

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: SEAGER CAPITAL GROUP, LLC

CRD Number: 147080

ADV-Other-Than-Annual Amendment, Part 2

Rev. 02/2005

1/30/2009 7:14:21 PM

Amend, retire or file new brochures:

Brochure ID	Brochure Name	Brochure Type(s)
10924	FORM ADV PART 2	Other
12215	ADV PART 2	Other

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: SEAGER CAPITAL GROUP, LLC

CRD Number: 147080

ADV - Other-Than-Annual Amendment, SCHEDULE A

Rev. 02/2005

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Form ADV, Schedule A

Direct Owners and Executive Officers

1. Complete Schedule A only if you are submitting an initial application. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.

2. Direct Owners and Executive Officers. List below the names of:

(a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required and cannot be more than one individual), director, and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

(c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;

(d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and

(e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.

3. Do you have any indirect owners to be reported on Schedule B? ☒ Yes ☐ No

4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.

5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

6. Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%
 A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	<i>Control Person</i>	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No., or Employer ID No.
WINDHAVEN HOLDINGS LLC	DE	MANAGING MEMBER	03/2008	E	Y	N	
SEAGER, AARON, BRADLEY	I	PRESIDENT AND CHIEF INVESTMENT OFFICER	03/2008	NA	Y	N	5519440
BRAY, JONATHAN, PHILIP	I	CHIEF FINANCIAL OFFICER AND CHIEF OPERATIONS OFFICER	05/2008	NA	Y	N	5548437
KORENVAES CAPITAL PARTNERS LP	DE	MEMBER	05/2008	A	N	N	
CHVF-SEAGER, L.P.	DE	MEMBER	01/2009	B	N	N	

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Form ADV, Schedule B

Indirect Owners

1. Complete Schedule B only if you are submitting an initial application. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:

(a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

(b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;

(c) in the case of an owner that is a trust, the trust and each trustee; and

(d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.

3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.

4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.

5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

6. Ownership codes are: C - 25% but less than 50% E - 75% or more
D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
(c) Complete each column.
- | FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name) | DE/FE/I | Entity in Which Interest is Owned | Status | Date Status Acquired MM/YYYY | Ownership Code | Control Person | PR | CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No. |
|---|---------|--|-----------------|------------------------------|----------------|----------------|----|---|
| SEAGER, AARON, BRADLEY | I | WINDHAVEN HOLDINGS LLC | MANAGING MEMBER | 03/2008 | E | Y | N | 5519440 |
| KORENVAES CAPITAL MANAGEMENT LP | DE | KORENVAES CAPITAL PARTNERS LP | GENERAL PARTNER | 08/2003 | F | Y | N | |
| KORENVAES LLC | DE | KORENVAES CAPITAL MANAGEMENT LP | GENERAL PARTNER | 08/2003 | F | Y | N | |
| KORENVAES, HARLAN, BRUCE | I | KORENVAES CAPITAL PARTNERS LP | LIMITED PARTNER | 08/2003 | D | Y | N | 1104110 |
| KORENVAES 1992 FAMILY TRUST | DE | KORENVAES CAPITAL PARTNERS LP | LIMITED PARTNER | 08/2003 | C | Y | N | |
| KORENVAES, HARLAN, BRUCE | I | KORENVAES LLC | MANAGING MEMBER | 08/2003 | E | Y | N | 1104110 |
| AMES, HAROLD, B | I | KORENVAES 1992 FAMILY TRUST | TRUSTEE | 12/1992 | F | Y | N | 2350857 |
| CROW HOLDINGS VALUE MANAGERS, L.L.C. | DE | CHVF-SEAGER, L.P. | GENERAL PARTNER | 12/2008 | F | Y | N | |
| CROW HOLDINGS VALUE FUND, L.P. | DE | CHVF-SEAGER, L.P. | LIMITED PARTNER | 12/2008 | E | Y | N | |
| CROW FAMILY, INC. | DE | CROW HOLDINGS VALUE MANAGERS, L.L.C. | MEMBER | 06/2004 | E | Y | N | |
| HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P. | DE | CROW FAMILY, INC. | SHAREHOLDER | 12/2007 | D | Y | N | |
| TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P. | DE | CROW FAMILY, INC. | SHAREHOLDER | 12/2007 | C | Y | N | |
| CROW HOLDINGS VALUE MANAGERS, L.L.C. | DE | CROW HOLDINGS VALUE FUND, L.P. | GENERAL PARTNER | 06/2004 | F | Y | N | |
| CH VALUE FUND INVESTOR, L.P. | DE | CROW HOLDINGS VALUE FUND, L.P. | LIMITED PARTNER | 12/2007 | E | Y | N | |
| CROW FAMILY, INC. | DE | CH VALUE FUND INVESTOR, L.P. | GENERAL PARTNER | 06/2004 | F | Y | N | |
| HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P. | DE | CH VALUE FUND INVESTOR, L.P. | LIMITED PARTNER | 12/2007 | C | Y | N | |
| TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P. | DE | CH VALUE FUND INVESTOR, L.P. | LIMITED PARTNER | 12/2007 | C | Y | N | |
| HRC FAMILY BRANCH GP, L.L.C. | DE | HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P. | GENERAL PARTNER | 12/2007 | F | Y | N | |

