FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: CCA CREDIT EUROPE LIMITED | **CRD Number: 140415**
ADV-Other-Than-Annual Amendment, Part 2 | **Rev. 11/2010**
5/18/2011 9:33:20 AM

Amend, retire or file new brochures:

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: CCA CREDIT EUROPE LIMITED | **CRD Number: 140415**
ADV - Other-Than-Annual Amendment, SCHEDULE A | **Rev. 11/2010**
5/18/2011 9:33:20 AM

Form ADV, Schedule A

Direct Owners and Executive Officers

1. Complete Schedule A only if you are submitting an initial application. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
2. Direct Owners and Executive Officers. List below the names of:
   (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required and cannot be more than one individual), director, and any other individuals with similar status or functions;
   (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

   Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

   (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
   (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
   (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
3. Do you have any indirect owners to be reported on Schedule B? (•) Yes ( ) No
4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
6. Ownership codes are: NA - less than 5% | B - 10% but less than 25% | D - 50% but less than 75% | A - 5% but less than 10% | C - 25% but less than 50% | E - 75% or more
7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
   (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
   (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	*Control Person*	PR	*CRD* No. If None: S.S. No. and Date of Birth, IRS Tax No., or Employer ID No.
CITIGROUP ALTERNATIVE INVESTMENTS LLC	DE	OWNER	02/2006	E	Y	Y	
SCHEMBRI, DORES, TERESA	I	DIRECTOR	02/2006	NA	Y	N	5134018
CUMMING, SIMON, JAMES	I	SECRETARY	02/2006	NA	Y	N	5134021
RAKOWSKY, IHOR, GEORGE	I	ASSOCIATE GENERAL COUNSEL	02/2006	NA	Y	N	5134036
DORFMAN, JONATHAN, LOUIS	I	DIRECTOR	12/2007	NA	N	N	4025919
MICKO, MICHAEL, MICKO	I	DIRECTOR	12/2007	NA	Y	N	5515325
OBRIEN, JAMES, MICHAEL	I	DIRECTOR	12/2007	NA	Y	N	1257015
MASKREY, PETER, (NMN)	I	CHIEF COMPLIANCE OFFICER	03/2010	NA	Y	Y	5891228

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Form ADV, Schedule B

Indirect Owners

1. Complete Schedule B only if you are submitting an initial application. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
   (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

   For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

   (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;

   (c) in the case of an owner that is a trust, the trust and each trustee; and

   (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
6. Ownership codes are: C - 25% but less than 50% E - 75% or more
   D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)
7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

   (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

   (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	*Control Person*	PR	*CRD* No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
CITIGROUP INC.	DE	CITIGROUP INVESTMENTS INC.	OWNER	07/2004	E	Y	Y	
CITIGROUP INVESTMENTS INC.	DE	CITIGROUP ALTERNATIVE INVESTMENTS LLC	OWNER	02/2002	E	Y	Y	

Form ADV, Schedule C

Amendments to Schedules A and B

1. Use Schedule C only to amend information requested on either Schedule A or Schedule B. Refer to Schedule A and Schedule B for specific instructions for completing this Schedule C. Complete each column.
2. In the Type of Amendment column, indicate "A" (addition), "D" (deletion), or "C" (change in information about the same *person*).
3. Ownership codes are: NA - less than 5% C - 25% but less than 50% G - Other (general partner, trustee, or elected member)
   A - 5% but less than 10% D - 50% but less than 75%
   B - 10% but less than 25% E - 75% or more
4. List below all changes to Schedule A (Direct Owners and Executive Officers):

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Type of Amendment	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	*Control Person*	PR	*CRD* No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
SCHEMBRI, DORES, TERESA	I	C	DIRECTOR	02/2006	NA	Y	N	5134018
MASKREY, PETER, (NMN)	I	C	CHIEF COMPLIANCE OFFICER	03/2010	NA	Y	Y	5891228

5. List below all changes to Schedule B (Indirect Owners):

No Changes to Indirect Owner Information Filed

Form ADV, Schedule D Page 1

Certain items in Part 1A of Form ADV require additional information on Schedule D. Use this Schedule D Page 1 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 1.B. Other Business Names

List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D for each business name.

No Information Filed

Section 1.F. Other Offices

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Page 1 for each location. If you are applying for registration, or are registered, only with the SEC, list only the largest five (in terms of numbers of *employees*).

No Information Filed

Section 1.I. World Wide Web Site Addresses

List your World Wide Web site addresses. You must complete a separate Schedule D for each World Wide Web site address.

World Wide Web Site Address: HTTPS://CITIGROUPAI.COM

Section 1.K. Locations of Books and Records

Complete the following information for each location at which you keep your books and records, other than your *principal office and place of business*. You must complete a separate Schedule D Page 1 for each location.

Name of entity where books and records are kept:
CITIGROUP GLOBAL MARKETS - COMPANY SECREATARY'S DEPARTMENT

Number and Street 1: CITIGROUP CENTRE- CANADA SQUARE
Number and Street 2: CANARY WHARF

City: LONDON
State:
Country: United Kingdom
ZIP+4/Postal Code: E14 5LB

If this address is a private residence, check this box: ☐

Telephone Number: 011442075083594
Facsimile number: 011442075089708

This is (check one):

- (●) one of your branch offices or affiliates.
- ( ) a third-party unaffiliated recordkeeper.
- ( ) other.

Briefly describe the books and records kept at this location.
CERTAIN FINANCIAL RECORDS AND SHAREHOLDER RECORDS

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Form ADV, Schedule D Page 2

Use this Schedule D Page 2 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 1.L. Registration with *Foreign Financial Regulatory Authorities*

List the name, in English, of each *foreign financial regulatory authority* and country with which you are registered. You must complete a separate Schedule D Page 2 for each *foreign financial regulatory authority* with whom you are registered.

English Name of Country/*Foreign Financial Regulatory Authority*
United Kingdom - Financial Services Authority

Other

Section 2.A(7) Affiliated Adviser

If you are relying on the exemption in rule 203A-2(c) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser (if any)

SEC Number of Registered Investment Adviser
801-

Section 2.A(8) Newly Formed Adviser

If you are relying on rule 203A-2(d), the newly formed adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:

- ☐ I am not registered or required to be registered with the SEC or a *state securities authority* and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- ☐ I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.

