registered with a foreign	n financial regulatory authority?			Yes ⊙	No O
	Answer "no" if you are not regist	tered with a foreign financial regulatory	authority, even if you have an a	affiliate that is registered with a foreign financial regulatory authori	ty. If "ye:	s,"
	complete Section 1.M. of Sched		<i>3.</i>			No
N	Are you a public reporting comp	pany under Sections 12 or 15(d) of the S	Securities Exchange Act of 193	4?		_
١٧.	, you a public reporting comp	san, ander sections 12 or 10(u) or the c	Securities Exertainge Act of 195	••	O	⊙
O.	·	in assets on the last day of your most re	ecent fiscal year?		Yes	No ⊙
	If yes, what is the approximate a	·				
	\$1 billion to less than \$10					
	C \$10 billion to less than \$5	0 billion				

C \$50 billion or more

			oses of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown alance sheet for your most recent fiscal year end.
Ρ.	Prov	ride y	your Legal Entity Identifier if you have one:
	A leg	gal e	ntity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.
ECT	ION	1.B.	Other Business Names
			No Information Filed
ECT	ION	1.F.	Other Offices
			No Information Filed
ECT	ION	1.l. \	Website Addresses
	-		bsite addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.
Add	ress	of V	Vebsite/Account on Publicly Available Social Media Platform: HTTP://WWW.GREENWOODSASSET.COM/
ECT	ION	1.L.	Location of Books and Records
			No Information Filed
ECT	ION	1.M.	Registration with Foreign Financial Regulatory Authorities
			e and country, in English, of each foreign financial regulatory authority with which you are registered. You must complete a separate Schedule D Section 1.M. for each acial regulatory authority with whom you are registered.
			untry/Foreign Financial Regulatory Authority: unds - Cayman Islands Monetary Authority
Oth		ı ısıa	inds - Cayman Islands Monetary Authority
em 2	2 SE	C Re	egistration/Reporting
-			this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting dating amendment to your SEC registration. If you are filing an umbrella registration, the information in Item 2 should be provided for the filing adviser only.
	your may	SEC	ter (or remain registered) with the SEC, you must check at least one of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an <i>annual updating amendment</i> to C registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). Part 1A Instruction 2 provides information to help you determine whether you matively respond to each of these items.
		•	adviser):
		(1)	are a large advisory firm that either: (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
			(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent <i>annual updating amendment</i> and is registered with the SEC;
		(2)	are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
			(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
			(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

		(3)	Reserved							
F	7	(4)	have your principal office and place	of business outside the United States;						
		(5)	are an investment adviser (or sub	adviser) to an investment company registe	ered under the Investment Company Act of 1	940;				
		(6)	are an investment adviser to a company which has elected to be a business development company pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;							
	(7) are a pension consultant with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);									
Г		(8)	are a related adviser under rule 20		under common <i>control</i> with, an investment a					
			If you check this box, complete Sec	ction 2.A.(8) of Schedule D.						
		(9)	are an adviser relying on rule 203A	-2(c) because you expect to be eligible for	SEC registration within 120 days;					
		,	If you check this box, complete Sec	-						
		(10)	•	quired to register in 15 or more states and is	e relying on rule 203A-2(d):					
		(10)			s relying on rule 200A-2(u),					
_	_		If you check this box, complete Sec	, ,						
		(11)	are an Internet adviser relying on r	ule 203A-2(e);						
		(12)	have received an SEC order exemp	oting you from the prohibition against registi	ration with the SEC;					
			If you check this box, complete Sec	ction 2.A.(12) of Schedule D.						
		(13)	are no longer eligible to remain reg	gistered with the SEC.						
State	Sed	curit	ies Authority Notice Filings and Sta	ate Reporting by Exempt Reporting Advise	ers					
			-		authorities a copy of the Form ADV and any	amendments they file with the SEC. These				
aı	re d	calle	d notice filings. In addition, exempt re	eporting advisers may be required to provide	e state securities authorities with a copy of re	eports and any amendments they file with the				
					u would like to receive notice of this and all					
					(s), check the box(es) next to the state(s) tha registration to stop your <i>notice filings</i> or repo	-				
			nem, uncheck the box(es) next to the	-	egistration to stop your notice mings of repo	its from going to state(s) that currently				
			,							
	Juri	sdic	tions							
		AL		П	□ NE	□ sc				
		AK		□ IN	□ NV	□ SD				
		ΑZ		ПА	□ NH	□ TN				
		AR		□ KS	□ NJ	□ TX				
		CA		□ KY	□ NM	□ UT				
		СО		□ LA		□ VT				
		СТ		□ ME	□ NC	□ v				
		DE.		□ MD	□ ND	□ VA				
		DC		□ MA	□ он	□ WA				
		FL		□ M	□ ок	□ w				
		GA		□ MN	□ OR	□ wi				
		GU		□ MS	□ PA	□ wy				
		НІ		□ MO	□ PR					
		ID		□ мт	□ RI					
L					IL	JI				
1.5										
	-			your notice filings or reports from going to a endment must be filed before the end of the	state that currently receives them and you do year (December 31)	o not want to pay that state's notice filing or				
	ى مېرد		ng ico ici ano coming year, year ami		, ,					
SECTIO	ON:	2.A.(8) Related Adviser							
-		-	-	-	use you <i>control</i> , are <i>controlled</i> by, or are und					
advise	er tr	nat is	registered with the SEC and your page	rincipal office and place of business is the s	ame as that of the registered adviser, provide	de the following information:				
Nome	٥f	D.~:	stared Investment Adviser							
ivaine	UΪ	ĸegi	stered Investment Adviser							
CRUN	Jun	nher	of Registered Investment Adviser							
	vu[]	indi	or registered investment Adviser							
SEC N	lun	nber	of Registered Investment Adviser							
-										

OLO HON 2.A.(3) Investment Adviser Expecting to be Engine for Commission Neglatration within 120 days	
If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required repre You must make both of these representations:	-
I am not registered or required to be registered with the SEC or a <i>state securities authority</i> and I have a reasonable expectation that I will be eligible to register with the SEC days after the date my registration with the SEC becomes effective.	SEC within
I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the A from registering with the SEC.	dvisers Act
SECTION 2.A.(10) Multi-State Adviser	
If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibused registration. By checking the appropriate boxes, you will be deemed to have made the required representations.	ility for
If you are applying for registration as an investment adviser with the SEC, you must make both of these representations: I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the second state and federal laws.	he <i>stat</i> e
securities authorities in those states. I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register investment adviser with the state securities authorities of those states.	as an
If you are submitting your annual updating amendment, you must make this representation:	
Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at less states to register as an investment adviser with the <i>state securities authorities</i> in those states.	east 15
SECTION 2.A.(12) SEC Exemptive <i>Order</i>	
If you are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:	
Application Number: 803-	
Date of order.	
Item 3 Form of Organization	
If you are filing an <i>umbrella registration</i> , the information in Item 3 should be provided for the <i>filing adviser</i> only.	
A. How are you organized? Corporation	
C Sole Proprietorship	
C Limited Liability Partnership (LLP)	
C Partnership	
C Limited Liability Company (LLC)	
C Limited Partnership (LP)	
Other (specify): EXEMPTED COMPANY	
If you are changing your response to this Item, see Part 1A Instruction 4.	
B. In what month does your fiscal year end each year? DECEMBER	
C. Under the laws of what state or country are you organized?	
State Country	
Cayman Islands	
If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state country where you reside.	ate or
If you are changing your response to this Item, see Part 1A Instruction 4.	
Item 4 Successions	

A. Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g.,

Yes No

form of organization or state of incorporation)?

If "yes", complete Item 4.B. and Section 4 of Schedule D.

Data of Succession: (MM/DD/XXXX)

B. Date of Succession: (MM/DD/YYYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

SECTION 4 Successions

No Information Filed

Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

Employees

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.

61

- B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?
 - (2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?
 - (3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives*?
 - (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?

0

- 5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
- (6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?
 0

In your response to Item 5.B.(6), do not count any of your employees and count a firm only once – do not count each of the firm's employees that solicit on your behalf.

Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?

(2) Approximately what percentage of your *clients* are non-*United States persons*? 94%

D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (d)(1) or (d)(3) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

Type of Client		(1) Number of Client(s)	(2) Fewer than 5 Clients	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth inc	dividuals)			\$
(b) High net worth individuals				\$
(c) Banking or thrift institutions				\$
(d) Investment companies				\$
(e) Business development companies			_	\$
(f) Pooled investment vehicles (other than in	nvestment companies and business	15	-	\$ 6,220,180,341
development companies)	сотпоти сотправное ана застноес			Ç 3, <u>22</u> 3, 133, 3
(g) Pension and profit sharing plans (but no	t the plan participants or government			\$
pension plans)				
(h) Charitable organizations				\$
(i) State or municipal government entities (in	ncluding government pension plans)			\$
(j) Other investment advisers				\$
(k) Insurance companies				\$
(I) Sovereign wealth funds and foreign offici	al institutions		V	\$ 416,605,000
(m) Corporations or other businesses not li	sted above			\$
(n) Other: ENDOWMENT			✓	\$ 278,436,000
Information About Your Advisory Rusines	s - Regulatory Assets Under Manage	ment		
llatory Assets Under Management				
nlatory Assets Under Management 1) Do you provide continuous and regular s	supervisory or management services t	o securities portfolios?		
nlatory Assets Under Management 1) Do you provide continuous and regular s	supervisory or management services t	o securities portfolios?	Total Numb	
latory Assets Under Management 1) Do you provide continuous and regular s 2) If yes, what is the amount of your regular Discretionary:	supervisory or management services tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341	o securities portfolios?	Total Numb	•
latory Assets Under Management 1) Do you provide continuous and regular s 2) If yes, what is the amount of your regular Discretionary: Non-Discretionary:	supervisory or management services tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0	o securities portfolios?	(d) 17 (e) 0	•
latory Assets Under Management 1) Do you provide continuous and regular s 2) If yes, what is the amount of your regular Discretionary:	supervisory or management services tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341	o securities portfolios?	(d) 17	•
latory Assets Under Management 1) Do you provide continuous and regular so 2) If yes, what is the amount of your regular Discretionary: Non-Discretionary: Total: Part 1A Instruction 5.b. explains how to	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under	o securities portfolios? tal number of accounts? r management. You must f	(d) 17 (e) 0 (f) 17 Follow these instruction	er of Accounts s carefully when completing this Item.
latory Assets Under Management 1) Do you provide continuous and regular so 2) If yes, what is the amount of your regular Discretionary: Non-Discretionary: Total: Part 1A Instruction 5.b. explains how to 3) What is the approximate amount of your persons?	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under	o securities portfolios? tal number of accounts? r management. You must f	(d) 17 (e) 0 (f) 17 Follow these instruction	er of Accounts s carefully when completing this Item.
latory Assets Under Management 1) Do you provide continuous and regular so 2) If yes, what is the amount of your regular Discretionary: Non-Discretionary: Total: Part 1A Instruction 5.b. explains how to 3) What is the approximate amount of your persons? \$ 6,636,785,341	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under total regulatory assets under manager	o securities portfolios? tal number of accounts? r management. You must f	(d) 17 (e) 0 (f) 17 Follow these instruction	er of Accounts s carefully when completing this Item.
latory Assets Under Management 1) Do you provide continuous and regular section and section are secti	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under total regulatory assets under manager	o securities portfolios? tal number of accounts? r management. You must f	(d) 17 (e) 0 (f) 17 Follow these instruction	er of Accounts s carefully when completing this Item.
latory Assets Under Management 1) Do you provide continuous and regular section 2) If yes, what is the amount of your regular Discretionary: Non-Discretionary: Total: Part 1A Instruction 5.b. explains how to 9 3) What is the approximate amount of your persons? \$ 6,636,785,341 Information About Your Advisory Business 2 Sory Activities	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under total regulatory assets under manager	o securities portfolios? tal number of accounts? r management. You must f	(d) 17 (e) 0 (f) 17 Follow these instruction	er of Accounts s carefully when completing this Item.
Ilatory Assets Under Management 1) Do you provide continuous and regular s 2) If yes, what is the amount of your regular Discretionary: Non-Discretionary: Total: Part 1A Instruction 5.b. explains how to 3) What is the approximate amount of your persons? \$6,636,785,341 Information About Your Advisory Business sory Activities What type(s) of advisory services do you proven	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under total regulatory assets under manager	o securities portfolios? tal number of accounts? r management. You must f	(d) 17 (e) 0 (f) 17 Follow these instruction	er of Accounts s carefully when completing this Item.
1) Do you provide continuous and regular so 2) If yes, what is the amount of your regular Discretionary: Non-Discretionary: Total: Part 1A Instruction 5.b. explains how to 3) What is the approximate amount of your persons? \$ 6,636,785,341 Information About Your Advisory Business Sory Activities What type(s) of advisory services do you provided the provided of	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under total regulatory assets under manager s - Advisory Activities vide? Check all that apply. uals and/or small businesses	o securities portfolios? tal number of accounts? r management. You must finent (reported in Item 5.F.	(d) 17 (e) 0 (f) 17 follow these instruction (2)(c) above) attributate	er of Accounts s carefully when completing this Item. ole to clients who are non-United States
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Non-Discretionary: Total: **Part 1A Instruction 5.b. explains how to* (3) What is the approximate amount of your persons? \$ 6,636,785,341 **Sinformation About Your Advisory Business* **Sory Activities** What type(s) of advisory services do you proved to the provided of the provide	supervisory or management services to tory assets under management and to U.S. Dollar Amount (a) \$6,915,221,341 (b) \$0 (c) \$6,915,221,341 calculate your regulatory assets under total regulatory assets under manager s - Advisory Activities vide? Check all that apply. uals and/or small businesses nent companies (as well as "business investment vehicles (other than investisses (other than small businesses) or ding private fund managers) sletters	o securities portfolios? tal number of accounts? r management. You must finent (reported in Item 5.F.) development companies	(d) 17 (e) 0 (f) 17 follow these instruction (2)(c) above) attributate that have made an ele	er of Accounts s carefully when completing this Item. ole to clients who are non-United States ection pursuant to section 54 of the

	(11) Educational seminars/workshops (12) Other(specify):		
	Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment company or investment companies to which provide advice in Section 5.G.(3) of Schedule D.		
H.	If you provide financial planning services, to how many clients did you provide these services during your last fiscal year?		
	O 1-10		
	O 11 - 25		
	C 26 - 50 C 51 - 100		
	- 404, 250		
	C 251 - 500		
	More than 500		
	If more than 500, how many?		
	(round to the nearest 500)		
	In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise, unless you have a separate advisory relationship with those investors in a private fund you advise in the private fund you advise.	estors.	
		Yes	No
l.	(1) Do you participate in a wrap fee program?	0	•
	(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as:		
	(a) sponsor to a wrap fee program \$		
	(b) portfolio manager for a <i>wrap fee program</i> ? \$		
	(c) <i>sponsor</i> to and portfolio manager for the same <i>wrap fee program</i> ?		
	\$		
	If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
	If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Schedule D.		
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee programs to not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).	orogra	am,
	as het sheek item eint in general ein respense te kom eintz).	Yes	No
J.	(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	0	•
	(2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?		•
K.	Separately Managed Account Clients		
		Yes	No
	(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i>)?	•	0
	If yes, complete Section 5.K.(1) of Schedule D.		
	(2) Do you engage in borrowing transactions on behalf of any of the separately managed account <i>clients</i> that you advise?	0	•
	If yes, complete Section 5.K.(2) of Schedule D.		
	(3) Do you engage in derivative transactions on behalf of any of the separately managed account <i>clients</i> that you advise?	•	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?	•	0
	If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
SEC	TION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies		

No Information Filed

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Ass	et Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	%	%
(ii)	Non Exchange-Traded Equity Securities	%	%
(iii)	U.S. Government/Agency Bonds		%
(iv)	U.S. State and Local Bonds	%	%
(v)	Sovereign Bonds	%	%
(vi)	Investment Grade Corporate Bonds	%	%
(vii)	Non-Investment Grade Corporate Bonds	%	%
(viii)	Derivatives	%	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%	%
(xi)	Cash and Cash Equivalents	%	%
(xii)	Other	%	%

Generally describe any assets included in "Other"

Asset Type	End of year	
(i) Exchange-Traded Equity Securities	84 %	
(ii) Non Exchange-Traded Equity Securities	0 %	
ii) U.S. Government/Agency Bonds		
v) U.S. State and Local Bonds		
v) Sovereign Bonds		
vi) Investment Grade Corporate Bonds		
(vii) Non-Investment Grade Corporate Bonds	0 %	
(viii) Derivatives	2 %	
(ix) Securities Issued by Registered Investment Companies or Business Development Companies	0 %	
(x) Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Comp	panies) 0 %	
(xi) Cash and Cash Equivalents	14 %	
(xii) Other	0 %	

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of *Borrowings* and Derivatives

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional* value of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures						
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative	
Less than 10%	\$	\$	%	%	%	%	%	%	
10-149%	\$	\$	%	%	%	%	%	%	
150% or more	\$	\$	%	%	%	%	%	%	

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures						
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative	
Less than 10%	\$	\$	%	%	%	%	%	%	
10-149%	\$	\$	%	%	%	%	%	%	
150% or more	\$	\$	%	%	%	%	%	%	

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional* value of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$ 695,041,000	\$
10-149%	\$	\$
150% or more	\$	\$

	tional: Use the space below to p parately managed accounts that	-	gies and/or manner in which borrowings and derivatives are used in the management of the	
SECTIO	N 5.K.(3) Custodians for Separa	itely Managed Accounts		
	ete a separate Schedule D Secti gement.	ion 5.K.(3) for each custodian that holds te	en percent or more of your aggregate separately managed account regulatory assets under	
(a)	Legal name of custodian: THE BANK OF NEW YORK			
(b)	Primary business name o	f custodian:		
(c)	The location(s) of the cust	todian's office(s) responsible for custody of		
	City: NY	State: New York	Country: United States	
()		, , , , , , , , , , , , , , , , , , ,	Yes I	40
(d) (e)	Is the custodian a related	person of your firm? r-dealer, provide its SEC registration numb		•
	-			
(f)	If the custodian is not a br HPFHU0OQ28E4N0NFVK		not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)	
(g)	What amount of your regu \$ 278,436,000	ılatory assets under management attributa	able to separately managed accounts is held at the custodian?	
(a)	Legal name of custodian:			
(b)	STATE STREET BANK AN Primary business name o STATE STREET BANK AN	f custodian:		
(c)		todian's office(s) responsible for <i>custody</i> of	f the assets :	
	City: LONDON	State:	Country: United Kingdom	
(d)	Is the custodian a related	person of your firm?	Yes I	No ©
(e)	If the custodian is a broke	r-dealer, provide its SEC registration numb		
(f)	If the custodian is not a br	oker-dealer, or is a broker-dealer but does	not have an SEC registration number, provide its legal entity identifier (if any)	
(g)	What amount of your regu \$ 416,605,000	ılatory assets under management attributa	able to separately managed accounts is held at the custodian?	
Item 6 C	other Business Activities			
In this It	tem, we request information abo	ut your firm's other business activities.		
	u are actively engaged in busine			
	` '			
V		r or commodity trading advisor (whether re	egistered or exempt from registration)	
	(4) futures commission mer(5) real estate broker, deale			
	(6) insurance broker or ager	nt		
	(7) bank (including a separa(8) trust company	ately identifiable department or division of a	a bank)	
	(9) registered municipal adv			
	(10) registered security-base(11) major security-based sw			
	(11) major security-based sw (12) accountant or accounting			
	(13) lawyer or law firm			
	(14) other financial product sa	alesperson (specify):		

	If yo	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.		
			Yes	No
В.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0	\odot
	(2)	If yes, is this other business your primary business?	0	0
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name.		
			Yes	No
	(3)	Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?	0	⊙
		If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.		
EC	TION	6.A. Names of Your Other Businesses		
		No Information Filed		
EC	TION	6.B.(2) Description of Primary Business		
Des	scribe	your primary business (not your investment advisory business):		
f y	ou en	gage in that business under a different name, provide that name:		
		6.B.(3) Description of Other Products and Services		
Des	scribe	e other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above.		
f v	nu en	gage in that business under a different name, provide that name:		
ı y	Ju Gii	gage in that business under a different hame, provide that hame.		
		nancial Industry Affiliations		
	nis Ite <i>clier</i>	em, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between yours.	u and	
۹.		part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affiliates.	s and	l
	-	person that is under common control with you.		
		have a <i>related person</i> that is a (check all that apply):		
	~	(1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)(2) other investment adviser (including financial planners)		
		(3) registered municipal advisor		
		(4) registered security-based swap dealer		
	~	(5) major security-based swap participant(6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		(7) futures commission merchant		
		(8) banking or thrift institution		
		(9) trust company		
		(10) accountant or accounting firm(11) lawyer or law firm		
		(12) insurance company or agency		
		(13) pension consultant		
		(14) real estate broker or dealer(15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	nun	te that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-deal Inber of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered as the standard of the standard		
		e that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in nedule D for your relying advisers. You should complete a Schedule R for each relying adviser.	า	
	For	each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.		