HARLAN R. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P.	LIMITED PARTNER	12/2007	E	Y	N	
HARLAN R. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	HRC FAMILY BRANCH GP, L.L.C.	MEMBER	12/2007	E	Y	N	
TSC FAMILY BRANCH GP, L.L.C.	DE	TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P.	GENERAL PARTNER	12/2007	F	Y	N	
TRAMMELL S. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P.	LIMITED PARTNER	12/2007	E	Y	N	
TRAMMELL S. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	TSC FAMILY BRANCH GP, L.L.C.	MEMBER	12/2007	E	Y	N	
CROW, TRAMMELL, SIMONTON	I	TRAMMELL S. CROW IRREVOCABLE FAMILY BRANCH TRUST	TRUSTEE	01/1994	F	Y	N	5638609
CROW, HARLAN, ROGERS	I	HARLAN R. CROW IRREVOCABLE FAMILY BRANCH TRUST	TRUSTEE	01/1994	F	Y	N	5638611

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: SEAGER CAPITAL GROUP, LLC	CRD Number: 147080
ADV - Other-Than-Annual Amendment, SCHEDULE C	Rev. 02/2005
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Form ADV, Schedule C

Amendments to Schedules A and B

1. Use Schedule C only to amend information requested on either Schedule A or Schedule B. Refer to Schedule A and Schedule B for specific instructions for completing this Schedule C. Complete each column.

2. In the Type of Amendment column, indicate "A" (addition), "D" (deletion), or "C" (change in information about the same *person*).

3. Ownership codes are:

NA - less than 5%

C - 25% but less than 50%

G - Other (general partner, trustee, or elected member)

A - 5% but less than 10%

D - 50% but less than 75%

B - 10% but less than 25%

E - 75% or more

4. List below all changes to Schedule A (Direct Owners and Executive Officers):

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Type of Amendment	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
RESERVOIR PCA FUND (CAYMAN), L.P.	FE	D	MEMBER	05/2008	B	N	N	98-0514444
CHVF-SEAGER, L.P.	DE	A	MEMBER	01/2009	B	N	N	26-3936605

