FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Prin	nary Business Name: CAN	AAN PARTNERS		CRD Number: 161180
Stat	e ERA Report, Annual Ame	endment - All Sections		Rev. 10/2021
3/2	8/2024 4:25:00 PM			
WA	·	•	s or omissions may result in denial by filing periodic amendments. See	of your application, revocation of your registration, or criminal e Form ADV General Instruction 4.
Iter	n 1 Identifying Informatio	n		
	•		•	ontact you. If you are filing an <i>umbrella registration</i> , the des information to assist you with filing an <i>umbrella registration</i> .
A.	Your full legal name (if yo CANAAN MANAGEMENT,		last, first, and middle names):	
B.	(1) Name under which you CANAAN PARTNERS	u primarily conduct your advis	sory business, if different from Item	1.A.
	List on Section 1.B. of School	edule D any additional names	under which you conduct your adviso	ory business.
	(2) If you are using this F	orm ADV to register more tha	an one investment adviser under ar	umbrella registration, check this box \square
	If you check this box, comp	olete a Schedule R for each rel	ying adviser.	
C.	name change is of	change in your legal name (It	tem 1.A.) or primary business name	(Item 1.B.(1)), enter the new name and specify whether the
D.	(2) If you report to the SE	EC as an <i>exempt reporting ad</i> v	nt adviser, your SEC file number: viser, your SEC file number: 802-75 s assigned by the SEC ("CIK Number No Information Filed	
E.				ARD system, your <i>CRD</i> number: 161180 of one of your officers, employees, or affiliates.
	•	,	·	of one of your officers, employees, or anniates.
	(2) If you have additional	CRD Numbers, your additiona		
			No Information Filed	
F.	Principal Office and Place of (1) Address (do not use a Number and Street 1: 700 FAIRFIELD AVENUAL.	a P.O. Box): : JE	Number and Street 2: SUITE 1	
	City: STAMFORD	State: Connecticut	Country: United States	ZIP+4/Postal Code: 06902
		ivate residence, check this bo		
	you are applying for re which you are applying	egistration, or are registered, or g for registration or with whom the SEC as an exempt reportion	with one or more state securities aut n you are registered. If you are apply	business, at which you conduct investment advisory business. If horities, you must list all of your offices in the state or states to ing for SEC registration, if you are registered only with the SEC, or e offices in terms of numbers of employees as of the end of your
	(2) Days of week that yo • Monday - Friday	•	at your <i>principal office and place of l</i>	pusiness:
	Normal business hour 9:00 A.M 5:00 P.M.	rs at this location:		
	(3) Telephone number at 203-855-0400	this location:		

(5) What is the total number of offices, other than your principal office and place of business, at which you conduct investment advisory business as of

(4) Facsimile number at this location, if any:

203-854-9117

	3					
G.	Mailing address, if different	t from your <i>principal office and p</i>	place of business address:			
	Number and Street 1:		Number and Street	2.		
	City:	State:	Country:	ZIP+4/Postal Code:		
	If this address is a private	e residence, check this box:				
Н.	If you are a sole proprietor	r, state your full residence add	ress, if different from your $ ho$	orincipal office and place of business address in Item 1.F.:		
	Number and Street 1:		Number and Street	2:		
	City:	State:	Country:	ZIP+4/Postal Code:		
					Yes	s No
I.	Do you have one or more v LinkedIn)?	websites or accounts on publicl	ly available social media pla	tforms (including, but not limited to, Twitter, Facebook an	nd 👩	0
	If a website address serves addresses for all of the othe available social media platfo	as a portal through which to ac er information. You may need to	ccess other information you had list more than one portal add the content. Do not provide the	n publicly available social media platforms on Section 1.1. of ave published on the web, you may list the portal without la dress. Do not provide the addresses of websites or accounts e individual electronic mail (e-mail) addresses of employees	isting on pub	
	Chief Compliance Officer					
J.	(1) Provide the name and o	contact information of your Chi Compliance Officer, if you have		are an <i>exempt reporting adviser</i> , you must provide the clete Item 1.K. below.	ontact	
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, i	f any:		
	Number and Street 1:		Number and Street	2:		
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ac	ddress, if Chief Compliance Offic	cer has one:			
		npany Act of 1940 that you adv mber (if any):		than you, a <i>related person</i> or an investment company reliance officer services to you, provide the <i>person's</i> name	_	
K.		tact Person: If a person other may provide that information h		Officer is authorized to receive information and respond t	o quest	ions
	Name:		Titles:			
	Telephone number:		Facsimile number, i	f any:		
	Number and Street 1:		Number and Street	2:		
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ad	ddress, if contact person has or	ne:		Voc	s No
L.	•	all of the books and records yo ur <i>principal office and place of b</i> o	·	er Section 204 of the Advisers Act, or similar state law,	0	• NO
	If "yes," complete Section 1	1.L. of Schedule D.				
M.	Are you registered with a	foreign financial regulatory auth	ority?		O	s No
	•	registered with a foreign financia s," complete Section 1.M. of Sch		if you have an affiliate that is registered with a foreign finan	cial	
					Yes	s No
N.	Are you a public reporting	company under Sections 12 or	15(d) of the Securities Exch	nange Act of 1934?	0	•
					Yes	s No
Ο.	•	more in assets on the last day mate amount of your assets: \$10 billion	of your most recent fiscal ye	ear?	0	•

the end of your most recently completed fiscal year?