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SEC	ΓΙΟΝ 7.A. Financial Industry Affiliations	
Cor	plete a separate Schedule D Section 7.A. for each related person listed in Item 7.A.	
1.	Legal Name of <i>Related Person</i> : GREENWOODS BLOOM CAPITAL MANAGEMENT CO., LIMITED	
2.	Primary Business Name of <i>Related Person</i> : GREENWOODS BLOOM CAPITAL MANAGEMENT CO., LIMITED	
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 802 - 118550 or	
	Other	
4.	Related Person's (a) CRD Number (if any): 308053 (b) CIK Number(s) (if any):	
	No Information Filed	
5.	Related Person is: (check all that apply) (a) Display broker-dealer, municipal securities dealer, or government securities broker or dealer (b) Other investment adviser (including financial planners)	
	 (c) ☐ registered municipal advisor (d) ☐ registered security-based swap dealer (e) ☐ major security-based swap participant 	
	 (f)	
	(i)	
	(k) ☐ lawyer or law firm (l) ☐ insurance company or agency (m) ☐ pension consultant	
	(n) real estate broker or dealer	
	 (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 	
6.	Do you control or are you controlled by the related person?	Yes No ○ ⊙
7.	Are you and the related person under common control?	⊙ ○
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0 0
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> or securities that are maintained at the <i>related person</i> ?	o o o s'funds
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number and Street 2:	
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	
9.	(a) If the related person is an investment adviser, is it exempt from registration?	Yes No ⊙ ⊜
	(b) If the answer is yes, under what exemption? MID-SIZED PRIVATE FUND ADVISER EXEMPTION	
10.	(a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	○ ●
11.	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	⊙ ○
	Do you and the <i>related person</i> share the same physical location?	
12.	20 year and the related person share the same physical location:	0 0

	_	al Name of <i>Related Person</i> : NGHAI GREENWOODS EQUITY INVESTMENT COMPANY LIMITED		
) 		nary Business Name of <i>Related Person</i> : INGHAI GREENWOODS EQUITY INVESTMENT COMPANY LIMITED		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	÷r		
ļ.		ated Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	No
i.	·	you control or are you controlled by the related person?	0	•
' .	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
١.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	_
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
			Yes	No
).	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\odot	О
		If the answer is yes, under what exemption? SECTION 203(B)(3) FOREIGN PRIVATE ADVISER		
0.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		~
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Other - PEOPLE'S REPUBLIC OF CHINA - ASSET MANAGEMENT ASSOCIATION OF CHINA		
1.	Do y	you and the related person share any supervised persons?	⊙	С
2.	Do y	you and the related person share the same physical location?	0	•
_				

1. Legal Name of Related Person:

	GRE	ENWOODS CAPITAL MANAGEMENT COMPANY LIMITED		
2.		nary Business Name of <i>Related Person</i> : EENWOODS CAPITAL MANAGEMENT COMPANY LIMITED		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.		ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	` '	registered municipal advisor		
	• •	registered security-based swap dealer		
	` '	major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	()	futures commission merchant		
		banking or thrift institution		
	(i) (i)	trust company accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m) (n)	pension consultant real estate broker or dealer		
	(o)			
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do y	ou control or are you controlled by the related person?	Yes C	No ⊙
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	, No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?		0
	(b)	If the answer is yes, under what exemption? SECTION 203(B)(3) FOREIGN PRIVATE ADVISER		
10	. (a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority Other - PEOPLE'S REPUBLIC OF CHINA - ASSET MANAGEMENT ASSOCIATION OF CHINA		
11	. Do y	you and the related person share any supervised persons?	•	0
12	. Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	-	al Name of <i>Related Person</i> : EENWOODS INVESTMENTS SG PTE. LTD.		
2.		nary Business Name of <i>Related Person</i> : EENWOODS INVESTMENTS SG PTE. LTD.		

3.	Rela	lated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	802	2 - 119833		
	or			
	Oth	er		
4	Dal	lated Daysovia		
4.		lated Person's		
	(a)	CRD Number (if any): 310400		
	(b)	CIK Number(s) (if any):		
	(5)	No Information Filed		
5.	Rela	lated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	☐ futures commission merchant		
	(h)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	awyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	\circ	\odot
7.	Are	you and the related person under common control?	\odot	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds		
		or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	. No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?		
J.			•	0
	(b)	If the answer is yes, under what exemption? PRIVATE FUND ADVISOR EXEMPTION		
		PRIVATE FUND ADVISOR EXEMPTION		
10.	(2)	Is the related person registered with a foreign financial regulatory authority?	_	_
10.			•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority Singapore - Monetary Authority of Singapore		
	_		—	
11.	ַ סע	you and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	0
40	_			
12.	ַ טט	you and the <i>related person</i> share the same physical location?	0	⊙
1.	Lea	gal Name of Related Person:		
	_	EENWOODS INVESTMENT MANAGEMENT LTD.		
2.	Prin	mary Business Name of Related Person:		
	GRI	EENWOODS INVESTMENT MANAGEMENT LTD.		
3.		lated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		2 - 108059		
	or			

		Othe			
	4.	Rela	ted Person's		
		(a)	CRD Number (if any):		
			282382		
		(b)	CIK Number(s) (if any): No Information Filed		
			The information rings		
			ted Person is: (check all that apply)		
		(a) (b)	 □ broker-dealer, municipal securities dealer, or government securities broker or dealer ☑ other investment adviser (including financial planners) 		
		(c)	registered municipal advisor		
		(d)	registered security-based swap dealer		
		(e)	major security-based swap participant		
		(f) (g)	 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 		
		(h)	banking or thrift institution		
		(i)	trust company		
		(j)	accountant or accounting firm		
		(k) (l)	 □ lawyer or law firm □ insurance company or agency 		
		(m)	pension consultant		
		(n)	real estate broker or dealer		
		(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
	6.	Do y	ou control or are you controlled by the related person?		•
	7.	Are :	ou and the related person under common control?	\odot	\circ
	8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
		(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not		•
		(~)	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0	0
			or securities that are maintained at the related person?		
		(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number 2:		
			City: State: Country: ZIP+4/Postal Code:		
			If this address is a private residence, check this box: \square		
	9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
		(b)	If the answer is yes, under what exemption?	⊙	0
		(-)	FOREIGN ADVISER		
		, ,			
	10.		Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	⊙	0
		(b)	Name of Country/English Name of Foreign Financial Regulatory Authority		
			Cayman Islands - Cayman Islands Monetary Authority		
	11.	Do y	ou and the related person share any supervised persons?	•	0
	12.	Do y	ou and the <i>related person</i> share the same physical location?	⊙	0
			Al Name of Paletad Paragram		
		-	al Name of <i>Related Person</i> : NGHAI GREENWOODS ASSET MANAGEMENT CO, LTD.		
			ary Business Name of <i>Related Person</i> : NGHAI GREENWOODS ASSET MANAGEMENT CO, LTD.		
			NOTIAL GREENWOODS ASSET MANAGEMENT CO, ETD.		
	3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		- or			
		Othe			
		_			
		Rela (a)	ted Person's CRD Number (if any):		
П		(~ <i>I</i>			

	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		ated Person is: (check all that apply)		
	. ,	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(I)	 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 		
	(g) (h)	tutures commission merchant banking or thrift institution		
	(i)	trust company		
	(i)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	N
6.	Do y	ou control or are you controlled by the related person?	0	0
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\odot	(
_				
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	0	0
		or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	` '	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
_			Yes	
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	⊙	C
	(b)	If the answer is yes, under what exemption? FOREIGN ADVISOR		
		TOKEIGNADVISOK		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	-
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Other - PEOPLE'S REPUBLIC OF CHINA - ASSET MANAGEMENT ASSOCIATION OF CHINA		
11.	Do y	ou and the related person share any supervised persons?	•	C
12.	Do y	you and the <i>related person</i> share the same physical location?	0	0
				_
1.	Lega	al Name of Related Person:		
	GRE	EENWOODS ASSET MANAGEMENT HONG KONG LIMITED		
2.		nary Business Name of Related Person:		
	GKE	EENWOODS ASSET MANAGEMENT HONG KONG LIMITED		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		- 119863		
	or			
	Othe	er		
4	D-'	ated Person's		
4.		ated Person's CRD Number (if any):		
	(α)	161898		
	(b)	CIK Number(s) (if any):		
		No Information Filed		

5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	,		Yes	No
6.	Do y	you control or are you controlled by the related person?	0	•
				•
7.	Are	you and the related person under common control?	_	_
• •		, ou and the relation person and a comment of the c	⊙	O
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	_	
0.			\circ	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds	\circ	0
		or securities that are maintained at the <i>related person</i> ?		
	(0)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	(c)	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	•	0
	(b)	If the answer is yes, under what exemption?	٠	
	(D)	PRIVATE FUND ADVISOR EXEMPTION		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	•	
	(~)	Name of Country/English Name of Foreign Financial Regulatory Authority		
		Hong Kong - Securities and Futures Commission		
11	Dox	you and the related person share any supervised persons?		_
11.	БО ў	ou and the related person share any supervised persons?	⊙	0
	_			
12.	Do y	you and the <i>related person</i> share the same physical location?	\circ	\odot
tem	1 <i>7 Pi</i>	rivate Fund Reporting		
			Yes	No
В. /	Are v	ou an adviser to any <i>private fund</i> ?	•	_
			٠	U
	-	s," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in	7	
		iction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-tered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you	u are	a
	-	dviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.	1 U/O	u
	In eitl	her case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or simila	ar	
(desig	nation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's	nam	e.
SFC	TION	7.B.(1) Private Fund Reporting		
A	. PRI\	VATE FUND		

Information About the Private Fund

	(a) Name of the <i>private fund</i> :			
	GOLDEN CHINA MASTER FUND			
	(b) Private fund identification number: (include the "805-" prefix also)			
	805-4167121094			
	805-4167121094			
2	Under the laws of what state ar country is the	private fund ergenizadi		
2.	Under the laws of what state or country is the State:	_		
	State.	Country: Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Ti	ustee. or Directors (or <i>person</i>	as serving in a similar capacity):	
	Name of General Partner, Manager, Trustee	· · ·		
	GAO, JIAN			
	JIANG, JINZHI			
	LIU, TAOSHENG			
	WARREN, CLIFFORD YOUNG			
	ZENG, XIAOSONG			
	(b) If filing an umbrolla registration identify t	o filing advisor and/or relying	g adviser(s) that sponsor(s) or manage(s) this private fund.	
	(b) If filling all <i>unibrella registration</i> , identity to	e illing adviser and/or relying	No Information Filed	
			No mornation riled	
	The project of fixed (sheet) all the steer when you			
4.	The <i>private fund</i> (check all that apply; you mu	•	or under a setion 2/s//d) of the Investment Commons Act of 4040	
		• •	y under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the de	nition of investment company	y under section 3(c)(7) of the Investment Company Act of 1940	
5.	List the name and country in English of each	foreign financial regulatory a	authority with which the private fund is registered.	
0.	Name of Country/English Name of Foreign I		· · · · · · · · · · · · · · · · · · ·	
	Other - CAYMAN ISLANDS MONETARY AUTH	-	<u>.</u>	
				\/ \
0	(a) In this a "manator from all in a manator for all a			Yes N
6.	(a) Is this a "master fund" in a master-feede	-		⊙ (
		dentification number (if any) o	of the feeder funds investing in this <i>private fund</i> ?	
	Name of private fund		Private fund identification number	
			80F 2472022226	
	GOLDEN CHINA FUND		805-2172922326	
	GOLDEN CHINA US FUND		805-2172922326 805-2555770054	
	GOLDEN CHINA US FUND			Yes N
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder	-	805-2555770054	Yes N
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund	-		
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder	-	805-2555770054	
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund:	-	805-2555770054	
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number:	-	805-2555770054	
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund:	-	805-2555770054	
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number:	-	805-2555770054	
	GOLDEN CHINA US FUND (c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each	dentification number (if any) o	805-2555770054	0 6
	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also)	dentification number (if any) o	805-2555770054 of the master fund in which this <i>private fund</i> invests?	0 6
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separate	dentification number (if any) of the dentificati	805-2555770054 of the master fund in which this <i>private fund</i> invests?	C 6
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separate	dentification number (if any) of the dentificati	805-2555770054 of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master	C 6
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separately on the funds sep	dentification number (if any) of the dentificati	805-2555770054 of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master	C 6
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separate (find you are filing a single Schedule D, Section of the following questions:	dentification number (if any) of the dentificati	805-2555770054 of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master	C 6
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separately on the following questions: Additional Feeder Fund Information: 2 Recomplete (a) If you are filling a single Schedule D, Section (b) If you are filling a single Schedule D, Section (c) If you are filling a single Schedule D, If you are filling a single Sc	dentification number (if any) of the dentificati	805-2555770054 of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master	-feeder
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separately on the following questions: Additional Feeder Fund Information: 2 Recomplete (a) If you are filling a single Schedule D, Section (b) If you are filling a single Schedule D, Section (c) If you are filling a single Schedule D, If you are filling a single Sc	dentification number (if any) of the dentificati	of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master rangement according to the instructions to this Section 7.B.(1), for each of the feeder fund	-feeder
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separately 1 f you are filing a single Schedule D, Section the following questions: Additional Feeder Fund Information: 2 Recomplete 2 Recomplete 2 Recomplete 2 Recomplete 3 Recom	dentification number (if any) of the dentificati	of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master rangement according to the instructions to this Section 7.B.(1), for each of the feeder fund	-feeder
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separately of the following questions: Additional Feeder Fund Information: 2 Recomplete 2 Recomplete 2 Recomplete 2 Recomplete 3 Recomplete	dentification number (if any) of the dentificati	of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master rangement according to the instructions to this Section 7.B.(1), for each of the feeder fund	-feeder
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separately following questions: Additional Feeder Fund Information: 2 Record 1. If you are filling a single Schedule D, Setton 2. If you are filling a single Schedule D, Setton 3	dentification number (if any) of the dentificati	of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master rangement according to the instructions to this Section 7.B.(1), for each of the feeder fund	-feeder
7.	(c) Is this a "feeder fund" in a master-feeder (d) If yes, what is the name and private fund Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for ear arrangement or reporting on the funds separately following questions: Additional Feeder Fund Information: 2 Record 1. If you are filling a single Schedule D, Setton 2. If you are filling a single Schedule D, Setton 3	dentification number (if any) of the dentificati	of the master fund in which this <i>private fund</i> invests? Interegardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master rangement according to the instructions to this Section 7.B.(1), for each of the feeder fund	-feeder

(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	GAO, JIAN
	JIANG, JINZHI
	LIU, TAOSHENG
	WARREN, CLIFFORD YOUNG
	ZENG, XIAOSONG
(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> : No Information Filed
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
()	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	Name of Country/English Name of Foreign Financial Regulatory Authority
	Other - CAYMAN ISLANDS MONETARY AUTHORITY
(a) (b)	Name of the private fund: GOLDEN CHINA US FUND Private fund identification number: (include the "805-" prefix also) 805-2555770054 Under the laws of what state or country is the private fund organized: State: Country:
	Cayman Islands
(d) (1)	Name (a) of Canaral Partner, Manager, Trustee or Directors (or persons carving in a similar canacity):
(a) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director
	GAO, JIAN
	JIANG, JINZHI
	LIU, TAOSHENG
	WARREN, CLIFFORD YOUNG
	ZENG, XIAOSONG
(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : No Information Filed
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
(-)	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	Name of Country/English Name of Foreign Financial Regulatory Authority

805-2172922326

Other - CAYMAN ISLANDS MONETARY AUTHORITY

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or and each class (or series) invests substantially all of its assets in a single master fund.	-	
_		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of they are also <i>private funds</i> or registered investment companies.	_	⊙ er
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	_	0
		O	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private fund:		
	nougo fana inquiati, fana pintato equity fana fear estate fana estate fana estate fana estate fana.		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 3,378,723,006		
	Ψ 5,57 5,7 25,000		
<u>Owi</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 500,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documen fund).	ts of th	16
13.	Approximate number of the <i>private fund's</i> beneficial owners: 621		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 15%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 84%		
Υου	ır Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	•
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," question blank.	leave	this
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	•	0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) leave this question blank.	ıs "no,	
	Name of Other Adviser to <i>private fund</i> SEC file number CRD number		
	GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898		

19. Are your *clients* solicited to invest in the *private fund*?

NOTE: For purposes of this question, do not consider feeder funds of the private fund.

Yes No

 \circ

Ар 5%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
/ate	Offering Control of the Control of t		
		Ye	es M
Ha	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•) (
lf y	es, provide the <i>private fund's</i> Form D file number (if any):		
	orm D file number		
0	21-368192		
iR۱	ICE PROVIDERS		
ito		V	
(a)	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit?		es I
(,	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	9	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete	ete	•
	questions (b) through (f) separately for each auditing firm.		
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must comquestions (b) through (f) separately for each auditing firm.	plete	
	(b) Name of the auditing firm:		
	ERNST & YOUNG		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	GRAND CAYMAN Cayman Islands	Yes	No
	(d) Is the auditing firm an independent public accountant?	©	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1655		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Υe	es
(g)	Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	0	•
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.		
10	Broker		
		Ye	es
(a)	Does the <i>private fund</i> use one or more prime brokers?	. 6	
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker, you must complete questions (b) through (e) separately for each prime broker.	than	one
	Additional Prime Broker Information : 4 Record(s) Filed.		

(b) Name of the prime broker: DEUTSCHE BANK AG			
(c) If the prime broker is registered with the SEC, its registration	n number:		
- CRD Number (if any):			
(d) Location of prime broker's office used principally by the <i>priva</i>	ate fund (city, state and country):		
City: HONG KONG	State:	Country: China	
(e) Does this prime broker act as custodian for some or all of the	ne private fund's assets?		Yes No ⊙ O
If the answer to question 24.(a) is "yes," respond to questions (b) one prime broker, you must complete questions (b) through (e)		oker the <i>private fund</i> uses. If the <i>priv</i>	ate fund uses more than
(b) Name of the prime broker: GOLDMAN SACHS INTERNATIONAL			
(c) If the prime broker is registered with the SEC, its registration	n number:		
CRD Number (if any):			
(d) Location of prime broker's office used principally by the <i>priva</i> City: HONG KONG	ate fund (city, state and country): State:	Country: China	
(e) Does this prime broker act as custodian for some or all of the	ne <i>private fund's</i> assets?		Yes No ⊙ ⊜
If the answer to question 24.(a) is "yes," respond to questions (b		oker the <i>private fund</i> uses. If the <i>priv</i>	ate fund uses more than
one prime broker, you must complete questions (b) through (e) (b) Name of the prime broker:	separately for each prime broker.		
HSBC BANK PLC			
(c) If the prime broker is registered with the SEC, its registration	n number:		
CRD Number (if any):			
(d) Location of prime broker's office used principally by the <i>priva</i>		_	
City: HONG KONG	State:	Country: China	Yes No
(e) Does this prime broker act as custodian for some or all of the	ne private fund's assets?		es No ⊙ O
If the answer to question 24.(a) is "yes," respond to questions (b) one prime broker, you must complete questions (b) through (e)		oker the <i>private fund</i> uses. If the <i>priv</i>	ate fund uses more than
(b) Name of the prime broker: UBS AG			
(c) If the prime broker is registered with the SEC, its registration	n number:		
-			

CRD Number (if any):

	City:	State:	Country:	
	HONG KONG		China	Υe
(e)	Does this prime broker act as custodian for some or al	I of the <i>private fund's</i> assets?		6
	the private fund use any custodians (including the prime	·		
	answer to question 25.(a) is "yes," respond to questions dian, you must complete questions (b) through (g) sepa		dian the <i>private fund</i> uses. If the <i>private</i>	fund uses more than
Addi	tional Custodian Information : 10 Record(s) Filed.			
	ne answer to question 25.(a) is "yes," respond to question to duestion to questions (b) through (g) se		odian the <i>private fund</i> uses. If the <i>priva</i>	te fund uses more than
(b)	Legal name of custodian: BNP PARIBAS			
(c)	Primary business name of custodian: BNP PARIBAS			
(d)	The location of the custodian's office responsible for co	ustody of the private fund's assets (city	, state and country):	
	City: HONG KONG	State:	Country: China	
			34	Y
(e)	Is the custodian a <i>related person</i> of your firm?			(
(f)	If the custodian is a broker-dealer, provide its SEC regi	istration number (if any):		
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a broker-dealer	ealer but does not have an SEC regis	tration number, provide its legal entity id	dentifier (if any)
	ne answer to question 25.(a) is "yes," respond to questio todian, you must complete questions (b) through (g) se		odian the <i>private fund</i> uses. If the <i>priva</i>	te fund uses more than
(b)	Legal name of custodian: BNP PARIBAS SECURITIES SERVICES, DUBLIN BRA	NCH		
(c)	Primary business name of custodian: BNP PARIBAS SECURITIES SERVICES, DUBLIN BRA	NCH		
(d)	The location of the custodian's office responsible for cu			
	City: HONG KONG	State:	Country: China	
				Ye
(e)	Is the custodian a related person of your firm?			(
(0)	If the custodian is a broker-dealer, provide its SEC regi	istration number (if any):		
	- Title custodian is a broker-dealer, provide its SEC regi	` <i>,</i>		

	e answer to question 25.(a) is "yes," respond to questions (b) to odian, you must complete questions (b) through (g) separately	G 0,	•	d uses. If the <i>private fund</i> us	ses more than one
(b)	Legal name of custodian: CHINA MERCHANTS SECURITIES COMPANY LIMITED				
(c)	Primary business name of custodian: CHINA MERCHANTS SECURITIES COMPANY LIMITED				
(d)	The location of the custodian's office responsible for <i>custody</i> of	of the <i>private fund</i> 's	assets (city, state and country):		
	City: SHENZHEN, GUANGDONG		State:	Country: China	
(e)	Is the custodian a related person of your firm?				Yes No ○ ⊙
(f)	If the custodian is a broker-dealer, provide its SEC registration	number (if any):			
	- CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer bu 30030060IEIMX476KH87	t does not have an	SEC registration number, provi	de its <i>legal entity identifier</i> (if any)
	e answer to question 25.(a) is "yes," respond to questions (b) toodian, you must complete questions (b) through (g) separately			d uses. If the <i>private fund</i> us	ses more than one
(b)	Legal name of custodian: CICC FINANCIAL TRADING LIMITED				
(c)	Primary business name of custodian: CICC FINANCIAL TRADING LIMITED				
(d)	The location of the custodian's office responsible for <i>custody</i> of City: HONG KONG	of the <i>private fund's</i> State:	assets (city, state and country): Country China		
					Yes No
(e)	Is the custodian a related person of your firm?				0 0
(f)	If the custodian is a broker-dealer, provide its SEC registration	number (if any):			
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer bu 5299007S28V6QGNXK514	t does not have an	SEC registration number, provi	de its <i>legal entity identifier</i> (if any)
	e answer to question 25.(a) is "yes," respond to questions (b) to odian, you must complete questions (b) through (g) separately			d uses. If the <i>private fund</i> us	ses more than one
(b)	Legal name of custodian: DEUTSCHE BANK AG				
(c)	Primary business name of custodian: DEUTSCHE BANK AG				
(d)	The location of the custodian's office responsible for custody of	of the <i>private fund</i> 's	assets (city, state and country):		
	City:	State:	Country:		