5. List below all changes to Schedule B (Indirect Owners):

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Type of Amendment	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No., or Employer ID No.
RESERVOIR CAPITAL PARTNERS, L.P.	DE	D	RESERVOIR PCA FUND (CAYMAN), L.P.	LIMITED PARTNER	12/2006	C	Y	N	13-4015473
RESERVOIR CAPITAL PARTNERS (CAYMAN), L.P.	FE	D	RESERVOIR CAPITAL PARTNERS, L.P.	LIMITED PARTNER	01/2006	E	Y	N	98-0481301
RCP GP, LLC	DE	D	RESERVOIR CAPITAL PARTNERS, L.P.	GENERAL PARTNER	01/2006	F	Y	N	20-4552280
RESERVOIR CAPITAL PARTNERS (CAYMAN), L.P.	FE	D	RCP GP, LLC	MANAGING MEMBER	12/2005	E	Y	N	98-0481301
RESERVOIR CAPITAL INVESTMENT PARTNERS, L.P.	DE	D	RESERVOIR PCA FUND (CAYMAN), L.P.	LIMITED PARTNER	12/2006	C	Y	N	72-1599720
RESERVOIR CAPITAL INVESTMENT PARTNERS (CAYMAN), L.P.	FE	D	RESERVOIR CAPITAL INVESTMENT PARTNERS, L.P.	LIMITED PARTNER	01/2006	E	Y	N	98-0481298
RCIP GP, LLC	DE	D	RESERVOIR CAPITAL INVESTMENT PARTNERS, L.P.	GENERAL PARTNER	01/2006	F	Y	N	20-4552317
RESERVOIR CAPITAL INVESTMENT PARTNERS (CAYMAN), L.P.	FE	D	RCIP GP, LLC	MANAGING MEMBER	01/2006	E	Y	N	98-0481298
RESERVOIR CAPITAL GROUP, L.L.C.	DE	D	RESERVOIR PCA FUND (CAYMAN), L.P.	GENERAL PARTNER	12/2006	F	Y	N	13-3978514
RCGM, LLC	DE	D	RESERVOIR CAPITAL GROUP, L.L.C.	MANAGING MEMBER	02/1997	E	Y	N	13-3978510
STERN, DANIEL, H	I	D	RCGM, LLC	SENIOR MANAGING MEMBER	02/1997	C	Y	N	2482544
HUFF, CRAIG, A	I	D	RCGM, LLC	SENIOR MANAGING MEMBER	02/1997	C	Y	N	5075877
CROW HOLDINGS VALUE MANAGERS, L.L.C.	DE	A	CHVF-SEAGER, L.P.	GENERAL PARTNER	12/2008	F	Y	N	20-1240766

CROW HOLDINGS VALUE FUND, L.P.	DE	A	CHVF-SEAGER, L.P.	LIMITED PARTNER	12/2008	E	Y	N	20-1240865
CROW FAMILY, INC.	DE	A	CROW HOLDINGS VALUE MANAGERS, L.L.C.	MEMBER	06/2004	E	Y	N	75-2518788
HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P.	DE	A	CROW FAMILY, INC.	SHAREHOLDER	12/2007	D	Y	N	26-1467051
TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P.	DE	A	CROW FAMILY, INC.	SHAREHOLDER	12/2007	C	Y	N	26-1507671
CROW HOLDINGS VALUE MANAGERS, L.L.C.	DE	A	CROW HOLDINGS VALUE FUND, L.P.	GENERAL PARTNER	06/2004	F	Y	N	20-1240766
CH VALUE FUND INVESTOR, L.P.	DE	A	CROW HOLDINGS VALUE FUND, L.P.	LIMITED PARTNER	12/2007	E	Y	N	26-1439415
CROW FAMILY, INC.	DE	A	CH VALUE FUND INVESTOR, L.P.	GENERAL PARTNER	06/2004	F	Y	N	75-2518788
HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P.	DE	A	CH VALUE FUND INVESTOR, L.P.	LIMITED PARTNER	12/2007	C	Y	N	26-1467051
TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P.	DE	A	CH VALUE FUND INVESTOR, L.P.	LIMITED PARTNER	12/2007	C	Y	N	26-1507671
HRC FAMILY BRANCH GP, L.L.C.	DE	A	HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P.	GENERAL PARTNER	12/2007	F	Y	N	26-1465707
HARLAN R. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	A	HARLAN R. CROW FAMILY BRANCH PARTNERSHIP, L.P.	LIMITED PARTNER	12/2007	E	Y	N	75-6456659
HARLAN R. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	A	HRC FAMILY BRANCH GP, L.L.C.	MEMBER	12/2007	E	Y	N	75-6456659
TSC FAMILY BRANCH GP, L.L.C.	DE	A	TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P.	GENERAL PARTNER	12/2007	F	Y	N	26-1507591
TRAMMELL S. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	A	TRAMMELL S. CROW FAMILY BRANCH PARTNERSHIP, L.P.	LIMITED PARTNER	12/2007	E	Y	N	75-6456657
TRAMMELL S. CROW IRREVOCABLE FAMILY BRANCH TRUST	DE	A	TSC FAMILY BRANCH GP, L.L.C.	MEMBER	12/2007	E	Y	N	75-6456657
CROW, TRAMMELL, SIMONTON	I	A	TRAMMELL S. CROW IRREVOCABLE FAMILY BRANCH TRUST	TRUSTEE	01/1994	F	Y	N	5638609
CROW, HARLAN, ROGERS	I	A	HARLAN R. CROW IRREVOCABLE FAMILY BRANCH TRUST	TRUSTEE	01/1994	F	Y	N	5638611

