FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Prim	ary Business Name: ELLIOTT M	ANAGEMENT CORPORATION		CRD Number: 15660				
Othe	r-Than-Annual Amendment - Al	I Sections		Rev. 03/202				
11/13	3/2020 5:03:15 PM							
WAI	·	-	ns may result in denial of your applications Form ADV General Instruction 4.	on, revocation of your registration, or criminal prosecution. You must				
Item	1 Identifying Information							
	·	-	ess, and how we can contact you. If you nation to assist you with filing an <i>umbre</i>	are filing an <i>umbrella registration</i> , the information in Item 1 should be <i>lla registration</i> .				
A.	Your full legal name (if you are a ELLIOTT MANAGEMENT CORPO	a sole proprietor, your last, first, an DRATION	d middle names):					
В.	(1) Name under which you prime	arily conduct your advisory busined DRATION	ss, if different from Item 1.A.					
	List on Section 1.B. of Schedule	D any additional names under wh	ich you conduct your advisory business					
	(2) If you are using this Form ADV to register more than one investment adviser under an umbrella registration, check this box 🗹							
	If you check this box, complete	a Schedule R for each relying adv	iser.					
	If this filing is reporting a change ☐ your legal name or ☐ your po		primary business name (Item 1.B.(1)), e	nter the new name and specify whether the name change is of				
D.	(1) If you are registered with the SEC as an investment adviser, your SEC file number: 801-73640							
	(2) If you report to the SEC as an exempt reporting adviser, your SEC file number:							
	(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:							
	CIK Number 1048445							
	1040443							
_	(4) If you have a number (IIODD	Number of the CINDA	Is CRD systems on by the IARD systems or	CDD gurahan 450004				
E.	(1) If you have a number ("CRD	Number") assigned by the FINKA	's CRD system or by the IARD system, yo	our CRD number: 156601				
	If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates.							
	(2) If you have additional CRD N	lumbers, your additional <i>CRD</i> nun	nbers:					
			No Information Filed					
F.	Principal Office and Place of Bu	siness						
	(1) Address (do not use a P.O.	Box):						
	Number and Street 1:		Number and Street 2:					
	40 WEST 57TH STREET							
	City:	State:	Country:	ZIP+4/Postal Code:				
	NEW YORK If this address is a private r	New York	United States	10019				
	If this address is a private residence, check this box:							
	List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.							
	(2) Days of week that you norm Monday - Friday Othe		cipal office and place of business:					
	Normal business hours at the 8:30AM-5:30PM							
	(3) Telephone number at this leader to the second s	ocation:						
	(4) Facsimile number at this loc	cation, if any:						

(5) What is the total number of offices, other than your principal office and place of business, at which you conduct investment advisory business as of the end of your most

212-478-2476

recently completed fiscal year?

G.	Mailing address, if different from	your principal office and place of business	s address:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	If this address is a private resident	ence, check this box:	,			
Н.	If you are a sole proprietor, state	your full residence address, if different fro	m your <i>principal office and place</i>	e of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
					Yes	No
l.	Do you have one or more websit	tes or accounts on publicly available social	I media platforms (including, but	not limited to, Twitter, Facebook and LinkedIn)?	•	0
	address serves as a portal throu information. You may need to lis	gh which to access other information you h at more than one portal address. Do not pro	nave published on the web, you novide the addresses of websites	e social media platforms on Section 1.1. of Schedule D. If a web may list the portal without listing addresses for all of the other or accounts on publicly available social media platforms when the addresses of employee accounts on publicly available social	re you	
ı	Chief Compliance Officer					
J.	(1) Provide the name and contact	et information of your Chief Compliance Offione. If not, you must complete Item 1.K. bel		ting adviser, you must provide the contact information for your C	Chief	
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if any:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) address	s, if Chief Compliance Officer has one:				
K.	IRS Employer Identification Num Additional Regulatory Contact Pe	erson: If a person other than the Chief Con	npliance Officer is authorized to	receive information and respond to questions about this Form	ADV, ː	you
	Name:	-	Titles:			
	Telephone number:		Facsimile number, if any:			
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Oity.	Giate.	Country.	ZII 14/1 Ostal Gode.		
	Electronic mail (e-mail) address	s, if contact person has one:				
					Yes	No
L.	Do you maintain some or all of the principal office and place of bush	-	keep under Section 204 of the A	dvisers Act, or similar state law, somewhere other than your	0	0
	If "yes," complete Section 1.L. of	Schedule D.			Yes	No
M.	Are you registered with a foreign	financial regulatory authority?			0	•
	Answer "no" if you are not registed complete Section 1.M. of Schedu		ority, even if you have an affiliat	e that is registered with a foreign financial regulatory authority.		
					Yes	No
N.	Are you a public reporting compa	any under Sections 12 or 15(d) of the Secu	rities Exchange Act of 1934?		\circ	\odot
					Yes	No
O.	If yes, what is the approximate a		nt fiscal year?		0	⊙
	S1 billion to less than \$10					
	\$10 billion to less than \$50	Dillion				
	\$50 billion or more					

For purposes of Item 1.0. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.					
P. Provide your <i>Legal Entity Identifier</i> if you have one: SUHPWJ4TBNAH1YMQM073	,				
A legal entity identifier is a unique number that companies	s use to identify each	n other in the financial n	marketplace. You may not have a legal entity identifier.		
SECTION 1.B. Other Business Names					
	1	No Information Filed			
SECTION 1.F. Other Offices					
Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an <i>exempt reporting adviser</i> , list only the largest twenty-five offices (in terms of numbers of <i>employees</i>).					
Number and Street 1: EUROPEAN INVESTMENTS HOLDING COMPANY SARL		Number and Street:	2: IESS SOLUTIONS 12C RUE GUILLAUME KRO		
City: LUXEMBOURG	State:	Country: Luxembourg	ZIP+4/Postal Code: L-1882		
If this address is a private residence, check this box:					
Telephone Number: 352 28 57 79	Facsimile Number	er, if any:			
If this office location is also required to be registered with FINI Branch Office Registration Form (Form BR), please provide the			ch office location for a broker-dealer or investment advis	ser on the Uniform	
How many <i>employees</i> perform investment advisory functions	s from this office loca	ation?			
Are other business activities conducted at this office location? (1) Broker-dealer (registered or unregistered)	? (check all that appl	ly)			
(r) Blank (including a separately identifiable department or	division of a bank)				
(3) Insurance broker or agent					
(4) Commodity pool operator or commodity trading advisor (5) Registered municipal advisor	r (whether registered	a or exempt from registi	tration)		
(6) Accountant or accounting firm					
(7) Lawyer or law firm					
Describe any other investment-related business activities cor	nducted from this of	fice location:			
SECTION 1.I. Website Addresses					
List your website addresses, including addresses for account Facebook and/or LinkedIn). You must complete a separate Se				nited to, Twitter,	
Address of Website/Account on Publicly Available Social Medi	ia Platform: HTTP:	//WWW.ELLIOTTADVIS	SORS.HK		
Address of Website/Account on Publicly Available Social Medi	ia Platform: HTTPS	S://WWW.LINKEDIN.CO	OM/COMPANY/ELLIOTT-ADVISORS-UK-LIMITED/		
Address of Website/Account on Publicly Available Social Medi	ia Platform: HTTP:	//WWW.ELLIOTTMGMT.			

Address of Website/Account on Publicly Available Social Media Platform: HTTPS://MEDIUM.COM/ELLIOTTMGMT						
Address of Website/Account on Publicly Available Social Media Platform: HTTPS://WWW.LINKEDIN.COM/COMPANY/ELLIOTTMANAGEMENT/						
Address of Website/Account on Publicly Available Social Media Platform: HTTP://WWW.ELLIOTTADVISORS.CO.UK						
Address of Website/Account on Publicly Available Soci	al Media Platform: HTTPS	S://TWITTER.COM/JESSECOHN4				
SECTION 1.L. Location of Books and Records						
Complete the following information for each location a Schedule D, Section 1.L. for each location.	t which you keep your book	s and records, other than your <i>princi</i>	pal office and place of business. You must con	nplete a separate		
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED						
Number and Street 1: 1137 BRANCHTON ROAD		Number and Street 2:				
City: BOYERS	State: Pennsylvania	Country: United States	ZIP+4/Postal Code: 16020			
If this address is a private residence, check this box:						
Telephone Number: 4128779707	Facsimile number, if any	<i>r</i> :				
This is (check one): O one of your branch offices or affiliates.						
a third-party unaffiliated recordkeeper.						
O other.						
Briefly describe the books and records kept at this local INVESTMENT- AND TRADING-RELATED RECORDS A		DUNTING RECORDS.				
Name of entity where books and records are kept: EQUINIX, INC.						
Number and Street 1: 17/F GOODMAN GLOBAL GATEWAY		Number and Street 2: 168 YEUNG UK ROAD				
City: TSUEN WAN	State:	Country: Hong Kong	ZIP+4/Postal Code:			
If this address is a private residence, check this box:						
Telephone Number: Facsimile number, if any: 85258085055						
This is (check one): one of your branch offices or affiliates.						
a third-party unaffiliated recordkeeper.						
C other.						
Briefly describe the books and records kept at this location. INVESTMENT- AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS.						

Name of entity where books and records are kept: EUROPEAN INVESTMENTS HOLDING COMPANY SARL								
Number and Street 1: C/O RADAR BUSINESS SOLUTIONS		Number and Str	eet 2: .AUME KROLL (GROUN	D FLOOR)				
City:	State:	Country:		ZIP+4/Postal (Code:			
LUXEMBOURG		Luxembourg		L-1882				
If this address is a private residence, check this box: $\ \square$								
Telephone Number: 352 28 57 79	Facsimile number	er, if any:						
This is (check one): one of your branch offices or affiliates.								
$_{f C}$ a third-party unaffiliated recordkeeper.								
O other.								
Briefly describe the books and records kept at this location. INVESTMENT-AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS.								
Name of entity where books and records are kept: TERADA WAREHOUSE COMPANY								
Number and Street 1: 2-6-10 SHINAGAWA			Number and Street 2:					
City:	S	State:	Country:	ZIP+4/Pos				
SHINAGAWA-KU, TOKYO			Japan	140-0002				
If this address is a private residence, check this box: $\ \square$								
Telephone Number: 81354791613		Facsimile number, i 31354791620	if any:					
This is (check one): O one of your branch offices or affiliates.								
a third-party unaffiliated recordkeeper.								
C other.								
Briefly describe the books and records kept at this location INVESTMENT-RELATED RECORDS AND FINANCIAL AND		RECORDS.						
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED								
Number and Street 1: NORMAN ROAD, PICKARDY MANOR WAY			Number and Street 2:					
City:	S	State:	Country:		ZIP+4/Postal Code:			
BELVEDERE, LONDON			United Kingdom		DA176JY			
If this address is a private residence, check this box: $\ \square$								
Telephone Number: 4408445607080		Facsimile number, i 1408445608090	f any:					
This is (check one): O one of your branch offices or affiliates.								
 a third-party unaffiliated recordkeeper. 								
O other.								

Briefly describe the books and records kept at this location. INVESTMENT- AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS.							
Name of entity where books and records are kept: ABLE MOVING & STORAGE							
Number and Street 1: 7425 MERRITT PARK DR.		Numbe SUITE	r and Street 2: 750				
City: MANASSAS	State: Virginia	Country United		ZIP+4/Postal Code: 20109			
If this address is a private residence, check this box:							
Telephone Number: (703) 330-3772	Facsimile numbe	er, if any:					
This is (check one): One of your branch offices or affiliates.							
a third-party unaffiliated recordkeeper.							
O other.							
Briefly describe the books and records kept at this location INVESTMENT-RELATED RECORDS AND FINANCIAL AND AND FINANCIAL AND		CORDS.					
Name of entity where books and records are kept: ELLIOTT ADVISORS (UK) LIMITED							
Number and Street 1: BENCHMARK HOUSE		Number and 203 BROOKI	Street 2: LANDS ROAD				
City: WEYBRIDGE	State:	Country: United Kingo	lom	ZIP+4/Postal Code: KT130RH			
If this address is a private residence, check this box: $\ \square$							
Telephone Number: 442030091818	Facsimile number 442030091756	r, if any:					
This is (check one): O one of your branch offices or affiliates.							
C a third-party unaffiliated recordkeeper.							
⊙ other.							
Briefly describe the books and records kept at this location. INVESTMENT-AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS.							
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED							
Number and Street 1: 1000 CAMPUS DRIVE			Number and Street 2:				
City: COLLEGEVILLE	State: Pennsylvania		Country: United States	ZIP+4/Postal Code: 19426			
If this address is a private residence, check this box: \Box							
Telephone Number: 8009343453	Facsimile number, if a 8009345348	any:					

one of your branch offices or affiliates.						
a third-party unaffiliated recordkeeper.						
O other.						
Briefly describe the books and records kept at this location. INVESTMENT- AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS.						
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED Number and Street 1:		Number and Street 2:				
UNIT 5 ZODIAC PARK, HIGH ROAD		UXBRIDGE				
City:	State:	Country:	ZIP+4/Postal Code:			
MIDDLESEX		United Kingdom	UB82GU			
If this address is a private residence, check this box:						
Telephone Number:	Facsimile number	, if any:				
441895433533	441895433536					
This is (check one): O one of your branch offices or affiliates.						
a third-party unaffiliated recordkeeper.						
C other.						
Briefly describe the books and records kept at this location INVESTMENT- AND TRADING-RELATED RECORDS AND		NTING RECORDS.				
Name of entity where books and records are kept: ELLIOTT MANAGEMENT CORPORATION						
Number and Street 1:		Number and Street 2:				
CONNELL CORPORATE CENTER IV		400 CONNELL DRIVE, 3	BRD FLOOR			
City:	State:	Country:	ZIP+4/Postal Code:			
BERKELEY HEIGHTS	New Jersey	United States	07922			
If this address is a private residence, check this box:						
Telephone Number: 9082862000	Facsimile number, if any: 9084641370					
This is (check one): O one of your branch offices or affiliates.						
o a third-party unaffiliated recordkeeper.						
other.						
Briefly describe the books and records kept at this location INVESTMENT- AND TRADING-RELATED RECORDS AND		NTING RECORDS.				
SECTION 1.M. Registration with Foreign Financial Regulate	ory Authorities					
	No I	nformation Filed				

Item 2 SEC Registration/Reporting

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an annual updating amendment to your SEC registration. If you are filing an umbrella registration, the information in Item 2 should be provided for the filing adviser only.

y _' m	our S nay a	SEC affirn	registration and you are no longer on natively respond to each of these items.	eligible to register with the SEC, check Item 2	2.A.(1) through 2.A.(12), below. If you are so 2.A.(13). Part 1A Instruction 2 provides inform	
			adviser):			
ľ	7 (1)	are a large advisory firm that either			
			-	anagement of \$100 million (in U.S. dollars)		
			(b) has regulatory assets under many with the SEC;	anagement of \$90 million (in U.S. dollars) o	r more at the time of filing its most recent an	nual updating amendment and is registered
ſ	<u> </u>		are a mid-sized advisory firm that you are either:	has regulatory assets under management of	of \$25 million (in U.S. dollars) or more but lea	ss than \$100 million (in U.S. dollars) and
			(a) not required to be registered as	s an adviser with the state securities authorit	y of the state where you maintain your <i>princi</i>	pal office and place of business; or
			(b) not subject to examination by the	ne state securities authority of the state wher	e you maintain your principal office and place	e of business;
			Click HERE for a list of states in	n which an investment adviser, if registered,	would not be subject to examination by the s	state securities authority.
	(3)	Reserved			
Γ] (.	4)	have your principal office and place	e of business outside the United States;		
ſ	<u> </u>	5)	are an investment adviser (or sub	adviser) to an investment company registe	ered under the Investment Company Act of 19	940:
ſ		•	are an investment adviser to a co	,	development company pursuant to section	
ſ	<u> </u>	7)			value of at least \$200,000,000 that qualifies	for the exemption in rule 203A-2(a);
Γ	<u> </u>	8)		03A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is usiness is the same as the registered advise	under common <i>control</i> with, an investment ac	dviser that is registered with the SEC, and
			If you check this box, complete Se	ction 2.A.(8) of Schedule D.		
ſ	<u> </u>	9)	are an adviser relying on rule 203A	A-2(c) because you expect to be eligible for	SEC registration within 120 days;	
			If you check this box, complete Se	ction 2.A.(9) of Schedule D.		
ſ	 (10)	are a multi-state adviser that is re	quired to register in 15 or more states and is	s relying on rule 203A-2(d);	
			If you check this box, complete Se	ction 2.A.(10) of Schedule D.		
ſ	 (11)	are an Internet adviser relying on	rule 203A-2(e);		
ſ	(12)	have received an SEC order exem	pting you from the prohibition against registr	ration with the SEC;	
			If you check this box, complete Se	ction 2.A.(12) of Schedule D.		
1	 (13)	are no longer eligible to remain req	gistered with the SEC.		
				ate Reporting by Exempt Reporting Advise		
a S tl	ire ca SEC. ne SI	alled If th EC. bse	d notice filings. In addition, exempt in its is an initial application or report, of this is an amendment to direct you	reporting advisers may be required to provide check the box(es) next to the state(s) that your notice filings or reports to additional state(to the SEC. If this is an amendment to your reports to the SEC.	e authorities a copy of the Form ADV and any estate securities authorities with a copy of results used with a copy of results and all securities authorities with a copy of results and all securities, check the box(es) next to the state(s) that registration to stop your notice filings or report	ports and any amendments they file with the subsequent filings or reports you submit to you would like to receive notice of this and
	Juris	sdict	tions			
		AL		П	□ NE	□ sc
				□ IN	□ NV	□ SD
				□ м	□ NH	□ TN
				□ KS	□ NJ	□ TX
				□ KY □ LA	□ NM □ NY	□ UT □ VT
				□ ME	□ NC	□ v
				□ MD	□ ND	□ VA
		DC		□ MA	□ он	□ wa
	V			□ м	□ ок	□w
				□ MN	□ OR	□ wi
				□ MS	□ PA	□ wy
				□ MO □ MT	□ PR □ RI	
	-	יטי		- IVII	~ N	

report filing fee for the coming year, your amendment must be filed before the end of the year (December 31).
SECTION 2.A.(8) Related Adviser
If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you <i>control</i> , are <i>controlled</i> by, or are under common <i>control</i> with an investment adviser that is registered with the SEC and your <i>principal office and place of business</i> is the same as that of the registered adviser, provide the following information:
Name of Registered Investment Adviser
CRD Number of Registered Investment Adviser
SEC Number of Registered Investment Adviser
SECTION 2.A.(9) Investment Adviser Expecting to be Eligible for Commission Registration within 120 Days
If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:
I am not registered or required to be registered with the SEC or a <i>state securities authority</i> and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
☐ I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
SECTION 2.A.(10) Multi-State Adviser
If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.
If you are applying for registration as an investment adviser with the SEC, you must make both of these representations: I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
If you are submitting your <i>annual updating amendment</i> , you must make this representation: Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
SECTION 2.A.(12) SEC Exemptive Order
If you are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:
if you are folying upon an OLO order exempting you from the promistion on registration, provide the following information.
Application Number: 803-
Date of order.
Item 3 Form of Organization
If you are filing an <i>umbrella registration</i> , the information in Item 3 should be provided for the <i>filing adviser</i> only.
A. How are you organized?
Corporation
C Sole Proprietorship
C Limited Liability Partnership (LLP)
O Partnership
C Limited Liability Company (LLC)
C Limited Partnership (LP) C Other (specify):
If you are changing your response to this Item, see Part 1A Instruction 4.

C.	. Under the laws of what state or country are you organized?	
	State Country	
	Delaware United States	
	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, procountry where you reside.	ovide the name of the state or
	If you are changing your response to this Item, see Part 1A Instruction 4.	
Item	m 4 Successions	
		Yes No
	Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure form of organization or state of incorporation)?	ure or legal status (e.g., C
	If "yes", complete Item 4.B. and Section 4 of Schedule D.	
B.	. Date of Succession: (MM/DD/YYYY)	
	If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1	A Instruction 4.
SEC	ECTION 4 Successions	
	No Information Filed	
	m 5 Information About Your Advisory Business - Employees, Clients, and Compensation	
	esponses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when r struction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.	naking regulatory policy. Part 1A
Emj	imployees	
_	you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). nan one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).	If an employee performs more
	Approximately how many <i>employees</i> do you have? Include full- and part-time <i>employees</i> but do not include any clerical workers. 475	
B.	. (1) Approximately how many of the <i>employees</i> reported in 5.A. perform investment advisory functions (including research)?	
	(2) Approximately how many of the <i>employees</i> reported in 5.A. are registered representatives of a broker-dealer?	
	(3) Approximately how many of the <i>employees</i> reported in 5.A. are registered with one or more <i>state securities authorities</i> as <i>investment advis</i>	ser representatives?
	(4) Approximately how many of the <i>employees</i> reported in 5.A. are registered with one or more <i>state securities authorities</i> as <i>investment advis</i> investment adviser other than you?	ser representatives for an
	(5) Approximately how many of the <i>employees</i> reported in 5.A. are licensed agents of an insurance company or agency?	
	(6) Approximately how many firms or other <i>persons</i> solicit advisory <i>clients</i> on your behalf?0	
	In your response to Item 5.B.(6), do not count any of your employees and count a firm only once – do not count each of the firm's employees the	at solicit on your behalf.
Clie	lients	

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many clients for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently

B. In what month does your fiscal year end each year?

DECEMBER

	86%					
D.	For purposes of this Item 5.D., the category "individuals" businesses organized as sole proprietorships. The category "business development companies" consist provide advisory services pursuant to an investment advis (d)(3) below.	s of companies that have mad	e an election pursuan	t to section 54 of the Ir	nvestment Company Act of 1940. U	nless you
	Indicate the approximate number of your <i>clients</i> and amoutype of <i>client</i> . If you have fewer than 5 <i>clients</i> in a particular		-		•	ollowing
	The aggregate amount of regulatory assets under manage 5.F.(2)(c) below. If a <i>client</i> fits into more than one category, select one category investment company, business development company, or	gory that most accurately repre	esents the <i>client</i> to av	oid double counting <i>cli</i>	ents and assets. If you advise a reg	
	investment company, business development company, o	r pooled investment vehicle, re	sport triose assets in t	categories (u), (e), and	(т) аз аррисавте.	
	Type of <i>Client</i>		(1) Number of Client(s)	(2) Fewer than 5 Clients	(3) Amount of Regulatory Asset Management	s under
	(a) Individuals (other than <i>high net worth individuals</i>)		0		\$	
	(b) High net worth individuals		0		\$	
	(c) Banking or thrift institutions		0		\$	
	(d) Investment companies		0		\$	
	(e) Business development companies		0	-	\$	
	(f) Pooled investment vehicles (other than investment codevelopment companies)	ompanies and business	14	-	\$ 73,511,332,303	
	(g) Pension and profit sharing plans (but not the plan pa pension plans)	rticipants or government	0		\$	
	(h) Charitable organizations		0		\$	
	(i) State or municipal government entities (including gov	ernment pension plans)	0		\$	
	(j) Other investment advisers		0		\$	
	(k) Insurance companies		0		\$	
	(I) Sovereign wealth funds and foreign official institutions	· · · · · · · · · · · · · · · · · · ·	0		\$	
	(m) Corporations or other businesses not listed above		0		\$	
	(n) Other:		0		\$	
			'	<u>'</u>		
Coı	mpensation Arrangements					
E.	You are compensated for your investment advisory service	es by (check all that apply):				
	 ✓ (1) A percentage of assets under your management ✓ (2) Hourly charges ✓ (3) Subscription fees (for a newsletter or periodical fixed fees (other than subscription fees) ✓ (5) Commissions ✓ (6) Performance-based fees ✓ (7) Other (specify): PERFORMANCE-BASED ALLO 	I)				
	s 5 Information About Your Advisory Business - Regulato	ry Assets Under Management				
Reg	gulatory Assets Under Management					Voc. No.
F.	(1) Do you provide continuous and regular supervisory of	-	•			Yes No
	(2) If yes, what is the amount of your regulatory assets u	-	imber of accounts?	-		
	Discretions: "	U.S. Dollar Amount			er of Accounts	
	,	(a) \$ 73,511,332,303 (b) \$ 0		(d) 14		
		(c) \$73,511,332,303		(e) 0 (f) 14		
	Part 1A Instruction 5.b. explains how to calculate you	ır regulatory assets under mar	nagement. You must f	follow these instructions	s carefully when completing this Iter	n.
	(3) What is the approximate amount of your total regulator	ory assets under management	(reported in Item 5.F.((2)(c) above) attributab	le to <i>client</i> s who are non- <i>United Sta</i>	ntes

completed fiscal year?

(2) Approximately what percentage of your clients are non-United States persons?

0

persons?

	Item :	5 Information About Your Advisory Business - Advisory Activities		
ľ	Adv	sory Activities		
		What type(s) of advisory services do you provide? Check all that apply.		
		(1) Financial planning services		
		Portfolio management for individuals and/or small businesses		
		Portfolio management for investment companies (as well as "business development companies" that have made an election pursuant to section 54 of the		
		Investment Company Act of 1940)		
		 (4) Portfolio management for pooled investment vehicles (other than investment companies) (5) Portfolio management for businesses (other than small businesses) or institutional <i>clients</i> (other than registered investment companies and other pooled 		
		Portfolio management for businesses (other than small businesses) or institutional <i>clients</i> (other than registered investment companies and other pooled investment vehicles)		
		(6) Pension consulting services		
		(7) Selection of other advisers (including <i>private fund</i> managers)		
		(8) Publication of periodicals or newsletters		
		(9) Security ratings or pricing services		
		(10) Market timing services (11) Educational seminars/workshops		
		(12) Other(specify):		
		Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment company or investment companies to which provide advice in Section 5.G.(3) of Schedule D.		
	H.	If you provide financial planning services, to how many <i>clients</i> did you provide these services during your last fiscal year?		
		O 1-10		
		O 11 - 25		
		C 26 - 50 C 51 - 100		
		404 050		
		054 500		
		O 251 - 500 O More than 500		
		If more than 500, how many?		
		(round to the nearest 500)		
		In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those inve	stors.	
			Yes	No
	I.	(1) Do you participate in a wrap fee program?	0	
		(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as:		٠
		(a) sponsor to a wrap fee program		
		(a) sponsor to a wrap ree program \$		
		(b) portfolio manager for a wrap fee program?		
		\$		
		(c) sponsor to and portfolio manager for the same wrap fee program?		
		If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
		If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Schedule D.		
		If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee p	orogra	m,
		do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).		
			Yes	No
	J.	(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	0	e
		(2) Do you report client assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under	0	
		management?	\sim	•3
	K.	Separately Managed Account Clients		
			Yes	No
		(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i>)?	0	•
		If yes, complete Section 5.K.(1) of Schedule D.		
- 1				

(2) Do you engage in borrowing transactions on behalf of any of the separately managed account *clients* that you advise?

If yes, complete Section 5.K.(2) of Schedule D.

(3) Do you engage in derivative transactions on behalf of any of the separately managed account *clients* that you advise?

If yes, complete Section 5.K.(2) of Schedule D.

(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

If yes, complete Section 5.K.(3) of Schedule D for each custodian.

No Information Filed

SECTION 5.I.(2) Wrap Fee Programs

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Ass	et Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	%	%
(ii)	Non Exchange-Traded Equity Securities	%	%
(iii)	U.S. Government/Agency Bonds	%	%
(iv)	U.S. State and Local Bonds	%	%
(v)	Sovereign Bonds	%	%
(vi)	Investment Grade Corporate Bonds	%	%
(vii)	Non-Investment Grade Corporate Bonds	%	%
(viii)	Derivatives	%	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%	%
(xi)	Cash and Cash Equivalents	%	%
(xii)	Other	%	%
` '			

Generally describe any assets included in "Other"

(b) Asset Type

	<u>'</u>	
(i)	Exchange-Traded Equity Securities	%
(ii)	Non Exchange-Traded Equity Securities	%
(iii)	U.S. Government/Agency Bonds	%
(iv)	U.S. State and Local Bonds	%
(v)	Sovereign Bonds	%

End of year

(vi)	Investment Grade Corporate Bonds	%
(vii)	Non-Investment Grade Corporate Bonds	%
(viii)	Derivatives	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%
(xi)	Cash and Cash Equivalents	%
(xii)	Other	%

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

✓ No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional* value of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the

portion of the account that you subadvise.

SECTION 5.K.(3) Custodians for Separately Managed Accounts

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional* value of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

No Information Filed		
Item 6 Other Business Activities		
In this Item, we request information about your firm's other business activities.		
A. You are actively engaged in business as a (check all that apply):(1) broker-dealer (registered or unregistered)		
 (2) registered representative of a broker-dealer (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant 		
 (5) real estate broker, dealer, or agent (6) insurance broker or agent 		
 (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor 		
(9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant		
(12) accountant or accounting firm (13) lawyer or law firm		
(14) other financial product salesperson (specify):		
If you engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.	Yes	No
B. (1) Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0	•
(2) If yes, is this other business your primary business?	0	\circ
If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name.		
(3) Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?	_	No ⊙
If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.		٠
SECTION 6.A. Names of Your Other Businesses		

No Information Filed

SECTION 6.B.(2) Description of Primary Business

 $\label{lem:continuous} \mbox{Describe your primary business (not your investment advisory business):}$

If you engage in that business under a different name, provide that name:

SEC	TION 6.B.(3) Description of Other Products and Services
	scribe other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above.
If yo	ou engage in that business under a different name, provide that name:
ltem	7 Financial Industry Affiliations
	nis Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and
	r clients.
Α.	This part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affiliates and
	any person that is under common control with you.
	You have a related person that is a (check all that apply):
	[(1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
	(2) other investment adviser (including financial planners)
	 (3) registered municipal advisor (4) registered security-based swap dealer
	(4) registered security-based swap dealer (5) major security-based swap participant
	(6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
	[(7) futures commission merchant
	(8) banking or thrift institution
	(9) trust company
	 (10) accountant or accounting firm (11) lawyer or law firm
	☐ (11) lawyer or law firm ☐ (12) insurance company or agency
	(13) pension consultant
	☐ (14) real estate broker or dealer
	(15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
	(16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles
	Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).
	Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.
	For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.
	You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.
	You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.
SEC	TION 7.A. Financial Industry Affiliations
Cor	nplete a separate Schedule D Section 7.A. for each <i>related person</i> listed in Item 7.A.
	Legal Name of Related Person: ELLIOTT SPECIAL GP, LLC
2.	Primary Business Name of <i>Related Person</i> : ELLIOTT SPECIAL GP, LLC
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)
	or Other
4.	Related Person's
	(a) CRD Number (if any):
	292238
	(b) CIK Number(s) (if any):

5.	Related Person is: (check all that apply)		
	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) banking or thrift institution		
	(i) trust company		
	(j) accountant or accounting firm		
	(k) lawyer or law firm		
	(I) insurance company or agency		
	(m) pension consultant (n) real estate broker or dealer		
	(4)		
	(p) ✓ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	. N/c
6	Do you control or are you controlled by the related person?		
0.	Do you control of ale you controlled by the related person:	0	\odot
_			
7.	Are you and the related person under common control?	⊙	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	⊙
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: 🗆		
		Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	⊙
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	No Information Filed		
11.	Do you and the related person share any supervised persons?	•	О
		•	•
12.	Do you and the <i>related person</i> share the same physical location?		0
		٠	
1.	Legal Name of Related Person:		
	ELLIOTT CO-INVESTMENT GP LLC		
2.	Primary Business Name of Related Person:		
	ELLIOTT CO-INVESTMENT GP LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-		
	or		
	Other		
4.			
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5	Polated Porson is: (check all that apply)		
J.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	tal - prover acardi, mamorar accamico acardi, or government accumico provei di ucaici		

(b) \square other investment adviser (including financial planners)

	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) amajor security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) anking or thrift institution		
	(i) Tust company		
	(j) accountant or accounting firm		
	(k) awyer or law firm		
	(I) Insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	\circ	\odot
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	Ö	Ö
	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		_
	No Information Filed		
11.	. Do you and the related person share any supervised persons?	•	0
		•	~
12.	. Do you and the <i>related person</i> share the same physical location?		
		•	\circ
		•	0
		•	0
		•	0
	Legal Name of Related Person:	•	0
	Legal Name of <i>Related Person</i> : ELLIOTT ADVISORS (HK) LIMITED	•	0
1.	ELLIOTT ADVISORS (HK) LIMITED	•	0
1.	Primary Business Name of <i>Related Person</i> :	•	0
1.	ELLIOTT ADVISORS (HK) LIMITED	•	0
1.	Primary Business Name of <i>Related Person</i> : ELLIOTT ADVISORS (HK) LIMITED	•	0
1.	Primary Business Name of <i>Related Person</i> :	•	0
1.	Primary Business Name of <i>Related Person</i> : ELLIOTT ADVISORS (HK) LIMITED	•	<u> </u>
1.	Primary Business Name of <i>Related Person</i> : ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -	•	<u> </u>
1.	Primary Business Name of <i>Related Person</i> : ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or	•	0
1. 2. 3.	Primary Business Name of <i>Related Person</i> : ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or	•	0
1. 2. 3.	Primary Business Name of <i>Related Person</i> : ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other	•	0
1. 2. 3.	Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other Related Person's	•	0
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
1. 2. 3.	Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other Related Person's (a) CRD Number (if any): 292247 (b) CIK Number(s) (if any):	•	0
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other Related Person's (a) CRD Number (if any): 292247 (b) CIK Number(s) (if any): No Information Filed	•	0
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	<u> </u>
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other Related Person's (a) CRD Number (if any): 292247 (b) CIK Number(s) (if any): No Information Filed Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners)	•	0
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	<u> </u>
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	<u> </u>
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -	•	0
1. 2. 3.	ELLIOTT ADVISORS (HK) LIMITED Primary Business Name of Related Person: ELLIOTT ADVISORS (HK) LIMITED Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0

	(i) □ trust company (j) □ accountant or accounting firm		
	(k)		
	(I) □ insurance company or agency (m) □ pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voc	s No
6.	Do you control or are you controlled by the related person?		• NO
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	⊙
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?		0
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.	٠	
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Hong Kong - Securities and Futures Commission		
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	\circ
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> :		
	ELLIOTT ADVISORS (UK) LIMITED		
	Primary Business Name of <i>Related Person</i> : ELLIOTT ADVISORS (UK) LIMITED		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
	Related Person's		
	(a) <i>CRD</i> Number (if any): 292248		
	(b) CIK Number(s) (if any):		
	No Information Filed		
	Related Person is: (check all that apply)		
	 (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ☑ other investment adviser (including financial planners) 		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) major security-based swap participant		
	 (f) ✓ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) ☐ futures commission merchant 		
	(h) banking or thrift institution		
	(i)		
	(j) accountant or accounting firm		
	(k) 🔲 lawyer or law firm (l) 🗖 insurance company or agency		
	(m) □ pension consultant		

		(n) real estate broker or dealer		
		(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes N	No
	6.	6. Do you control or are you controlled by the related person?	© (0
	7.	7. Are you and the <i>related person</i> under common <i>control</i> ?	© (0
	8.	8. (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>	6?	•
		(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the		0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surpr	rise examination for your <i>clients'</i> funds	
		or securities that are maintained at the related person?		
		(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of the second of the	of your <i>clients'</i> assets:	
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code		
		City: State: Country: ZIP+4/Postal Code If this address is a private residence, check this box:		
		ii tillo dadroco le a private recidence, cricest tille box.	Yes N	No
	9.	9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?		
			0.0	⊙
		(b) If the answer is yes, under what exemption?		
	10	10. (a) Is the related person registered with a foreign financial regulatory authority?	6	_
			• correspic registered	0
		(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related positive Name of Country/English Name of Foreign Financial Regulatory Authority	person is registered.	
		Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY		
	11.	11. Do you and the related person share any supervised persons?	⊙ (0
	12.	12. Do you and the <i>related person</i> share the same physical location?	0 (⊙
ŀ				_
	1.	1. Legal Name of Related Person:		
		EIMFL LLC		
	2.	2. Primary Business Name of Related Person:		
		EIMFL LLC		
	3.	3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		-		
		or Other		
		Otner		
	1	4. Related Person's		
		(a) CRD Number (if any):		
		311380		
		(b) CIK Number(s) (if any):		
		No Information Filed		
	5.	5. Related Person is: (check all that apply)		
		(a) proker-dealer, municipal securities dealer, or government securities broker or dealer		
		(b) other investment adviser (including financial planners)		
		(c) registered municipal advisor		
		(d) registered security-based swap dealer		
		(e) major security-based swap participant		
		 (f) ✓ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) ☐ futures commission merchant 		
		(i) L trust company		
		(j) accountant or accounting firm		
		(k) lawyer or law firm		
		(I) insurance company or agency		
		(m) pension consultant		
		(n) real estate broker or dealer		
		(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes N	No
	6.	6. Do you control or are you controlled by the related person?	0 (<u> </u>

7.	Are y	you and the related person under common control?	•	0	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: Number 2:			
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:			
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	No ©	
	(b)	If the answer is yes, under what exemption?			
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	0	
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed	~	~	
11.	Do y	ou and the related person share any supervised persons?	•	0	
12.	Do y	ou and the related person share the same physical location?	0	•	
				=	
1.	-	al Name of <i>Related Person</i> : IBLEDON, INC.			
2.		ary Business Name of <i>Related Person</i> : IBLEDON, INC.			
3.	Rela -	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	or Othe	er			
4.	(a)	cred Person's CRD Number (if any): 292242			
		CIK Number(s) (if any): No Information Filed			
5.	Rela	ated Person is: (check all that apply)			
	()	 □ broker-dealer, municipal securities dealer, or government securities broker or dealer ☑ other investment adviser (including financial planners) 			
	(b)	registered municipal advisor			
	(d)	registered security-based swap dealer			
	(e)	major security-based swap participant			
	(f) (g)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant			
	(b)	banking or thrift institution			
	(i)	□ trust company			
	(j)	accountant or accounting firm			
	(k) (l)	□ lawyer or law firm□ insurance company or agency			
	(n)	pension consultant			
	(n)	real estate broker or dealer			
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles			
	(P)	sponsor, general partier, managing member (or equivalent) or pooled investment venicles	Yes	No	
6.	Do y	ou control or are you controlled by the related person?	0	•	
7.	Are y	you and the related person under common control?	•	0	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not		Õ	

		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> or securities that are maintained at the <i>related person</i> ?	and thus are not required to obtain a surprise examination for your <i>clients'</i> funds		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the relation	ed person's office responsible for custody of your clients' assets:		
			and Street 2:		
		City: State: Countr If this address is a private residence, check this box:	: ZIP+4/Postal Code:		
			Ye	es	No
9.		If the related person is an investment adviser, is it exempt from registration?		0	⊙
	(b)	If the answer is yes, under what exemption?			
10	O. (a)	Is the related person registered with a foreign financial regulatory authority?		5	•
	(b)	If the answer is yes, list the name and country, in English of each foreign financial	egulatory authority with which the related person is registered.		
	1 Dov	No In you and the related person share any supervised persons?	ormation Filed	_	
	i. Do :	you and the related person share any supervised persons:	6	•	0
1:	2. Do <u>y</u>	you and the related person share the same physical location?	G	•	0
1.	_	gal Name of <i>Related Person</i> : LIOTT ADVISORS GP LLC			
	ELL	JOTT ADVISORS OF LLC			
2.		mary Business Name of Related Person:			
	ELL	LIOTT ADVISORS GP LLC			
3.	Rela	lated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	- or				
	Oth	er			
	Del	lated Daysonia			
4.		lated Person's CRD Number (if any):			
	. ,	292231			
	(b)	CIK Number(s) (if any): No In	ormation Filed		
5.	Rel	lated Person is: (check all that apply)			
		paner.	dealer		
	. ,	other investment adviser (including financial planners)			
	` ,	registered municipal advisor registered security-based swap dealer			
	` '	major security-based swap participant			
	(f)	commodity pool operator or commodity trading advisor (whether registered or	exempt from registration)		
	(g) (h)	futures commission merchant banking or thrift institution			
	(i)	trust company			
	(j)	accountant or accounting firm			
	(k) (l)	☐ lawyer or law firm ☐ insurance company or agency			
	(m)	pension consultant			
	(n)	real estate broker or dealer			
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled sponsor, general partner, managing member (or equivalent) of pooled investi			
	(1 /			es	No
6.	Doy	you control or are you controlled by the related person?	C	0	\odot
7	Are	you and the <i>related person</i> under common <i>control</i> ?		-	_
	3	,	e e	•	0
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection		0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> or securities that are maintained at the <i>related person</i> ?	· · · · · · · · · · · · · · · · · · ·	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the relation	ed person's office responsible for custody of your clients' assets:		
			and Street 2: ZIP+4/Postal Code:		
		City: State: Countr If this address is a private residence, check this box:	. ZIFT4/FUSIAI GOUE.		

			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	\odot
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\circ	\odot
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	•	0
12.	Do y	you and the related person share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> : SBERG AGGREGATOR GP LLC		
2.		nary Business Name of <i>Related Person</i> : SBERG AGGREGATOR GP LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er er		
4	Rela	ated Person's		
		CRD Number (if any):		
	(b)	292265 CIK Number(s) (if any):		
	(-)	No Information Filed		
				ı
_	D-1-			
Э.		ated Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(/	other investment adviser (including financial planners)		
	` '	registered municipal advisor		
	(-)	registered security-based swap dealer		
	` '	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(i) (g)	futures commission merchant		
	(b)	banking or thrift institution		
	(i)	trust company		
	(i)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	_		Yes	No
6.	Do y	vou control or are you controlled by the related person?	\circ	⊙
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	O	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
O	(2)	If the related person is an investment adviser, is it exempt from registration?	Yes	
9.	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	⊙
	(0)	ii the anomer to you, ander what exemption:		
10.	` ,	Is the related person registered with a foreign financial regulatory authority?	0	\odot
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		

	No Information Filed		
11.	. Do you and the related person share any supervised persons?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : ELLIOTT INVESTMENT MANAGEMENT L.P.		
2.	Primary Business Name of <i>Related Person</i> : ELLIOTT INVESTMENT MANAGEMENT L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any): 307151 (b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)		
	 (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6	Do you control or are you controlled by the related person?		No
		0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> assets: Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	•
10.	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. 	0	•
	No Information Filed		
11.	. Do you and the related person share any supervised persons?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0

	Legal Name of <i>Related Person</i> : ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.		
	Primary Business Name of <i>Related Person</i> : ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
١.	Related Person's (a) CRD Number (if any): 292235 (b) CIK Number(s) (if any): No Information Filed		
	No illiottiation Filed		
i.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (ii) accountant or accounting minity (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (s) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
).	Do you control or are you controlled by the related person?	0	
•	Are you and the related person under common control?	•	0
3.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	•
	 (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets: 	0	С
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	No
).	(a) If the related person is an investment adviser, is it exempt from registration?	0	C
	(b) If the answer is yes, under what exemption?		
0.	(a) Is the related person registered with a foreign financial regulatory authority?	0	0
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
1.	Do you and the related person share any supervised persons?	•	С
2.	Do you and the <i>related person</i> share the same physical location?	•	С
	Legal Name of <i>Related Person</i> : ELLIOTT INTERMEDIATE CO-INVESTMENT GP L.P. Primary Business Name of <i>Related Person</i> : ELLIOTT INTERMEDIATE CO-INVESTMENT GP L.P.		

3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		,
	(b) CIK Number(s) (if any):		
	No Information Filed		
			1
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners)		
	 (c) registered municipal advisor (d) registered security-based swap dealer 		
	(e) major security-based swap participant		
	 (f)		
	(h) banking or thrift institution		
	(i) trust company		
	 (j) accountant or accounting firm (k) lawyer or law firm 		
	(k) lawyer or law firm (l) insurance company or agency		
	(m) pension consultant		
	 (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	 (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	⊙
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients'</i> assets:		
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: □		
۵	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	0
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	
		•	
12.	Do you and the <i>related person</i> share the same physical location?	\odot	\circ
1.	Legal Name of Related Person: ELLIOTT SPECIAL MANAGER, LLC		
2.	Primary Business Name of <i>Related Person</i> : ELLIOTT SPECIAL MANAGER, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		

4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
		292241		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(l)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Doy	you control or are you controlled by the related person?	0	G
			~	-
7	Δre	you and the related person under common control?	_	_
ļ'.	AIC	you and the related person under common controls	⊙	C
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	0
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	c
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds		~
		or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
	(-)	Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
		ii tiiis address is a private residence, check tiiis box.	Yes	NI.
	(-)	If the valeted managin an investment advisor is it even at from an advisor?		
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\circ	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	G
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
	(D)	No Information Filed		
	_			
11.	Do i	you and the <i>related person</i> share any <i>supervised persons</i> ?	\circ	0
12.	Do	you and the <i>related person</i> share the same physical location?	•	c
				_
				_
1	Lea	al Name of Related Person:		
	_	L, LLC		
	_, ,,			
_	Drin	nary Pusings Name of Polated Paragra		
2.		nary Business Name of <i>Related Person</i> :		
	EAL	LL, LLC		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Oth	er e		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	· · /	292250		
	(b)	CIK Number(s) (if any):		
	(*)	No Information Filed		
11				

5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do yo	rou control or are you controlled by the related person?	•	0
	_		•	
7	Are v	you and the related person under common control?	_	_
٠.	/ li C y	you and the related person under common controls	⊙	O
0	(-)			
8.		Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	⊙
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	\circ	\circ
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds		
		or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	V	NI-
0			Yes	INC
	(0)	If the related narrow is an investment advisor, is it exampt from registration?		
9.	` '	If the related person is an investment adviser, is it exempt from registration?	0	•
Э.	` '	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	
	(b)	If the answer is yes, under what exemption?	0	
	(b)		o •	•
	(b)	If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		•
	(b)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority		•
	(b)	If the answer is yes, under what exemption? Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered.		•
10.	(b) (a) (b)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority	•	0
10.	(b) (a) (b)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY		0
10.	(b) (a) (b)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons?	•	0
10.	(b) (a) (b)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY	•	0
10.	(b) (a) (b)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons?	•	0
10.	(b) (a) (b)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons?	•	0
10. 11. 12.	(b) (a) (b) Do yo	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons? You and the related person share the same physical location?	•	0
10. 11. 12.	(b) (a) (b) Do you	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons?	•	0
10. 11. 12.	(b) (a) (b) Do you	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons? You and the related person share the same physical location?	•	0
10. 11. 12.	(b) (a) (b) Do you Lega ELLIO	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons? You and the related person share the same physical location?	•	0
10. 11. 12.	(b) (a) (b) Do you Lega ELLIG	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY You and the related person share any supervised persons? You and the related person share the same physical location? All Name of Related Person: OTT CAPITAL ADVISORS, L.P.	•	0
10. 11. 12.	(b) (a) (b) Do you Lega ELLIG	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? al Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person:	•	0
10. 11. 12.	(b) (a) (b) Do you Lega ELLIO	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? al Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person:	•	0
10. 11. 12.	(b) (a) (b) Do you Lega ELLIO	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? al Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person: OTT CAPITAL ADVISORS, L.P.	•	0
10. 11. 12.	(b) (a) (b) Do you Lega ELLIO	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? al Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person: OTT CAPITAL ADVISORS, L.P.	•	0
10. 11. 12.	(b) (a) (b) Do you Legal ELLIG	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? rou and the related person share the same physical location? all Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. atted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
10. 11. 12.	(b) (a) (b) Do you Legal ELLIO Primal ELLIO Relation	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? rou and the related person share the same physical location? all Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. atted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Legal ELLIO Relation Othe	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? rou and the related person share the same physical location? all Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. atted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Lega ELLIO Prima ELLIO Relation Othe	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY To us and the related person share any supervised persons? To us and the related person share the same physical location? Is all Name of Related Person: OTT CAPITAL ADVISORS, L.P. The person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) OTT CAPITAL SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Lega ELLIO Prima ELLIO Relation Othe Relation (a)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? al Name of Related Person: OTT CAPITAL ADVISORS, L.P. and Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. and Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Legal ELLIO Relation Othe Relation (a)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? all Name of Related person share the same physical location? all Name of Related Person: OTT CAPITAL ADVISORS, L.P. arry Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. and Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) are the defensor's CRD Number (if any):	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Legal ELLIO Relation Othe Relation (a)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of CountryEnglish Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? Is all Name of Related Person: OTT CAPITAL ADVISORS, L.P. and Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. and Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or and the Person's CRD Number (if any): 292232	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Legal ELLIO Relation Othe Relation (a)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY Ou and the related person share any supervised persons? Ou and the related person share the same physical location? All Name of Related Person: OTT CAPITAL ADVISORS, L.P. OTT CAPITAL ADVISORS L.P. OTT CAPITAL ADVISORS	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Legal ELLIO Relation Othe Relation (a)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY Ou and the related person share any supervised persons? Ou and the related person share the same physical location? All Name of Related Person: OTT CAPITAL ADVISORS, L.P. OTT CAPITAL ADVISORS L.P. OTT CAPITAL ADVISORS	•	0
10. 11. 12. 3.	(b) (a) (b) Do you Lega ELLIO Prima ELLIO Relat or Othe Relat (a) (b)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY Ou and the related person share any supervised persons? Ou and the related person share the same physical location? All Name of Related Person: OTT CAPITAL ADVISORS, L.P. OTT CAPITAL ADVISORS L.P. OTT CAPITAL ADVISORS	•	0
10. 11. 12. 3.	(b) (a) (b) Do you Lega ELLIO Relation Othe Relation (b)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? Is Name of Related Person: OTT CAPITAL ADVISORS, L.P. Sary Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. steed Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) et all deep Person's CRD Number (if any): 292232 CIK Number(s) (if any): No Information Filed	•	0
10. 11. 12. 2.	(b) (a) (b) Do you Lega ELLIO Prima ELLIO Relat (a) (b) Relat (a)	If the answer is yes, under what exemption? Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority Other - UNITED KINGDOM - FINANCIAL CONDUCT AUTHORITY ou and the related person share any supervised persons? ou and the related person share the same physical location? all Name of Related Person: OTT CAPITAL ADVISORS, L.P. ary Business Name of Related Person: OTT CAPITAL ADVISORS, L.P. and Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) are steed Person's CRD Number (if any): 292232 CIK Number(s) (if any): No Information Filed	•	0

	(d) \square registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) banking or thrift institution		
	(i) trust company		
	(j) accountant or accounting firm		
	(k) ☐ lawyer or law firm (l) ☐ insurance company or agency		
	(I) Linsurance company or agency (m) pension consultant		
	(n) real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) ✓ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
	6. Do you control or are you controlled by the related person?	•	0
	7. Are you and the related person under common control?	•	0
	8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
	operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds		
	or securities that are maintained at the related person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' assets:		
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
	ii tiilo dadireso io a private residence, oneck tiilo box.	Yes	No
	9. (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?		•
	(b) If the answer is yes, under what exemption?		•
	(b) If the allester to yee, and the mat exemption.		
	10. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
- 11			
	No Information Filed		
		•	0
	No Information Filed	•	0
	No Information Filed		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location?		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location? 1. Legal Name of <i>Related Person</i> :		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location?		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location? 1. Legal Name of <i>Related Person</i> :		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location? 1. Legal Name of <i>Related Person</i> : ELLIOTT ADVISORS ASIA LIMITED		
	No Information Filed 11. Do you and the <i>related person</i> share any <i>supervised persons</i> ? 12. Do you and the <i>related person</i> share the same physical location? 1. Legal Name of <i>Related Person</i> : ELLIOTT ADVISORS ASIA LIMITED 2. Primary Business Name of <i>Related Person</i> :		
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	No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 13. Legal Name of Related Person: ELLIOTT ADVISORS ASIA LIMITED 14. Primary Business Name of Related Person: ELLIOTT ADVISORS ASIA LIMITED 15. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 16. or Other 17. Other 18. Related Person's 19. (a) CRD Number (if any): 292245 292245 292245 292045 292045 292046 20. IK Number(s) (if any): 292047 20. IK Number(s) (if any): 292048 20. IK Number(s) (if any): 292049 20. IK Number(s) (if any): 20. IK Num		
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	No Information Filed 11. Do you and the related person share any supervised persons? 12. Do you and the related person share the same physical location? 13. Legal Name of Related Person: ELLIOTT ADVISORS ASIA LIMITED 24. Primary Business Name of Related Person: ELLIOTT ADVISORS ASIA LIMITED 35. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 16. Or Other 46. Related Person's (a) CRD Number (if any): 292245 (b) CIK Number(s) (if any): No Information Filed 57. Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) of other investment adviser (including financial planners) (c) registered execurity-based swap dealer (e) major security-based swap participant		
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	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
		pension consultant		
	(n) (o)			
	(b)			
	(P)		Yes	No
6.	. Do	you control or are you controlled by the related person?	0	•
				~
7.	. Are	e you and the related person under common control?	•	0
8.	. (a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not	0	0
		operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required to obtain a surprise examination for your clients' funds		
		or securities that are maintained at the related person?		
	(c)			
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	. (a)	If the related person is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
10	0. (a)	Is the related person registered with a foreign financial regulatory authority?	\odot	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Japan - Financial Services Agency		
11	1. Do	you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12	2. Do	you and the <i>related person</i> share the same physical location?	\circ	0
lte	m 7 F	Private Fund Reporting		
Ite	em 7 <i>F</i>	Private Fund Reporting	Vos	
Ite	em 7 <i>F</i>		Yes	
				No
	. Are y		•	No
	. Are y If "ye Instr	you an adviser to any private fund? es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in ruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-	⊙	No O
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SE	If "ye Instr regis suba In ei desig	you an adviser to any private fund? es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in ruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-istered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you adviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D. ither case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar ignation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's INT.B.(1) Private Fund Reporting	⊙ n n are a	No O
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SE	If "ye Instruction In eight designed Information Information Informatic Infor	you an adviser to any private fund? es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in ruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-stered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you adviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D. ither case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar ignation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's INT.B.(1) Private Fund Reporting RIVATE FUND matter About the Private Fund (a) Name of the private fund: ELLIOTT ASSOCIATES, L.P. (b) Private fund identification number:	⊙ n n are a	No O
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SE	If "ye Instruction In eight designation In eight designation Information Information Informatical Informatica	you an adviser to any private fund? es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in ruction 6 of the Instructions to Part 1.A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC- stered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund. Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you adviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D. ither case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or simila ignation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's INT.B.(1) Private Fund Reporting RIVATE FUND mation About the Private Fund (a) Name of the private fund: ELLIOTT ASSOCIATES, L.P. (b) Private fund identification number: (include the *805-* prefix also) 805-5338890460	⊙ n n are a	No O
SE	If "ye Instruction In eight designation In eight designation Information Information Informatical Informatica	you an adviser to any private fund? es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in nuclion 6 of the Instructions to Part 1.A. If you are registered or applying for registration with the SEC or reporting as a SEC exempt reporting adviser, and another SEC- stered adviser reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you adviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D. lither case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar ignation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's INT.B.(1) Private Fund Reporting INT.B.(1) Private Fund Reporting (a) Name of the private fund: ELLIOTT ASSOCIATES, L.P. (b) Private fund identification number: (include the "805-" prefix also) 805-5338890460 Under the laws of what state or country is the private fund organized:	⊙ n n are a	No O
SE	If "ye Instruction In eight designation In eight designation Information Information Informatical Informatica	you an adviser to any private fund? es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in ruction 6 of the Instructions to Part 1.A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC- stered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund. Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you adviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D. ither case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or simila ignation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's INT.B.(1) Private Fund Reporting RIVATE FUND mation About the Private Fund (a) Name of the private fund: ELLIOTT ASSOCIATES, L.P. (b) Private fund identification number: (include the *805-* prefix also) 805-5338890460	⊙ n n are a	No O

	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ELLIOTT ADVISORS GP LLC		
	ELLIOTT CAPITAL ADVISORS, L.P.		
	ELLIOTT SPECIAL GP, LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filing Adviser/Relying Adviser Name		
	ELLIOTT MANAGEMENT CORPORATION		
	EALL, LLC		
	ELLIOTT ADVISORS (HK) LIMITED		
	ELLIOTT ADVISORS (UK) LIMITED		
	ELLIOTT ADVISORS ASIA LIMITED		
	ELLIOTT INVESTMENT MANAGEMENT L.P.		
	EVERGREEN COAST CAPITAL CORP.		
	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	NI.
	(a) Is this a "master fund" in a master-feeder arrangement?		
		0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master arrangement or reporting on the funds separately.	-feed	∍r
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder function of the following questions:	ds ans	we
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sine ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or and each class (or series) invests substantially all of its assets in a single master fund.	Yes	sts No
	(a) Is this private fund a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of they are also <i>private funds</i> or registered investment companies.	wheth	er
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	C
		• -	_
		Yes	N
	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	•	C
).	What type of fund is the <i>private fund</i> ?		

	v	neage fund V liquidity fund V private equity fund V real estate fund V securitized asset fund V venture capital fund V Otner private fund:		
	NO ⁻	TE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.		rrent gross asset value of the <i>private fund</i> : 1,758,961,152		
<u>Ow</u>	ners	ship		
12.		nimum investment commitment required of an investor in the <i>private fund</i> : ,000,000		
	NO ⁻	TE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational document d).	ts of t	he
13.	App 847	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 26%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha	at is the approximate percentage of the private fund beneficially owned by non-United States persons:		
Υοι	ır Ad	dvisory Services	V	
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	_	No
			C leave	• this
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	\odot
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) is leave this question blank.	s "no	,"
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	⊙
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	/ate (Offering Control of the Control of t		
21.	Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	No C
22.	If ye	es, provide the <i>private fund</i> 's Form D file number (if any):		
	For	rm D file number		
	02	1-286001		
B. SI	ERVI	ICE PROVIDERS		
Aud	litors		Yes	. No

23. (a) (1) Are the *private fund's* financial statements subject to an annual audit?