Hong Kong

HONG KONG

(e) Is the custodian a <i>related person</i> of your firm?	0	© No
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):		
- CRD Number (if any):		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity ider	ntifier (if any)	
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> custodian, you must complete questions (b) through (g) separately for each custodian.	fund uses more than o	one
(b) Legal name of custodian: GOLDMAN SACHS INTERNATIONAL		
(c) Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL		
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
City: State: Country: HONG KONG China		
(e) Is the custodian a <i>related person</i> of your firm?	Yes	
	O	•
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any): -		
CRD Number (if any):		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity ider</i> 549300MHAX26P4JQQ658	ntifier (if any)	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity ider	ntifier (if any)	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity ider		one
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity iden 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private in the private fund uses.		one
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity ider</i> 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:		one
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity ider</i> 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: HSBC BANK PLC (c) Primary business name of custodian: HSBC BANK PLC (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		one
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity ider</i> 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: HSBC BANK PLC (c) Primary business name of custodian: HSBC BANK PLC		one
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity ider</i> 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: HSBC BANK PLC (c) Primary business name of custodian: HSBC BANK PLC (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity idea 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: HSBC BANK PLC (c) Primary business name of custodian: HSBC BANK PLC (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: HONG KONG China	fund uses more than o	No
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity idea 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: HSBC BANK PLC (c) Primary business name of custodian: HSBC BANK PLC (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: HONG KONG State: Country: China (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	fund uses more than o	No
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity iden</i> 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: HSBC BANK PLC (c) Primary business name of custodian: HSBC BANK PLC (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: HONG KONG State: Country: HONG KONG (e) Is the custodian a <i>related person</i> of your firm?	fund uses more than o	No
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity idea 549300MHAX26P4JQQ658 If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: HSBC BANK PLC (c) Primary business name of custodian: HSBC BANK PLC (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: HONG KONG State: Country: China (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	fund uses more than o	No

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(0)	J.P. MORGAN SECURITIES PLC			
(c)	Primary business name of custodian: J.P. MORGAN SECURITIES PLC			
(d)	The location of the custodian's office responsible for custody	of the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	HONG KONG		China	Yes No
(e)	Is the custodian a related person of your firm?			0 0
(f)	If the custodian is a broker-dealer, provide its SEC registration	n number (if any):		
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a broker-dealer bu	ut does not have an SEC re	gistration number, provide its <i>legal entity ide</i>	entifier (if any)
	e answer to question 25.(a) is "yes," respond to questions (b) odian, you must complete questions (b) through (g) separate		ustodian the <i>private fund</i> uses. If the <i>private</i>	fund uses more than one
(b)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL			
(c)	Primary business name of custodian: MERRILL LYNCH INTERNATIONAL			
(d)	The location of the custodian's office responsible for <i>custody</i>	of the <i>private fund's</i> assets	city, state and country):	
	City:	State:	Country:	
	HONG KONG		China	Yes No
(e)	Is the custodian a <i>related person</i> of your firm?			res no
(f)	If the custodian is a broker-dealer, provide its SEC registration	n number (if any):		
	- CRD Number (if any):			
	CRD Number (ii any).			
				erri err
(g)	If the custodian is not a broker-dealer, or is a broker-dealer bu	ut does not have an SEC re	gistration number, provide its <i>legal entity ide</i>	entifier (if any)
	e answer to question 25.(a) is "yes," respond to questions (b) odian, you must complete questions (b) through (g) separate		ustodian the <i>private fund</i> uses. If the <i>private</i>	fund uses more than one
ouo	odian, you must complete questions (b) imough (g) coparate	y for each odeledian.		
	Legal name of custodian: UBS AG			
	Primary business name of custodian: UBS AG			
(d)	The location of the custodian's office responsible for <i>custody</i>	of the <i>private fund's</i> assets	city, state and country):	
	City:	State:	Country:	
	HONG KONG		China	Vac Na
(e)	Is the custodian a related person of your firm?			Yes No
. /	, ,			0 0
(f)	If the custodian is a broker-dealer, provide its SEC registration	n number (if anv):		

	CRD Number (if any):			
	(g) If the custodian is not a broker-dealer, or is a broker-dea	ller but does not have an SEC regist	ration number, provide its legal entity i	identifier (if any)
				'
Adminis	<u>trator</u>			
26. (a)	Does the <i>private fund</i> use an administrator other than your firm	?		Yes No
- ()	If the answer to question 26.(a) is "yes," respond to questions (l		nd uses more than one administrator,	
	(b) through (f) separately for each administrator.			
	Additional Administrator Information : 1 Record(s) Filed.			
	If the answer to question 26.(a) is "yes," respond to questions questions (b) through (f) separately for each administrator.	s (b) through (f) below. If the <i>private</i>	fund uses more than one administrato	or, you must complete
	(b) Name of administrator:			
	BNP PARIBAS SECURITIES SERVICES, HONG KONG E	BRANCH		
	(c) Location of administrator (city, state and country):			
	City: HONG KONG	State:	Country: China	
				Yes No
	(d) Is the administrator a <i>related person</i> of your firm?			○ ●
	(e) Does the administrator prepare and send investor account of Yes (provided to all investors) ☐ Some (provided to see the context of the c	·		
	(f) If the answer to question 26.(e) is "no" or "some," who so statements are not sent to the (rest of the) <i>private fund's</i>			stors? If investor account
27. Dur 100	ng your last fiscal year, what percentage of the <i>private fund's</i> as	ssets (by value) was valued by a <i>per</i>	s <i>on</i> , such as an administrator, that is n	ot your related person?
	ude only those assets where (i) such <i>person</i> carried out the valuation used for purposes of investor subscriptions, redemptions con.	·		
Markete	<u>rs</u>			
28. (a)	Does the <i>private fund</i> use the services of someone other than y	you or your <i>employ</i> ees for marketing	n nurnoses?	Yes No
	You must answer "yes" whether the <i>person</i> acts as a placement to question 28.(a) is "yes," respond to questions (b) through (g) must complete questions (b) through (g) separately for each m	nt agent, consultant, finder, introduce below for each such marketer the p	er, municipal advisor or other solicitor,	· · · · · · · · · · · · · · · · · · ·
	Additional Marketer Information : 3 Record(s) Filed.			
	You must answer "yes" whether the <i>person</i> acts as a placem answer to question 28.(a) is "yes," respond to questions (b) to one marketer, you must complete questions (b) through (g) s	through (g) below for each such mar		·
				Yes No
	(b) Is the marketer a related person of your firm?			○ ●
	(c) Name of the marketer: AGECROFT PARTNERS, LLC			
	(d) If the marketer is registered with the SEC, its file number	r (e.g., 801-, 8-, or 866-):		
	8 - 67198			

City:	State:	Country:	
RICHMOND	Virginia	United States	
			Yes
f) Does the marketer market the <i>p</i>	rivate fund through one or more websites?		O
(g) If the answer to question 28.(f) i	s "yes," list the website address(es):		
	No Infor	nation Filed	
answer to question 28.(a) is "yes," re		, finder, introducer, municipal advisor or other so each such marketer the <i>private fund</i> uses. If the parketer.	
			Yes
(b) Is the marketer a related person	of your firm?		0
c) Name of the marketer: ARISTAGORA ADVISORS CO., L	.TD.		
d) If the marketer is registered with	the SEC, its file number (e.g., 801-, 8-, or 866	3-):	
and CRD Number (if any):			
e) Location of the marketer's office	used principally by the <i>private fund</i> (city, state	and country):	
City: TOKYO	State:	Country: Japan	
TOKTO		σαραπ	Yes
f) Does the marketer market the p	rivate fund through one or more websites?		0
(a) If the answer to question 28 (f) i	s "yes," list the website address(es):		
g) if the answer to question 20.(i) i	No Infor	nation Filed	
g) if the thiswer to question 20.(() i	NO IIIIOII		
ou must answer "yes" whether the	person acts as a placement agent, consultan	r, finder, introducer, municipal advisor or other so	
ou must answer "yes" whether the answer to question 28.(a) is "yes," re	person acts as a placement agent, consultan	each such marketer the <i>private fund</i> uses. If the j	
ou must answer "yes" whether the answer to question 28.(a) is "yes," roone marketer, you must complete qu	person acts as a placement agent, consultan espond to questions (b) through (g) below for uestions (b) through (g) separately for each m	each such marketer the <i>private fund</i> uses. If the j	orivate fund uses more tha Yes
ou must answer "yes" whether the answer to question 28.(a) is "yes," roone marketer, you must complete qu	person acts as a placement agent, consultan espond to questions (b) through (g) below for uestions (b) through (g) separately for each m	each such marketer the <i>private fund</i> uses. If the j	orivate fund uses more tha
You must answer "yes" whether the answer to question 28.(a) is "yes," roone marketer, you must complete question by the marketer a related personate.	person acts as a placement agent, consultan espond to questions (b) through (g) below for uestions (b) through (g) separately for each me of your firm?	each such marketer the <i>private fund</i> uses. If the j	orivate fund uses more tha Yes
You must answer "yes" whether the answer to question 28.(a) is "yes," report one marketer, you must complete question by the marketer a related personate. Noame of the marketer: NOAH HOLDINGS (HONG KONG)	person acts as a placement agent, consultan espond to questions (b) through (g) below for uestions (b) through (g) separately for each me of your firm?	each such marketer the <i>private fund</i> uses. If the parketer.	orivate fund uses more tha Yes
You must answer "yes" whether the answer to question 28.(a) is "yes," report one marketer, you must complete question by the marketer a related personate. Noame of the marketer: NOAH HOLDINGS (HONG KONG)	person acts as a placement agent, consultan espond to questions (b) through (g) below for uestions (b) through (g) separately for each more of your firm?	each such marketer the <i>private fund</i> uses. If the parketer.	orivate fund uses more tha Yes
You must answer "yes" whether the answer to question 28.(a) is "yes," rome marketer, you must complete question by Is the marketer a related personant (c) Name of the marketer: NOAH HOLDINGS (HONG KONG) (d) If the marketer is registered with and CRD Number (if any):	person acts as a placement agent, consultant espond to questions (b) through (g) below for questions (b) through (g) separately for each method of your firm? G) LIMITED the SEC, its file number (e.g., 801-, 8-, or 866) used principally by the private fund (city, state)	each such marketer the <i>private fund</i> uses. If the parketer.	orivate fund uses more tha Yes
You must answer "yes" whether the answer to question 28.(a) is "yes," roone marketer, you must complete question by Is the marketer a related personant (a) If the marketer is registered with and CRD Number (if any):	person acts as a placement agent, consultant espond to questions (b) through (g) below for uestions (b) through (g) separately for each mean of your firm? G) LIMITED the SEC, its file number (e.g., 801-, 8-, or 866)	each such marketer the <i>private fund</i> uses. If the parketer.	orivate fund uses more tha Yes
You must answer "yes" whether the answer to question 28.(a) is "yes," report one marketer, you must complete question is the marketer a related personant (c) Name of the marketer: NOAH HOLDINGS (HONG KONG) (d) If the marketer is registered with and CRD Number (if any): (e) Location of the marketer's office City: HONG KONG	person acts as a placement agent, consultant espond to questions (b) through (g) below for questions (b) through (g) separately for each method of your firm? G) LIMITED the SEC, its file number (e.g., 801-, 8-, or 866) used principally by the private fund (city, state)	each such marketer the <i>private fund</i> uses. If the parketer. S-): e and country): Country:	orivate fund uses more tha Yes

. Pl	RIVATE FUND				
for	mation About the Private Fund				
١.	(a) Name of the <i>private fund</i> :				
	GOLDEN CHINA PLUS MASTER FUND				
	(b) Private fund identification number:				
	(include the "805-" prefix also)				
	805-7383521679				
		anta formal a managina di			
<u> </u>	Under the laws of what state or country is the <i>priv</i>	_			
	State:	Country: Cayman Islands			
3.	(a) Name(s) of General Partner, Manager, Truste	ee, or Directors (or <i>persons</i> serving ir	n a similar capacity):		
	Name of General Partner, Manager, Trustee, or	Director			
	GAO, JIAN				
	JIANG, JINZHI				
	LIU, TAOSHENG				
	WARREN, CLIFFORD YOUNG				
	ZENG, XIAOSONG				
	(b) If filing an <i>umbrella registration</i> , identify the fi	ling adviser and/or relying adviser(s)	that sponsor(s) or manage(s) this <i>private fund</i> .		
		No Inform	nation Filed		
5.	List the name and country, in English, of each for		h which the <i>private fund</i> is registered.		
	Name of Country/English Name of Foreign Final Other - CAYMAN ISLANDS MONETARY AUTHOR				
	(a) Is this a "master fund" in a master-feeder arr	angement?		Yes	
٦.		-	an formula invocation in their multiple formula	⊙	С
	(b) If yes, what is the name and <i>private fund</i> iden	tilication number (if any) of the feede			
	Name of private fund GOLDEN CHINA PLUS (US) FUND		Private fund identification number 805-3764689640		
	GOLDEN CHINA PLUS FUND		805-2864109210		
	COLDEN OF MAKE LOCA CIAD		000 2004100210		
				Yes	Nc
	(c) Is this a "feeder fund" in a master-feeder arra	angement?		0	
	(d) If yes, what is the name and <i>private fund</i> iden	_	er fund in which this <i>private fund</i> invests?		٠
	Name of <i>private fund</i> :	timeation rights of the mast	strand in which the private rand investe.		
	Private fund identification number:				
	(include the "805-" prefix also)				
	NOTE: You must complete question 6 for each marrangement or reporting on the funds separately		s of whether you are filing a single Schedule D, Section 7.B.(1) for the maste	er-feede	r
7.	If you are filing a single Schedule D, Section 7.B. the following questions:	(1) for a master-feeder arrangement a	according to the instructions to this Section 7.B.(1), for each of the feeder ful	nds ans	wer
	Additional Feeder Fund Information : 2 Record(s	s) Filed.			

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder

(a) Name of the private fund. GOLDEN CHINA PLUS (US) FUND (b) Private fund identification number: (include the "805-" prefix also) 805-3764689640 (c) Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Director GAO, JIAN JIANG, JINZH LIU, TAOSHENG WARREN, CLIFFORD YOUNG ZENG, XIAOSONG (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ▼ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (l) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. Name of Country/English Name of Foreign Financial Regulatory Authority	
(b) Private fund identification number: (include the "805-" prefix also) 805-3764689640 (c) Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Directors GAO, JIAN JIANG, JINZHI LIU, TAOSHENG WARREN, CLIFFORD YOUNG ZENG, XIAOSONG (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☐ (3) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
(include the "805-" prefix also) 805-3764689640 (c) Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Director GAO, JIAN JIANG, JINZHI LIU, TAOSHENG WARREN, CLIFFORD YOUNG ZENG, XIAOSONG (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (7) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
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JIANG, JINZHI LIU, TAOSHENG WARREN, CLIFFORD YOUNG ZENG, XIAOSONG (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (f) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
LIU, TAOSHENG WARREN, CLIFFORD YOUNG ZENG, XIAOSONG (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (f) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
WARREN, CLIFFORD YOUNG ZENG, XIAOSONG (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (b) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
ZENG, XIAOSONG (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (f) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
 (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed (e) The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (f) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. 	
No Information Filed (e) The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (5) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
 □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. 	
 □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 (f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. 	
(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
Name of Country/English Name of Foreign Financial Regulatory Authority	
Other - CAYMAN ISLANDS MONETARY AUTHORITY	
7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the funds answer the following questions:	eder
(a) Name of the <i>private fund</i> :	
GOLDEN CHINA PLUS FUND	
(b) Private fund identification number:	
(include the "805-" prefix also)	
805-2864109210	
(c) Under the laws of what state or country is the <i>private fund</i> organized:	
(c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country:	
Country. Cayman Islands	
(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):	
Name of General Partner, Manager, Trustee or Director GAO, JIAN	
JIANG, JINZHI	
LIU, TAOSHENG	
WARREN, CLIFFORD YOUNG	
ZENG, XIAOSONG	
1 A 1 1 W 1 A 1 A 1 A 1 A 1 A 1 A 1 A 1	
ZENO, AIAOOONO	
(d) (2) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :	

(e) The *private fund* (check all that apply; you must check at least one):

		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		1
		Other - CAYMAN ISLANDS MONETARY AUTHORITY		
	("master fu	r purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or inclass (or series) invests substantially all of its assets in a single master fund.	nteres	sts,
8.	(a) Is this	private fund a "fund of funds"?	Yes	No.
		r purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of w so private funds or registered investment companies.		~
	(b) If yes,	does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	С
			Yes	No
9.		Ir last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ey market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type	of fund is the private fund?		
	• hedge	fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other <i>private fund</i> :		
	NOTE: For	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gre \$ 158,231	oss asset value of the <i>private fund</i> : 440		
<u>Ow</u>	nership			
12.	Minimum i \$ 500,000	nvestment commitment required of an investor in the <i>private fund</i> :		
		port the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents	s of th	ıe
13.	Approxima	te number of the <i>private fund's</i> beneficial owners:		
14.	What is the	e approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) What i	s the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales fund limited to qualified clients?	Yes O	
16.	What is the	e approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ur Advisory	<u>Services</u>		
17.	(a) Are yo	u a subadviser to this <i>private fund</i> ?	Yes	
	` '	answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," le on blank.	_	_
	440311	No Information Filed		
			Yes	No
18.	. ,	y investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i> answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) is	⊙ s "no,	0

GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161	1898		
	`	Yes	No
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?		0	•
NOTE: For purposes of this question, do not consider feeder funds of the private fund.			
20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?			
0%			
Private Offering			
	١	Yes	No
21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?		•	0
22. If yes, provide the <i>private fund's</i> Form D file number (if any):			
Form D file number			
021-363079			
B. SERVICE PROVIDERS			
Auditors			
	,	Yes	No
23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		•	0
(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?		•	0
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you mus questions (b) through (f) separately for each auditing firm.	st complete		
Additional Auditor Information : 1 Record(s) Filed.			
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you m questions (b) through (f) separately for each auditing firm.	nust complete)	
(b) Name of the auditing firm:			
ERNST & YOUNG			
(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):			
City: State: Country:			
GRAND CAYMAN Cayman Islands			
	Ye	s N	5
(d) Is the auditing firm an independent public accountant?	•	(>
(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	_		
(e) is the additing initi registered with the Fubile Company Accounting Oversight Board?	•	C)
If yes, Public Company Accounting Oversight Board-Assigned Number:			
1655			
(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with it	ts rules? 🌀	()
(g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	·	Yes	
		⊙	0
(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions?			
If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is availab	ble.		
Prime Broker	-	.,	
24. (a) Does the <i>private fund</i> use one or more prime brokers?	·	Yes	
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses prime broker, you must complete questions (b) through (e) separately for each prime broker.	ses more tha		_

leave this question blank.