510 billion to less than \$50 billion

	S50 billion or more						
	For purposes of Item 1.0. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.						
Р.	Provide your Legal Entity Identifier if you have	ve one:					
	A legal entity identifier is a unique number th identifier.	nat companies use to id	entify each other in the fina	ancial marketplace. You may not have a legal entity			
SEC	CTION 1.B. Other Business Names						
		No	o Information Filed				
SEC	CTION 1.F. Other Offices						
Yo	· -	ion 1.F. for each location	on. If you are applying for S	iness, at which you conduct investment advisory business. EC registration, if you are registered only with the SEC, or s of <i>employees</i>).			
	umber and Street 1: EUEHOUSE		Number and Street 2: 110 E 25TH ST.				
	ty:	State:	Country:	ZIP+4/Postal Code:			
	EW YORK	New York	United States	10010			
lf	this address is a private residence, check this	s box:					
	elephone Number: 46-374-4949	Facsimile Number, it	f any:				
	this office location is also required to be regisdviser on the Uniform Branch Office Registration		-	a branch office location for a broker-dealer or investment Number here:			
Ho 4	ow many <i>employees</i> perform investment advis	ory functions from this	office location?				
	re other business activities conducted at this c		all that apply)				
	(2) Bank (including a separately identifiable of	department or division	of a bank)				
	(3) Insurance broker or agent (4) Commodity pool operator or commodity t	rading advisor (whethe	er registered or exempt fron	n registration)			
	(5) Registered municipal advisor		·				
	(6) Accountant or accounting firm						
	(7) Lawyer or law firm						
De	escribe any other <i>investment-related</i> business	activities conducted fro	om this office location:				
Yo		ion 1.F. for each location	on. If you are applying for S	iness, at which you conduct investment advisory business. EEC registration, if you are registered only with the SEC, or s of <i>employees</i>).			
	umber and Street 1: 765 SAND HILL ROAD		Number and Street 2:				
	ty:	State:	Country:	ZIP+4/Postal Code:			
IVI	ENLO PARK	California	United States	94025			
lf	this address is a private residence, check this	s box:					

If this office location is also required to be registed adviser on the Uniform Branch Office Registration		•	a branch office location for a broker-dealer or investment n Number here:
How many <i>employees</i> perform investment advisor 17	ry functions from this	s office location?	
Are other business activities conducted at this off	fice location? (check	all that apply)	
\square (1) Broker-dealer (registered or unregistered)			
\square (2) Bank (including a separately identifiable de	partment or division	of a bank)	
\square (3) Insurance broker or agent			
\square (4) Commodity pool operator or commodity tra	ading advisor (wheth	er registered or exempt fror	m registration)
\square (5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related business a	ctivities conducted fr	rom this office location:	
	n 1.F. for each locati	on. If you are applying for S	siness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or or of employees).
Number and Street 1:		Number and Street 2:	
27 SOUTH PARK STREET		STE. 201	
City: SAN FRANCISCO	State: California	Country: United States	ZIP+4/Postal Code: 94107
If this address is a private residence, check this b	oox:		
Telephone Number: 415 405 4655	Facsimile Number,	if any:	
If this office location is also required to be registed adviser on the Uniform Branch Office Registration		•	a branch office location for a broker-dealer or investment n Number here:
How many <i>employees</i> perform investment advisor 9	ry functions from this	s office location?	
Are other business activities conducted at this off (1) Broker-dealer (registered or unregistered)	fice location? (check	all that apply)	
(2) Bank (including a separately identifiable de	partment or division	of a bank)	
(3) Insurance broker or agent			
(4) Commodity pool operator or commodity tra	ading advisor (wheth	er registered or exempt fror	m registration)
(5) Registered municipal advisor			
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business a	ctivities conducted fr	rom this office location:	
SECTION 1.I. Website Addresses			

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: HTTP://WWW.CANAANPARTNERS.COM