Addi	tional Auditor Information : 1 Record(s) Filed.		
	ne answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must consistions (b) through (f) separately for each auditing firm.	ıplete	,
(b)	Name of the auditing firm: GRANT THORNTON LLP		
(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	NEW YORK New York United States	Ye	
(d)	Is the auditing firm an independent public accountant?	•	
(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	ı
	If yes, Public Company Accounting Oversight Board-Assigned Number:		
	248		
(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	1
		,	Y
Are th	e private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	,	
		,	
Do al	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions?		
Do al			
Do al	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve to be a contained opinions of the private fund since your last annual updating amendment contain unqualified opinions? Solve to be a contained opinion of the private fund since your last annual updating amendment contain unqualified opinions?		
Do al ③ You If you roker	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve to be a contained opinions of the private fund since your last annual updating amendment contain unqualified opinions? Solve to be a contained opinion of the private fund since your last annual updating amendment contain unqualified opinions?		Y
Do al f you roker Does If the	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solve No Contract Report Not Yet Received Solve Report Not Yet Received, " you must promptly file an amendment to your Form ADV to update your response when the report is available.	,	Y
Do al If you roker Does If the prime	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solved No Contract Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? The private fund use one or more prime brokers? The private fund uses one or more prime brokers? The private fund uses one or more prime brokers?	,	Y
Do al Yell you roker Does If the prime Addi	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Solved No Control Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? Control Report Not Yet Received, you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? Control Report Not Yet Received, you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? Control Report Not Yet Received, you must promptly file an amendment to your Form ADV to update your response when the report is available.	e tha	\
Do al Yell your roker Does If the prime Addi If the one	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? On Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker information: 29 Record(s) Filed.	e tha	Y
Do al Yell you roker Does If the prime Addi If the one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? So No Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses me aprime broker, you must complete questions (b) through (e) separately for each prime broker the private fund uses. If the private fund uses me aprime broker, you must complete questions (b) through (e) separately for each prime broker.	e tha	Y
Do al Yell you roker Does If the prime Addi If the one (b)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? as one of Report Not Yet Received check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. the <i>private fund</i> use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. tional Prime Broker Information: 29 Record(s) Filed. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may be prime broker, you must complete questions (b) through (e) separately for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may be prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: BARCLAYS BANK PLC	e tha	\
Do al Yell your roker Does If the prime Additions (b) (c)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions? Be No Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more broker, you must complete questions (b) through (e) separately for each prime broker. Itional Prime Broker Information: 29 Record(s) Filed. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses may be prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: BARCLAYS BANK PLC If the prime broker is registered with the SEC, its registration number:	e tha	Y
Do al Yell your roker Does If the prime Additions (b) (c)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last annual updating amendment contain unqualified opinions? So No Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses me are prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: BARCLAYS BANK PLC If the prime broker is registered with the SEC, its registration number: CRD Number (if any): Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country:	e tha	Y
Do al Yell your roker Does If the prime Additions (b) (c)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last annual updating amendment contain unqualified opinions? So No Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses me answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses me are prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: BARCLAYS BANK PLC If the prime broker is registered with the SEC, its registration number: CRD Number (if any): Location of prime broker's office used principally by the private fund (city, state and country):	e tha	th
Do al Yell your roker Does If the prime (b) (c)	of the reports prepared by the auditing firm for the <i>private fund</i> since your last annual updating amendment contain unqualified opinions? So No Report Not Yet Received Check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. The private fund use one or more prime brokers? answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more broker, you must complete questions (b) through (e) separately for each prime broker. The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses me are prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: BARCLAYS BANK PLC If the prime broker is registered with the SEC, its registration number: CRD Number (if any): Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country:	e tha	Y

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

BARCLAYS CAPITAL INC.				
(c) If the prime broker is registered wit	h the SEC, its registration number:			
8 - 41342				
CRD Number (if any): 19714				
(d) Location of prime broker's office us		y, state and country):		
City: NEW YORK	State: New York		Country: United States	
				Yes I
(e) Does this prime broker act as custo	odian for some or all of the <i>private fu</i>	und's assets?		•
If the answer to question 24.(a) is "yes, one prime broker, you must complete q		-		f the <i>private fund</i> uses more thar
(b) Name of the prime broker: BARCLAYS CAPITAL SECURITIES	LIMITED			
(c) If the prime broker is registered wit	h the SEC, its registration number:			
CRD Number (if any):				
(d) Location of prime broker's office us		y, state and country):		
City: LONDON	State:	Country: United Kingdon	n	
		3		Yes 1
(e) Does this prime broker act as custo	odian for some or all of the <i>private fu</i>	und's assets?		© (
If the answer to question 24.(a) is "yes, one prime broker, you must complete q		-		f the <i>private fund</i> uses more than
(b) Name of the prime broker: BNP PARIBAS				
(c) If the prime broker is registered wit	h the SEC, its registration number:			
CRD Number (if any):				
(d) Location of prime broker's office us		y, state and country):		
City: NEW YORK	State: New York		Country: United States	
NEW TORK	NOW FOIR		Office Otales	Yes N
(e) Does this prime broker act as custo	odian for some or all of the <i>private fu</i>	und's assets?		•
If the answer to question 24.(a) is "yes, one prime broker, you must complete q		-		f the <i>private fund</i> uses more than
(b) Name of the prime broker: BNP PARIBAS PRIME BROKERAGI	E INTERNATIONAL LIMITED			
DINK KAKIBAS KKIME BKOKEKAGI	E IINTERIVATIONAL, LIMITED			
(c) If the prime broker is registered wit	h the SEC, its registration number:			

(b) Name of the prime broker:

CRD Number (if any):

(d) Location of prime broker's off	ice used principally by the private fund (city,	state and country):	
City:	State:	Country:	
DUBLIN		Ireland	
a) Does this prime broker act as	s custodian for some or all of the <i>private fund</i>	d'e accete?	Yes M
Does the phine broker det at	s custodian for some of all of the private rank	70 455015:	· ·
	"yes," respond to questions (b) through (e) plete questions (b) through (e) separately for	below for each prime broker the <i>private fund</i> uses. If the each prime broker.	ne <i>private fund</i> uses more than
b) Name of the prime broker: BNP PARIBAS SECURITIES	CORP.		
(c) If the prime broker is register	ed with the SEC, its registration number:		
8 - 32682			
CRD Number (if any): 15794			
(d) Location of prime broker's off	ice used principally by the <i>private fund</i> (city,	state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
			Yes N
e) Does this prime broker act as	s custodian for some or all of the <i>private fund</i>	d's assets?	•
-	ed with the SEC, its registration number:		
CRD Number (if any):			
(d) Location of prime broker's off	ice used principally by the private fund (city,	state and country):	
City: LONDON	State:	Country: United Kingdom	
LONDON		Officed Kingdom	Yes 1
e) Does this prime broker act as	s custodian for some or all of the private fund	d's assets?	•
	"yes," respond to questions (b) through (e) plete questions (b) through (e) separately for	below for each prime broker the <i>private fund</i> uses. If the each prime broker.	ne <i>private fund</i> uses more thar
(b) Name of the prime broker: CITICORP SECURITIES SER	VICES, INC.		
(c) If the prime broker is register 8 - 32117	ed with the SEC, its registration number:		
6 - 32117 CRD Number (if any): 15387			
d) Location of prime broker's off	ice used principally by the private fund (city,	state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
			Yes 1

(e) Does this prime broker act as custodian for some or all of the *private fund's* assets?

	the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private</i> ne prime broker, you must complete questions (b) through (e) separately for each prime broker.	e fund uses. If the private fund uses more th	an
(b)) Name of the prime broker: CITIGROUP GLOBAL MARKETS INC.		
(c)) If the prime broker is registered with the SEC, its registration number:		
	8 - 8177		
	CRD Number (if any): 7059		
(d)) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
(4)	City: State: Country:		
	NEW YORK New York United States		
(e)) Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets?		No C
(0)	, 2000 tine prime trainer act		0
	the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private</i> ne prime broker, you must complete questions (b) through (e) separately for each prime broker.	e fund uses. If the private fund uses more th	an
(b)) Name of the prime broker: CITIGROUP GLOBAL MARKETS LIMITED		
(c)) If the prime broker is registered with the SEC, its registration number:		
	CRD Number (if any):		
(d)) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	LONDON United Kingdom	Voc	s No
(e)) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	es ©	0
		~	
	the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private</i> ne prime broker, you must complete questions (b) through (e) separately for each prime broker.	e fund uses. If the private fund uses more th	an
(b)) Name of the prime broker: CREDIT SUISSE AG		
(c)) If the prime broker is registered with the SEC, its registration number:		
	CRD Number (if any):		
(d)) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	DUBLIN	Υ Δς	s No
(e)) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	•	0

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

(b)	Name of the prime broker: CREDIT SUISSE SECURITIES (EURC	PE) LIMITED			
(c)	If the prime broker is registered with the	ne SEC, its registration numb	ber:		
	- CRD Number (if any):				
	, ,,				
(d)	Location of prime broker's office used	principally by the <i>private fun</i>	nd (city, state and country):		
	City: LONDON	State:	Country: United Kingdon	n	
	25/15/5/1		emica ranguer		Yes N
(e)	Does this prime broker act as custodia	an for some or all of the <i>prive</i>	ate fund's assets?		⊚ (
	e answer to question 24.(a) is "yes," reprime broker, you must complete ques		* · ·	me broker the <i>private fund</i> uses. If the <i>private fo</i> r.	<i>und</i> uses more than
(b)	Name of the prime broker: CREDIT SUISSE SECURITIES (USA)	LLC			
(c)	If the prime broker is registered with the	ne SEC, its registration numl	ber:		
	8 - 422				
	CRD Number (if any): 816				
(d)	Location of prime broker's office used	principally by the <i>private fun</i>	nd (city, state and country):		
	City: NEW YORK	State: New York		Country: United States	
(e)	Does this prime broker act as custodia	an for some or all of the <i>prive</i>	rate fund's assets?		Yes N
one	ne answer to question 24.(a) is "yes," respectively prime broker, you must complete question. Name of the prime broker: DEUTSCHE BANK AG			me broker the <i>private fund</i> uses. If the <i>private f</i> or.	<i>ind</i> uses more than
(c)	If the prime broker is registered with the	ne SEC, its registration numb	ber:		
()	-	, 3			
	CRD Number (if any):				
(d)	Location of prime broker's office used	principally by the <i>private fun</i>	nd (city, state and country):		
	City: LONDON	State:	Country: United Kingdon	n	
	London		Cinica Kingdor		Yes N
(e)	Does this prime broker act as custodia	an for some or all of the prive	rate fund's assets?		© (
	e answer to question 24.(a) is "yes," re prime broker, you must complete que			me broker the <i>private fund</i> uses. If the <i>private f</i> or.	<i>ınd</i> uses more than
(b)	Name of the prime broker: DEUTSCHE BANK SECURITIES INC.				

8 - 17822

2525

CRD Number (if any):

	ce used principally by the private fund	(city, state and country):		
City:	State:		Country:	
NEW YORK	New York		United States	Yes No
(e) Does this prime broker act as	custodian for some or all of the <i>private</i>	e fund's assets?		⊙ C
	'yes," respond to questions (b) through ete questions (b) through (e) separate	•	•	e <i>private fund</i> uses more than
(b) Name of the prime broker: GOLDMAN SACHS & CO. LLC				
(c) If the prime broker is registere 8 - 129	d with the SEC, its registration numbe	r:		
CRD Number (if any): 361				
	ce used principally by the <i>private fund</i> ((city, state and country):		
City: NEW YORK	State: New York		Country: United States	
				Yes No
(e) Does this prime broker act as	custodian for some or all of the private	e fund's assets?		• o
(c) If the prime broker is registered	d with the SEC, its registration numbe	r:		
CRD Number (if any):				
	ce used principally by the private fund (
City: LONDON	State:	Country: United Kingdon	n	
		Č		Yes No
(e) Does this prime broker act as	custodian for some or all of the <i>private</i>	e fund's assets?		• o
If the answer to question 24.(a) is '	'yes," respond to questions (b) through	h (e) below for each prii	me broker the <i>private fund</i> uses. If th	e <i>private fund</i> uses more than
	ete questions (b) through (e) separate	ely for each prime broke	r.	
(b) Name of the prime broker: J.P. MORGAN SECURITIES LL	.c			
(c) If the prime broker is registered 8 - 35008	d with the SEC, its registration numbe	r:		
CRD Number (if any): 79				
	ce used principally by the <i>private fund</i> ((city, state and country):		
City: NEW YORK	State: New York		Country: United States	
				Yes No
(e) Does this prime broker act as	custodian for some or all of the private	e fund's assets?		• o

	ne answer to question 24.(a) is "yes," respond to questions (b) through (e) a prime broker, you must complete questions (b) through (e) separately fo	•	·	tha:	n
(b)	Name of the prime broker: J.P. MORGAN SECURITIES PLC				
(c)	If the prime broker is registered with the SEC, its registration number:				
	- CRD Number (if any):				
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, City: State:	state and country) Country:	:		
	LONDON	United Kingdon			
(e)	Does this prime broker act as custodian for some or all of the <i>private fun</i>	nd's assets?		∕es ⊙	No
	ne answer to question 24.(a) is "yes," respond to questions (b) through (e) a prime broker, you must complete questions (b) through (e) separately fo	=		tha	n
(b)	Name of the prime broker: JEFFERIES LLC				
(c)	If the prime broker is registered with the SEC, its registration number: 8 - 15074 CRD Number (if any):				
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, City: State: NEW YORK New York	, state and country)	Country: United States		
(e)	Does this prime broker act as custodian for some or all of the <i>private fun</i>	nd's assets?		∕es ⊙	No C
			<u> </u>		
	ne answer to question 24.(a) is "yes," respond to questions (b) through (e) e prime broker, you must complete questions (b) through (e) separately fo			tha	n
(b)	Name of the prime broker: MERRILL LYNCH INTERNATIONAL				
(c)	If the prime broker is registered with the SEC, its registration number:				
	CRD Number (if any):				
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city,	, state and country)):		
	City: State:	Country:			
	LONDON	United Kingdo		⁄es	No
(e)	Does this prime broker act as custodian for some or all of the private fun	nd's assets?		•	0

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

(b) Name of the prime broker:

	If the prime broker is registered with	n the SEC, its registration number:			
	8 - 33359				
	CRD Number (if any): 16139				
(d)	Location of prime broker's office use	ed principally by the <i>private fund</i> (city, s	tate and country):		
(City:	State:		Country:	
l	NEW YORK	New York		United States	
					Yes N
(e)	Does this prime broker act as custo	odian for some or all of the <i>private fund</i>	's assets?		⊚ (
		respond to questions (b) through (e) but the respond to questions (b) through (e) separately for the response to the response			ne <i>private fund</i> uses more than
	Name of the prime broker: MORGAN STANLEY & CO. INTERN	ATIONAL PLC			
(c)	If the prime broker is registered with	n the SEC, its registration number:			
	- CRD Number (if any):				
(d)	Location of prime broker's office use	ed principally by the <i>private fund</i> (city, s	tate and country):		
(City:	State:	Country:		
I	LONDON		United Kingdom		
(e)	Does this prime broker act as custo	odian for some or all of the <i>private fund</i>	's assets?		Yes No
		respond to questions (b) through (e) but the respond to questions (b) through (e) separately for the response to the response			ne <i>private fund</i> uses more than
	Name of the prime broker: MORGAN STANLEY & CO. LLC				
	If the prime broker is registered with 8 - 15869	n the SEC, its registration number:			
	CRD Number (if any): 8209				
	•	ed principally by the <i>private fund</i> (city, s	tate and country):		
	City:	State:		Country:	
	NEW YORK	New York		United States	Voc. N
(-)	D	alian fan anna an all af the mainete found	/ 1 - O		Yes No
(e)	Does this prime broker act as custo	odian for some or all of the <i>private fund</i>	s assets?		
		respond to questions (b) through (e) but the respond to questions (b) through (e) separately for the response to the response			ne <i>private fund</i> uses more than
	Name of the prime broker:				
I	NOMURA SECURITIES INTERNATI	ONAL, INC.			
(c)	If the prime broker is registered with	the SEC its registration number.			

MERRILL LYNCH PROFESSIONAL CLEARING CORP.

8 - 15255

4297

CRD Number (if any):

	ffice used principally by the <i>private fund</i> (city, s	• •	
City: NEW YORK	State: New York	Country: United States	
NEW TORK	New Fork	Officed States	Yes N
e) Does this prime broker act a	as custodian for some or all of the <i>private fund</i>	's assets?	© (
ne prime broker, you must com b) Name of the prime broker:	s "yes," respond to questions (b) through (e) be plete questions (b) through (e) separately for	pelow for each prime broker the <i>private fund</i> uses. If the each prime broker.	e <i>private fund</i> uses more than
PERSHING LLC			
s) If the prime broker is register8 - 17574	red with the SEC, its registration number:		
CRD Number (if any): 7560			
	ffice used principally by the <i>private fund</i> (city, s		
City: JERSEY CITY	State: New Jersey	Country: United States	
JENSET CITT	New Jersey	Office States	Yes N
(e) Does this prime broker act a	as custodian for some or all of the <i>private fund</i>	"s assets?	
-,			⊙ (
- CRD Number (if any):			
(d) Location of prime broker's of	ffice used principally by the <i>private fund</i> (city, s	state and country):	
City:	State:	Country:	
LONDON		United Kingdom	.,
e) Does this prime broker act a	as custodian for some or all of the <i>private fund</i>	"s assets?	Yes No ⊙ C
	s "yes," respond to questions (b) through (e) but plete questions (b) through (e) separately for	pelow for each prime broker the <i>private fund</i> uses. If the each prime broker.	e <i>private fund</i> uses more than
(b) Name of the prime broker: UBS SECURITIES LLC			
	red with the SEC, its registration number:		
8 - 22651 CRD Number (if any):			
7654			
(d) Location of prime broker's of	ffice used principally by the private fund (city, s	state and country):	
City:	State:	0	
NEW YORK		Country:	
	New York	Country: United States	V N
(a) Does this prime broker set o		United States	Yes No

	ima hrokar:			
(b) Name of the pr WELLS FARGO) SECURITIES, LLC			
(c) If the prime bro	ker is registered with the SEC, its	registration number:		
8 - 65876				
CRD Number (f any):			
126292				
	ne broker's office used principally b	by the <i>private fund</i> (city, state an		
City: CHARLOTTE		State: Vorth Carolina	Country: United States	
CHARLOTTE	IV.	North Carolina	United States	
(e) Does this prime	e broker act as custodian for some	e or all of the private fund's asse	ets?	
<u>n</u>				
Does the <i>private fund</i>	use any custodians (including the	e prime brokers listed above) to	hold some or all of its assets?	
			each custodian the <i>private fund</i> uses. If the <i>private fu</i>	nd uses more tha
	complete questions (b) through (g)			
Additional Custodiar	Information : 35 Record(s) Filed.			
· ·			or each custodian the <i>private fund</i> uses. If the <i>private</i> i	<i>fund</i> uses more th
	estion 25.(a) is "yes," respond to qu t complete questions (b) through (<i>fund</i> uses more th
custodian, you mus	t complete questions (b) through (<i>fund</i> uses more th
custodian, you mus	t complete questions (b) through (<i>fund</i> uses more th
(b) Legal name of BANK OF AMEI	t complete questions (b) through (custodian: RICA, N.A.			<i>fund</i> uses more th
custodian, you mus (b) Legal name of BANK OF AMEI	t complete questions (b) through (custodian: RICA, N.A.			<i>fund</i> uses more th
(b) Legal name of BANK OF AMEI (c) Primary busine BANK OF AMEI	t complete questions (b) through (custodian: RICA, N.A.	(g) separately for each custodia	nn.	<i>fund</i> uses more th
custodian, you mus (b) Legal name of BANK OF AMEI (c) Primary busine BANK OF AMEI (d) The location of City:	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible	(g) separately for each custodia for custody of the private fund's State:	s assets (city, state and country): Country:	<i>fund</i> uses more th
custodian, you mus (b) Legal name of BANK OF AMEI (c) Primary busine BANK OF AMEI (d) The location of	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible	(g) separately for each custodia	s assets (city, state and country):	
custodian, you must be custodian, you must be considered by the custom of the custom o	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible	(g) separately for each custodia for custody of the private fund's State:	s assets (city, state and country): Country:	
custodian, you must be custodian, you must be considered by the custom of the custom o	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible	(g) separately for each custodia for custody of the private fund's State:	s assets (city, state and country): Country:	
custodian, you must be custodian, you must be custodian, you must be custodian, you must be custodian. (b) Legal name of BANK OF AMEIOT be a custodian be custodian.	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible	(g) separately for each custodia for <i>custody</i> of the <i>private fund's</i> State: North Carolina	s assets (city, state and country): Country:	
custodian, you must be custodian, you must be custodian, you must be custodian be custodian.	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible so n a related person of your firm? is a broker-dealer, provide its SEC	(g) separately for each custodia for <i>custody</i> of the <i>private fund's</i> State: North Carolina	s assets (city, state and country): Country:	
custodian, you must be custodian, you must be custodian, you must be custodian. (b) Legal name of BANK OF AMEIOTIE (c) Primary busine BANK OF AMEIOTIE (d) The location of City: CHARLOTTE (e) Is the custodian	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible so n a related person of your firm? is a broker-dealer, provide its SEC	(g) separately for each custodia for <i>custody</i> of the <i>private fund's</i> State: North Carolina	s assets (city, state and country): Country:	
custodian, you must be custodian, you must be custodian, you must be custodian be custodian be called the custodian be cus	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible so n a related person of your firm? is a broker-dealer, provide its SEC f any):	(g) separately for each custodial for <i>custody</i> of the <i>private fund's</i> State: North Carolina C registration number (if any):	assets (city, state and country): Country: United States	
custodian, you must be custodian, you must be custodian, you must be custodian between the custodian control custodian between the custodian control custodian	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible a related person of your firm? is a broker-dealer, provide its SEC fany):	(g) separately for each custodial for <i>custody</i> of the <i>private fund's</i> State: North Carolina C registration number (if any):	s assets (city, state and country): Country:	
custodian, you must be custodian, you must be custodian, you must be custodian be c	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible a related person of your firm? is a broker-dealer, provide its SEC fany):	(g) separately for each custodial for <i>custody</i> of the <i>private fund's</i> State: North Carolina C registration number (if any):	assets (city, state and country): Country: United States	
custodian, you must be custodian, you must be custodian, you must be custodian be c	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible a related person of your firm? is a broker-dealer, provide its SEC fany):	(g) separately for each custodial for <i>custody</i> of the <i>private fund's</i> State: North Carolina C registration number (if any):	assets (city, state and country): Country: United States	
custodian, you must be custodian, you must be custodian, you must be custodian be custodian between the custod	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible a related person of your firm? is a broker-dealer, provide its SEC (fany): is not a broker-dealer, or is a broker-dealer, or is a broker-dealer.	for custody of the private fund's State: North Carolina C registration number (if any): ker-dealer but does not have an	assets (city, state and country): Country: United States SEC registration number, provide its legal entity ider	ntifier (if any)
custodian, you must be custodian, you must be custodian, you must be custodian be custodian between the custod	t complete questions (b) through (custodian: RICA, N.A. ss name of custodian: RICA, N.A. the custodian's office responsible s na a related person of your firm? is a broker-dealer, provide its SEC f any): is not a broker-dealer, or is a broker-dea	for custody of the private fund's State: North Carolina C registration number (if any): ker-dealer but does not have an	assets (city, state and country): Country: United States SEC registration number, provide its legal entity ider	ntifier (if any)

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

City: LONDON	State:	Country: United Kinadom	
		3	Yes No
Is the custodian a related pers	on of your firm?		0 @
If the custodian is a broker-dea	aler, provide its SEC registration nun	nber (if any):	
CRD Number (if any):			
If the custodian is not a broker	-dealer, or is a broker-dealer but do	es not have an SEC registration number, provide its <i>legal en</i>	titv identifier (if anv)
G5GSEF7VJP5I7OUK5573			
			orivate fund uses more than one
Legal name of custodian: BARCLAYS CAPITAL INC.			
Primary business name of cus BARCLAYS CAPITAL INC.	stodian:		
City: NEW YORK	State: New York	Country: United States	
			Yes No
Is the custodian a related pers	on of your firm?		0 0
If the custodian is a broker-dea	aler, provide its SEC registration nun	nber (if any):	
8 - 41342			
CRD Number (if any): 19714			
If the custodian is not a broker	-dealer, or is a broker-dealer but doe	es not have an SEC registration number, provide its <i>legal en</i>	tity identifier (if any)
			orivate fund uses more than one
-	ΓΙΕS LIMITED		
Driver and the sign of the sig	de diene		
<u>=</u>			
	•		
LONDON		United Kingdom	
Is the custodian a related pers	on of your firm?		Yes No
If the custodian is a broker-dea	aler. provide its SEC registration nur	nber (if any):	
-	, p		
CRD Number (if any):	, , ,		
CRD Number (if any):	, p		
	LONDON Is the custodian a related pers If the custodian is a broker-deal CRD Number (if any): If the custodian is not a broker- G5GSEF7VJP5I7OUK5573 The answer to question 25.(a) is " stodian, you must complete question and personal	LONDON Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): If the custodian is not a broker-dealer, or is a broker-dealer but doe G6GSEF7VJP5I7OUK5573 The answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for Legal name of custodian: BARCLAYS CAPITAL INC. Primary business name of custodian: BARCLAYS CAPITAL INC. The location of the custodian's office responsible for custody of the City: State: NEW YORK New York Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number 4:1342 CRD Number (if any): 19714 If the custodian is not a broker-dealer, or is a broker-dealer but does to dian, you must complete questions (b) through (g) separately for Legal name of custodian: BARCLAYS CAPITAL SECURITIES LIMITED Primary business name of custodian: BARCLAYS CAPITAL SECURITIES LIMITED The location of the custodian's office responsible for custody of the City: State: LONDON Is the custodian a related person of your firm?	Is the custodian a related person of your firm? If the austodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any): If the austodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal emegascondian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund's assets (city, state and country): - Cay: - State: - Country: - Direct Grany: - If the austodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal emegascondian, you must complete questions (b) through (g) separately for each custodian. - BARCLAYS CAPITAL INC. - Primary business name of custodian: - BARCLAYS CAPITAL INC. - The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): - Cay: - State: - Country: - United States - If the custodian is a broker-dealer, provide its SEC registration number (if any): - 8 - 41342 - CRD Number (if any): - 13714 - If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal emeans are asset to question (b) through (g) separately for each custodian the private fund uses. If the private fund uses are supplied questions (b) through (g) separately for each custodian. - Legal name of custodian: - BARCLAYS CAPITAL SECURITIES LIMITED - Primary business name of custodian: - BARCLAYS CAPITAL SECURITIES LIMITED - Primary business name of custodian: - BARCLAYS CAPITAL SECURITIES LIMITED - Primary business name of custodian: - BARCLAYS CAPITAL SECURITIES LIMITED - Primary business name of custodian: - Country: - Country

	te answer to question 25.(a) is "yes," responded todian, you must complete questions (b) through		below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more custodian.	e than (one
(b)	Legal name of custodian: BNP PARIBAS				
(c)	Primary business name of custodian: BNP PARIBAS				
(d)	The location of the custodian's office respon	-			
	City: NEW YORK	State: New York	Country: United States		
(e)	Is the custodian a related person of your firm	n?		Yes	No ⊙
(f)	If the custodian is a broker-dealer, provide in	ts SEC registration number (if	f any):		
	- CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is R0MUWSFPU8MPRO8K5P83	a broker-dealer but does not h	have an SEC registration number, provide its legal entity identifier (if any)		
If th	ne answer to question 25.(a) is "yes," respond	d to questions (b) through g) b	below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than (one
cus	todian, you must complete questions (b) thro	ough (g) separately for each c	custodian.		
(b)	Legal name of custodian: BNP PARIBAS PRIME BROKERAGE INTERI	NATIONAL, LIMITED			
(c)	Primary business name of custodian: BNP PARIBAS PRIME BROKERAGE INTERI	NATIONAL, LIMITED			
(d)	The location of the custodian's office respon	nsible for <i>custody</i> of the <i>private</i>	te fund's assets (city, state and country):		
	City: DUBLIN	State:	Country: Ireland		
(e)	Is the custodian a related person of your firm	n?		Yes O	No ⊙
(f)	If the custodian is a broker-dealer, provide in	ts SEC registration number (if	f any):		
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is 5493000EGBAKFHIIT563	a broker-dealer but does not h	have an SEC registration number, provide its legal entity identifier (if any)		
	ne answer to question 25.(a) is "yes," respond todian, you must complete questions (b) thro	. , , ,	below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	e than (one
(b)	Legal name of custodian: BNP PARIBAS SECURITIES CORP.				
(c)	Primary business name of custodian: BNP PARIBAS SECURITIES CORP.				
(d)	The location of the custodian's office respon				
	City: NEW YORK	State: New York	Country: United States		

(e)	Is the custodian a related person of your firm?		0	\odot
(f)	If the custodian is a broker-dealer, provide its SEC re	stration number (if any):		
	8 - 32682			
	CRD Number (if any): 15794			
(g)	If the custodian is not a broker-dealer, or is a broker	aler but does not have an SEC registration number, pro	vide its legal entity identifier (if any)	
	e answer to question 25.(a) is "yes," respond to questodian, you must complete questions (b) through (g)	ns (b) through g) below for each custodian the <i>private fo</i> parately for each custodian.	und uses. If the private fund uses more than o	ne
(b)	Legal name of custodian: CITIBANK, N.A.			
(c)	Primary business name of custodian: CITIBANK, N.A.			
(d)		ustody of the private fund's assets (city, state and country	'):	
	City: State: LONDON	Country: United Kingdom		
			Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?		0	•
(f)	If the custodian is a broker-dealer, provide its SEC re	stration number (if any):		
	CRD Number (if any):			
	E57ODZWZ7FF32TWEFA76	aler but does not have an SEC registration number, pro		one
	todian, you must complete questions (b) through (g)		·	
(b)	Legal name of custodian: CITICORP SECURITIES SERVICES, INC.			
(c)	Primary business name of custodian: CITICORP SECURITIES SERVICES, INC.			
(d)		ustody of the private fund's assets (city, state and country	'):	
	City: S NEW YORK N	York Country: United States		
(e)	Is the custodian a related person of your firm?		Yes O	No ①
(f)	If the custodian is a broker-dealer, provide its SEC re 8 - 32117	stration number (if any):		
	CRD Number (if any): 15387			
(g)	If the custodian is not a broker-dealer, or is a broker-	aler but does not have an SEC registration number, pro	vide its legal entity identifier (if any)	

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

	Legal name of custodian: CITIGROUP GLOBAL MARKETS INC.			
(c)	Primary business name of custodian: CITIGROUP GLOBAL MARKETS INC.			
(d)	The location of the custodian's office response	onsible for <i>custody</i> of the <i>privat</i> e	e fund's assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	V N-
(e)	Is the custodian a <i>related person</i> of your fi	rm?		Yes No
(f)	If the custodian is a broker-dealer, provide 8 - 8177	its SEC registration number (if	any):	
	CRD Number (if any): 7059			
(g)	If the custodian is not a broker-dealer, or is	s a broker-dealer but does not h	nave an SEC registration number, provide its <i>legal en</i>	tity identifier (if any)
cus (b)	stodian, you must complete questions (b) th Legal name of custodian: CITIGROUP GLOBAL MARKETS LIMITED		pelow for each custodian the <i>private fund</i> uses. If the peutodian.	onvalo nana uses more man one
(c)	Primary business name of custodian: CITIGROUP GLOBAL MARKETS LIMITED			
(d)	The location of the custodian's office responsity: LONDON	onsible for <i>custody</i> of the <i>private</i> State:	e fund's assets (city, state and country): Country: United Kingdom	
			Offited Kingdom	Yes No
(e)	Is the custodian a <i>related person</i> of your fi	rm?		○ ●
(f)	If the custodian is a broker-dealer, provide	its SEC registration number (if	any):	
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is XKZZ2JZF41MRHTR1V493	s a broker-dealer but does not h	have an SEC registration number, provide its <i>legal en</i>	tity identifier (if any)
	ne answer to question 25.(a) is "yes," responstodian, you must complete questions (b) th		pelow for each custodian the <i>private fund</i> uses. If the private fund uses are the private fund uses are the private fund uses.	private fund uses more than one
cus				
	Legal name of custodian: CREDIT SUISSE AG			
(b)	_			
(b)	CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office response	•		
(b)	CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG	onsible for <i>custody</i> of the <i>privat</i> e State:	e fund's assets (city, state and country): Country: Ireland	
(b) (c)	CREDIT SUISSE AG Primary business name of custodian: CREDIT SUISSE AG The location of the custodian's office responsitive:	State:	Country:	Yes No ○ ⓒ

	GYXNX0JLX3X63JN86			
		respond to questions (b) through g) belows (b) through (g) separately for each custo	w for each custodian the <i>private fund</i> uses. If the <i>priva</i> odian.	ate fund uses more than or
	al name of custodian: DIT SUISSE SECURITIES (EUF	ROPE) LIMITED		
-	nary business name of custodia EDIT SUISSE SECURITIES (EUF			
		e responsible for <i>custody</i> of the <i>private full</i>		
City: LONI	IDON		Country: Jnited Kingdom	
2011			- Inda Tungusin	Yes
e) Is the	e custodian a <i>related person</i> of	your firm?		0
f) If the	e custodian is a broker-dealer, p	provide its SEC registration number (if any	·):	
-				
CRD	Number (if any):			
a) If the	e custodian is not a broker-deal	er. or is a broker-dealer but does not have	e an SEC registration number, provide its <i>legal entity</i> .	identifier (if anv)
	FFRRLF74S01HE2M14	5,, 6, 10 6 2, 6, 10, 10, 10, 10, 10, 10, 10, 10, 10, 10	, an obe regionalism number, promoc no regar emily i	(ii diry)
f the ans	swer to guestion 25 (a) is "yes "	respond to questions (h) through a) helo	w for each custodian the <i>private fund</i> uses. If the <i>priva</i>	ata fundusas mora than on
	• • • • •	s (b) through (g) separately for each custo	·	ato rana addo moro triair di
	al name of custodian: DIT SUISSE SECURITIES (USA	A) LLC		
` '	nary business name of custodia EDIT SUISSE SECURITIES (USA			
d) The I	location of the custodian's office	e responsible for <i>custody</i> of the <i>private full</i>	nd's assets (city, state and country):	
City:		State:	Country:	
NEW	V YORK	New York	United States	.,
e) Is the	e custodian a <i>related person</i> of	your firm?		Yes
f) If the	e custodian is a broker-dealer. c	provide its SEC registration number (if any	n):	
8 - 42	•		,	
CRD 816	Number (if any):			
	e custodian is not a broker-deale	er, or is a broker-dealer but does not have	e an SEC registration number, provide its legal entity	identifier (if any)
g) If the				
(g) If the				

CRD Number (if any):

(b) Legal name of custodian: DEUTSCHE BANK AG

(c) Primary business name of cus DEUTSCHE BANK AG	todian:		
(d) The location of the custodian's	office responsible for <i>custody</i> of the p	private fund's assets (city, state and country):	
City:	State:	Country:	
LONDON		United Kingdom	
e) Is the custodian a related pers	on of your firm?		Yes N
ey is the custodian a related pers	on or your min:		0 6
f) If the custodian is a broker-dea	ller, provide its SEC registration numb	per (if any):	
CRD Number (if any):			
(g) If the custodian is not a broker 7LTWFZYICNSX8D621K86	-dealer, or is a broker-dealer but does	not have an SEC registration number, provide its legal entity identifi	ier (if any)
	stions (b) through (g) separately for ea	n g) below for each custodian the <i>private fund</i> uses. If the <i>private fun</i> ach custodian.	d uses more than one
c) Primary business name of cus DEUTSCHE BANK SECURITIE			
d) The location of the custodian's	office responsible for <i>custody</i> of the p	private fund's assets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes N
e) Is the custodian a related pers	on of your firm?		0 6
8 - 17822 CRD Number (if any): 2525	tler, provide its SEC registration numb	not have an SEC registration number, provide its <i>legal entity identifi</i>	ior (if any)
g) if the custodian is not a broker	-uealer, or is a broker-dealer but does	Thou have an SEC registration number, provide its legal entity identific	er (ii ariy)
custodian, you must complete que	yes," respond to questions (b) through stions (b) through (g) separately for each	ng) below for each custodian the <i>private fund</i> uses. If the <i>private fun</i> ach custodian.	d uses more than one
GOLDMAN SACHS & CO. LLC			
(c) Primary business name of cus GOLDMAN SACHS & CO. LLC	todian:		
•		private fund's assets (city, state and country):	
City: NEW YORK	State: New York	Country: United States	
	HOW FOR	Simod States	Yes N
(e) Is the custodian a related pers	on of your firm?		0 6
(f) If the custodian is a broker-dea 8 - 129	ller, provide its SEC registration numb	per (if any):	
CRD Number (if any):			

(g)	f the custodian is not a broker-dealer, or is a broker-dealer but does not have	an SEC registration number, provide its <i>legal entity identifier</i> (if any)		
	e answer to question 25.(a) is "yes," respond to questions (b) through g) below odian, you must complete questions (b) through (g) separately for each custo		than o	те
. ,	Legal name of custodian: GOLDMAN SACHS INTERNATIONAL			
	Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL			
	The location of the custodian's office responsible for custody of the private ful			
	•	country: Inited Kingdom		
(e)	s the custodian a <i>related person</i> of your firm?		Yes	No ②
(f)	f the custodian is a broker-dealer, provide its SEC registration number (if any):		
	CRD Number (if any):			
	f the custodian is not a broker-dealer, or is a broker-dealer but does not have W22LROWP2IHZNBB6K52	an SEC registration number, provide its legal entity identifier (if any)		
(b)	Legal name of custodian:	dian.		
(c)	J.P. MORGAN SECURITIES LLC Primary business name of custodian: J.P. MORGAN SECURITIES LLC			
(d)	The location of the custodian's office responsible for custody of the private full	nd's assets (city, state and country):		
	City: State: NEW YORK New York	Country: United States		
			Yes	No
(e)	s the custodian a <i>related person</i> of your firm?		0	•
	f the custodian is a broker-dealer, provide its SEC registration number (if any 3 - 35008):		
	CRD Number (if any): 79			
(g)	f the custodian is not a broker-dealer, or is a broker-dealer but does not have	an SEC registration number, provide its legal entity identifier (if any)		
	e answer to question 25.(a) is "yes," respond to questions (b) through g) belowed and any output complete questions (b) through (g) separately for each custom		than o	те
	Legal name of custodian: J.P. MORGAN SECURITIES PLC			
	Primary business name of custodian: J.P. MORGAN SECURITIES PLC			
	The location of the custodian's office responsible for <i>custody</i> of the <i>private full</i>	calle accepte (city, etate and accepts).		

	City: Stat	te:	Country:	
	LONDON		United Kingdom	
			Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?		C	•
				•
(£)	If the quetadian is a broker dealer provide its CCC	registration number (if or		
(f)	If the custodian is a broker-dealer, provide its SEC	z registration number (ii ar	yy).	
	-			
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a broker-	ker-dealer but does not hav	ve an SEC registration number, provide its legal entity identifier (if any)	
	K6Q0W1PS1L1O4IQL9C32			
If the	e answer to question 25.(a) is "yes," respond to qu	uestions (b) through g) bel	ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than c	one
	odian, you must complete questions (b) through (
(b)	Legal name of custodian:			
11	JEFFERIES LLC			
(0)	Primary business name of custodian:			
11	JEFFERIES LLC			
	JETT ENIEG LEG			
	-			
	The location of the custodian's office responsible			
	City:	State:	Country:	
	NEW YORK	New York	United States	
			Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?		0	\odot
(f)	If the custodian is a broker-dealer, provide its SEC	C registration number (if ar	v):	
	8 - 15074	·	•	
	CRD Number (if any):			
	2347			
(a)	If the quetadian is not a broker dealer, or is a broke	var daalar hut daas not hav	ve an SEC registration number, provide its legal entity identifier (if any)	
(9)	il the custodian is not a broker-dealer, or is a broker-	der-dealer but does not na	re all SEC registration number, provide its regal entity identifier (if ally)	
			ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than c	one
cust	odian, you must complete questions (b) through (g) separately for each cus	todian.	
11	Legal name of custodian:			
	JPMORGAN CHASE BANK, N.A.			
(c)	Primary business name of custodian:			
	JPMORGAN CHASE BANK, N.A.			
(d)	The location of the custodian's office responsible	for custody of the private f	und's assets (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	
				No
(e)	Is the custodian a <i>related person</i> of your firm?			_
			О	⊙
(f)	If the custodian is a broker-dealer, provide its SEC	registration number (if ar	y):	
	-			
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a brok	ker-dealer but does not hav	ve an SEC registration number, provide its legal entity identifier (if any)	
	7H6GLXDRUGQFU57RNE97			

	e answer to question 25.(a) is "yes," respond to odian, you must complete questions (b) throug	. , , ,		stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	tnan c	ne
(b)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL					
(c)	Primary business name of custodian: MERRILL LYNCH INTERNATIONAL					
(d)	The location of the custodian's office responsi	ible for <i>custody</i> of the <i>priva</i>	te fund's assets (cit	ty, state and country):		
	City: LONDON	State:	Country: United Kingdor	m		
(e)	Is the custodian a related person of your firm?				Yes O	No ⊙
(f)	If the custodian is a broker-dealer, provide its \$	SEC registration number (if	f any):			
	CRD Number (if any):					
(g)	If the custodian is not a broker-dealer, or is a b	broker-dealer but does not	have an SEC regis	stration number, provide its legal entity identifier (if any)		
cus	odian, you must complete questions (b) throug			stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	than o	ne
(D)	Legal name of custodian: MERRILL LYNCH PROFESSIONAL CLEARING	G CORP.				
(c)	Primary business name of custodian: MERRILL LYNCH PROFESSIONAL CLEARING	G CORP.				
(d)	The location of the custodian's office responsi	-	te fund's assets (cit			
	City: NEW YORK	State: New York		Country: United States		
(e)	Is the custodian a related person of your firm?				Yes O	No ⊙
	If the custodian is a broker-dealer, provide its \$8 - 33359	SEC registration number (if	f any):			
	CRD Number (if any): 16139					
(g)	If the custodian is not a broker-dealer, or is a b	broker-dealer but does not	have an SEC regis	stration number, provide its legal entity identifier (if any)		
	e answer to question 25.(a) is "yes," respond to odian, you must complete questions (b) throug			stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more	than o	ne
(b)	Legal name of custodian: MORGAN STANLEY & CO INTERNATIONAL P	rLC				
(c)	Primary business name of custodian: MORGAN STANLEY & CO INTERNATIONAL P	LC				
(d)	The location of the custodian's office responsi	-	•	ty, state and country):		
	City: LONDON	State:	Country: United Kingdor	m		
, .	to the excellent of the first o				Yes	
(4)	Is the custodian a related person of your firm?				0	-

(f)	If the custodian is a broker-dealer, provide its SE	C registration number (if any):		
	CDD Number (if any)			
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a broker-dealer	ker-dealer but does not have an SEC regis	stration number, provide its legal entity identifier (if any)	
	4PQUHN3JPFGFNF3BB653			
If th	ne answer to question 25.(a) is "yes," respond to q	uestions (b) through g) below for each cus	stodian the private fund uses. If the private fund uses more that	n one
cus	todian, you must complete questions (b) through	(g) separately for each custodian.		
<i>(</i> 1.)				
(D)	Legal name of custodian: MORGAN STANLEY & CO. LLC			
(c)	Primary business name of custodian:			
	MORGAN STANLEY & CO. LLC			
(4)	The location of the custodian's office responsible	for custody of the private fund's accets (ci	thy state and country):	
(u)	The location of the custodian's office responsible City:	State:	ty, state and country): Country:	
	NEW YORK	New York	United States	
			Ye	es No
(e)	Is the custodian a related person of your firm?		C	•
(f)	If the custodian is a broker-dealer, provide its SE 8 - 15869	C registration number (if any):		
	CRD Number (if any):			
	8209			
(g)	If the custodian is not a broker-dealer, or is a broker-dealer	ker-dealer but does not have an SEC regi	stration number, provide its legal entity identifier (if any)	
(g)	If the custodian is not a broker-dealer, or is a broker-dealer	ker-dealer but does not have an SEC regi	stration number, provide its legal entity identifier (if any)	
(g)	If the custodian is not a broker-dealer, or is a broker-dealer	ker-dealer but does not have an SEC regi	stration number, provide its legal entity identifier (if any)	
			stration number, provide its <i>legal entity identifier</i> (if any)	n one
If th		uestions (b) through g) below for each cus		n one
If th	ne answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through	uestions (b) through g) below for each cus		n one
If th	ne answer to question 25.(a) is "yes," respond to q	uestions (b) through g) below for each cus		n one
If th	ne answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through Legal name of custodian:	uestions (b) through g) below for each cus		n one
If the customer (b)	ne answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through the Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian:	uestions (b) through g) below for each cus		n one
If the customer (b)	ne answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through to Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC.	uestions (b) through g) below for each cus		n one
If the cuss (b)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through the Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC.	uestions (b) through g) below for each cus	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than	n one
If the cuss (b)	ne answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through the Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible	uestions (b) through g) below for each cus	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than	n one
If the cuss (b)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through the Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC.	uestions (b) through g) below for each cus (g) separately for each custodian.	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than	n one
If the cuss (b)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City:	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (cistate:	ty, state and country): Country: United States	n one
If the cuss (b)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City:	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (cistate:	ty, state and country): Country: United States	es No
If the cuss (b)	ne answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through todian, you must complete questions (b) through the Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a related person of your firm?	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York	ty, state and country): Country: United States	es No
If the cuss (b)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a related person of your firm?	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York	ty, state and country): Country: United States	es No
If the cuss (b) (c) (d)	ne answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SE 8 - 15255	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York	ty, state and country): Country: United States	es No
If the cuss (b) (c) (d)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a related person of your firm?	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York	ty, state and country): Country: United States	es No
If the cuss (b) (c) (d)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC 8 - 15255 CRD Number (if any):	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York	ty, state and country): Country: United States	es No
If the cuss (b) (c) (d) (e) (f)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC 8 - 15255 CRD Number (if any): 4297	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York C registration number (if any):	ty, state and country): Country: United States	es No
If the cuss (b) (c) (d) (e) (f)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC 8 - 15255 CRD Number (if any): 4297	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York C registration number (if any):	ty, state and country): Country: United States	es No
If the cuss (b) (c) (d) (e) (f)	ne answer to question 25.(a) is "yes," respond to questions, you must complete questions (b) through a Legal name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL, INC. The location of the custodian's office responsible City: NEW YORK Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC 8 - 15255 CRD Number (if any): 4297	uestions (b) through g) below for each cus (g) separately for each custodian. for custody of the private fund's assets (ci State: New York C registration number (if any):	ty, state and country): Country: United States	es No

custodian, you must complete questions (b) through (g) separately for each custodian.