	ne answer to question 24.(a) is "yes," respond to qu	estions (b) through (e) below for each prime	broker the private fund uses. If the private	vate fund uses more than
	prime broker, you must complete questions (b) th		,	
(b)	Name of the prime broker: GOLDMAN SACHS INTERNATIONAL			
(c)	If the prime broker is registered with the SEC, its	registration number:		
	- CRD Number (if any):			
(d)	Location of prime broker's office used principally b	by the private fund (city, state and country):		
	City:	State:	Country:	
	HONG KONG		China	
(e)	Does this prime broker act as custodian for some	or all of the <i>private fund's</i> assets?		Yes No
	ne answer to question 24.(a) is "yes," respond to que prime broker, you must complete questions (b) the		broker the <i>private fund</i> uses. If the <i>private</i>	rate fund uses more than
(b)	Name of the prime broker: HSBC BANK PLC			
(c)	If the prime broker is registered with the SEC, its	registration number:		
	CRD Number (if any):			
(d)	Location of prime broker's office used principally b	by the <i>private fund</i> (city, state and country): State:	Country:	
	HONG KONG	Glate.	China	
				Yes N
	Does this prime broker act as custodian for some	or all of the <i>private fund's</i> assets?		⊙ C
(e)				
(e)				Yes
	the <i>private fund</i> use any custodians (including the	prime brokers listed above) to hold some or	all of its assets?	Yes
oes the		stions (b) through (g) below for each custodia		•
oes the	the <i>private fund</i> use any custodians (including the answer to question 25.(a) is "yes," respond to ques	stions (b) through (g) below for each custodia		•
oes istoo addid	the <i>private fund</i> use any custodians (including the answer to question 25.(a) is "yes," respond to quesdian, you must complete questions (b) through (g)	etions (b) through (g) below for each custodianseparately for each custodian.	an the <i>private fund</i> uses. If the <i>private f</i>	und uses more than one
oes ustoo addit	the <i>private fund</i> use any custodians (including the answer to question 25.(a) is "yes," respond to quesdian, you must complete questions (b) through (g) tional Custodian Information: 7 Record(s) Filed.	etions (b) through (g) below for each custodianseparately for each custodian.	an the <i>private fund</i> uses. If the <i>private f</i>	und uses more than one
oes istoo addid	the <i>private fund</i> use any custodians (including the answer to question 25.(a) is "yes," respond to questian, you must complete questions (b) through (g) tional Custodian Information: 7 Record(s) Filed. The answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through (g) Legal name of custodian:	etions (b) through (g) below for each custodianseparately for each custodian.	an the <i>private fund</i> uses. If the <i>private f</i>	und uses more than one
opes the sistocond additional lift the cus (b)	the <i>private fund</i> use any custodians (including the answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through (g) tional Custodian Information: 7 Record(s) Filed. The answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through (g) Legal name of custodian: BNP PARIBAS Primary business name of custodian:	stions (b) through (g) below for each custodianseparately for each custodian. Testions (b) through g) below for each custodiansestions (b) through g) below for each custodian.	an the private fund uses. If the private fund uses and the private fund uses. If the private fund uses and country):	und uses more than one
opes the stoo addit	the <i>private fund</i> use any custodians (including the answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through (g) tional Custodian Information: 7 Record(s) Filed. The answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through (g) Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible City:	stions (b) through (g) below for each custodianseparately for each custodian. Testions (b) through g) below for each custodiansestions (b) through g) separately for each custodian.	an the private fund uses. If the private fund uses and the private fund uses. If the private state and country): Country:	und uses more than one
ddiff the cus	the <i>private fund</i> use any custodians (including the answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through (g) tional Custodian Information: 7 Record(s) Filed. The answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through (g) Legal name of custodian: BNP PARIBAS The location of the custodian's office responsible in the private function of the cust	stions (b) through (g) below for each custodianseparately for each custodian. Testions (b) through g) below for each custodiansestions (b) through g) below for each custodian.	an the private fund uses. If the private fund uses and the private fund uses. If the private fund uses and country):	und uses more than one

(f)	If the custodian is a broker-dealer, provide its SEC registration number (if any):		
	- CRD Number (if any):		
	CND Number (II any).		
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)		
	the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more to	han c	ne
cus	stodian, you must complete questions (b) through (g) separately for each custodian.		
(b)	Legal name of custodian:		
	BNP PARIBAS SECURITIES SERVICES, DUBLIN BRANCH		
(-)			
(C)	Primary business name of custodian: BNP PARIBAS SECURITIES SERVICES, DUBLIN BRANCH		
(d)	The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
	City: State: Country: HONG KONG China		
		Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?	0	•
(f)	If the custodian is a broker-dealer, provide its SEC registration number (if any):		
	- CRD Number (if any):		
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)		
	the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more t stodian, you must complete questions (b) through (g) separately for each custodian.	han c	ne
Cus	stodian, you must complete questions (b) through (g) separately for each custodian.		
(b)	Legal name of custodian:		
	CHINA MERCHANTS SECURITIES COMPANY LIMITED		
(c)	Primary business name of custodian:		
(0)	CHINA MERCHANTS SECURITIES COMPANY LIMITED		
(d)	The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
	City: State: Country: SHENZHEN, GUANGDONG China		
	City: State: Country:	Yes	No
(e)	City: State: Country:	Yes O	No ⊙
(e)	City: State: Country: SHENZHEN, GUANGDONG China Is the custodian a <i>related person</i> of your firm?	_	
(e) (f)	City: State: Country: SHENZHEN, GUANGDONG China Is the custodian a <i>related person</i> of your firm?	_	
	City: SHENZHEN, GUANGDONG State: Country: China Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): -	_	
	City: State: Country: SHENZHEN, GUANGDONG China Is the custodian a <i>related person</i> of your firm?	_	
	City: SHENZHEN, GUANGDONG State: Country: China Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any):	_	
	City: State: Country: China Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)	_	
(f)	City: SHENZHEN, GUANGDONG State: Country: China Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any):	_	
(f)	City: State: Country: China Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)	_	
(f)	City: State: Country: China Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)	c	•

custodian, you must complete questions (b) through (g) separately for each custodian.

(b) Legal name of custodian:
GOLDMAN SACHS INTERNATIONAL

(c)	Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL				
(d)	The location of the custodian's office responsible for <i>custody</i> of the p	orivate fund's assets (cit	ty, state and country):		
	City: HONG KONG	State:	Country: China		
			·	Yes N	No
(e)	Is the custodian a <i>related person</i> of your firm?			0 (•
(f)	If the custodian is a broker-dealer, provide its SEC registration numb	per (if any):			
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does	not have an SEC regis	stration number, provide its legal entity identi	fier (if any)	
	e answer to question 25.(a) is "yes," respond to questions (b) through todian, you must complete questions (b) through (g) separately for each		stodian the <i>private fund</i> uses. If the <i>private fu</i>	nd uses more than one	e
(b)	Legal name of custodian: HSBC BANK PLC				
(c)	Primary business name of custodian: HSBC BANK PLC				
(d)	The location of the custodian's office responsible for <i>custody</i> of the p	orivate fund's assets (cit	ty, state and country):		
	City: HONG KONG	State:	Country: China		
	HONG KONG		Giilla	Yes N	No
(e)	Is the custodian a <i>related person</i> of your firm?			0 (•
(f)	If the custodian is a broker-dealer, provide its SEC registration numb	per (if any):			
	- CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does	not have an SEC regis	stration number, provide its legal entity identi	fier (if any)	
	e answer to question 25.(a) is "yes," respond to questions (b) throughtodian, you must complete questions (b) through (g) separately for each		stodian the <i>private fund</i> uses. If the <i>private fu</i>	nd uses more than on	е
	Legal name of custodian: MERRILL LYNCH INTERNATIONAL	acii custodian.			
(c)	Primary business name of custodian: MERRILL LYNCH INTERNATIONAL				
(d)	The location of the custodian's office responsible for <i>custody</i> of the				
	City: HONG KONG	State:	Country: China		
				Yes N	No
(e)	Is the custodian a related person of your firm?			0 (•
(f)	If the custodian is a broker-dealer, provide its SEC registration numb	per (if any):			
	- CRD Number (if any):				

	ne answer to question 25.(a) is "yes," respond to ques stodian, you must complete questions (b) through (g)		•	vate fund uses more tha
	Legal name of custodian:			
	THE HONGKONG AND SHANGHAI BANKING CORPO	ORATION LIMITED		
(c)	Primary business name of custodian: THE HONGKONG AND SHANGHAI BANKING CORPO	ORATION LIMITED		
(d)	The location of the custodian's office responsible for	custody of the private fund's	assets (city, state and country):	
	City: HONG KONG	State:	Country: China	
	HONG KONG		Cillia	Y
(e)	Is the custodian a <i>related person</i> of your firm?			(
(f)	If the custodian is a broker-dealer, provide its SEC re	egistration number (if any):		
	-			
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a broker-2HI3YI5320L3RW6NJ957	dealer but does not have an	SEC registration number, provide its legal entity	ridentifier (if any)
rator Does	the <i>private fund</i> use an administrator other than your	firm?		
Does f the			e <i>private fund</i> uses more than one administrator	, you must complete qu
Does f the (b) thr	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question		e <i>private fund</i> uses more than one administrator	, you must complete qu
Does f the (b) thr Addi	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator.	ns (b) through (f) below. If the		
Does f the (b) thr Addir If th	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. Itional Administrator Information: 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator.	ns (b) through (f) below. If the		
Does f the (b) thr Addir If th	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. Itional Administrator Information: 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to question 26.	ns (b) through (f) below. If the tions (b) through (f) below. If the tions (b) through (f) below. If the tions.		
Does f the (b) thr Addit If th que (b)	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. Itional Administrator Information: 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator:	ns (b) through (f) below. If the tions (b) through (f) below. If the tions (b) through (f) below. If the tions.		
Does f the (b) thr Addit If th que (b)	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. Itional Administrator Information: 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG KON Location of administrator (city, state and country): City:	ns (b) through (f) below. If the tions (b) through (f) below. If the tions (b) through (f) below. If the tions.	the <i>private fund</i> uses more than one administrat	
Does f the (b) thr Addit If th que (b)	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. tional Administrator Information: 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG KON Location of administrator (city, state and country):	ns (b) through (f) below. If the tions (b) through (f) below. If the or.	the <i>private fund</i> uses more than one administrat	tor, you must complete
Does f the b) thn Addit If tr que (b)	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. Itional Administrator Information: 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG KON Location of administrator (city, state and country): City:	ns (b) through (f) below. If the tions (b) through (f) below. If the or.	the <i>private fund</i> uses more than one administrat	tor, you must complete
Does f the b) thn Addit If tr que (b)	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. tional Administrator Information: 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG KON Location of administrator (city, state and country): City: HONG KONG	ns (b) through (f) below. If the tions (b) through (f) below. If the or. NG BRANCH State:	the <i>private fund</i> uses more than one administrated the private fund uses the private fund use	tor, you must complete
Does f the (b) thr Addit If th que (b) (c)	the <i>private fund</i> use an administrator other than your answer to question 26.(a) is "yes," respond to question rough (f) separately for each administrator. Itional Administrator Information : 1 Record(s) Filed. The answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG KON Location of administrator (city, state and country): City: HONG KONG Is the administrator a <i>related person</i> of your firm?	tions (b) through (f) below. If the tions (b) through (f) below. If the private the transfer of the private to	the <i>private fund</i> uses more than one administrated the private fund uses for the private fund uses fund uses fund uses for the private fund uses for the private fund uses for the private fund uses fund uses for the private fund uses fund uses for the private fund uses for the private fund uses for the private fund uses fund uses for the private fund uses for the private fund uses fund uses for the private fund uses fund uses for the private fund uses fu	tor, you must complete

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*? 100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

	the private fund use the services of someone other than you or your employees for marketing purposes?	
-	must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or estion 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses complete questions (b) through (g) separately for each marketer.	
Addi	itional Marketer Information : 3 Record(s) Filed.	
ans	nu must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, swer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private</i> e marketer, you must complete questions (b) through (g) separately for each marketer.	· · · · · · · · · · · · · · · · · · ·
		Yes
(b)	Is the marketer a <i>related person</i> of your firm?	0
(c)	Name of the marketer: AGECROFT PARTNERS, LLC	
(d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): 8 - 67198	
	and CRD Number (if any): 139227	
(e)	Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):	
	City: State: Country: RICHMOND Virginia United States	
	RICHWOND VIIginia Officed States	Ye
(f)	Does the marketer market the <i>private fund</i> through one or more websites?	0
(g)	If the answer to question 28.(f) is "yes," list the website address(es):	
(9)	No Information Filed	
ans	the must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, swer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private</i> e marketer, you must complete questions (b) through (g) separately for each marketer.	•
		Ye
(b)	Is the marketer a <i>related person</i> of your firm?	
(b)	Is the marketer a <i>related person</i> of your firm?	0
(b)		O
(c)	Name of the marketer:	0
(c)	Name of the marketer: ARISTAGORA ADVISORS CO., LTD.	O
(c)	Name of the marketer: ARISTAGORA ADVISORS CO., LTD. If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): - and CRD Number (if any): Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):	
(c)	Name of the marketer: ARISTAGORA ADVISORS CO., LTD. If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): - and CRD Number (if any):	
(c)	Name of the marketer: ARISTAGORA ADVISORS CO., LTD. If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): - and CRD Number (if any): Location of the marketer's office used principally by the <i>private fund</i> (city, state and country): City: State: Country:	Yes

	one marketer, you must complete questions (b) through (g) separately for each marketer.	id uses more man
	(b) Is the marketer a <i>related person</i> of your firm?	Yes No
	(b) Is the marketer a related person of your min.	0 0
	(c) Name of the marketer: NOAH HOLDINGS (HONG KONG) LIMITED	
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):	
	and CRD Number (if any):	
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):	
	City: State: Country: HONG KONG China	Yes No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	0 0
	(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed	
	<u>L</u>	
A. P	RIVATE FUND	
<u>Info</u>	rmation About the <i>Private Fund</i>	
1	(a) Name of the private fund:	
1.	(a) Name of the private fund: GREENWOODS BALANCED FUND	
	(b) Private fund identification number:	
	(include the "805-" prefix also) 805-7930165800	
	605-7930163600	
2.	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country: Cayman Islands	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director	
	GAO, JIAN	
	JIANG, JINZHI	
	LIU, TAOSHENG	
	WARREN, CLIFFORD YOUNG	
	ZENG, XIAOSONG	
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
	No Information Filed	
4.	The private fund (check all that apply; you must check at least one):	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	• C

(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

•	ODS BALANCED (US) FUND	805-6018422978	
	ODS BALANCED MASTER FUND	805-1262997898	
J. LLIVVO		0_00.000	
		V . No	
(a) la thia a		Yes No	
	"feeder fund" in a master-feeder arrangement?	○ ●	
	hat is the name and <i>private fund</i> identification number (if any) of the master fund in w	hich this <i>private fund</i> invests?	
Name of	f private fund:		
	fund identification number:		
(include	the "805-" prefix also)		
	must complete question 6 for each master-feeder arrangement regardless of whether to rreporting on the funds separately.	er you are filing a single Schedule D, Section 7.B.(1) for the master-feeder	
arrangemen	t of reporting off the funds separately.		
lf vou oro fili	ng a single Cahadula D. Castian 7.B. (1) for a master feeder arrangement according to	a the instructions to this Section 7.D. (1) for each of the feeder funds answer	
-	ng a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to g questions:	o the instructions to this Section 7.B.(1), for each of the feeder lunds answer	
uno ronowing	g questions.		
Additional F	Feeder Fund Information : 2 Record(s) Filed.		
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement accor	ding to the instructions to this Section 7.B.(1), for each of the feeder	
	answer the following questions:		
(a)	Name of the private fund:		
	GREENWOODS BALANCED (US) FUND		
(b)	Private fund identification number:		
	(include the "805-" prefix also)		
	805-6018422978		
(c)	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Cayman Islands		
(d) (1)		similar capacity):	
	Name of General Partner, Manager, Trustee or Director		
	GAO, JIAN		
	JIANG, JINZHI		
	LIU, TAOSHENG		
	WARREN, CLIFFORD YOUNG		
	ZENG, XIAOSONG		
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) the	at sponsor(s) or manage(s) this private fund	
(3) (2)	No Information		
	110 momaton	1 1100	
(e)	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under s		
	(2) qualifies for the exclusion from the definition of investment company under s	ection 3(c)(7) of the Investment Company Act of 1940	
(f)	List the name and country, in English, of each foreign financial regulatory authority	vith which the <i>private fund</i> is registered.	
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
7 14	ora filing a single Schodule D. Section 7.D (4) for a greater for the section 7.D	ding to the instructions to this Costion 7.D.(4), for each of the forester	
	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement accor answer the following questions:	uling to the instructions to this Section 7.B.(1), for each of the feeder	
	Name of the <i>private fund</i> :		
(a)	•		
	GREENWOODS BALANCED MASTER FUND		

7.

	(b)	Private fund identification number: (include the "805-" prefix also)		
		805-1262997898		
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:		
	(6)	State: Country:		
		Country. Cayman Islands		
		Odyman Islands		
	(d) (1)	Name(c) of Conoral Partner, Manager, Trustoe or Directors (or persons serving in a similar capacity):		
	(a) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):		
		Name of General Partner, Manager, Trustee or Director		
		GAO, JIAN		
		JIANG, JINZHI		
		LIU, TAOSHENG		
		WARREN, CLIFFORD YOUNG		
		ZENG, XIAOSONG		
	(4) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:		
	(u) (z)			
		No Information Filed		
	(e)	The private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	(f)			
	(f)	Name of Country/English Name of Foreign Financial Regulatory Authority		
		Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority		
	NOTE: For p	Name of Country/English Name of Foreign Financial Regulatory Authority	nterest	s,
	NOTE: For p ("master fun and each cla	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it lass (or series) invests substantially all of its assets in a single master fund.		s,
8.	NOTE: For p ("master fun and each cla	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or in	nterest Yes	s,
8.	NOTE: For p ("master fun and each cla (a) Is this p NOTE: For p	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it lass (or series) invests substantially all of its assets in a single master fund.	Yes	s, No ©
8.	NOTE: For p ("master fun and each cla (a) Is this p NOTE: For p they are also	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing ord"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it lass (or series) invests substantially all of its assets in a single master fund. Porivate fund a "fund of funds"? purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of vertical series.	Yes C vhethe	s, No •
8.	NOTE: For p ("master fun and each cla (a) Is this p NOTE: For p they are also	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verticate funds or registered investment companies.	Yes	s, No •
8.	NOTE: For p ("master fun and each cla (a) Is this p NOTE: For p they are also	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verticate funds or registered investment companies.	Yes O vhether	s, No •
	NOTE: For pure ("master fund each classed (a) Is this pure NOTE: For pure they are also (b) If yes, do	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verificate funds or registered investment companies. Ideas to private fund invest in funds managed by you or by a related person?	Yes Ovhether	s, No O
8.	NOTE: For properties of the pr	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing d"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verification or private funds or registered investment companies. In funds or registered investment companies. Plast fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other	Yes O vhether	s, No O
	NOTE: For properties of the pr	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing and"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verificate funds or registered investment companies. Ideas to private fund invest in funds managed by you or by a related person?	Yes Ovhether	s, No O
9.	NOTE: For programmer ("master fund and each classed (a) Is this properties of they are also (b) If yes, do not be used to	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing ad"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Porivate fund a "fund of funds"? purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of we oprivate funds or registered investment companies. Ideas the private fund invest in funds managed by you or by a related person? Plast fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other y market funds," to the extent provided in Instruction 6.e.)?	Yes Ovhether	s, No O
9.	NOTE: For properties of the pr	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing ord"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of violating funds or registered investment companies. Ideas the private fund invest in funds managed by you or by a related person? Illust fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds," to the extent provided in Instruction 6.e.)?	Yes Ovhether	s, No O
9.	NOTE: For properties of the pr	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing ad"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Porivate fund a "fund of funds"? purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of we oprivate funds or registered investment companies. Ideas the private fund invest in funds managed by you or by a related person? Plast fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other y market funds," to the extent provided in Instruction 6.e.)?	Yes Ovhether	s, No O
9.	NOTE: For properties of the pr	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing ord"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of violating funds or registered investment companies. Ideas the private fund invest in funds managed by you or by a related person? Illust fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds," to the extent provided in Instruction 6.e.)?	Yes Ovhether	s, No O
9.	NOTE: For particle ("master fund and each classed (a) Is this particle (b) If yes, do not be used to be used t	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing ord"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of violating funds or registered investment companies. Ideas the private fund invest in funds managed by you or by a related person? Illust fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds," to the extent provided in Instruction 6.e.)?	Yes Ovhether	s, No O
9.	NOTE: For particle ("master fund and each classed (a) Is this particle (b) If yes, do not be used to be used t	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single master fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or i ass (or series) invests substantially all of its assets in a single master fund. Private fund a "fund of funds"? purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of voloprivate funds or registered investment companies. Ideas the private fund invest in funds managed by you or by a related person? Islast fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds," to the extent provided in Instruction 6.e.)?	Yes Ovhether	s, No O
9.	NOTE: For particle (a) Is this particle (b) If yes, do not be used to be used	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single master fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or i ass (or series) invests substantially all of its assets in a single master fund. Private fund a "fund of funds"? purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of voloprivate funds or registered investment companies. Ideas the private fund invest in funds managed by you or by a related person? Islast fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds," to the extent provided in Instruction 6.e.)?	Yes Ovhether	s, No O
9.	NOTE: For particle (a) Is this particle (b) If yes, do not be used to be used	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing associate of this question if it issued multiple classes (or series) of shares or is associate of series) invests substantially all of its assets in a single master fund. **Drivate fund** a "fund of funds"?* purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verification or private fund invest in funds managed by you or by a related person? **Last fiscal year, did the private fund** invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds)," to the extent provided in Instruction 6.e.)? **India to the private fund?** **Und Company Act of 1940 (other private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund.** **Other private fund.**	Yes Ovhether	s, No O
9.	NOTE: For programment of the pro	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing associate of this question if it issued multiple classes (or series) of shares or is associate of series) invests substantially all of its assets in a single master fund. **Drivate fund** a "fund of funds"?* purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verification or private fund invest in funds managed by you or by a related person? **Last fiscal year, did the private fund** invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds)," to the extent provided in Instruction 6.e.)? **India to the private fund?** **Und Company Act of 1940 (other private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund.** **Other private fund.**	Yes Ovhether	s, No O
9. 10.	NOTE: For programment of the pro	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing associate of this question if it issued multiple classes (or series) of shares or is associate of series) invests substantially all of its assets in a single master fund. **Drivate fund** a "fund of funds"?* purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verification or private fund invest in funds managed by you or by a related person? **Last fiscal year, did the private fund** invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds)," to the extent provided in Instruction 6.e.)? **India to the private fund?** **Und Company Act of 1940 (other private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund.** **Other private fund.**	Yes Ovhether	s, No O
9. 10.	NOTE: For particle (a) Is this particle (b) If yes, do not be a classified by the particle (b) If yes, do not be a classified by the particle (c) If yes, do not be a classified by the particle (b) If yes, do not be a classified by the particle (c) If yes, do no	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing associate of this question if it issued multiple classes (or series) of shares or is associate of series) invests substantially all of its assets in a single master fund. **Drivate fund** a "fund of funds"?* purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verification or private fund invest in funds managed by you or by a related person? **Last fiscal year, did the private fund** invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds)," to the extent provided in Instruction 6.e.)? **India to the private fund?** **Und Company Act of 1940 (other private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund.** **Other private fund.**	Yes Ovhether	s, No O
9. 10.	NOTE: For particle ("master funde ach classed (a) Is this particle (b) If yes, do not be a second (b) If yes, do not be a second (c) If yes, do not be a second (d) If yes, do not be a second (e) If yes, do not be a se	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing associate of this question if it issued multiple classes (or series) of shares or is associate of series) invests substantially all of its assets in a single master fund. **Drivate fund** a "fund of funds"?* purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of verification or private fund invest in funds managed by you or by a related person? **Last fiscal year, did the private fund** invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ymarket funds)," to the extent provided in Instruction 6.e.)? **India to the private fund?** **Und Company Act of 1940 (other private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund?** **Und Company Act of 1940 (other private fund.**) **India to the private fund.** **Other private fund.**	Yes Ovhether	s, No O
9. 10.	NOTE: For particle ("master funde ach classed (a) Is this particle (b) If yes, do not be a second (b) If yes, do not be a second (c) If yes, do not be a second (d) If yes, do not be a second (e) If yes, do not be a se	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single master fund' for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Private fund a "fund of funds" private fund invests in a single master fund. Private funds or registered investment companies. Ideas this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of veloprivate funds or registered investment companies. Ideas this question only invest in funds managed by you or by a related person? Ideas this call year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other year market funds," to the extent provided in Instruction 6.e.)? If fund is the private fund? If fund is the private fund? If quidity fund or private equity fund or real estate fund or securitized asset fund or venture capital fund or Other private funds. If quidity fund or private funds funds the private funds funds asset funds or these fund types, please see Instruction 6 of the Instructions to Part 1A. If quidity fund or private funds fu	Yes Ovhether	s, No O
9. 10.	NOTE: For particle ("master funde ach classed (a) Is this particle (b) If yes, do not be seen to be	Name of Country/English Name of Foreign Financial Regulatory Authority Cayman Islands - Cayman Islands Monetary Authority purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single master fund' for purposes of this question if it issued multiple classes (or series) of shares or it ass (or series) invests substantially all of its assets in a single master fund. Private fund a "fund of funds" private fund invests in a single master fund. Private funds or registered investment companies. Ideas this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of veloprivate funds or registered investment companies. Ideas this question only invest in funds managed by you or by a related person? Ideas this call year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other year market funds," to the extent provided in Instruction 6.e.)? If fund is the private fund? If fund is the private fund? If quidity fund or private equity fund or real estate fund or securitized asset fund or venture capital fund or Other private funds. If quidity fund or private funds funds the private funds funds asset funds or these fund types, please see Instruction 6 of the Instructions to Part 1A. If quidity fund or private funds fu	Yes C vhether C Yes C	s, No