Section 2.A(9) Multi-State Adviser

If you are relying on rule 203A-2(e), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

- ☐ I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 30 or more states to register as an investment adviser with the securities authorities in those states.
- ☐ I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 25 states to register as an investment adviser with the securities authorities of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

- ☐ Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 25 states to register as an investment adviser with the securities authorities in those states.

Form ADV, Schedule D Page 3

Use this Schedule D Page 3 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 2.A(11) SEC Exemptive *Order*

No Information Filed

Section 4 Successions

Complete the following information if you are succeeding to the business of a currently-registered investment adviser. If you acquired more than one firm in the succession you are reporting on this Form ADV, you must complete a separate Schedule D Page 3 for each acquired firm. See Part 1A Instruction 4.

No Information Filed

Section 5.I(2) *Wrap Fee Programs*

If you are a portfolio manager for one or more *wrap fee programs*, list the name of each program and its *sponsor*. You must complete a separate Schedule D Page 3 for each *wrap fee program* for which you are a portfolio manager.

No Information Filed

Section 6.B. Description of Primary Business

No Information Filed

SECTION 7.A. Affiliated Investment Advisers and Broker-Dealers

You must complete the following information for each *related person* investment adviser and broker-dealer. You must complete a separate Schedule D Page 3 for each listed *related person*.

(1) Legal Name of *Related Person*:
COMPANIA COLOMBIANA ADMINISTRADORA DE FONDOS DE PENSIONES

(2) Primary Business Name of *Related Person*:
COMPANIA COLOMBIANA ADMINISTRADORA DE FONDOS DE PENSIONES

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITITRUST (BAHAMAS) LTD.

(2) Primary Business Name of *Related Person*:
CITITRUST (BAHAMAS) LTD.

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
FNC - COMERCIO E PARTICIPACOES, LTD.

(2) Primary Business Name of *Related Person*:
FNC - COMERCIO E PARTICIPACOES, LTD.

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITITRUST (CAYMAN) LTD - CONFIDAS

(2) Primary Business Name of *Related Person*:
CITITRUST (CAYMAN) LTD - CONFIDAS

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIBANK (CHANNEL ISLANDS) LTD.

(2) Primary Business Name of *Related Person*:
CITIBANK (CHANNEL ISLANDS) LTD.

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITITRUST (JERSEY) LTD.

(2) Primary Business Name of *Related Person*:
CITITRUST (JERSEY) LTD.

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
SERVICIOS FINANCIEROS CITIBANK (CHILE) S.A.

(2) Primary Business Name of *Related Person*:
SERVICIOS FINANCIEROS CITIBANK (CHILE) S.A.

(3) *Related Person* is (check only one box):

- ◉ Investment Adviser
- ○ Broker-Dealer
- ○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITICORP INTERNATIONAL LTD.

(2) Primary Business Name of *Related Person*:
CITICORP INTERNATIONAL LTD.

(3) *Related Person* is (check only one box):

- ◉ Investment Adviser
- ○ Broker-Dealer
- ○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITITRUST AND BANKING CORPORATION

(2) Primary Business Name of *Related Person*:
CITITRUST AND BANKING CORPORATION

(3) *Related Person* is (check only one box):

- ◉ Investment Adviser
- ○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
MORGAN STANLEY SMITH BARNEY PRIVATE MANAGEMENT II LLC

(2) Primary Business Name of *Related Person*:
MORGAN STANLEY SMITH BARNEY PRIVATE MANAGEMENT II LLC

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
MORGAN STANLEY PRIVATE WEALTH MANAGEMENT

(2) Primary Business Name of *Related Person*:
MORGAN STANLEY PRIVATE WEALTH MANAGEMENT

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
LIMITED QUILTER & CO LIMITED

(2) Primary Business Name of *Related Person*:
LIMITED QUILTER & CO LIMITED

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
MORGAN STANLEY SMITH BARNEY PRIVATE MANAGEMENT LLC

(2) Primary Business Name of *Related Person*:
MORGAN STANLEY SMITH BARNEY PRIVATE MANAGEMENT LLC

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801- 60174

Related Person's CRD Number (if any):
111397

(1) Legal Name of *Related Person*:
MORGAN STANLEY SMITH BARNEY VENTURE SERVICES LLC

(2) Primary Business Name of *Related Person*:
MORGAN STANLEY SMTIH BARNEY VENTURE SERVICES LLC

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801- 60172

Related Person's CRD Number (if any):
11386

(1) Legal Name of *Related Person*:
MORGAN STANLEY SMITH BARNEY LLC

(2) Primary Business Name of *Related Person*:
MORGAN STANLEY SMITH BARNEY LLC

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801- 60174

Related Person's CRD Number (if any):
111397

(1) Legal Name of *Related Person*:
CITIGROUP GLOBAL MARKETS INC.

(2) Primary Business Name of *Related Person*:
CITIGROUP GLOBAL MARKETS INC.

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801- 3387

Related Person's CRD Number (if any):
7059

(1) Legal Name of *Related Person*:
CITIGROUP GLOBAL MARKETS SINGAPORE LTD.

(2) Primary Business Name of *Related Person*:
CITIGROUP GLOBAL MARKETS SINGAPORE LTD.

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
BANCO NACIONAL DE MEXICO S.A.

(2) Primary Business Name of *Related Person*:
BANCO NACIONAL DE MEXICO S.A.

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
AFORE BANAMEX, S.A. DE C.V.

(2) Primary Business Name of *Related Person*:
AFORE BANAMEX, S.A. DE C.V.

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITICORP SECURITIES INVESTMENT CONSULTING INC.

(2) Primary Business Name of *Related Person*:
CITICORP SECURITIES INVESTMENT CONSULTING INC.

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITITRUST COLOMBIA S.A.

(2) Primary Business Name of *Related Person*:
CITITRUST COLOMBIA S.A.

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?		
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?		