(b) Legal name of custodian:

(c)	Primary business name of custodia PERSHING LLC	an:				
(d)	The location of the custodian's office City:	te responsible for <i>custody</i> of the <i>priva</i> State:	ate fund's assets (city, state and co Country			
	JERSEY CITY	New Jersey	United			
					Yes	No
(e)	Is the custodian a related person of	f your firm?			0	•
(f)	If the custodian is a broker-dealer, p 8 - 17574 CRD Number (if any):	provide its SEC registration number	(if any):			
	7560					
(g)	If the custodian is not a broker-deal	er, or is a broker-dealer but does no	t have an SEC registration number	r, provide its legal entity identifier (if any)		
	ne answer to question 25.(a) is "yes," stodian, you must complete questions			rate fund uses. If the private fund uses more t	han o	ne
(b)	Legal name of custodian: SOCIETE GENERALE BANK & TRU	JST				
(c)	Primary business name of custodia SOCIETE GENERALE BANK & TRU					
(d)	The location of the custodian's office			puntry):		
	City: PARIS	State:	Country: France			
	PARIS		France		Yes	No
(e)	Is the custodian a related person of	f your firm?			0	•
(f)	If the custodian is a broker-dealer, p	provide its SEC registration number	(if any):			
	- CRD Number (if any):					
(g)	If the custodian is not a broker-deal	er, or is a broker-dealer but does no	t have an SEC registration number	r, provide its legal entity identifier (if any)		
	ne answer to question 25.(a) is "yes, stodian, you must complete questions		-	rate fund uses. If the private fund uses more t	han o	ne
(b)	Legal name of custodian: STATE STREET BANK AND TRUST	COMPANY				
(c)	Primary business name of custodia STATE STREET BANK AND TRUST					
(d)	The location of the custodian's office City:	ce responsible for <i>custody</i> of the <i>priva</i>	ate fund's assets (city, state and co	• •		
	BOSTON	Massachusetts	United S			
					Yes	No
(6)						
(6)	Is the custodian a related person of	f your firm?			0	⊙

PERSHING LLC

CRD Number (if any):

	answer to question 25.(a) is "yes," respond t dian, you must complete questions (b) throu		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses mon	e than o	ne
	egal name of custodian: UNTRUST BANK				
' '	rimary business name of custodian: UNTRUST BANK				
(d) T	ne location of the custodian's office respons	ible for <i>custody</i> of the <i>private fund</i> 's	assets (city, state and country):		
		State:	Country:		
A	TLANTA	Georgia	United States	Yes	No
(e) Is	the custodian a related person of your firm?	?		O	•
(f) If	the custodian is a broker-dealer, provide its	SEC registration number (if any):			
С	RD Number (if any):				
	the custodian is not a broker-dealer, or is a DOJBGJWY9T8XKCSX06	broker-dealer but does not have an	SEC registration number, provide its legal entity identifier (if any)		
	answer to question 25.(a) is "yes," respond t dian, you must complete questions (b) throu		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses morn.	e than o	ne
	egal name of custodian: HE BANK OF NEW YORK MELLON				
' '	rimary business name of custodian: HE BANK OF NEW YORK MELLON				
(d) T	ne location of the custodian's office responsi	ible for <i>custody</i> of the <i>private fund</i> 's	assets (city, state and country):		
	ity:	State:	Country:		
N	EW YORK	New York	United States	V	NI-
(e) Is	the custodian a <i>related person</i> of your firm?	>		Yes O	⊙
(f) If	the custodian is a broker-dealer, provide its	SEC registration number (if any):			
С	RD Number (if any):				
	the custodian is not a broker-dealer, or is a	broker-dealer but does not have an	SEC registration number, provide its legal entity identifier (if any)		
	answer to question 25.(a) is "yes," respond t dian, you must complete questions (b) throu		each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses morn.	e than o	ne
(b) I	edal name of custodian:				

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)

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UBS AG

UBS AG

(c) Primary business name of custodian:

	ity:	State:	Country:
	NODNC		United Kingdom
			Yes
e) Is	the custodian a related person	of your firm?	0
f) If	the custodian is a broker-dealer,	provide its SEC registration nu	umber (if any):
C	RD Number (if any):		
	the custodian is not a broker-dea	aler, or is a broker-dealer but d	loes not have an SEC registration number, provide its legal entity identifier (if any)
	answer to question 25.(a) is "yes dian, you must complete questio		ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than our each custodian.
	egal name of custodian: BS SECURITIES LLC		
	rimary business name of custod BS SECURITIES LLC	ian:	
(d) Ti	ne location of the custodian's off	ice responsible for <i>custody</i> of tl	he private fund's assets (city, state and country):
	ity: EW YORK	State: New York	Country: United States
14	LW TORK	New Fork	Yes
(e) Is	the custodian a related person	of your firm?	0
8 C	the custodian is a broker-dealer, - 22651 RD Number (if any): 654	provide its SEC registration nu	umber (if any):
76			
	the custodian is not a broker-dea	aler, or is a broker-dealer but d	loes not have an SEC registration number, provide its legal entity identifier (if any)
(g) If		," respond to questions (b) thro	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than o
(g) If If the acustod (b) Le	answer to question 25.(a) is "yes	s," respond to questions (b) throns (b) throns (b) through (g) separately fo	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than o
(g) If If the a custod (b) Le W	answer to question 25.(a) is "yes dian, you must complete questio egal name of custodian:	e," respond to questions (b) throns (b) throns (b) through (g) separately for contact the contact of the contact through (g) separately for contact through	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than o
(g) If If the acustod (b) Le W	answer to question 25.(a) is "yes dian, you must complete questio egal name of custodian: ELLS FARGO SECURITIES, LLC rimary business name of custod ELLS FARGO SECURITIES, LLC	i," respond to questions (b) throns (b) throns (b) through (g) separately for the control of the	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than o
(g) If If the acustod (b) Le W (c) P W (d) TI	answer to question 25.(a) is "yes dian, you must complete question again name of custodian: (ELLS FARGO SECURITIES, LLC) rimary business name of custod (ELLS FARGO SECURITIES, LLC) are location of the custodian's offity:	i.," respond to questions (b) throns (b) throns (b) through (g) separately for careful for	bough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or each custodian. The private fund's assets (city, state and country): Country:
(g) If If the acustod (b) Le W (c) P W (d) TI	answer to question 25.(a) is "yes dian, you must complete question egal name of custodian: (ELLS FARGO SECURITIES, LLC) rimary business name of custod (ELLS FARGO SECURITIES, LLC) are location of the custodian's off	e," respond to questions (b) throus (b) throus (b) through (g) separately for careful (c) ian:	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or each custodian. The private fund's assets (city, state and country):
(g) If If the acustod (b) Le W (c) Pi W (d) Ti Ci	answer to question 25.(a) is "yes dian, you must complete question again name of custodian: (ELLS FARGO SECURITIES, LLC) rimary business name of custod (ELLS FARGO SECURITIES, LLC) are location of the custodian's offity:	i," respond to questions (b) throns (b) throns (b) through (g) separately for ian: ice responsible for <i>custody</i> of the State: North Carolina	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or each custodian. the <i>private fund's</i> assets (city, state and country): Country: United States
(g) If If the acustod (b) Le W (c) Pi W (d) Ti Ci Ci (e) Is	answer to question 25.(a) is "yes dian, you must complete question egal name of custodian: (ELLS FARGO SECURITIES, LLC) rimary business name of custod (ELLS FARGO SECURITIES, LLC) are location of the custodian's off lity: HARLOTTE the custodian a related person of the custodian is a broker-dealer,	is," respond to questions (b) through (g) separately for constant in the const	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or each custodian. the <i>private fund's</i> assets (city, state and country): Country: United States Yes
(g) If If the acustoo (b) Le W (c) Pl W (d) TI Ci Ci (e) Is (f) If 8 C	answer to question 25.(a) is "yes dian, you must complete question egal name of custodian: (ELLS FARGO SECURITIES, LLC) rimary business name of custod (ELLS FARGO SECURITIES, LLC) are location of the custodian's off ity: HARLOTTE the custodian a related person of	is," respond to questions (b) through (g) separately for constant in the const	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than or each custodian. the <i>private fund's</i> assets (city, state and country): Country: United States Yes

Administrator		
		Yes I

26. (a) Does the private fund use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information :	2 Record(s) Filed.		
If the answer to question 26.(a) is "yes questions (b) through (f) separately fo	s," respond to questions (b) through (f) below. If reach administrator.	the <i>private fund</i> uses more than one admin	istrator, you must complete
(b) Name of administrator: HARMONIC FUND SERVICES			
(c) Location of administrator (city, star			
City: GRAND CAYMAN	State:	Country: Cayman Islands	
			Yes No
(d) Is the administrator a related pers	on of your firm?		0 0
(e) Does the administrator prepare an	nd send investor account statements to the prin	vate fund's investors?	
Yes (provided to all investors)	C Some (provided to some but not all investor	s) O No (provided to no investors)	
	"no" or "some," who sends the investor account of the) private fund's investors, respond "not		's investors? If investor account
questions (b) through (f) separately fo	s," respond to questions (b) through (f) below. If reach administrator.	the <i>private fund</i> uses more than one admin	istrator, you must complete
(b) Name of administrator: THE BANK OF NEW YORK MELLO	ON CORPORATION		
THE BANK OF NEW TORK WILLES	on on their		
(c) Location of administrator (city, state	te and country):		
City: SOMERSET	State: New Jersey	Country: United States	
SOMENSET	New Jersey	Officed States	Yes No
(d) Is the administrator a related pers	son of your firm?		0 0
(e) Does the administrator prepare as	nd send investor account statements to the <i>pri</i> n	vate fund's investors?	
	C Some (provided to some but not all investor		
(f) If the answer to question 26.(e) is	"no" or "some," who sends the investor accoun		's investors? If investor account
	st of the) private fund's investors, respond "not	applicable."	

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

Yes No

 \circ

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

0	- 6
2. /	- 20

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

		No Infor	mation Filed	
A. P	RIVAT	TE FUND		
Info	rmatio	on About the <i>Private Fund</i>		
1.	(a)	Name of the private fund:		
		ELLIOTT INTERMEDIATE CO-INVESTMENT I L.P.		
	. ,	Private fund identification number:		
		(include the "805-" prefix also) 805-9260775661		
		005-920077300T		
2.	Und	er the laws of what state or country is the <i>private fund</i> organized:		
		State: Country:		
		Cayman Islands		
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in	a similar capacity):	
	Nar	ne of General Partner, Manager, Trustee, or Director		
	ELL	LIOTT INTERMEDIATE CO-INVESTMENT GP L.P.		
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) to	hat sponsor(s) or manage(s) this <i>private fund</i> .	
	Fili	ng Adviser/Relying Adviser Name		
	ELL	LIOTT INVESTMENT MANAGEMENT L.P.		
5.	List	the name and country, in English, of each foreign financial regulatory authority with	which the <i>private fund</i> is registered.	
		No Informa	ation Filed	
			Ye	s No
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	©	0
	(b)	If yes, what is the name and private fund identification number (if any) of the feeder	funds investing in this private fund?	
	Nar	ne of <i>private fund</i>	Private fund identification number	
	ELL	LIOTT CO-INVESTMENT A-1 L.P.	805-4069205558	
	ELL	LIOTT CO-INVESTMENT A-2 L.P.	805-5204685812	
	ELL	LIOTT CO-INVESTMENT A-3 L.P.	805-5319852086	
	ELL	LIOTT CO-INVESTMENT A-4 L.P.	805-5607893568	
			Yes	s No
		Is this a "feeder fund" in a master-feeder arrangement?	C	•
		If yes, what is the name and <i>private fund</i> identification number (if any) of the maste	fund in which this private fund invests?	
		Name of private fund:		
		Drivete fund identification number		
		Private fund identification number: (include the "805-" prefix also)		
		TE: You must complete question 6 for each master-feeder arrangement regardless ngement or reporting on the funds separately.	of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feed	der
7.		ou are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement ar	ocording to the instructions to this Section 7.B.(1), for each of the feeder funds an	nswer
	Add	ditional Feeder Fund Information : 4 Record(s) Filed.		

7.	-	re filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder inswer the following questions:
		Name of the private fund:
	(a)	ELLIOTT CO-INVESTMENT A-1 L.P.
		ELLIOTT CO-INVESTMENT A-T L.P.
	(b)	Private fund identification number: (include the "805-" prefix also)
		805-4069205558
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:
		State: Country:
		Cayman Islands
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	(-) ()	Name of General Partner, Manager, Trustee or Director
		ELLIOTT CO-INVESTMENT GP LLC
	(4) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	(u) (z)	Filing Adviser/Relying Adviser Name
		ELLIOTT INVESTMENT MANAGEMENT L.P.
		ELLIOTT INVESTMENT MANAGEMENT E.T.
	(e)	The private fund (check all that apply; you must check at least one):
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
	(6)	
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
		No Information Filed
7.	-	re filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder inswer the following questions:
	(a)	Name of the private fund:
	(/	ELLIOTT CO-INVESTMENT A-2 L.P.
	(b)	Private fund identification number:
	,	(include the "805-" prefix also)
		805-5204685812
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:
		State: Country:
		Cayman Islands
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
		Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC
		ELLIOTT CO-INVESTIMENT GP LLC
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
		Filing Adviser/Relying Adviser Name
		ELLIOTT INVESTMENT MANAGEMENT L.P.
	(e)	The private fund (check all that apply; you must check at least one):
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
		No Information Filed

7.	-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder
		answer the following questions:
	(a)	Name of the private fund:
		ELLIOTT CO-INVESTMENT A-3 L.P.
	(b .)	Drivete fixed identification a websay
	(b)	Private fund identification number: (include the "805-" prefix also)
		805-5319852086
		005-5319052000
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	(0)	State: Country:
		Cayman Islands
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):
		Name of General Partner, Manager, Trustee or Director
		ELLIOTT CO-INVESTMENT GP LLC
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	, , , ,	Filing Adviser/Relying Adviser Name
		ELLIOTT INVESTMENT MANAGEMENT L.P.
	(0)	The <i>private fund</i> (check all that apply; you must check at least one):
	(e)	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the investment company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the investment Company Act of 1940
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	(1)	No Information Filed
		No information riled
7	16	our filing a circula Cabadula D. Castian 7.D.(4) for a master fooder arrangement according to the instructions to this Castian 7.D.(4) for each of the fooder
7.	-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions:
	(a)	Name of the private fund:
	(α)	ELLIOTT CO-INVESTMENT A-4 L.P.
		ELLIOTT CO-INVESTIMENT A-4 E.F.
	(b)	Private fund identification number:
	(5)	(include the "805-" prefix also)
		805-5607893568
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:
		State: Country:
		Cayman Islands
		Cayman Islands
	(d) (1)	Cayman Islands Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	(d) (1)	
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director
		Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC
		Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC
		Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
		Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name
	(d) (2)	Name (s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.
		Name (s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filling an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. The <i>private fund</i> (check all that apply; you must check at least one):
	(d) (2)	Name (s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(d) (2)	Name (s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filling an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. The <i>private fund</i> (check all that apply; you must check at least one):

No Information Filed

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or and each class (or series) invests substantially all of its assets in a single master fund.	-	
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of vehicles.	C wheth	_
	they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	\circ
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	O	
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund: CO-INVESTMENTS	NT	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 668,379,322		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 10,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documen fund).	ts of th	1e
13.	Approximate number of the <i>private fund's</i> beneficial owners: 56		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 3%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 51%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 1%		
You	ur Advisory Services	Vaa	Na
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	⊙ 140
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," question blank.		
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) leave this question blank.	is "no,	"
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•

NOTE: For purposes of this question, do not consider feeder funds of the private fund.

	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Priv	ate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye:	s No
22.	If yes, provide the <i>private fund</i> 's Form D file number (if any):		
	Form D file number 021-345921		
B. SE	RVICE PROVIDERS		
<u>Aud</u>	<u>itors</u>	Ye	s No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	e	
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complet questions (b) through (f) separately for each auditing firm.	te	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must comp questions (b) through (f) separately for each auditing firm.	lete	
	(b) Name of the auditing firm: GRANT THORNTON LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country: NEW YORK New York United States		
	NEW FORK New Folk Office States	Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	_	
	(c) is the additing initi registered with the rabine company recoding governing oversight board:	⊙	
	If yes, Public Company Accounting Oversight Board-Assigned Number:		
	248		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Vο	s No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	e	
	(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified opinions?	~	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.		
Prim	ne Broker		
		Ye	s No
24.	(a) Does the <i>private fund</i> use one or more prime brokers? If the answer to question 24 (a) is "yes " respond to questions (b) through (c) below for each prime broker the private fund uses. If the private fund uses more	C than	
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more prime broker, you must complete questions (b) through (e) separately for each prime broker.	uidíi	ЭПС
	No Information Filed		

o. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one					
	custodian, you must complete questions (b) through (g) separately for each custodian.					
	No Information Filed					
mini	strator					
		Y	'es			
(a)	Does the <i>private fund</i> use an administrator other than your firm? If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete of (b) through (f) separately for each administrator.		⊙ stion:			
	Additional Administrator Information : 1 Record(s) Filed.					
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.	•				
	(b) Name of administrator: HARMONIC FUND SERVICES					
	(c) Location of administrator (city, state and country):					
	City: State: Country: GRAND CAYMAN Cayman Islands					
		es	No			
	(d) Is the administrator a <i>related person</i> of your firm?	>	•			
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)					
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) <i>private fund's</i> investors? If investor account statements are not sent to the (rest of the) <i>private fund's</i> investors, respond "not applicable."	oun	t			
0% Inc val	ring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not your <i>related perso</i> lude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and uation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by secon.	(ii) t				
ket	<u>ers</u>	Υ	'es			
(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the person acts are also than the person acts are also the person acts are also the person		⊙ answ			
	to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer the private questions (b) through (g) separately for each marketer.					
	Additional Marketer Information : 1 Record(s) Filed.					
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . I answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more the one marketer, you must complete questions (b) through (g) separately for each marketer.		е			
	answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more the one marketer, you must complete questions (b) through (g) separately for each marketer.					

Yes No

	004 70400			
	801 - 70103			
	and CRD Number (if any): 149777			
	(e) Location of the marketer's office us	sed principally by the <i>private fund</i> (city,	state and country):	
	City:	State:	Country:	
	PURCHASE	New York	United States	
	(f) Does the marketer market the priv	vate fund through one or more websites	?	Yes No
	(g) If the answer to question 28.(f) is '		nformation Filed	
PRIVA	TE FUND			
ormat	ion About the <i>Private Fund</i>			
(a)	Name of the <i>private fund</i> : ELLIOTT INTERMEDIATE CO-INVESTME	NTILL P		
(b)	Private fund identification number:	.IVI II L.I .		
(2)	(include the "805-" prefix also)			
	805-6051554764			
Un	der the laws of what state or country is the	private fund organized:		
· · ·	State:	Country:		
		Cayman Islands		
	Name(s) of Coneral Partner Manager T			
(a)		rustee, or Directors for <i>persons</i> serving	in a similar capacity).	
	me of General Partner, Manager, Truster	rustee, or Directors (or <i>persons</i> serving	in a similar capacity):	
Na	•	e, or Director	in a similar capacity):	
Na	me of General Partner, Manager, Truste	e, or Director	in a similar capacity):	
Na EL	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the second control of the second co	e, or Director GP L.P.	in a similar capacity): s) that sponsor(s) or manage(s) this private fund.	
Na EL (b)	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the sing Adviser Relying Adviser Name	e, or Director GP L.P.		
Na EL (b)	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the second control of the second co	e, or Director GP L.P.		
Na EL (b)	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the sing Adviser Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P.	e, or Director GP L.P. he filing adviser and/or relying adviser(s		
Na EL (b)	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify to ing Adviser Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P.	e, or Director GP L.P. he filling adviser and/or relying adviser(s	s) that sponsor(s) or manage(s) this <i>private fund</i> .	
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(b) Fill EL	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the sing Adviser Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P. In private fund (check all that apply; you must (1) qualifies for the exclusion from the decoupling (2) qualifies for the exclusion from the decoupling (3) qualifies for the exclusion from the decoupling (4) qualifies for the exclusion from the decoupling (5) qualifies for the exclusion from the decoupling (6) qualifies for the exclusion from the decoupling (7) qualifies for the exclusion from the decoupling (7) qualifies for the exclusion from the decoupling (7) qualifies for the exclusion from the decoupling (8) qualifies for the exclusion from t	e, or Director GP L.P. the filling adviser and/or relying adviser(state the company under set finition of investment company under set finition comp	ction 3(c)(1) of the Investment Company Act of 1940 ction 3(c)(7) of the Investment Company Act of 1940	
(b) Fill EL	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filling an umbrella registration, identify the sing Adviser/Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P. In private fund (check all that apply; you must see private fund the exclusion from the december of the exclusion from the exclusion fr	e, or Director GP L.P. the filling adviser and/or relying adviser(s) set check at least one): finition of investment company under set finition of investment company under set on foreign financial regulatory authority were	ction 3(c)(1) of the Investment Company Act of 1940 ction 3(c)(7) of the Investment Company Act of 1940 ith which the <i>private fund</i> is registered.	
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(b) Fill EL The	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the sing Adviser/Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P. In private fund (check all that apply; you must (1) qualifies for the exclusion from the decorate (2) qualifies for the exclusion from the decorate the name and country, in English, of each	e, or Director GP L.P. the filling adviser and/or relying adviser(state check at least one): finition of investment company under set of the filling adviser and finition of investment company under set of the filling adviser and foreign financial regulatory authority with the foreign financial regulatory authority with the filling adviser and foreign financial regulatory authority with the filling adviser and foreign financial regulatory authority with the filling adviser and foreign financial regulatory authority with the filling adviser and foreign financial regulatory authority with the filling adviser and foreign financial regulatory authority with the filling adviser and foreign fillin	ction 3(c)(1) of the Investment Company Act of 1940 ction 3(c)(7) of the Investment Company Act of 1940 ith which the <i>private fund</i> is registered.	Yes
(b) Fill EL The	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the sing Adviser Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P. In private fund (check all that apply; you must (1) qualifies for the exclusion from the decorate (2) qualifies for the exclusion from the decorate the name and country, in English, of each list this a "master fund" in a master-feede	e, or Director GP L.P. the filing adviser and/or relying adviser(state the check at least one): finition of investment company under set in foreign financial regulatory authority with No Information of the company authority with the co	ction 3(c)(1) of the Investment Company Act of 1940 ction 3(c)(7) of the Investment Company Act of 1940 ith which the <i>private fund</i> is registered.	Yes I
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(b) Fill Liss (a) (b) Na EL EL	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the ing Adviser/Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P. In private fund (check all that apply; you must (1) qualifies for the exclusion from the decorate (2) qualifies for the exclusion from the decorate the name and country, in English, of each the name and country, in English, of each list this a "master fund" in a master-feede lifyes, what is the name and private fund the company of the private fund the company of the company of the country in English in a master-feede lifyes, what is the name and private fund the company of the company	e, or Director GP L.P. the filing adviser and/or relying adviser(state the check at least one): finition of investment company under set in foreign financial regulatory authority with No Information of the company authority with the co	ction 3(c)(1) of the Investment Company Act of 1940 ction 3(c)(7) of the Investment Company Act of 1940 ith which the <i>private fund</i> is registered. mation Filed Private fund identification number 805-4877327433 805-7171648257 805-3488760649	•
(b) Fill EL The (a) (b) Na EL EL	me of General Partner, Manager, Trustee LIOTT INTERMEDIATE CO-INVESTMENT If filing an umbrella registration, identify the ing Adviser/Relying Adviser Name LIOTT INVESTMENT MANAGEMENT L.P. In private fund (check all that apply; you must (1) qualifies for the exclusion from the decorate (2) qualifies for the exclusion from the decorate the name and country, in English, of each the name and country, in English, of each list this a "master fund" in a master-feede lifyes, what is the name and private fund the company of the private fund the company of the company of the country in English in a master-feede lifyes, what is the name and private fund the company of the company	e, or Director GP L.P. the filling adviser and/or relying adviser(state) and finition of investment company under set and foreign financial regulatory authority with No Information and the feet and foreign financial regulatory authority with the feet and foreign financial regulatory au	ction 3(c)(1) of the Investment Company Act of 1940 ction 3(c)(7) of the Investment Company Act of 1940 ith which the <i>private fund</i> is registered. mation Filed Private fund identification number 805-4877327433 805-7171648257 805-3488760649	_

Private fund identification number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer

e following	g questions:
Additional I	Feeder Fund Information : 4 Record(s) Filed.
	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions:
(a)	Name of the private fund:
	ELLIOTT CO-INVESTMENT B-1 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-4877327433
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country: Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(4) (0)	If filling an unabunity we district in identify the filling advisor and/or web in a palvisor/oldb at an analysis of a part of the filling and in a palvisor of the filling and the f
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	ELLIOTT INVESTIMENT MANAGEMENT E.T.
(e)	The private fund (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	 ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 5(c)(7) of the investment company Act of 1340
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions:
(a)	Name of the <i>private fund</i> :
, ,	ELLIOTT CO-INVESTMENT B-2 L.P.
(b)	Private fund identification number:
(5)	(include the "805-" prefix also)
	805-7171648257
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

Filing Adviser/Relying Adviser Name

	(e)	The private fund (check all that apply; you must check at least one):
	(-)	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
		No Information Filed
7.	If you a	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder
	funds a	answer the following questions:
	(a)	Name of the private fund:
		ELLIOTT CO-INVESTMENT B-3 L.P.
	(b)	Private fund identification number: (include the "805-" prefix also)
		805-3488760649
		000-3400700043
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	(-)	State: Country:
		Cayman Islands
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):
		Name of General Partner, Manager, Trustee or Director
		ELLIOTT CO-INVESTMENT GP LLC
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
		Filing Adviser/Relying Adviser Name
		Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.
	(e)	
	(e)	ELLIOTT INVESTMENT MANAGEMENT L.P.
	(e)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one):
	(e)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(e) (f)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
		ELLIOTT INVESTMENT MANAGEMENT L.P. The <i>private fund</i> (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
		The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
		The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
		The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
7.	(f)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
7.	(f) If you a funds a	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions:
7.	(f)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund:
7.	(f) If you a funds a	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions:
7.	(f) If you a funds a (a)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P.
7.	(f) If you a funds a	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P. Private fund identification number:
7.	(f) If you a funds a (a)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P.
7.	(f) If you a funds a (a)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P. Private fund identification number: (include the "805-" prefix also)
7.	(f) If you a funds a (a)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P. Private fund identification number: (include the "805-" prefix also)
7.	(f) If you a funds a (a)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P. Private fund identification number: (include the "805-" prefix also)
7.	(f) If you a funds a (a)	ELLIOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one):
7.	(f) If you a funds a (a)	The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion for the Investment Com
7.	(f) If you a funds a (a)	The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P. Private fund identification number: (include the "805-" prefix also) 805-7945337513 Under the laws of what state or country is the private fund organized: State: Country:
7.	(f) If you a funds a (a) (b)	The private fund (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P. Private fund identification number: (include the "805-" prefix also) 805-7945337513 Under the laws of what state or country is the private fund organized: State: Country:
7.	(f) If you a funds a (a) (b)	ELICOTT INVESTMENT MANAGEMENT L.P. The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder answer the following questions: Name of the private fund: ELLIOTT CO-INVESTMENT B-4 L.P. Private fund identification number: (include the "805-" prefix also) 805-7945337513 Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands

ELLIOTT INVESTMENT MANAGEMENT L.P.

	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:		
		Filing Adviser/Relying Adviser Name		
		ELLIOTT INVESTMENT MANAGEMENT L.P.		
				rests, s No ther
	(e)	The <i>private fund</i> (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
		(), ()	Yes C wheth C Yes C	
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
	("master fun	ourposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a singular. Description of their assets in a singular process of the squestion of the squeet of the squestion of the squestion of the squeet	_	
	and each cla	ass (or series) invests substantially all of its assets in a single master fund.		
			Yes	No
3.	(a) Is this p	rivate fund a "fund of funds"?	0	\odot
	-	urposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of	wheth	er
	-	private funds or registered investment companies.		
	(b) If yes, do	pes the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	\circ
			Yes	No
).		ast fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other market funds," to the extent provided in Instruction 6.e.)?	0	•
0.	What type of	fund is the private fund?		
	C hedge fu	nd igcirc liquidity fund igcirc private equity fund igcirc real estate fund igcirc securitized asset fund igcirc venture capital fund igcirc Other <i>private fund</i> : CO-INVESTME	NT	
	NOTE: For o	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
1.	Current gros \$ 1,515,964,	s asset value of the <i>private fund</i> : 358		
)w	<u>nership</u>			
2.	Minimum inv	restment commitment required of an investor in the private fund:		
	\$ 10,000,000			
	NOTE: Repo	rt the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documen	ts of t	he
3.	Approximate	number of the <i>private fund's</i> beneficial owners:		
	52			
4.		approximate percentage of the private fund beneficially owned by you and your related persons:		
	3%			
5.	(a) What is 11%	the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		vate fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales and limited to qualified clients?		No O
6.	What is the a	approximate percentage of the private fund beneficially owned by non-United States persons:		
′ ΟΙ	ur Advisory S	<u>ervices</u>		
			Yes	No
7.	(a) Are you	a subadviser to this <i>private fund</i> ?	0	\odot

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this

question blank.						
No Information Filed						
	Ye	s N				
o any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	О	(
	is "n	ο,"				
No Information Filed						
	Ye	s N				
our clients solicited to invest in the private fund?	С	6				
E: For purposes of this question, do not consider feeder funds of the private fund.						
ximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?						
fering						
	Ye	s No				
ne private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	©	C				
provide the <i>private fund's</i> Form D file number (if any):						
345922						
Are the private rund's financial statements subject to an annual addit?	•) (
2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•					
the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete uestions (b) through (f) separately for each auditing firm.	•					
If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete uestions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below.	•					
No Information Filed 18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B. (1).A.3.(b)) advise the private fund? (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question blank. No Information Filed 19. Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. 20. Approximately what percentage of your clients has invested in the private fund? 0% Private Offering 21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? 22. If yes, provide the private fund's Form D file number (if any): Form D file number 021-345922 B. SERVICE PROVIDERS Auditors 23. (a) (1) Are the private fund's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one auditing firm, you requestions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed.	•					
the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	•					
the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm:	•					
the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP	•					
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the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete uestions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: NEW YORK New York United States (d) Is the auditing firm an independent public accountant? If yes, Public Company Accounting Oversight Board-Assigned Number: 248	ete Yes ©	No O				
the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete uestions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: NEW YORK New York United States (d) Is the auditing firm an independent public accountant? If yes, Public Company Accounting Oversight Board-Assigned Number: 248	Yes O	No O				
the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete uestions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: NEW YORK New York United States (d) Is the auditing firm an independent public accountant? If yes, Public Company Accounting Oversight Board-Assigned Number: 248	Yes O	No C C s N				
the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete uestions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: New York New York United States (d) Is the auditing firm an independent public accountant? If yes, Public Company Accounting Oversight Board-Assigned Number: 248 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	ete Yes Yes	No O O				
	No Information Filed to any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund? If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) save this question blank. No Information Filed Dur clients solicited to invest in the private fund? E. For purposes of this question, do not consider feeder funds of the private fund. Extractly what percentage of your clients has invested in the private fund? In private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? In provide the private fund's Form D file number (if any): 10 file number 345922 E PROVIDERS	No Information Filed Yes Yes answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "neave this question blank. No Information Filed Yes Our clients solicited to invest in the private fund? E: For purposes of this question, do not consider feeder funds of the private fund. Sximately what percentage of your clients has invested in the private fund? Itering Yes Yes Yes Yes Itering Yes Yes Itering Yes It				

		Yes	No
24. (a) Does the <i>private fund</i> use one or more prime brokers?	0	•
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more the prime broker, you must complete questions (b) through (e) separately for each prime broker.	an on	е
	No Information Filed		
Custo	odian en		
25. (a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Yes	No ⊙
·	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than custodian, you must complete questions (b) through (g) separately for each custodian.	one	•
	No Information Filed		
<u>Admi</u>	inistrator_		
		Yes	No
26. (a) Does the private fund use an administrator other than your firm? If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions.	© estion	O
	(b) through (f) separately for each administrator.	estioi	13
	Additional Administrator Information : 1 Record(s) Filed.		
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of administrator: HARMONIC FUND SERVICES		
	(c) Location of administrator (city, state and country):		
	City: State: Country:		
	GRAND CAYMAN Cayman Islands	. No	
	(d) Is the administrator a related person of your firm?	. 140	
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)		
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."	ınt	
27. Г	During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ?	?	
C II V	nclude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by subscriptions.	Yes Sees. If the private fund uses more than one Yes Person administrator, you must complete question one administrator, you must complete Yes No Person Sees Sees Sees Sees Sees Sees Sees See	
<u>Mark</u>	<u>eters</u>	V	
28. (a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes	No
`	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the		

to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you

must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

Prime Broker

a	inswer to question 28.(a) is "yes,"	respond to questions (b) through (g) below for	or each such marketer the private fund uses. If the private	· ·
				Yes No
(k	b) Is the marketer a related person	on of your firm?		O •
(0	c) Name of the marketer: MORGAN STANLEY			
(6	answer to question 28. (a) is "yes," respond to questions (b) through (g) below for each such ma one marketer, you must complete questions (b) through (g) separately for each marketer. (b) Is the marketer a related person of your firm? (c) Name of the marketer: MORGAN STANLEY (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): 801 - 70103 and CRD Number (if any): 149777 (e) Location of the marketer's office used principally by the private fund (city, state and country): City: PURCHASE New York (f) Does the marketer market the private fund through one or more websites? (g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed Name of the private fund: ELLIOTT INTERNATIONAL, L.P. Private fund identification number: include the "805-" prefix also) 305-1428688771	66-):		
(e) Location of the marketer's offic	ce used principally by the private fund (city, sta	ate and country):	
			Country:	
	-	New York	United States	
(f	f) Does the marketer market the	private fund through one or more websites?		Yes No ○ ⊙
) II II			
(ξ	g) If the answer to question 28.(f		remation Filed	
		No Info	ormation Filed	
(inc 805 Under th Stat	elude the "805-" prefix also) 5-1428688771 the laws of what state or country is	s the <i>private fund</i> organized: Country: Cayman Islands er, Trustee, or Directors (or <i>persons</i> serving in	a similar capacity):	
Name o	of General Partner, Manager, Tru	ustee, or Director		
HAMBL	LEDON, INC.			
		tify the filing adviser and/or relying adviser(s)	that sponsor(s) or manage(s) this <i>private fund</i> .	
	Adviser/Relying Adviser Name T MANAGEMENT CORPORATION	N		
EALL, L		•		
	TT ADVISORS (HK) LIMITED			
	TT ADVISORS (UK) LIMITED			
	T ADVISORS ASIA LIMITED			
ELLIOT	T INVESTMENT MANAGEMENT L	P.		
ELLIOT	TT SPECIAL MANAGER, LLC			
EVERG	GREEN COAST CAPITAL CORP.			
<u> </u>		e definition of investment company under sect	ion 3(c)(1) of the Investment Company Act of 1940 ion 3(c)(7) of the Investment Company Act of 1940	

5. List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

Otner - CAY	MAN ISLANDS - CAYMAN ISLANDS MONE FARY AUTHORITY		w.
) Is this a	"master fund" in a master-feeder arrangement?		
	•	of the feeder funds investing in this <i>private fund</i> ?	٠
· · · · · · · · · · · · · · · · · · ·	·	Private fund identification number	
		805-2174036634	
d) If yes, w	hat is the name and <i>private fund</i> identification number (if any)	of the master fund in which this <i>private fund</i> invests?	
		nt regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the mas	ter-feed
		rrangement according to the instructions to this Section 7.B.(1), for each of the feeder fu	unds ans
Other - CAYMAN ISLANDS - CAYMAN ISLANDS MONETARY AUTHORITY Yes No. a) Is this a "master fund" in a master-feeder arrangement? b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? Name of private fund ELLIOTT INTERNATIONAL LIMITED Private fund identification number ELLIOTT INTERNATIONAL LIMITED Other is a "feeder fund" in a master-feeder arrangement? Other is a "feeder fund" in a master-feeder arrangement? Other is a "feeder fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answe he following questions:			
funds a	answer the following questions:	eder arrangement according to the instructions to this Section 7.B.(1), for each of the fee	eder
(a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name of private fund [LLIOTT INTERNATIONAL LIMITED A private fund Common Common			
(b)			
	805-2174036634		
(c)	Under the laws of what state or country is the <i>private fund</i> org	ganized:	
	•	ds	
(d) (1)		or persons serving in a similar capacity):	
(d) (2)	If filing an umbrella registration, identify the filing adviser and	Nor relying adviser(s) that sponsor(s) or manage(s) this private fund:	
	this a "master fund" in a master-feeder arrangement? yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? of private fund TIT INTERNATIONAL IMITED SUB-2174098884		
Other - CAYMAN SLANDS - CAYMAN SLANDS MONETARY AUTHORITY (a) Is this a "master fund" in a master-feeder arrangement? (b) If yee, what is the name and private fund sentification number (if any) of the feeder funds investing in the private fund. Private fund identification number			
(e)	The private fund (check all that apply; you must check at leas	st one):	
	$\hfill\Box$ (1) qualifies for the exclusion from the definition of invest	ment company under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the definition of invest	ment company under section 3(c)(7) of the Investment Company Act of 1940	
(f)	List the name and country, in English, of each foreign financi	ial regulatory authority with which the private fund is registered.	
	Name of Country/English Name of Faraign Financial Bogy	latary Authority	
	Name of Country/English Name of Foreign Financial Regul	atory Authority	

6.

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of they are also <i>private funds</i> or registered investment companies.	whethe	er
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	_	0
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private fund:		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 49,421,776,131		
<u>Owi</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 5,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documen fund).	ts of th	ıe
13.	Approximate number of the <i>private fund</i> 's beneficial owners: 800		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 7%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 23%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 45%		
You	r Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," question blank.	leave	this
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) leave this question blank.	s "no,	"
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		

Yes No

Private Offering

	e number		
-2860	<u>12</u>		_
E PRO	OVIDERS		
		Υ	ľ
1) Are	the private fund's financial statements subject to an annual audit?		
2) If th	e answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?		
	nswer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must completed in the completed in	е	
Additi	onal Auditor Information : 2 Record(s) Filed.		
	e answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must competions (b) through (f) separately for each auditing firm.	lete	
	Name of the auditing firm: GRANT THORNTON		
(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country: GRAND CAYMAN Cayman Islands		
	GRAND CAYMAN Cayman Islands	Yes	
(d)	s the auditing firm an independent public accountant?	·	
		•	
(e)	s the auditing firm registered with the Public Company Accounting Oversight Board?	•	
	f yes, Public Company Accounting Oversight Board-Assigned Number: 5346		
(f)	f "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	
	e answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must competions (b) through (f) separately for each auditing firm.	lete	
	Name of the auditing firm:		
	GRANT THORNTON LLP		
(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	NEW YORK New York United States		
(4)	is the auditing firm an independent public accountant?	Yes	
(a)	s the auditing firm an independent public accountant?	⊙	
(e)	s the auditing firm registered with the Public Company Accounting Oversight Board?	•	
	f yes, Public Company Accounting Oversight Board-Assigned Number: 248		
(f)	f "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	

21. Has the *private fund* ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

	ot Yet Received	
If you check "Report Not Yet	Received," you must promptly file an ame	ndment to your Form ADV to update your response when the report is available.
<u>roker</u>		
		Yes
Does the <i>private fund</i> use or	·	•
	(a) is "yes," respond to questions (b) throu plete questions (b) through (e) separately t	gh (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than of or each prime broker.
Additional Prime Broker Inf	formation : 29 Record(s) Filed.	
	24.(a) is "yes," respond to questions (b) throst complete questions (b) through (e) sepa	ough (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more tha rately for each prime broker.
(b) Name of the prime bro BARCLAYS BANK PLO		
(c) If the prime broker is r	registered with the SEC, its registration nur	nber:
- CRD Number (if any):		
(d) Location of prime brok	ker's office used principally by the <i>private fo</i>	und (city, state and country):
City:	State:	Country:
LONDON		United Kingdom Yes
(e) Does this prime broke	er act as custodian for some or all of the <i>pr</i>	
(b) Name of the prime bro BARCLAYS CAPITAL I		
	registered with the SEC, its registration nur	nber:
8 - 41342 CRD Number (if any):		
19714		
(d) Location of prime brok	ker's office used principally by the <i>private fu</i>	und (citv. state and country):
City:	State:	Country:
NEW YORK	New York	United States
(e) Does this prime broke	er act as custodian for some or all of the <i>pr</i>	ivate fund's assets?
•	24.(a) is "yes," respond to questions (b) throst complete questions (b) through (e) sepa	ough (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more tha rately for each prime broker.
(b) Name of the prime bro		
(c) If the prime broker is r	registered with the SEC, its registration nur	nber:
CRD Number (if any):		
(d) Location of prime brok	ker's office used principally by the <i>private fo</i>	und (city, state and country):
(d) Location of prime brok City: LONDON		<i>und</i> (city, state and country): Country: United Kingdom

(e) Does this prime broker act as custodian for son				No
(-)	ne or all of the <i>private fund</i> 's assets?		•	_
	<u> </u>			О
16 the a resource to recording O4 (a) in these three days		ring barbards arisets foundations. If the principle foundations		
		rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	nore th	an
one prime broker, you must complete questions (b)	through (e) separately for each prime brol	Ker.		
(b) Name of the prime broker:				
BNP PARIBAS				
(c) If the prime broker is registered with the SEC, it	s registration number:			
-				
CRD Number (if any):				
· • • • • • • • • • • • • • • • • • • •				
(d) Location of prime broker's office used principally	by the <i>private fund</i> (city, state and country	'):		
City:	State:	Country:		
NEW YORK	New York	United States		
			Yes	No
(e) Does this prime broker act as custodian for son	ne or all of the private fund's assets?		_	_
(e) Does this prime bloker act as custodian for son	e of all of the private fund's assets:		•	0
If the answer to question 24 (a) is "yes " respond to	guestions (b) through (e) below for each p	rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	nore th	an
one prime broker, you must complete questions (b)				
one prime broker, you must complete questions (b)	anough (c) coparatory for each prime stor			
(b) Name of the prime broker:				
BNP PARIBAS PRIME BROKERAGE INTERNAT	IONAL, LIMITED			
(c) If the prime broker is registered with the SEC, it	s registration number:			
(c) If the prime broker is registered with the SEC, it	s registration number:			
-	s registration number:			
(c) If the prime broker is registered with the SEC, it - CRD Number (if any):	s registration number:			
-	s registration number:			
-	s registration number:			
-		r):		
- CRD Number (if any): (d) Location of prime broker's office used principally	by the <i>private fund</i> (city, state and country			
- CRD Number (if any): (d) Location of prime broker's office used principally City:		Country:		
- CRD Number (if any): (d) Location of prime broker's office used principally	by the <i>private fund</i> (city, state and country			
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN	by the <i>private fund</i> (city, state and country State:	Country:	Yes	: No
- CRD Number (if any): (d) Location of prime broker's office used principally City:	by the <i>private fund</i> (city, state and country State:	Country:	Yes ⊙	. No
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN	by the <i>private fund</i> (city, state and country State:	Country:		_
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN	by the <i>private fund</i> (city, state and country State:	Country:		_
- CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN	by the <i>private fund</i> (city, state and country State:	Country:		_
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets?	Country: Ireland	•	O
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CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each p	Country: Ireland rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	•	O
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CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker:	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each p	Country: Ireland rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	•	O
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CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker:	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime brol	Country: Ireland rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP.	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime brol	Country: Ireland rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime brol	Country: Ireland rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any):	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime brol	Country: Ireland rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime brol	Country: Ireland rime broker the <i>private fund</i> uses. If the <i>private fund</i> uses r	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any): 15794	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime broles registration number:	Country: Ireland rime broker the private fund uses. If the private fund uses recer.	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any):	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime broles registration number:	Country: Ireland rime broker the private fund uses. If the private fund uses recer.	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any): 15794 (d) Location of prime broker's office used principally City:	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund's</i> assets? questions (b) through (e) below for each pthrough (e) separately for each prime broles registration number:	Country: Ireland rime broker the private fund uses. If the private fund uses recer.	•	O
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any): 15794 (d) Location of prime broker's office used principally	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund</i> 's assets? questions (b) through (e) below for each pthrough (e) separately for each prime broken series registration number:	Country: Ireland rime broker the private fund uses. If the private fund uses recer.	•	O
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CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any): 15794 (d) Location of prime broker's office used principally City: NEW YORK	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund</i> 's assets? questions (b) through (e) below for each p through (e) separately for each prime broles registration number: by the <i>private fund</i> (city, state and country State: New York	Country: Ireland rime broker the private fund uses. If the private fund uses recer.	more th	o No
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any): 15794 (d) Location of prime broker's office used principally City:	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund</i> 's assets? questions (b) through (e) below for each p through (e) separately for each prime broles registration number: by the <i>private fund</i> (city, state and country State: New York	Country: Ireland rime broker the private fund uses. If the private fund uses recer.	more th	an
CRD Number (if any): (d) Location of prime broker's office used principally City: DUBLIN (e) Does this prime broker act as custodian for son If the answer to question 24.(a) is "yes," respond to one prime broker, you must complete questions (b) (b) Name of the prime broker: BNP PARIBAS SECURITIES CORP. (c) If the prime broker is registered with the SEC, it 8 - 32682 CRD Number (if any): 15794 (d) Location of prime broker's office used principally City: NEW YORK	by the <i>private fund</i> (city, state and country State: ne or all of the <i>private fund</i> 's assets? questions (b) through (e) below for each p through (e) separately for each prime broles registration number: by the <i>private fund</i> (city, state and country State: New York	Country: Ireland rime broker the private fund uses. If the private fund uses recer.	more th	o No

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than

	CITIBANK, N.A.			
(c)	If the prime broker is registered w	ith the SEC, its registration numb	per:	
	CRD Number (if any):			
(d)	Location of prime broker's office u	sed principally by the <i>private fun</i>	d (city, state and country):	
	City:	State:	Country:	
	LONDON		United Kingdom	Yes
(e)	Does this prime broker act as cus	todian for some or all of the <i>priva</i>	ate fund's assets?	•
	e answer to question 24.(a) is "yes prime broker, you must complete		gh (e) below for each prime broker the <i>private fund</i> tely for each prime broker.	uses. If the <i>private fund</i> uses more tha
	Name of the prime broker: CITICORP SECURITIES SERVICE	ES, INC.		
(c)	If the prime broker is registered w	ith the SEC, its registration numb	per:	
	8 - 32117			
	CRD Number (if any): 15387			
	Location of prime broker's office u			
	City: NEW YORK	State: New York	Country: United States	
	NEW FORM	THOM TOTAL	Simon States	Yes
(e)	Does this prime broker act as cus	todian for some or all of the priva	ate fund's assets?	•
one (b) (c)	prime broker, you must complete Name of the prime broker: CITIGROUP GLOBAL MARKETS I If the prime broker is registered w	questions (b) through (e) separa		uses. If the <i>private fund</i> uses more tha
	8 - 8177 CRD Number (if any): 7059			
	Location of prime broker's office u	ised principally by the <i>private fund</i> State:		
(d)		New York	Country: United States	
(d)	City: NEW YORK			V
(d)	<u>-</u>			Yes
(d)	<u>-</u>	todian for some or all of the priva	ate fund's assets?	res •
(d)	NEW YORK	stodian for some or all of the <i>prive</i>	ate fund's assets?	

one prime broker, you must complete questions (b) through (e) separately for each prime broker.