13. Approximate number of the *private fund's* beneficial owners:

14.		at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
	16%			
15.	(a) 8%			
	/b\	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales	Yes	
	. ,	of the fund limited to qualified clients?	0	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
<u> Υοι</u>	ır Ad	lvisory Services		
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	No ⊙
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," le question blank.		
		No Information Filed		
		,	Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	•	0
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) is leave this question blank.	"no,	"
		Name of Other Adviser to <i>private fund</i> CRD number CRD number		
		GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Арр	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
	0%			
Priv	/ate (Offering .	Yes	No
21.	Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	©	0
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
D CI	ED\/I	CE PROVIDERS		
D. O	LIVI	GETROVIDERO		
Aud	ditors			
23	(2)	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit?	Yes	No
25.		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete	0	•
		questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed.		
		Additional Addition information . 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.)	
		(b) Name of the auditing firm:		
		ERNST & YOUNG		
		(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):		
		City: State: Country:		
		GRAND CAYMAN Cayman Islands Ye	s N	lo
		(d) Is the auditing firm an independent public accountant?	· ·	_

	Is the auditing firm registered with the Public Company Accounting Oversight Board?	6
	If yes, Public Company Accounting Oversight Board-Assigned Number:	
	1655	
(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	6
Are tl	he private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	
Do a	Il of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?	
⊙ Y	es C No C Report Not Yet Received	
lf yοι	u check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.	
oker		
Does	s the <i>private fund</i> use one or more prime brokers?	
	e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker.	th
Add	itional Prime Broker Information : 2 Record(s) Filed.	
lf t	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses mo	re
on	e prime broker, you must complete questions (b) through (e) separately for each prime broker.	
(b)	Name of the prime broker: CREDIT SUISSE AG	
(c)	If the prime broker is registered with the SEC, its registration number:	
	CRD Number (if any):	
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	
	City: State: Country: HONG KONG State: China	
	TIONG NONG	Υ
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	(
		re
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more prime broker, you must complete questions (b) through (e) separately for each prime broker.	
on		
on (b)	e prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker:	
on (b)	e prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: HSBC BANK PLC	
(b)	e prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: HSBC BANK PLC If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	
(c)	e prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: HSBC BANK PLC If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	
(b)	e prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: HSBC BANK PLC If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country:	Ye

۱aai	tional Custodian Information . 4 Becord(s) Filed			
Maai	tional Custodian Information : 4 Record(s) Filed.			
	ne answer to question 25.(a) is "yes," respond to questiostodian, you must complete questions (b) through (g) se		stodian the <i>private fund</i> uses. If the <i>private fu</i>	<i>und</i> uses more than
(b)	Legal name of custodian: BNP PARIBAS SECURITIES SERVICES, DUBLIN BRA	NCH		
(c)	Primary business name of custodian: BNP PARIBAS SECURITIES SERVICES, DUBLIN BRA	NCH		
(d)	The location of the custodian's office responsible for co	custody of the private fund's assets (ci	ty, state and country):	
	City:	State:	Country: China	
	HONG KONG		Gnina	Ye
(e)	Is the custodian a related person of your firm?			0
(f)	If the custodian is a broker-dealer, provide its SEC regi	gistration number (if any):		
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a broker-dealer	ealer but does not have an SEC regi	stration number, provide its <i>legal entity iden</i> i	tifier (if any)
	ne answer to question 25.(a) is "yes," respond to questiostodian, you must complete questions (b) through (g) se		stodian the <i>private fund</i> uses. If the <i>private fu</i>	<i>und</i> uses more than
cus			stodian the <i>private fund</i> uses. If the <i>private fu</i>	und uses more than
cus (b)	stodian, you must complete questions (b) through (g) se Legal name of custodian:		stodian the <i>private fund</i> uses. If the <i>private fu</i>	<i>und</i> uses more than
(b)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian:	eparately for each custodian.		<i>und</i> uses more than
(b)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for cations.	eparately for each custodian.	ty, state and country): Country:	und uses more than
(b)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for calculations.	eparately for each custodian. Sustody of the private fund's assets (ci	ty, state and country):	<i>und</i> uses more than
(b) (c) (d)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for cations.	eparately for each custodian. Sustody of the private fund's assets (ci	ty, state and country): Country:	
(b) (c) (d)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for concity: HONG KONG	eparately for each custodian. Sustody of the private fund's assets (ci	ty, state and country): Country:	Ye
(b) (c) (d)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for or City: HONG KONG Is the custodian a <i>related person</i> of your firm?	eparately for each custodian. Sustody of the private fund's assets (ci	ty, state and country): Country:	Ye
(b) (c) (d) (f)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for cacity: HONG KONG Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC regions.	eparately for each custodian. Sustody of the private fund's assets (cistate:	ty, state and country): Country: China	Ye
(b) (c) (d) (f)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for city: HONG KONG Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC region. CRD Number (if any):	eparately for each custodian. Sustody of the private fund's assets (cistate:	ty, state and country): Country: China	Ye
(b) (c) (d) (f) (g)	Legal name of custodian: CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsible for city: HONG KONG Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC region. CRD Number (if any):	eparately for each custodian. Sustody of the private fund's assets (cistate: State: gistration number (if any): ealer but does not have an SEC regions (b) through g) below for each customs (c)	ty, state and country): Country: China stration number, provide its <i>legal entity ident</i>	Ye C

	HONG KONG	State:	Country: China	
	HONG RONG		GIIIIa	
(e)	Is the custodian a <i>related person</i> of your firm?			
(f)	If the custodian is a broker-dealer, provide its SE	C registration number (if any):		
	- CRD Number (if any):			
	che name (namy)			
(g)	If the custodian is not a broker-dealer, or is a broker-dealer	ker-dealer but does not have an SEC re	gistration number, provide its legal entity identifie	er (if any)
	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through (ustodian the <i>private fund</i> uses. If the <i>private fund</i>	d uses more th
(b)	Legal name of custodian: THE HONGKONG AND SHANGHAI BANKING CO	DRPORATION LIMITED		
(c)	Primary business name of custodian: THE HONGKONG AND SHANGHAI BANKING CO	DRPORATION LIMITED		
(d)	The location of the custodian's office responsible	e for custody of the private fund's assets	(city, state and country):	
	City: HONG KONG	State:	Country: China	
(e)	Is the custodian a related person of your firm?			
(f)	If the custodian is a broker-dealer, provide its SEC	C registration number (if any):		
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a brol 2HI3YI5320L3RW6NJ957	ker-dealer but does not have an SEC re	gistration number, provide its legal entity identifie	er (if any)
	2HI3YI5320L3RW6NJ957	ker-dealer but does not have an SEC re	gistration number, provide its legal entity identifie	er (if any)
(g)	2HI3YI5320L3RW6NJ957	ker-dealer but does not have an SEC re	gistration number, provide its <i>legal entity identifi</i> e	er (if any)
rator Does	2HI3YI5320L3RW6NJ957 the <i>private fund</i> use an administrator other than years.	our firm?		
oes the	2HI3YI5320L3RW6NJ957	our firm?		
oes the	2HI3YI5320L3RW6NJ957 the <i>private fund</i> use an administrator other than years answer to question 26.(a) is "yes," respond to question 26.	rour firm? estions (b) through (f) below. If the <i>privat</i> e		
Does f the b) thr	2HI3YI5320L3RW6NJ957 the <i>private fund</i> use an administrator other than yeanswer to question 26.(a) is "yes," respond to question (f) separately for each administrator.	our firm? estions (b) through (f) below. If the <i>private</i> ed. questions (b) through (f) below. If the <i>priv</i>	e fund uses more than one administrator, you mi	ust complete c
Ooes the the book that the book the book that the book the book that the book the book that the book t	the <i>private fund</i> use an administrator other than yeanswer to question 26.(a) is "yes," respond to questional (f) separately for each administrator. tional Administrator Information: 1 Record(s) Filed the answer to question 26.(a) is "yes," respond to question answer to question 26.(a) is "yes," respond	estions (b) through (f) below. If the <i>private</i> ed. uestions (b) through (f) below. If the <i>priv</i> trator.	e fund uses more than one administrator, you mi	ust complete q
Opes for the both the control of the	the <i>private fund</i> use an administrator other than you answer to question 26.(a) is "yes," respond to questional (f) separately for each administrator. tional Administrator Information: 1 Record(s) File the answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator:	our firm? estions (b) through (f) below. If the private ed. questions (b) through (f) below. If the private trator. KONG BRANCH	e fund uses more than one administrator, you mi	ust complete q
Opes for the both the control of the	the <i>private fund</i> use an administrator other than yeanswer to question 26.(a) is "yes," respond to questrough (f) separately for each administrator. tional Administrator Information: 1 Record(s) File the answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG Reservices and administrator.	our firm? estions (b) through (f) below. If the private ed. questions (b) through (f) below. If the private trator. KONG BRANCH	e fund uses more than one administrator, you mi	ust complete q
Poes for the both the desired	the <i>private fund</i> use an administrator other than you answer to question 26.(a) is "yes," respond to questional (f) separately for each administrator. tional Administrator Information: 1 Record(s) File (sections) through (f) separately for each administrator (sections) through (f) separately for each administrator. Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG (section) of administrator (city, state and country): City:	estions (b) through (f) below. If the private ed. uestions (b) through (f) below. If the private trator. KONG BRANCH : State:	e fund uses more than one administrator, you make fund uses more than one administrator, you Country:	ust complete q

Yes (provided to all investors) Some (provided to some but not all investors) No (provided to no investors)

		is "no" or "some," who sends the investor a rest of the) <i>private fund's</i> investors, respond	account statements to the (rest of the) private fund's investors? If investo I "not applicable."	r accou	nt
100 Inc val	ow own ude only those assets where (i) such p	erson carried out the valuation procedure e	s valued by a <i>person</i> , such as an administrator, that is not your <i>related p</i> stablished for that asset, if any, including obtaining any relevant quotes, and fee calculations (including allocations) was the valuation determined	and (ii)	the
Marketo	<u>ers</u>				Yes No
28. (a)	Does the <i>private fund</i> use the services	of someone other than you or your <i>employ</i>	rees for marketing purposes?		0 0
		questions (b) through (g) below for each su	t, finder, introducer, municipal advisor or other solicitor, or similar persoch marketer the private fund uses. If the private fund uses more than or		answe
	Additional Marketer Information : 3 F	Record(s) Filed.			
	answer to question 28.(a) is "yes," i	•	ant, finder, introducer, municipal advisor or other solicitor, or similar <i>per</i> or each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more marketer.		
				Yes	No
	(b) Is the marketer a related person	of your firm?		0	•
	(c) Name of the marketer: AGECROFT PARTNERS, LLC				
	(d) If the marketer is registered with 8 - 67198 and CRD Number (if any): 139227	n the SEC, its file number (e.g., 801-, 8-, or 8	366-):		
	(a) I agation of the marketer's office	wood principally by the private fund (sity, at	ata and acustruly		
	City:	used principally by the <i>private fund</i> (city, st State:	ate and country): Country:		
	RICHMOND	Virginia	United States		
				Yes	No
	(f) Does the marketer market the p	orivate fund through one or more websites?		0	•
	(g) If the answer to question 28.(f)	is "yes," list the website address(es):			
		No Inf	ormation Filed		
	answer to question 28.(a) is "yes," i	·	ant, finder, introducer, municipal advisor or other solicitor, or similar <i>per</i> or each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses marketer.		
				Yes	No
	(b) Is the marketer a related person	of your firm?		0	•
	(c) Name of the marketer: ARISTAGORA ADVISORS CO.,	_TD.			
	(d) If the marketer is registered with	n the SEC, its file number (e.g., 801-, 8-, or 8	366-):		
	and CRD Number (if any):				

(e) Location of the marketer's office used principally by the *private fund* (city, state and country):

		City:	State:	Country:		
		TOKYO		Japan		_
		(f) Does the marketer ma	rket the <i>private fund</i> through one or more websites'	?	Yes N	_
			· · ·			5
		(g) If the answer to questi	on 28.(f) is "yes," list the website address(es):			
			No In	formation Filed		
		answer to question 28.(a)		Itant, finder, introducer, municipal advisor or other solfor each such marketer the <i>private fund</i> uses. If the <i>p</i> th marketer.		
					Yes N	lo
		(b) Is the marketer a relate	ed person of your firm?		0.6	5)
					, ·	
		(c) Name of the marketer:				
		NOAH HOLDINGS (HC	NG KONG) LIMITED			
		(d) If the marketer is regis	tered with the SEC, its file number (e.g., 801-, 8-, or	866-).		
		-	tered with the OLO, its me hamber (e.g., 601-, 6-, 61	000-).		
		and CRD Number (if a	ny):			
			er's office used principally by the <i>private fund</i> (city, s			
		City: HONG KONG	Sta	tte: Country: China		
					Yes N	lo
		(f) Does the marketer ma	rket the private fund through one or more websites	?	0 6	•
		(g) If the answer to questi	on 28.(f) is "yes," list the website address(es):			
			No In	nformation Filed		
A DI		FUND				
A. Pi	RIVATE	FUND				
Infor	mation	About the Private Fund				
1.	(a) Na	ame of the <i>private fund</i> :				
	G	REENWOODS CHINA ALPI	1A MASTER FUND			
	` '	rivate fund identification nur				
		nclude the "805-" prefix also 05-9289759207	')			
	00	J3-92091 39201				
2.	Under	the laws of what state or co	ountry is the <i>private fund</i> organized:			
	St	tate:	Country:			
			Cayman Islands			
3.	(a) Na	ame(s) of General Partner.	Manager, Trustee, or Directors (or <i>persons</i> serving	in a similar capacity):		
		of General Partner, Mana		,		
	GAO,					
	JIANO	G, JINZHI				
		TAOSHENG				
		REN, CLIFFORD YOUNG				
	ZENG	S, XIAOSONG				

No Information Filed

(b) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser*(s) that sponsor(s) or manage(s) this *private fund*.

4.	The private t	und (check all that apply; you must check at least one):		
	(1) qualit	ies for the exclusion from the definition of investment company u	nder section 3(c)(1) of the Investment Company Act of 1940	
	🗹 (2) qualit	ies for the exclusion from the definition of investment company u	nder section 3(c)(7) of the Investment Company Act of 1940	
5.	List the name	e and country, in English, of each foreign financial regulatory auto	hority with which the private fund is registered.	
	Name of Co	untry/English Name of Foreign Financial Regulatory Authority		
	Cayman Isla	ands - Cayman Islands Monetary Authority		
				Yes No
6.	(a) Is this a	"master fund" in a master-feeder arrangement?		⊙ ⊖
	(b) If ves. w	nat is the name and <i>private fund</i> identification number (if any) of t	the feeder funds investing in this private fund?	
	Name of pri		Private fund identification number	
		DDS CHINA ALPHA (US) FUND	805-2852871536	
	GREENWO	DDS CHINA ALPHA FUND	805-9931167674	
				Yes No
	(c) Is this a	"feeder fund" in a master-feeder arrangement?		0 0
		nat is the name and <i>private fund</i> identification number (if any) of t	the master fund in which this <i>private fund</i> invests?	- 0
		private fund:		
		p		
	Private f	und identification number:		
	(include	the "805-" prefix also)		
	NOTE: You	must complete question 6 for each master-feeder arrangement r	egardless of whether you are filing a single Schedule D, Section 7.B.(1) for the ma	aster-feeder
	arrangement	or reporting on the funds separately.		
7.	-		ngement according to the instructions to this Section 7.B.(1), for each of the feeder	r funds answer
	the following	questions.		
	Additional F	eeder Fund Information : 2 Record(s) Filed.		
	-	ire filing a single Schedule D, Section 7.B.(1) for a master-feeder inswer the following questions:	arrangement according to the instructions to this Section 7.B.(1), for each of the f	leeder
	(a)	Name of the <i>private fund</i> :		
	(4)	GREENWOODS CHINA ALPHA (US) FUND		
		CILLIAWOODO CI IIIA (ALI TIA (OO) I OND		
	(b)	Private fund identification number:		
		(include the "805-" prefix also)		
		805-2852871536		
	(c)	Under the laws of what state or country is the <i>private fund</i> organ	ized:	
		State: Country:		
		Cayman Islands		
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or p	persons serving in a similar capacity):	
		Name of General Partner, Manager, Trustee or Director		
		GAO, JIAN		
		JIANG, JINZHI		
		LIU, TAOSHENG		
		WARREN, CLIFFORD YOUNG		
		ZENG, XIAOSONG		
	(d) (2)	If filing an umbralla registration identify the filing adviser and/or	relying adviser(s) that sponsor(s) or manage(s) this private fund:	
	(4) (2)	g an amorona regionation, identity the tiling adviser allu/of	No Information Filed	
			No information rillod	
		The minute found (about all the stand		
	(e)	The <i>private fund</i> (check all that apply; you must check at least or		
			nt company under section 3(c)(1) of the Investment Company Act of 1940	
		(2) qualifies for the exclusion from the definition of investment	nt company under section 3(c)(7) of the Investment Company Act of 1940	

(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
11	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions:	r	
(a)	Name of the <i>private fund</i> :		
	GREENWOODS CHINA ALPHA FUND		
(b)	Private fund identification number:		
	(include the "805-" prefix also)		
	805-9931167674		
(c)	Under the laws of what state or country is the private fund organized:		
	State: Country:		
	Cayman Islands		
(d) (1)) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee or Director		
	GAO, JIAN		
	JIANG, JINZHI		
	LIU, TAOSHENG		
	WARREN, CLIFFORD YOUNG		
	ZENG, XIAOSONG		
(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
	purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing and "nd"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or in		
· ·	class (or series) invests substantially all of its assets in a single master fund.		,
		Yes	No
(a) Is this μ	private fund a "fund of funds"?	0	•
	purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of was private funds or registered investment companies.	vhethe	ŧ۲
-	does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	_
() , , ,			
		Yes	No
	r last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ey market funds," to the extent provided in Instruction 6.e.)?	0	•
What type o	of fund is the private fund?		
• hedge f	fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other private fund:		
NOTE: For	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		

11. Current gross asset value of the *private fund*:\$ 2,415,689,980

8.