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
ACCIONES Y VALORES BANAMEX, S.A. DE C.V.

(2) Primary Business Name of *Related Person*:
ACCIONES Y VALORES BANAMEX, S.A. DE C.V., CASA DE BOLSA

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?		
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?		

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIBANK HONG KONG LTD.

(2) Primary Business Name of *Related Person*:
CITIBANK HONG KONG LTD.

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?		
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?		

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIGROUP VENTURE CAPITAL INTERNATIONAL ASIA LTD.

(2) Primary Business Name of *Related Person*:
CITIGROUP VENTURE CAPITAL INTERNATIONAL ASIA LTD.

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIBANK AS (TURKEY)

(2) Primary Business Name of *Related Person*:
CITIBANK AS (TURKEY)

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIBANK BELGIUM S.A./N.V. (BELGIUM)

(2) Primary Business Name of *Related Person*:
CITIBANK BELGIUM S.A./N.V. (BELGIUM)

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIBANK ESPANA, S.A. (SPAIN)

(2) Primary Business Name of *Related Person*:
CITIBANK ESPANA, S.A. (SPAIN)

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?		
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?		

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
BANK HANDLOWLY W. WARSAWIE S.A. (POLAND)

(2) Primary Business Name of *Related Person*:
BANK HANDLOWLY W. WARSAWIE S.A. (POLAND)

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?		
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?		

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
ZAO KB CITIBANK

(2) Primary Business Name of *Related Person*:
ZAO KB CITIBANK

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?		
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?		

Related Person Adviser's SEC File Number (if any)

801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
PEREGRINE INVESTMENTS LLC

(2) Primary Business Name of *Related Person*:
PEREGRINE INVESTMENTS LLC

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
EMSO PARTNERS HK LIMITED

(2) Primary Business Name of *Related Person*:
EMSO PARTNERS HK LIMITED

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIGROUP ALTERNATIVE INVESTMENTS LLC

(2) Primary Business Name of *Related Person*:
CITIGROUP ALTERNATIVE INVESTMENTS LLC

(3) *Related Person* is (check only one box):

- [x] Investment Adviser
- [ ] Broker-Dealer
- [ ] Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801- 60990

Related Person's CRD Number (if any):
119537

(1) Legal Name of *Related Person*:
CONSULTING GROUP ADVISORY SERVICES LLC

(2) Primary Business Name of *Related Person*:
CONSULTING GROUP ADVISORY SERVICES LLC

(3) *Related Person* is (check only one box):

- (•) Investment Adviser
- ( ) Broker-Dealer
- ( ) Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	( )	( )
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	( )	( )

Related Person Adviser's SEC File Number (if any)
801- 64791

Related Person's CRD Number (if any):
137463

(1) Legal Name of *Related Person*:
CITIBANK (SWITZERLAND) A.G.

(2) Primary Business Name of *Related Person*:
CITIBANK (SWITZERLAND) A.G.

(3) *Related Person* is (check only one box):

- (•) Investment Adviser
- ( ) Broker-Dealer
- ( ) Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	( )	( )
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	( )	( )

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIBANK INTERNATIONAL PLC

(2) Primary Business Name of *Related Person*:
CITIBANK INTERNATIONAL PLC

(3) *Related Person* is (check only one box):

- (•) Investment Adviser
- ( ) Broker-Dealer
- ( ) Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	( )	( )

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
BANCO CITIBANK S.A.

(2) Primary Business Name of *Related Person*:
BANCO CITIBANK S.A.

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITIBANK DISTRIBUIDORA DE TITULOS VALORES E MOBILIARIOS S.A.

(2) Primary Business Name of *Related Person*:
CITIBANK DISTRIBUIDORA DE TITULOS VALORES E MOBILIARIOS S.A.

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
EMSO PARTNERS LIMITED

(2) Primary Business Name of *Related Person*:
EMSO PARTNERS LIMITED

(3) *Related Person* is (check only one box):

- (selected) Investment Adviser
- Broker-Dealer
- Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*? ○ ○

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ? ○ ○

Related Person Adviser's SEC File Number (if any)
801- 66016

Related Person's CRD Number (if any):
139217

(1) Legal Name of *Related Person*:
CITIGROUP TRUST - DELAWARE, N.A.

(2) Primary Business Name of *Related Person*:
CITIGROUP TRUST - DELAWARE, N.A.

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*? ○ ○

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ? ○ ○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITICORP TRUST, N.A.

(2) Primary Business Name of *Related Person*:
CITICORP TRUST, N.A.

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

○ Dual (Investment Adviser and Broker-Dealer)

Yes No

(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*? ○ ○

(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ? ○ ○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITICORP TRUST SOUTH DAKOTA

(2) Primary Business Name of *Related Person*:
CITICORP TRUST SOUTH DAKOTA

(3) *Related Person* is (check only one box):

◉ Investment Adviser

○ Broker-Dealer

Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

(1) Legal Name of *Related Person*:
CITICORP ADMINISTRADORA DE INVERSIONES

(2) Primary Business Name of *Related Person*:
CITICORP ADMINISTRADORA DE INVERSIONES

(3) *Related Person* is (check only one box):

- ◉ Investment Adviser
- ○ Broker-Dealer
- ○ Dual (Investment Adviser and Broker-Dealer)

	Yes	No
(4) If the *related person* is a broker-dealer, is it a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?	○	○
(5) If you are registering or registered with the SEC and you have answered "yes," have you overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)(2)-(d)(5)) from the *related person* broker-dealer, and thus are not required to obtain a surprise examination for your *clients'* funds or securities that are maintained at the *related person* ?	○	○

Related Person Adviser's SEC File Number (if any)
801-

Related Person's CRD Number (if any):

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Form ADV, Schedule D Page 4

Use this Schedule D Page 4 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 7.B. Limited Partnership Participation or Other Private Fund Participation

You must complete a separate Schedule D Page 4 for each limited partnership in which you or a *related person* is a general partner, each limited liability company for which you or a *related person* is a manager, and each other private fund that you advise.