CITIGROUP GLOBAL MARKETS LIMITED

(d)					
(u)	Location of prime broker's o	office used principally by the priva	ate fund (city state and country).		
	City:	State:	Country:		
	LONDON		United Kingdom	1	
٠,	Dogg this prime broker act	as custodian for some or all of th	a privata fundia acceta?		Yes
<i>=)</i>	Does this prime broker act	as custodian for some or all or th	e private runu's assets:		•
	04 (s)	:- "	A through (a) halou fan anah arin	and harden the material formation of the	
		mplete questions (b) through (e) s		ne broker the <i>private fund</i> uses. If the control of the control o	ne <i>private fund</i> uses more th
o)	Name of the prime broker: CREDIT SUISSE AG				
:)	If the prime broker is regist	ered with the SEC, its registration	n number:		
	CRD Number (if any):				
l)	Location of prime broker's of	office used principally by the <i>priva</i>	ate fund (city, state and country):		
	City: DUBLIN	State	:	Country: Ireland	
	BOBEIN			notana	Yes
:)	Does this prime broker act	as custodian for some or all of th	e private fund's assets?		•
		is "yes," respond to questions (b) mplete questions (b) through (e) s		ne broker the <i>private fund</i> uses. If the	he <i>private fund</i> uses more th
ne	e prime broker, you must cor Name of the prime broker:	mplete questions (b) through (e) s			he <i>private fund</i> uses more th
ne	e prime broker, you must cor	mplete questions (b) through (e) s			he <i>private fund</i> uses more th
ne	Prime broker, you must cor Name of the prime broker: CREDIT SUISSE SECURIT	mplete questions (b) through (e) s	separately for each prime broker		he <i>private fund</i> uses more th
ne	Prime broker, you must cor Name of the prime broker: CREDIT SUISSE SECURIT	mplete questions (b) through (e) s	separately for each prime broker		he <i>private fund</i> uses more th
ne ()	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any):	mplete questions (b) through (e) so the second seco	separately for each prime broker n number: ate fund (city, state and country):		he <i>private fund</i> uses more th
n• ;)	Prime broker, you must core Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any):	mplete questions (b) through (e) so the second seco	separately for each prime broker n number:		he <i>private fund</i> uses more th
) ()	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City:	mplete questions (b) through (e) so the second seco	n number: ate fund (city, state and country): Country:		
))))	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON	mplete questions (b) through (e) so the second seco	n number: ate fund (city, state and country): Country: United Kingdom		
ne ()	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON	mplete questions (b) through (e) so the series (EUROPE) LIMITED rered with the SEC, its registration office used principally by the privates.	n number: ate fund (city, state and country): Country: United Kingdom		Ye
ne(*)))	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON Does this prime broker act	ries (EUROPE) LIMITED rered with the SEC, its registration office used principally by the privation State:	n number: Country: United Kingdom The private fund's assets?		Ye: •
ne(**) tl	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON Does this prime broker act	ries (EUROPE) LIMITED rered with the SEC, its registration office used principally by the privation State:	n number: Country: United Kingdom The private fund's assets?	ne broker the <i>private fund</i> uses. If t	Yes
ne(a)	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON Does this prime broker act	mplete questions (b) through (e) so TIES (EUROPE) LIMITED rered with the SEC, its registration office used principally by the private State: as custodian for some or all of the is "yes," respond to questions (b) mplete questions (b) through (e) so the state of th	n number: Country: United Kingdom The private fund's assets?	ne broker the <i>private fund</i> uses. If t	Yes
(d)	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON Does this prime broker act The answer to question 24.(a) are prime broker, you must core Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist	mplete questions (b) through (e) so TIES (EUROPE) LIMITED rered with the SEC, its registration office used principally by the private State: as custodian for some or all of the is "yes," respond to questions (b) mplete questions (b) through (e) so the state of th	n number: Country: United Kingdom The private fund's assets? through (e) below for each printseparately for each prime broker	ne broker the <i>private fund</i> uses. If t	Yes
d)	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON Does this prime broker act The answer to question 24.(a) be prime broker, you must core Name of the prime broker: CREDIT SUISSE SECURIT	mplete questions (b) through (e) so TIES (EUROPE) LIMITED ered with the SEC, its registration office used principally by the privates State: as custodian for some or all of the is "yes," respond to questions (b) mplete questions (b) through (e) so TIES (USA) LLC	n number: Country: United Kingdom The private fund's assets? through (e) below for each printseparately for each prime broker	ne broker the <i>private fund</i> uses. If t	Yes
d) the state of t	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON Does this prime broker act The answer to question 24.(a) be prime broker, you must core Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist 8 - 422	mplete questions (b) through (e) so TIES (EUROPE) LIMITED ered with the SEC, its registration office used principally by the privates State: as custodian for some or all of the is "yes," respond to questions (b) mplete questions (b) through (e) so TIES (USA) LLC	n number: Country: United Kingdom The private fund's assets? through (e) below for each printseparately for each prime broker	ne broker the <i>private fund</i> uses. If t	Yes
b) d) f the constant of the	Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist CRD Number (if any): Location of prime broker's of City: LONDON Does this prime broker act The answer to question 24.(a) as prime broker, you must core Name of the prime broker: CREDIT SUISSE SECURIT If the prime broker is regist 8 - 422 CRD Number (if any): 816	mplete questions (b) through (e) so TIES (EUROPE) LIMITED ered with the SEC, its registration office used principally by the privates State: as custodian for some or all of the is "yes," respond to questions (b) mplete questions (b) through (e) so TIES (USA) LLC	n number: Country: United Kingdom Perivate fund's assets? Otherwise the private fund's assets for each prime broker of number:	ne broker the <i>private fund</i> uses. If t	Yes

New York

United States

NEW YORK

e) Does			. N
	pes this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	⊙	-
	answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the ime broker, you must complete questions (b) through (e) separately for each prime broker.	e private fund uses more th	nan
•	ame of the prime broker: EUTSCHE BANK AG		
c) If the	the prime broker is registered with the SEC, its registration number:		
- CRD	RD Number (if any):		
l) Loca	ocation of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
City:			
LONI	DNDON United Kingdom	Yes	. K
e) Does	pes this prime broker act as custodian for some or all of the <i>private fund's</i> assets?		
., 2000		<u>•</u>	(
-	ame of the prime broker: EUTSCHE BANK SECURITIES INC. the prime broker is registered with the SEC, its registration number:		
8 - 17	· 17822 RD Number (if any):		
8 - 17 CRD 2525	- 17822 RD Number (if any):		
8 - 17 CRD 2525 Loca	2. 17822 RD Number (if any): 625 625 626 626 626 627 627 628 628 629 629 629 620 620 620 620 620 620 620 620 620 620		
8 - 17 CRD 2525 Loca City:	2. 17822 RD Number (if any): 625 625 626 626 626 627 627 628 628 629 629 629 620 620 620 620 620 620 620 620 620 620	Yas	
8 - 17 CRD 2525) Loca City: NEW	PARD Number (if any): 17822 RD Number (if any): 125 125 126 127 128 129 129 129 129 129 129 129	Yes ⊙	
8 - 17 CRD 2525) Loca City: NEW) Does	RD Number (if any): 225 Coation of prime broker's office used principally by the <i>private fund</i> (city, state and country): 25 26 27 28 29 20 20 20 20 20 20 20 20 20	•	
8 - 17 CRD 2525) Loca City: NEW) Does the ans he prime	RD Number (if any): 125 125 126 127 128 129 129 120 120 120 120 120 120 120 120 120 120	•	
8 - 17 CRD 2525) Loca City: NEW) Does the anse prime	RD Number (if any): 17822 RD Number (if any): 125 Incation of prime broker's office used principally by the <i>private fund</i> (city, state and country): 189: 190: 191: 192: 193: 194: 195: 196: 196: 197: 198: 1	•	
8 - 17 CRD 2525) Loca City: NEW) Does the anse prime GOLI) If the 8 - 12	RD Number (if any): State: Country: United States Description or prime broker act as custodian for some or all of the private fund's assets? RD Number (if any): RD Number (if any):	•	-
8 - 17 CRD 2525) Loca City: NEW) Does the ansie prime GOLI) If the 8 - 12 CRD 361	RD Number (if any): 25 Cation of prime broker's office used principally by the private fund (city, state and country): by: State: Country: EW YORK New York United States Destroyer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the time broker, you must complete questions (b) through (e) separately for each prime broker. CLDMAN SACHS & CO. LLC The prime broker is registered with the SEC, its registration number: 129 RD Number (if any): Cation of prime broker's office used principally by the private fund (city, state and country):	•	-
8 - 17 CRD 2525) Locar City: NEW) Does the ans he prime GOLI) If the 8 - 12 CRD 361) Locar City:	RD Number (if any): 25 Cation of prime broker's office used principally by the private fund (city, state and country): 25 Cation of prime broker's office used principally by the private fund (city, state and country): 26 WYORK New York United States Description of prime broker act as custodian for some or all of the private fund's assets? Answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the time broker, you must complete questions (b) through (e) separately for each prime broker. DLDMAN SACHS & CO. LLC The prime broker is registered with the SEC, its registration number: 2129 RD Number (if any): 31 Cation of prime broker's office used principally by the private fund (city, state and country): 32 Country: 33 Country: 34 Country: 35 Country: 36 Country: 37 Country: 38 Count	•	-
8 - 17 CRD 2525 I) Locar City: NEW the ansine prime GOLI CRD 361 I) Locar City: CRD 361	RD Number (if any): 25 Cation of prime broker's office used principally by the private fund (city, state and country): by: State: Country: EW YORK New York United States Destroyer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the time broker, you must complete questions (b) through (e) separately for each prime broker. CLDMAN SACHS & CO. LLC The prime broker is registered with the SEC, its registration number: 129 RD Number (if any): Cation of prime broker's office used principally by the private fund (city, state and country):	e private fund uses more th	aan
8 - 17 CRD 2525) Locar City: NEW) Does the ans ne prime GOLI) If the 8 - 12 CRD 361) Locar City: NEW	RD Number (if any): 25 Cation of prime broker's office used principally by the private fund (city, state and country): 25 Cation of prime broker's office used principally by the private fund (city, state and country): 26 WYORK New York United States Description of prime broker act as custodian for some or all of the private fund's assets? Answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the time broker, you must complete questions (b) through (e) separately for each prime broker. DLDMAN SACHS & CO. LLC The prime broker is registered with the SEC, its registration number: 2129 RD Number (if any): 31 Cation of prime broker's office used principally by the private fund (city, state and country): 32 Country: 33 Country: 34 Country: 35 Country: 36 Country: 37 Country: 38 Count	•	nar

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than

(b) Name of the prime broker: GOLDMAN SACHS INTERNAT	TONAL		
(c) If the prime broker is registere	d with the SEC, its registration nur	mber:	
CRD Number (if any):			
(d) Location of prime broker's office	ce used principally by the <i>private fo</i>	und (city, state and country):	
City:	State:	Country:	
LONDON		United Kingdom	Yes
(e) Does this prime broker act as	custodian for some or all of the pr	ivate fund's assets?	•
one prime broker, you must compl		ough (e) below for each prime broker the <i>private fund</i> rately for each prime broker.	uses. If the <i>private fund</i> uses more tha
(b) Name of the prime broker: J.P. MORGAN SECURITIES LI	_C		
(c) If the prime broker is registere	d with the SEC, its registration nur	mber:	
8 - 35008			
CRD Number (if any):			
79			
(d) Location of prime broker's office	ce used principally by the <i>private fo</i>	und (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Voc
(e) Does this prime broker act as	custodian for some or all of the pr	ivate fund's assets?	Yes
(6) 2000 1110 primio primio action dot do			•
If the answer to question 24.(a) is one prime broker, you must compl (b) Name of the prime broker: J.P. MORGAN SECURITIES P	ete questions (b) through (e) sepa	ough (e) below for each prime broker the <i>private fund</i> rately for each prime broker.	uses. If the <i>private fund</i> uses more that
(c) If the prime broker is registere	d with the SEC, its registration nu	mber:	
- CRD Number (if any):			
(d) Location of prime broker's office	ce used principally by the <i>private fo</i>	und (city, state and country):	
City:	State:	Country:	
LONDON		United Kingdom	Yes
(e) Does this prime broker act as	custodian for some or all of the pr	ivate fund's assets?	
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		ough (e) below for each prime broker the <i>private fund</i> rately for each prime broker.	uses. If the <i>private fund</i> uses more that
If the answer to question 24.(a) is one prime broker, you must compl			uses. If the <i>private fund</i> uses more tha

one prime broker, you must complete questions (b) through (e) separately for each prime broker.

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If the answer to question 24 (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker. MERRILL LYNCH INTERNATIONAL (c) If the prime broker is registered with the SEC, its registration number:	•	e used principally by the private fund (city	••	
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MERRILL LYNCH INTERNATIONAL (c) If the prime broker is registered with the SEC, its registration number:				private fund uses more than
CRD Number (if any): d) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: LONDON United Kingdom Yes e) Does this prime broker act as custodian for some or all of the private fund's assets? if the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that the prime broker, you must complete questions (b) through (e) separately for each prime broker. b) Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. c) If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 d) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: NEW YORK New York United States Yes	•	NAL		
d) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: LONDON United Kingdom Yes Does this prime broker act as custodian for some or all of the private fund's assets? © The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that the prime broker, you must complete questions (b) through (e) separately for each prime broker. D) Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. C) If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 d) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: NEW YORK New York United States Yes	c) If the prime broker is registered	d with the SEC, its registration number:		
City: State: Country: United Kingdom Yes Does this prime broker act as custodian for some or all of the private fund's assets? (c) If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than the prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. (c) If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 (d) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: NEW YORK New York United States Yes	CRD Number (if any):			
LONDON United Kingdom Yes a) Does this prime broker act as custodian for some or all of the private fund's assets? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that the prime broker, you must complete questions (b) through (e) separately for each prime broker. b) Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. c) If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 d) Location of prime broker's office used principally by the private fund (city, state and country): City: NEW YORK New York United States Yes				
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8 - 33359 CRD Number (if any): 16139 Id) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country: NEW YORK New York United States Yes	one prime broker, you must comple (b) Name of the prime broker:	ete questions (b) through (e) separately fo		private fund uses more than
d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country: NEW YORK New York United States Yes	one prime broker, you must comple b) Name of the prime broker:	ete questions (b) through (e) separately fo		e private fund uses more than
City: State: Country: NEW YORK New York United States Yes	b) Name of the prime broker: MERRILL LYNCH PROFESSIO c) If the prime broker is registered	ete questions (b) through (e) separately fo		e private fund uses more than
NEW YORK New York United States Yes	b) Name of the prime broker: MERRILL LYNCH PROFESSIO c) If the prime broker is registered 8 - 33359 CRD Number (if any):	ete questions (b) through (e) separately fo		e private fund uses more than
Yes	b) Name of the prime broker: MERRILL LYNCH PROFESSIO c) If the prime broker is registered 8 - 33359 CRD Number (if any): 16139	ete questions (b) through (e) separately for NAL CLEARING CORP.	or each prime broker.	e private fund uses more than
a). Doos this prime broker get as suctedian for some or all of the private fund's assets?	b) Name of the prime broker: MERRILL LYNCH PROFESSIO c) If the prime broker is registered 8 - 33359 CRD Number (if any): 16139 d) Location of prime broker's office City:	ete questions (b) through (e) separately for NAL CLEARING CORP. If with the SEC, its registration number: The used principally by the private fund (city State:	r each prime broker. state and country): Country:	e private fund uses more than
e, post the printe state decided in the come of the private fund addition.	b) Name of the prime broker: MERRILL LYNCH PROFESSIO c) If the prime broker is registered 8 - 33359 CRD Number (if any): 16139 d) Location of prime broker's office City:	ete questions (b) through (e) separately for NAL CLEARING CORP. If with the SEC, its registration number: The used principally by the private fund (city State:	r each prime broker. state and country): Country:	
	(b) Name of the prime broker: MERRILL LYNCH PROFESSIO (c) If the prime broker is registered 8 - 33359 CRD Number (if any): 16139 (d) Location of prime broker's office City: NEW YORK	ete questions (b) through (e) separately for NAL CLEARING CORP. If with the SEC, its registration number: The used principally by the private fund (city State: New York	, state and country): Country: United States	Yes I
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b) Name of the prime broker: MORGAN STANLEY & CO INTERNATIONAL PLC	b) Name of the prime broker: MERRILL LYNCH PROFESSIO c) If the prime broker is registered 8 - 33359 CRD Number (if any): 16139 d) Location of prime broker's office City: NEW YORK e) Does this prime broker act as of the answer to question 24.(a) is "yone prime broker, you must complete b) Name of the prime broker: MORGAN STANLEY & CO INTE	ete questions (b) through (e) separately for NAL CLEARING CORP. If with the SEC, its registration number: Be used principally by the <i>private fund</i> (city State: New York Custodian for some or all of the <i>private fund</i> Eves," respond to questions (b) through (e) separately for the private fund (city State).	state and country): Country: United States below for each prime broker the private fund uses. If the	Yes N
(b) Name of the prime broker:	(b) Name of the prime broker: MERRILL LYNCH PROFESSIO (c) If the prime broker is registered 8 - 33359 CRD Number (if any): 16139 (d) Location of prime broker's office City: NEW YORK (e) Does this prime broker act as completed and the prime broker: MORGAN STANLEY & CO INTERIOR (c) If the prime broker is registered and the prime broker is registered and the prime broker: (d) If the prime broker is registered and the prime broker	ete questions (b) through (e) separately for NAL CLEARING CORP. If with the SEC, its registration number: Be used principally by the <i>private fund</i> (city State: New York Custodian for some or all of the <i>private fund</i> Eves," respond to questions (b) through (e) separately for the private fund (city State).	state and country): Country: United States below for each prime broker the private fund uses. If the	Yes I
(b) Name of the prime broker: MORGAN STANLEY & CO INTERNATIONAL PLC (c) If the prime broker is registered with the SEC, its registration number:	b) Name of the prime broker: MERRILL LYNCH PROFESSIO c) If the prime broker is registered 8 - 33359 CRD Number (if any): 16139 d) Location of prime broker's office City: NEW YORK e) Does this prime broker act as of the answer to question 24.(a) is "yone prime broker, you must complete b) Name of the prime broker: MORGAN STANLEY & CO INTE c) If the prime broker is registered - CRD Number (if any):	NAL CLEARING CORP. If with the SEC, its registration number: Be used principally by the <i>private fund</i> (city State: New York Custodian for some or all of the <i>private fund</i> Syes," respond to questions (b) through (external questions (b) through (external questions) (b) through (external questions) (continued to the set of th	or each prime broker. State and country): Country: United States of sassets? Delow for each prime broker the private fund uses. If the price of each prime broker.	Yes N

United Kingdom

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	25 outstand for some of all of the private rand se		<u> </u>
	is "yes," respond to questions (b) through (e) belonplete questions (b) through (e) separately for each	ow for each prime broker the <i>private fund</i> uses. If the <i>private</i> ch prime broker.	te fund uses more tha
Name of the prime broker: MORGAN STANLEY & CO. I	_LC		
If the prime broker is registe 8 - 15869	ered with the SEC, its registration number:		
CRD Number (if any): 8209			
Location of prime broker's o	ffice used principally by the <i>private fund</i> (city, state	e and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes
Does this prime broker act a	as custodian for some or all of the <i>private fund's</i> a	assets?	
Dood tille prille broker det e			<u></u>
Name of the prime broker: NOMURA SECURITIES INTE	ERNATIONAL, INC.		
If the prime broker is registe 8 - 15255 CRD Number (if any): 4297	ered with the SEC, its registration number:		
8 - 15255 CRD Number (if any): 4297	ered with the SEC, its registration number: flice used principally by the private fund (city, state	e and country):	
8 - 15255 CRD Number (if any): 4297 Location of prime broker's of City:	ffice used principally by the <i>private fund</i> (city, state) State:	Country:	
8 - 15255 CRD Number (if any): 4297 Location of prime broker's o	ffice used principally by the <i>private fund</i> (city, stat		Yes
8 - 15255 CRD Number (if any): 4297 Location of prime broker's or City: NEW YORK	ffice used principally by the <i>private fund</i> (city, state) State:	Country: United States	Yes
8 - 15255 CRD Number (if any): 4297 Location of prime broker's or City: NEW YORK Does this prime broker act a	ffice used principally by the <i>private fund</i> (city, state: State: New York as custodian for some or all of the <i>private fund's</i> a	Country: United States assets? ow for each prime broker the <i>private fund</i> uses. If the <i>private</i>	•
8 - 15255 CRD Number (if any): 4297 Location of prime broker's or City: NEW YORK Does this prime broker act a	ffice used principally by the <i>private fund</i> (city, state: New York as custodian for some or all of the <i>private fund's a</i> is "yes," respond to questions (b) through (e) belo	Country: United States assets? ow for each prime broker the <i>private fund</i> uses. If the <i>private</i>	•
8 - 15255 CRD Number (if any): 4297 Location of prime broker's o City: NEW YORK Does this prime broker act a the answer to question 24.(a) is e prime broker, you must com Name of the prime broker: PERSHING LLC	ffice used principally by the <i>private fund</i> (city, state: New York as custodian for some or all of the <i>private fund's a</i> is "yes," respond to questions (b) through (e) belo	Country: United States assets? ow for each prime broker the <i>private fund</i> uses. If the <i>private</i>	•
8 - 15255 CRD Number (if any): 4297 Location of prime broker's or City: NEW YORK Does this prime broker act a ne answer to question 24.(a) is prime broker, you must com Name of the prime broker: PERSHING LLC If the prime broker is register	ffice used principally by the <i>private fund</i> (city, state: New York as custodian for some or all of the <i>private fund's</i> and the private fund's and the privat	Country: United States assets? ow for each prime broker the <i>private fund</i> uses. If the <i>private</i>	•
8 - 15255 CRD Number (if any): 4297 Location of prime broker's or City: NEW YORK Does this prime broker act and the answer to question 24.(a) is exprime broker, you must common Name of the prime broker: PERSHING LLC If the prime broker is register 8 - 17574 CRD Number (if any): 7560 Location of prime broker's or	ffice used principally by the <i>private fund</i> (city, state:	Country: United States assets? ow for each prime broker the private fund uses. If the private prime broker.	•
8 - 15255 CRD Number (if any): 4297 Location of prime broker's or City: NEW YORK Does this prime broker act a the answer to question 24.(a) is the prime broker, you must com Name of the prime broker: PERSHING LLC If the prime broker is registed 8 - 17574 CRD Number (if any): 7560	ffice used principally by the <i>private fund</i> (city, state: New York as custodian for some or all of the <i>private fund's</i> and its "yes," respond to questions (b) through (e) belonglete questions (b) through (e) separately for each other private funds (city, state).	Country: United States assets? ow for each prime broker the private fund uses. If the private prime broker.	•
8 - 15255 CRD Number (if any): 4297 Location of prime broker's or City: NEW YORK Does this prime broker act and the answer to question 24.(a) is the prime broker, you must come are prime broker, you must come personally in the prime broker is registed as 17574 CRD Number (if any): 7560 Location of prime broker's or City: JERSEY CITY	ffice used principally by the <i>private fund</i> (city, state:	Country: United States assets? ow for each prime broker the private fund uses. If the private prime broker. e and country): Country: United States	•

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than

(c) If the prime broker is registe	red with the SEC, its registration number:		
CRD Number (if any):			
(d) Location of prime broker's o	fice used principally by the private fund (city, stat	e and country):	
City: LONDON		Country: Jnited Kingdom	
(e) Does this prime broker act a	is custodian for some or all of the <i>private fund's</i> a	assets?	
	s "yes," respond to questions (b) through (e) belo plete questions (b) through (e) separately for ea	ow for each prime broker the <i>private fund</i> uses. If the <i>pr</i> ch prime broker.	rivate fund uses mo
UBS SECURITIES LLC			
(c) If the prime broker is registe 8 - 22651	red with the SEC, its registration number:		
CRD Number (if any): 7654			
(d) Location of prime broker's o	fice used principally by the private fund (city, stat		
City: NEW YORK	State: New York	Country: United States	
(e) Does this prime broker act a	is custodian for some or all of the private fund's a	assets?	
	plete questions (b) through (e) separately for each	ow for each prime broker the <i>private fund</i> uses. If the <i>pr</i> ch prime broker.	rivate fund uses mor
8 - 65876 CRD Number (if any):	red with the SEC, its registration number:		
126292 (d) Location of prime broker's o	fice used principally by the <i>private fund</i> (city, stat	e and country):	
City:	State: North Carolina	Country: United States	
CHARLOTTE			
CHARLOTTE (e) Does this prime broker act a	s custodian for some or all of the private fund's a	assets?	

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one

custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information: 35 Record(s) Filed.

one prime broker, you must complete questions (b) through (e) separately for each prime broker.

		respond to questions (b) through g) be (b) through (g) separately for each cu	low for each custodian the <i>private fund</i> uses. If the <i>priv</i> stodian.	rate fund uses more than one
(b)	Legal name of custodian: BANK OF AMERICA, N.A.			
(c)	Primary business name of custodian BANK OF AMERICA, N.A.	1:		
(d)	The location of the custodian's office	responsible for <i>custody</i> of the <i>private</i>	fund's assets (city, state and country):	
	City:	State:	Country:	
	CHARLOTTE	North Carolina	United States	Yes No
(e)	Is the custodian a related person of y	your firm?		0 ⊚
(f)	If the custodian is a broker-dealer, pr	rovide its SEC registration number (if a	ny):	
	CRD Number (if any):			
(g)	If the custodian is not a broker-deale B4TYDEB6GKMZO031MB27	r, or is a broker-dealer but does not ha	eve an SEC registration number, provide its <i>legal entity</i>	identifier (if any)
		respond to questions (b) through g) be (b) through (g) separately for each cu	low for each custodian the <i>private fund</i> uses. If the <i>priv</i> stodian.	rate fund uses more than one
(b)	Legal name of custodian: BARCLAYS BANK PLC			
(c)	Primary business name of custodian BARCLAYS BANK PLC	1:		
(d)	The location of the custodian's office	responsible for custody of the private	fund's assets (city, state and country):	
	City:	State:	Country:	
	LONDON		United Kingdom	Yes No
(e)	Is the custodian a related person of y	your firm?		0 0
(f)	If the custodian is a broker-dealer, pr	ovide its SEC registration number (if a	ny):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-deale G5GSEF7VJP5I7OUK5573	r, or is a broker-dealer but does not ha	ave an SEC registration number, provide its <i>legal entity</i>	identifier (if any)
	ne answer to question 25.(a) is "yes," ı	respond to questions (b) through g) be (b) through (g) separately for each cu	low for each custodian the <i>private fund</i> uses. If the <i>pri</i> vstodian.	rate fund uses more than one
(b)	Legal name of custodian: BARCLAYS CAPITAL INC.			
(c)	Primary business name of custodian BARCLAYS CAPITAL INC.	1:		
(d)	The location of the custodian's office	responsible for custody of the private	fund's assets (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	

(f)	If the custodian is a broker-dealer, provide its SEC registration nu	umber (if any):		
	8 - 41342	arriber (ii arry).		
	CRD Number (if any):			
	19714			
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but de	oes not have an SEC registration number, provide its legal entity identifier (if any)		
	e answer to question 25.(a) is "yes," respond to questions (b) through (g) separately fo	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the private fund uses more the custodian.	han d	ne
1	Legal name of custodian: BARCLAYS CAPITAL SECURITIES LIMITED			
1	Primary business name of custodian: BARCLAYS CAPITAL SECURITIES LIMITED			
(d)	The location of the custodian's office responsible for custody of the	ne private fund's assets (city, state and country):		
	City: State:	Country:		
	LONDON	United Kingdom		
			Yes	No
(e)	Is the custodian a related person of your firm?		\circ	\odot
(f)	If the custodian is a broker-dealer, provide its SEC registration nu	umber (if any):		
	- 			
	CRD Number (if any):			
	If the custodian is not a broker-dealer, or is a broker-dealer but de K9WDOH4D2PYBSLSOB484	oes not have an SEC registration number, provide its legal entity identifier (if any)		
		oes not have an SEC registration number, provide its legal entity identifier (if any)		
If the	K9WDOH4D2PYBSLSOB484	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more th	han c	one
If the cust	K9WDOH4D2PYBSLSOB484 e answer to question 25.(a) is "yes," respond to questions (b) thro	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more th	han c	one
If the cust	K9WDOH4D2PYBSLSOB484 e answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for Legal name of custodian:	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more th	han c	nne
If the cust (b)	K9WDOH4D2PYBSLSOB484 e answer to question 25.(a) is "yes," respond to questions (b) through (d) separately for codian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian:	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the each custodian.	han c	nne
If the cust (b)	e answer to question 25.(a) is "yes," respond to questions (b) throughing odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the each custodian.	han c	nne
If the cust (b)	E answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the custodian's office responsible for	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the reach custodian. The private fund's assets (city, state and country):	han c	nne
If the cust (b)	k9WDOH4D2PYBSLSOB484 e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State:	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the reach custodian. The private fund's assets (city, state and country): Country:	nan c	
If the cust (b)	k9WDOH4D2PYBSLSOB484 e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State:	ough g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the reach custodian. The private fund's assets (city, state and country): Country:		
If the cust (b) (c) (d)	e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State: NEW YORK Is the custodian a <i>related person</i> of your firm?	bugh g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more that or each custodian. The private fund's assets (city, state and country): Country: United States	Yes	No
If the cust (b) (c) (d)	e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State: NEW YORK New York	bugh g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more that or each custodian. The private fund's assets (city, state and country): Country: United States	Yes	No
If the cust (b) (c) (d) (e) (f)	e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State: NEW YORK Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a second of the custodian	bugh g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more that or each custodian. The private fund's assets (city, state and country): Country: United States	Yes	No
If the cust (b) (c) (d) (e) (f)	e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State: NEW YORK Is the custodian a <i>related person</i> of your firm?	bugh g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more that or each custodian. The private fund's assets (city, state and country): Country: United States	Yes	No
If the cust (b) (c) (d) (e) (f)	e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State: NEW YORK Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a broker-dealer, provide its SEC registration not a second of the custodian is a second of the custodian	bugh g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more that or each custodian. The private fund's assets (city, state and country): Country: United States	Yes	No
(b) (c) (d) (e) (f)	e answer to question 25.(a) is "yes," respond to questions (b) thro odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State: NEW YORK Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SEC registration notes. CRD Number (if any):	bugh g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more that or each custodian. The private fund's assets (city, state and country): Country: United States	Yes	No
(b) (c) (d) (e) (f)	e answer to question 25.(a) is "yes," respond to questions (b) through odian, you must complete questions (b) through (g) separately for Legal name of custodian: BNP PARIBAS Primary business name of custodian: BNP PARIBAS The location of the custodian's office responsible for <i>custody</i> of the City: State: NEW YORK Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SEC registration nuclearly custodian is a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer, or is a broker-dealer but define the custodian is not a broker-dealer.	bugh g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more that each custodian. The private fund's assets (city, state and country): Country: United States States and country: United States	Yes	No

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b)	Legal name of custodian: BNP PARIBAS PRIME BROKERAGE INTERNA	TIONAL, LIMITED			
(c)	Primary business name of custodian: BNP PARIBAS PRIME BROKERAGE INTERNATION	TIONAL, LIMITED			
(d)	The location of the custodian's office responsit	ole for <i>custody</i> of the <i>privat</i>	e fund's assets (city	, state and country):	
	City:	State:		Country:	
	DUBLIN			Ireland	
(e)	Is the custodian a <i>related person</i> of your firm?				Yes No
(f)	If the custodian is a broker-dealer, provide its \$	SEC registration number (if	any):		
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a b 5493000EGBAKFHIIT563	oroker-dealer but does not l	have an SEC registr	ration number, provide its legal entity iden	tifier (if any)
cus	e answer to question 25.(a) is "yes," respond to codian, you must complete questions (b) throug Legal name of custodian:			odian the <i>private fund</i> uses. If the <i>private f</i> o	<i>und</i> uses more than one
(6)	BNP PARIBAS SECURITIES CORP.				
(c)	Primary business name of custodian: BNP PARIBAS SECURITIES CORP.				
(d)	The location of the custodian's office responsible				
	City: NEW YORK	State: New York		Country: United States	
					Yes No
(e)	Is the custodian a <i>related person</i> of your firm?				○ ●
(f)	If the custodian is a broker-dealer, provide its \$	SEC registration number (if	any):		
	8 - 32682				
	CRD Number (if any): 15794				
(g)	If the custodian is not a broker-dealer, or is a b	proker-dealer but does not l	have an SEC registr	ration number, provide its legal entity iden	tifier (if any)
	e answer to question 25.(a) is "yes," respond to codian, you must complete questions (b) throug			odian the <i>private fund</i> uses. If the <i>private f</i> a	und uses more than one
(b)	Legal name of custodian: CITIBANK N.A.				
(c)	Primary business name of custodian: CITIBANK N.A.				
(d)	The location of the custodian's office responsible	ole for <i>custody</i> of the <i>privat</i>	e fund's assets (city	, state and country):	
	City: S	State:	Country: United Kingdom		
	LONDON		omieu Kinguom		Yes No
(e)	Is the custodian a <i>related person</i> of your firm?				0 0
/£\	If the quotedies is a basicar state	SEC registration and 1 //	any).		
(1)	If the custodian is a broker-dealer, provide its \$	o⊏∪ registration number (if	ariy).		

(g)	If the custodian is not a broker-dealer, or is a bro	ker-dealer but does not have an SEC regis	stration number, provide its legal entity identifier (if any)	
	e answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through		todian the <i>private fund</i> uses. If the <i>private fund</i> uses more th	nan one
(b)	Legal name of custodian: CITICORP SECURITIES SERVICES, INC.			
(c)	Primary business name of custodian: CITICORP SECURITIES SERVICES, INC.			
(d)	The location of the custodian's office responsible	e for custody of the private fund's assets (cit	y, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
(e)	Is the custodian a related person of your firm?			0 0
(f)	If the custodian is a broker-dealer, provide its SE 8 - 32117 CRD Number (if any): 15387	C registration number (if any):		
(g)	If the custodian is not a broker-dealer, or is a bro	ker-dealer but does not have an SEC regis	stration number, provide its legal entity identifier (if any)	
cus	te answer to question 25.(a) is "yes," respond to question, you must complete questions (b) through Legal name of custodian: CITIGROUP GLOBAL MARKETS INC.		todian the <i>private fund</i> uses. If the <i>private fund</i> uses more th	nan one
(c)	Primary business name of custodian: CITIGROUP GLOBAL MARKETS INC.			
(d)	The location of the custodian's office responsible	e for custody of the private fund's assets (cit	y, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	NEW YORK	New York	United States	Yes No
(e)	Is the custodian a <i>related person</i> of your firm?			0 0
(f)	If the custodian is a broker-dealer, provide its SE 8 - 8177	C registration number (if any):		
	CRD Number (if any): 7059			
(g)	If the custodian is not a broker-dealer, or is a bro	ker-dealer but does not have an SEC regis	stration number, provide its legal entity identifier (if any)	
	e answer to question 25.(a) is "yes," respond to q todian, you must complete questions (b) through		todian the <i>private fund</i> uses. If the <i>private fund</i> uses more the	nan one

CRD Number (if any):

(b) Legal name of custodian:

CITIGROUP GLOBAL MARKETS LIMITED

(c)	Primary business name of custodian: CITIGROUP GLOBAL MARKETS LIMITED					
(d)	The location of the custodian's office responsible for	r custody of the private	iund's assets (city, state and cou	ıntry):		
	City: State:		Country:			
	LONDON		United Kingdom			
, ,					Yes N	Ю
(e)	Is the custodian a related person of your firm?				0 (⊙
(f)	If the custodian is a broker-dealer, provide its SEC re	egistration number (if a	ny):			
	CRD Number (if any):					
(g)	If the custodian is not a broker-dealer, or is a broker-XKZZ2JZF41MRHTR1V493	-dealer but does not ha	ve an SEC registration number,	provide its legal entity identifier (if an	у)	
	e answer to question 25.(a) is "yes," respond to ques odian, you must complete questions (b) through (g)			te fund uses. If the private fund uses	more than one	—
(b)	Legal name of custodian: CREDIT SUISSE AG					
(c)	Primary business name of custodian: CREDIT SUISSE AG					
(d)	The location of the custodian's office responsible for	r custody of the private	<i>und's</i> assets (city, state and cou	intry):		
	City:	State:	Country:			
	DUBLIN		Ireland			
(e)	Is the custodian a related person of your firm?				Yes N	⊙
(f)	If the custodian is a broker-dealer, provide its SEC re	egistration number (if a	ny):			
	- CRD Number (if any):					
(g)	If the custodian is not a broker-dealer, or is a broker-ANGGYXNX0JLX3X63JN86	-dealer but does not ha	ve an SEC registration number,	provide its legal entity identifier (if an	у)	
If th	e answer to question 25.(a) is "yes," respond to ques	etions (b) through a) be	ow for each custodian the priva	to fund uses. If the private fund uses	more than one	_
	odian, you must complete questions (b) through (g)		·	te fund uses. If the private fund uses	more than one	7
(b)	Legal name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED					
(c)	Primary business name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED					
(d)	The location of the custodian's office responsible for City: State:		iund's assets (city, state and cou	intry):		
	City: State: LONDON		United Kingdom			
			-		Yes N	10
(e)	Is the custodian a related person of your firm?				0 (•
(f)	If the custodian is a broker-dealer, provide its SEC re	egistration number (if a	ny):			
	-					
	CRD Number (if any):					

(g) If the custodian is not a br DL6FFRRLF74S01HE2M		es not have an SEC registration number, prov	de its <i>legal entity identifier</i> (if any)
	i) is "yes," respond to questions (b) throu questions (b) through (g) separately for		nd uses. If the private fund uses more than one
(b) Legal name of custodian: CREDIT SUISSE SECURI	TIES (USA) LLC		
(c) Primary business name o			
(d) The location of the custod	ian's office responsible for custody of the	e private fund's assets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Voc. No.
(e) Is the custodian a related	nerson of your firm?		Yes No
(e) is the custodian a related	person or your mini:		0 ⊚
(f) If the custodian is a broke 8 - 422	r-dealer, provide its SEC registration nun	nber (if any):	
CRD Number (if any):			
816			
(g) If the custodian is not a br	oker-dealer, or is a broker-dealer but doe	es not have an SEC registration number, prov	ide its legal entity identifier (if any)
	i) is "yes," respond to questions (b) throu questions (b) through (g) separately for		nd uses. If the <i>private fund</i> uses more than one
(b) Legal name of custodian: DEUTSCHE BANK AG			
(c) Primary business name of DEUTSCHE BANK AG	f custodian:		
(d) The location of the custod	ian's office responsible for <i>custody</i> of the	e private fund's assets (city, state and country):	
City:	State:	Country:	
LONDON		United Kingdom	
			Yes No
(e) Is the custodian a related	person of your firm?		○ ◎
(f) If the custodian is a broke	r-dealer, provide its SEC registration nun	nber (if any):	
-	addioi, provide no ele regionanen nan	(ii diiy).	
CRD Number (if any):			
(g) If the custodian is not a br	oker-dealer, or is a broker-dealer but doe	es not have an SEC registration number, prov	ide its legal entity identifier (if any)
7LTWFZYICNSX8D621K8	6		
) is "yes," respond to questions (b) throu questions (b) through (g) separately for		nd uses. If the private fund uses more than one
(b) Legal name of custodian: DEUTSCHE BANK SECU	RITIES INC.		
(c) Primary business name o			

NEW YORK	New York	United States	
(e) Is the custodian a related per	son of your firm?		Yes
f) If the custodian is a broker-de	ealer, provide its SEC registration numb	er (if any):	
8 - 17822			
CRD Number (if any):			
2525			
(g) If the custodian is not a broke	r-dealer, or is a broker-dealer but does	not have an SEC registration number, provide its legal entit	ty identifier (if any)
	- · · · · · · · · · · · · · · · · · · ·	n g) below for each custodian the <i>private fund</i> uses. If the <i>pr</i>	rivate fund uses more than or
custodian, you must complete que	estions (b) through (g) separately for ea	ach custodian.	
(b) Legal name of custodian: GOLDMAN SACHS & CO. LLC	2		
(c) Primary business name of cu			
GOLDMAN SACHS & CO. LLC	>		
(d) The location of the custodian'	s office responsible for custody of the p	private fund's assets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes
(e) Is the custodian a related per	son of your firm?		0
(f) If the custodian is a broker-de 8 - 129	ealer, provide its SEC registration numb	er (if any):	
CRD Number (if any): 361			
(g) If the custodian is not a broke	r-dealer, or is a broker-dealer but does	not have an SEC registration number, provide its legal entition	ty identifier (if any)
If the answer to question 25.(a) is	"yes," respond to questions (b) through	n g) below for each custodian the <i>private fund</i> uses. If the <i>pr</i>	rivate fund uses more than or
custodian, you must complete que	estions (b) through (g) separately for ea	ach custodian.	
(b) Legal name of custodian: GOLDMAN SACHS INTERNAT	TIONAL		
(c) Primary business name of cu GOLDMAN SACHS INTERNAT			
(d) The location of the custodian'	s office responsible for custody of the p	private fund's assets (city, state and country):	
City:	State:	Country:	
LONDON		United Kingdom	Yes
(e) Is the custodian a related per	son of your firm?		C
(f) If the custodian is a broker-de	ealer, provide its SEC registration numb	er (if any):	
- CRD Number (if any):			
(g) If the custodian is not a broke	r-dealer, or is a broker-dealer but does	not have an SEC registration number, provide its legal entition	ty identifier (if anv)
W22LROWP2IHZNBB6K52		, , , , , , , , , , , , , , , , , , ,	- , , , , ,

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country): State:

Country:

	ne answer to question 25.(a) is "yes," respond to costodian, you must complete questions (b) through			odian the <i>private fund</i> uses. If the <i>private fund</i> uses more t	han o	ne
(b)	Legal name of custodian: J.P. MORGAN SECURITIES LLC					
(c)	Primary business name of custodian: J.P. MORGAN SECURITIES LLC					
(d)	The location of the custodian's office responsible	•	fund's assets (city	• •		
	City: NEW YORK	State: New York		Country: United States		
(0)	Is the custodian a <i>related person</i> of your firm?				Yes	
(6)	is the custodian a related person of your initi:				0	•
(f)	If the custodian is a broker-dealer, provide its SE 8 - 35008	EC registration number (if a	ny):			
	CRD Number (if any): 79					
(g)	If the custodian is not a broker-dealer, or is a bro	oker-dealer but does not ha	ve an SEC regist	ration number, provide its legal entity identifier (if any)		
cus	ne answer to question 25.(a) is "yes," respond to obtodian, you must complete questions (b) through Legal name of custodian: J.P. MORGAN SECURITIES PLC			odian the <i>private fund</i> uses. If the <i>private fund</i> uses more t	han o	ne
(c)	Primary business name of custodian: J.P. MORGAN SECURITIES PLC					
(d)	The location of the custodian's office responsible	e for custody of the private	fund's assets (city	r, state and country):		
	City: Sta	ate:	Country: United Kingdom			
					Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?				0	⊙
(f)	If the custodian is a broker-dealer, provide its SE	EC registration number (if a	ny):			
	CRD Number (if any):					
(g)	If the custodian is not a broker-dealer, or is a broker-M6Q0W1PS1L1O4IQL9C32	oker-dealer but does not ha	ve an SEC regist	ration number, provide its legal entity identifier (if any)		
	ne answer to question 25.(a) is "yes," respond to ostodian, you must complete questions (b) through	. , , , , , , , , , , , , , , , , , , ,		odian the <i>private fund</i> uses. If the <i>private fund</i> uses more t	han o	ne
(b)	Legal name of custodian: JEFFERIES LLC					
(c)	Primary business name of custodian: JEFFERIES LLC					
(d)	The location of the custodian's office responsible	e for custody of the private	fund's assets (city	r, state and country):		
	City: NEW YORK	State: New York		Country: United States		

	is the custodian a related person of your lifting			О	⊚
(f)	If the custodian is a broker-dealer, provide its SE	EC registration number (if a	ny):		
	8 - 15074				
	CRD Number (if any): 2347				
	2047				
(g)	If the custodian is not a broker-dealer, or is a broker-	oker-dealer but does not ha	ve an SEC registration number, provide its legal entity ide	entifier (if any)	
	ne answer to question 25.(a) is "yes," respond to optodian, you must complete questions (b) through		ow for each custodian the <i>private fund</i> uses. If the <i>private</i> stodian.	fund uses more than o	one
(b)	Legal name of custodian: JPMORGAN CHASE BANK, N.A.				
(c)	Primary business name of custodian: JPMORGAN CHASE BANK, N.A.				
(d)	The location of the custodian's office responsible	e for <i>custody</i> of the <i>private</i>	fund's assets (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States	.,	
				Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?			0	⊙
(f)	If the custodian is a broker-dealer, provide its SE	EC registration number (if a	ny):		
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a bro 7H6GLXDRUGQFU57RNE97	oker-dealer but does not ha	ve an SEC registration number, provide its legal entity ide	entifier (if any)	
	ne answer to question 25.(a) is "yes," respond to ostodian, you must complete questions (b) through		ow for each custodian the <i>private fund</i> uses. If the <i>private</i> stodian.	fund uses more than o	one
cus			· · · · · · · · · · · · · · · · · · ·	fund uses more than o	one
cus (b)	stodian, you must complete questions (b) through Legal name of custodian:		· · · · · · · · · · · · · · · · · · ·	fund uses more than o	one
(b)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED	(g) separately for each cus	stodian.	e fund uses more than o	one
(b)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible	(g) separately for each custer (g) separately for each custody of the private	fund's assets (city, state and country):	e fund uses more than o	one
(b)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible City:	(g) separately for each cus	Fund's assets (city, state and country): Country:	e fund uses more than o	one
(b)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible	(g) separately for each custer (g) separately for each custody of the private	fund's assets (city, state and country):		
(b) (c) (d)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible City:	(g) separately for each custer (g) separately for each custody of the private	Fund's assets (city, state and country): Country:		s No
(b) (c) (d)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible City: St. LONDON	(g) separately for each custer (g) separately for each custody of the private	Fund's assets (city, state and country): Country:	Yes	
(b) (c) (d)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible City: St. LONDON	(g) separately for each custer of the private ate:	Fund's assets (city, state and country): Country: United Kingdom	Yes	s No
(b) (c) (d) (e)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible City: LONDON Is the custodian a related person of your firm?	(g) separately for each custer of the private ate:	Fund's assets (city, state and country): Country: United Kingdom	Yes	s No
(b) (c) (d) (e) (f)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible City: St. LONDON Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SE-CRD Number (if any):	e for <i>custody</i> of the <i>private</i> ate: EC registration number (if a	Fund's assets (city, state and country): Country: United Kingdom	Yes	· No
(b) (c) (d) (e) (f)	Legal name of custodian: MERRILL LYNCH INTERNATIONAL Primary business name of custodian: MERRILL LYNCH INTERNATIONAL LIMITED The location of the custodian's office responsible City: St. LONDON Is the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SE- CRD Number (if any):	e for <i>custody</i> of the <i>private</i> ate: EC registration number (if a	fund's assets (city, state and country): Country: United Kingdom	Yes	· No

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b)	Legal name of custodian: MERRILL LYNCH PROFESSIONAL CLEAR	ING CORP.			
(c)	Primary business name of custodian: MERRILL LYNCH PROFESSIONAL CLEAR	ING CORP.			
(d)	The location of the custodian's office respon	nsible for <i>custody</i> of the <i>p</i>	private fund's assets (ci	ty, state and country):	
()	City:	State:	·	Country:	
	NEW YORK	New York		United States	
(-)	In the country discovery of the design of the country of the count	0			Yes No
(e)	Is the custodian a <i>related person</i> of your firm	n?			0 0
(f)	If the custodian is a broker-dealer, provide i	ts SEC registration numb	per (if any):		
,	8 - 33359	Ü	· · · · · · · · · · · · · · · · · · ·		
	CRD Number (if any):				
	16139				
(g)	If the custodian is not a broker-dealer, or is	a broker-dealer but does	s not have an SEC regis	stration number, provide its legal entity identifier (if any)	
	ne answer to question 25.(a) is "yes," respon stodian, you must complete questions (b) thre			stodian the <i>private fund</i> uses. If the <i>private fund</i> uses m	ore than one
(b)	Legal name of custodian: MORGAN STANLEY & CO INTERNATIONAL	- PLC			
(c)	Primary business name of custodian: MORGAN STANLEY & CO INTERNATIONAL	_ PLC			
(d)	The location of the custodian's office respon	nsible for <i>custody</i> of the <i>i</i>	private fund's assets (ci	ty, state and country):	
()	City:	State:	Country:	,,	
	LONDON		United Kingdor	n	
(0)	le the austadian a related narroon of your fire	m?			Yes No
(e)	Is the custodian a <i>related person</i> of your fire	1119			0 0
(f)	If the custodian is a broker-dealer, provide i	ts SEC registration numb	per (if any):		
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is 4PQUHN3JPFGFNF3BB653	a broker-dealer but does	s not have an SEC regis	stration number, provide its <i>legal entity identifier</i> (if any)	
	ne answer to question 25.(a) is "yes," responstodian, you must complete questions (b) thre		-	stodian the <i>private fund</i> uses. If the <i>private fund</i> uses m	ore than one
(b)	Legal name of custodian: MORGAN STANLEY & CO. LLC				
(c)	Primary business name of custodian: MORGAN STANLEY & CO. LLC				
(d)	The location of the custodian's office respon	nsible for <i>custody</i> of the <i>j</i>	private fund's assets (ci	ty, state and country):	
	City:	State:		Country:	
	NEW YORK	New York		United States	Vaa N-
(e)	Is the custodian a <i>related person</i> of your fire	m?			Yes No
(5)	succession a related person or your in				0 0
(f)	If the custodian is a broker-dealer, provide i	ts SEC registration numb	per (if any):		

		e an SEC registration number, provide its legal entity ident	mer (II any)
	is "yes," respond to questions (b) through g) belouestions (b) through (g) separately for each cust	ow for each custodian the <i>private fund</i> uses. If the <i>private fu</i> odian.	<i>and</i> uses more than one
(b) Legal name of custodian: NOMURA SECURITIES INTE	ERNATIONAL, INC.		
(c) Primary business name of c NOMURA SECURITIES INTE			
(d) The location of the custodia	n's office responsible for <i>custody</i> of the <i>private fu</i>	und's assets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
(e) Is the custodian a related pe	erson of your firm?		Yes No
(e) is the custodian a related pe	erson or your mini.		0 0
(f) If the custodian is a broker-o	dealer, provide its SEC registration number (if an	y):	
8 - 15255			
CRD Number (if any): 4297			
4201			
(g) If the custodian is not a brok	ker-dealer, or is a broker-dealer but does not hav	e an SEC registration number, provide its legal entity ident	ifier (if any)
		ow for each custodian the <i>private fund</i> uses. If the <i>private fu</i>	<i>und</i> uses more than one
	is "yes," respond to questions (b) through g) beloustions (b) through (g) separately for each cust		<i>ind</i> uses more than one
custodian, you must complete q (b) Legal name of custodian:	uestions (b) through (g) separately for each cust		und uses more than one
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete q	uestions (b) through (g) separately for each cust	odian.	and uses more than one
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete q	uestions (b) through (g) separately for each cust	odian.	und uses more than one
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete quality of the custodian.	custodian: un's office responsible for custody of the private fu	odian. und's assets (city, state and country):	and uses more than one
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete quality personal persona	custodian: in's office responsible for <i>custody</i> of the <i>private for</i> State: New Jersey	odian. und's assets (city, state and country): Country:	Yes No
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete quality of the custodian City: JERSEY CITY	custodian: in's office responsible for <i>custody</i> of the <i>private for</i> State: New Jersey	odian. und's assets (city, state and country): Country:	
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete quality business name of comp	custodian: in's office responsible for <i>custody</i> of the <i>private for</i> State: New Jersey	und's assets (city, state and country): Country: United States	Yes No
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of of PERSHING LLC (d) The location of the custodian City: JERSEY CITY (e) Is the custodian a related performance of the custodian is a broker-of the cus	custodian: un's office responsible for custody of the private for State: New Jersey erson of your firm?	und's assets (city, state and country): Country: United States	Yes No
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete quality business name of comp	custodian: un's office responsible for custody of the private for State: New Jersey erson of your firm?	und's assets (city, state and country): Country: United States	Yes No
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of complete quantity PERSHING LLC (d) The location of the custodian City: JERSEY CITY (e) Is the custodian a related performance of the custodian is a broker-complete quantity (f) If the custodian is a broker-complete quantity (g) RESHING LLC	custodian: In's office responsible for <i>custody</i> of the <i>private fu</i> State: New Jersey erson of your firm? dealer, provide its SEC registration number (if an	und's assets (city, state and country): Country: United States	Yes No
custodian, you must complete q (b) Legal name of custodian: PERSHING LLC (c) Primary business name of of PERSHING LLC (d) The location of the custodian City: JERSEY CITY (e) Is the custodian a related performance of the custodian is a broker-of 8 - 17574 CRD Number (if any): 7560 (g) If the custodian is not a broker-of the	custodian: un's office responsible for custody of the private for State: New Jersey erson of your firm? dealer, provide its SEC registration number (if an exercise) where the state is	and's assets (city, state and country): Country: United States y): e an SEC registration number, provide its legal entity ident	Yes No ○ •