Auc	diess of Website/Account on Fublicity Av	raliable Social Media Flatioitii.	TITTE://WWW.CANAAN.COM		
Add	dress of Website/Account on Publicly Av	vailable Social Media Platform:	https://www.linkedin.com/com	pany/canaan-partners/	
Add	dress of Website/Account on Publicly Av	vailable Social Media Platform:	https://twitter.com/canaanpart	iners?lang=en	
Add	dress of Website/Account on Publicly Av	vailable Social Media Platform:	https://www.facebook.com/Ca	naanPartners/	
EC1	FION 1.L. Location of Books and Reco	ords			
		No I	Information Filed		
ECT	ΓΙΟΝ 1.M. Registration with Foreign F	Financial Regulatory Authorit	ies		
		No I	Information Filed		
_					
	a 2 SEC Registration/Reporting				
	Reporting by Exempt Reporting Adv				
В.	Complete this Item 2.B. only if you are		-		
	lacksquare (1) qualify for the exemption from	n registration as an adviser sole	ely to one or more venture capita	I funds, as defined in rule 203(I)-1;	
	\square (2) qualify for the exemption from	n registration because you act	solely as an adviser to private fur	nds and have assets under management	t, as defined
	in rule 203(m)-1, in the United				
	☐ (3) act solely as an adviser to priv	vate funds but you are no longe	er eligible to check box 2.B.(2) be	ecause you have assets under managem	nent, as
		e United States of \$150 million			,
	, , ,				
	(2)	Castian 2.B. of Calcadala D			
	If you check box (2) or (3), complete	e Section 2.B. of Schedule D.			
Sta	te Securities Authority Notice Filings	and State Reporting by Exen	npt Reporting Advisers		
С.	Under state laws. SEC-registered adv	isers may be required to provi	de to state securities authorities a	a copy of the Form ADV and any amendn	nents thev
	9	, ,		d to provide <i>state securities authorities</i> w	•
		·		the box(es) next to the state(s) that yo	
			• • •	ndment to direct your <i>notice filings</i> or rep	
	additional state(s), check the box(es)	next to the state(s) that you	would like to receive notice of this	s and all subsequent filings or reports yo	ou submit to
	the SEC. If this is an amendment to ye	our registration to stop your <i>n</i> e	otice filings or reports from going	to state(s) that currently receive them,	uncheck the
	box(es) next to those state(s).				
	Jurisdictions				
	□ AL		□ NE	□ sc	
	☐ AK	IN IN	□ NV	SD	
	☐ AZ	□ IA	□ NH	□ TN	
	□ AR	□ KS	□ NJ	□ TX	
	☑ CA	□ KY	□ NM	□ UT	
	Со	□ LA	□ NY	□ VT	
	☑ CT	□ ME	□ NC	∥ □ vi	
	□ DE	□ _{MD}	□ ND	□ VA	
	DC	□ MA	□ он	□ WA	
	□ FL	□ MI	□ OK	□ wv	
				II II	
	□ GA	MN E MN	OR	□ wı	
	Gu	□ MS	□ PA	□ WY	
	□ ні	□ мо	□ PR		
	□ ID	□ MT	□ RI		
		1		- I L	

If you are amending your registration to stop your notice filings or reports from going to a state that currently receives them and you do not want to pay that state's notice filing or report filing fee for the coming year, your amendment must be filed before the end of the year (December 31).

	check Item 2.B.(2) or (3), what is the amount of the <i>private fund</i> assets that you manage?	\$					
	NOTE: "Private fund assets" has the same meaning here as it has under rule 203(m)-1. If you are an investment adviser with its principal office and place of business outside the United States only include private fund assets that you manage at a place of business in the United States.						
	Form of Organization						
	are filing an umbrella registration, the information in Item 3 should be provided for the filing adviser only.						
Α.	ow are you organized? Corporation						
	Colo Descriptorabile						
	Downton and the						
	- Limited Depth and in (LD)						
	Cimited Partnership (LP)						
	Other (specify):						
	you are changing your response to this Item, see Part 1A Instruction 4.						
B.	n what month does your fiscal year end each year?						
	ECEMBER						
C.	nder the laws of what state or country are you organized? State Country						
	Delaware United States						
	you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide t ame of the state or country where you reside.	ie .					
	you are changing your response to this Item, see Part 1A Instruction 4.						
	Other Business Activities						
In t	Item, we request information about your firm's other business activities.						
A.	ou are actively engaged in business as a (check all that apply):						
	(1) broker-dealer (registered or unregistered) (2) registered representative of a broker-dealer						
	= (2) registered representative or a broker-acater						
	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)						
	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)(4) futures commission merchant						
	 (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent 						
	 (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) 						
	 (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company 						
	 (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor 						
	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant						
	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm						
	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant						
	 (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm 						
	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify):						
D	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify): Eyou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.	Yes No					
B.	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify): Tyou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B. (1), complete Section 6.A. of Schedule D. Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0 0					
B.	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify): Fyou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D. Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)? If yes, is this other business your primary business?	0 0					
B.	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify): (17) You engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D. (18) Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)? (19) If yes, is this other business your primary business? (17) If yes, "describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name in the section of th	O O O ne.					
B.	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify): (17) You engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D. (18) Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)? (19) If yes, is this other business your primary business? (17) If yes, "describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that name in the section of th	0 0					