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CO-INVESTMENT LLC XIII (NEIMAN MARCUS)

Name of General Partner or Manager:
CITIGROUP PRIVATE EQUITY LP

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 5041552

Name of Limited Partnership, Limited Liability Company, or other Private Fund:

CPE CO-INVESTMENT (DOLLAR GENERAL) DELAWARE LLC

Name of General Partner or Manager:
CITIGROUP PRIVATE EQUITY LP

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 214280423

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CPE CO-INVESTMENT (DOLLAR GENERAL) CAYMAN, L.P.

Name of General Partner or Manager:
CITIGROUP PRIVATE EQUITY (OFFSHORE) LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 184704874

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CPE CO-INVESTMENT (LAUREATE) LLC

Name of General Partner or Manager:
CITIGROUP PRIVATE EQUITY LP

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 113659552

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CPE CO-INVESTMENT (LAUREATE) CAYMAN, L.P.

Name of General Partner or Manager:
CITIGROUP PRIVATE EQUITY (OFFSHORE) LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 103760401

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PARTNERSHIP G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 1623000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP (CAYMAN OFFSHORE) II, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 744000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP REAL ESTATE PARTNERS II, L.P.

Name of General Partner or Manager:
CPI C-REP II GP, L.P.

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 47190326

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP REAL ESTATE PARTNERS II (INSTITUTIONAL), L.P.

Name of General Partner or Manager:
CPI C-REP II GP, L.P.

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 26183052

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
OLD LANE US MASTER FUND, LP

Name of General Partner or Manager:

OLD LANE HEDGE FUND GP, LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes No — No

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? — No

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 8749000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
OLD LANE CAYMAN MASTER FUND, LP

Name of General Partner or Manager:
OLD LANE HEDGE FUND GP, LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes No — No

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? — No

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 23362760

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
OLD LANE HMA MASTER FUND, LP

Name of General Partner or Manager:
OLD LANE HEDGE FUND GP, LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes No — No

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? — No

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 6080000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
OLD LANE INDIA OPPORTUNITIES FUND - T, LP

Name of General Partner or Manager:
OLD LANE INDIA GP, LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes No — No

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? — No

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 214727411

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
OLD LANE INDIA OPPORTUNITES FUND - NT, LP

Name of General Partner or Manager:
OLD LANE INDIA GP, LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 208689702

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
TOB CAPITAL MASTER OFFSHORE LP

Name of General Partner or Manager:
CCA TOB CAPITAL OFFSHORE GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ◉ ○

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 500000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 37104192

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
TOB CAPITAL LP

Name of General Partner or Manager:
CCA TOB CAPITAL GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ◉ ○

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 500000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 56635128

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CCA ABSOLUTE RETURN MUNI SRTATEGY OFFSHORE MASTER, L.P.

Name of General Partner or Manager:
CCA TOB CAPITAL OFFSHORE GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ○

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 1000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 82549108

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CCA ABSOLUTE RETURN MUNI STRATEGY LP

Name of General Partner or Manager:
CCA TOB CAPITAL GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ○

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 1000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 8478043

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY WNT I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 54483161

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
ABINGDON FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 157857693

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
WARRINGTON FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 222241881

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
BRISTOL ENERGY FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 378107253

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
INSTITUTIONAL FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 1000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 130579225

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF WINSTON FEEDER FUND I L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 1000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 2929860

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
EMERGING CTA PORTFOLIO L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 183525601

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
INDIA INFRASTRUCTURE OPPORTUNITIES L.P.

Name of General Partner or Manager:
CITIGROUP PRIVATE EQUITY (OFFSHORE) LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 303616995

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CIP AIRPORTS, LP

Name of General Partner or Manager:
CITI INFRASTRUCTURE INVESTMENTS LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 50000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 143368347

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CIP UK WATER, LP

Name of General Partner or Manager:
CITI INFRASTRUCTURE INVESTMENTS LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 307708053

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CIP UK WATER II, LP

Name of General Partner or Manager:
CITI INFRASTRUCTURE INVESTMENTS LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 287644859

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP II, L.P.

Name of General Partner or Manager:
CITGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 1802000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP (CAYMAN ONSHORE) II, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P, LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 341000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP (OFFSHORE) II, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 81000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCIGP II CO-INVEST, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 140000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP (EMPLOYEE) II, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?

0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 781000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCIGP II US EMPLOYEE, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 332000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCIGP US-UK EMPLOYEE, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 21000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCIGP II OFFSHORE EMPLOYEE, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 61000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCI CO-INVESTMENT (KEANE) LLC

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PARTNERSHIP G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 79000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCI CO-INVESTMENT (KEANE) LP

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PARTNERSHIP G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 68000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
OLD LANE HMAFF, L.P.

Name of General Partner or Manager:
OLD LANE HEDGE FUND GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 6069368

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCIGP II CAYMAN EMPLOYEE, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 31000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCIGP II DELAWARE EMPLOYEE, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL INVESTMENT G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:

$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 61000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CVCI TECHNOLOGY HOLDINGS LLC

Name of General Partner or Manager:
CVCI CO-INVESTMENT (KEANE) LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 518000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/MAVERICK FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 14709581

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/YORK TOTAL RETURN LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 20524654

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/MARINER TRICADIA CREDIT STRATEGIES FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 140775692

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MANAGED FUTURES PROFILE MV L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 57813502

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MANAGED FUTURES PROFILE HV L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 216190511

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/TRAXIS FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 5711191

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/MAVERICK OFFSHORE FUND L.P.

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:

$ 6378554

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/TRAXIS OFFSHORE FUND L.P.

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 1629704

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/MARINER TRICADIA CREDIT STRATEGIES OFFSHORE FUND L.P.

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 52547849

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/IVORY FLAGSHIP FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 114678000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/IVORY FLAGSHIP OFFSHORE FUND L.P.

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 54301498

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/DOUBLE BLACK DIAMOND FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 51156785

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/FRONTPOINT FINANCIAL SERVICES FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 4471333

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/PAULSON ADVANTAGE FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 141919255

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/PAULSON ADVANTAGE PLUS FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 157015179

Name of Limited Partnership, Limited Liability Company, or other Private Fund:

MORGAN STANLEY HEDGEPREMIER/OZ DP II FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGE PREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 75421502

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/HIGHBRIDGE LONG/SHORT EQUITY FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGE PREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 28060526

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/GSO SPECIAL SITUATIONS FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 8648661

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY CDH CHINA FUND III FEEDER L.P.