8 - 15869

8209

CRD Number (if any):

(c) Primary business name of custodian:

	SOCIETE GENERALE BANK & TRUST			
(d)	The location of the custodian's office respo	onsible for <i>custody</i> of the <i>private fund</i> '	's assets (city, state and country):	
	City:	State:	Country:	
	PARIS		France	Yes No
(e)	Is the custodian a related person of your fir	:m?		0 @
(f)	If the custodian is a broker-dealer, provide	its SEC registration number (if any):		
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is	a broker-dealer but does not have an	n SEC registration number, provide its legal entity id	dentifier (if any)
If th	e answer to guestion 25 (a) is "ves " respon	and to questions (h) through a) below f	for each custodian the <i>private fund</i> uses. If the <i>privat</i>	te fund uses more than one
	todian, you must complete questions (b) thr			to rand uses more than one
(b)	Legal name of custodian: STATE STREET BANK AND TRUST COMPA	ANY		
(c)	Primary business name of custodian:			
(0)	STATE STREET BANK AND TRUST COMP	ANY		
(d)	The location of the custodian's office respo	onsible for <i>custody</i> of the <i>private fund</i>	's assets (city, state and country):	
	City: State: BOSTON Mass	: sachusetts	Country: United States	
	DOOTON	acmusetts	Office Otales	Yes No
(e)	Is the custodian a <i>related person</i> of your fir	m?		0 @
(f)	If the custodian is a broker-dealer, provide	its SEC registration number (if any):		
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is 571474TGEMMWANRLN572	s a broker-dealer but does not have a	n SEC registration number, provide its legal entity id	dentifier (if any)
				to found was a many them are
	e answer to question 25.(a) is "yes," respor todian, you must complete questions (b) thr			te runa uses more than one
cus				re runa uses more than one
cus (b)	todian, you must complete questions (b) thr Legal name of custodian:			<i>te runa</i> uses more than one
(b)	todian, you must complete questions (b) thr Legal name of custodian: SUNTRUST BANK Primary business name of custodian:	rough (g) separately for each custodia	an.	re runa uses more than one
(b)	Legal name of custodian: SUNTRUST BANK Primary business name of custodian: SUNTRUST BANK The location of the custodian's office responsity:	rough (g) separately for each custodia onsible for <i>custody</i> of the <i>private fund</i> 's State:	an. 's assets (city, state and country): Country:	re runa uses more than one
(b)	todian, you must complete questions (b) thr Legal name of custodian: SUNTRUST BANK Primary business name of custodian: SUNTRUST BANK The location of the custodian's office response	rough (g) separately for each custodia	an. 's assets (city, state and country):	Yes No.
(b) (c) (d)	Legal name of custodian: SUNTRUST BANK Primary business name of custodian: SUNTRUST BANK The location of the custodian's office responsity:	onsible for <i>custody</i> of the <i>private fund</i> 's State: Georgia	an. 's assets (city, state and country): Country:	
(b) (c) (d)	todian, you must complete questions (b) thr Legal name of custodian: SUNTRUST BANK Primary business name of custodian: SUNTRUST BANK The location of the custodian's office responsity: ATLANTA	rough (g) separately for each custodia onsible for <i>custody</i> of the <i>private fund</i> ' State: Georgia rm?	an. 's assets (city, state and country): Country:	Yes No

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)

IYDOJBGJWY9T8XKCSX06			
If the answer to question 25.(a) is "yes," recustodian, you must complete questions (uses. If the <i>private fund</i> uses more than one
(b) Legal name of custodian: THE BANK OF NEW YORK MELLON			
(c) Primary business name of custodian: THE BANK OF NEW YORK MELLON			
(d) The location of the custodian's office i			
City: NEW YORK	State: New York	Country: United States	
			Yes No
(e) Is the custodian a <i>related person</i> of you	our firm?		○ ●
(f) If the custodian is a broker-dealer, pro	vide its SEC registration numbe	(if any):	
CRD Number (if any):			
(g) If the custodian is not a broker-dealer, HPFHU0OQ28E4N0NFVK49	or is a broker-dealer but does r	ot have an SEC registration number, provide	e its legal entity identifier (if any)
If the answer to question 25.(a) is "yes," recustodian, you must complete questions (b) Legal name of custodian:			uses. If the <i>private fund</i> uses more than one
UBS AG			
(c) Primary business name of custodian: UBS AG			
(d) The location of the custodian's office i	responsible for <i>custody</i> of the <i>pr</i>	rate fund's assets (city, state and country):	
City: LONDON	State:	Country: United Kingdom	
LONDON		Onited Kingdom	Yes No
(e) Is the custodian a related person of you	our firm?		0 0
(f) If the custodian is a broker-dealer, pro	vide its SEC registration numbe	(if any):	
- CRD Number (if any):			
(g) If the custodian is not a broker-dealer, BFM8T61CT2L1QCEMIK50	or is a broker-dealer but does r	ot have an SEC registration number, provide	e its legal entity identifier (if any)
If the answer to question 25.(a) is "yes," recustodian, you must complete questions (uses. If the <i>private fund</i> uses more than one
(b) Legal name of custodian: UBS SECURITIES LLC			
(c) Primary business name of custodian:			

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

City:	State:	Country:	
NEW YORK	New York	United States	Yes No
(e) Is the custodian a re	lated person of your firm?		O O
	broker-dealer, provide its SEC registration number (if a	ny):	
8 - 22651 CRD Number (if any	1.		
7654	•		
(g) If the custodian is no	nt a broker-dealer, or is a broker-dealer but does not ha	ave an SEC registration number, provide its legal entity identif	fier (if any)
	25.(a) is "yes," respond to questions (b) through g) be aplete questions (b) through (g) separately for each cu	low for each custodian the <i>private fund</i> uses. If the <i>private fur</i> stodian.	nd uses more than one
(b) Legal name of custo WELLS FARGO SEC			
(c) Primary business na WELLS FARGO SEC			
(d) The location of the c	ustodian's office responsible for custody of the private	fund's assets (city, state and country):	
City:	State:	Country:	
CHARLOTTE	North Carolina	United States	Van Na
e) Is the custodian a <i>re</i>	lated person of your firm?		Yes No
f) If the custodian is a 8 - 65876	broker-dealer, provide its SEC registration number (if a	ny):	
CRD Number (if any):		
126292			
g) If the custodian is no	ot a broker-dealer, or is a broker-dealer but does not by	ave an SEC registration number, provide its <i>legal entity identit</i>	fier (if any)
(3)			
ator			
			Yes N
	an administrator other than your firm?		⊙ (
the answer to question 26 through (f) separately fo		v. If the <i>private fund</i> uses more than one administrator, you n	nust complete questions
dditional Administrator I	nformation : 2 Record(s) Filed.		
If the answer to question	26.(a) is "yes," respond to questions (b) through (f) be	low. If the <i>private fund</i> uses more than one administrator, you	u must complete
questions (b) through (f)	separately for each administrator.		
(b) Name of administrat	or:		
HARMONIC FUND S			
	rator (city, state and country): State:	Country	
City: GRAND CAYMAN	State.	Country: Cayman Islands	
			Yes No
(d) Is the administrator	a related person of your firm?		○ ●
(e) Does the administra	tor prepare and send investor account statements to t	ne private fund's investors?	
, ,	all investors) $_{f C}$ Some (provided to some but not all inv	•	
(f) If the answer to ques	stion 26.(e) is "no" or "some," who sends the investor	account statements to the (rest of the) private fund's investors?	? If investor account

1. (a (b	must complete questions (b) through VATE FUND Pation About the Private Fund a) Name of the private fund: GINSBERG AGGREGATOR LP b) Private fund identification number: (include the "805-" prefix also) 805-8512276986 Under the laws of what state or country is State: Delaware	(g) separately for each marketer. No Inform the private fund organized: Country: United States er, Trustee, or Directors (or persons serving in a	nation Filed similar capacity):	
nform 1. (á	must complete questions (b) through VATE FUND Lation About the Private Fund a) Name of the private fund: GINSBERG AGGREGATOR LP b) Private fund identification number: (include the "805-" prefix also) 805-8512276986 Under the laws of what state or country is State:	(g) separately for each marketer. No Inform the private fund organized: Country:	nation Filed	
1. (a	must complete questions (b) through VATE FUND Lation About the Private Fund a) Name of the private fund: GINSBERG AGGREGATOR LP b) Private fund identification number: (include the "805-" prefix also)	n (g) separately for each marketer.	nation Filed	
<u>ıform</u>	water Fund	n (g) separately for each marketer.	nation Filed	ore than one marketer ye
		n (g) separately for each marketer.	nation Filed	ore main one marketer ye
				ore than one marketer ye
28. (a	a) Does the <i>private fund</i> use the service	-	es for marketing purposes? inder, introducer, municipal advisor or other solicitor, or signary in the solicitor of signary.	· ·
0 Ir v p	0% nclude only those assets where (i) such	person carried out the valuation procedure esta	valued by a <i>person</i> , such as an administrator, that is not you ablished for that asset, if any, including obtaining any relevance calculations (including allocations) was the valuation	ant quotes, and (ii) the
		(rest of the) private fund's investors, respond "	count statements to the (rest of the) private fund's investors not applicable."	? If investor account
		e and send investor account statements to the rs) C Some (provided to some but not all inve	•	
	(d) Is the administrator a related p	person of your firm?		Yes No
	City: SOMERSET	State: New Jersey	Country: United States	V No
	THE BANK OF NEW YORK ME (c) Location of administrator (city,			
	(b) Name of administrator:	THE ON CORPORATION		
	If the answer to question 26.(a) is questions (b) through (f) separately		v. If the <i>private fund</i> uses more than one administrator, yo	u must complete

statements are not sent to the (rest of the) private fund's investors, respond "not applicable."

Filing Adviser/Relying Adviser Name

	EVERGREEN COAST CAPITAL CORP.		
4.	The private fund (check all that apply; you must check at least one):		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the investment company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of private fund:		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-arrangement or reporting on the funds separately.	-teede	;r
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder fund	ls ans	wer
	the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a sing	-	
	("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or i and each class (or series) invests substantially all of its assets in a single master fund.	interes	sts,
	and each stace (or contect, investe casetalinary and or no access in a chigge master raina.	Yes	No
8.	(a) Is this private fund a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of vehicles.	wheth	er
	they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	_	_
	(b) If yes, does the private rand livest in funds managed by you of by a related person:	0	0
		Yes	No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other		•
	than "money market funds," to the extent provided in Instruction 6.e.)?		
10	What type of fund is the <i>private fund</i> ?		
10.	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other <i>private fund</i> :		
	eage rund inquidity rund private equity rund real estate rund securitized asset rund venture capital rund other private rund:		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 146,251,340		
Ow	nership		
_			
12.	Minimum investment commitment required of an investor in the private fund:		

NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the

ELLIOTT MANAGEMENT CORPORATION

\$0

fund).

13.	8	moximate number of the <i>private fund's</i> beneficial owners.		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 21%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ur Ad	lvisory Services	V	N ₂
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	_
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," lequestion blank.	C eave	⊙ this
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	\odot
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18.(a) is leave this question blank.	"no,	"
		No Information Filed		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
	0%			
<u>Pri</u>	vate C	Offering Control of the Control of t	Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?		⊙
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. S	ERVI	CE PROVIDERS		
Aud	<u>ditors</u>		Yes	No
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	o
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complet questions (b) through (f) separately for each auditing firm.	e	
		(b) Name of the auditing firm:		
		MARCUM LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City: State: Country: NEW YORK New York United States		

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to fur

(e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board Assigned Number: 688 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? Are the private fund's audited firmancial statements for the most recently completed fiscal year distributed to the private fund's investors? Do all of the reports prepared by the auditing firm for the most recently completed fiscal year distributed to the private fund's investors? Do all of the reports prepared by the auditing firm for the private fund since your lists annual updating amendment contain unqualified opinions? (f) Yes C No. C Report Not Yet Received. If you check "Report Not Yet Received." you must promptly file an amendment to your Form ADV to update your response when the report is available. **Total Total Complete (Industry on the Private fund uses one or more prime broker; You must complete questions (b) through (d) below for each prime broker the private fund uses. If the private fund uses more than prime broker, you must complete questions (b) through (d) below for each prime broker the private fund uses. If the private fund uses more than controlling you must complete questions (b) through (d) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (d) below for each custodian the private fund uses. If the private fund uses more than custodian; you must complete questions (b) through (g) separately for each custodian. If the amender to question 25 (a) is "yes," respond to questions (b) through (g) below for much custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. If the amender to question 25 (a) is "yes," respond to questions (b) through (g) below for much custodian the private fund uses. If			(A)	
If yes, Public Company Accounting Oversight Board-Assigned Number: 668 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules? Are the private fund's audited firancial statements for the most recently completed fiscal year distributed to the private fund's investors? Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions? If yes O No O Report Not Yes Received. "you must promptly file an amendment to your Form ADV to update your response when the report is available. Toker Does the private fund use one or more prime brokers? If the answer to question 24 (b) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed Does the private fund use any custodians (including the prime brokers (b) through (e) below for each prime broker. No Information Filed Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25, (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than custodian to equestion 25, (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian complete questions (b) through (g) separately for each custodian. If the answer to question 25, (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. WELLS FARCO BANK, N.A. (d) The location of the c		(d) Is the auditing firm an independent public accountant?	٠	
If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Soard in accordance with its rules?		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	
Ave the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors? Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions? © yes, C No C Report Not Yell Received. You must promptly file an amendment to your Form ADV to update your response when the report is available, other Does the private fund use one or more prime brokers? If the answer to question 24 (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian information: 1 Record(s) Filed. If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 1 Record(s) Filed. If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): Cayman Islands Ye (a) If the custodian is a broker-dealer, provide its SEC registration number (if any):				
Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors? Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions? Pos © No © Roport Not Yet Roceived If you cheek "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available. Ober The private fund use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker. No Information Filed Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: GRAND CAYMAN C GRAND CAYMAN C GRAND CAYMAN C If the custodian is a broker-dealer, provide its SEC registration number (if any):		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	
Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions? ② Yes ③ No ⑤ Report Not Yet Received." you must pramptly file an amendment to your Form ADV to update your response when the report is available. **Token** **Does the private fund use one or more prime brokers?** If the answer to question 24.(a) is 'yes.' respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that prime broker, you must complete questions (b) through (e) separately for each prime broker. **No Information Filed** **Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?** If the answer to question 25.(a) is 'yes.' respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian used to private fund uses and uses more than custodian used to private fund uses. If the private fund uses more than custodian used to private fund uses. If the private fund uses more than custodian used to private fund uses. If the private fund uses more than custodian used to questions (b) through (g) separately for each custodian. **Additional Custodian Information : 1 Record(s) Filed.** If the answer to question 25.(a) is 'yes.' respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. **WELLS FARGO BANK, N.A.** (c) Primary business name of custodian: **WELLS FARGO BANK, N.A.** (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): **Cuy:** Country:** Cayman Islands **Yes** (e) Is the custodian a related person of your firm?* (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):			,	•
Press C No C Report Not Yet Received If you check 'Report Not Yet Received,' you must promptly file an amendment to your Form ADV to update your response when the report is available. Toker Does the private fund use one or more prime brokers? If the answer to question 24.(a) is 'yes,' respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more that prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is 'yes,' respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : I Record(s) Filed. If the answer to question 25.(a) is 'yes,' respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than custodian the private fund uses. If the private fund uses more than custodian the private fund uses. If the private fund uses more than custodian the private fund uses. If the private fund uses. If the private fund u				
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No Information Filed Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than custodian, you must complete questions? If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information: 1 Record(s) Filed. If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: GRAND CAYMAN Cayman Islands Ye (e) Is the custodian is a broker-dealer, provide its SEC registration number (if any):	D	pes the private fund use one or more prime brokers?		
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CRD Number (if any):	Diff cu	the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more the stodian, you must complete questions (b) through (g) separately for each custodian. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: GRAND CAYMAN Cayman Islands (e) Is the custodian a related person of your firm?	Ye	
	If cu	the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more the stodian, you must complete questions (b) through (g) separately for each custodian. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: GRAND CAYMAN Cayman Islands (e) Is the custodian a related person of your firm?	an o	

,	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions.	estions
	(b) through (f) separately for each administrator.	iestions
	Additional Administrator Information : 1 Record(s) Filed.	
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.	
	(b) Name of administrator: HARMONIC FUND SERVICES	
	(c) Location of administrator (city, state and country):	
	City: State: Country: GRAND CAYMAN Cayman Islands	
		s No
	(d) Is the administrator a <i>related person</i> of your firm?	•
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors?	
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) <i>private fund's</i> investors? If investor account statements are not sent to the (rest of the) <i>private fund's</i> investors, respond "not applicable."	unt
pers arkete		Vac
. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If th to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one mar must complete questions (b) through (g) separately for each marketer.	e answ
	No Information Filed	
ION 7.I	3.(2) Private Fund Reporting	
	No Information Filed	
B Partic	cipation or Interest in <i>Client</i> Transactions	
	we request information about your participation and interest in your <i>clients</i> ' transactions. This information identifies additional areas in which conflicts of interest and your <i>clients</i> . Newly-formed advisers should base responses to these questions on the types of participation and interest that you expect to engage in duri	-
tem 7,	Item 8 requires you to provide information about you and your related persons, including foreign affiliates.	
rietary	Interest in <i>Client</i> Transactions	
o you	or any related person:	Yes
	y securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	

(2) buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory *clients*?

than those mentioned in Items 8.A.(1) or (2))?

(3) recommend securities (or other investment products) to advisory clients in which you or any related person has some other proprietary (ownership) interest (other

Sal	es In	terest in <i>Client</i> Transactions		
B.	Doy	you or any related person:	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	•
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related</i> person serves as underwriter or general or managing partner?	0	•
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	•
Inv	estm	ent or Brokerage Discretion		
C.	Doy	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	\odot	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	0
D.	If yo	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	•
E.	Doy	you or any related person recommend brokers or dealers to clients?	0	•
F.	If yo	ou answer "yes" to E. above, are any of the brokers or dealers <i>related persons</i> ?	0	0
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	0	0
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	0
Н.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	0	•
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	0	•
I.	_	you or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any related person) for client errals?	0	•
		esponding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering to the number or amount of client referrals.	vering	7
ltem	9 Cı	ustody		
		em, we ask you whether you or a related person has custody of client (other than clients that are investment companies registered under the Investment Company Act about your custodial practices.	of 19	940)
A.	(1)	Do you have <i>custody</i> of any advisory <i>clients'</i> :	Yes	No
		(a) cash or bank accounts?	\circ	•
		(b) securities?	0	•
	clie	ou are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption and to the presumption of the presumption		ou
	(2)	If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for which you have <i>custody</i> :		
		U.S. Dollar Amount Total Number of <i>Clients</i>		
		(a) \$ (b)		
	of th	ou are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services you wide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response to	ou	
B.	(1)	In connection with advisory services you provide to clients, do any of your related persons have custody of any of your advisory clients':	Yes	No
		(a) cash or bank accounts?	\odot	0
		(b) securities?	•	0

	You	are required to answer this item regardles	ss of how you answered Item 9.A.(1)(a) or (b).		
	(2)	If you checked "yes" to Item 9.B.(1)(a) or (custody:	(b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for which your <i>related perso</i>	ns have	
		U.S. Dollar Amount	Total Number of Clients		
		(a) \$ 73,511,332,303	(b) 14		
<u></u>	If vo	uu or vour related persons have custody of a	client funds or securities in connection with advisory services you provide to clients, check all the following that apply:		
C.	-		statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.		
	(2)		s annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the	✓	
	(-)	investors in the pools.	s annually the peolog investment verilology that you manage and the addition interest electronic are distributed to the		
	(3)	An independent public accountant conduc	ucts an annual surprise examination of <i>client</i> funds and securities.		
	(4)	An independent public accountant prepar	res an internal control report with respect to custodial services when you or your related persons are qualified custodians		
		for <i>client</i> funds and securities.			
	repo		t in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or prepare an interna- ot have to list auditor information in Section 9.C. of Schedule D if you already provided this information with respect to the ule D).		1
D.	Doy	ou or your related person(s) act as qualifie	ed custodians for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	Yes	No
	-	you act as a qualified custodian		0	•
	(2)	your related person(s) act as qualified cus	ustodian(s)		·
				_	
	-		d persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) mus rdless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advi		
E.	-	ou are filing your annual updating amendments (MM/YYYY) the examination commenced:	nent and you were subject to a surprise examination by an independent public accountant during your last fiscal year, pro	vide the	
F.	-	u or your related persons have custody of cour clients in connection with advisory serv	client funds or securities, how many persons, including, but not limited to, you and your related persons, act as qualified or related to clients?	ustodiar	IS
SEC	TION	9.C. Independent Public Accountant			
			No Information Filed		
lton	10 (Control Persons			
In t		m, we ask you to identify every <i>person</i> that	at, directly or indirectly, controls you. If you are filing an umbrella registration, the information in Item 10 should be provided	for the fi	ling
Scl	nedul		t, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive ct owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or must complete Schedule C.		at
A.	Doe	es any <i>person</i> not named in Item 1.A. or Sc	chedules A, B, or C, directly or indirectly, <i>control</i> your management or policies?		©
	If ye	es, complete Section 10.A. of Schedule D.			
B.		y <i>person</i> named in Schedules A, B, or C on a se complete Section 10.B. of Schedule D.	or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act	of 1934,	
SEC	TION	10.A. Control Persons			
			No Information Filed		
SEC	TION	10.B. Control Person Public Reporting C	Companies		

No Information Filed

Item 11 Disclosure Information In this Item, we ask for information about your disciplinary history and the disciplinary history of all your advisory affiliates. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the filing adviser and all relying advisers under an umbrella registration. Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are. If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed. You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11. Yes No Do any of the events below involve you or any of your supervised persons? ⊚ 0 For "yes" answers to the following questions, complete a Criminal Action DRP: A. In the past ten years, have you or any advisory affiliate: Yes No (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony? **©** (2) been charged with any felony? If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are currently pending. B. In the past ten years, have you or any advisory affiliate: (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving: investments or an investment- \circ related business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses? (2) been charged with a misdemeanor listed in Item 11.B.(1)? **(** If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pendina. For "yes" answers to the following questions, complete a Regulatory Action DRP: C. Has the SEC or the Commodity Futures Trading Commission (CFTC) ever: Yes No (1) found you or any advisory affiliate to have made a false statement or omission? \odot (2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes? \odot (3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or \circ restricted? (4) entered an order against you or any advisory affiliate in connection with investment-related activity? **(** \circ (5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity? \odot D. Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority: (1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical? **(** (2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes? (3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? (4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity? \circ (5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity? E. Has any self-regulatory organization or commodities exchange ever: (1) found you or any advisory affiliate to have made a false statement or omission? (2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved \circ by the SEC)? (3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? (4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate \circ \odot

from association with other members, or otherwise restricting your or the advisory affiliate's activities?

F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	\circ	\odot
G.	Are you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	•	0
For	r "yes" answers to the following questions, complete a Civil Judicial Action DRP:		
Н.	(1) Has any domestic or foreign court:	Yes	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	\odot	0
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	•
	(c) ever dismissed, pursuant to a settlement agreement, an investment-related civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?	0	•
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	•	0
lton	n 12 Small Businesses		
The of "	e SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the dismall business" or "small organization" under rule 0-7. Swer this Item 12 only if you are registered or registering with the SEC and you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management in \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from State registration.	of les	ss
	purposes of this Item 12 only:		
	 Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of <i>clients</i>. In determining your or another <i>person's</i> total assets, you may use assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger). Control means the power to direct or cause the direction of the management or policies of a <i>person</i>, whether through ownership of securities, by contract, or otherwise <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another <i>person</i> is protocontrol the other <i>person</i>. 	e. Any	,
		Yes	No
A.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	O	\circ
If "	yes," you do not need to answer Items 12.B. and 12.C.		
В.	Do you:		
	(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
C.	Are you:		
	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent	_	_

Schedule A

Direct Owners and Executive Officers

fiscal year?

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities.
 - For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? ONO
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.

- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	1	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID
							No.
SINGER, PAUL, ELLIOTT	I	PRESIDENT, DIRECTOR, CO-CHIEF EXECUTIVE	10/1994	NA	Y	N	872317
		OFFICER, CO-CHIEF INVESTMENT OFFICER					
ELLIOTT CAPITAL ADVISORS, L.P.	DE	SHAREHOLDER	06/1997	E	Υ	N	292232
JOEL, EDWARD, THOMAS	I	CHIEF COMPLIANCE OFFICER	07/2005	NA	Υ	N	1878527
GREENBERG, ELLIOT	I	VICE PRESIDENT	08/2005	NA	N	N	1250067
POLLOCK, JONATHAN, D	I	VICE PRESIDENT, CO-CHIEF EXECUTIVE	01/2011	NA	Υ	N	1619709
		OFFICER AND CO-CHIEF INVESTMENT OFFICER					
SHOHET, ZION, MOSHE	I	CHIEF OPERATING OFFICER AND VICE	07/2015	NA	Υ	N	5730424
		PRESIDENT					
ZABEL, RICHARD, BENJAMIN	I	CHIEF LEGAL OFFICER, GENERAL COUNSEL	09/2015	NA	Υ	N	6582384
		AND VICE PRESIDENT					
HOBBEHEYDAR, JAIME	I	CHIEF MARKETING AND INVESTOR RELATIONS	01/2017	NA	N	N	6775500
		OFFICER					
MAGNAN, JEAN-YVES	I	CHIEF FINANCIAL OFFICER, VICE PRESIDENT,	10/2019	NA	N	N	7242973
		SECRETARY, TREASURER					

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;
 - For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
 - (c) in the case of an owner that is a trust, the trust and each trustee; and
 - (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of
Name, First Name, Middle Name)		Interest is Owned		Acquired	Code	Person		Birth, IRS Tax No. or Employer ID No.
				MM/YYYY				
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET	MANAGING MEMBER,	02/2003	E	Υ	N	872317
		MANAGEMENT	PRESIDENT					
		LLC						
SINGER, PAUL, ELLIOTT	I	BRAXTON	PRESIDENT, DIRECTOR,	04/1997	E	Υ	N	872317
		ASSOCIATES, INC.	SHAREHOLDER					
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL	GENERAL PARTNER	09/1986	F	Υ	N	
		ADVISORS, L.P.						
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL	GENERAL PARTNER	02/2003	F	Υ	N	
		ADVISORS L P						

APRIL 1, 1990 ANDREW SINGER	DE	ELLIOTT CAPITAL	LIMITED PARTNER	07/2009	С	N	N	
TRUST		ADVISORS, L.P.						
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL	LIMITED PARTNER	12/2019	С	N	N	
		ADVISORS, L.P.						
KAPLAN, MYRON	I	ELLIOTT CAPITAL	TRUSTEE OF THE APRIL 1,	07/2009	F	N	N	5907834
		ADVISORS, L.P.	1990 ANDREW SINGER TRUST					
KAPLAN, MYRON	I	ELLIOTT CAPITAL	TRUSTEE OF THE PAUL E.	12/2019	F	N	N	5907834
		ADVISORS, L.P.	SINGER FAMILY TRUST IIH					
SINGER, GORDON, MATTHEW	I	ELLIOTT CAPITAL	TRUSTEE OF THE PAUL E.	12/2019	F	N	N	5908245
		ADVISORS, L.P.	SINGER FAMILY TRUST IIH					
MORRIS-SINGER, ANDREW	I	ELLIOTT CAPITAL	TRUSTEE OF THE APRIL 1,	08/2008	F	N	N	5908262
		ADVISORS, L.P.	1990 ANDREW SINGER TRUST					
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL	GENERAL PARTNER	12/2018	F	Y	N	292231
		ADVISORS, L.P.						
SINGER, PAUL, ELLIOTT	I	ELLIOTT	MANAGING MEMBER,	03/2017	Е	Y	N	872317
		ADVISORS GP LLC	PRESIDENT					

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

THE REGULATORY ASSETS UNDER MANAGEMENT PROVIDED IN SECTION 5.F., THE GROSS ASSETS FIGURES PROVIDED IN SECTION 7.B.(1) AND THE DOLLAR AMOUNTS PROVIDED IN SECTION 9 ARE BASED ON UNAUDITED FIGURES AS OF DECEMBER 31, 2019. AS OF DECEMBER 31, 2019, ELLIOTT HAD APPROXIMATELY US\$40,520,527,098 OF NET ASSETS UNDER MANAGEMENT ON A DISCRETIONARY BASIS (EXCLUDING LIABILITIES FOR DEFERRED COMPENSATION). THE WEBSITE FOR THE FILING ADVISER CONTAINS A LINK TO "RELATED SITES". SUCH RELATED SITES LINK INCLUDES LINKS TO INVESTMENT RELATED WEBSITES FOR WHICH THE FILING ADVISER AND/OR THE RELYING ADVISERS CONTROL THE CONTENT. OF THE ENTITIES IDENTIFIED IN RESPONSE TO QUESTION 3(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO ELLIOTT ASSOCIATES, L.P. ("EALP"), ONLY ELLIOTT INVESTMENT MANAGEMENT L.P. ("EIM") MANAGES EALP; THE OTHER ENTITIES PROVIDE SERVICES EITHER DIRECTLY OR INDIRECTLY TO EALP PURSUANT TO SERVICE AGREEMENTS. OF THE ENTITIES IDENTIFIED IN RESPONSE TO QUESTION 3(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO ELLIOTT INTERNATIONAL, L.P. ("EILP"), ONLY EIM MANAGES EILP; THE OTHER ENTITIES PROVIDE SERVICES EITHER DIRECTLY OR INDIRECTLY TO EILP PURSUANT TO SERVICE AGREEMENTS. NONE OF THE ENTITIES IDENTIFIED IN RESPONSE TO QUESTION 3(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO GINSBERG AGGREGATOR LP ("GINSBERG") SPONSOR OR MANAGE GINSBERG; RATHER, THEY PROVIDE SERVICES EITHER DIRECTLY OR INDIRECTLY TO GINSBERG PURSUANT TO SERVICE AGREEMENTS. REGISTRANT'S RESPONSE TO QUESTION 14 OF 7.B.(1) OF SCHEDULE D FOR EILP INCLUDES DEFERRED COMPENSATION. IN RESPONSE TO QUESTION 27 OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EALP AND EILP, AS WELL AS ELLIOTT INTERMEDIATE CO-INVESTMENT I L.P. AND ELLIOTT INTERMEDIATE CO-INVESTMENT II L.P. (TOGETHER, THE "CIC INTERMEDIATE PARTNERSHIPS"), REGISTRANT REPORTED THAT DURING 2019, 0% OF THE ASSETS OF EALP, EILP AND THE CIC INTERMEDIATE PARTNERSHIPS WERE VALUED BY A PERSON THAT IS NOT A RELATED PERSON OF REGISTRANT. NONETHELESS, DURING 2019: THE BANK OF NEW YORK MELLON ("BNYM"), DUFF & PHELPS ("D&P") AND EMPIRE VALUATION CONSULTANTS ("EMPIRE") PROVIDED CERTAIN PRICE VERIFICATION AND/OR POSITION AND TRANSACTION RECONCILIATION SERVICES WITH RESPECT TO THE PORTFOLIOS OF EALP AND EILP; AND D&P PROVIDED CERTAIN PRICE VERIFICATION SERVICES WITH RESPECT TO THE PORTFOLIOS OF THE CIC INTERMEDIATE PARTNERSHIPS. IN ADDITION, ELLIOTT HAS RETAINED THE SERVICES OF BNYM TO PROVIDE SHADOW FULL ADMINISTRATION SERVICES FOR EALP AND EILP, INCLUDING MAINTAINING THE CUSTOMARY FINANCIAL AND ACCOUNTING BOOKS AND RECORDS IN SUPPORT THEREOF AND DAILY RECONCILIATION OF POSITIONS AND TRANSACTIONS. THE SERVICES PROVIDED BY BNYM, D&P AND EMPIRE ARE MORE FULLY DESCRIBED IN ELLIOTT'S FORM ADV PART 2A, ITEM 6. THE OWNERSHIP INFORMATION FOR HAMBLEDON, INC. AS REPORTED ON SCHEDULE R FOR EACH OF HAMBLEDON, INC. AND HAMBLEDON MANAGEMENT LLC, IS LISTED BY CLASS OF SHARES. THE FILING ADVISER'S AND ITS RELYING ADVISERS' CLIENTS ARE EALP, EILP, ELLIOTT INTERNATIONAL LIMITED ("EIL" AND TOGETHER WITH EALP AND EILP, THE "ELLIOTT FUNDS") AND A CO-INVESTMENT VEHICLE (GINSBERG) FORMED IN CONNECTION WITH AN INVESTMENT MADE BY THE ELLIOTT FUNDS. THE OTHER CLIENTS LISTED IN RESPONSE TO ITEMS 5.C., 5.D., 5.F. AND 9.B.(2) ARE ENTITIES ASSOCIATED WITH CO-INVESTMENT COMMITMENTS (THE "CO-INVESTMENT COMMITMENT") THAT INVEST ALONGSIDE THE ELLIOTT FUNDS IN CERTAIN U.S., CANADIAN AND EUROPEAN PRIVATE EQUITY AND PRIVATE CREDIT INVESTMENTS. A RELYING ADVISER OF THE FILING ADVISER, EIM, MANAGES THE CO-INVESTMENT COMMITMENT. EACH PARTICIPANT IN THE CO-INVESTMENT COMMITMENT (EACH, A "PARTICIPANT") HAS EXECUTED A COMMITMENT AGREEMENT WITH ELLIOTT PURSUANT TO WHICH EACH PARTICIPANT HAS COMMITTED TO INVEST IN THE CO-INVESTMENT OPPORTUNITIES DESCRIBED ABOVE THROUGH VARIOUS ENTITIES THAT IN TURN INVEST SUBSTANTIALLY ALL OF THEIR INVESTABLE ASSETS IN THE CIC INTERMEDIATE PARTNERSHIPS. THE FILING ADVISER DOES NOT CONSIDER THE CIC INTERMEDIATE PARTNERSHIPS TO BE FUNDS, BUT RATHER VIEWS THE STRUCTURE AS A SERIES OF UNRELATED CO-INVESTMENTS ALONGSIDE THE ELLIOTT FUNDS. HOWEVER, IN AN ABUNDANCE OF CAUTION, THE FILING ADVISER HAS COMPLETED SECTION 7.B.(1) OF SCHEDULE D FOR EACH CIC INTERMEDIATE PARTNERSHIP.

Schedule R

SECTION 1 Identifying Information

Responses to this Section tell us who you (the *relying adviser*) are, where you are doing business, and how we can contact you.

A. Your full legal name:

ELLIOTT ADVISORS GP LLC

- B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV Part 1A.
- C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

	You a	lo not have to include the r	names or jurisdictions of the filin	ng adviser or other relying adviser(s) in re	esponse to this Section 1.C.
D.	-	number:	, a number (" <i>CRD</i> Number") as	ssigned by the FINRA's CRD system or b	y the IARD system (other than the filing adviser's CRD number), your
	2922) i		No Information Filed	
	If you	do not have a CRD numb	er, skip this Section 1.D. Do no	ot provide the CRD number of one of your	officers, employees, or affiliates (including the filing adviser).
E.	Princi	pal Office and Place of Bu	siness		
	(1)	Address (do not use a P.	O. Box):		
		Same as the filing adv	viser.		
		Number and Street 1: 40 WEST 57TH STREET		Number and Street 2:	
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10019
		If this address is a private	e residence, check this box: \Box		
	(2)	Days of week that you no		ur principal office and place of business:	
		Normal business hours a 8:30 AM-5:30PM	at this location:		
	(3)	Telephone number at thi (212) 974-6000	s location:		
	(4)	Facsimile number at this	location, if any:		
F.	Mailin	g address, if different from	your principal office and place	e of business address:	
	□ Sa	ame as the filing adviser.			
	Num	ber and Street 1:		Number and Street 2:	
	City:		State:	Country:	ZIP+4/Postal Code:
	If this	s address is a private resid	ence, check this box:		
G.	Provid	de your <i>Legal Entity Identif</i> i	er if you have one:		
	A lega	al entity identifier is a uniqu	e number that companies use	to identify each other in the financial mar	ketplace. You may not have a legal entity identifier.
н	If you	have Central Index Key nu	umbers assigned by the SEC ("	CIK Numbers"), all of your CIK numbers:	
• • •	you	navo comia maok noj na	impore assigned by the GEG (No Information Filed	
		SEC Registration			
				u are eligible to register with the SEC.	EC. You must check at least one of the Sections 2.A.(1) through 2.A.
Λ.	(8), be			ou determine whether you may affirmative	· · · · · · · · · · · · · · · · · · ·
	· (are a large advisory fir	m that either:		
				O million (in U.S. dollars) or more; or	
		(b) has regulatory asse		million (in U.S. dollars) or more at the tim	ne of filing its most recent annual updating amendment and is
		are a mid-sized adviso you are either:	ry firm that has regulatory ass	sets under management of \$25 million (in	U.S. dollars) or more but less than \$100 million (in U.S. dollars) and
		(a) not required to be r	egistered as an adviser with th	e state securities authority of the state wh	nere you maintain your principal office and place of business: or

			Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3) F	Reserved
		(4) h	nave your <i>principal office and place of business</i> outside the United States;
			are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
			are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		li	f you check this box, you must make both of the representations below:
		_	I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register
			with the SEC within 120 days after the date my registration with the SEC becomes effective.
		Γ	By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7) a	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		li	f this is your initial filing as a relying adviser, you must make both of these representations:
		ſ	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the <i>state securities authorities</i> in those states.
		ſ	The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		li	f you are submitting your annual updating amendment, you must make this representation:
			Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state</i> securities authorities in those states.
		(8) h	nave received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		A	Application Number: 803- Date of <i>Order</i> .
		(9) a	are no longer eligible to remain registered with the SEC.
SECT	ΓΙΟN	3 For	rm of Organization
			rm of Organization /ou organized?
		are y	
		are y	you organized?
	How	are y Corp Sole	you organized? poration
	How	are y Corp Sole	you organized? poration e Proprietorship
	How O O	Corp Sole Limi	you organized? poration Proprietorship ited Liability Partnership (LLP)
	How O O	are y Corp Sole Limi Part Limi	you organized? poration Proprietorship ited Liability Partnership (LLP)
	How O O	are y Corp Sole Limi Part Limi	you organized? poration e Proprietorship ited Liability Partnership (LLP) tnership ited Liability Company (LLC)
A.	How OOOOOO	Corp Sole Limi Part Limi Limi	you organized? poration a Proprietorship ited Liability Partnership (LLP) ited Liability Company (LLC) ited Partnership (LP) ar (specify):
A.	How O O O O O O O O O O O O O O O O O O O	Corp Sole Limi Part Limi Othe hat m	you organized? poration a Proprietorship ited Liability Partnership (LLP) itership ited Liability Company (LLC) ited Partnership (LP) er (specify): wonth does your fiscal year end each year? ER e laws of what state or country are you organized?
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B. C. SECT In th (1) 5	How C C C C C C C C C C C C C C C C C C C	are y Corp Sole Limi Part Limi Othe hat m EMBle er the aware u are 4.A.	you organized? poration Proprietorship Ited Liability Partnership (LLP) Intership Ited Liability Company (LLC) Ited Partnership (LP) ar (specify): Onth does your fiscal year end each year? ER Selaws of what state or country are you organized? Country E United States a partnership, provide the name of the state or country under whose laws your partnership was formed. Direct Owners and Executive Officers 4, we ask you to identify each other person that, directly or indirectly, controls you. As asks for information about your direct owners and executive officers.
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securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to

(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

- acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? O Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name,			Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or
Middle Name)			MM/YYYY				Employer ID No.
SINGER, PAUL, ELLIOTT	I	MANAGING MEMBER,	03/2017	E	Υ	N	872317
		PRESIDENT					

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

No Information Filed

SECTION 4.C. Control Persons

Yes No

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?



If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

				No Information Filed		
SEC	TION 4	I.D. Control Persons - Public	Penerting Companies			
SEC	,11ON 4	k.D. Control Fersons - Fublic	Reporting Companies	No Information Filed		
SEC	CTION 1	1 Identifying Information				
Res	sponse	es to this Section tell us who yo	ou (the <i>relying adviser</i>) are, wh	here you are doing business, and	how we can contact you.	
A.		full legal name: DTT CAPITAL ADVISORS, L.P.				
B.	Name	e under which you primarily co	nduct your advisory business,	if different from Section 1.A. abo	ve or Item 1.A. of the filing adviser's Form ADV Part 1A	
C.	List a	any other business names and	I the jurisdictions in which you	use them. Complete this quest	on for each other business name.	
				No Information Filed		
	You o	do not have to include the nam	nes or jurisdictions of the filing	adviser or other relying adviser(s) in response to this Section 1.C.	
D.		number:	number (" <i>CRD</i> Number") assi	gned by the FINRA's CRD system	n or by the IARD system (other than the <i>filing adviser</i>	's <i>CRD</i> number), your
		-		No Information Filed		
	If you	ı do not have a CRD number, :	skip this Section 1.D. Do not p	rovide the CRD number of one o	f your officers, employees, or affiliates (including the	filing adviser).
E.	Princi	ipal Office and Place of Busine	ess			
	(1)	Address (do not use a P.O. I	Вох):			
		Same as the filing advise	er.			
		Number and Street 1: 40 WEST 57TH STREET		Number and Street	2:	
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10019	
		If this address is a private re	esidence, check this box:			
	(2)	Days of week that you norm • Monday - Friday • Other		orincipal office and place of busi	ness:	
		Normal business hours at the 8:30AM-5:30PM	his location:			
	(3)	Telephone number at this lo	ocation:			
	(4)	Facsimile number at this loc	ation, if any:			
F.	Mailin	ng address, if different from yo	ur principal office and place of	f business address:		
	□ Sa	ame as the filing adviser.				
	Num City:	nber and Street 1:	ate:	Number and Street 2: Country:	ZIP+4/Postal Code:	
		s address is a private residenc		333.m.y.		
G	Provid	de your <i>Legal Entity Identifier</i> i	f you have one:			

Н.	A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier. If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed									
SEC	ON 2 SEC Registration									
	onses to this Section help us (and you) determine whether you are eligible to register with the SEC.									
A.	To be a <i>relying adviser</i> , you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A.(3), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. You (the <i>relying adviser</i>):									
	(1) are a large advisory firm that either:									
	(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or									
	(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;									
	(2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) a you are either:	nd								
	(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or									
	(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;									
	Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.									
	(3) Reserved									
	(4) have your principal office and place of business outside the United States;									
	(5) are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;									
	(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;									
	If you check this box, you must make both of the representations below:									
	I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.									
	By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.									
	(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);									
	If this is your initial filing as a relying adviser, you must make both of these representations:									
	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.									
	The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.									
	If you are submitting your annual updating amendment, you must make this representation:									
	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.									
	(8) have received an SEC <i>Order</i> exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:									
	Application Number: 803- Date of <i>Order</i> .									
	(9) are no longer eligible to remain registered with the SEC.									
	ON 3 Form of Organization									
A.	low are you organized? Corporation									
	Corporation Sole Proprietorship									
	C Limited Liability Partnership (LLP)									
	C Partnership									
	C Limited Liability Company (LLC)									

0 (Other	(specify)
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- B. In what month does your fiscal year end each year?
 DECEMBER
- C. Under the laws of what state or country are you organized?

State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name, Middle Name)			Acquired MM/YYYY	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
APRIL 1, 1990 ANDREW SINGER TRUST	DE	LIMITED PARTNER	07/2009	С	N	N	
BRAXTON ASSOCIATES, INC.	DE	GENERAL PARTNER	09/1986	NA	Υ	N	
ELLIOTT ADVISORS GP LLC	DE	GENERAL PARTNER	12/2018	NA	Υ	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	GENERAL PARTNER	02/2003	NA	Υ	N	
GORDON M. SINGER 1983 TRUST II	DE	LIMITED PARTNER	09/2011	В	N	N	
JUNE 1, 2006 GORDON M. SINGER 1983 TRUST	DE	LIMITED PARTNER	06/2006	В	N	N	
KAPLAN, MYRON	I	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	07/2009	С	N	N	5907834
KAPLAN, MYRON	I	TRUSTEE OF THE JUNE 1, 2006 GORDON M. SINGER 1983 TRUST	06/2006	В	N	N	5907834
KAPLAN, MYRON	I	TRUSTEE OF THE GORDON M. SINGER 1983 TRUST II	09/2011	В	N	N	5907834
KAPLAN, MYRON	I	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	С	N	N	5907834
LEVINE, JOSHUA	I	CHIEF FINANCIAL OFFICER	09/2019	NA	N	N	6025009
MORRIS-SINGER, ANDREW	I	TRUSTEE OF THE APRIL 1, 1990 ANDREW	08/2008	С	N	N	5908262

		SINGER TRUST					
PAUL E. SINGER FAMILY TRUST	DE	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	I	TRUSTEE OF THE PAUL E. SINGER FAMILY	12/2019	С	N	N	5908245
		TRUST IIH					
SINGER, GORDON, MATTHEW	I	TRUSTEE OF THE JUNE 1, 2006 GORDON	06/2006	В	N	N	5908245
		M. SINGER 1983 TRUST					
SINGER, GORDON, MATTHEW	I	TRUSTEE OF THE GORDON M. SINGER	09/2011	В	N	N	5908245
		1983 TRUST II					

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of
Name, First Name, Middle Name)		Interest is Owned		Acquired	Code	Person		Birth, IRS Tax No. or Employer ID No.
				MM/YYYY				
SINGER, PAUL, ELLIOTT	I	BRAXTON	PRESIDENT,	04/1997	E	Υ	N	872317
		ASSOCIATES, INC.	DIRECTOR,					
			SHAREHOLDER					
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET	MANAGING MEMBER,	02/2003	E	Υ	Ν	872317
		MANAGEMENT LLC	PRESIDENT					
SINGER, PAUL, ELLIOTT	I	ELLIOTT	MANAGING MEMBER,	03/2017	E	Υ	N	872317
		ADVISORS GP LLC	PRESIDENT					

SECTION 4.C. Control Persons

C. Does any *person* not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, *control* your management or policies?

Yes No

 \circ

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

Res	Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.										
A.		full legal name: OTT SPECIAL GP, LLC									
B.	Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the <i>filing adviser's</i> Form ADV Part 1A.										
C.	List a	ny other business names and the jur	isdictions in which you use th	nem. Complete this question for	each other business name.						
				No Information Filed							
	You d	lo not have to include the names or ju	risdictions of the filing advise	r or other relying adviser(s) in re	esponse to this Section 1.C.						
D.	-	number:	("CRD Number") assigned b	y the <i>FINRA's CRD</i> system or b	y the IARD system (other than the filing adviser's CRD number), your						
	2022			No Information Filed							
	If you	do not have a CRD number, skip this	Section 1.D. Do not provide	the CRD number of one of your	officers, employees, or affiliates (including the filing adviser).						
E.	Princi	pal Office and Place of Business									
	(1)	Address (do not use a P.O. Box):									
		Same as the filing adviser.									
		Number and Street 1: 40 WEST 57TH STREET		Number and Street 2:							
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10019						
		If this address is a private residence	e, check this box:								
	(2)	Days of week that you normally con Monday - Friday Other:	duct business at your <i>princip</i> a	al office and place of business:							
		Normal business hours at this loca 8:30AM-5:30PM	tion:								
	(3)	Telephone number at this location: (212)-974-6000									
	(4)	Facsimile number at this location, if	any:								
F.	Mailin	g address, if different from your <i>princ</i>	ipal office and place of busing	ess address:							
	□ Sa	ame as the filing adviser.									
	Num City:	ber and Street 1: State:		Number and Street 2: Country:	ZIP+4/Postal Code:						
	If this	s address is a private residence, chec	ck this box: 🗆								
G.	Provid	de your <i>Legal Entity Identifier</i> if you ha	ive one:								
	A lega	al entity identifier is a unique number t	that companies use to identify	each other in the financial mar	ketplace. You may not have a legal entity identifier.						
Н.	CIK N	have Central Index Key numbers ass	igned by the SEC ("CIK Numl	pers"), all of your CIK numbers:							
	1313	0000									

SECTION 2 SEC Registration									
Responses to this Section help us (and you) determine whether you are eligible to register with the SEC.									
A. To be a <i>relying adviser</i> , you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A. (8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. You (the <i>relying adviser</i>):	٨.								
(1) are a large advisory firm that either:									
(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or									
(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;									
(2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) a you are either:	and								
(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or									
(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;									
Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.									
(3) Reserved									
(4) have your <i>principal office and place of business</i> outside the United States ;									
(5) are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;									
☐ (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days ;									
If you check this box, you must make both of the representations below:									
I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.	er								
By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.									
(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);									
If this is your initial filing as a relying adviser, you must make both of these representations:									
I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.									
The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.	I								
If you are submitting your annual updating amendment, you must make this representation:									
Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.									
(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:									
Application Number: 803- Date of Order.									
(9) are no longer eligible to remain registered with the SEC.									
SECTION 3 Form of Organization									
A. How are you organized?									
C Corporation									
C Sole Proprietorship									
C Limited Liability Partnership (LLP)									
C Partnership									
Limited Liability Company (LLC)									
C Limited Partnership (LP)									
C Other (specify):									
B. In what month does your fiscal year end each year? DECEMBER									
C. Under the laws of what state or country are you organized?									
State Country									

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting
 - securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control.

 Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			MM/YYYY				No. or Employer ID No.
ELLIOTT CAPITAL ADVISORS, L.P.	DE	MANAGING MEMBER	09/2004	NA	Υ	N	292232
HOBBEHEYDAR, JAIME	I	MEMBER	04/2010	А	N	N	6775500
KAPLAN, MYRON	I	TRUSTEE OF SAMUEL AND SOPHIE SINGER 2011 TRUST	10/2011	В	N	N	5907834
SAMUEL AND SOPHIE SINGER 2011 TRUST	DE	MEMBER	10/2011	В	N	N	xxx-xx-xxxx
SINGER, GORDON, MATTHEW	I	MEMBER	01/2010	С	N	N	5908245
SINGER, PAUL, ELLIOTT	I	PRESIDENT AND MANAGING MEMBER	09/2004	NA	Υ	N	872317
YUSTEIN, ROSS, LEONARD	I	TRUSTEE OF SAMUEL AND SOPHIE SINGER 2011 TRUST	10/2011	В	N	N	6904717

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.

- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date
Name, First Name, Middle Name)		Interest is Owned		Acquired MM/YYYY	Code	Person		of Birth, IRS Tax No. or Employer ID No.
APRIL 1, 1990 ANDREW SINGER TRUST	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	07/2009	С	N	N	
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Υ	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Υ	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Υ	N	
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	07/2009	F	N	N	5907834
MORRIS-SINGER, ANDREW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	08/2008	F	N	N	5908262
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5908245
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	F	Υ	N	872317

SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

Yes No \circ

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If yes, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

Your full legal name:

ELLIOTT SPECIAL MANAGER, LLC

C.	List a	ny other business names an	d the jurisdictions in which yo	ou use them. Complete this question f	or each other business name.							
				No Information Filed								
	You d	lo not have to include the nar	mes or jurisdictions of the filing	g adviser or other relying adviser(s) in	response to this Section 1.C.							
D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), CRD number: 292241												
	29224	*1		No Information Filed								
	If you	do not have a CRD number,	skip this Section 1.D. Do not	provide the CRD number of one of yo	our officers, employees, or affiliates (including the filing adviser).							
E.	Princi	pal Office and Place of Busin	ess									
	(1)	Address (do not use a P.O.	Box):									
		☑ Same as the filing advis	er.									
		Number and Street 1: 40 WEST 57TH STREET		Number and Street 2:								
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10019							
			esidence, check this box:	Officed States	10013							
	(2)	Days of week that you norm	nally conduct business at your	principal office and place of business	o·							
	(2)	Monday - Friday ○ Other	r:	principal office and place of business	.							
		Normal business hours at 8:30AM-5:30PM	this location:									
	(3)	Telephone number at this I (212) 974-6000	ocation:									
	(4)	Facsimile number at this lo	cation, if any:									
F.	Mailin	g address, if different from yo	our principal office and place o	of business address:								
	□ Sa	ame as the filing adviser.										
	Numi	ber and Street 1:		Number and Street 2:								
	City:	S	tate:	Country:	ZIP+4/Postal Code:							
	If this	s address is a private resider	nce, check this box:									
G.	Provid	de your <i>Legal Entity Identifier</i>	if you have one:									
	A lega	al entity identifier is a unique	number that companies use to	o identify each other in the financial ma	arketplace. You may not have a legal entity identifier.							
H.	If you	have Central Index Key num	bers assigned by the SEC ("C	IK Numbers"), all of your CIK numbers No Information Filed	S:							
SEC	ΓΙΟN 2	SEC Registration										
Res	oonses	s to this Section help us (and	I you) determine whether you	are eligible to register with the SEC.								

A. To be a *relying adviser*, you must be independently eligible to register (or remain registered) with the SEC. You must check **at least one** of the Sections 2.A.(1) through 2.A.

(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.

	You	(the	e relying adviser):
		(1)	are a large advisory firm that either:
			(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
			(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent <i>annual updating amendment</i> and is registered with the SEC;
		(2)	are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
			(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
			(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
			Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3)	Reserved
		(4)	have your principal office and place of business outside the United States;
	✓	(5)	are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6)	are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
			If you check this box, you must make both of the representations below:
			I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
			By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7)	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
			If this is your initial filing as a relying adviser, you must make both of these representations:
			I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
			The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
			If you are submitting your annual updating amendment, you must make this representation:
			Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
		(8)	have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
			Application Number: 803- Date of <i>Order</i> :
		(9)	are no longer eligible to remain registered with the SEC.
			form of Organization
A.	How		e you organized? orporation
	0		ble Proprietorship
	0		mited Liability Partnership (LLP)
	0	Pa	artnership
	•	Lir	mited Liability Company (LLC)
	0	Lir	mited Partnership (LP)
	0	Ot	her (specify):
В.			month does your fiscal year end each year? BER
C.	Und	er tl	ne laws of what state or country are you organized?
	Sta		Country
	De	lawa	are United States
	If yo	ou ai	re a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to
 - (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.

acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5%
- B 10% but less than 25% D 50% but less than 75%

- A 5% but less than 10% $\,$ C 25% but less than 50% $\,$ E 75% or more
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name,			Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Middle Name)			MM/YYYY				No. or Employer ID No.
ELLIOTT ASSET MANAGEMENT	DE	MANAGER	10/2009	NA	Υ	Ν	
LLC							
KAPLAN, MYRON	I	TRUSTEE OF PAUL E. SINGER	01/2018	Е	N	Ν	5907834
		FAMILY TRUST IIG					
MORRIS-SINGER, ANDREW	ı	TRUSTEE OF PAUL E. SINGER	12/2018	Е	N	Ν	5908262
		FAMILY TRUST IIG					
PAUL E. SINGER FAMILY TRUST IIG	DE	NON-MANAGING MEMBER	01/2018	E	N	Ν	
SINGER, GORDON, MATTHEW	I	TRUSTEE OF PAUL E. SINGER	01/2018	E	N	N	5908245
		FAMILY TRUST IIG					

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- C 25% but less than 50% E 75% or more (6) Ownership codes are:
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control.

Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons. (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act. (c) Complete each column. Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing. FULL LEGAL NAME (Individuals: Last DE/FE/I Entity in Which Status Date Status Ownership Control PR CRD No. If None: S.S. No. and Date of Name, First Name, Middle Name) Interest is Owned Acquired Code Person Birth, IRS Tax No. or Employer ID No. MM/YYYY SINGER, PAUL, ELLIOTT **ELLIOTT ASSET** MANAGING 02/2003 Е N 872317 MEMBER. MANAGEMENT LLC **PRESIDENT SECTION 4.C. Control Persons** Yes No. C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies? • \circ If yes, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your management or policies. No Information Filed SECTION 4.D. Control Persons - Public Reporting Companies No Information Filed **SECTION 1 Identifying Information** Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you. Your full legal name: HAMBLEDON, INC. B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV Part 1A. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name. No Information Filed You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C. D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number: 292242 No Information Filed If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box): Same as the filing adviser. Number and Street 1: Number and Street 2: 309 UGLAND HOUSE, SOUTH CHURCH STREET Citv: State: Country: ZIP+4/Postal Code: **GRAND CAYMAN** Cayman Islands If this address is a private residence, check this box:

	(2)		Days of week that yo Monday - Friday		at your principal office and place of	f business:	
			Normal business how 3:30AM-5:30PM	urs at this location:			
	(3)		Telephone number a (212) 974-6000	at this location:			
	(4)	F	Facsimile number at	this location, if any:			
F.	Mail	ing	address, if different f	from your <i>principal office and</i>	I place of business address:		
		San	ne as the <i>filing advis</i>	ser.			
	Nu	mhe	er and Street 1:		Number and Street	at 2·	
	City		and otteet 1.	State:	Country:	ZIP+4/Postal Code:	
	If th	nis a	address is a private r	residence, check this box:			
G.	Prov	/ide	your Legal Entity Ide	entifier if you have one:			
	A le	gal e	entity identifier is a u	inique number that companie	s use to identify each other in the fin	nancial marketplace. You may not have a legal entity identifier.	
H.	If yo	u ha	ave Central Index Ke	ey numbers assigned by the S	SEC ("CIK Numbers"), all of your CIK No Information F		
SEC	TION	2 S	SEC Registration				
Res	pons	es t	to this Section help u	us (and you) determine wheth	ner you are eligible to register with th	he SEC.	
A.	(8),	belo	w. Part 1A Instruction		• • • • • • • • • • • • • • • • • • • •) with the SEC. You must check at least one of the Sections 2.A.(1) through affirmatively respond to each of these items.	ugh 2.A.
		-	e relying adviser):				
	V	(1)	are a large advisor				
			(a) has regulatory	assets under management o	of \$100 million (in U.S. dollars) or mo	iore; or	
			(b) has regulatory registered with		f \$90 million (in U.S. dollars) or more	ere at the time of filing its most recent annual updating amendment and	is
		(2)	are a mid-sized ad you are either:	visory firm that has regulato	ry assets under management of \$25	25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dol	llars) and
			(a) not required to	be registered as an adviser v	with the state securities authority of the	the state where you maintain your principal office and place of business;	; or
			(b) not subject to e	examination by the state secur	rities authority of the state where you	u maintain your principal office and place of business;	
			Click HERE for	r a list of states in which an in	vestment adviser, if registered, would	ld not be subject to examination by the state securities authority.	
		(3)	Reserved				
		(4)	have your <i>principal</i>	office and place of business	outside the United States;		
		(5)			controls, is controlled by, or is under s the same as the registered advise	er common control with, an investment adviser that is registered with the er;	SEC,
		(6)	are an adviser rely	ring on rule 203A-2(c) becaus	e you expect to be eligible for SEC	registration within 120 days;	
			If you check this bo	ox, you must make both of the	e representations below:		
					red with the SEC or a state securities my registration with the SEC become	es authority and I have a reasonable expectation that I will be eligible to rose effective.	register
				this application for <i>umbrella</i>	_	amendment to this <i>umbrella registration</i> to remove this Schedule R if, or effective, I would be prohibited by Section 203A(a) of the Advisers Act from	
		(7)	are a multi-state a	dviser that is required to regi	ster in 15 or more states and is relyi	/ing on rule 203A-2(d);	
			If this is your initial	filing as a relying adviser, yo	u must make both of these represen	ntations:	
				d the applicable state and fed		I am required by the laws of 15 or more states to register as an investme	ent

The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I

 (a) each Chief Executive Office (b) if you are organized as a company subject to Section Direct owners include any preservatives. For purposes of spouse, sibling, mother-inacquire, within 60 days, through the case of a trust that discontinuous pour capital; (d) in the case of a trust that discontinuous pour capital, the trust and expour capital, the trust and expour	corporation, each shareholder that is a direct owner of 5% or more of a class of your voting stion 12 or 15(d) of the Exchange Act); If person that owns, beneficially owns, has the right to vote, or has the power to sell or direct of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, step in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the prough the exercise of any option, warrant, or right to purchase the security. Deartnership, all general partners and those limited and special partners that have the right to receive the each trustee; and limited liability company ("LLC"), (i) those members that have the right to receive upon dissoluted managers, all elected managers. Bers to be reported on Section 4.B. below? Person No Senter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or dom al. Solumn by entering board/management titles; status as partner, trustee, sole proprietor, electic eclass of securities owned (if more than one is issued). Be 10% but less than 25% Be - 10% but less than 50% Ee - 75% or more mn, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, a tion, most executive officers and all 25% owners, general partners, elected managers, and this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B we ser. If you check the box, the system will pre-fill these fields for you, but you will be able to me stime.	the sale of, 5% or more of a class of your voting ochild, grandchild, parent, stepparent, grandparent, same residence; or (ii) that he/she has the right to preceive upon dissolution, or have contributed, 5% or upon dissolution, or has contributed, 5% or more of ution, or have contributed, 5% or more of ution, or have contributed, 5% or more of your capital diciled in a foreign country, or "I" if the owner or exted manager, shareholder, or member; and for and enter "No" if the <i>person</i> does not have <i>control</i> , trustees are <i>control persons</i> .
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 (a) each Chief Executive Office (b) if you are organized as a concept (a company subject to Section Direct owners include any procession of securities. For purposes of spouse, sibling, mother-in-lacquire, within 60 days, through (c) if you are organized as a paramore of your capital; 	corporation, each shareholder that is a direct owner of 5% or more of a class of your voting section 12 or 15(d) of the Exchange Act); a person that owns, beneficially owns, has the right to vote, or has the power to sell or direct of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, step a-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the brough the exercise of any option, warrant, or right to purchase the security.	the sale of, 5% or more of a class of your voting ochild, grandchild, parent, stepparent, grandparent, same residence; or (ii) that he/she has the right to preceive upon dissolution, or have contributed, 5% or
 (a) each Chief Executive Office (b) if you are organized as a concept (a company subject to Section Direct owners include any procession of the securities. For purposes of spouse, sibling, mother-in-lacquire, within 60 days, through (c) if you are organized as a page. 	corporation, each shareholder that is a direct owner of 5% or more of a class of your voting section 12 or 15(d) of the Exchange Act); a person that owns, beneficially owns, has the right to vote, or has the power to sell or direct of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, step in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the brough the exercise of any option, warrant, or right to purchase the security.	securities, unless you are a public reporting company the sale of, 5% or more of a class of your voting ochild, grandchild, parent, stepparent, grandparent, same residence; or (ii) that he/she has the right to
 (a) each Chief Executive Office (b) if you are organized as a content (a company subject to Section Direct owners include any procession of the securities. (a) Executive Office (b) If you are organized as a content (a) in your area (c) If you are organized as a content (a) in your area (d) If you are organized as a content (a) in your area (e) If you are organized as a content (a) in your area (e) If you are organized as a content (a) in your area (e) If you are organized as a content (a) in your area (e) If you are organized as a content (a) in your area (e) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (e) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (e) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a) in your area (f) If you are organized as a content (a)	corporation, each shareholder that is a direct owner of 5% or more of a class of your voting section 12 or 15(d) of the Exchange Act); If person that owns, beneficially owns, has the right to vote, or has the power to sell or direct of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, step on-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the	securities, unless you are a public reporting company the sale of, 5% or more of a class of your voting ochild, grandchild, parent, stepparent, grandparent,
 (a) each Chief Executive Office (b) if you are organized as a conjugate (a company subject to Section Direct owners include any particles. For purposes of 	corporation, each shareholder that is a direct owner of 5% or more of a class of your voting section 12 or 15(d) of the Exchange Act); If person that owns, beneficially owns, has the right to vote, or has the power to sell or direct of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, step	securities, unless you are a public reporting company the sale of, 5% or more of a class of your voting ochild, grandchild, parent, stepparent, grandparent,
(a) each Chief Executive Office(b) if you are organized as a control (a company subject to Section)	corporation, each shareholder that is a direct owner of 5% or more of a class of your voting setion 12 or 15(d) of the Exchange Act);	securities, unless you are a public reporting company
(a) each Chief Executive Office(b) if you are organized as a co	corporation, each shareholder that is a direct owner of 5% or more of a class of your voting s	
		ner individuals with similar status or functions:
(2) Direct Owners and Everything C	Officers. List below the names of: cer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any oth	
	ion about your direct owners and executive officers.	
In this Section 4, we ask you to ider	entify each other person that, directly or indirectly, controls you.	
SECTION 4.A. Direct Owners and E	Executive Officers	
If you are a partnership, provid	ide the name of the state or country under whose laws your partnership was formed.	
Cayman Islands		
State Country Cayman Islands		
C. Under the laws of what state o	or country are you organized?	
DECEMBEN		
 B. In what month does your fiscal DECEMBER 	al year end each year?	
-		
Other (specify):		
C Limited Partnership (LP)		
PartnershipLimited Liability Compan	uny (LLC)	
C Limited Liability Partners	rship (LLP)	
Sole Proprietorship		
Corporation		
A. How are you organized?		
SECTION 3 Form of Organization		
	le to remain registered with the SEC.	
(9) are no longer eligible	: 803- Date of Order.	
Application Number: (9) are no longer eligible		this box, provide the following information:
Application Number:	EC Order exempting you from the prohibition against registration with the SEC. If you check	
laws of at least 15 (8) have received an SEC Application Number:	15 states to register as an investment adviser with the state securities authorities in those st	
Within 90 days pr laws of at least 15 (8) have received an SEC Application Number:	prior to the date of filing this amendment, I have reviewed the applicable state and federal law 15 states to register as an investment adviser with the state securities authorities in those st	
If you are submitting y Within 90 days pr laws of at least 15 (8) have received an SEC Application Number:	15 states to register as an investment adviser with the state securities authorities in those st	ws and have concluded that I am required by the

Middle Name)			MM/YYYY				Employer ID No.
ELLIOTT CAPITAL ADVISORS, L.P.	DE	CLASS C	11/2019	Е	N	N	292232
		SHAREHOLDER					
ELLIOTT INTERNATIONAL CAPITAL	DE	DIRECTOR	12/2010	NA	Υ	N	
ADVISORS INC.							
ELLIOTT INTERNATIONAL LIMITED	FE	CLASS B	12/2010	Е	N	N	
		SHAREHOLDER					
ELLIOTT INTERNATIONAL SPECIAL GP,	FE	CLASS A	11/2019	E	Υ	N	
LLC		SHAREHOLDER					
KAPLAN, MYRON	I	DIRECTOR	07/2002	NA	Υ	N	5907834
SINGER, PAUL, ELLIOTT	ı	PRESIDENT	08/2005	NA	Υ	N	872317

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% $\,\,$ F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)		Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	· ·	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
APRIL 1, 1990 ANDREW SINGER TRUST	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	07/2009	С	N	N	
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Υ	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Υ	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Υ	N	
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MANAGER	12/2018	F	Y	N	
ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	DE	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MANAGER	12/2018	F	Y	N	292235
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIG	01/2018	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2018	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER	07/2009	F	N	N	5907834

			TRUST					
MORRIS-SINGER, ANDREW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	08/2008	F	N	N	5908262
MORRIS-SINGER, ANDREW	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIG	12/2018	F	N	N	5908262
PAUL E. SINGER FAMILY TRUST IIG	DE	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	SHAREHOLDER	01/2018	С	N	N	
PAUL E. SINGER FAMILY TRUST	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
PAUL E. SINGER FAMILY TRUST	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
SINGER, GORDON, MATTHEW	I	ELLIOTT CAPITAL ADVISORS, L.P.	PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5908245
SINGER, GORDON, MATTHEW	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIG	01/2018	F	N	N	5908245
SINGER, GORDON, MATTHEW	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2018	F	N	N	5908245
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	PRESIDENT	01/2019	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER AND PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	DIRECTOR, PRESIDENT AND SHAREHOLDER	10/1994	D	Y	N	872317

C. Does any *person* not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, *control* your management or policies?

Yes No

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the *relying adviser*) are, where you are doing business, and how we can contact you.

A. Your full legal name:

EVERGREEN COAST CAPITAL CORP.

- B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.
- C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

	You o	do not have to include the names or jurisdictions	of the filing adviser or other relying	g adviser(s) in response	to this Section 1.C.
D.	-	number:	mber") assigned by the <i>FINRA's</i> (CRD system or by the IA	RD system (other than the <i>filing adviser's CRD</i> number), your
			No Informa	ation Filed	
	If you	do not have a CRD number, skip this Section 1.	D. Do not provide the CRD numb	er of one of your officers	s, employees, or affiliates (including the filing adviser).
E.	Princi	ipal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		Same as the filing adviser.			
		Number and Street 1:		Number and Street 2:	
		2420 SAND HILL RD., SUITE 300 City:	State:	Country:	ZIP+4/Postal Code:
		MENLO PARK	California	United States	94025
		If this address is a private residence, check this	s box: \square		
	(2)	Days of week that you normally conduct busine Monday - Friday Other:	ess at your <i>principal office and pla</i>	ce of business:	
		Normal business hours at this location: 8:30AM-5:30PM			
	(3)	Telephone number at this location: (650)233-7000			
	(4)	Facsimile number at this location, if any:			
F.	Mailin	ng address, if different from your principal office a	and place of business address:		
	□ S	ame as the filing adviser.			
	Num	ber and Street 1:	Number and	Street 2:	
	City:	State:	Country:	ZIP+4	/Postal Code:
	If this	s address is a private residence, check this box:			
G.	Provid	de your Legal Entity Identifier if you have one:			
	A lega	al entity identifier is a unique number that compa	nies use to identify each other in t	he financial marketplace	e. You may not have a legal entity identifier.
H.	If you	have Central Index Key numbers assigned by th	e SEC ("CIK Numbers"), all of you No Informa		
SFC	TION 2	2 SEC Registration			
		s to this Section help us (and you) determine wh	ether you are eligible to register v	vith the SEC.	
A.	(8), b	elow. Part 1A Instruction 2 provides information to		•	must check at least one of the Sections 2.A.(1) through 2.A. and to each of these items.
		the relying adviser):			
		(1) are a large advisory firm that either:(a) has regulatory assets under management	at of \$100 million (in U.S. dollars)	or more: or	
			•		ng its most recent annual updating amendment and is
		registered with the SEC;	·		
		(2) are a mid-sized advisory firm that has regula	atory assets under management o	of \$25 million (in U.S. do	ollars) or more but less than \$100 million (in U.S. dollars) and

			you are either:
			(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
			(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
			Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3)	Reserved
		. ,	have your principal office and place of business outside the United States;
		. ,	are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC,
	_		and your principal office and place of business is the same as the registered adviser;
		(6)	are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
			If you check this box, you must make both of the representations below:
			I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
			By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7)	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
			If this is your initial filing as a relying adviser, you must make both of these representations:
			I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
			The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
			If you are submitting your annual updating amendment, you must make this representation:
			Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
		(8)	have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
			Application Number: 803- Date of <i>Order</i> .
		(9)	are no longer eligible to remain registered with the SEC.
		(9)	are no longer eligible to remain registered with the SEC.
		(9)	are no longer eligible to remain registered with the SEC.
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B. C. SECTIN th	FION How O O O O O O O In w DEC Und Sta Del If you FION is See	3 For area Cook Soo Lir Pa Lir Other that Lir Other that laws and Lir that Lir Other than 14.A ection on 4	form of Organization a you organized? appropriation ble Proprietorship mited Liability Partnership (LLP) artnership mited Liability Company (LLC) mited Partnership (LP) ther (specify): menth does your fiscal year end each year? IBER he laws of what state or country are you organized? Country are United States re a partnership, provide the name of the state or country under whose laws your partnership was formed. b. Direct Owners and Executive Officers and we ask you to identify each other person that, directly or indirectly, controls you. 4. A. asks for information about your direct owners and executive officers.
B. C. SEC In th (1) \$ (2) I	FION How O O O O O O O O O O O O O O O O O O O	3 For area Cook Soot Lire Part Lire CEM er that the cook area cook	Form of Organization a you organized? proprietorship mited Liability Partnership (LLP) artnership mited Liability Company (LLC) mited Partnership (LP) ther (specify): month does your fiscal year end each year? IBER the laws of what state or country are you organized? Country are United States re a partnership, provide the name of the state or country under whose laws your partnership was formed.

(a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital:
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name,			Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or
Middle Name)			MM/YYYY				Employer ID No.
ELLIOTT MANAGEMENT	DE	SHAREHOLDER	07/2013	С	Υ	N	156601
CORPORATION							
GREENBERG, ELLIOT	I	DIRECTOR AND	07/2013	В	Υ	N	1250067
		SHAREHOLDER					
KASOFF, STEVEN, HOWARD	I	SHAREHOLDER	07/2015	В	N	N	2929537
KIM, ISAAC, YOUNGHOON	I	MANAGING DIRECTOR	11/2015	NA	N	N	4551446
POLLOCK, JONATHAN, D	ı	SHAREHOLDER	07/2015	В	N	N	1619709

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date
Name, First Name, Middle Name)		Interest is Owned		Acquired	Code	Person		of Birth, IRS Tax No. or Employer ID
				MM/YYYY				No.
APRIL 1, 1990 ANDREW SINGER	DE	ELLIOTT CAPITAL	LIMITED PARTNER	07/2009	С	N	N	

TRUST		ADVISORS, L.P.						
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL	GENERAL PARTNER	02/2003	F	Y	N	
ELIOTT ASSET MANAGEMENT LLC	DE	ADVISORS, L.P.	GENERAL PARTNER	02/2003		ı	IN	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT	SHAREHOLDER	10/1994	E	Υ	N	292232
		MANAGEMENT CORPORATION						
(APLAN, MYRON	ı	ELLIOTT CAPITAL	TRUSTEE OF THE PAUL E.	12/2019	F	N	N	5907834
·		ADVISORS, L.P.	SINGER FAMILY TRUST IIH					
APLAN, MYRON	I	ELLIOTT CAPITAL	TRUSTEE OF THE APRIL 1,	07/2009	F	N	N	5907834
		ADVISORS, L.P.	1990 ANDREW SINGER TRUST					
ORRIS-SINGER, ANDREW	ı	ELLIOTT CAPITAL	TRUSTEE OF THE APRIL 1,	08/2008	F	N	N	5908262
		ADVISORS, L.P.	1990 ANDREW SINGER TRUST					
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL	LIMITED PARTNER	12/2019	С	N	N	
		ADVISORS, L.P.						
INGER, GORDON, MATTHEW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5908245
INGER, PAUL, ELLIOTT	ı	ELLIOTT ASSET	MANAGING MEMBER,	02/2003	E	Y	N	872317
		MANAGEMENT LLC	PRESIDENT					
INGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	Y	872317
INGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
If yes, you must complete the info management or policies.	omano	in bolow for each control	n person not named in deciden i	.r., occion 4.r.	., 01 00011011	4.D. triat di	11000	ly of maneouty bornions your
			No Information Filed					
ECTION 4.D. Control Persons - Publi	c Repo	rting Companies						
			No Information Filed					
ECTION 1 Identifying Information			No Information Filed					
	vou (th			and how we car	n contact vo	u.		
esponses to this Section tell us who	you (th			and how we car	n contact yo	u.		
esponses to this Section tell us who				and how we car	n contact yo	u.		
esponses to this Section tell us who . Your full legal name: ELLIOTT ADVISORS ASIA LIMITE	D	ne relying adviser) are,	where you are doing business, a		· ·		Forr	m ADV Part 1A.
Responses to this Section tell us who A. Your full legal name: ELLIOTT ADVISORS ASIA LIMITE B. Name under which you primarily of	D	ne <i>relying adviser</i>) are,	where you are doing business, a	oove or Item 1. <i>F</i>	A. of the <i>filin</i>	g adviser's	Forr	m ADV Part 1A.
	D	ne <i>relying adviser</i>) are,	where you are doing business, a s, if different from Section 1.A. al ou use them. Complete this que	pove or Item 1.A	A. of the <i>filin</i>	g adviser's	Form	m ADV Part 1A.
Responses to this Section tell us who A. Your full legal name: ELLIOTT ADVISORS ASIA LIMITE B. Name under which you primarily of	D	ne <i>relying adviser</i>) are,	where you are doing business, a	pove or Item 1.A	A. of the <i>filin</i>	g adviser's	Forr	m ADV Part 1A.
esponses to this Section tell us who Your full legal name: ELLIOTT ADVISORS ASIA LIMITE Name under which you primarily of	D	ne <i>relying adviser</i>) are,	where you are doing business, a s, if different from Section 1.A. al ou use them. Complete this que	pove or Item 1.A	A. of the <i>filin</i>	g adviser's	Forr	m ADV Part 1A.
Responses to this Section tell us who A. Your full legal name: ELLIOTT ADVISORS ASIA LIMITE B. Name under which you primarily of	D	ne <i>relying adviser</i>) are,	where you are doing business, a s, if different from Section 1.A. al ou use them. Complete this que	pove or Item 1.A	A. of the <i>filin</i>	g adviser's	Forr	m ADV Part 1A.

TRUST

CRD number: 292245

ADVISORS, L.P.

No Information Filed

D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your

You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.

	If you	do not have a CRD number, skip this Section 1.D. Do not provide t	the CRD number of one	of your officers, emp	ployees, or affiliates (including the filing adviser).
E.	Princi	pal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		☐ Same as the <i>filling adviser</i> .			
		Number and Street 1:		Number and Stree	et 2:
		9-7-1 AKASAKA, MIDTOWN TOWER City:	State:	43RD FLOOR Country:	ZIP+4/Postal Code:
		MINATO-KU, TOKYO		Japan	107-6243
		If this address is a private residence, check this box: \Box			
	(2)	Days of week that you normally conduct business at your <i>principal</i> Monday - Friday Other:	al office and place of bu	siness:	
		Normal business hours at this location: 8:30AM-5:30PM			
	(3)	Telephone number at this location: 81345887000			
	(4)	Facsimile number at this location, if any: 81345887131			
F.	Mailin	g address, if different from your <i>principal office and place of busine</i>	ess address:		
	□ Sa	ame as the filing adviser.			
	Num	ber and Street 1:	Number and Street 2:		
	City:	State:	Country:	ZIP+4/Post	tal Code:
	If this	s address is a private residence, check this box:			
G.	Provid	le your Legal Entity Identifier if you have one:			
	A lega	of entity identifier is a unique number that companies use to identify	each other in the finance	cial marketplace. You	u may not have a legal entity identifier.
H.	If you	have Central Index Key numbers assigned by the SEC ("CIK Numb	pers"), all of your CIK nu No Information File		
SEC	TION 2	SEC Registration			
Res	ponses	s to this Section help us (and you) determine whether you are eligib	ble to register with the S	SEC.	
A.	(8), be	a relying adviser, you must be independently eligible to register (or elow. Part 1A Instruction 2 provides information to help you determine the relying adviser):			
	V (are a large advisory firm that either:			
	,	(a) has regulatory assets under management of \$100 million (i	n U.S. dollars) or more:	: or	
		(b) has regulatory assets under management of \$90 million (in registered with the SEC;			most recent annual updating amendment and is
	<u> </u>	 are a mid-sized advisory firm that has regulatory assets under you are either: 	management of \$25 m	illion (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and
		(a) not required to be registered as an adviser with the state se	curities authority of the s	state where you mair	ntain your <i>principal office and place of business</i> ; or
		(b) not subject to examination by the state securities authority of	f the state where you ma	aintain your <i>principal</i>	l office and place of business;
		Click HERE for a list of states in which an investment advise			
	(3) Reserved	-	,	•
		4) have your <i>principal office and place of business</i> outside the Uni	ited States;		

		(5) are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		☐ I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register
		with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		If this is your initial filing as a relying adviser, you must make both of these representations:
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
		(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		Application Number: 803- Date of Order.
		(9) are no longer eligible to remain registered with the SEC.
		N 3 Form of Organization
٦.	HOV	w are you organized? Corporation
	_	Cala Dranziatarakin
	0	Limited Linkility Down auchin (LLD)
	0	Dowler aughtin
	0	Limited Linkillin, Commons, (LLC)
	0	Limited Devinerable (LD)
	0	Others (associately EVENDTED COMPANY
	•	Other (specify). Excivit TED COIVIT ANT
3.	In v	what month does your fiscal year end each year?
		CEMBER
_		
j.		der the laws of what state or country are you organized? ate Country
	316	Cayman Islands
	If y	ou are a partnership, provide the name of the state or country under whose laws your partnership was formed.
EC	TIOI	N 4.A. Direct Owners and Executive Officers
n t	his S	ection 4, we ask you to identify each other <i>person</i> that, directly or indirectly, <i>controls</i> you.
		ion 4.A. asks for information about your direct owners and executive officers.
2)		ct Owners and Executive Officers. List below the names of: each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
		f you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company
	((a company subject to Section 12 or 15(d) of the Exchange Act);
		Direct owners include any <i>person</i> that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting
		securities. For purposes of this Section 4.A., a <i>person</i> beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to
		acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
	ı	f you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
		n the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and

(e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital,

- and (ii) if managed by elected managers, all elected managers.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5%

a - less than 5% B - 10% but less than 25%

B - 10% but less than 25% D - 50% but less than 75%

- A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name,			Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or
Middle Name)			MM/YYYY				Employer ID No.
ELLIOTT ADVISORS (HK) LIMITED	FE	SHAREHOLDER	11/2006	E	Υ	N	
HUI, WING FAI, DANNY	I	DIRECTOR	08/2009	NA	Υ	N	6024268
RAJAN, SRIKRISHNAN, M	I	DIRECTOR	08/2019	NA	Υ	N	4924108
WONG, CHIU YU	I	DIRECTOR	08/2019	NA	Υ	N	7242134

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee: and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of
Name, First Name, Middle Name)		Interest is Owned		Acquired	Code	Person		Birth, IRS Tax No. or Employer ID No.
				MM/YYYY				
ELLIOTT ADVISORS HOLDINGS LLC	DE	ELLIOTT CAPITAL (HK) LIMITED	SHAREHOLDER	06/2015	E	Υ	N	xxx-xx-xxxx
ELLIOTT CAPITAL (HK) LIMITED	FE	ELLIOTT ADVISORS (HK) LIMITED	SHAREHOLDER	05/2004	E	Υ	N	
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS HOLDINGS LLC	MANAGING MEMBER	03/2015	E	Y	N	872317

SECTION 4.C. Control Persons

Yes No

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?



	mana	gement or policies.			
			No Information Filed		
SEC	TION 4	.D. Control Persons - Public Reporting Companies			
			No Information Filed		
250	TION 4				
		Identifying Information s to this Section tell us who you (the <i>relying adviser</i>) are, where yo	u are doing business, and how	we can contact you	
	Your	full legal name: DTT ADVISORS (HK) LIMITED			
B.	Name	under which you primarily conduct your advisory business, if different	ent from Section 1.A. above or It	tem 1.A. of the <i>filing adviser'</i> s	Form ADV Part 1A.
C.	List a	ny other business names and the jurisdictions in which you use th	em. Complete this question for	each other business name.	
			No Information Filed		
	You d	lo not have to include the names or jurisdictions of the filing adviser	r or other relying adviser(s) in re	esponse to this Section 1.C.	
D.	CRD	currently have, or ever had, a number ("CRD Number") assigned by number:	y the <i>FINRA's CRD</i> system or by	y the IARD system (other than	n the filing adviser's CRD number), your
	29224	* <i>'</i>	No Information Filed		
	If you	do not have a CRD number, skip this Section 1.D. Do not provide a	the CRD number of one of your	officers, employees, or affilia	ates (including the filing adviser).
E.	Princi	pal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		☐ Same as the <i>filing adviser</i> .			
		Number and Street 1: CHATER HOUSE, 8 CONNAUGHT ROAD CENTRAL City: HONG KONG	State:	Number and Street 2: SUITES 1102-1105 Country: Hong Kong	ZIP+4/Postal Code:
		If this address is a private residence, check this box:		riong rong	
	(2)	Days of week that you normally conduct business at your <i>principal</i> Monday - Friday Other:	al office and place of business:		
		Normal business hours at this location: 8:30AM-5:30PM			
	(3)	Telephone number at this location: 85235581000			
	(4)	Facsimile number at this location, if any: 85235581001			
F.	Mailin	g address, if different from your <i>principal office and place of busine</i>	ess address:		
	□ Sa	ame as the filing adviser.			
	Num	ber and Street 1:	Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	

	If th	nis	addr	ess is a private residence, check this box:
G.	Prov	∕id∈	e you	r Legal Entity Identifier if you have one:
	A le	gai	entit	ty identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.
H.	If yo	ou l	nave	Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed
				Registration is Section help us (and you) determine whether you are eligible to register with the SEC.
	-			ving adviser, you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A.
Λ.	(8),	be	low. F	Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. If the section 2 provides information to help you determine whether you may affirmatively respond to each of these items.
	V	(1) are	e a large advisory firm that either:
			(a)	has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
			(b)	has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;
		(2		e a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and u are either:
			(a)	not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
			(b)	not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
				Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3	B) Re	eserved
		(4	l) hav	ve your principal office and place of business outside the United States;
		(5		e a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, d your <i>principal office and place of business</i> is the same as the registered adviser;
		(6	s) are	e an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
			If y	ou check this box, you must make both of the representations below:
				I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
				By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7	') are	e a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
			If t	his is your initial filing as a relying adviser, you must make both of these representations:
				I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
				The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
			If y	you are submitting your annual updating amendment, you must make this representation:
				Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
		(8	3) hav	ve received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
			Ар	plication Number: 803- Date of <i>Order</i> .
		(9) are	e no longer eligible to remain registered with the SEC.
6-				
				u organization
Λ.	. 10W			oration
	0			Proprietorship

C Limited Liability Partnership (LLP)

0	Partnership
0	Limited Liability Company (LLC)
0	Limited Partnership (LP)
	Other (enecify), COMPANY LIMITED BY CHARES

B. In what month does your fiscal year end each year?

DECEMBER

C. Under the laws of what state or country are you organized?

State Country

Hong Kong

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75% A 5% but less than 10% C 25% but less than 50% E 75% or more

acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control.

 Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name,			Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or
Middle Name)			MM/YYYY				Employer ID No.
ELLIOTT CAPITAL (HK) LIMITED	FE	SHAREHOLDER	05/2004	E	Υ	N	
POLLOCK, JONATHAN, D	I	DIRECTOR	06/2004	NA	Υ	N	1619709
SHOHET, ZION, MOSHE	I	DIRECTOR	02/2016	NA	Υ	N	5730424
WONG, CHIU YU	I	DIRECTOR	06/2019	NA	Υ	N	7242134

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

(b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;

- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of
Name, First Name, Middle Name)		Interest is Owned		Acquired	Code	Person		Birth, IRS Tax No. or Employer ID No.
				MM/YYYY				
ELLIOTT ADVISORS HOLDINGS LLC	DE	ELLIOTT CAPITAL	SHAREHOLDER	06/2015	E	Υ	N	xxx-xx-xxxx
		(HK) LIMITED						
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS	MANAGING	03/2015	E	Υ	N	872317
		HOLDINGS LLC	MEMBER					

SECT	ION 4.0	j. Conti	roi P	erso	ns

Yes	No

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

_	_
\circ	Œ
-	-

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

A. Your full legal name:

ELLIOTT ADVISORS (UK) LIMITED

- B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV Part 1A.
- C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.

D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number:

292248

No Information Filed

If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).

E.	Princi	pal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		☐ Same as the filing adviser.			
		Number and Street 1:		Number and Street 2:	
		PARK HOUSE, 6TH FLOOR	0	116 PARK STREET	710 4/0 4 4 0 4
		City: LONDON	State:	Country: United Kingdom	ZIP+4/Postal Code: W1K 6AF
		If this address is a private residence, che	ck this box:		
	(2)	Days of week that you normally conduct be Monday - Friday Other:	ousiness at your <i>principal</i> c	office and place of business:	
		Normal business hours at this location: 8:30AM-5:30PM			
	(3)	Telephone number at this location: 442030091818			
	(4)	Facsimile number at this location, if any: 442030091888			
F.	Mailin	g address, if different from your <i>principal</i> or	ffice and place of business	s address:	
	□ s	ame as the filing adviser.			
	Num	ber and Street 1:	N	Number and Street 2:	
	City:	State:		Country:	ZIP+4/Postal Code:
	If this	s address is a private residence, check this	box: 🗆		
G.	Provid	de your <i>Legal Entity Identifier</i> if you have on	e:		
	A lega	al entity identifier is a unique number that co	ompanies use to identify ea	ach other in the financial marl	ketplace. You may not have a legal entity identifier.
Н.	If you	have Central Index Key numbers assigned	by the SEC ("CIK Number	rs"), all of your CIK numbers: No Information Filed	
or o	TION	A CEC Deminturation			
		SEC Registration s to this Section help us (and you) determin	no whother you are cligible	to register with the SEC	
					CO Very record about a large of the Operions O.A. (4) there are 0.A.
A.	(8), b	elow. Part 1A Instruction 2 provides informa		- · · · · · · · · · · · · · · · · · · ·	C. You must check at least one of the Sections 2.A.(1) through 2.A. y respond to each of these items.
		he relying adviser):			
		1) are a large advisory firm that either:			
		(a) has regulatory assets under manag	•	•	
		(b) has regulatory assets under manag registered with the SEC;	pement of \$90 million (in U.	.S. dollars) or more at the tim	e of filing its most recent annual updating amendment and is
		2) are a mid-sized advisory firm that has you are either:	regulatory assets under m	anagement of \$25 million (in	U.S. dollars) or more but less than \$100 million (in U.S. dollars) and
		(a) not required to be registered as an a	adviser with the state secu	rities authority of the state wh	ere you maintain your principal office and place of business; or
		(b) not subject to examination by the sta	ate securities authority of th	ne state where you maintain y	our principal office and place of business;
		Click HERE for a list of states in whi	ich an investment adviser,	if registered, would not be sui	bject to examination by the state securities authority.
	(3) Reserved			
		4) have your principal office and place of b	ousiness outside the Unite	d States;	
	<u> </u>	5) are a related adviser under rule 203A-2 and your <i>principal office and place of bu</i>		<u>-</u>	control with, an investment adviser that is registered with the SEC,

		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days ;							
		If you check this box, you must make both of the representations below:							
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.							
		By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.							
		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);							
		If this is your initial filing as a relying adviser, you must make both of these representations:							
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.							
		The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.							
		If you are submitting your annual updating amendment, you must make this representation:							
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.							
		(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:							
		Application Number: 803- Date of Order.							
		(9) are no longer eligible to remain registered with the SEC.							
		3 Form of Organization							
A.	HOW	are you organized? Corporation							
	0	Sole Proprietorship							
	0	Limited Liability Partnership (LLP)							
	0	Partnership							
	0	Limited Liability Company (LLC)							
	0	Limited Partnership (LP)							
	•	Other (specify): PRIVATE COMPANY LIMITED BY SHARES							
B.		at month does your fiscal year end each year? EMBER							
C.	Lind	r the laws of what state or country are you organized?							
C.		e Country							
		United Kingdom							
	16								
	If yo	are a partnership, provide the name of the state or country under whose laws your partnership was formed.							
SEC	'TION	4.A. Direct Owners and Executive Officers							
		extrion 4, we ask you to identify each other <i>person</i> that, directly or indirectly, <i>controls</i> you.							
		n 4.A. asks for information about your direct owners and executive officers.							
` ′		Owners and Executive Officers. List below the names of:							
	(a) e	ch Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;							
		ou are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company company subject to Section 12 or 15(d) of the Exchange Act);							
		rect owners include any <i>person</i> that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting							
	S	curities. For purposes of this Section 4.A., a <i>person</i> beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, ouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to equire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.							
		you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or							
		ore of your capital;							
	у	the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of ur capital, the trust and each trustee; and you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital							
	а	d (ii) if managed by elected managers, all elected managers.							
(3)	3) Do you have any indirect owners to be reported on Section 4.B. below? 🁩 Yes 👩 No								

- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control.

 Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ELLIOTT ADVISORS HOLDINGS LLC	DE	SHAREHOLDER	06/2015	В	N	N	xxx-xx-xxxx
GUNN, IAIN, ANDREW	I	SHAREHOLDER	10/2004	В	N	N	6024276
HAWKSFORD TRUSTEES JERSEY LIMITED	FE	TRUSTEE OF HUDOS SETTLEMENT	06/2016	В	N	N	
HUDOS SETTLEMENT	FE	SHAREHOLDER	06/2016	В	N	N	
LEONARD, CHRISTOPHER, PHILLIP	I	DIRECTOR	02/2020	NA	Υ	N	7243038
LEVINE, MARK, NATHAN	I	DIRECTOR AND SHAREHOLDER	12/2001	В	N	N	2768206
POLLOCK, JONATHAN, D	I	DIRECTOR AND SHAREHOLDER	12/2001	В	N	N	1619709
SINGER, GORDON, MATTHEW	I	CHIEF EXECUTIVE OFFICER	03/2009	NA	Y	N	5908245

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of Birth,
Name, First Name, Middle Name)		Interest is Owned		Acquired MM/YYYY	Code	Person		IRS Tax No. or Employer ID No.
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS	MANAGING	06/2015	E	Υ	N	872317
		HOLDINGS LLC	MEMBER					

SECTION 4.C. Control Persons

Yes No

 \circ

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

	management or policies.							
Fir	m or Organization Name							
EL	LIOTT MANAGEMENT CORPORA	ATION						
	R <i>D</i> Number (if any)							
	6601							
Ef	fective Date	Termina	ation Date					
01	/03/1995							
	siness Address:		Number and Ctreet 2					
	umber and Street 1) WEST 57TH STREET		Number and Street 2					
Ci		State	Country	ZIP+4/Postal Code				
NI	EW YORK	New York	United States	10019				
If	this address is a private residence	e, check this box:						
	efly describe the nature of the con		CON OF THE FILING ADVICED AND A	DELVING ADVICED DV VIDTUE OF A CEDVICE ACREMENT				
				RELYING ADVISER BY VIRTUE OF A SERVICES AGREEMENT RENCES HEREIN TO EAUK ARE DEEMED TO BE QUALIFIED BY THIS				
ST	ATEMENT.							
SEC	TION 4.D. Control Persons - Pub	lic Reporting Companies						
			No Information Filed					
SEC	TION 1 Identifying Information							
Res	sponses to this Section tell us who	o you (the relying adviser) are	e, where you are doing business, and	how we can contact you.				
A.	Your full legal name: EALL, LLC							
B.	Name under which you primarily	conduct your advisory busine	ess, if different from Section 1.A. above	e or Item 1.A. of the <i>filing adviser's</i> Form ADV Part 1A.				
C.	List any other business names	and the jurisdictions in which	you use them. Complete this question	on for each other business name.				
			No Information Filed					
	You do not have to include the r	names or jurisdictions of the fi	lling adviser or other relying adviser(s) in response to this Section 1.C.				
D.	If you currently have, or ever had	d, a number (" <i>CRD</i> Number") :	assigned by the FINRA's CRD system	n or by the IARD system (other than the filing adviser's CRD number), your				
	CRD number:							
	292250 No Information Filed							
			No information Filed					
	If you do not have a CRD number	er, skip this Section 1.D. Do r	not provide the CRD number of one of	your officers, employees, or affiliates (including the filing adviser).				
E.	Principal Office and Place of Bu	siness						
	(1) Address (do not use a P.	O. Box):						
	☐ Same as the filing add	viser.						
	Number and Street 1: 500 FIFTH AVENUE		Number and Street 2:					
	City:	State:	Country:	ZIP+4/Postal Code:				

If yes, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your

		NEW YORK	New York	United States	10110	
		If this address is a priv	vate residence, check this box:			
	(2)	Days of week that you	normally conduct business at your Other:	principal office and place of bus	iness:	
		Normal business hou 08:30AM-5:30PM	rs at this location:			
	(3)	Telephone number at (212) 974-6000	this location:			
	(4)	Facsimile number at the	his location, if any:			
F.	Mailin	ng address, if different fr	om your <i>principal office and place</i> o	of business address:		
	□ s	ame as the filing advise	er.			
	Num	ber and Street 1:		Number and Street 2:		
	City:		State:	Country:	ZIP+4/Postal Code:	
	If this	s address is a private re	sidence, check this box:			
G.	Provid	de your <i>Legal Entity Idei</i>	ntifier if you have one:			
	A lega	al entity identifier is a un	ique number that companies use to	o identify each other in the financ	ial marketplace. You may not have a legal entity identifier.	
	16	have Oantrellader Kar		HAN week a well) and a face on OHA was		
H.	If you	have Central Index Key	numbers assigned by the SEC ("C	IK Numbers"), all of your CIK nu No Information Filed		
		2 SEC Registration	s (and you) determine whether you	are eligible to register with the S		
		•			the SEC. You must check at least one of the Sections 2.A.(1) thro	ough 2 A
Λ.					matively respond to each of these items.	Jugii Z.A.
	You (the relying adviser):				
		(1) are a large advisory	r firm that either:			
		(a) has regulatory a	ssets under management of \$100	million (in U.S. dollars) or more;	or	
		(b) has regulatory a registered with the		illion (in U.S. dollars) or more at	the time of filing its most recent annual updating amendment and	d is
		(2) are a mid-sized adv you are either:	isory firm that has regulatory asse	ts under management of \$25 mi	lion (in U.S. dollars) or more but less than \$100 million (in U.S. do	ollars) and
		(a) not required to b	e registered as an adviser with the	state securities authority of the s	tate where you maintain your principal office and place of busines.	s; or
		(b) not subject to ex	amination by the state securities au	uthority of the state where you ma	intain your principal office and place of business;	
		Click HERE for a	a list of states in which an investmer	nt adviser, if registered, would no	t be subject to examination by the state securities authority.	
	((3) Reserved				
		(4) have your <i>principal</i> o	office and place of business outside	e the United States;		
		` ,	er under rule 203A-2(b) that controls	•	nmon control with, an investment adviser that is registered with the	e SEC,
		(6) are an adviser relyir	ng on rule 203A-2(c) because you e	xpect to be eligible for SEC reg	stration within 120 days;	
		If you check this box	x, you must make both of the repres	entations below:		
					thority and I have a reasonable expectation that I will be eligible to	o register
			hin 120 days after the date my regis		•	-
			his application for umbrella registra		ndment to this <i>umbrella registration</i> to remove this Schedule R if, tive, I would be prohibited by Section 203A(a) of the Advisers Act for	
		(7) are a multi-state ad	viser that is required to register in 1	15 or more states and is relving	on rule 203A-2(d):	

If this is your initial filing as a relying adviser, you must make both of these representations:

		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
	<u> </u>	B) have received an SEC <i>Order</i> exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
	(Application Number: 803- Date of <i>Order</i> .
	— "	
	<u> </u>	9) are no longer eligible to remain registered with the SEC.
FC	TION 3	Form of Organization
A.		re you organized?
_		Corporation
	_ (Sole Proprietorship
	~ .	Limited Liability Partnership (LLP)
	~	
	•	Partnership
	•	Limited Liability Company (LLC)
	•	Limited Partnership (LP)
	0	Other (specify):
В.	In wha	at month does your fiscal year end each year? MBER
C.	Under	the laws of what state or country are you organized?
	State	·
	Dela	ware United States
	If you	are a partnership, provide the name of the state or country under whose laws your partnership was formed.
EC	TION 4	.A. Direct Owners and Executive Officers
n t	his Sect	ion 4, we ask you to identify each other person that, directly or indirectly, controls you.
1)	Section	4.A. asks for information about your direct owners and executive officers.
2)	Direct 0	Owners and Executive Officers. List below the names of:
	-	ch Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
		ou are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company company subject to Section 12 or 15(d) of the Exchange Act);
		ect owners include any <i>person</i> that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting
		surities. For purposes of this Section 4.A., a <i>person</i> beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent,
	-	buse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to
		quire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
	•	ou are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or re of your capital;
	(d) in the	he case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of ir capital, the trust and each trustee; and
	(e) if yo	ou are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, d (ii) if managed by elected managers, all elected managers.
3)		have any indirect owners to be reported on Section 4.B. below? CYes No
		DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or
	executi	ve officer is an individual.
J)	-	ete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for olders or members, the class of securities owned (if more than one is issued).
6)	Owner	Ship codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75% A - 5% but less than 10% C - 25% but less than 50% E - 75% or more
7)	Not (b) In t	the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. the that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons. The PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in

Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name,		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or
Middle Name)			MM/YYYY				Employer ID No.
KAPLAN, MYRON	I	MANAGER	02/2011	NA	Υ	N	5907834
LEDLEY, JAMES, R	I	MANAGER	02/2011	NA	Υ	N	5715222
SINGER, GORDON, MATTHEW	I	MEMBER	02/2011	E	Υ	N	5908245

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

No Information Filed

SECTION 4.C. Control Persons

Yes No \odot

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Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your management or policies.