SECTION 2.B. Private Fund Assets

SECTION 6.A. Names of Your Other Businesses
No Information Filed
SECTION 6.B.(2) Description of Primary Business
Describe your primary business (not your investment advisory business):
If you engage in that business under a different name, provide that name:
SECTION 6.B.(3) Description of Other Products and Services
Describe other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above.
If you engage in that business under a different name, provide that name:
Item 7 Financial Industry Affiliations
In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may
occur between you and your <i>clients</i> .
A. This part of Item 7 requires you to provide information about you and your <i>related persons</i> , including foreign affiliates. Your <i>related persons</i> are all of your <i>advisory affiliates</i> and any <i>person</i> that is under common <i>control</i> with you.
You have a <i>related person</i> that is a (check all that apply): (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
 (1) Broker-dealer, manicipal securities dealer, or government securities broker or dealer (registered or diffegistered) (2) other investment adviser (including financial planners) (3) registered municipal advisor
(4) registered security-based swap dealer
 (5) major security-based swap participant (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
(7) futures commission merchant
 (8) banking or thrift institution (9) trust company
(10) accountant or accounting firm
(11) lawyer or law firm
(12) insurance company or agency (13) pension consultant
(14) real estate broker or dealer
 (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles
Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).
Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.
For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.
You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.
You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.
SECTION 7.A. Financial Industry Affiliations
Complete a separate Schedule D Section 7.A. for each <i>related person</i> listed in Item 7.A.
Legal Name of <i>Related Person</i> : CANAAN PARTNERS GOLD COAST LLC
2. Primary Business Name of <i>Related Person</i> :

3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)					
	or					
	Other					
1	Related Person's					
4.	(a) CRD Number (if any):					
	(b) CIK Number(s) (if any):					
	No Information Filed					
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer					
	(b) ✓ other investment adviser (including financial planners)					
	(c) registered municipal advisor					
	(d) registered security-based swap dealer					
	(e) major security-based swap participant					
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)(g) futures commission merchant					
	(h) banking or thrift institution					
	(i) trust company					
	(j) accountant or accounting firm					
	(k) lawyer or law firm					
	(I) Insurance company or agency (m) pension consultant					
	(n) real estate broker or dealer					
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles					
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles					
_		Yes				
Ο.	Do you control or are you controlled by the related person?	0	O			
7.	Are you and the related person under common control?	•	С			
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	•			
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required	\circ	С			
	to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?					
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asso	ets:			
	Number and Street 1: Number and Street 2:					
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:					
		Yes	No			
9.	(a) If the related person is an investment adviser, is it exempt from registration?	\odot	C			
	(b) If the answer is yes, under what exemption?					
	VENTURE CAPITAL FUND ADVISER EXEMPTION					
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	œ			
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	red.	•			
	No Information Filed					
11.	Do you and the related person share any supervised persons?	\odot	С			
12	Do you and the <i>related person</i> share the same physical location?	_	_			
12.	Do you and the related person share the same physical location:	•	С			
1.	Legal Name of Related Person:					
	CANAAN WH GP LLC					
2.	Primary Business Name of Related Person:					
	CANAAN WH GP LLC					
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)					
	- or					
	Other					

↓.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
ō.		ated Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	(c) (d) (e)	registered municipal advisor registered security-based swap dealer major security-based swap participant		
	(f) (g) (h)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution		
	(i) (j)	□ trust company□ accountant or accounting firm		
	(k) (l) (m)	□ lawyer or law firm□ insurance company or agency□ pension consultant		
	(n) (o)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	⁄es	N
	Do y		0	
7.	Are	you and the related person under common control?	•	C
3.	(a)		0	0
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> a Number and Street 1: City: State: Country: ZIP+4/Postal Code:	isse	ts
		If this address is a private residence, check this box: \square		
9.	(a)		∕es ⊙	
	(b)	If the answer is yes, under what exemption? VENTURE CAPITAL FUND ADVISER EXEMPTION	•	
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registere No Information Filed	∍d.	
11.	Do y	you and the related person share any supervised persons?	•	C
12.	Do y	you and the <i>related person</i> share the same physical location?	•	C
				_
۱.	_	al Name of <i>Related Person</i> : JAAN PARTNERS VII LLC		
2.		nary Business Name of <i>Related Person</i> : IAAN PARTNERS VII LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
1.	Rela (a)	ated Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		

No Information Filed

5.	(a) (b) (c) (d) (e) (f) (g)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer		
	(m)			
	(n)			
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	N
6.	Do y	you control or are you controlled by the related person?	0	
			~	
7.	Are	you and the related person under common control?	\odot	C
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients's	asse	ts
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
_			Yes	
9.		If the related person is an investment adviser, is it exempt from registration?	\odot	C
	(b)	If the answer is yes, under what exemption? VENTURE CAPITAL FUND ADVISER EXEMPTION		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	G
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered. No Information Filed		
11.	Do y	you and the related person share any supervised persons?	\odot	C
12.	Do y	you and the <i>related person</i> share the same physical location?	•	c
1.	_	al Name of <i>Related Person</i> : IAAN PARTNERS IX LLC		
2.		nary Business Name of <i>Related Person</i> : IAAN PARTNERS IX LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	<i>Rela</i> (a)	ated Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.		ated Person is: (check all that apply) Discrete broker-dealer, municipal securities dealer, or government securities broker or dealer		

(b) $m{\mbox{\it E}}$ other investment adviser (including financial planners)