Name of General Partner or Manager:
MORGAN STANLEY GWM FEEDER STRATEGIES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 500000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 165491909

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY BLACKSTONE CAPITAL PARTNERS VI ONSHORE FEEDER LLC

Name of General Partner or Manager:
MORGAN STANLEY GWM FEEDER STRATEGIES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 500000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 2000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY PPIP OPPORTUNITIES FUND LP CLASS A

Name of General Partner or Manager:
MORGAN STANLEY GWM FEEDER STRATEGIES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 500000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 121000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MANAGED FUTURES STRATEGIC ALTERNATIVES, L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 74825902

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MANAGED FUTURES PROFILE LV L.P.

Name of General Partner or Manager:
CERES MANAGED FUTUES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 71880660

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MANAGED FUTURES CHARTER GRAHAM L.P.

Name of General Partner or Manager:

CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 20000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 358701010

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY CHARTER WNT L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 20000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 80774340

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY CHARTER ASPECT L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 20000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 107069145

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY CHARTER CAMPBELL L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 20000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 81686041

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY SPECTRUM SELECT L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 409250860

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY SPECTRUM TECHNICAL L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 343256027

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY SPECTRUM STRATEGIC L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 179510556

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY SPECTRUM GLOBAL BALANCED L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 19440507

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY SPECTRUM CURRENCY L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 48021806

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY CHESAPEAKE DIVERSIFIED PLUS L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 12577883

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY ALTIS I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 45895764

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY ASPECT I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 55668056

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY AUGUSTUS I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 18586703

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY BHM I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 214259520

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY CAMPBELL I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 5835540

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY CHESAPEAKE DIVERSIFIED I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 17490695

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY DKR FUSION I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 11943054

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY BORONIA I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 40748363

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY KAISER I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 40014468

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY GLC I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 17561848

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY ROTELLA I, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 18246323

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY SMITH BARNEY TT II, LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 44489000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
DIVERSIFIED MULTI-ADVISOR FUTURES FUND L.P. II

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 25749357

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
DIVERSIFIED MULTI-ADVISOR FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 29474282

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
ENERGY ADVISORS PORTFOLIO L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?

0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 47231363

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
AAA MASTER FUND LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 1234677140

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
JWH MASTER FUND LLC

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 72063057

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF ALTIS PARTNERS MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 64276767

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF ASPECT MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 157910582

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF CAMPBELL MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 36471835

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF CAPITAL FUND MANAGEMENT MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 163136901

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF DRURY CAPITAL MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 123117898

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF ECKHARDT MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:

$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 23748773

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF GRAHAM CAPITAL MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes ○ No ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? Yes ○ No ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 168973503

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF SANDRIDGE MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANGED FUTURES LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes ○ No ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? Yes ○ No ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 581631311

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF SASCO MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes ○ No ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? Yes ○ No ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 81882294

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF WILLOWBRIDGE ARGO MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? Yes ○ No ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? Yes ○ No ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 216360362

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CMF WINTON MASTER L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 883842483

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
WAYPOINT MASTER FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 41306976

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
FALCON STRATEGIES LLC

Name of General Partner or Manager:
AMACAR GP INC.

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 1000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 13830161

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP (CAYMAN), L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PARTNERSHIP G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:

$ 547000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP (DELWARE), L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PARTNERSHIP G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 278000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL GROWTH PARTNERSHIP (OFFSHORE), L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PARTNERSHIP G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 12000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL CO-INVESTMENT, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PARTNERSHIP G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 100000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL AFRICA FUND, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL AFRICA FUND G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 79000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITIGROUP VENTURE CAPITAL INTERNATIONAL PROPRIETARY INVESTMENT PARTNERSHIP, L.P.

Name of General Partner or Manager:
CITIGROUP VENTURE CAPITAL INTERNATIONAL G.P. LIMITED

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 18000000

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CITI INFRASTRUCTURE PARTNERS, L.P.

Name of General Partner or Manager:
CITI INFRASTRUCTURE INVESTMENTS LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 50000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 1818300035

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
TISHMAN SPEYER/CITIGROUP ALTERNATIVE INVESTMENTS REAL ESTATE VENTURE IV, L.L.C.

Name of General Partner or Manager:
TISHMAN SPEYER/CITIGROUP ALTERNATIVE INVESTMENTS ASOCIATES IV, L.L.C.

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 29412573

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
TISHMAN SPEYER/CITIGROUP ALTERNATIVE INVESTMENTS U.S. REAL ESTATE VENTURE V, L.P.

Name of General Partner or Manager:
TISHMAN SPEYER/CITIGROUP ALTERNATIVE INVESTMENTS

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 132099519

Name of Limited Partnership, Limited Liability Company, or other Private Fund:

TSB REAL ESTATE FUND, LP

Name of General Partner or Manager:
TSB REF GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 1000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 374636

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
TISHMAN SPEYER/CITIGROUP ALTERNATIVE INVESTMENTS INTERNATIONAL REAL ESTATE V, L.P.

Name of General Partner or Manager:
TST INTERNATIONAL FUND V, GP, LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 226016015

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
OLD LANE CAYMAN HFF, L.P.

Name of General Partner or Manager:
OLD LANE HEDGE FUND GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 5000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 21393628

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
FAIRFIELD FUTURES FUND LP II

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 37956902

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
TACTICAL DIVERSIFIED FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 763167489

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
ORION FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 1169565735

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
POTOMAC FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 10000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 35871031

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
WESTPORT JWH FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES FUND LP

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	●
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 64695711

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
TIDEWATER FUTURES FUND L.P.

Name of General Partner or Manager:

CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 41672044

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
AAA CAPITAL ENERGY FUND L.P. II

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 392442335

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
FAIRFIELD FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 17898987

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
DIVERSIFIED 2000 FUTURES FUND LP

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	◉
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 59414442

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
GLOBAL DIVERSIFIED FUTURES FUND L.P.