Firm or Organization Name

FLUOTT MANAGEMENT CORPORATION

CRD Number (if any)

156601

Effective Date 01/02/2013

Termination Date

Business Address:

NEW YORK

Number and Street 1

Number and Street 2

40 WEST 57TH STREET

Citv

Country **United States** ZIP+4/Postal Code

10019

If this address is a private residence, check this box:

State

New York

EA PL	Briefly describe the nature of the <i>control</i> : EALL, LLC ("EALL") IS A RELATED PERSON OF THE FILING ADVISER AND A RELYING ADVISER BY VIRTUE OF AN INVESTMENT MANAGEMENT SERVICES AGREEMENT PURSUANT TO WHICH EALL DERIVES A SUBSTANTIAL PORTION OF ITS REVENUE STREAM. ALL REFERENCES HEREIN TO EALL ARE DEEMED TO BE QUALIFIED BY THIS STATEMENT.								
SECTION 4.D. Control Persons - Public Reporting Companies									
				No Information Filed					
		Identifying Information s to this Section tell us who you (the	e relying adviser) are, v	where you are doing business, and ho	ow we can contact you.				
	Your f	full legal name: BERG AGGREGATOR GP LLC			·				
B.	Name	under which you primarily conduct y	your advisory business	, if different from Section 1.A. above o	or Item 1.A. of the <i>filing adviser's</i> Form ADV Part 1A.				
C.	List ar	ny other business names and the ju	risdictions in which yo	ou use them. Complete this question	for each other business name.				
				No Information Filed					
	Vou d	lo not have to include the names or	jurisdictions of the filin	g adviser or other relying adviser(s) iı	n response to this Section 1 C				
D.	If you				or by the IARD system (other than the <i>filing adviser's CRD</i> number), your				
	29226	55		No Information Filed					
	If you	do not have a CRD number, skip th	is Section 1.D. Do not	provide the CRD number of one of yo	our officers, employees, or affiliates (including the filing adviser).				
E.	Princi	pal Office and Place of Business							
	(1)	Address (do not use a P.O. Box):							
		Same as the filing adviser.							
		Number and Street 1: 40 WEST 57TH STREET		Number and Street 2:					
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10019				
		If this address is a private residence							
	(2)	Days of week that you normally co Monday - Friday Other:	nduct business at you	r principal office and place of busines	ss:				
		Normal business hours at this loc 8:30AM-5:30PM	ation:						
	(3)	Telephone number at this location (212) 974-6000	:						
	(4)	Facsimile number at this location,	if any:						
F.	Mailin	g address, if different from your <i>prin</i>	cipal office and place	of business address:					
	□ Sa	ame as the filing adviser.							
	Numl	ber and Street 1:		Number and Street 2:					
	City:	State:		Country:	ZIP+4/Postal Code:				

	If th	his address is a private residence, check this box: L
G.	Prov	vide your Legal Entity Identifier if you have one:
	A le	gal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.
H.	If yo	ou have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:
		No Information Filed
SEC	TION	I 2 SEC Registration
Res	pons	ses to this Section help us (and you) determine whether you are eligible to register with the SEC.
A.	(8),	be a <i>relying adviser</i> , you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A. below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.
		(the relying adviser):
	~	(1) are a large advisory firm that either:
		(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
		(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;
		(2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
		(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
		(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
		Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3) Reserved
		(4) have your principal office and place of business outside the United States;
		(5) are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		If this is your initial filing as a relying adviser, you must make both of these representations:
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
		(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		Application Number: 803- Date of <i>Order</i> .
		(9) are no longer eligible to remain registered with the SEC.
		I 3 Form of Organization
A.	HOW	v are you organized? Corporation
	0	Sole Proprietorship
	0	Limited Liability Partnership (LLP)

0	Partnership
•	Limited Liability Company (LLC)
0	Limited Partnership (LP)
0	Other (specify):
	at month does your fiscal year end each year? EMBER

C. Under the laws of what state or country are you organized?

State Country

B.

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- A 5% but less than 10% $\,$ C 25% but less than 50% $\,$ E 75% or more
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First Name,			Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or
Middle Name)			MM/YYYY				Employer ID No.
ELLIOTT ADVISORS HOLDINGS LLC	DE	MANAGING	12/2017	E	Υ	N	xxx-xx-xxxx
		MEMBER					
SINGER, PAUL, ELLIOTT	I	PRESIDENT	12/2017	NA	N	N	872317

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and

	(d) in the case of an owner that is a the LLC's capital, and (ii) if man	a limited liability company ("LLC naged by elected managers, all	, ,	•	ght to receive u	pon disso	lution	n, or have contributed, 25% or mo	ore o	of
(3)	Continue up the chain of ownership reached, no further ownership inform	listing all 25% owners at each l			ıy (a company	subject to	Sect	ions 12 or 15(d) of the Exchange	e Act) is
(4)	In the DE/FE/I column below, enter "individual.	_	entity, "FE" if the o	wner is an entity inco	orporated or do	omiciled in	າ a fo	reign country, or "I" if the owner	is an	
(5)	Complete the Status column by enter securities owned (if more than one	· ·	ner, trustee, electe	ed manager, shareh	nolder, or mem	ber; and f	ior sh	nareholders or members, the cla	ass of	f
(6)	Ownership codes are: C - 25% b	but less than 50% E - 75% or		rustee, or elected ma	anager)					
(7)	(a) In the <i>Control Person</i> column, e Note that under this definition, r		ntrol as defined in t	the Glossary of Tern	ms to Form AD			•	ontro	ol.
	(b) In the PR column, enter "PR" if	the owner is a public reporting of	company under Se	ections 12 or 15(d) of	of the Exchange	Act.				
	(c) Complete each column.									
	Check this box if you are filing this F Schedule B for your filing adviser. If before you submit your filing.	•		•				· · · · · · · · · · · · · · · · · · ·		
	LL LEGAL NAME (Individuals: Last	DE/FE/I Entity in Which	Status	Date Status				CRD No. If None: S.S. No. and Da		- 11
	me, First Name, Middle Name)	Interest is Owned		Acquired MM/YYYY	Code	Person		Birth, IRS Tax No. or Employer ID	O No.	
SIN	NGER, PAUL, ELLIOTT	I ELLIOTT ADVISORS HOLDINGS LLC	MANAGING MEMBER, PRESIDENT	06/2015	E	Y	N 8	872317		
_										
SEC	CTION 4.C. Control Persons									
								•	Yes	No
C.	Does any <i>person</i> not named in Sect	tion 1.A., Section 4.A., or Section	n 4.B. directly or in	directly, control your	r management	or policie	₃s?		0	•
	If yes, you must complete the inform	nation below for each control pe	<i>∍rson</i> not named ir	Section 1.A., Section	on 4.A., or Sect	ion 4.B. th	nat di	rectly or indirectly controls your		
	management or policies.									
			No Informa	ation Filed						
SEC	CTION 4.D. Control Persons - Public F	Reporting Companies								
			No Informa	ation Filed						
SEC	CTION 1 Identifying Information									
Res	sponses to this Section tell us who yo	ou (the relying adviser) are, whe	ere you are doing l	ousiness, and how w	we can contact	you.				
A.	Your full legal name: HAMBLEDON MANAGEMENT LLC									
C.		,,								
	List any other business names and	the jurisdictions in which you u	ıse them. Comple	te this question for e	each other bus	siness nan	ne.			
	List any other business names and	I the jurisdictions in which you u		te this question for e	each other bus	siness nar	me.			
	List any other business names and	I the jurisdictions in which you u			each other bus	siness nar	me			

You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.

D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number:

307072

No Information Filed

If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).

E. Principal Office and Place of Business

	(1)	Address (do not use a P.O. Box):				
		Same as the filing adviser.				
		Number and Street 1: 40 WEST 57TH STREET		Number and Street 2:		
		City:	State:	Country:	ZIP+4/Postal Code:	
		NEW YORK	New York	United States	10019	
		If this address is a private residence	, check this box: \square			
	(2)	Days of week that you normally cond • Monday - Friday • Other:	duct business at your <i>princip</i>	pal office and place of business		
		Normal business hours at this locat 8:30AM - 5:30PM	ion:			
	(3)	Telephone number at this location: 212-974-6000				
	(4)	Facsimile number at this location, if	any:			
F.	Maili	ng address, if different from your <i>princi</i>	pal office and place of busir	ness address:		
	▽ 9	Same as the filing adviser.				
	Nur	nber and Street 1:		Number and Street 2:		
	City	State:		Country:	ZIP+4/Postal Code:	
	If th	is address is a private residence, checl	k this box: 🗖			
G.	Prov	de your <i>Legal Entity Identifier</i> if you hav	ve one:			
	A leg	al entity identifier is a unique number th	nat companies use to identif	y each other in the financial ma	arketplace. You may not have a legal entity identifier.	
H.	If yo	u have Central Index Key numbers assi	gned by the SEC ("CIK Num	nbers"), all of your CIK numbers No Information Filed		
SEC	CTION	2 SEC Registration				
Res	sponse	es to this Section help us (and you) det	ermine whether you are elig	gible to register with the SEC.		
A.	(8), k	pelow. Part 1A Instruction 2 provides inf		· · · · · · · · · · · · · · · · · · ·	SEC. You must check at least one of the Sections 2.A.(1) the rely respond to each of these items.	hrough 2.A.
		(the relying adviser):				
		(1) are a large advisory firm that either	er:			
		(a) has regulatory assets under m	anagement of \$100 million	(in U.S. dollars) or more; or		
		(b) has regulatory assets under m registered with the SEC;	anagement of \$90 million (i	n U.S. dollars) or more at the ti	me of filing its most recent annual updating amendment a	and is
		(2) are a mid-sized advisory firm that you are either:	has regulatory assets unde	er management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S.	dollars) and
		(a) not required to be registered as	s an adviser with the <i>state</i> s	ecurities authority of the state v	where you maintain your principal office and place of busing	ess; or
		(b) not subject to examination by the	ne state securities authority	of the state where you maintain	your principal office and place of business;	
		Click HERE for a list of states i	n which an investment advis	ser, if registered, would not be s	subject to examination by the state securities authority.	
		(3) Reserved				
		(4) have your principal office and place	e of business outside the U	nited States;		
			03A-2(b) that <i>controls</i> , is <i>cor</i>	ntrolled by, or is under commor	control with, an investment adviser that is registered with	the SEC,
		(6) are an adviser relying on rule 203A			ion within 120 days:	
	_	If you check this box, you must ma				
nii.						

	with the SEC within 120 days after the date my registration with the SEC becomes effective.
	By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
<u> </u>	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
,	If this is your initial filing as a relying adviser, you must make both of these representations:
	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment
	adviser with the state securities authorities in those states.
	The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
	If you are submitting your annual updating amendment, you must make this representation:
	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
[(8	have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
	Application Number: 803- Date of <i>Order</i> .
<u> </u>	are no longer eligible to remain registered with the SEC.
SECTION 3	Form of Organization
	re you organized?
0	Corporation
0 5	Sole Proprietorship
o I	imited Liability Partnership (LLP)
o F	Partnership
⊙ ^l	imited Liability Company (LLC)
0 1	imited Partnership (LP)
0	Other (specify):
3. In wha	t month does your fiscal year end each year? MBER
C. Under State	the laws of what state or country are you organized? Country
	vare United States
If you	are a partnership, provide the name of the state or country under whose laws your partnership was formed.
SECTION 4	A. Direct Owners and Executive Officers
n this Sect	on 4, we ask you to identify each other <i>person</i> that, directly or indirectly, <i>controls</i> you.
(1) Section	4.A. asks for information about your direct owners and executive officers.
` '	Owners and Executive Officers. List below the names of:
	h Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
	ou are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company ompany subject to Section 12 or 15(d) of the Exchange Act);
Dire	ect owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting
	urities. For purposes of this Section 4.A., a <i>person</i> beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, use, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to
-	uire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
	ou are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or e of your capital;
	ne case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of
-	r capital, the trust and each trustee; and ou are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capita
	(ii) if managed by elected managers, all elected managers.
3) Do you	have any indirect owners to be reported on Section 4.B. below?
•	E/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or ve officer is an individual.
(5) Comple	te the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for

shareholders or members, the class of securities owned (if more than one is issued).

- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MMYYYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
HAMBLEDON, INC.	FE	MANAGING MEMBER	09/2019	E	Υ		292242
SINGER, PAUL, ELLIOTT	I	PRESIDENT	09/2019	NA	Υ	N	872317

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which Interest is	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and
Last Name, First Name, Middle		Owned		Acquired	Code	Person		Date of Birth, IRS Tax No. or
Name)				MM/YYYY				Employer ID No.
APRIL 1, 1990 ANDREW F. SINGER	DE	ELLIOTT CAPITAL	LIMITED PARTNER	07/2009	С	N	N	
TRUST		ADVISORS, L.P.						
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL	GENERAL PARTNER	09/1986	F	Υ	N	
		ADVISORS, L.P.						
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL	GENERAL PARTNER	12/2018	F	Υ	N	292231
		ADVISORS, L.P.						
ELLIOTT ASSET MANAGEMENT	DE	ELLIOTT CAPITAL	GENERAL PARTNER	02/2003	F	Υ	N	
LLC		ADVISORS, L.P.						
ELLIOTT CAPITAL ADVISORS, L.P.	DE	HAMBLEDON, INC.	CLASS C SHAREHOLDER	11/2019	E	N	N	292232
ELLIOTT INTERNATIONAL	DE	ELLIOTT	MANAGER	12/2018	F	Υ	N	
ADVISORS GP LLC		INTERNATIONAL						
		SPECIAL GP, LLC						
ELLIOTT INTERNATIONAL CAPITAL	DE	ELLIOTT	MANAGER	12/2018	F	Υ	N	292235
ADVISORS INC.		INTERNATIONAL						
		SPECIAL GP, LLC						
ELLIOTT INTERNATIONAL LIMITED	FE	HAMBLEDON, INC.	CLASS B SHAREHOLDER	12/2010	E	N	N	
ELLIOTT INTERNATIONAL	FE	HAMBLEDON, INC.	CLASS A SHAREHOLDER	11/2019	E	Υ	N	
SPECIAL GP, LLC								
KAPLAN, MYRON	I	ELLIOTT	TRUSTEE OF THE PAUL E.	12/2018	F	N	N	5907834

		INTERNATIONAL ADVISORS GP LLC	SINGER FAMILY TRUST IIH					
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW F. SINGER TRUST	07/2009	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIG	01/2018	F	N	N	5907834
MORRIS-SINGER, ANDREW	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIG	12/2018	F	N	N	5908262
MORRIS-SINGER, ANDREW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW F. SINGER TRUST	08/2008	F	N	N	5908262
PAUL E. SINGER FAMILY TRUST IIG	DE	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	SHAREHOLDER	01/2018	С	N	N	
PAUL E. SINGER FAMILY TRUST	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2018	F	N	N	5908245
SINGER, GORDON, MATTHEW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5908245
SINGER, GORDON, MATTHEW	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIG	01/2018	F	N	N	5908245
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	PRESIDENT	01/2019	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER AND PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	DIRECTOR, PRESIDENT AND SHAREHOLDER	10/1994	D	Y	N	872317
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR AND SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	PRESIDENT AND MANAGING MEMBER	03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	PRESIDENT AND MANAGING MEMBER	02/2003	E	Y	N	872317

SECTION 4.C. Control Persons

Yes No

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

0 0

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the *relying adviser*) are, where you are doing business, and how we can contact you.

A. Your full legal name:

ELLIOTT INVESTMENT MANAGEMENT L.P.

B.	Name	under which you primaril	ly conduct your advisory busines	s, if different from Section 1.A. above	or Item 1.A. of the <i>filing adviser's</i> Form ADV Part 1A	
C.	List a	ny other business names	s and the jurisdictions in which y	ou use them. Complete this question	for each other business name.	
				No Information Filed		
	You a	do not have to include the	names or jurisdictions of the filir	ng adviser or other relying adviser(s)	in response to this Section 1.C.	
D.	If you	currently have, or ever ha	ad, a number (" <i>CRD</i> Number") as	ssigned by the FINRA's CRD system of	or by the IARD system (other than the <i>filing adviser</i> 's	s <i>CRD</i> number), your
	-	number:	,			, · ·
	30713	51		No Information Filed		
	If you	do not have a CRD numi	ber, skip this Section 1.D. Do no	t provide the CRD number of one of y	our officers, employees, or affiliates (including the t	ïling adviser).
E.	Princi	ipal Office and Place of B	usiness			
	(1)	Address (do not use a P	P.O. Box):			
		☑ Same as the filing ac	dviser.			
		Number and Street 1:		Number and Street 2:		
		40 WEST 57TH STREE	T State:	Country:	ZIP+4/Postal Code:	
		NEW YORK	New York	United States	10019	
		If this address is a priva	ate residence, check this box: \Box			
	(2)	Days of week that you r	•	ur principal office and place of busine	ss:	
		Normal business hours				
		8:30AM - 5:30PM				
	(3)	Telephone number at the (212) 974-6000	his location:			
		(212) 974-6000				
	(4)	Facsimile number at thi	s location, if any:			
F.	Mailin	ng address, if different from	m your <i>principal office and place</i>	of business address:		
	☑ Sa	ame as the filing adviser.				
	Num	ber and Street 1:		Number and Street 2:		
	City:		State:	Country:	ZIP+4/Postal Code:	
	If this	s address is a private resi	idence, check this box: \Box			
G.	Provid	de your <i>Legal Entity Ident</i> i	tifier if you have one:			
	A lega	al entity identifier is a uniq	que number that companies use	to identify each other in the financial ı	marketplace. You may not have a legal entity identi	fier.
H.	If you	have Central Index Key r	numbers assigned by the SEC ("o	CIK Numbers"), all of your CIK number No Information Filed	ers:	
SEC	TION 3	SEC Registration				
			(and you) determine whether you	u are eligible to register with the SEC		

A. To be a relying adviser, you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A.

	below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. (the relying adviser):
▽	(1) are a large advisory firm that either:
12.1	(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
	(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;
	(2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) are either:
	(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
	(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
	Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
	(3) Reserved
	(4) have your principal office and place of business outside the United States;
	(5) are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
	(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
	If you check this box, you must make both of the representations below:
	I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
	By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
	(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
	If this is your initial filing as a relying adviser, you must make both of these representations:
	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
	The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
	If you are submitting your annual updating amendment, you must make this representation:
	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
	(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
	Application Number: 803- Date of Order.
	(9) are no longer eligible to remain registered with the SEC.
ION	I 3 Form of Organization
	v are you organized?
0	Corporation
0	Sole Proprietorship
0	Limited Liability Partnership (LLP)
0	Partnership
0	Limited Liability Company (LLC)
•	Limited Partnership (LP)
0	Other (specify):
	what month does your fiscal year end each year? CEMBER
Und Sta	der the laws of what state or country are you organized?
	laware United States
ır	
IT YC	ou are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting
 - securities. For purposes of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5%
- B 10% but less than 25% D 50% but less than 75%

- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ELLIOTT CAPITAL ADVISORS, L.P.	DE	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	LIMITED PARTNER	09/2019	D	N	N	
ELLIOTT INVESTMENT MANAGEMENT GP LLC	DE	GENERAL PARTNER	09/2019	NA	Y	N	xxx-xx-xxxx
GREENBERG, ELLIOT	I	VICE PRESIDENT	09/2019	NA	N	N	1250067
SINGER, PAUL, ELLIOTT	I	PRESIDENT	09/2019	NA	Υ	N	872317

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control.

Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.

- (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
- (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last DE/FE/I Entity in Which Interest					Ownership	Control	PR	CRD No. If None: S.S. No. and	
Name, First Name, Middle Name)		is Owned		Acquired	Code	Person		Date of Birth, IRS Tax No. or	
				MM/YYYY				Employer ID No.	
APRIL 1, 1990 ANDREW SINGER TRUST	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	07/2009	С	N	N		
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N		
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Υ	N	292231	
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Υ	N		
KAPLAN, MYRON	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2018	F	N	N	5907834	
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	07/2009	F	N	N	5907834	
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5907834	
MORRIS-SINGER, ANDREW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	08/2008	F	N	N	5908262	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N		
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N		
SINGER, GORDON, MATTHEW	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2018	F	N	N	5908245	
SINGER, GORDON, MATTHEW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5908245	
SINGER, PAUL, ELLIOTT	I	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Υ	N	872317	
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317	
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Υ	N	872317	
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Υ	N	872317	
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Υ	N	872317	

SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

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If yes, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

A.	Your f	ull legal name: LLC						
B.	Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the <i>filing adviser's</i> Form ADV Part 1A. EIMFL LLC							
C.	List a	ny other business names and the jurisdictions in which you use th	nem. Complete this o	uestion for each other business r	name.			
			No Information I	Filed				
	You d	o not have to include the names or jurisdictions of the filing advise	r or other relying adv	iser(s) in response to this Section	1.C.			
D.	-	currently have, or ever had, a number (" <i>CRD</i> Number") assigned b number: 30	y the <i>FINRA's CRD</i> s	system or by the IARD system (oth	ner than the <i>filing adviser's CRD</i> number), you			
			No Information I	Filed				
	If you	do not have a CRD number, skip this Section 1.D. Do not provide	the CRD number of (one of your officers, employees, c	or affiliates (including the filing adviser).			
E.	Princi	pal Office and Place of Business						
	(1)	Address (do not use a P.O. Box):						
		☐ Same as the <i>filing adviser</i> .						
		Number and Street 1: THE GARDENS FINANCIAL CENTER		Number and Street 2: 3801 PGA BLVD				
		City: PALM BEACH GARDENS	State: Florida	Country: United States	ZIP+4/Postal Code: 33410			
		If this address is a private residence, check this box: \Box						
	(2)	Days of week that you normally conduct business at your <i>principa</i> • Monday - Friday • Other:	al office and place of	business:				
		Normal business hours at this location: 8:30AM - 5:30PM						
	(3)	Telephone number at this location: (212) 974-6000						
	(4)	Facsimile number at this location, if any:						
F.	Mailin	g address, if different from your principal office and place of busine	ess address:					
	□ Sa	ame as the filing adviser.						
	Numl	ber and Street 1:	Number and Stree	2:				
	City:	State:	Country:	ZIP+4/Postal Code:				
	If this	s address is a private residence, check this box: \square						
G.	Provid	le your <i>Legal Entity Identifier</i> if you have one:						
	A lega	of entity identifier is a unique number that companies use to identify	each other in the fir	ancial marketplace. You may not	have a legal entity identifier.			
H.	If you	have Central Index Key numbers assigned by the SEC ("CIK Numl	bers"), all of your CIK No Information I					

SEC	CTION	I 2 S	EC Registration
Re	spons	ses t	o this Section help us (and you) determine whether you are eligible to register with the SEC.
A.	(8),	belo	relying adviser, you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A. ow. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.
	You	(the	e relying adviser):
	V	(1)	are a large advisory firm that either:
			(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
			(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent <i>annual updating amendment</i> and is registered with the SEC;
		(2)	are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
			(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
			(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
			Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3)	Reserved
			have your principal office and place of business outside the United States;
		()	are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6)	are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days ;
		(0)	If you check this box, you must make both of the representations below:
			I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register
			with the SEC within 120 days after the date my registration with the SEC becomes effective.
			By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7)	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
			If this is your initial filing as a relying adviser, you must make both of these representations:
			I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
			The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
			If you are submitting your annual updating amendment, you must make this representation:
			Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
		(8)	have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
			Application Number: 803- Date of <i>Order</i> .
		(9)	are no longer eligible to remain registered with the SEC.
		(0)	are no longer engine to remain registered with the elec.
SEC	CTION	13 F	orm of Organization
A.	Hov	v are	e you organized?
	0	Co	prporation
	0	Sc	ole Proprietorship
	0	Lir	mited Liability Partnership (LLP)
	0	Pa	artnership
	•	Lir	mited Liability Company (LLC)
	0	Lir	mited Partnership (LP)
	0	Ot	her (specify):
B.			month does your fiscal year end each year? BER
C.	Und Sta		ne laws of what state or country are you organized? Country
			are United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

Ш								
	FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
	(Individuals: Last Name, First Name,		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or
	Middle Name)			MM/YYYY				Employer ID No.
	ELLIOTT INVESTMENT MANAGEMENT	DE	SOLE	10/2020	E	Υ	N	307151
	L.P.		MEMBER					
	SINGER, PAUL, ELLIOTT	I	PRESIDENT	10/2020	NA	Υ	N	872317

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last DE/FE/I Entity in Which Interest St		Status	Date Status	Ownership			R CRD No. If None: S.S. No. and	
Name, First Name, Middle Name)		is Owned		Acquired MM/YYYY	Code	Person		Date of Birth, IRS Tax No. or Employer ID No.
APRIL 1, 1990 ANDREW SINGER TRUST	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	07/2009	С	N	N	
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Υ	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Υ	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Υ	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	D	N	N	
ELLIOTT INVESTMENT MANAGEMENT GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	GENERAL PARTNER	09/2019	F	Υ	N	xxx-xx-xxxx
KAPLAN, MYRON	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2018	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	07/2009	F	N	N	5907834
KAPLAN, MYRON	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5907834
MORRIS-SINGER, ANDREW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE APRIL 1, 1990 ANDREW SINGER TRUST	08/2008	F	N	N	5908262
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2018	F	N	N	5908245
SINGER, GORDON, MATTHEW	I	ELLIOTT CAPITAL ADVISORS, L.P.	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	F	N	N	5908245
SINGER, PAUL, ELLIOTT	I	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Υ	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Υ	N	872317
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	Е	Υ	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Υ	N	872317

SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

Yes No

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

DRP Pages				
CRIMINAL DISCLOSUI	RE REPORTING PAGE (ADV)			
No Information Filed				
REGULATORY ACTION	N DISCLOSURE REPORTING PAGE (A	ADV)		
		GENERAL INSTRUC		
This Disclosure Repo	orting Page (DRP ADV) is an $_{f C}$ INI	TIAL OR AMENDED response used to	report details for affirmative resp	ponses to Items 11.C., 11.D., 11.E., 11.F. or 11.G.
of Form ADV.				
Check item(s) being	responded to:	Regulatory Actio	n	
11.C(1)	□ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)
□ 11.D(1)	☑ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)
□ 11.E(1)	□ 11.E(2)	□ 11.E(3)	□ 11.E(4)	(0)
□ 11.F.	□ 11.G.	(-)		
Execution Page.				ntity using one DRP. File with a completed etails related to the same event. If an event gives
rise to actions by mor	re than one regulator, provide details	s for each action on a separate DRP.		
PART I				
A. The person(s) o	or entity(ies) for whom this DRP is be	eing filed is (are):		
C You (the adv	visory firm)			
C You and one	e or more of your advisory affiliates			
() 5115 51 111515	e of your advisory affiliates			
		e the full name of the advisory affiliate beli		·
	<u> </u>	hat number. If not, indicate "non-registere	d by checking the appropriate bo)X.
ADV DRP - AD	VISORY AFFILIATE			
CRD Number:	:	This advisory affiliate is • a Firm •	an Individual	
Registered:	O yes ⊙ No	·		
Name:	ELLIOTT ASSOCIATES, L.P.			
Traino.	(For individuals, Last, First, Middle))		
CRD Number:	:	This <i>advisory affiliate</i> is 🇿 a Firm 🏻 C	an Individual	
Registered:	O Yes O No			
Name:	ELLIOTT INTERNATIONAL, L.P. (For individuals, Last, First, Middle))		
This DRP sl registration If you are registration occurred more ago.	hould be removed from the ADV reconsists with the SEC or reporting as an executered or registering with a state secuthan ten years ago. If you are register	mpt reporting adviser with the SEC and the	occurred more than ten years ago ne event was resolved in the advis or an event you reported only in re remove a DRP for any event liste	o or (2) the adviser is registered or applying for ser's or advisory affiliate's favor. esponse to Item 11.D(4), and only if that event ed in Item 11 that occurred more than ten years
	ffiliate is registered through the IARI swer is "Yes," no other information o		ry affiliate submitted a DRP (with I	Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the
C Yes © N	No			

	NOTE: The completion of this form does not relieve the advisory allillate of its obligation to update its IARD of CRD records.
PAR	т II
1.	Regulatory Action initiated by: C SEC Other Federal O State O SRO o Foreign
	(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) SECURITIES AND FUTURES BUREAU (TAIWAN)
2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:
3.	Date Initiated (MM/DD/YYYY):
	04/28/2009 Exact Explanation If not exact, provide explanation:
4.	Docket/Case Number: SFB FINE NO. 0980023554
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): ELLIOTT ASSOCIATES, L.P. AND ELLIOTT INTERNATIONAL, L.P. (THE PRIVATE FUNDS LISTED IN EACH SECTION 7.B.(1) OF SCHEDULE D) (TOGETHER, THE "PRIVATE FUNDS") WERE ASSESSED AN ADMINISTRATIVE FINE (THE "ADMINISTRATIVE FINE") BY THE SECURITIES AND FUTURES BUREAU OF TAIWAN (THE "SFB"). IN THE SFB'S OPINION, THE PRIVATE FUNDS WERE ONE DAY LATE IN REPORTING A CHANGE IN THEIR OWNERSHIP PERCENTAGE OF A TAIWANESE PUBLIC COMPANY.
8.	Current Status? C Pending C On Appeal Final
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:
If F	inal or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.
10.	How was matter resolved: Other
	One:
11.	Resolution Date (MM/DD/YYYY):
	02/22/2010 Exact Explanation
	If not exact, provide explanation:
12.	Resolution Detail:
	A. Were any of the following Sanctions Ordered (check all appropriate items)?
	✓ Monetary/Fine Amount: \$ 7,300.00
	☐ Revocation/Expulsion/Denial ☐ Disgorgement/Restitution
	☐ Censure ☐ Cease and Desist/Injunction
	☐ Bar ☐ Suspension
	B. Other Sanctions Ordered:
	Sanction detail: if suspended, <i>enjoined</i> or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an <i>advisory affiliate</i> , date paid and if any portion of penalty was waived: THE AMOUNT OF THE ADMINISTRATIVE FINE WAS NT\$240,000 (APPROXIMATELY U.S. \$7,300), WHICH, AT THE TIME, REPRESENTED THE MINIMUM FINE PROVIDED UNDER THE SECURITIES AND EXCHANGE ACT OF TAIWAN IN CONNECTION WITH SUCH A LATE FILING. AS NOTED IN ITEM 13 BELOW, THE PRIVATE FUNDS DETERMINED NOT TO APPEAL THE ADMINISTRATIVE FINE TO THE HIGH ADMINISTRATIVE COURT. THE ENTIRE ADMINISTRATIVE FINE WAS PAID ON OR

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

ABOUT JUNE 5, 2009.

UNDER THE RELEVANT TAIWAN REGULATIONS, CERTAIN SHAREHOLDERS OF TAIWANESE PUBLIC COMPANIES MUST REPORT CHANGES IN THEIR OWNERSHIP OF SUCH COMPANIES WITHIN TWO DAYS. HOWEVER, THE REGULATION DOES NOT SPECIFY WHETHER THE DATE OF THE TRANSACTION CAUSING SUCH A CHANGE SHOULD BE COUNTED TOWARDS THE TWO-DAY PERIOD. RELYING UPON ADVICE OF COUNSEL, THE PRIVATE FUNDS REPORTED A CHANGE IN THEIR OWNERSHIP OF A TAIWANESE PUBLIC COMPANY TWO DAYS AFTER THE RELEVANT TRANSACTION. THE SFB TOOK THE POSITION THAT THE FILING WAS MADE ONE DAY LATE, BECAUSE THE DATE OF SUCH TRANSACTION SHOULD HAVE BEEN COUNTED TOWARDS THE TWO-DAY PERIOD. ALTHOUGH THE PRIVATE FUNDS DISAGREE WITH THE SFB'S POSITION, THE PRIVATE FUNDS DETERMINED NOT TO APPEAL THE ADMINISTRATIVE FINE TO THE HIGH ADMINISTRATIVE COURT, CONSIDERING THE DE MINIMIS MONETARY AMOUNT OF THE ADMINISTRATIVE FINE AND THE ANTICIPATED LEGAL COSTS AND EXPENSES IN CHALLENGING THE SFB'S POSITION.

			GENERAL INSTRUC	CTIONS	
This D	Disclosure Reporting Page (D	RP ADV) is an $_{ar{\mathbb{C}}}$ INITIA	L OR AMENDED response used t	o report details for affirmative response	onses to Items 11.C., 11.D., 11.E., 11.F. or 11.G.
of Forr	m ADV.				
			Domilatori Asti		
Check	citem(s) being responded to		Regulatory Action	JN	
<u> </u>	• • •	. □ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)
□ 11		☑ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)
☐ 11		□ 11.E(2)	□ 11.E(3)	□ 11.E(4)	= 11.5(o)
<u>□</u> 11		□ 11.G.	11.2(0)	L 11.L(4)	
	.1 .	□ 11.G.			
Execu	tion Page.				tity using one DRP. File with a completed
	-		o Items 11.C., 11.D., 11.E., 11.F. or 11 or each action on a separate DRP.	.G. Use only one DRP to report de	tails related to the same event. If an event gives
PART	I				
	· Γhe <i>person(s)</i> or entity(ies) fo	or whom this DRP is being	r filed is (are):		
	You (the advisory firm)		you 10 (uo).		
	~	our			
	You and one or more of you				
	One or more of your advis	sory affiliates			
li -	f the <i>advisory affiliate</i> has a C	CRD number, provide that	ne full name of the <i>advisory affiliate</i> be t number. If not, indicate "non-registere	•	·
	CRD Number:	7	This <i>advisory affiliate</i> is 🌀 a Firm 🏻 C	an Individual	
	Registered: O Yes O	No			
		OVISORS (UK) LIMITED uals, Last, First, Middle)			
1	This DRP should be remoregistration with the SEC	oved from the ADV record or reporting as an exemp	ot reporting adviser with the SEC and t	occurred more than ten years ago he event was resolved in the advis	or (2) the adviser is registered or applying for er's or advisory affiliate's favor.
	-	-			sponse to Item 11.D(4), and only if that event d in Item 11 that occurred more than ten years
l	This DRP should be remo	oved from the ADV record	because it was filed in error, such as	due to a clerical or data-entry mist	take. Explain the circumstances:
	f the <i>advisory affiliate</i> is regis event? If the answer is "Yes,"			ory affiliate submitted a DRP (with F	Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the
(C Yes © No				
١	NOTE: The completion of this	s form does not relieve the	e advisory affiliate of its obligation to u	odate its IARD or <i>CRD</i> records.	
PART	II				
	Regulatory Action initiated by: SEC Other Federal		reign		

(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO)

AUTORITÉ DES MARCHÉS FINANCIERS

	 Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions: 	
3.	3. Date Initiated (MM/DD/YYYY):	
	12/27/2012 • Exact • Explanation	
	If not exact, provide explanation:	
	4. Docket/Case Number: DEC-DEJI/2010.65	
5.	5. Advisory Affiliate Employing Firm when activity occurred which led to the regu	ulatory action (if applicable):
6.	6. Principal Product Type:	
	Equity Listed (Common & Preferred Stock) Other Product Types:	
	3 , ,	
	AUTORITÉ DES MARCHÉS FINANCIERS (THE "AMF"), STATING THAT EAU ON MATERIAL NONPUBLIC INFORMATION RELATING TO A POTENTIAL SA STATED THAT ELLIOTT'S APRR PURCHASES DURING THE RELEVANT PE	EIVED A LETTER OF GRIEVANCE FROM THE FRENCH FINANCIAL MARKET REGULATOR, THE K MAY HAVE PURCHASED THE STOCK OF AUTOROUTES PARIS RHIN-RHÔNE ("APRR") BASEI ILE OF ELLIOTT'S APRR STAKE TO EIFFARIE, APRR'S MAJORITY OWNER. THE AMF ALSO ERIOD MAY HAVE CAUSED THE APRR STOCK PRICE TO BE ARTIFICIALLY INFLATED. ON EIVED A SUBSTANTIALLY IDENTICAL LETTER OF GRIEVANCE FROM THE AMF. THE LETTER TO FACTS.
8.	8. Current Status? C Pending On Appeal C Final	
9.	9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court	rt) and Date Appeal Filed:
	THE DECISION OF THE COUR DE CASSATION. ON FEBRUARY 6, 2020, TH	THE DECISION OF THE PARIS COURT OF APPEALS ON 3/27/19. ELLIOTT DISAGREES WITH HE EUROPEAN COURT OF HUMAN RIGHTS DECLINED TO HEAR THE APPLICATION OF EMC ING WITH THE EUROPEAN COMMISSION WITH A VIEW TO APPEALING THE DECISION OF THE
lf Fii	If Final or On Appeal, complete all items below. For Pending Actions, complete Ite	em 13 only.
10.	10. How was matter resolved: Decision	
	Decision	
11.	11. Resolution Date (MM/DD/YYYY):	
	03/27/2019 C Exact C Explanation	
	If not exact, provide explanation: THE FRENCH SUPREME COURT, THE COUR DE CASSATION AFFIRMED	THE DECISION OF THE PARIS COURT OF APPEALS.
12	12. Resolution Detail:	
	A. Were any of the following Sanctions <i>Ordered</i> (check all appropriate iter	ms)?
	Monetary/Fine Amount: \$ 22,000,000.00	nia):
	Revocation/Expulsion/Denial	☐ Disgorgement/Restitution
	Censure	☐ Cease and Desist/Injunction
	☐ Bar	☐ Suspension
	B. Other Sanctions Ordered:	
	Principal, etc.). If requalification by exam/retraining was a condition of the condition has been satisfied. If disposition resulted in a fine, penalty, regularly or an advisory affiliate, date paid and if any portion of penalty was well as the condition of the	uding start date and capacities affected (General Securities Principal, Financial Operations ne sanction, provide length of time given to requalify/retrain, type of exam required and whether estitution, disgorgement or monetary compensation, provide total amount, portion levied against vaived: OF 8 MILLION EUROS AGAINST EMC (APPROXIMATELY \$11 MILLION) AND 8 MILLION EUROS
13.	13. Provide a brief summary of details related to the action status and (or) dispo	osition and include relevant terms, conditions and dates (your response must fit within the space

ON MAY 5, 2014, EAUK AND EMC RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF IN FRANCE. THE WRITTEN DECISION (THE "AMF DECISION") RELATED TO ALLEGATIONS BY THE AMF THAT ELLIOTT PURCHASED STOCK OF APRR BASED ON MATERIAL NONPUBLIC INFORMATION AND THAT ELLIOTT MANIPULATED THE STOCK OF APRR. IN THE AMF DECISION, THE SANCTIONS COMMISSION FOUND THAT ELLIOTT

USED MATERIAL NONPUBLIC INFORMATION IN THE PURCHASE OF APRR SHARES BETWEEN MAY 28, 2010 AND JUNE 11, 2010 BUT HELD THAT ELLIOTT DID NOT COMMIT MARKET MANIPULATION OR ARTIFICIALLY INFLATE THE PRICE OF APRR SHARES. THE SANCTIONS COMMISSION ASSESSED A PENALTY OF 8 MILLION EUROS AGAINST EMC AND 8 MILLION EUROS AGAINST EAUK. ELLIOTT DISAGREES WITH THE FINDINGS AGAINST EMC AND EAUK IN THIS ADMINISTRATIVE PROCEEDING. IT REMAINS ELLIOTT'S POSITION THAT IT'S TRADING IN APRR'S SECURITIES WAS LAWFUL AT ALL TIMES. ELLIOTT'S PURCHASES OF APRR STOCK WERE MADE AS PART OF A LONGSTANDING TRADING STRATEGY DATING BACK TO 2005. ELLIOTT PURCHASED APRR STOCK ON OVER 300 TRADING DAYS BETWEEN DECEMBER 2005 AND JUNE 2010. ELLIOTT HAS LONGSTANDING POLICIES AND PROCEDURES IN PLACE TO PREVENT THE MISUSE OF MATERIAL NONPUBLIC INFORMATION. CONSISTENT WITH THOSE PROCEDURES, ELLIOTT HAD A CHINESE WALL IN PLACE WITH RESPECT TO APRR DURING THE PERIOD IN QUESTION, AND NO MATERIAL NONPUBLIC INFORMATION WAS TRANSMITTED TO THE PERSONNEL WHO DIRECTED THE PURCHASES OF APRR STOCK. DESPITE AN INVESTIGATION WHICH INCLUDED EXTENSIVE REVIEWS OF EMAILS, AUDIOTAPED TRADING LINES AND INTERVIEWS WITH WITNESSES, THE AMF OFFERED NO EVIDENCE THAT ELLIOTT'S CHINESE WALL WAS BREACHED, BUT INSTEAD SIMPLY CONCLUDED THAT THE CIRCUMSTANCES SUPPORTED THEIR INFERENCE THAT THE CHINESE WALL WAS BREACHED. EMC AND EAUK APPEALED THE AMF DECISION TO THE PARIS COURT OF APPEALS. ON JANUARY 14, 2016, THE PARIS COURT OF APPEALS AFFIRMED THE AMF DECISION. ELLIOTT DISAGREES WITH THE DECISION OF THE PARIS COURT OF APPEALS AND EMC AND EAUK APPEALED THE DECISION TO THE FRENCH SUPREME COURT, THE COUR DE CASSATION. ON MARCH 27, 2019, THE COUR DE CASSATION AFFIRMED THE AMF DECISION. ELLIOTT DISAGREES WITH THE DECISION OF THE COUR DE CASSATION. ON FEBRUARY 6, 2020, THE EUROPEAN COURT OF HUMAN RIGHTS DECLINED TO HEAR THE APPLICATION OF EMC AND EAUK. EMC AND EAUK CONTINUE TO HAVE AN APPLICATION PENDING WITH THE EUROPEAN COMMISSION WITH A VIEW TO APPEALING THE DECISION OF THE FRENCH COURTS. NONE OF THE COSTS ASSOCIATED WITH THIS MATTER (INCLUDING THE PENALTY ASSESSED BY THE SANCTIONS COMMISSION AND THE COST OF THE APPEAL) HAVE BEEN OR WILL BE BORNE BY THE ELLIOTT FUNDS, AND ELLIOTT CONTINUES TO BELIEVE THAT THIS MATTER WILL NOT HAVE AN ADVERSE IMPACT ON THE FUNDS.

		GENERAL INSTRU	CTIONS	
This Disclosure R	eporting Page (DRP ADV) is an	INITIAL OR O AMENDED response used	to report details for affirmative respo	onses to Items 11.C., 11.D., 11.E., 11.F. or 11.G.
of Form ADV.				
		Regulatory Act	ion	
Check item(s) bei	•	_		_
11.C(1)	11.C(2)	☐ 11.C(3)	11.C(4)	11.C(5)
□ 11.D(1)	☑ 11.D(2)	11.D(3)	11.D(4)	□ 11.D(5)
11.E(1)	11.E(2)	□ 11.E(3)	□ 11.E(4)	
□ 11.F.	□ 11.G.			
Execution Page. One event may re	sult in more than one affirmative ans	ne same event or <i>proceeding</i> may be repor swer to Items 11.C., 11.D., 11.E., 11.F. or 1 tails for each action on a separate DRP.	·	tails related to the same event. If an event gives
PART I				
A. The person(s	s) or entity(ies) for whom this DRP is	s being filed is (are):		
C You (the	advisory firm)			
One or m If this DRP is	*	es give the full name of the <i>advisory affiliate</i> be de that number. If not, indicate "non-registe	•	
	ADVISORY AFFILIATE			
CRD Numb	per:	This <i>advisory affiliate</i> is 🧿 a Firm 🤇	an Individual	
Registered	^{l:} O _{Yes} ⊙ _{No}			
Name:	ELLIOTT ADVISORS (UK) LIMIT (For individuals, Last, First, Mid			
This DRI registrati If you are re occurred mo ago.	P should be removed from the ADV on with the SEC or reporting as an orgistered or registering with a state some than ten years ago. If you are registering with a state some than ten years ago.	exempt reporting adviser with the SEC and ecurities authority, you may remove a DRP	g occurred more than ten years ago the event was resolved in the advisor for an event you reported only in response to the properties of t	or (2) the adviser is registered or applying for er's or <i>advisory affiliate's</i> favor. sponse to Item 11.D(4), and only if that event d in Item 11 that occurred more than ten years
B. If the advisor	y affiliate is registered through the I	ARD system or <i>CRD</i> system, has the <i>advi</i> s	ory affiliate submitted a DRP (with F	Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the

event? If the answer is "Yes," no other information on this DRP must be provided.