	 (c) ☐ registered municipal advisor (d) ☐ registered security-based swap dealer (e) ☐ major security-based swap participant (f) ☐ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) ☐ futures commission merchant (h) ☐ banking or thrift institution (i) ☐ trust company (j) ☐ accountant or accounting firm (k) ☐ lawyer or law firm (l) ☐ insurance company or agency (m) ☐ pension consultant (n) ☐ real estate broker or dealer (o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do you control or are you controlled by the related person?	Yes	• NO
7.	Are you and the related person under common control?	⊙	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not requir to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clier</i> Number and Street 1: Number and Street 2:	its' asse	ets:
	City: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:	Yes	. No
9.	(a) If the related person is an investment adviser, is it exempt from registration?		0
	(b) If the answer is yes, under what exemption? VENTURE CAPITAL FUND ADVISER EXEMPTION		
10.	D. (a) Is the related person registered with a foreign financial regulatory authority?		•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regis No Information Filed	tered.	
11.	1. Do you and the related person share any supervised persons?	•	0
12.	2. Do you and the <i>related person</i> share the same physical location?	0	0
1.	Legal Name of <i>Related Person</i> : CANAAN PARTNERS XI LLC		
2.	Primary Business Name of <i>Related Person</i> : CANAAN PARTNERS XI LLC		
	ONWARD TAKING AT LES		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ▼ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant		

	 (h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency (m) □ pension consultant (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ▼ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
6.	Do you control or are you controlled by the related person?		No ⊙
7.	Are you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>'. Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □ 	0	© C
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption? VENTURE CAPITAL FUND ADVISER EXEMPTION	•	0
10.	(a) Is the related person registered with a foreign financial regulatory authority?		\odot
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register. No Information Filed	ed.	
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : CANAAN PARTNERS X LLC		
2.	Primary Business Name of <i>Related Person</i> : CANAAN PARTNERS X LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	No information riled		
5.	Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ☑ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm (k) □ lawver or law firm		
	(k) ☐ lawyer or law firm (l) ☐ insurance company or agency		

	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	_		Yes	
).	ро 2	you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	0	⊙
7.	Are	you and the related person under common control?	•	0
3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	\circ	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required	0	0
	(c)	to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i>	2556	ate:
	(0)	Number and Street 1: Number and Street 2:	assc	, (3.
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
,	(a)		Yes	
1.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	⊙	0
	(b)	If the answer is yes, under what exemption? VENTURE CAPITAL FUND ADVISER EXEMPTION		
10	(0)	Is the related person registered with a foreign financial regulatory authority?	_	_
10.	(a) (b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	O her	•
	(D)	No Information Filed	eu.	
11.	Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
	D			
12.	D0 2	you and the <i>related person</i> share the same physical location?	⊙	0
ı	Leas	al Name of <i>Related Person</i> :		
	_	IAAN PARTNERS VIII LLC		
2.		nary Business Name of <i>Related Person</i> : JAAN PARTNERS VIII LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe	er er		
1.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	(-)	No Information Filed		
5.		ated Person is: (check all that apply)		
	(a)			
	(b) (c)	other investment adviser (including financial planners) registered municipal advisor		
	(d)	registered security-based swap dealer		
		major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k) (l)	☐ lawyer or law firm ☐ insurance company or agency		
	• •	pension consultant		
	(n)	real estate broker or dealer		
	` '	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voo	N.1 -

6.	Do y	ou control or are you controlled by the related person?	0	⊙
7.	Are :	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	© 0
	(c)	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> .		ets:
		Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes ©	No
	(b)	If the answer is yes, under what exemption? VENTURE CAPITAL FUND ADVISER EXEMPTION		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registed. No Information Filed		
11	. Do y	ou and the related person share any supervised persons?	•	0
12	. Do y	you and the <i>related person</i> share the same physical location?	•	0
1.	_	Il Name of <i>Related Person</i> : AAN PARTNERS XII LLC		
2.		ary Business Name of <i>Related Person</i> : AAN PARTNERS XII LLC		
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er -		
4.	Rela	ted Person's		
		CRD Number (if any):		ı
	(b)	CIK Number(s) (if any): No Information Filed		
		No mioritation riled		
5.		ted Person is: (check all that apply)		
	(a) (b)	□ broker-dealer, municipal securities dealer, or government securities broker or dealer☑ other investment adviser (including financial planners)		
	(c) (d)	registered municipal advisor registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f) (g)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant		
	(h)	banking or thrift institution		
	(i) (j)	trust company accountant or accounting firm		
	(k)	lawyer or law firm		
	(l) (m)	☐ insurance company or agency ☐ pension consultant		
	(n)	real estate broker or dealer		
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)	sponsor, general partner, managing member (or equivalent) or pooled livestificitive filtes	Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	•
7.	Are :	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•