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: SEAGER CAPITAL GROUP, LLC

CRD Number: 147080

ADV - Other-Than-Annual Amendment, SCHEDULE D Page 1

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Form ADV, Schedule D Page 1

Certain items in Part 1A of Form ADV require additional information on Schedule D. Use this Schedule D Page 1 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 1.B. Other Business Names
List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D for each business name.
No Information Filed

Section 1.F. Other Offices
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Page 1 for each location. If you are applying for registration, or are registered, only with the SEC, list only the largest five (in terms of numbers of <i>employees</i>).
No Information Filed

Section 1.I. World Wide Web Site Addresses
List your World Wide Web site addresses. You must complete a separate Schedule D for each World Wide Web site address.
No Information Filed

Section 1.K. Locations of Books and Records

Complete the following information for each location at which you keep your books and records, other than your *principal office and place of business*. You must complete a separate Schedule D Page 1 for each location.

No Information Filed

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: SEAGER CAPITAL GROUP, LLC

ADV - Other-Than-Annual Amendment, SCHEDULE D, Page 2

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Form ADV, Schedule D Page 2

Use this Schedule D Page 2 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 1.L. Registration with Foreign Financial Regulatory Authorities

List the name, in English, of each *foreign financial regulatory authority* and country with which you are registered. You must complete a separate Schedule D Page 2 for each *foreign financial regulatory authority* with whom you are registered.

English Name of Foreign Financial Regulatory Authority

Name of Country

Cayman Islands

Section 2.A(7) Affiliated Adviser

If you are relying on the exemption in rule 203A-2(c) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser (if any)

SEC Number of Registered Investment Adviser

801-

Section 2.A(8) Newly Formed Adviser

If you are relying on rule 203A-2(d), the newly formed adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:

☐ I am not registered or required to be registered with the SEC or a *state securities authority* and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.

☐ I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.

Section 2.A(9) Multi-State Adviser

If you are relying on rule 203A-2(e), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

☐ I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 30 or more states to register as an investment adviser with the securities authorities in those states.

☐ I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 25 states to register as an investment adviser with the securities authorities of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

☐ Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 25 states to register as an investment adviser with the securities authorities in those states.

Form ADV, Schedule D Page 3

Use this Schedule D Page 3 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 2.A(11) SEC Exemptive Order

No Information Filed

Section 4 Successions

Complete the following information if you are succeeding to the business of a currently-registered investment adviser. If you acquired more than one firm in the succession you are reporting on this Form ADV, you must complete a separate Schedule D Page 3 for each acquired firm. See Part 1A Instruction 4.

No Information Filed

Section 5.I(2) Wrap Fee Programs

If you are a portfolio manager for one or more *wrap fee programs*, list the name of each program and its *sponsor*. You must complete a separate Schedule D Page 3 for each *wrap fee program* for which you are a portfolio manager.

No Information Filed

Section 6.B. Description of Primary Business

No Information Filed

Section 7.A. Affiliated Investment Advisers and Broker-Dealers

You MUST complete the following information for each investment adviser with whom you are affiliated. You MAY complete the following information for each broker-dealer with whom you are affiliated. You must complete a separate Schedule D Page 3 for each listed affiliate.

No Information Filed

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Form ADV, Schedule D Page 4

Use this Schedule D Page 4 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Section 7.B. Limited Partnership Participation or Other Private Fund Participation

You must complete a separate Schedule D Page 4 for each limited partnership in which you or a *related person* is a general partner, each limited liability company for which you or a *related person* is a manager, and each other private fund that you advise.