	NOTE: The completion of this form does not relieve the advisory affile	liate of its obligation to update its IARD or CRD records.						
PAR	RT II							
1.	Regulatory Action initiated by: O SEC O Other Federal O State O SRO Foreign							
	(Full name of regulator, foreign financial regulatory authority, federal SWISS FEDERAL DEPARTMENT OF FINANCE	I, state, or SRO)						
2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:							
3.	Date Initiated (MM/DD/YYYY):							
	05/14/2012 © Exact C Explanation If not exact, provide explanation:							
4.	Docket/Case Number: 442.2-053							
5.	Advisory Affiliate Employing Firm when activity occurred which led to	the regulatory action (if applicable):						
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:							
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): THE SWISS FEDERAL DEPARTMENT OF FINANCE (THE "FDF") ISSUED A SUMMARY PENALTY ORDER ON MAY 23, 2013 (THE "ORDER") IN WHICH IT FINED ELLIOTT ADVISORS (UK) LIMITED ("EAUK") 25,000 SWISS FRANCS (PLUS PROCEDURAL COSTS OF 1,590 SWISS FRANCS) FOR NOT CAUSING THE ELLIOTT FUNDS AND/OR THEIR SUBSIDIARIES (COLLECTIVELY, THE "FUNDS") TO SEPARATELY REPORT ON A TIMELY BASIS CHANGES IN THE FUNDS' OWNERSHIP OF SHARES OF A COMPANY LISTED ON THE SIX SWISS EXCHANGE AG ("SIXSE") AS IT HAD DONE FOR THE FUNDS' AGGREGATE POSITION IN THE SECURITIES OF SUCH COMPANY. (THE FDF DID NOT DISPUTE THAT EAUK TIMELY MADE ALL REQUISITE FILINGS SHOWING CHANGES IN THE FUNDS' AGGREGATE POSITION IN THE SECURITIES OF SUCH COMPANY.)							
8.	Current Status? C Pending C On Appeal							
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or St	tate Court) and Date Appeal Filed:						
If F	Final or On Appeal, complete all items below. For Pending Actions, cor	mplete Item 13 only.						
10.	How was matter resolved: Order							
11.	Resolution Date (MM/DD/YYYY):							
	05/23/2013							
	If not exact, provide explanation: THE ORDER WAS ISSUED ON MAY 23, 2013. EAUK DETERMINED	NOT TO CHALLENGE THE ORDER.						
12.	Resolution Detail:							
	A. Were any of the following Sanctions Ordered (check all approp	priate items)?						
	✓ Monetary/Fine Amount: \$ 26,140.00							
	Revocation/Expulsion/Denial	☐ Disgorgement/Restitution						
	☐ Censure	Cease and Desist/Injunction						
	☐ Bar	☐ Suspension						
	B. Other Sanctions Ordered:							
		ation including start date and capacities affected (General Securities Principal, Financial Operations lition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether						

C Yes © No

you or an *advisory affiliate*, date paid and if any portion of penalty was waived:
THE AMOUNT OF THE FINE WAS 25,000 SWISS FRANCS (PLUS PROCEDURAL COSTS OF 1,590 SWISS FRANCS), WHICH REPRESENTS 2.5% OF THE POTENTIAL MAXIMUM FINE.

condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against

13.	provided). EAUK TIMELY MADE ALL REBUT INADVERTENTLY DID N	QUISITE FILINGS REGAI	RDING CHANGES IN THE FUNDS' AG	GREGATE POSITION IN THE SECU OWNERSHIP OF SHARES OF SUC	ates (your response must fit within the space URITIES OF THE SIXSE-LISTED COMPANY, H COMPANY. UPON DISCOVERING THAT IFORMATION.	
			GENERAL INSTRU	CTIONS		
This	Disclosure Reporting Page (D	ORP ADV) is an 👝 INITIA			onses to Items 11.C., 11.D., 11.E., 11.F. or 11.G.	
of F	orm ADV.		•			
			Regulatory Acti	ion		
Che	eck item(s) being responded to:	:	regulatory real	Oli		
	11.C(1)	□ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)	
	11.D(1)	□ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)	
	11.E(1)	☑ 11.E(2)	□ 11.E(3)	□ 11.E(4)		
	11.F.	□ 11.G.				
One rise PAF	to actions by more than one re	egulator, provide details fo	or each action on a separate DRP.	1.G. Use only one DRP to report det	ails related to the same event. If an event gives	
A.	The person(s) or entity(ies) for You (the advisory firm)	or whom this DRP is being	g filed is (are):			
	O You and one or more of you	OUT				
	One or more of your advis					
	· ·	CRD number, provide tha	he full name of the <i>advisory affiliate</i> be t number. If not, indicate "non-register	•	•	
			No Information	n Filed		
	☐ This DRP should be remo registration with the SEC	oved from the ADV record or reporting as an exemp tering with a state securiti	ot reporting adviser with the SEC and ies authority, you may remove a DRP	g occurred more than ten years ago the event was resolved in the advise for an event you reported only in res	or (2) the adviser is registered or applying for	
	☐ This DRP should be remo	oved from the ADV record	because it was filed in error, such as	s due to a clerical or data-entry mista	ake. Explain the circumstances:	
B.	If the advisory affiliate is registerent? If the answer is "Yes,"			ory affiliate submitted a DRP (with F	orm ADV, BD or U-4) to the IARD or <i>CRD</i> for the	
	C Yes C No					
	NOTE: The completion of this	form does not relieve the	e advisory affiliate of its obligation to u	pdate its IARD or <i>CRD</i> records.		
PAF						
1.	Regulatory Action initiated by: O SEC Other Federal	O ^{State}				
	(Full name of regulator, foreig NEW YORK MERCANTILE EX		thority, federal, state, or <i>SRO</i>)			
2.	Principal Sanction: Other Other Sanctions: MONETARY FINE					

3. Date Initiated (MM	/DD/YYYY):			
10/28/2014 © E	Exact C Explanation			
If not exact, provid	de explanation:			
4. Docket/Case Nur				
NYMEX 14-9820-	BC			
5. Advisory Affiliate	Employing Firm when activity occurred	d which led to the regulatory action (i	f applicable):	
6. Principal Product				
Futures - Commo Other Product Typ	-			
Other Froduct Typ	Jes.			
7. Describe the alled	gations related to this regulatory actio	on (vour response must fit within the	snace provided):	
·				N THE ELLIOTT FUNDS HOLDING A FUTURES
	SITION IN EXCESS OF THE SPOT M			
2401771217110			TOTAL MERCONATTIEE EXCENDITION	1.022 032.
		S		
8. Current Status?	C Pending C On Appeal C	Final		
			. =	
9. If on appeal, regu	latory action appealed to (SEC, SRO,	Federal or State Court) and Date Ap	peal Filed:	
If Final or On Appeal,	complete all items below. For Pending	g Actions, complete Item 13 only.		
10. How was matter r	esolved:			
Settled				
11. Resolution Date (N	vIM/DD/YYYY):			
10/28/2014 🧿 E	Exact C Explanation			
If not exact, provide	•			
,				
12. Resolution Detail				
•	the following Sanctions Ordered (che	eck all appropriate items)?		
	ry/Fine Amount: \$ 30,000.00			
	tion/Expulsion/Denial		Disgorgement/Restitution	
Censur	е		Cease and Desist/Injunction	
☐ Bar		ı	Suspension	
B. Other Sanct	ions <i>Ordered:</i>			
Principal, etc condition ha you or an <i>a</i> c	c.). If requalification by exam/retraining	g was a condition of the sanction, proed in a fine, penalty, restitution, disgontrion of penalty was waived:	vide length of time given to requalify orgement or monetary compensation	Securities Principal, Financial Operations v/retrain, type of exam required and whether n, provide total amount, portion levied against ETTLEMENT OF THIS ACTION.
13 Provide a brief su	ımmary of details related to the action	o status and (or) disposition and inclu	ide relevant terms, conditions and d	lates (your response must fit within the space
provided).	minary or details related to the delibi	. otatao ana (or) alaposition ana inolo	ad rolovant torrio, contations and u	Godi rooponso must ni witiini the space
	14, THE ELLIOTT FUNDS ENGAGED	IN TRANSACTIONS IN NATURAL GA	AS FUTURES WHICH RESULTED II	N THE ELLIOTT FUNDS HOLDING A FUTURES
EQUIVALENT PO	SITION IN EXCESS OF THE SPOT M	ONTH POSITION LIMIT UNDER NEW	/ YORK MERCANTILE EXCHANGE	RULE 562. ON OCTOBER 28, 2014, A PANEL
				C AGREED TO PAY A FINE IN THE AMOUNT OF
, ,	WERE NOT ASSESSED ANY FINE.	NY RULE VIOLATION. EMC PAID THI	IS AMOUNT PROMPTLY FOLLOWIN	IG SETTLEMENT OF THIS ACTION. THE
LLLIOTTTONDO	WEINE NOT AGGEGGED ANT TIME.			
		GENERAL INSTRU	CTIONS	
This Disclosure Report	ing Page (DRP ADV) is an 👩 INITIA	Cor	to report details for affirmative respo	onses to Items 11.C., 11.D., 11.E., 11.F. or 11.G.
of Form ADV.				
Observations () !	an and adds:	Regulatory Act	ion	
Check item(s) being re	esponded to:	T 44 0/0\	T 44 0/4)	T 44 0/5)
□ 11.C(1)	* *	☐ 11.C(3)	☐ 11.C(4)	☐ 11.C(5)
□ 11.D(1)	□ 11.D(2)	□ 11.D(3)	□ 11.D(4)	☐ 11.D(5)

	11.E(1)	☑ 11.E(2)	□ 11.E(3)	□ 11.E(4)
	11.F.	☐ 11.G.		
	a separate DRP for eacution Page.	ach event or <i>proceeding</i> . The sar	ne event or <i>proceeding</i> may be reporte	d for more than one <i>person</i> or entity using one DRP. File with a completed
			o Items 11.C., 11.D., 11.E., 11.F. or 11.0 or each action on a separate DRP.	G. Use only one DRP to report details related to the same event. If an event gives
PAR	RT I			
A.	The person(s) or enti	ity(ies) for whom this DRP is being	g filed is (are):	
	You (the advisory	/ firm)		
	C You and one or m	nore of your advisory affiliates		
		our advisory affiliates		
		· · · · · · · · · · · · · · · · · · ·	ne full name of the <i>advisory affiliate</i> belo t number. If not, indicate "non-registered	w (for individuals, Last name, First name, Middle name). I" by checking the appropriate box.
	ADV DRP - ADVISO	DRY AFFILIATE		
			No Information	Filed
	This DRP should	be removed from the ADV record		onger associated with the adviser. ccurred more than ten years ago or (2) the adviser is registered or applying for e event was resolved in the adviser's or advisory affiliate's favor.
	, ,	•		r an event you reported only in response to Item 11.D(4), and only if that event remove a DRP for any event listed in Item 11 that occurred more than ten years
	☐ This DRP should	I be removed from the ADV record	because it was filed in error, such as o	ue to a clerical or data-entry mistake. Explain the circumstances:
B.	-	e is registered through the IARD s is "Yes," no other information on t	•	affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the
	C Yes © No			
	NOTE: The completion	on of this form does not relieve the	e advisory affiliate of its obligation to up	late its IARD or CRD records.
PAR	RT II			
1.		tiated by:		
	C SEC C Other Fe	ederal C State © SRO C Fo	reign	
	(Full name of regulat CHICAGO BOARD O	tor, foreign financial regulatory au DF TRADE	thority, federal, state, or SRO)	
2	Principal Sanction:			
	Disgorgement			
	Other Sanctions:			
	MONETARY FINE			
3.	Date Initiated (MM/DD	D/YYYY):		
	10/28/2014 © Exac	•		
	If not exact, provide e	•		
4.	Docket/Case Number CBOT 14-9838-BC	er:		
_	Ashrina and Affilia to Fran	alada Firmada a disiba		and Production
5.			ed which led to the regulatory action (if a	pplicable).
6.	Principal Product Typ			
	Futures - Commodity Other Product Types:			
7.	•	•	on (your response must fit within the sp NGAGED IN TRANSACTIONS IN SOYB	ace provided): EAN MEAL FUTURES CONTRACTS THAT RESULTED IN THE ELLIOTT FUNDS

	HOLDING A FUTURES EQUIVALENT POSITION IN EXCESS OF THE STANDARD ALL MONTHS LIMIT UNDER CHICAGO BOARD OF TRADE RULE 562.							
8.	8. Current Status? C Pending C On Appeal Final							
9.	If on appeal, regulatory action appealed to (SEC, SRO, Fe	ederal or State Court) and Date Ap	ppeal Filed:					
If Fir	inal or On Appeal, complete all items below. For Pending A	actions, complete Item 13 only.						
10.	How was matter resolved:							
	Settled							
11.	Resolution Date (MM/DD/YYYY):							
	10/28/2014 © Exact © Explanation							
	·							
	If not exact, provide explanation:							
12.	Resolution Detail:							
	A. Were any of the following Sanctions <i>Ordered</i> (check	all appropriate items)?						
	✓ Monetary/Fine Amount: \$ 20,000.00							
	Revocation/Expulsion/Denial		☑ Disgorgement/Restitution					
	☐ Censure		Cease and Desist/Injunction					
	☐ Bar		Suspension					
	B. Other Sanctions Ordered:							
	S. Giller Galletione Gradieu.							
	Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an advisory affiliate, date paid and if any portion of penalty was waived: ELLIOTT MANAGEMENT CORPORATION ("EMC") PAID A FINE IN THE AMOUNT OF \$20,000 AND PAID \$125,082.24 REPRESENTING DISGORGEMENT OF PROFITS PROMPTLY FOLLOWING SETTLEMENT OF THIS ACTION. 13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided). ON MAY 8 AND MAY 9, 2014, THE ELLIOTT FUNDS ENGAGED IN TRANSACTIONS IN SOYBEAN MEAL FUTURES CONTRACTS THAT RESULTED IN THE ELLIOTT FUNDS HOLDING A FUTURES EQUIVALENT POSITION IN EXCESS OF THE STANDARD ALL MONTHS LIMIT UNDER CHICAGO BOARD OF TRADE RULE 562. ON OCTOBER 28, 2014, A PANEL OF THE CHICAGO BOARD OF TRADE BUSINESS CONDUCT COMMITTEE ACCEPTED EMC'S OFFER OF SETTLEMENT PURSUANT TO WHICH EMC AGREED TO PAY A FINE IN THE AMOUNT OF \$20,000 AND PAY \$125,082.24 REPRESENTING DISGORGEMENT OF PROFITS WHILE NEITHER ADMITTING OR DENYING ANY RULE VIOLATION. EMC PAID THESE AMOUNTS PROMPTLY FOLLOWING SETTLEMENT OF THIS ACTION. THE ELLIOTT FUNDS WERE NOT ASSESSED ANY FINE AND DID NOT MAKE ANY DISGORGEMENT. GENERAL INSTRUCTIONS This Disclosure Reporting Page (DRP ADV) is an Old INTITIAL OR Old MENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G.							
		Pogulatory As	tion					
Chec	ck item(s) being responded to:	Regulatory Ac	uui i					
	11.C(1)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)				
	11.D(1)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)				
	11.E(1)	□ 11.E(3)	□ 11.E(4)	E 11.5(3)				
□ 11		L 11.L(3)	L 11.L(+)					
	II.F. 🖭 II.G.							
Exect One 6	Use a separate DRP for each event or <i>proceeding</i> . The same event or <i>proceeding</i> may be reported for more than one <i>person</i> or entity using one DRP. File with a completed Execution Page. One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.							
DART	T.I.							
PART		adia (ava):						
	The <i>person(s)</i> or entity(ies) for whom this DRP is being file. O You (the advisory firm)	ed is (are):						
	C You and one or more of your advisory affiliates							

		your advisory affiliates g filed for an advisory affiliate, give the full name of the advisory affiliate below (for individuals, Last name, First name, Middle name).
		ate has a CRD number, provide that number. If not, indicate "non-registered" by checking the appropriate box.
	ADV DRP - ADVIS	SORY AFFILIATE
	CRD Number:	This <i>advisory affiliat</i> e is . a Firm . an Individual
	Registered:	Yes O No
		LLIOTT INTERNATIONAL, L.P.
		For individuals, Last, First, Middle)
	CRD Number:	This <i>advisory affiliate</i> is . a Firm . an Individual
		Yes O No
		ELIVERPOOL LIMITED
		RTNERSHIP · individuals, Last, First, Middle)
	(1 0)	individuals, East, First, Midule)
	This DRP shouregistration with	ald be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. If the removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is registered or applying for the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the adviser's or <i>advisory affiliate's</i> favor. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years
	☐ This DRP shou	old be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
	=	ate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the er is "Yes," no other information on this DRP must be provided.
	C Yes © No	
	100 110	
	NOTE: The comple	etion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.
RT	ТШ	
	Regulatory Action	
	C SEC C Other	Federal C State C SRO C Foreign
	•	lator, foreign financial regulatory authority, federal, state, or SRO) L MARKET COMMISSION (GREECE)
	Principal Sanction	
	Other Sanctions:	
	Date Initiated (MM/I	
	If not exact, provide	TERNATIONAL, L.P. RECEIVED INQUIRY LETTER. THE LIVERPOOL LIMITED PARTNERSHIP RECEIVED A SUBSTANTIALLY IDENTICAL INQUIRY LETTER
	Docket/Case Num 132/15.1.2015; 23	
	Advisory Affiliate E	mploying Firm when activity occurred which led to the regulatory action (if applicable):
	Principal Product T	·vne·
	•	nmon & Preferred Stock)
	Other Product Type	,
	Describe the allega	ations related to this regulatory action (your response must fit within the space provided):
	RECEIVED A LETT 2014. HCMC HAS	E INVESTMENT FUND ENTITIES REFERENCED ABOVE IN PART I.A OF THIS DRP (EACH SUCH FUND, AN "ELLIOTT FUND") EACH SEPARATELY FER FROM THE HELLENIC CAPITAL MARKET COMMISSION (THE "HCMC") REGARDING SHORT-SALE ORDERS FOR A SECURITY PLACED ON MAY 6, ALLEGED THAT, IN ITS VIEW, EACH ELLIOTT FUND VIOLATED AN EU SHORT-SELLING RULE BY PLACING THE SHORT-SALE ORDER ON THE DAY CURITY WAS APPROVED FOR TRADING, WITHOUT ADEQUATELY ENSURING THAT THE SECURITY WOULD BE AVAILABLE FOR SETTLEMENT WHEN

DUE.							
8. Current Status? • Pen	ding C On Appeal C	Final					
9. If on appeal, regulatory action	on appealed to (SEC, <i>SRO</i> ,	, Federal or State Court) and Date Appe	al Filed:				
If Final or On Appeal, complete a	all items below. For Pendin	g Actions, complete Item 13 only.					
10. How was matter resolved:							
11. Resolution Date (MM/DD/YY	YY):						
C Exact C Explanation							
If not exact, provide explana	tion:						
12. Resolution Detail:							
A. Were any of the follow	ing Sanctions Ordered (che	eck all appropriate items)?					
		cox all appropriate terroy:					
Monetary/Fine Am		_					
Revocation/Expuls	sion/Denial		Disgorgement/Restitution				
Censure			Cease and Desist/Injunction				
☐ Bar			Suspension				
B. Other Sanctions Order	red:						
Principal, etc.). If requal condition has been say you or an advisory affile. 13. Provide a brief summary of provided). THE HCMC REQUESTED TO RESPONDED TO THE HCM RELEVANT SECURITY WO DID SO BY ENTERING INTO SETTLEMENT DATE. IN FACTO THE BUYER ON THE SEEMAIL FROM THE HCMC SCABOVE-REFERENCED ACTEURO 116,500 ON ELLIOTT	Sanction detail: if suspended, <i>enjoined</i> or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an <i>advisory affiliate</i> , date paid and if any portion of penalty was waived: 13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided). THE HCMC REQUESTED THE ELLIOTT FUNDS' VIEWS ON THE FOREGOING ALLEGATIONS BEFORE MAKING ANY FINAL DETERMINATION. THE ELLIOTT FUNDS EACH RESPONDED TO THE HCMC AND CONTESTED THE ALLEGATIONS. IN PARTICULAR, THE ELLIOTT FUNDS STATED THAT THEY DID IN FACT ENSURE THAT THE RELEVANT SECURITY WOULD BE AVAILABLE FOR SETTLEMENT WHEN DUE IN ACCORDANCE WITH THE RELEVANT EU SHORT-SELLING RULE. EACH ELLIOTT FUND DID SO BY ENTERING INTO A BINDING AGREEMENT, BEFORE EFFECTING THE SHORT SALE, TO ACQUIRE THE SECURITY TO BE DELIVERED PRIOR TO THE SETTLEMENT DATE. IN FACT, IN ACCORDANCE WITH SUCH AGREEMENT, THE SHARES WERE ACQUIRED PRIOR TO THE SETTLEMENT DATE AND WERE DELIVERED TO THE BUYER ON THE SETTLEMENT DATE. THESE MATTERS REMAIN PENDING BEFORE THE HCMC. ON NOVEMBER 28, 2017, ELLIOTT'S COUNSEL RECEIVED AN EMAIL FROM THE HCMC STATING THAT THE HCMC DECIDED TO IMPOSE A FINE OF EURO 64,500 ON THE LIVERPOOL LIMITED PARTNERSHIP RELATING TO THE ABOVE-REFERENCED ACTIVITY. ON FEBRUARY 21, 2018, ELLIOTT'S COUNSEL RECEIVED A SUBSTANTIALLY IDENTICAL EMAIL FROM THE HCMC IMPOSING A FINE OF EURO 116,500 ON ELLIOTT INTERNATIONAL, L.P. THESE DECISIONS ARE NOT CONSIDERED FINAL AND ARE SUBJECT TO APPEAL.						
		Regulatory Action					
Check item(s) being responded t	o:	Rogalatory Notion					
□ 11.C(1)	□ 11.C(2)	☐ 11.C(3)	□ 11.C(4)	□ 11.C(5)			
□ 11.D(1)	✓ 11.D(2)	□ 11.D(3)	☑ 11.D(4)	□ 11.D(5)			
	* *	, ,	* *	i= 11.b(3)			
11.E(1)	☐ 11.E(2)	□ 11.E(3)	□ 11.E(4)				
□ 11.F.	☐ 11.G.						
Execution Page. One event may result in more that	n one affirmative answer to		Ý	tity using one DRP. File with a completed tails related to the same event. If an event gives			
PARTI							
A. The <i>person(s)</i> or entity(ies) O You (the advisory firm)	for whom this DRP is beinຸດ	g filed is (are):					
You and one or more of	your advisory affiliates						

	affiliate has a CRD number, provide the	
	DVISORY AFFILIATE	
CRD Numbe	r:	This advisory affiliate is . a Firm . an Individual
Registered:	೧ ⊚	
Name:	ELLIOTT ASSOCIATES, L.P. (For individuals, Last, First, Middle)	
CRD Numbe	r:	This <i>advisory affiliate</i> is
Registered:	⊙ Yes O No	This devisory animate is - a film - an individual
Name:	ELLIOTT ADVISORS (HK) LIMITED	
Traino.	(For individuals, Last, First, Middle)	
CRD Numbe	r:	This <i>advisory affiliate</i> is ⊙ a Firm ○ an Individual
Registered:	O Yes O No	
Name:	ELLIOTT INTERNATIONAL, L.P.	
	(For individuals, Last, First, Middle)	
CRD		This advisory affiliate is © a Firm O an Individual
Number: Registered:		
	C Yes	
Name:	THE LIVERPOOL LIMITED PARTNERSHIP	
	(For individuals, Last, First, Middle)	
CRD		This advisory affiliate is [⊙] a Firm [○] an Individual
Number:		
Registered:	C Yes O No	
Name:	MANSFIELD (MAURITIUS) LIMITED (For individuals, Last, First, Middle)	
CRD Numbe	r:	This <i>advisory affiliate</i> is ⊙ a Firm ○ an Individual
Registered:	C Yes ⊙ No	This auvisory anniate is a Firm an individual
Name:	SUFFOLK (MAURITIUS) LIMITED	
Traine.	(For individuals, Last, First, Middle)	
This DRP	should be removed from the ADV reco	ord because the advisory affiliate(s) is no longer associated with the adviser. For discrete because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or applying for applying adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor.
		rities authority, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event red or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years
This DRP	should be removed from the ADV reco	ord because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
	offiliate in registered through the IAPD	system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the
=	nswer is "Yes," no other information or	n this DRP must be provided.
<u>-</u>	nswer is "Yes," no other information or	n this DRP must be provided.
event? If the a	nswer is "Yes," no other information or	this DRP must be provided. The advisory affiliate of its obligation to update its IARD or CRD records.
vent? If the all of the all of the second o	nswer is "Yes," no other information or	
event? If the and Yes • • • • • • • • • • • • • • • • • • •	nswer is "Yes," no other information or	the advisory affiliate of its obligation to update its IARD or CRD records.

2. Principal Sanction:

3.	Date Initiated (MM/DD/YYYY):
	12/09/2016 Exact Explanation If not exact, provide explanation:
4.	Docket/Case Number: WTM/SM/IVD/ID1/7863/2020-21
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): ON DECEMBER 9, 2016, THE SECURITIES AND EXCHANGE BOARD OF INDIA ("SEBI") ISSUED A "SHOW CAUSE NOTICE" (THE "NOTICE") UNDER SECTIONS 11, 11B AND 11(4) OF THE SEBI ACT, 1992, AND REGULATIONS 3(B), (C), (D) AND 4(1) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003, ADDRESSED TO ELLIOTT ADVISORS (HK) LIMITED ("EAHK"), ELLIOTT MANAGEMENT CORPORATION, ELLIOTT ASSOCIATES, L.P., ELLIOTT INTERNATIONAL, L.P., THE LIVERPOOL LIMITED PARTNERSHIP, MANSFIELD (MAURITIUS) LIMITED, SUFFOLK (MAURITIUS) LIMITED (TOGETHER "ELLIOTT") AND ASTRAZENECA PHARMACEUTICALS AB SWEDEN ("AZ SWEDEN"). THE NOTICE WAS ISSUED PURSUANT TO SEBI'S INVESTIGATION INTO MATTERS CONCERNING A SALE BY AZ SWEDEN OF SHARES IN ASTRAZENECA PHARMA INDIA LIMITED ("AZ INDIA") IN A PUBLIC OFFER FOR SALE PROCESS (THE "OFS") CONDUCTED IN MAY 2013, AND PERCEIVED CONNECTIONS WITH THE SUBSEQUENT INTENDED PRIVATIZATION AND DELISTING OF AZ INDIA THAT WAS ANNOUNCED ON MARCH 3, 2014 BUT WAS NOT EXECUTED. THE NOTICE SET FORTH CERTAIN ALLEGATIONS, INCLUDING THAT (I) ELLIOTT AND AZ SWEDEN EMPLOYED MANIPULATIVE AND DECEPTIVE DEVICES BY CONDUCTING FRAUDULENT NEGOTIATIONS TO INFLUENCE THE DELISTING PRICE OF AZ INDIA; AND (II) MATERIAL INFORMATION CONCERNING SUCH NEGOTIATIONS, THE SIZE OF ELLIOTT'S OVERALL EXPOSURE TO AZ INDIA, AND ITS ABILITY TO INFLUENCE THE INTENDED DELISTING PROCESS WAS CONCEALED FROM RETAIL INVESTORS.
8.	Current Status? C Pending C On Appeal Final
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:
lf Fi	inal or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.
10.	How was matter resolved: Order
11.	Resolution Date (MM/DD/YYYY):
	06/05/2020 Exact Explanation If not exact, provide explanation: DATE ORDER RECEIVED BY ELLIOTT.
12.	Resolution Detail:
	A. Were any of the following Sanctions Ordered (check all appropriate items)? ☐ Monetary/Fine Amount: \$ ☐ Revocation/Expulsion/Denial ☐ Disgorgement/Restitution ☐ Cease and Desist/Injunction ☐ Bar ☐ Suspension
	B. Other Sanctions Ordered:
	Sanction detail: if suspended, <i>enjoined</i> or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an <i>advisory affiliate</i> , date paid and if any portion of penalty was waived: SEE ITEM 13 BELOW.
13.	Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).
	ON JUNE 5, 2020, ELLIOTT AND AZ SWEDEN RECEIVED A WRITTEN ORDER IN AN ADMINISTRATIVE PROCEEDING CONDUCTED UNDER SECTIONS 11(1), 11(4) AND 11(B) OF THE SEBI ACT 1992 BY SEBI. THE ORDER RELATES TO ALLEGATIONS BY SEBI THAT ELLIOTT AND AZ SWEDEN EMPLOYED MANIPULATIVE AND DECEPTIVE

DEVICES BY CONDUCTING FRAUDULENT NEGOTIATIONS TO INFLUENCE THE DELISTING PRICE OF SHARES IN AZ INDIA. THE DELISTING OF AZ INDIA WAS

PROPOSED BY AZ SWEDEN IN EARLY 2014 BUT DID NOT TAKE PLACE. AS NOTED ABOVE, THE SEBI ORDER CAN BE INTERPRETED AS SAYING THAT ELLIOTT AND AZ SWEDEN DID NOT COMPLY WITH REQUIREMENTS UNDER THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR PRACTICE RELATING TO SECURITIES MARKET)

Other Sanctions:

WAS IN FOUND	IPOSED. ELLIOTT STRONGLY DISAGRE	EN WERE CENSURED AND DIRECTED TO ES WITH THE ORDER AND THE FINDINGS SITION THAT THE ACTIVITIES OF ELLIOTT	C, CONSIDERS THEM TO BE WHO	
		GENERAL INSTRU	CTIONS	
This Disclosu	ure Reporting Page (DRP ADV) is an ${}^{ extstyle ext$	INITIAL OR • AMENDED response used	to report details for affirmative resp	onses to Items 11.C., 11.D., 11.E., 11.F. or 11.G.
of Form ADV				
Chack itam/s	s) being responded to:	Regulatory Acti	on	
11.C(1)	11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)
11.D(1)	✓ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)
11.E(1)	☐ 11.E(2)	□ 11.E(3)	□ 11.E(4)	E 11.5(0)
11.E(1)	☐ 11.E(2)	L 11.E(3)	L 11.E(4)	
	E 11.5.			
Execution Pa	ge. ay result in more than one affirmative an	the same event or <i>proceeding</i> may be report swer to Items 11.C., 11.D., 11.E., 11.F. or 1. tails for each action on a separate DRP.	·	tity using one DRP. File with a completed tails related to the same event. If an event gives
	s by more triair one regulator, provide de	talis for each action on a separate Dixi .		
PART I	rson(s) or entity(ies) for whom this DRP i	s heing filed is (are):		
•	(the advisory firm)	s being filed is (are).		
~				
	and one or more of your advisory affiliat	es		
⊙ One	or more of your advisory affiliates			
	lvisory affiliate has a CRD number, provi	de that number. If not, indicate "non-register	ed" by checking the appropriate bo	x.
CRD	Number: 292248	This advisory affiliate is 🌀 a Firm 🤇	an Individual	
Regis	tered:			
Name	: ELLIOTT ADVISORS (UK) LIMI (For individuals, Last, First, Mic			
<i>CRD</i> Numb		This advisory affiliate is • a Firm	an Individual	
Regis	tered:			
Name	ELLIOTT CAPITAL ADVISORS,	L.P.		
	(For individuals, Last, First, Mic	dle)		
This	s DRP should be removed from the ADV	record because the advisory affiliate(s) is no record because: (1) the event or proceeding exempt reporting adviser with the SEC and	cocurred more than ten years ago	or (2) the adviser is registered or applying for
-				sponse to Item 11.D(4), and only if that event d in Item 11 that occurred more than ten years
☐ This	S DRP should be removed from the ADV	record because it was filed in error, such as	due to a clerical or data-entry mist	take. Explain the circumstances:
	Ivisory affiliate is registered through the I		ory affiliate submitted a DRP (with F	Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the
C Yes	s • No			
NOTE:	The completion of this form does not reli	eve the <i>advisory affiliate</i> of its obligation to u	pdate its IARD or <i>CRD</i> records.	
PART II				

Regulatory Action initiated by:

	© SEC © Other Federal © State © SRO © Foreign							
	(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) AUTORITE DES MARCHES FINANCIERS							
2.	Principal Sanction:							
	Other Sanctions:							
3.	Date Initiated (MM/DD/YYYY):							
	12/23/2019 © Exact © Explanation If not exact, provide explanation: SEE ITEM 7 BELOW FOR MORE INFORMATION REGARDING INITIATION DATES.							
4.	Docket/Case Number: PROCEDURE NO. 2019-03							
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action	(if applicable):						
6.	Principal Product Type: Derivative(s) Other Product Types: EQUITY LISTED (COMMON & PREFERRED STOCK)							
7.	Describe the allegations related to this regulatory action (your response must fit within the ON DECEMBER 23, 2019, ELLIOTT CAPITAL ADVISORS, L.P. ("ECALP") RECEIVED, AND ("EAUK") RECEIVED, A COPY OF AN AUTORITÉ DES MARCHÉS FINANCIERS (THE "AMF THE AMF IN FRANCE. (ECALP AND EAUK ARE TOGETHER REFERRED TO BELOW AS CERTAIN ALLEGATIONS SET FORTH BY THE AMF AS A RESULT OF THEIR INVESTIGATION AND LOGISTICS, INC. AND XPO LOGISTICS FRANCE (COLLECTIVELY "XPO") FOR THE RECOMMENDATIONS SET FORTH IN THE REPORT ARE THAT (I) ALTHOUGH ELLIOTT' MANIPULATIVE, IT INACCURATELY DESCRIBED ITS DERIVATIVE HOLDINGS AS "CONT NOT DECLARE ITS INTENTION TO NOT TENDER ITS HOLDINGS IN NDSA INTO XPO'S TOF THE AMF'S REQUESTS FOR INFORMATION IN A TIMELY MANNER, AND DESPITE ELCOMPELLED TO ANSWER, EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A ESHOULD HAVE UNDERSTOOD WERE BEING SOUGHT BY THE AMF.	D SUBSEQUENTLY ON DECEMBER 31, 2019, ELLIOTT ADVISORS (UK) LIMITED E") INVESTIGATIVE REPORT (THE "REPORT") TO THE SANCTIONS COMMISSION OF "ELLIOTT".) THE REPORT REFLECTS NON-BINDING RECOMMENDATIONS AS TO TION INTO ELLIOTT'S ACTIVITY CONCERNING A TENDER OFFER CONDUCTED BY E SHARES OF NORBERT DENTRESSANGLE SA ("NDSA") IN 2015. THE S PUBLIC DISCLOSURE OF ITS POSITION IN NDSA WAS NOT MISLEADING OR "RACTS FOR DIFFERENCE" RATHER THAN AS "EQUITY SWAPS"; (II) ELLIOTT DID TENDER OFFER IN A TIMELY MANNER; AND (III) DESPITE RESPONDING TO EACH LIOTT HAVING VOLUNTARILY AGREED TO ANSWER REQUESTS IT WAS NOT						
8.	Current Status?							
9.	If on appeal, regulatory action appealed to (SEC, <i>SRO</i> , Federal or State Court) and Date Appeal Filed: ON APRIL 22, 2020, ELLIOTT RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF (SEE ITEM 13 BELOW FOR MORE INFORMATION REGARDING THE DECISION). ELLIOTT STRONGLY DISAGREES WITH THE FINDINGS AGAINST ELLIOTT IN THIS ADMINISTRATIVE PROCEEDING, CONSIDERS THEM WHOLLY WITHOUT FOUNDATION, VIGOROUSLY OPPOSES ANY SUGGESTION OF WRONGDOING, AND INTENDS TO APPEAL THE MATTER TO THE PARIS COURT OF APPEALS.							
lf Fi	nal or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.							
10.	How was matter resolved: Decision							
11.	Resolution Date (MM/DD/YYYY):							
	04/22/2020 Exact Explanation If not exact, provide explanation: ON APRIL 22, 2020, ELLIOTT RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF, WHICH DECISION WAS DATED APRIL 17, 2020.							
12.	Resolution Detail:							
	 A. Were any of the following Sanctions <i>Ordered</i> (check all appropriate items)? ✓ Monetary/Fine Amount: \$ 22,000,000.00 ☐ Revocation/Expulsion/Denial ☐ Censure ☐ Bar B. Other Sanctions <i>Ordered</i>: 	□ Disgorgement/Restitution□ Cease and Desist/Injunction□ Suspension						

Sanction detail: if suspended, enjoined or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations

Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

THE SANCTIONS COMMISSION OF THE AMF ASSESSED A FINE OF EUR 15 MILLION (APPROXIMATELY \$16,500,000) AGAINST EAUK AND EUR 5 MILLION (APPROXIMATELY \$5,500,000) AGAINST ECALP.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

ON APRIL 22, 2020, ELLIOTT RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF IN FRANCE RELATING TO ELLIOTT'S ACTIVITY CONCERNING A TENDER OFFER CONDUCTED BY XPO FOR THE SHARES OF NDSA IN 2015. IN THE WRITTEN DECISION THE AMF FOUND (I) THAT ELLIOTT INACCURATELY DESCRIBED THEIR DERIVATIVE HOLDINGS AS "CONTRACTS FOR DIFFERENCE" RATHER THAN AS "EQUITY SWAPS" IN THEIR PUBLIC DISCLOSURE OF THEIR POSITION IN NDSA; (II) THAT ELLIOTT DID NOT DECLARE THEIR INTENTION TO NOT TENDER THEIR HOLDINGS IN NDSA INTO XPO'S TENDER OFFER IN A TIMELY MANNER; AND (III) THAT EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A DELAYED PRODUCTION OF DOCUMENTS THAT ELLIOTT, IN THE AMF'S VIEW, SHOULD HAVE UNDERSTOOD WERE BEING SOUGHT BY THE AMF. ALTHOUGH THE SANCTIONS COMMISSION ACCEPTED THAT ELLIOTT'S DECLARATIONS RELATING TO XPO'S TENDER OFFER DID NOT IN FACT MISLEAD OR CAUSE MARKET PARTICIPANTS TO MODIFY THEIR STRATEGIES CONCERNING THE TENDER OFFER, AND FOUND NO INTENT BY EAUK TO IMPEDE THE AMF'S INVESTIGATION THROUGH THE DELAYED PRODUCTION OF MATERIALS, IT NEVERTHELESS IMPOSED A FINE OF EUR 15 MILLION AGAINST EAUK AND A FINE OF EUR 5 MILLION AGAINST ECALP. ELLIOTT STRONGLY DISAGREES WITH THE FINDINGS AGAINST ELLIOTT IN THIS ADMINISTRATIVE PROCEEDING, CONSIDERS THEM WHOLLY WITHOUT FOUNDATION, VIGOROUSLY OPPOSES ANY SUGGESTION OF WRONGDOING, AND INTENDS TO APPEAL THE MATTER TO THE PARIS COURT OF APPEALS. IT REMAINS ELLIOTT'S POSITION THAT THE ACTIVITIES OF ELLIOTT WERE LAWFUL AT ALL TIMES. NONE OF THE COSTS ASSOCIATED WITH THIS MATTER (INCLUDING THE PENALTY ASSESSED BY THE SANCTIONS COMMISSION AND THE COST OF THE APPEAL) HAVE BEEN OR WILL BE BORNE BY THE ELLIOTT FUNDS, AND ELLIOTT CONTINUES TO BELIEVE THAT THIS MATTER WILL NOT HAVE AN ADVERSE IMPACT ON THE FUNDS.

CIVIL JUDICIAL ACT	ΓΙΟΝ DISCLOSURE REPORTING PAGE (A	DV)		
		GENERAL INSTR	UCTIONS	
This Disclosure Re	eporting Page (DRP ADV) is an 👝 INITIA	AL OR AMENDED response used	I to report details for affirmative res	ponses to Item 11.H. of Part 1A or Item 2.F. of Part
1B of Form ADV.				
		Civil Judici	al	
Check Part 1A iten	n(s) being responded to:			
☑ 11.H(1)(a)	□ 11.H(1)	(b)	☐ 11.H(1)(c)	□ 11.H(2)
Check Part 1B iten	n(s) being responded to:			
2.F(1)	☐ 2.F(2)	☐ 2.F(3)	□ 2.F(4)	□ 2.F(5)
Use a separate DR Execution Page.	RP for each event or <i>proceeding</i> . The sai	me event or <i>proceeding</i> may be repo	orted for more than one <i>person</i> or e	entity using one DRP. File with a completed
	sult in more than one affirmative answer to st be reported on separate DRPs.	o Item 11.H. of Part 1A or Item 2.F. o	f Part 1B. Use only one DRP to rep	ort details related to the same event. Unrelated civi
PART I				
A. The person(s) or entity(ies) for whom this DRP is bein	g filed is (are):		
C You (the a	advisory firm)			
$_{ m C}$ You and $_{ m C}$	one or more of your advisory affiliates			
⊙ One or mo	ore of your advisory affiliates			
	being filed for an <i>advisory affiliate</i> , give t affiliate has a CRD number, provide tha			
ADV DRP - A	ADVISORY AFFILIATE			
CRD Number:		This advisory affiliate is 🌀 a Firm	C an Individual	
Registered:	C Yes O No			
Name:	THE LIVERPOOL LIMITED PARTNERSHIP			
	(For individuals, Last, First, Middle)			
CRD Numb	er:	This <i>advisory affiliate</i> is 🌀 a Firm	C an Individual	
Registered:	C Yes ⊙ No			
Name:	ELLIOTT INTERNATIONAL, L.P.			

(For individuals, Last, First, Middle)

	CRD	This <i>advisory affiliate</i> is ⊙ a Firm ○ an Individual
	Number:	
	Registered:	C Yes
	Name:	ELLIOTT CAPITAL ADVISORS, L.P. (For individuals, Last, First, Middle)
	CRD Number	This <i>advisory affiliate</i> is ⊙ a Firm ○ an Individual
	Registered:	C Yes • No
	Name:	ELLIOTT ASSOCIATES, L.P. (For individuals, Last, First, Middle)
	This DRP st	hould be removed from the ADV record because the advisory affiliate(s) is no longer associated with the adviser. hould be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or applying for with the SEC or reporting as an exempt reporting adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor.
	-	tered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.H.(1)(a), and only if that event than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years
	☐ This DRP sl	hould be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
B.		ffiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the swer is "Yes," no other information on this DRP must be provided.
	C Yes € No	
	NOTE: The com	apletion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.
PAR	ΤII	
1.		tiated by: (Name of regulator, foreign financial regulatory authority, SRO, commodities exchange, agency, firm, private plaintiff, etc.) S, INC. AND XPO LOGISTICS FRANCE
2.	Principal Relief Injunction	Sought:
	Other Relief So MONEY DAMAG	
3.	Filing Date of Co	ourt Action (MM/DD/YYYY):
		Exact C Explanation vide explanation:
4.	Principal Produc Equity Listed (C Other Product T	Common & Preferred Stock)
5.		vas brought in (include name of Federal, State or Foreign Court, Location of Court - City or County <u>and</u> State or Country, Docket/Case Number): RCIAL COURT; PARIS, FRANCE; 2015000402
6.	Advisory Affiliat	e Employing Firm when activity occurred which led to the civil judicial action (if applicable):
7.	THIS DRP DESISA ("ND") IN A TO CAPITAL ADVISION OF THE OMANIFESTLY OMANIFESTLY OF THE OMANIFESTLY OF THE OMANIFESTLY OMANIF	legations related to this civil action (your response must fit within the space provided): CRIBES CIVIL LITIGATION RELATED TO ELLIOTT'S (AS HEREAFTER DEFINED) DECISION NOT TO TENDER ITS SHARES OF NORBERT DENTRESSANGLE FENDER OFFER CONDUCTED BY XPO LOGISTICS, INC. AND XPO LOGISTICS FRANCE (COLLECTIVELY, "XPO"). XPO HAS ALLEGED THAT ELLIOTT SORS, L.P. AND CERTAIN FUNDS MANAGED BY ELLIOTT MANAGEMENT CORPORATION (TOGETHER WITH THEIR AFFILIATES, "ELLIOTT") ARE IN FRENCH LAW SURROUNDING TENDER OFFERS AND THAT XPO WOULD SUFFER SIGNIFICANT DAMAGE AS A RESULT. ELLIOTT HELD APPROXIMATELY PUTSTANDING SHARES OF ND. ELLIOTT HAS ALLEGED THAT XPO LIKELY HAD TAKEN OR HAD IMMINENT PLANS TO TAKE ACTION THAT WAS CONTRARY TO THE CORPORATE INTERESTS OF ND AND THE MINORITY SHAREHOLDERS OF ND IN ORDER TO PURSUE THE PROPOSED MERGER AND DORE THAT XPO DOES NOT OWN 100% OF ND'S SHARES.
8.	Current Status?	Pending On Appeal Final
9.	If on appeal, act	tion appealed to (provide name of court) and Date Appeal Filed (MM/DD/YYYY):
10.	If pending, date	notice/process was served (MM/DD/YYYY):

	© Exact © Explanation									
	if not	exact, provide explanati	on:							
If Fi	nal or	On Appeal, complete al	l items below. F	or Pending Actions,	complete Item 14 on	ly.				
11.	How Settle	was matter resolved: ed								
12.	Reso	lution Date (MM/DD/YYY	Y):							
		11/28/2019 Exact Explanation If not exact, provide explanation:								
13.	Reso	lution Detail:								
	A.	Were any of the following	ng Sanctions Or	dered or Relief Grar	nted(check appropriat	te items)?				
		☐ Monetary/Fine Amou	_			,				
		Revocation/Expulsion	on/Denial			Disgorgement/I	Restitution			
		Censure				Cease and Des	sist/Injunction			
		☐ Bar				Suspension				
	B.	Other Sanctions:								
		SETTLED.								
		Principal, etc.). If requal	ification by exan	n/retraining was a co on resulted in a fine	ondition of the sanction, penalty, restitution,	n, provide length of time	e given to requalify	Securities Principal, Financial Oper r/retrain, type of exam required and n, provide total amount, portion le	d whether	
14.	Provide a brief summary of circumstances related to the action(s), allegation(s), disposition(s) and/or finding(s) disclosed above (your response must fit within the space provided). ON JULY 8, 2015, THE PARIS COMMERCIAL COURT ISSUED AN EX PARTE ORDER IN RESPONSE TO A CIVIL COMPLAINT FILED BY XPO THAT, AMONG OTHER THINGS, TEMPORARILY BARRED ELLIOTT FROM TRANSFERRING ITS EQUITY INTEREST IN ND TO ANY THIRD PARTY OTHER THAN XPO, UNTIL THE COURT ISSUED A FURTHER ORDER AT OR FOLLOWING A HEARING ON JULY 23, 2015. ON JULY 16, 2015, THE PARIS COMMERCIAL COURT ISSUED AN EX PARTE ORDER AT THE REQUEST OF ELLIOTT THAT, AMONG OTHER THINGS, TEMPORARILY BARRED XPO FROM CARRYING OUT ANY OF ITS CONTEMPLATED TRANSACTIONS WITH ND, INCLUDING PURSUING THE PROPOSED MERGER WITH ND, UNTIL THE COURT ISSUED A FURTHER ORDER AT OR FOLLOWING THE JULY 23 HEARING. ON JULY 29, 2015, THE PARIS COMMERCIAL COURT LIFTED THE RESTRICTIONS CONTAINED IN BOTH EX PARTE ORDERS, AND ADDED ND TO AND REMOVED THE LIVERPOOL LIMITED PARTNERSHIP FROM THE PROCEEDINGS, AND DENIED CERTAIN OTHER RELIEF REQUESTED BY THE PARTIES. ON MAY 2, 2016, ELLIOTT FILED AN ACTION "UT SINGULI," IN THE NATURE OF A DERIVATIVE ACTION, ON BEHALF OF ND (RENAMED "XPO LOGISTICS EUROPE") AGAINST CERTAIN PRESENT AND FORMER EXECUTIVES AND/OR DIRECTORS OF ND. ON NOVEMBER 28, 2019, ELLIOTT SETTLED THESE PROCEEDINGS AND OBTAINED A FULL AND COMPLETE RELEASE. THE MATTER IS NOW CONCLUDED.									
					GENERAL IN	STRUCTIONS				
This	Disclo	osure Reporting Page (D	ORP ADV) is an	O INITIAL OF G			r affirmative respo	nses to Item 11.H. of Part 1A or Ite	em 2.F. of Part	
	f Form		,	○ OR ◎	·	·	·			
OL	. I. D				Civil J	udicial				
	ок Раг 1.Н(1)	t 1A item(s) being respo		111.H(1)(b)		☐ 11.H(1)(c)		☑ 11.H(2)		
Chec	ck Par	t 1B item(s) being respo	onded to:							
	2.F(1)	() 0 1	2.F(2)		2.F(3)	□ 2.1	F(4)	2.F(5)		
	a sepa		nt or <i>proceedin</i> g	. The same event o	r <i>proceeding</i> may be	reported for more than	one <i>person</i> or ent	ity using one DRP. File with a com	npleted	
		may result in more than ions must be reported o			l. of Part 1A or Item 2	.F. of Part 1B. Use only	one DRP to report	t details related to the same event.	. Unrelated civil	
PAR ⁻	ΤI									
A.	-	oerson(s) or entity(ies) foo	or whom this DR	P is being filed is (a	ıre):					
	~	ou and one or more of v	OUL							
		ou and one or more of y		liates						
	⊙ 0	⊙ One or more of your advisory affiliates								

		-	e the full name of the <i>advisory affiliate</i> below (for individuals, Last name, First name, Middle name). nat number. If not, indicate "non-registered" by checking the appropriate box.		
	ADV DRP - A	ADV DRP - ADVISORY AFFILIATE			
	CRD Number: Registered: Name:	O Yes	This advisory affiliate is [©] a Firm [□] an Individual		
	CRD Numbe	er:	This advisory affiliate is [⊙] a Firm [○] an Individual		
	Registered:	C Yes © No			
	Name:	ELLIOTT ASSOCIATES, L.P. (For individuals, Last, First, Middle)			
	CRD Numbe	er:	This advisory affiliate is		
	Registered:	C Yes C No			
	Name:	ELLIOTT INTERNATIONAL, L.P. (For individuals, Last, First, Middle)			
☐ This DRP should be removed from the ADV record because the advisory affiliate(s) is no longer associated with the adviser. ☐ This DRP should be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or registration with the SEC or reporting as an exempt reporting adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor.			ord because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is registered or applying for		
	If you are registered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.H.(1)(a), and only if that e occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten year ago.				
	☐ This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:				
If the <i>advisory affiliate</i> is registered through the IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to the IARD or <i>CRD</i> for event? If the answer is "Yes," no other information on this DRP must be provided. O Yes No					
			the advisory affiliate of its obligation to update its IARD or CRD records.		
	ГΙΙ				
		nitiated by: (Name of regulator, foreign DERAL ANTIMONOPOLY SERVICE	financial regulatory authority, SRO, commodities exchange, agency, firm, private plaintiff, etc.)		
	Principal Reli	ef Sought:			
		AL RELIEF SOUGHT IS TO DEPRIVE	ARCONIC RUS INVESTMENT HOLDING LLC AND ALTI FORGE HOLDING, SARL OF THE RIGHT TO VOTE THEIR TINGS OF ARCONIC SMZ JSC AND ALTI FORGE JSC, RESPECTIVELY.		
	Filing Date of	Court Action (MM/DD/YYYY):			
	If not exact, po		D SUBSEQUENTLY SUPPLEMENTED ON 3/25/2020. THE ELLIOTT PARTIES (AS DEFINED IN ITEM 7 BELOW) WERE ITS) ON JUNE 23, 2020. SEE ITEMS 7 AND 14 BELOW.		
	Principal Prod No Product Other Product				
			eral, State or Foreign Court, Location of Court - City or County <u>and</u> State or Country, Docket/Case Number): ARA REGION, RUSSIA - DOCKET/CASE NUMBER: SP/19089/20, A55-6479/2020		
	Advisory Affili N/A	ate Employing Firm when activity occur	rred which led to the civil judicial action (if applicable):		

7. Describe the allegations related to this civil action (your response must fit within the space provided):

	DIRECTORS WHO HAD PREVIOUSLY BEEN APPOINTED PURSUANT	IES INDIRECTLY ACQUIRED CONTROL OVER THE RUSSIAN SUBSIDIARIES WHEN, IN MAY 2019, T TO CERTAIN SETTLEMENT AGREEMENTS (THE "AGREEMENTS") AMONG ARCONIC, INC. AND THE	
	·	RD OF DIRECTORS (THE "BOARD") AS A RESULT OF A REDUCTION IN THE SIZE OF THE BOARD. THE LAW NO. 57-FZ, WHICH GOVERNS FOREIGN OWNERSHIP OF CERTAIN RUSSIAN COMPANIES. THE	
		NG OTHER REASONS, (I) THE AGREEMENTS DID NOT PROVIDE THE ELLIOTT PARTIES WITH	
		ANY EVENT EXPIRED BEFORE THE BOARD WAS REDUCED IN SIZE, AND, THEREFORE, THE ELLIOTT	
	PARTIES HAD NO RIGHTS WITH RESPECT TO APPOINTING, REMOVIGOROUSLY CONTEST THE FAS CLAIMS.	VING, OR REPLACING ANY DIRECTORS AT THAT TIME. THE ELLIOTT PARTIES INTEND TO	
	VIGOROUSET CONTEST THE FAS CLAIMS.		
	8. Current Status? • Pending • On Appeal • Final		
	If on appeal, action appealed to (provide name of court) and Date Appe	al Filed (MM/DD/YYYY):	
	c. If on appeal, determ appealed to (provide flame of dearly and Bate Appeal		
	10. If pending, date notice/process was served (MM/DD/YYYY):		
04/03/2020 C Exact Explanation			
	If not exact, provide explanation:		
APPROXIMATE DATE ON WHICH ELLIOTT RECEIVED NOTICE OF THE CIVIL ACTION.			
	If Final or On Appeal, complete all items below. For Pending Actions, comp	elete Item 14 only.	
	11. How was matter reached.		
	11. How was matter resolved:		
	12. Resolution Date (MM/DD/YYYY):		
	© Exact © Explanation		
	If not exact, provide explanation:		
	13. Resolution Detail:		
	A. Were any of the following Sanctions Ordered or Relief Granted(c	check appropriate items)?	
	☐ Monetary/Fine Amount: \$		
	Revocation/Expulsion/Denial	☐ Disgorgement/Restitution	
	☐ Censure	Cease and Desist/Injunction	
	□ Bar	☐ Suspension	
	B. Other Sanctions:		
	C. Sanction detail: if suspended, enjoined or barred, provide duration	on including start date and capacities affected (General Securities Principal, Financial Operations	
	Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether		
		nalty, restitution, disgorgement, or monetary compensation, provide total amount, portion levied against	
	you or an advisory affiliate, date paid and if any portion of penalty	was walved:	
		egation(s), disposition(s) and/or finding(s) disclosed above (your response must fit within the space	
provided). ON MARCH 13, 2020, THE FAS FILED A CLAIM IN THE ARBITRAZH (STATE COMMERCIAL) COURT, SAMARA REGION, AGAINST THE ARCONIC SUBSIDIARIES AND THE			
ELLIOTT PARTIES. BY SUPPLEMENT DATED MARCH 25, 2020, THE FAS CLARIFIED THAT IT SOUGHT TO HAVE THE ELLIOTT PARTIES NAMED AS THIRD PARTIES (AI			
	· ·	IE SAMARA COURT ENTERED A PRELIMINARY INJUNCTION PROHIBITING THE RUSSIAN	
		D DECISIONS DURING THE PENDENCY OF THE LITIGATION. THE ARCONIC SUBSIDIARIES ARE FIRST HEARING IN THE SAMARA COURT WAS CONDUCTED ON JUNE 23, 2020, AND, AS DESCRIBED	
		MED AS THIRD PARTIES IN THE CLAIM FILED BY THE FAS. THE ELLIOTT PARTIES DISPUTE THE	
	ALLEGATIONS AND INTEND TO VIGOROUSLY CONTEST THE FAS C		
F	Part 2		
	Exemption from brochure delivery requirements for SEC-registered advi	sers	
	SEC rules exempt SEC-registered advisers from delivering a firm brochure	to some kinds of clients. If these exemptions excuse you from delivering a brochure to <i>all</i> of your advisory	
	clients, you do not have to prepare a brochure		

Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

Yes No

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ON JUNE 23, 2020, ELLIOTT ASSOCIATES, L.P., ELLIOTT INTERNATIONAL, L.P. AND ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC. (COLLECTIVELY, THE "ELLIOTT

PARTIES") WERE NAMED AS THIRD PARTIES (AND NOT DEFENDANTS) IN A CLAIM FILED BY THE RUSSIAN FEDERAL ANTIMONOPOLY SERVICE ("FAS") IN THE ARBITRAZH (STATE COMMERCIAL) COURT, SAMARA REGION, AGAINST TWO SUBSIDIARIES OF ARCONIC, INC., ARCONIC RUS INVESTMENT HOLDING LLC AND ALTI FORGE HOLDING, SARL (TOGETHER, THE "ARCONIC SUBSIDIARIES"), WHICH, IN TURN, CONTROL ARCONIC SMZ JSC AND ALTI FORGE JSC (TOGETHER, THE

Amend, retire or file new brochures:

Part 3

CRS Type(s) Affiliate Info Retire

There are no CRS filings to display.

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY

PAUL E. SINGER 11/13/2020

Printed Name: Title:

PAUL E. SINGER PRESIDENT, DIRECTOR, CO-CEO, CO-CHIEF INVESTMENT OFFICER

Adviser *CRD* Number:

156601

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Title:

Signature: Date: MM/DD/YYYY

Printed Name:

Adviser CRD Number:

156601