Name of General Partner or Manager:
CERES MANGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 28436063

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
AAA CAPITAL ENERGY FUND L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 25000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 324421521

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
CO-INVESTMENT LLC X (REXEL)

Name of General Partner or Manager:
CITIGROUP PRIVATE EQUITY LP

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ◉

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 2000000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 34326500

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MSSB REAL ESTATE DEBT ONSHORE FEEDER I LP

Name of General Partner or Manager:
MORGAN STANLEY GWN STRATEGIES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1? ○ ○

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund? ○ ◉

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 150000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 1418343

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/BP TOTAL RETURN FUND L.P.

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 6003535

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/FRONTPOINT FINANCIAL SERVICES OFFSHORE FUND LTD.

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 0

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY/HEDGEPREMIER/IVORY FLAGSHIP FUND II LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 47848954

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY/HEDGEPREMIER/IVORY FLAGSHIP FUND II LP,

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 47848954

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
BHM DISCRETIONARY FUTURES FUND, L.P.

Name of General Partner or Manager:
CERES MANAGED FUTURES LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 878423

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/MILLENIUM USA LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 151607667

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/GLOBAL ASCENT FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGE PREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 2021313

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/DISCOVERY PARTNERS FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 9496525

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER.GRAHAM DISCRETIONARY 6V PORTFOLIO FUND LP

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 700643

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
MORGAN STANLEY HEDGEPREMIER/AVENUE FUND, L.P.

Name of General Partner or Manager:
MORGAN STANLEY HEDGEPREMIER GP LLC

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	○
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 10478975

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
STERLING LAND & RETAIL DEVELOPMENT OPPORTUNITIES LP

Name of General Partner or Manager:
EUROPEAN INVESTMENT MANAEGMENT GP

	Yes	No
If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?	○	○
Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?	○	●

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?
0 %

Minimum investment commitment required of a limited partner, member, or other investor:
$ 250000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:
$ 60040651

SECTION 9.C. Independent Public Accountant

No Information Filed

SECTION 9.D. Related Person Qualified Custodian

No Information Filed

Section 10 *Control Persons*

You must complete a separate Schedule D Page 4 for each *control person* not named in Item 1.A. or Schedules A, B, or C that directly or indirectly *controls* your management or policies.

Firm or Organization Name
CITIGROUP ALTERNATIVE INVESTMENTS LLC

CRD Number (if any)
119537

Effective Date
11/28/2003

Termination Date

Business Address:

Number and Street 1:		Number and Street 2:	
399 PARK AVENUE			
City:	State:	Country:	ZIP+4/Postal Code:

NEW YORK	NY	United States	10022

If this address is a private residence, check this box: ☐

Briefly describe the nature of the *control*:

CITIGROUP ALTERNATIVES INVESTMENTS LLC ("CAI") OWNS 100% OF CSO PARTNERS LIMITED ("CPL") AND HAS MANAGEMENT OVERSIGHT OF CPL'S BUSINESS.

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: CCA CREDIT EUROPE LIMITED **CRD Number: 140415**
ADV - Other-Than-Annual Amendment, SCHEDULE D, Page 5 **Rev. 11/2010**
5/18/2011 9:33:20 AM

Form ADV, Schedule D Page 5

Use this Schedule D Page 5 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

ITEM 7.B.: RELATED PERSONS OF THE ADVISER ACT AS GENERAL PARTNERS OF ADDITIONAL LIMITED PARTNERSHIPS (LPS) AND LIMITED LIABILITY COMPANIES (LLCS) THAT INVEST ONLY PROPRIETARY ASSETS AND/OR CURRENT/FORMER EMPLOYEE ASSETS. IN RESPONDING TO QUESTIONS OF WHETHER THE ADVISER'S CLIENTS ARE SOLICITED TO INVEST IN A NAMED LP OR LLC AND WHAT PERCENTAGE OF THE ADVISER'S CLIENTS HAVE INVESTED THEREIN, THE ADVISER HAS INTERPRETED: (1) THE SOLICITATION QUESTION TO COVER ONLY SOLICITATIONS THAT OCCUR AS PART OF AN INVESTMENT ADVISORY SERVICE PROVIDED BY THE ADVISER; AND (2) THE PERCENTAGE QUESTION AS APPLYING ONLY TO CLIENTS WHO MAY HAVE INVESTED IN THE VEHICLE THROUGH THEIR INVESTMENT ADVISORY ACCOUNT WITH THE ADVISER. RELATED PERSONS WHO ARE SEC-REGISTERED ADVISERS ALSO ACT AS GENERAL PARTNERS OR MANAGING MEMBERS OF ADDITIONAL LPS AND LLCS.

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: CCA CREDIT EUROPE LIMITED **CRD Number: 140415**
ADV - Other-Than-Annual Amendment, DRP Pages **Rev. 11/2010**
5/18/2011 9:33:20 AM

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

GENERAL INSTRUCTIONS

This Disclosure Reporting Page (DRP ADV) is an ○ INITIAL *OR* ◉ AMENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G. of Form ADV.

Check item(s) being responded to:

Regulatory Action

☐ 11.C(1)	☑ 11.C(5)	☐ 11.D(4)	☐ 11.E(3)
☑ 11.C(2)	☐ 11.D(1)	☐ 11.D(5)	☐ 11.E(4)
☐ 11.C(3)	☐ 11.D(2)	☐ 11.E(1)	☐ 11.F
☑ 11.C(4)	☐ 11.D(3)	☐ 11.E(2)	☐ 11.G

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details to each action on a separate DRP.

PART I

A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):

○ You (the advisory firm)

○ You and one or more of your *advisory affiliates*

◉ One or more of your *advisory affiliates*

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name).

If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - *ADVISORY AFFILIATE*

CRD Number: This *advisory affiliate* is (•) a Firm ( ) an Individual

Registered: ( ) Yes (•) No

Name: CITIGROUP INC.

(For individuals, Last, First, Middle)

☐ This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser.