10.

12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 500,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational document.)	ents of t	he
13.	Approximate number of the <i>private fund's</i> beneficial owners: 68		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 95%		
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sale of the fund limited to <i>qualified clients</i> ?		No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 97%		
<u>Υοι</u>	ur Advisory Services		
17	(a) Are you a subadviser to this private fund?	Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no	O " leave	• this
	question blank.	, loave	, 11113
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	•	0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a)		
	leave this question blank.) is "no	,"
			,"
	leave this question blank.		,"
	leave this question blank. Name of Other Adviser to private fund CRD number CRD number	1	," No
19.	leave this question blank. Name of Other Adviser to private fund CRD number CRD number	1	
19.	Name of Other Adviser to private fund SEC file number CRD number	Yes	No
	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED SEC file number 801-119863 161898 Are your clients solicited to invest in the private fund?	Yes	No
	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund.	Yes	No
20.	Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund?	Yes	No
20.	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? 0%	Yes	No
20. Priv 21.	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? 0% vate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? If yes, provide the private fund's Form D file number (if any):	Yes	No ©
20. Priv 21.	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? 0% vate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? If yes, provide the private fund's Form D file number (if any): Form D file number	Yes	No ©
20. Priv 21.	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? 0% vate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? If yes, provide the private fund's Form D file number (if any): Form D file number 021-363082	Yes	No ©
20. Priv 21.	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? 0% vate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? If yes, provide the private fund's Form D file number (if any): Form D file number	Yes	No ©
20. Priv 21. 22.	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED 801-119863 161898 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? 0% vate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? If yes, provide the private fund's Form D file number (if any): Form D file number 021-363082	Yes	No ©
20. Priv 21. 22.	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED Reference of the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? Nate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? If yes, provide the private fund's Form D file number (if any): Form D file number 021-363082 021-368193	Yes O	No ©
20. Priv 21. 22. B. SI	leave this question blank. Name of Other Adviser to private fund GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? Wate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? If yes, provide the private fund's Form D file number (if any): Form D file number 021-363082 021-368193	Yes O	No ©

If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the *private fund* uses more than one auditing firm, you must complete

questions (b) through (f) separately for each auditing firm.

Ownership

	ne answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must compestions (b) through (f) separately for each auditing firm.	иете
(b)	Name of the auditing firm:	
	ERNST & YOUNG	
(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
	City: State: Country:	
	GRAND CAYMAN Cayman Islands	Ye
(d)	Is the auditing firm an independent public accountant?	•
(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	•
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1655	
(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•
		,
Are th	e private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	
	of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?	
Do all	of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?	
Do all		
Do all Ye	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions?	
Do all	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions?	•
Do all Ye If you roker	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions?	,
Do all Ye If you roker Does If the	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve to the Report Not Yet Received Solve the Received to the	
Do all Ye If you roker Does If the prime	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solved No O Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? Canswer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more	
Do all Ye If you roker Does If the prime Addi	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solved No Control Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker.	tha
Do all Ye If you roker Does If the prime Addit If the one	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? So No Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. Stonal Prime Broker Information: 3 Record(s) Filed. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more prime broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more prime broker the private fund uses. If the private fund uses more prime broker the private fund uses.	tha
Do all Ye If you roker Does If the prime Addit If th one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solution of Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more prime broker, you must complete questions (b) through (e) separately for each prime broker the private fund uses. If the private fund uses more prime broker, you must complete questions (b) through (e) separately for each prime broker.	tha
Do all Ye If you roker Does If the prime Addit If th one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? as one of Report Not Yet Received check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. the <i>private fund</i> use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. tional Prime Broker Information: 3 Record(s) Filed. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN SACHS INTERNATIONAL	tha
Do all Ye If you roker Does If the prime Addit (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? So No Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. Itional Prime Broker Information: 3 Record(s) Filed. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more prime broker, you must complete questions (b) through (e) separately for each prime broker the private fund uses. If the private fund uses more prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN SACHS INTERNATIONAL If the prime broker is registered with the SEC, its registration number:	tha
Do all Ye If you Toker Does If the prime Addit If th one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? So No Report Not Yet Received. The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund uses if the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more prime broker, you must complete questions (b) through (e) separately for each prime broker the private fund uses. If the private fund uses more prime broker, you must complete questions (b) through (e) separately for each prime broker. The private fund uses is the private fund uses. If the private fund uses more prime broker is registered with the SEC, its registration number: CRD Number (if any): Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country:	
Do all Ye If you roker Does If the prime Addit (b) (c)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? BY ON OREPORT Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. Itional Prime Broker Information: 3 Record(s) Filed. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker is registered with the SEC, its registration number: CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	tha

(b) Name of the prime broker: HSBC BANK PLC

•	CRD Number (if any):	
(d) l	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	
	City: State: Country:	
ŀ	HONG KONG China	
(e) I	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	
	e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses prime broker, you must complete questions (b) through (e) separately for each prime broker.	mo
	Name of the prime broker: UBS AG	
(c) I	If the prime broker is registered with the SEC, its registration number:	
(CRD Number (if any):	
(d) l	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	
	City: State: Country: HONG KONG China	
'	TIONO NONO	
(e) I	Does this prime broker act as custodian for some or all of the private fund's assets?	
<u>1</u>		
oes th	he <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? Inswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	tha
oes the a		tha
Poes the accustoding Addition	Inswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more ian, you must complete questions (b) through (g) separately for each custodian. Onal Custodian Information: 8 Record(s) Filed. Example answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	
ooes the accustodic lift the custodic lift the c	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more ian, you must complete questions (b) through (g) separately for each custodian. onal Custodian Information: 8 Record(s) Filed.	
Ooes the austodi Addition If the custom (b) I (c) I	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more ian, you must complete questions (b) through (g) separately for each custodian. onal Custodian Information: 8 Record(s) Filed. e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more indian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian:	
Addition (b) I (c) I (d)	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more ian, you must complete questions (b) through (g) separately for each custodian. onal Custodian Information: 8 Record(s) Filed. e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more odian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):	
If the custof (b) I I I I I I I I I I I I I I I I I I I	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more ian, you must complete questions (b) through (g) separately for each custodian. onal Custodian Information: 8 Record(s) Filed. e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more odian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS	
Opes the accustod (b) If the custod (c) If If (d)	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more ian, you must complete questions (b) through (g) separately for each custodian. onal Custodian Information: 8 Record(s) Filed. e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more odian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:	
Addition of the custom of the	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more ian, you must complete questions (b) through (g) separately for each custodian. onal Custodian Information: 8 Record(s) Filed. e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more odian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: HONG KONG China	re th
If the custodi (b) I (c) I (d) (e) I (f) I	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more ian, you must complete questions (b) through (g) separately for each custodian. e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more odian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more odian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: BNP PARIBAS The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: HONG KONG China Is the custodian a related person of your firm?	
oes the acustodic lift the custodic lift the custodic lift the custodic lift lift lift lift lift lift lift lift	nswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more ian, you must complete questions (b) through (g) separately for each custodian. onal Custodian Information: 8 Record(s) Filed. e answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more odian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: HONG KONG China If the custodian is a broker-dealer, provide its SEC registration number (if any):	

	to dian, you must complete questions (b) through (g) separately for	G G ,	r custodian the <i>private i</i>	und uses. If the private fund uses	more man one
(b)	Legal name of custodian: BNP PARIBAS SECURITIES SERVICES, DUBLIN BRANCH				
(c)	Primary business name of custodian: BNP PARIBAS SECURITIES SERVICES, DUBLIN BRANCH				
(d)	The location of the custodian's office responsible for <i>custody</i> of the	ne <i>private fund's</i> assets	s (city, state and countr	y):	
	City: HONG KONG	State:	Coun Chin	-	
(e)	Is the custodian a <i>related person</i> of your firm?				Yes No ○ ⊙
(f)	If the custodian is a broker-dealer, provide its SEC registration nur	mber (if any):			
	- CRD Number (if any):				
(a)	If the custodian is not a broker-dealer, or is a broker-dealer but do	oes not have an SEC	registration number, project	ovide its legal entity identifier (if an	w)
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but do	bes not have an SEC	registration number, pro	ovide its <i>legal entity identilier</i> (il an	у)
cus	e answer to question 25.(a) is "yes," respond to questions (b) throutodian, you must complete questions (b) through (g) separately for		custodian the <i>private t</i>	fund uses. If the private fund uses	more than one
(b)	Legal name of custodian: CICC FINANCIAL TRADING LIMITED				
(c)	Primary business name of custodian: CICC FINANCIAL TRADING LIMITED				
(d)	The location of the custodian's office responsible for <i>custody</i> of the	ne <i>private fund'</i> s assets	s (city, state and countr	у):	
	City: HONG KONG	State:	Coun Chin	-	
(e)	Is the custodian a <i>related person</i> of your firm?				Yes No
(f)	If the custodian is a broker-dealer, provide its SEC registration nur	mber (if any):			
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but do 5299007S28V6QGNXK514	pes not have an SEC	registration number, pro	ovide its <i>legal entity identifier</i> (if an	у)
	e answer to question 25.(a) is "yes," respond to questions (b) throutodian, you must complete questions (b) through (g) separately for		custodian the <i>private t</i>	fund uses. If the <i>private fund</i> uses	more than one
	Legal name of custodian: CITIC SECURITIES COMPANY LIMITED	r dadir dadioalarii.			
(c)	Primary business name of custodian: CITIC SECURITIES COMPANY LIMITED				
(d)	The location of the custodian's office responsible for <i>custody</i> of the	ne private fund's assets			
	City: SHENZHEN, GUANGDONG		State:	Country: China	
(e)	Is the custodian a <i>related person</i> of your firm?				Yes No
· - /					_ ○ ⊙

(f)	If the custodian is a broker-dealer, provide its SEC registration number (i	if any):			
	CDD Number (if any)				
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not	have an SEC registration numb	er, provide its legal entity identifier (if any)		
	213800YMG8YN4O5CX140				
	ne answer to question 25.(a) is "yes," respond to questions (b) through g)		rivate fund uses. If the private fund uses more th	nan o	ne
cus	stodian, you must complete questions (b) through (g) separately for each	custodian.			
(b)	Legal name of custodian:				
	GOLDMAN SACHS INTERNATIONAL				
(c)	Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL				
(d)	The location of the custodian's office responsible for custody of the private	te fund's assets (city, state and o	country):		
	•	State:	Country:		
	HONG KONG		China	Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?			_	
(-)				О	⊙
(f)	If the custodian is a broker-dealer, provide its SEC registration number (i	if any):			
	-				
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not	have an SEC registration numb	er, provide its legal entity identifier (if any)		
If +l	ne answer to question 25.(a) is "yes," respond to questions (b) through g)	helow for each custodian the pr	rivate funduses. If the private funduses more th	nan o	ne
	stodian, you must complete questions (b) through (g) separately for each		ivate fund uses. If the private fund uses more th	iaii 0	116
(b)	Legal name of custodian:				
	HSBC BANK PLC				
(c)	Primary business name of custodian:				
. ,	HSBC BANK PLC				
(d)	The location of the custodian's office responsible for <i>custody</i> of the <i>priva</i>	<i>ite fund'</i> s assets (city, state and o State:	country): Country:		
	City: S HONG KONG	otate.	China		
				Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?			0	\odot
(f)	If the custodian is a broker-dealer, provide its SEC registration number (i	if any):			
	- CRD Number (if any):				
	one ramber (ii arry).				
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not	have an SEC registration numb	per, provide its legal entity identifier (if any)		

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b) Legal name of custodian:

(d)				
	The location of the custodian's office responsible for cu	ustody of the private fund's assets (State:	city, state and country): Country:	
	City: HONG KONG	State.	China	
				`
(e)	Is the custodian a <i>related person</i> of your firm?			(
(f)	If the custodian is a broker-dealer, provide its SEC regi	stration number (if any):		
	CRD Number (if any):			
	If the custodian is not a broker-dealer, or is a broker-dealer and 2HI3YI5320L3RW6NJ957	ealer but does not have an SEC re	gistration number, provide its legal entity ider	ntifier (if any)
	e answer to question 25.(a) is "yes," respond to questio todian, you must complete questions (b) through (g) se		ustodian the <i>private fund</i> uses. If the <i>private</i> i	iund uses more tha
	Legal name of custodian: UBS AG			
1	Primary business name of custodian: UBS AG			
(d)	The location of the custodian's office responsible for $c\iota$	ustody of the private fund's assets (city, state and country):	
	City:	State:	Country:	
	HONG KONG		China	,
(e)	Is the custodian a related person of your firm?			
(f)	If the custodian is a broker-dealer, provide its SEC regi	stration number (if any):		
	- CRD Number (if any):			
				atifier (if any)
	If the custodian is not a broker-dealer, or is a broker-de	ealer but does not have an SEC re	gistration number, provide its <i>legal entity ider</i>	
	If the custodian is not a broker-dealer, or is a broker-de	ealer but does not have an SEC re	gistration number, provide its <i>legal entity ider</i>	aner (ii arry)
(g)			gistration number, provide its <i>legal entity ider</i>	aner (ii aliy)
(g)	the <i>private fund</i> use an administrator other than your fir answer to question 26.(a) is "yes," respond to questions	m?		
(g) trator Does the difference of the differen	the <i>private fund</i> use an administrator other than your fir	m?		
(g) Does to the a (b) through	the <i>private fund</i> use an administrator other than your fir answer to question 26.(a) is "yes," respond to questions ough (f) separately for each administrator.	m? (b) through (f) below. If the <i>private</i> ns (b) through (f) below. If the <i>priv</i>	e fund uses more than one administrator, you	ı must complete q
(g) trator Does the state of the angle of	the <i>private fund</i> use an administrator other than your fir answer to question 26.(a) is "yes," respond to questions ough (f) separately for each administrator. ional Administrator Information: 1 Record(s) Filed. e answer to question 26.(a) is "yes," respond to question	m? (b) through (f) below. If the <i>private</i> ns (b) through (f) below. If the <i>priva</i>	e fund uses more than one administrator, you	ı must complete qu
(g) Erator Does the state of the automotion of	the <i>private fund</i> use an administrator other than your fir answer to question 26.(a) is "yes," respond to questions ough (f) separately for each administrator. ional Administrator Information: 1 Record(s) Filed. e answer to question 26.(a) is "yes," respond to questions (b) through (f) separately for each administrator. Name of administrator:	m? (b) through (f) below. If the <i>private</i> ns (b) through (f) below. If the <i>priva</i>	e fund uses more than one administrator, you	ı must complete qu

THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED

	(d) Is the administrator a <i>related person</i> of your firm?	0 0
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors?	
	Yes (provided to all investors) Some (provided to some but not all investors) No (provided to no investors)	
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) <i>private fund's</i> investors statements are not sent to the (rest of the) <i>private fund's</i> investors, respond "not applicable."	? If investor account
7 Dı	uring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not yo	ur related person?
	oning your last ristar year, what percentage or the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not you	ui relateu persorre
va	clude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant eluation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation derson.	
<u>larke</u>	<u>ters</u>	Yes No
8. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?	© 0
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or single to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses must complete questions (b) through (g) separately for each marketer.	· · · · · · · · · · · · · · · · · · ·
	Additional Marketer Information : 3 Record(s) Filed.	
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> one marketer, you must complete questions (b) through (g) separately for each marketer.	•
	(b) Is the marketer a <i>related person</i> of your firm?	Yes No
	(b) is the marketer a related person or your limit?	0 0
	(c) Name of the marketer: AGECROFT PARTNERS, LLC	
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): 8 - 67198	
	and CRD Number (if any): 139227	
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):	
	City: State: Country: RICHMOND Virginia United States	
		Yes No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	○ ◎
	(g) If the answer to question 28.(f) is "yes," list the website address(es):	
	No Information Filed	
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> one marketer, you must complete questions (b) through (g) separately for each marketer.	· · · · · · · · · · · · · · · · · · ·
		Yes No
	(b) Is the marketer a <i>related person</i> of your firm?	0 0
	(c) Name of the marketer: ARISTAGORA ADVISORS CO., LTD.	
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):	

	and CRD Number (ii any).		
(e)	Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	TOKYO Japan		
	·	Yes	
(f)	Does the marketer market the <i>private fund</i> through one or more websites?		
(1)	boes the marketer market the private rand through one of more websites:	0	ı
(g)	If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
ans	u must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> were to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more marketer, you must complete questions (b) through (g) separately for each marketer.		
		Yes	ı
(b)	Is the marketer a <i>related person</i> of your firm?	0	
(c)	Name of the marketer:		
	NOAH HOLDINGS (HONG KONG) LIMITED		
(d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
	and CRD Number (if any):		
(e)	Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	HONG KONG China		
		Yes	•
(f)	Does the marketer market the <i>private fund</i> through one or more websites?	0	-
(a)	If the answer to question 28.(f) is "yes," list the website address(es):		
(9)	No Information Filed		
	THE INFORMATION FIRST		
E FUI	ND		
on Ab	out the <i>Private Fund</i>		
Name	of the private fund:		
GREE	NWOODS CHINA HEALTHCARE MASTER FUND		
	e fund identification number:		
	de the "805-" prefix also)		
805-4	496268147		
er the	laws of what state or country is the <i>private fund</i> organized:		
State:			
J.410.	Cayman Islands		
Namo	(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
n e o t O, JIAI	General Partner, Manager, Trustee, or Director		
ے, تاریر ا			
VG JI			
NG, JI	SHENG		

ZENG, XIAOSONG

) II IIIIng	No Info	ormation Filed	
-	e fund (check all that apply; you must check at least one):		
	alifies for the exclusion from the definition of investment company under s		
(2) qua	alifies for the exclusion from the definition of investment company under s	section 3(c)(7) of the Investment Company Act of 1940	
st the nar	me and country, in English, of each foreign financial regulatory authority	with which the <i>private fund</i> is registered.	
ame of C	Country/English Name of Foreign Financial Regulatory Authority		
ayman Is	slands - Cayman Islands Monetary Authority		
			Ye
) Is this a	a "master fund" in a master-feeder arrangement?		(
If yes, v	what is the name and private fund identification number (if any) of the fe	eder funds investing in this private fund?	
-	private fund	Private fund identification number	
	OODS CHINA HEALTHCARE (US) FUND	805-6958273143	
REENWO	OODS CHINA HEALTHCARE FUND	805-3960485789	
			Ye
ls this a	a "feeder fund" in a master-feeder arrangement?		
	what is the name and <i>private fund</i> identification number (if any) of the m	actor fund in which this private fund invests?	•
-	of private fund:	aster fund in which this <i>private fund</i> invests:	
rianio (or private rand.		
Private	e fund identification number:		
(include	e the "805-" prefix also)		
rangemei /ou are fi	u must complete question 6 for each master-feeder arrangement regard int or reporting on the funds separately. illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement		
rangemei /ou are fi	nt or reporting on the funds separately.		
rangemei you are fi e followin	int or reporting on the funds separately. iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangeme		
vou are fi e followin dditional 7. If you	int or reporting on the funds separately. iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions:	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
rangemei you are fi e followin dditional 7. If you	iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. I are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement are filing a single Schedule D.	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
rangement you are file following dditional 7. If you funds	iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. I are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement and seanswer the following questions:	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
ou are fi e followin dditional 7. If you funds (a)	iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. I are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement answer the following questions: Name of the <i>private fund</i> : GREENWOODS CHINA HEALTHCARE (US) FUND	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
ou are fi e followin dditional 7. If you funds	iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. I are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement and are following questions: Name of the private fund:	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
rou are fi e followin dditional 7. If you funds (a)	iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. I are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement answer the following questions: Name of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number:	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
ou are fi e followin dditional 7. If you funds (a)	iling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. I are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement and are following questions: Name of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number: (include the "805-" prefix also)	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
rangement of a rough and a rou	illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement of questions: I Feeder Fund Information: 2 Record(s) Filed. If are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number: (include the "805-" prefix also) 805-6958273143	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
rou are fi e followin dditional 7. If you funds (a)	illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement of questions: I Feeder Fund Information: 2 Record(s) Filed. If are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number: (include the "805-" prefix also) 805-6958273143 Under the laws of what state or country is the private fund organized:	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
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rou are fi e followin dditional 7. If you funds (a)	illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement of questions: I Feeder Fund Information: 2 Record(s) Filed. If are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number: (include the "805-" prefix also) 805-6958273143 Under the laws of what state or country is the private fund organized:	nt according to the instructions to this Section 7.B.(1), for each	ch of the feeder funds a
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rangement of a round are file following dditional funds (a) (b)	illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. If are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement answer the following questions: Name of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number: (include the "805-" prefix also) 805-6958273143 Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands	nt according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions of the instructions of the instruction of the ins	ch of the feeder funds a
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rangement of the following dditional of the following dditional of the following dditional of the following funds (a)	illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. If are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement are subject to the following questions: Name of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number: (include the "805-" prefix also) 805-6958273143 Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands Name(s) of General Partner, Manager, Trustee or Directors (or person Name of General Partner, Manager, Trustee or Director	nt according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions of the instructions of the instruction of the ins	ch of the feeder funds a
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rangement you are file following dditional dditional funds (a)	illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement questions: I Feeder Fund Information: 2 Record(s) Filed. I are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement answer the following questions: Name of the private fund: GREENWOODS CHINA HEALTHCARE (US) FUND Private fund identification number: (include the "805-" prefix also) 805-6958273143 Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands Name of General Partner, Manager, Trustee or Directors (or person Name of General Partner, Manager, Trustee or Director GAO, JIAN JIANG, JINZHI	nt according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each gement according to the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions to this Section 7.B.(1), for each general content of the instructions of the instructions of the instruction of the ins	ch of the feeder funds a