		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	re not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required					
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i>	asse	ets:				
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:						
		If this address is a private residence, check this box:						
			Yes	No				
9.		If the related person is an investment adviser, is it exempt from registration?	\odot	0				
	(b)	If the answer is yes, under what exemption? VENTURE CAPITAL FUND ADVISER EXEMPTION						
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•				
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register No Information Filed	_					
11.	Do y	you and the related person share any supervised persons?	•	0				
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0				
1.	_	al Name of <i>Related Person</i> : AAN PARTNERS 2020+ CO-INVESTMENT LLC						
2.		nary Business Name of <i>Related Person</i> : AAN PARTNERS 2020+ CO-INVESTMENT LLC						
3.	Rela	eted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)						
	- or							
	Othe	er e						
4.		ted Person's CRD Number (if any):						
	(b)	CIK Number(s) (if any): No Information Filed						
5.		ted Person is: (check all that apply)						
	(a)							
	` ,	other investment adviser (including financial planners) registered municipal advisor						
	. ,	registered security-based swap dealer						
		major security-based swap participant						
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)						
	(g)	futures commission merchant						
	` ,	banking or thrift institution						
		trust company						
	0,	accountant or accounting firm lawyer or law firm						
		insurance company or agency						
	(m)							
	(n)	real estate broker or dealer						
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles						
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles						
6.	Do y	you control or are you controlled by the related person?	Yes O					
7.	Are '	you and the <i>related person</i> under common <i>control</i> ?	•	_				
	-		٠	0				
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•				
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0				
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1:	asse	ets:				

		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square	Yes	Nο
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	⊙	
		If the answer is yes, under what exemption?		
	()	VENTURE CAPITAL FUND ADVISER EXEMPTION		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\circ	\odot
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register. No Information Filed	∋d.	
11	Do v	you and the related person share any supervised persons?	_	_
11.	БО у	od and the related person share any supervised persons:	⊙	0
12.	Do y	you and the related person share the same physical location?	•	0
	,			
1.	_	AN PARTNERS XIII LLC		
	CAN	AAN LAKINEKS XIII EES		
2.	Prim	ary Business Name of Related Person:		
	CANA	AAN PARTNERS XIII LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Othe	er er		
4.		ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
E	Dolo	ted Person is: (check all that apply)		
5.	(a)			
		other investment adviser (including financial planners)		
	` '	registered municipal advisor		
		registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
		□ banking or thrift institution□ trust company		
	• • •	□ trust company□ accountant or accounting firm		
	0,	lawyer or law firm		
		insurance company or agency		
	(m)	pension consultant		
	` ,	real estate broker or dealer		
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do v	you control or are you controlled by the related person?	0	
0.	<i>D</i> 0 <i>y</i>	ed control of all o fou controlled by the related person.	0	٠
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
			~	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	
		presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required	_	
		to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> .	asse	ets:
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\odot	0
	(h)	If the answer is vest under what exemption?		

10. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registere. No Information Filed	ed.	
11. Do you and the related person share any supervised persons?	•	0
12. Do you and the <i>related person</i> share the same physical location?	•	0

VENTURE CAPITAL FUND ADVISER EXEMPTION

Iten	า 7 <i>P</i>	Private Fund Reporting		
			Yes	No
В. А	re yo	ou an adviser to any <i>private fund</i> ?	•	0
s r ii I c	enter eport 7.B. (1 nstea n eith	s," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the new and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in (1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You do not complete Section 7.B.(2) of Schedule D. Therefore, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabors in similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same containing in place of the fund's name.	pt Section ou must betical	
SEC	TION	N 7.B.(1) <i>Private Fund</i> Reporting		
Α.	PRIV	/ATE FUND		
<u>In</u>	form	nation About the Private Fund		
1	. (a	a) Name of the <i>private fund</i> :		
		CANAAN 2020+ CO-INVESTMENT SERIES 1 LP		
	(b	o) <i>Private fund</i> identification number: (include the "805-" prefix also)		
		805-9576651383		
		003-7370031303		
2	. Uı	nder the laws of what state or country is the <i>private fund</i> organized:		
		State: Country:		
		Delaware United States		
3		a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
		Jame of General Partner, Manager, Trustee, or Director CANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
		ANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
	4			
	(b	b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		
4		he <i>private fund</i> (check all that apply; you must check at least one):		
		()		
	V	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	Lie	st the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
	(0	a) Is this a "master fund" in a master-feeder arrangement?	Yes N	
6			0 0	0
	(1)	b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
		No Information Filed		
		·	Voc N	_
	(c		Yes N	
		•	0 6)
	(0	If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?		
		Name of private fund:		
		Private fund identification number:		
		(include the "805-" prefix also)		

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	ı of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	_	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	te fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 99,500,000		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,666,500		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
<u> </u>		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	_
	No Information Filed		
4.0	(a) De any investment adultant (cité du la cité de la c	Yes	
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot

		to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.		
		No Information Filed		Nia
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	INO
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.	~	
20.	Appı 0%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	<u>vate</u>	Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	No ©
22.	If y∈	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
3. S	ERVI(CE PROVIDERS		
<u>Auc</u>	ditors	<u>\$</u>	Vaa	NI-
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	. INO
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	o	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	g firm	,
		No Information Filed		
	_	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	Yes O	O O
	(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified of	oinions	s?
		C Yes C No C Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is	availa	ble.
<u>Prir</u>	ne B	<u>Broker</u>	Yes	. No
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	0	•
		If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	e <i>priva</i>	ite
		No Information Filed		
Cus	stodia	<u>an</u>		
25.	(a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Yes	No O
		If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>p</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		_
		Additional Custodian Information : 2 Record(s) Filed.		
		If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	private	è
		(b) Legal name of custodian: BANC OF CALIFORNIA		