☐ This DRP should be removed from the ADV record because: (1) the event or *proceeding* occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC and the event was resolved in the adviser's or *advisory affiliate's* favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.
( ) *Yes* (•) *No*

NOTE: The completion of this form does not relieve the *advisory affiliate* of its obligation to update its IARD or *CRD* records.

PART II

1. Regulatory Action initiated by:
(•) SEC ( ) Other Federal ( ) State ( ) *SRO* ( ) Foreign
(Full name of regulator, *foreign financial regulatory authority*, federal, state, or *SRO*)
U.S. SECURITIES AND EXCHANGE COMMISSION

2. Principal Sanction:
Disgorgement
Other Sanctions:
PREJUDGMENT INTEREST; FINE IN THE AMOUNT OF $57,500,000.00; CEASE AND DESIST ORDER

3. Date Initiated (MM/DD/YYYY):
02/18/2002 ( ) Exact (•) Explanation

If not exact, provide explanation:
CITIGROUP INC. ("CITIGROUP") IS UNAWARE OF THE DATE THE SEC BEGAN ITS INVESTIGATION. THE SEC FIRST REQUESTED INFORMATION REGARDING THE NATURE OF CITIGROUP'S RELATIONSHIP WITH ENRON ON 02/18/2002.

4. Docket/Case Number:
ADMIN. PROCEEDING - FILE NO. 3-11192

5. *Advisory Affiliate* Employing Firm when activity occurred which led to the regulatory action (if applicable):

6. Principal Product Type:
Other
Other Product Types:
STRUCTURED FINANCE

7. Describe the allegations related to this regulatory action (your response must fit within the space provided):
THE SEC ALLEGED THAT CITIGROUP KNEW OR SHOULD HAVE KNOWN THAT ITS ACTS AND OMISSIONS WOULD CONTRIBUTE TO ENRON'S AND DYNEGY'S VIOLATIONS OF THE EXCHANGE ACT SECTION 10(B) AND ECHANGE ACT RULE 10B-5, AND THAT CITIGROUP THEREFORE WAS A CAUSE OF ENRON'S AND DYNEGY'S VIOLATIONS.

8. Current status ? ( ) Pending ( ) On Appeal (•) Final

9. If on appeal, regulatory action appealed to (SEC, *SRO*, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

10. How was matter resolved:
Other
OTHER

11. Resolution Date (MM/DD/YYYY):
07/28/2003 (•) Exact ( ) Explanation

If not exact, provide explanation:

12. Resolution Detail:

A. Were any of the following Sanctions *Ordered* (check all appropriate items)?

☑ Monetary/Fine Amount:$ 57500000

☐ Revocation/Expulsion/Denial ☑ Disgorgement/Restitution

☐ Censure ☑ Cease and Desist/Injunction

☐ Bar ☐ Suspension

B. Other Sanctions *Ordered*:

PREJUDGMENT INTEREST

Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:
CITIGROUP AGREED TO A MONETARY FINE TOTALING $57,500,000.00 ($48,500,000.00 WITH REGARD TO ENRON; $9,000,000.00 WITH REGARD TO DYNEGY); DISGORGEMENT; CEASE AND DESIST ORDER. FINES WERE PAID ON JULY 28, 2003 AND AUGUST 1, 2003.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided.)
WITHOUT ADMITTING OR DENYING THE ALLEGATIONS, ON 07/28/2003 CITIGROUP AGREED TO A FINE IN THE AMOUNT OF $57,500,000.00, DISGORGEMENT AND A CEASE AND DESIST ORDER.

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

GENERAL INSTRUCTIONS

This Disclosure Reporting Page (DRP ADV) is an ○ INITIAL ***OR*** ◉ AMENDED response used to report details for affirmative responses to Item 11.H. of Part 1A and Item 2.F. of Part 1B of Form ADV.

Check Part 1A item(s) being responded to:

Civil Judicial

☑ 11.H(1)(a) ☐ 11.H(1)(b) ☐ 11.H(1)(c) ☐ 11.H(2)

Check Part 1B item(s) being responded to:

Civil Judicial

☐ 2.F(1) ☐ 2.F(2) ☐ 2.F(3) ☐ 2.F(4) ☐ 2.F(5)

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Item 11.H. of Part 1A or Item 2.F. of Part 1B. Use only one DRP to report details related to the same event. Unrelated civil judicial actions must be reported on separate DRPs.

PART I

A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):

○ You (the advisory firm)

○ You and one or more of your *advisory affiliates*

◉ One or more of your *advisory affiliates*

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name).

If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - *ADVISORY AFFILIATE*

CRD Number: This *advisory affiliate* is ◉ a Firm ○ an Individual

Registered: ○ Yes ◉ No

Name: CITIGROUP INC.
(For individuals, Last, First, Middle)

☐ This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser.

☐ This DRP should be removed from the ADV record because: (1) the event or *proceeding* occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC and the event was resolved in the adviser's or advisory affiliate's favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.H(1)(a), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD, or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.
○ Yes ◉ No

NOTE: The completion of this form does not relieve the *advisory affiliate* of its obligation to update its IARD or *CRD* records.

PART II

1. Court Action initiated by: (Name of regulator, *foreign financial regulatory authority, SRO,* commodities exchange, agency, firm, private plaintiff, etc.)
UNITED STATES SECURITIES AND EXCHANGE COMISSION("SEC")

2. Principal Relief Sought:
Injunction

Other Relief Sought:

CIVIL MONETARY PENALTY/DISGORGEMENT

3. Filing Date of Court Action (MM/DD/YYYY):
10/19/2010 (selected) Exact ( ) Explanation

If not exact, provide explanation:

4. Principal Product Type:
No Product
Other Product Types:

5. Formal Action was brought in (include name of Federal, State or Foreign Court, Location of Court - City or County and State or Country, Docket/Case Number):
U.S. DISTRICT COURT FOR THE DISTRICT OF COLUMBIA, CIV.ACTION NO.:1:10-CV-01277(ESH)

6. *Advisory Affiliate* Employing Firm when activity occurred which led to the civil judicial action (if applicable):