Name of Limited Partnership, Limited Liability Company, or other Private Fund:
SEAGER CAPITAL PARTNERS, LP

Name of General Partner or Manager:
SEAGER CAPITAL GP, LP

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

Yes

No

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?

0 %

Minimum investment commitment required of a limited partner, member, or other investor:

\$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:

\$ 17979490

Name of Limited Partnership, Limited Liability Company, or other Private Fund:

SEAGER CAPITAL INTERMEDIATE FUND, L.P.

Name of General Partner or Manager:

SEAGER CAPITAL GROUP, LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

☐ ☐

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

☐ ☒

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?

0 %

Minimum investment commitment required of a limited partner, member, or other investor:

\$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:

\$ 0

Name of Limited Partnership, Limited Liability Company, or other Private Fund:

SEAGER CAPITAL MASTER FUND, L.P.

Name of General Partner or Manager:

SEAGER CAPITAL GROUP, LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

☐ ☐

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

☐ ☒

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?

25 %

Minimum investment commitment required of a limited partner, member, or other investor:

\$ 0

Current value of the total assets of the limited partnership, limited liability company, or other private fund:

\$ 17979490

Name of Limited Partnership, Limited Liability Company, or other Private Fund:

SEAGER CAPITAL OFFSHORE FUND LTD.

Name of General Partner or Manager:

SEAGER CAPITAL GROUP, LLC

Yes No

If you are registered or registering with the SEC, is this a "private fund" as defined under SEC rule 203(b)(3)-1?

☐ ☐

Are your *clients* solicited to invest in the limited partnership, limited liability company, or other private fund?

☐ ☒

Approximately what percentage of your *clients* have invested in this limited partnership, limited liability company, or other private fund?

0 %

Minimum investment commitment required of a limited partner, member, or other investor:

\$ 100000

Current value of the total assets of the limited partnership, limited liability company, or other private fund:

\$ 0

Section 10 Control Persons

You must complete a separate Schedule D Page 4 for each *control person* not named in Item 1.A. or Schedules A, B, or C that directly or indirectly *controls* your management or policies.

No Information Filed

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Use this Schedule D Page 5 to report details for items listed below. Report only new information or changes/updates to previously submitted information. Do not repeat previously submitted information.

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: SEAGER CAPITAL GROUP, LLC

ADV - Other-Than-Annual Amendment, DRP Pages

1/30/2009 7:14:21 PM

CRD Number: 147080

Rev. 02/2005

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Bond DRPs

No Information Filed

Judgment/Lien DRPs

No Information Filed

Arbitration DRPs

No Information Filed

FORM ADV
UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION

Primary Business Name: SEAGER CAPITAL GROUP, LLC

ADV - Other-Than-Annual Amendment, Execution Pages

1/30/2009 7:14:21 PM

CRD Number: 147080

Rev. 02/2005

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial application for SEC registration and all amendments to registration.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:
AARON SEAGER

Printed Name:
AARON SEAGER

Adviser CRD Number:
147080

Date: MM/DD/YYYY
01/30/2009

Title:
PRESIDENT AND CHIEF INVESTMENT OFFICER

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial application for SEC registration and all amendments to

registration.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. *Non-Resident* Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
Printed Name:	Title:
Adviser CRD Number: 147080	

State Registered Investment Adviser Execution Page

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial application for state registration and all amendments to registration.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the legally designated officers and their successors, of the state in which you maintain your *principal office and place of business* and any other state in which you are applying for registration or amending your registration, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are applying for registration or amending your registration.

2. State-Registered Investment Adviser Affidavit

If you are subject to state regulation, by signing this Form ADV, you represent that, you are in compliance with the registration requirements of the state in which you maintain your principal place of business and are in compliance with the bonding, capital, and recordkeeping requirements of that state.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature AARON SEAGER	Date MM/DD/YYYY 01/30/2009
CRD Number 147080	

Printed Name
AARON SEAGER

Title
PRESIDENT AND CHIEF INVESTMENT OFFICER