(d) The location of the custodian's offi	ice responsible for <i>custody</i> of the <i>p</i>	private fund's assets (city, state and country):	
City:	State:	Country:	
LOS ANGELES	California	United States	
(e) Is the custodian a related person of	of your firm?		
(f) If the custodian is a broker-dealer	, provide its SEC registration numl	per (if any):	
CRD Number (if any):			
(g) If the custodian is not a broker-de identifier (if any)	ealer, or is a broker-dealer but doe	s not have an SEC registration number, provide	its <i>legal enti</i>
•	ou must complete questions (b) th	igh g) below for each custodian the <i>private fund</i> rough (g) separately for each custodian.	uses. If the
(c) Primary business name of custodia FIRST-CITIZENS BANK & TRUST CO			
(d) The location of the custodian's offi	ice responsible for <i>custody</i> of the <i>p</i>	private fund's assets (city, state and country):	
City:	State:	Country:	
SANTA CLARA	California	United States	
(e) Is the custodian a related person of	of your firm?		
(e) Is the custodian a related person of	your min:		
(f) If the custodian is a broker-dealer	, provide its SEC registration numl	per (if any):	
- CRD Number (if any):			
(g) If the custodian is not a broker-de identifier (if any)	ealer, or is a broker-dealer but doe	s not have an SEC registration number, provide	its <i>legal enti</i>
rator Does the <i>private fund</i> use an administrate of the answer to question 26.(a) is "yes, nust complete questions (b) through (f)	" respond to questions (b) through	n (f) below. If the <i>private fund</i> uses more than on	ne administr
	No Informati	ion Filed	

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

20.	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each markete	private fund
	No Information Filed	
——— А. РІ	IVATE FUND	
Info	mation About the <i>Private Fund</i>	
1.	(a) Name of the <i>private fund</i> :	
	CANAAN 2020+ CO-INVESTMENT SERIES 10 LP	
	(b) Private fund identification number: (include the "805-" prefix also)	
	805-6178766346	
2.	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country:	
	Delaware United States	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director CANAAN PARTNERS 2020+ CO-INVESTMENT LLC	
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed	
	No Information Filed	
4.	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of	
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	No Information Filed	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	○ ⊙
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	
	No Information Filed	
	(c) Is this a "feeder fund" in a master-feeder arrangement?	Yes No
		0 0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, S for the master-feeder arrangement or reporting on the funds separately.	Section 7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions:	.(1), for each of
	No Information Filed	

	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	d
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		•
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		•
10.	What type of fund is the private fund?		
	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund venture capital fund C Other private	te fun	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 22,200,000		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 674,720 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	:	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
17	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.	to	•
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	\circ	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\circ	•
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		

0%

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their

<u>Priv</u>	<u>vate</u>	Offering		
21.	Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	NO ⊙
22.	If y	ves, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. S	ERVI	CE PROVIDERS		
Auc	ditor	<u>s</u>		
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	No ©
	()	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.		
		No Information Filed		
			Yes	No
	(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	O	0
	(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified op	nions	;?
		C Yes C No C Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is a	availai	ble.
<u>Prir</u>	ne B	<u>Broker</u>		
24	(a)	Does the <i>private fund</i> use one or more prime brokers?	Yes	
24.	(4)	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	C priva	•te
		No Information Filed		
Cus	todi	i <u>an</u>		
25	(a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Yes	_
20.	(u)	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>pri</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	⊙ ivate f	C fund
		Additional Custodian Information : 2 Record(s) Filed.		
22. If		If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>p</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		
		(b) Legal name of custodian: BANC OF CALIFORNIA		
		(c) Primary business name of custodian: BANC OF CALIFORNIA		
		(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
		City: State: Country:		
		LOS ANGELES California United States	es N	10
			es iv	

	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
	CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)	
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>prifund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	vate
	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO.	
	(c) Primary business name of custodian: FIRST-CITIZENS BANK & TRUST CO.	
	(d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):	
	City: State: Country: SANTA CLARA California United States	
		s No
	(e) Is the custodian a related person of your firm?	•
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
	- CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)	
<u>Admin</u>	<u>nistrator</u>	, ,
26. (a)) Does the <i>private fund</i> use an administrator other than your firm?	res N
. ,	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrato must complete questions (b) through (f) separately for each administrator.	
	No Information Filed	
yo 0% Ind rel	uring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is our <i>related person</i> ? Clude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any elevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includin locations) was the valuation determined by such <i>person</i> .	
Marke		
	<u> </u>	res N
28. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	0 6
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fu</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	
	No Information Filed	