7. Describe the allegations related to this civil action (your response must fit within the space provided):
SEC ALLEGED VIOLATIONS OF SECTION 17(A)(2) OF THE SECURITIES ACT OF 1933, SECTION 13(A) OF THE SECURITIES EXCHANEG ACT OF 1934, AND EXCHANGE ACT RULES 12B-20 AND 13A-11 IN CONNECTION WITH DISCLOSURES MADE BETWEEN JULY 2007 AND OCTOBER 2007 ABOUT THE SUBPRIME EXPOSURE IN CITIBANK'SINVESTMENT BANKING UNIT. AS ALLEGED IN THE COMPLAINT, A VIOLATION OF SECTION 17(A)(2) OF THE SECURITIES ACT MAY BE ESTABLISHED BY A SHOWING OF NEGLIGENCE. THE SPECIFIC ALLEGATIONS ARE THAT CITIGROUP MISLED INVESTORS WHEN IT STATED THAT IT HAD REDUCED THE INVESTMETN BANK'S SUBPRIME EXPOSURE FROM $24 BILLION AT THE END OF 2006 TO $13 BILLION OR SLIGHTLY LESS THATN THAT AMOUNT WHILE, IN FACT THE INVESTMENT BANK'S SUBPRIME EXPOSURE ALSO INCLUDED APPROXIMATELY $43 BILLION OF "SUPER SENIOR" TRANCHES OF SUBPRIME COLLATERALIZED DEBT OBLIGATIONS AND RELATED INSTRUMENTS CALLED "LIQUIDITY PUTS".

8. Current Status? ( ) Pending ( ) On Appeal (selected) Final

9. If on appeal, action appealed to (provide name of court) and Date Appeal Filed (MM/DD/YYYY):

10. If pending, date notice/process was served (MM/DD/YYYY):
( ) Exact ( ) Explanation

If not exact, provide explanation:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 14 only.

11. How was matter resolved:
Consent

12. Resolution Date (MM/DD/YYYY):
10/19/2010 (selected) Exact ( ) Explanation

If not exact, provide explanation:

13. Resolution Detail:

A. Were any of the following Sanctions Ordered or Relief Granted (check appropriate items)?

- [x] Monetary/Fine Amount:$ 75000000
- [ ] Revocation/Expulsion/Denial
- [ ] Disgorgement/Restitution
- [ ] Censure
- [x] Cease and Desist/Injunction
- [ ] Bar
- [ ] Suspension

B. Other Sanctions:

C. Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:
AS OF OCTOBER 19, 2010, CITIGROUP INC. WAS PERMANENTLY ENJOINED FROM VIOLATING SECTION 17(A)(2) OF THE SECURITIES ACT, SECTION 13(A) OF THE EXCHANGE ACT, AND EXCHANGE ACT RULES 12V-20 AND 13A-11. CITIGROUP INC. AGREED TO PAY DISGORGEMENT IN THE AMOUNT OF $1 AND A CIVIL MONETARY PENALTY OF $75 MILLION. CITIGROUP INC. PAID THE DISGORGEMENT AND CIVIL PENALTY ON OCTOBER 22, 2010.

14. Provide a brief summary of circumstances related to the action(s), allegation(s), disposition(s) and/or finding(s) disclosed above (your response must fit within the space provided)
ONLY JULY 19, 2010, CITIGROUP INC. ("CITIGROUP") SUBMITTED A CONSENT TO JUDGEMENT (THE"CONSENT")WHICH WAS PRESENTED BY THE SEC IN THE UNITED DISTRICT COURT FOR THE DISTRICT OF COLUMBIA (THE "COURT") ON JULY 29, 2010. IN THE CONSENT, CITIGROUP CONSENTED TO THE ENTRY OF FINAL JUDGEMENT AS TO DEFENDANT CITIGROUP WITHOUT ADMITTING OR DENYING THE MATTERS SET FORTH THEREIN(OTHER THAN THOSE RELATING TO THE JURISDICTION OF THE COURT AND THE SUBJECT MATTER OF THE ACTION). THE FINAL JUDGEMENT RESOLVED THE ALLEGATIONS SET FORTH IN A COMPLAINT FILED BU THE SEC, DESCRIBED IN 1, ABOVE. THE COURT ENTERED THE FINAL JUDGEMENT ON OCTOBER 19, 2010. IN A RELATED MATTER, THE SEC SETTLED AN ADMINISTRATIVE CEASE-AND-DESIST PROCEEDING RELATING TO EXCHANGE ACT SECTION 13(A) AND EXCHANGE ACT RULES 12B AND 13A-11 WITH A CURRENT EMPLOYEE OF CITIGROUP AND A FORMER EMPLOYEE OF CITIGROUP.

Bond DRPs

No Information Filed

Judgment/Lien DRPs
No Information Filed

Arbitration DRPs
No Information Filed

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: CCA CREDIT EUROPE LIMITED **CRD Number: 140415**

ADV - Other-Than-Annual Amendment, Execution Pages **Rev. 11/2010**

5/18/2011 9:33:20 AM

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial application for SEC registration and all amendments to registration.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
Printed Name:	Title:
Adviser *CRD* Number: 140415	

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial application for SEC registration and all amendments to registration.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. *Non-Resident* Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: IHOR RAKOWSKY	Date: MM/DD/YYYY 05/18/2011
Printed Name: IHOR RAKOWSKY	Title: ASSOCIATE GENERAL COUNSEL
Adviser *CRD* Number: 140415	

State Registered Investment Adviser Execution Page

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial application for state registration and all amendments to registration.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the legally designated officers and their successors, of the state in which you maintain your *principal office and place of business* and any other state in which you are applying for registration or amending your registration, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are applying for registration or amending your registration.

2. State-Registered Investment Adviser Affidavit

If you are subject to state regulation, by signing this Form ADV, you represent that, you are in compliance with the registration requirements of the state in which you maintain your principal place of business and are in compliance with the bonding, capital, and recordkeeping requirements of that state.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature	Date MM/DD/YYYY
CRD Number 140415	
Printed Name	Title