No Information Filed

(e)	 The private fund (check all that apply; you must check at least one): □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 □ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
(.)	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		11
11	you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of th nds answer the following questions:	e feeder	
(a)			
(4)	GREENWOODS CHINA HEALTHCARE FUND		
(b)			
	(include the "805-" prefix also)		
	805-3960485789		
(-)			
(c)	Under the laws of what state or country is the <i>private fund</i> organized: State: Country:		
	Cayman Islands		
(d)) (1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee or Director GAO, JIAN		
	JIANG, JINZHI		
	LIU, TAOSHENG		
	WARREN, CLIFFORD YOUNG		
	ZENG, XIAOSONG		
) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed		
(e)			
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
(f)			
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
("master f	For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shart class (or series) invests substantially all of its assets in a single master fund.	ares or intere	sts,
("master f and each	r fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shach class (or series) invests substantially all of its assets in a single master fund.	-	sts,
("master f and each (a) Is this	r fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shach class (or series) invests substantially all of its assets in a single master fund. This private fund a "fund of funds"?	eres or intere Yes	sts, No ⊙
("master f and each (a) Is this NOTE: Fo	r fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shach class (or series) invests substantially all of its assets in a single master fund.	eres or intere Yes	sts, No ⊙
("master f and each (a) Is this NOTE: Fo they are a	r fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shach class (or series) invests substantially all of its assets in a single master fund. This private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardly	eres or intere Yes	sts, No © er
"master fand each (a) Is this NOTE: Fo	r fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of sharp chicken class (or series) invests substantially all of its assets in a single master fund. This private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardle also private funds or registered investment companies.	Yes Cless of wheth	sts, No er
"master fand each a) Is this NOTE: For hey are are b) If yes Ouring yo	r fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of sharp chicken class (or series) invests substantially all of its assets in a single master fund. This private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardle also private funds or registered investment companies.	Yes Cless of wheth C	sts, No er
("master fand each (a) Is this NOTE: Foothey are a (b) If yes During you	r fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shace the class (or series) invests substantially all of its assets in a single master fund. This private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardle also private funds or registered investment companies. The private fund invest in funds managed by you or by a related person? The private fund invest in funds managed by you or by a related person?	Yes Cless of wheth C	sts, No er No

	NO	TE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.		rrent gross asset value of the <i>private fund</i> : 75,435,380		
<u>Ow</u>	ners	ship		
12.		nimum investment commitment required of an investor in the <i>private fund</i> : ,000,000		
	NO fun	TE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documer d).	its of tl	he
13.	Арр 58	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wh	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 5%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?		No C
16.	Wh	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
Υοι	ır Ac	dvisory Services		
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	No ⊙
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no,"	_	
		question blank. No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	•	0
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) leave this question blank.	is "no,	,"
		Name of Other Adviser to private fundSEC file numberCRD numberGREENWOODS ASSET MANAGEMENT HONG KONG LIMITED801-119863161898		
		CREENWOODS ASSET MANAGEMENT HONG RONG ENVITED	Vos	No
19.	Are	your clients solicited to invest in the private fund?	0	· 140
		TE: For purposes of this question, do not consider feeder funds of the private fund.		•
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	/ate	Offering Control of the Control of t		
21.	Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ⊙	No
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
	Fo	rm D file number		
	02	1-363078		
B. S	ERVI	ICE PROVIDERS		
Aud	ditor		Yes	s No

23. (a) (1) Are the $private\ fund$'s financial statements subject to an annual audit?

Addit	ional Auditor Information : 1 Record(s) Filed.	
	e answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must comstions (b) through (f) separately for each auditing firm.	olete
(b)	Name of the auditing firm: ERNST & YOUNG	
(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
	City: State: Country:	
	GRAND CAYMAN Cayman Islands	V
(d)	Is the auditing firm an independent public accountant?	Ye:
(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	•
	If yes, Public Company Accounting Oversight Board-Assigned Number:	
	1655	
(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•
		,
Are the	e private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	
	e private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	
Do all	of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?	
Do all	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve One One Report Not Yet Received	
Do all	of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?	
Do all	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve One One Report Not Yet Received	
Do all Ye If you roker	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve No O Report Not Yet Received Scheck "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.	,
Do all Ye If you roker Does	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve One One Report Not Yet Received	
Do all Ye If you Broker Does If the a prime	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Is O No O Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. It is private fund use one or more prime brokers? Canswer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more	
Do all Ye If you Broker Does If the a prime Addit	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Is O No O Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? Canswer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. Consider the private fund uses. If the private fund uses more broker information: 3 Record(s) Filed. Consider the private fund uses in the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses.	tha
Do all Ye If you Broker Does If the a prime Addit	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Is O No O Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? Canswer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. Compared to private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker.	tha
Do all Ye If you Broker Does If the a prime Addit If th one	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Is O No O Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? Canswer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. Consider the private fund uses. If the private fund uses more broker information: 3 Record(s) Filed. Consider the private fund uses in the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses.	tha
Do all Ye If you Broker Does If the a prime Addit If th one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Is O No O Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. Idea answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker:	tha
Do all Ye If you Broker Does If the a prime Addit If th one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? So No Report Not Yet Received check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. the <i>private fund</i> use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. ional Prime Broker Information: 3 Record(s) Filed. e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN, SACHS INTERNATIONAL	tha
Do all Ye If you Broker Does If the a prime Addit If th one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last annual updating amendment contain unqualified opinions? S No Report Not Yet Received check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. the <i>private fund</i> use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. ional Prime Broker Information: 3 Record(s) Filed. e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN, SACHS INTERNATIONAL If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	tha
Do all Ye If you Broker Does If the a prime Addit If th one (b) (c)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? s No Report Not Yet Received check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. the <i>private fund</i> use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. ional Prime Broker Information: 3 Record(s) Filed. e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN, SACHS INTERNATIONAL. If the prime broker is registered with the SEC, its registration number: CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	tha
Do all Ye If you roker Does If the aprime Addit If the one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last annual updating amendment contain unqualified opinions? S No Report Not Yet Received check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. the <i>private fund</i> use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. ional Prime Broker Information: 3 Record(s) Filed. e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN, SACHS INTERNATIONAL If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	
Do all Ye If you Toker Does If the aprime Addit If the one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? s © No © Report Not Yet Received check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. the <i>private fund</i> use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. ional Prime Broker Information: 3 Record(s) Filed. e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN, SACHS INTERNATIONAL If the prime broker is registered with the SEC, its registration number: CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country:	tha

 \circ

(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?

one prime broker, you must complete questions (b) through (e) separately for each prime broker.

(c)	If the prime broker is registered with the SE	C, its registration number:			
	-				
	CRD Number (if any):				
(d)	Location of prime broker's office used princi	pally by the <i>private fund</i> (city, state	e and country):		
	City: HONG KONG	State:		Country: China	
	TIONG NONG			Offilia	Ye
(e)	Does this prime broker act as custodian for	some or all of the private fund's as	ssets?		•
	ne answer to question 24.(a) is "yes," responder prime broker, you must complete questions			broker the <i>private fund</i> uses. If the	ne <i>private fund</i> uses more t
	Name of the prime broker: J.P. MORGAN SECURITIES PLC				
(c)	If the prime broker is registered with the SE	C, its registration number:			
	CRD Number (if any):				
	Location of prime broker's office used princi		e and country):		
	City: HONG KONG	State:		Country: Hong Kong	
				-	
	Does this prime broker act as custodian for	some or all of the private fund's as	ssets?		
(e)	Does this prime broker act as custodian for the private fund use any custodians (including	ng the prime brokers listed above)	to hold some o		•
(e) Does to the a	Does this prime broker act as custodian for the private fund use any custodians (including answer to question 25.(a) is "yes," respond to	ng the prime brokers listed above) o questions (b) through (g) below f	to hold some o		•
(e) Does to the accustode	Does this prime broker act as custodian for the private fund use any custodians (including	ng the prime brokers listed above) o questions (b) through (g) below f gh (g) separately for each custodia	to hold some o		•
Does to the accustod Addit	The private fund use any custodians (including answer to question 25.(a) is "yes," respond to dian, you must complete questions (b) through	ng the prime brokers listed above) o questions (b) through (g) below f gh (g) separately for each custodia iled. d to questions (b) through g) below ough (g) separately for each custo	to hold some of for each custodian.	an the <i>private fund</i> uses. If the <i>pri</i>	vate fund uses more than o
Does to the accustod Addition (b)	The private fund use any custodians (including answer to question 25.(a) is "yes," respond to dian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) Fine answer to question 25.(a) is "yes," respondent answer to question 25.(a) is "yes," respondent answer to question 25.(a) is "yes," respondent answer to question 25.(b) through the answer to question 25.(a) is "yes," respondent answer to question 25.(b) through the private fundamental private fundamen	ng the prime brokers listed above) o questions (b) through (g) below f gh (g) separately for each custodia iled. d to questions (b) through g) below ough (g) separately for each custo	to hold some of for each custodian.	an the <i>private fund</i> uses. If the <i>pri</i>	vate fund uses more than o
(e) Does to the acustod Addition (b)	the <i>private fund</i> use any custodians (including answer to question 25.(a) is "yes," respond to dian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) For the answer to question 25.(a) is "yes," respondent to dian, you must complete questions (b) throughting the answer to question 25.(a) is "yes," respondent to dian, you must complete questions (b) through the particular to the primary business name of custodian: Primary business name of custodian:	ng the prime brokers listed above) o questions (b) through (g) below f gh (g) separately for each custodia iled. d to questions (b) through g) below bugh (g) separately for each custo UBLIN BRANCH	to hold some of for each custodian.	an the <i>private fund</i> uses. If the <i>pri</i>	vate fund uses more than c
(e) Does to the accustod Addition (b) (c)	the <i>private fund</i> use any custodians (including answer to question 25.(a) is "yes," respond to dian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) For the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) For the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete question (b) through the answer to question (c) through the answer to question (c) through the answer to que	ng the prime brokers listed above) o questions (b) through (g) below f gh (g) separately for each custodia iled. d to questions (b) through g) below bugh (g) separately for each custo UBLIN BRANCH	to hold some of for each custodian.	an the <i>private fund</i> uses. If the <i>pri</i> dian the <i>private fund</i> uses. If the <i>p</i> state and country): Country:	vate fund uses more than c
(e) Does to the accustod Addition (b) (c)	the <i>private fund</i> use any custodians (including answer to question 25.(a) is "yes," respond to dian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) For the answer to question 25.(a) is "yes," respondent to the answer to question 25.(a) is "yes," respondent to the answer to question 25.(a) is "yes," respondent to the answer to question 25.(b) through the answer to question 25.(a) is "yes," respondent to the answer to question 25.(b) through the answer to question 25.(c) is "yes," respondent to the answer to question 25.(d) is "yes," respondent to t	ing the prime brokers listed above) or questions (b) through (g) below figh (g) separately for each custodia iled. Id to questions (b) through g) below ough (g) separately for each custodia bugh (g) separately for each custody. JBLIN BRANCH Insible for custody of the private functions.	to hold some of for each custodian.	an the <i>private fund</i> uses. If the <i>pri</i> dian the <i>private fund</i> uses. If the <i>p</i>	vate fund uses more than o
(e) Does to the accustod Addition (b) (c)	the <i>private fund</i> use any custodians (including answer to question 25.(a) is "yes," respond to dian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) For the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) For the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete questions (b) through the answer to question 25.(a) is "yes," responditudian, you must complete question (b) through the answer to question (c) through the answer to question (c) through the answer to que	ng the prime brokers listed above) o questions (b) through (g) below find (g) separately for each custodianiled. It is questions (b) through g) below bugh (g) separately for each custoff (g	to hold some of for each custodian.	an the <i>private fund</i> uses. If the <i>pri</i> dian the <i>private fund</i> uses. If the <i>p</i> state and country): Country:	vate fund uses more than convivate fund uses more than
(e) Does to the accustod Addition (b) (c) (d)	the <i>private fund</i> use any custodians (including answer to question 25.(a) is "yes," respond to dian, you must complete questions (b) throughtional Custodian Information: 4 Record(s) For the enswer to question 25.(a) is "yes," respondited to the enswer to question 25.(a) is "yes," respondited to the enswer to question 25.(a) is "yes," respondited to the enswer to question 25.(b) through the enswer to question 25.(a) is "yes," respondited to the enswer to question 25.(b) through the enswer to question 25.(c) is "yes," respondited to the enswer to question 25.(a) is "yes," respondited to the enswer to question 25.(b) through the enswer to question 25.(c) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(a) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to question 25.(d) is "yes," respondited to the enswer to the enswe	ng the prime brokers listed above) o questions (b) through (g) below find (g) separately for each custodianiled. d to questions (b) through g) below bough (g) separately for each custoough (g) separately for e	to hold some of for each custodian.	an the <i>private fund</i> uses. If the <i>pri</i> dian the <i>private fund</i> uses. If the <i>p</i> state and country): Country:	

(g) If the custodian is not a broker-dealer, or is a	Toroker-dealer but does not have an SEC regis	tration number, provide its legal entity ident	
If the answer to question 25.(a) is "yes," respond custodian, you must complete questions (b) thro		todian the <i>private fund</i> uses. If the <i>private fi</i>	<i>und</i> uses more than one
(b) Legal name of custodian: GOLDMAN SACHS INTERNATIONAL			
(c) Primary business name of custodian: GOLDMAN, SACHS INTERNATIONAL			
GOLDIVIAN, SACHS INTERNATIONAL			
(d) The location of the custodian's office respon-	sible for custody of the private fund's assets (cit	y, state and country):	
City: HONG KONG	State:	Country: China	
Tione name		- Cilina	Yes N
(e) Is the custodian a related person of your firm	1?		0 0
(6) If the contedior is a business dealer much its			
(f) If the custodian is a broker-dealer, provide its	s SEC registration number (if any):		
CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or is a	a broker-dealer but does not have an SEC regis	tration number, provide its legal entity iden	tifier (if any)
			<u> </u>
(b) Legal name of custodian: HSBC BANK PLC			
(c) Primary business name of custodian: HSBC BANK PLC			
(d) The location of the custodian's office respon-	sible for <i>custody</i> of the <i>private fund</i> 's assets (cit	y, state and country):	
City: HONG KONG	State:	Country: China	
HONG KONG		Cillia	Yes N
(e) Is the custodian a related person of your firm	1?		0 0
(O 14)			
(f) If the custodian is a broker-dealer, provide its	s SEC registration number (if any):		
CRD Number (if any):			
(g) If the custodian is not a broker-dealer, or is a	a broker-dealer but does not have an SEC regis	tration number, provide its legal entity ident	tifier (if any)
If the answer to question 25.(a) is "yes," respond	to questions (b) through g) below for each cus	todian the <i>private fund</i> uses. If the <i>private fund</i>	<i>und</i> uses more than on
custodian, you must complete questions (b) thro	ugh (g) separately for each custodian.		
(b) Legal name of custodian:			
J.P. MORGAN SECURITIES PLC			
(a) Drimory business parts of any till			
(c) Primary business name of custodian: J.P. MORGAN SECURITIES PLC			
(d) The location of the custodian's office respon-	sible for custody of the private fund's assets (cit	y, state and country):	
City:	State:	Country:	

	HONG KONG		China	
	(e) Is the custodian a <i>related person</i> of your firm?			Yes No
	(c) to the case and a related person of year mini-			○ ●
	(f) If the custodian is a broker-dealer, provide its SEC regist	tration number (if any):		
	CRD Number (if any):			
	(g) If the custodian is not a broker-dealer, or is a broker-deal	aler but does not have an SEC reg	istration number, provide its <i>legal entity</i>	identifier (if any)
Admin	istrator			
				Yes No
26. (a)	Does the <i>private fund</i> use an administrator other than your firm If the answer to question 26.(a) is "yes," respond to questions ((b) through (f) separately for each administrator.		fund uses more than one administrator	, you must complete questions
	Additional Administrator Information : 1 Record(s) Filed.			
	If the answer to question 26.(a) is "yes," respond to questions questions (b) through (f) separately for each administrator.	s (b) through (f) below. If the <i>priva</i>	te fund uses more than one administrat	or, you must complete
	(b) Name of administrator: BNP PARIBAS SECURITIES SERVICES, HONG KONG E	3RANCH		
	(c) Location of administrator (city, state and country):	_	_	
	City: HONG KONG	State:	Country: China	
	(d) Is the administrator a <i>related person</i> of your firm?			Yes No
	(e) Does the administrator prepare and send investor account of the second send (provided to all investors)	·		
	(f) If the answer to question 26.(e) is "no" or "some," who s statements are not sent to the (rest of the) private fund's			estors? If investor account
	uring your last fiscal year, what percentage of the <i>private fund's</i> as	ssets (by value) was valued by a p	erson, such as an administrator, that is	not your related person?
Ind va	100% clude only those assets where (i) such <i>person</i> carried out the valuation used for purposes of investor subscriptions, redemptions erson.	•		
Marke	t <u>ers</u>			Yes No
28. (a)	You must answer "yes" whether the <i>person</i> acts as a placemer to question 28.(a) is "yes," respond to questions (b) through (g) must complete questions (b) through (g) separately for each m	nt agent, consultant, finder, introdu) below for each such marketer the	ucer, municipal advisor or other solicitor	⊙ C r, or similar <i>person</i> . If the answer
	Additional Marketer Information : 3 Record(s) Filed.			
	You must answer "yes" whether the <i>person</i> acts as a placem answer to question 28.(a) is "yes," respond to questions (b) to one marketer, you must complete questions (b) through (g) s	through (g) below for each such m	-	•
				Yes No
	(b) Is the marketer a <i>related person</i> of your firm?			0.0

(c) Name of the marketer: AGECROFT PARTNERS, LLC			
(d) If the marketer is registered with the	e SEC, its file number (e.g., 801-, 8-, or	866-7-	
8 - 67198	s one, its life fluitiber (e.g., out-, o-, or	000-).	
and CRD Number (if any): 139227			
139227			
(e) Location of the marketer's office us	ed principally by the <i>private fund</i> (city, s	tate and country):	
City:	State:	Country:	
RICHMOND	Virginia	United States	
			Yes
(f) Does the marketer market the <i>priva</i>	ate fund through one or more websites?	?	0
(g) If the answer to question 28.(f) is "y			
	No In	formation Filed	
answer to question 28.(a) is "yes," resp		tant, finder, introducer, municipal advisor or other solicitor, of for each such marketer the <i>private fund</i> uses. If the <i>private</i> in marketer.	
			Yes
(b) Is the marketer a related person of	your firm?		0
· · · · · · · · · · · · · · · · · · ·	•		O
(c) Name of the marketer:			
ARISTAGORA ADVISORS CO., LTD).		
,			
(d) If the marketer is registered with the	e SEC, its file number (e.g., 801-, 8-, or	866-):	
and CRD Number (if any):			
	ed principally by the <i>private fund</i> (city, s		
City: TOKYO	State:	Country:	
TORYO		Japan	Voc
(4) Dogg the marketor market the prive	ata fund through and ar mara wahaitaa'		Yes
(f) Does the marketer market the <i>priva</i>	ate fund through one or more websites?	e.	0
(g) If the answer to question 28.(f) is "y	/es," list the website address(es):		
	No In	formation Filed	
answer to question 28.(a) is "yes," resp		tant, finder, introducer, municipal advisor or other solicitor, for each such marketer the <i>private fund</i> uses. If the <i>private</i> h marketer.	
/b) lo the medicates = == !- !	vour firm?		Yes
(b) Is the marketer a related person of	your IIIII!		0
(a) Name of the marketers			
(c) Name of the marketer: NOAH HOLDINGS (HONG KONG) I	IMITED		
NOATTIOLDINGS (HONG KONG) I			
(d) If the marketer is registered with the	e SEC, its file number (e.g., 801-, 8-, or	866-):	
-			
and CRD Number (if any):			
(a) Location of the marketer's effice us	ed principally by the <i>private fund</i> (city, s	tate and country):	
(e) Location of the marketer's office us City:	ed principally by the <i>private fund</i> (city, s Stat		
HONG KONG	Stat	Country. China	

	(f) Does the marketer market the <i>private fund</i> through one or more websites?	es I	No ⊙	
	(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed			
,	ECTION 7.B.(2) Private Fund Reporting			

No Information Filed

tem	8 Pa	articipation or Interest in <i>Client</i> Transactions		
	veen	em, we request information about your participation and interest in your <i>clients</i> ' transactions. This information identifies additional areas in which conflicts of interest responses to these questions on the types of participation and interest that you expect to engage in during		
Like	lten	n 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.		
Pro	priet	tary Interest in <i>Client</i> Transactions		
A.	Do	you or any related person:	Yes	No
	(1)	buy securities for yourself from advisory clients, or sell securities you own to advisory clients (principal transactions)?	0	•
	(2)	buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	•	С
	(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	0	•
Sal	es In	nterest in <i>Client</i> Transactions		
B.	Do	you or any related person:	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	•
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related</i> person serves as underwriter or general or managing partner?	0	•
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	•
Inv	estm	nent or Brokerage Discretion		
C.	Do	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	\odot	С
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	\odot	С
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	\odot	С
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	С
D.	If yo	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	œ
E.	Do	you or any <i>related person</i> recommend brokers or dealers to <i>clients</i> ?	•	С
F.	If yo	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	©
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	•	С
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any related persons receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	С
Н.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	•	С
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	•	С
l.	-	you or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any related person) for client errals?	0	•
	In y	our response to Item 8.I., do not include the regular salary you pay to an employee.		

In responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.

lten	1 9 Custody			
In t	nis Item, we ask you whether you or a <i>related person</i> has <i>custody</i> of <i>client</i> (other than <i>clients</i> that are investment companies registered under the Investment Company are the sand about your custodial practices.	ct of 1	940))
A.	(1) Do you have <i>custody</i> of any advisory <i>clients</i> ':	Yes	s N	ю
	(a) cash or bank accounts?	0	6	•
	(b) securities?	0	0	•
	If you are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly fro clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.	-		
	(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of client funds and securities and total number of clients for which you have custody:			
	U.S. Dollar Amount Total Number of <i>Clients</i>			
	(a) \$ (b)			
	If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include of those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response (2).	you		
В.	(1) In connection with advisory services you provide to <i>clients</i> , do any of your <i>related persons</i> have <i>custody</i> of any of your advisory <i>clients'</i> :	Yes	s N	ю
	(a) cash or bank accounts?	0	6	3 1
	(b) securities?		•	_
	You are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).			
	(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for which your <i>related persocustody</i> :	ns have	Э	
	U.S. Dollar Amount Total Number of <i>Clients</i>			
	(a) \$ (b)			
С	If you or your <i>related persons</i> have <i>custody</i> of <i>client</i> funds or securities in connection with advisory services you provide to <i>clients</i> , check all the following that apply:			
0.	(1) A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.			
	(2) An <i>independent public accountant</i> audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools.			
	(3) An <i>independent public accountant</i> conducts an annual surprise examination of <i>client</i> funds and securities.			
	 (4) An independent public accountant prepares an internal control report with respect to custodial services when you or your related persons are qualified custodian for client funds and securities. 			
	If you checked Item 9.C.(2), C.(3) or C.(4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or prepare an interner report. (If you checked Item 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provided this information with respect to the funds you advise in Section 7.B.(1) of Schedule D).			
D.	Do you or your related person(s) act as qualified custodians for your clients in connection with advisory services you provide to clients?	Yes	s N	ю
	(1) you act as a qualified custodian	0	0	•
	(2) your related person(s) act as qualified custodian(s)	0	(•
	If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) musidentified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Adv		ct.	
E.	If you are filing your annual updating amendment and you were subject to a surprise examination by an independent public accountant during your last fiscal year, prodate (MM/YYYY) the examination commenced:	vide the	Э	
F.	If you or your <i>related persons</i> have <i>custody</i> of <i>client</i> funds or securities, how many <i>persons</i> , including, but not limited to, you and your <i>related persons</i> , act as qualified for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	ustodi	ans	

Nο	Inform	nation	Filed
110	IIIIOIII	nauon	riied

ltem	10	Cor	ntrol	Pe	ren	ns

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

Yes No

A. Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?

0 0

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

SECTION 10.B. Control Person Public Reporting Companies

No Information Filed

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your *advisory affiliates* are: (1) all of your current *employees* (other than *employees* performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any *person* performing similar functions); and (3) all *persons* directly or indirectly *controlling* you or *controlled* by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your *advisory affiliates* are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

Yes No

Do any of the events below involve you or any of your *supervised persons*?

For "yes" answers to the following questions, complete a Criminal Action DRP:

Yes No

(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?

0 0

(2) been charged with any felony?

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are currently pending.

B. In the past ten years, have you or any *advisory affiliate*:

A. In the past ten years, have you or any advisory affiliate:

- (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a *misdemeanor* involving: investments or an *investment-related* business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?
- (2) been charged with a misdemeanor listed in Item 11.B.(1)?

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If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending.

For "yes" answers to the following questions, complete a Regulatory Action DRP:

С.	Has	s the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1)	found you or any advisory affiliate to have made a false statement or omission?	\circ	\odot
	(2)	found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	•
	(3)	found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4)	entered an order against you or any advisory affiliate in connection with investment-related activity?	0	•
	(5)	imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	•
D.	Has	s any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1)	ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	\odot	0
	(2)	ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	\odot	\circ
	(3)	ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4)	in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	0	\odot
	(5)	ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	•
Ε.	Has	s any self-regulatory organization or commodities exchange ever:		
	(1)	found you or any advisory affiliate to have made a false statement or omission?	0	•
	(2)	found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	•
	(3)	found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4)	disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	•
F.	Has	s an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	•
G.	Are	e you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	•
For	"yes	s" answers to the following questions, complete a Civil Judicial Action DRP:		
Н.	(1)	Has any domestic or foreign court:	Yes	No
		(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	\circ	\odot
		(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	\odot	\circ
		(c) ever dismissed, pursuant to a settlement agreement, an investment-related civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?	0	•
	(2)	Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•
em	12 5	Small Businesses		
of "s	mall	C is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the dill business" or "small organization" under rule 0-7.		
แเร	wert	this Item 12 only if you are registered or registering with the SEC and you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management	or ies	,o

than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of clients. In determining your or another person's total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a person, whether through ownership of securities, by contract, or otherwise. Any person that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another person is presumed to control the other person.

Yes No

A.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	0
If "\	ves " you do not need to answer Items 12 B, and 12 C.		

- - (1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on C the last day of its most recent fiscal year?

C. Are you		you:					
	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?						
	(2)	controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0			
Sche	edule	e A					
Dire	ct O	wners and Executive Officers					
	•	olete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule this information.	ule C	to			
2. D)irect	t Owners and Executive Officers. List below the names of:					
(;		ach Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required if you aggistered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;	are				
(1	-	you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company subject to Section 12 or 15(d) of the Exchange Act);	any (a	a			
	D	irect owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting s	ecurit	ties.			

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(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?

(c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;

For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days,

(d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and

(e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.

3. Do you have any indirect owners to be reported on Schedule B? ONO

through the exercise of any option, warrant, or right to purchase the security.

4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.

Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

6. Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%

7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column

(c) Complete each Column.							
FULL LEGAL NAME (Individuals: Last Name,	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of Birth, IRS
First Name, Middle Name)			Acquired MM/YYYY	Code	Person		Tax No. or Employer ID No.
GREENWOODS ASSET MANAGEMENT	FE	SHAREHOLDER	08/2007	E	Υ	N	
HOLDINGS LIMITED							
JIANG, JINZHI, (NMN)	I	DIRECTOR AND	11/2005	E	Υ	N	6046141
		SHAREHOI DER					

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F - Other (general partner, trustee, or elected manager)

7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons. (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act. (c) Complete each column. FULL LEGAL NAME (Individuals: Last DE/FE/I Entity in Which Interest is Date Status Ownership Control PR CRD No. If None: S.S. No. and Date of Status Name, First Name, Middle Name) Acquired Birth, IRS Tax No. or Employer ID No. Owned Code Person MM/YYYY UNIQUE ELEMENT CORP. **GREENWOODS ASSET** FE SHAREHOLDER 05/2007 Е Ν MANAGEMENT HOLDINGS LIMITED Υ JIANG, JINZHI, (NMN) UNIQUE ELEMENT CORP. DIRECTOR AND 05/2007 Ε N 6046141 SHAREHOLDER Schedule D - Miscellaneous You may use the space below to explain a response to an Item or to provide any other information. Schedule R No Information Filed **DRP Pages CRIMINAL DISCLOSURE REPORTING PAGE (ADV)** No Information Filed REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV) GENERAL INSTRUCTIONS This Disclosure Reporting Page (DRP ADV) is an C INITIAL OR S AMENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G. of Form ADV. Regulatory Action Check item(s) being responded to: 11.C(3) 11.C(4) 11.C(5) 11.C(1) 11.C(2) 11.D(4) **☑** 11.D(1) **☑** 11.D(2) 11.D(3) 11.D(5) 11.E(1) 11.E(2) 11.E(3) 11.E(4) ■ 11.F. □ 11.G. Use a separate DRP for each event or proceeding. The same event or proceeding may be reported for more than one person or entity using one DRP. File with a completed Execution Page. One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP. **PARTI** The person(s) or entity(ies) for whom this DRP is being filed is (are): You (the advisory firm) • You and one or more of your advisory affiliates One or more of your advisory affiliates

If this DRP is being filed for an advisory affiliate, give the full name of the advisory affiliate below (for individuals, Last name, First name, Middle name).

This advisory affiliate is . a Firm . an Individual

If the advisory affiliate has a CRD number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - ADVISORY AFFILIATE

139054

CRD

Number:

	Registered: • Yes C No				
	Name: GREENWOODS ASSET				
	MANAGEMENT LIMITED				
	(For individuals, Last, First, Middle)				
	CRD Number: 6046141 This advisory affiliate is ○ a Firm ⊙ an Individual				
	Registered:				
	Name: JIANG, JINZHI, (NMN)				
	(For individuals, Last, First, Middle)				
	 □ This DRP should be removed from the ADV record because the advisory affiliate(s) is no longer associated with the adviser. ☑ This DRP should be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an exempt reporting adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor. 				
	If you are registered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.				
	This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:				
B.	If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.				
	C Yes No				
	NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.				
PAR	TII				
1.	Regulatory Action initiated by: O SEC Other Federal O State O SRO o Foreign				
	(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) SECURITIES AND FUTURES COMMISSION, HONG KONG				
2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:				
3	Date Initiated (MM/DD/YYYY):				
0.	02/14/2008 © Exact C Explanation				
	If not exact, provide explanation:				
4.	Docket/Case Number: ESS2224/2008-ESS2235/2008				
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):				
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:				
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): IN FEBURARY 08, EACH OF GREENWOODS ASSET MANAGEMENT LIMITED (THE ADVISOR), GOLDEN CHINA MASTER FUND (THE FUND), AND JIANG JIN ZHI (THE PORTFOLIO MANAGER) WAS FINED HK\$6,000 BY THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION EASTERN MAGISTRACY FOR THE LATE DISCLOSURE OF THE EQUITY INTERESTS IN A HONG KONG LISTED COMPANY IN 2006 (THE HK\$6,000 FINE OF GOLDEN CHINA FUND WAS PAID BY GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED). UNDER HK SECURITIES RULES, IF AN INVESTOR HOLDS MORE THAN 5% OF THE ISSUED SHARE CAPITAL OF A LISTED COMPANY, SHE/HE MUST TO FILE A DISCLOSURE OF INTEREST NOTICE ("DI NOTICE") TO THE HONG KONG STOCK EXCHANGE WITHIN 3 BUSINESS DAYS OF ACQUIRING SUCH INTEREST. IN 2006, GOLDEN CHINA MASTER FUND PURCHASED THE SHARES OF A COMPANY WHICH IS DUALLY-LISTED IN BOTH THE SHANGHAI STOCK EXCHANGE (A SHARES) AND HONG KONG STOCK EXCHANGE (H SHARES). IN MAY 2006, GOLDEN CHINA MASTER FUND HELD AROUND 14 MILLION H SHARES OF THE COMPANY, ACCOUNTING FOR 6.5% OF THE H SHARES ISSUED, BUT ONLY 1.8% OF THE TOTAL ISSUED SHARE CAPITAL. GREENWOODS ASSET MANAGEMENT LIMITED BELIEVED THAT THE 5% THRESHOLD FOR THE DI NOTICE RULE IS BASED ON THE TOTAL ISSUED SHARE CAPITAL AND THEREFORE DID NOT FILE THE DI NOTICE, WHILE THE 5% THRESHOLD IS ACTUALLY BASED ON A SINGLE CLASS OF SHARES (H SHARES IN THIS CASE). GREENWOODS EVENTUALLY FILED THE DI NOTICE, AND PAID A FINE OF HKD18000 IN EARLY 2008.				
8.	Current Status? C Pending C On Appeal C Final				

9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.								
10. How was m	atter resolved:							
	Order of Offer of Settlement							
11. Resolution [Pate (MM/DD/YYYY):							
02/29/2008								
If not exact,	provide explanation:							
12. Resolution	Detail:							
A. Were	any of the following Sanctions Ordered (chec	k all appropriate items)?						
☑ M	onetary/Fine Amount: \$ 2,300.00							
	evocation/Expulsion/Denial		Disgorgement/Restitution					
	ensure		Cease and Desist/Injunction	on				
□ Ba		L	Suspension					
SECU Sancti Princip condit you or	al, etc.). If requalification by exam/retraining	provide duration including start date a was a condition of the sanction, providing a fine, penalty, restitution, disgorgation of penalty was waived:	nd capacities affected (Gen de length of time given to re	neral Securities Principal, Financial Operations qualify/retrain, type of exam required and whether nsation, provide total amount, portion levied against				
13. Provide a b provided).	rief summary of details related to the action s	status and (or) disposition and include	e relevant terms, conditions	and dates (your response must fit within the space				
AMOUNT P	ENALTY PAID: HKD 6,000 FOR EACH CHAR	GE, 3 CHARGES IN TOTAL, PAID ON	29 FEB 2008.					
CIVIL JUDICIAL A	CTION DISCLOSURE REPORTING PAGE (ADV	()						
		GENERAL INSTRUCT	TIONS					
This Disclosure F	eporting Page (DRP ADV) is an $_{\hbox{\scriptsize oldsymbol{C}}}$ INITIAL	OR AMENDED response used to	report details for affirmative	responses to Item 11.H. of Part 1A or Item 2.F. of Part				
1B of Form ADV.								
		Civil Judicial						
Check Part 1A ite	m(s) being responded to:	O.V.II Gualota.						
□ 11.H(1)(a)	✓ 11.H(1)(b)		11.H(1)(c)	□ 11.H(2)				
Check Part 1B ite	m(s) being responded to:							
□ 2.F(1)	□ 2.F(2)	2.F(3)	2.F(4)	☐ 2.F(5)				
Use a separate DRP for each event or <i>proceeding</i> . The same event or <i>proceeding</i> may be reported for more than one <i>person</i> or entity using one DRP. File with a completed Execution Page. One event may result in more than one affirmative answer to Item 11.H. of Part 1A or Item 2.F. of Part 1B. Use only one DRP to report details related to the same event. Unrelated civil judicial actions must be reported on separate DRPs.								
PARTI								
	s) or entity(ies) for whom this DRP is being f advisory firm)	iled is (are):						
	You and one or more of your advisory affiliates							
	One or more of your advisory affiliates							
0	advisory affiliates							
	If this DRP is being filed for an advisory affiliate, give the full name of the advisory affiliate below (for individuals, Last name, First name, Middle name). If the advisory affiliate has a CRD number, provide that number. If not, indicate "non-registered" by checking the appropriate box.							
ADV DRP -	ADV DRP - ADVISORY AFFILIATE							

	Registered: • Yes O No
	Name: GREENWOODS ASSET
	MANAGEMENT LIMITED
	(For individuals, Last, First, Middle)
	CRD Number: 6046141 This advisory affiliate is ○ a Firm ● an Individual
	Registered: • Yes C No
	Name: JIANG, JINZHI, (NMN)
	(For individuals, Last, First, Middle)
	 ☐ This DRP should be removed from the ADV record because the advisory affiliate(s) is no longer associated with the adviser. ☑ This DRP should be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an exempt reporting adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor.
	If you are registered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.H.(1)(a), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.
	This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
3.	If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided. O Yes No
	NOTE: The completion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records.
۱Þ	T II
	Court Action initiated by: (Name of regulator, foreign financial regulatory authority, SRO, commodities exchange, agency, firm, private plaintiff, etc.) SECURITIES AND FUTURES COMMISSION, HONG KONG
	Principal Relief Sought: Civil Penalty(ies)/Fine(s) Other Relief Sought:
	Filing Date of Court Action (MM/DD/YYYY):
	02/14/2008 © Exact © Explanation
	If not exact, provide explanation:
	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
	Formal Action was brought in (include name of Federal, State or Foreign Court, Location of Court - City or County <u>and</u> State or Country, Docket/Case Number): THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION EASTERN MAGISTRACY, CASE NUMBER ESS2224/2008-ESS2235/2008
	Advisory Affiliate Employing Firm when activity occurred which led to the civil judicial action (if applicable):
	Describe the allegations related to this civil action (your response must fit within the space provided): FAILURE TO DISCLOSE INTEREST IN 5% OR MORE OF A COMPANY LISTED IN HONG KONG STOCK EXCHANGE.
	Current Status? C Pending C On Appeal Final
	If on appeal, action appealed to (provide name of court) and Date Appeal Filed (MM/DD/YYYY):
0.	If pending, date notice/process was served (MM/DD/YYYY):
	© Exact © Explanation If not exact, provide explanation:
F	inal or On Appeal, complete all items below. For Pending Actions, complete Item 14 only.
1.	How was matter resolved: Settled

12.	2. Resolution Date (MM/DD/YYYY):						
	02/2	2/29/2008 © Exact C Explanation					
		not exact, provide explanation:					
13.	Res	resolution Detail:					
	Α.	A. Were any of the following Sanctions Ordered or Relief Granted(check appropriate items)?					
		Monetary/Fine Amount: \$ 2,300.00					
			sgorgement/Restitution				
			ase and Desist/Injunction				
			spension				
	B.		spension				
	Ъ.						
	C.	C. Sanction detail: if suspended, enjoined or barred, provide duration including start date and Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgen you or an advisory affiliate, date paid and if any portion of penalty was waived:	length of time given to requalify/retrain, type of exam required and whether				
		FAILURE TO DISCLOSE INTEREST IN 5% OR MORE OF A COMPANY LISTED IN HONG K	ONG STOCK EXCHANGE.				
14.	4. Provide a brief summary of circumstances related to the action(s), allegation(s), disposition(s) and/or finding(s) disclosed above (your response must fit within the space provided). IN FEBURARY 08, EACH OF GREENWOODS ASSET MANAGEMENT LIMITED (THE ADVISOR), GOLDEN CHINA MASTER FUND (THE FUND), AND JIANG JIN ZHI (THE PORTFOLIO MANAGER) WAS FINED HK\$6,000 BY THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION EASTERN MAGISTRACY FOR THE LATE DISCLOSURE OF THE EQUITY INTERESTS IN A HONG KONG LISTED COMPANY IN 2006 (THE HK\$6,000 FINE OF GOLDEN CHINA FUND WAS PAID BY GREENWOODS ASSET MANAGEMENT HONG KONG LIMITED). UNDER HK SECURITIES RULES, IF AN INVESTOR HOLDS MORE THAN 5% OF THE ISSUED SHARE CAPITAL OF A LISTED COMPANY, SHE/HE MUST TO FILE A DISCLOSURE OF INTEREST NOTICE ("DI NOTICE") TO THE HONG KONG STOCK EXCHANGE WITHIN 3 BUSINESS DAYS OF ACQUIRING SUCH INTEREST. IN 2006, GOLDEN CHINA MASTER FUND PURCHASED THE SHARES OF A COMPANY WHICH IS DUALLY-LISTED IN BOTH THE SHANGHAI STOCK EXCHANGE (A SHARES) AND HONG KONG STOCK EXCHANGE (H SHARES). IN MAY 2006, GOLDEN CHINA MASTER FUND HELD AROUND 14 MILLION H SHARES OF THE COMPANY, ACCOUNTING FOR 6.5% OF THE H SHARES ISSUED, BUT ONLY 1.8% OF THE TOTAL ISSUED SHARE CAPITAL. GREENWOODS ASSET MANAGEMENT LIMITED BELIEVED THAT THE 5% THRESHOLD FOR THE DI NOTICE RULE IS BASED ON THE TOTAL ISSUED SHARE CAPITAL AND THEREFORE DID NOT FILE THE DI NOTICE, WHILE THE 5% THRESHOLD FOR THE DI NOTICE RULE IS BASED ON THE TOTAL ISSUED SHARE CAPITAL AND THEREFORE DID NOT FILE THE DI NOTICE, WHILE THE 5% THRESHOLD IS ACTUALLY BASED ON A SINGLE CLASS OF SHARES (H SHARES IN THIS CASE). GREENWOODS EVENTUALLY FILED THE DI NOTICE, AND PAID A FINE OF HKD18000 IN EARLY 2008.						
art 2							
Exer	nptio	otion from brochure delivery requirements for SEC-registered advisers					
	EC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to <i>all</i> of your advisory lients, you do not have to prepare a brochure.						
	Yes No						

Exemption from brochure delivery requirements for SEC-registered advisers								
SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to <i>all</i> of your advisory clients, you do not have to prepare a brochure.								
		Yes No						
Are you exempt from delivering a brochure to all of your clients under these rules?								
If no, complete the ADV Part 2 filing below.								
Amend, retire or file new brochures:								
Brochure ID Brochure Name Brochure Type(s)								
273693 GAML ADV PART 2A 03-30-2020 Other institutional, Private funds or pools								
GAML ADV PART 2A 03-31-2021 Other institutional, Private funds or pools								

Part 3							
CRS Type(s)			Affiliate Info	Retire			
	There are no CRS filings to	o display.					

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons*

may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY

Printed Name:

Adviser CRD Number:

139054

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Title:

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY
JIANG, JINZHI 03/31/2021

Printed Name:

JIANG, JINZHI DIRECTOR AND SHAREHOLDER

Adviser CRD Number:

139054