1.	(a) Name of the private fund:		
	CANAAN 2020+ CO-INVESTMENT SERIES 11 LP		
	(b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-1427893567		
2	Under the laws of what state or country is the <i>private fund</i> organize	rod.	
2.	State: Country is the private rund organize.		
		ed States	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or	r persons serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or	relying adviser(s) that sponsor(s) or manage(s) this private fund	
		o Information Filed	
	THE STATE OF THE S	/ Information rifed	
4.			
		company under section 3(c)(1) of the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the definition of investment	company under section 3(c)(7) of the Investment Company Act of 1940	
5.	3, 3, 1	· · · · · · · · · · · · · · · · · · ·	
	No	o Information Filed	
		Yes	No No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number	(if any) of the feeder funds investing in this private fund?	
	No	Information Filed	
		Yes	No No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and private fund identification number	(if any) of the master fund in which this private fund invests?	_
	Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
		ngement regardless of whether you are filing a single Schedule D, Section 7.B.((1)
	for the master-feeder arrangement or reporting on the funds sepa	rately.	
7	If you are filling a charle Calendale D. Cashing 7 D (4) for a greater f		l£
7.	the feeder funds answer the following questions:	eeder arrangement according to the instructions to this Section 7.B.(1), for eac	n or
	the reduct ramas answer the renowing questions.		
	NI.	Lufavoration Filed	
	No.	o Information Filed	
	· · ·	ngement, one or more funds ("feeder funds") invest all or substantially all of th	
		eder fund" investing in a "master fund" for purposes of this question if it issue	∌d
	muniple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	, N:
0	(a) In this private fixed a "fixed of fixed a" 12"		s No
8.		· · · · · · · · · · · · · · · · · · ·	⊙
	NOTE: For purposes of this question only, answer "yes" if the functive vehicles, regardless of whether they are also <i>private funds</i> or regis	I invests 10 percent or more of its total assets in other pooled investment	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you o		
	(b) IT yes, does the private rand lilvest in rands managed by you o	r by a related person?	0

Information About the Private Fund

9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	ate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 20,100,000		
<u>Ow</u>	nership_		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 6,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in thorganizational documents of the fund).	ie	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services	Vaa	Na
17.	(a) Are you a subadviser to this <i>private fund</i> ?	res	No ⊙
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	~	٠
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	ver
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	⊙
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Pri</u>	vate Offering	Vos	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	O	⊙
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		

) (168	N
		0	(
(2) If the answer to question 23.(a) (1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a) (1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. No Information Filed Yes f (g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors? (h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions? C Yes C No C Report Not Yet Received If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available the Broker Yes I (a) Does the private fund use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed Yes f O The private fund use one or more prime broker, you must complete questions (b) through (e) separately for each prime broker.			
		ıditing firm	,
	No Information Filed		
		ed oninion	s?
		са ориноп	,.
		ort is availa	ble
Br	<u>roker</u>		
۱ (Doos the private funduse one or more prime brokers?	Yes	
· 	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses.	If the <i>priva</i>	ate
[fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
	No Information Filed		
ı) I	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	•	
) (I	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If	•	1
ı) (ı ı	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	•	
ı) (ı ı	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	he <i>private</i>	fui
) [! !	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If	he <i>private</i>	fui
) [! !	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:	he <i>private</i>	fui
) [Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	he <i>private</i>	fui
] (Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA	he <i>private</i>	fui
) [! !	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country:	he private the private Yes I	fui
) (! !	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If suses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: LOS ANGELES California United States	he private the private Yes I	fui e
) [! !	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: City: State: Country: LOS ANGELES California United States (e) Is the custodian a <i>related person</i> of your firm?	he private the private Yes I	No.

If the answer to question 25.(a) is "yes," respond to questions (b) through q) below for each custodian the private fund uses. If the private

	(b) Legal name of custodian:	T.00		
	FIRST-CITIZENS BANK & TRUST	I CO.		
	(c) Primary business name of cust FIRST-CITIZENS BANK & TRUST			
	(d) The location of the custodian's	s office responsible for <i>custody</i> of the	e <i>private fund's</i> assets (city, state and co	ountry):
	City:	State:	Country:	
	SANTA CLARA	California	United States	Yes N
	(e) Is the custodian a related pers	son of your firm?		0 6
	(f) If the custodian is a broker-de	ealer, provide its SEC registration nur	mber (if any):	
	- CRD Number (if any):			
	(g) If the custodian is not a broke identifier (if any)	er-dealer, or is a broker-dealer but d	does not have an SEC registration numbe	er, provide its <i>legal entity</i>
	trator			
<u>nist</u>	trator			
<u>nist</u>	<u>trator</u>			Yes
	Does the <i>private fund</i> use an adminis	strator other than your firm?		Yes O
a) I	Does the <i>private fund</i> use an administ If the answer to question 26.(a) is	yes," respond to questions (b) throu	ugh (f) below. If the <i>private fund</i> uses mo	o
a) I	Does the <i>private fund</i> use an adminis	yes," respond to questions (b) throu	-	o
a) I	Does the <i>private fund</i> use an administ If the answer to question 26.(a) is	yes," respond to questions (b) throu h (f) separately for each administrat	-	o
a) I	Does the <i>private fund</i> use an administ If the answer to question 26.(a) is	yes," respond to questions (b) throu h (f) separately for each administrat	tor.	0
a) I I [] Durii	Does the <i>private fund</i> use an adminis If the answer to question 26.(a) is "y must complete questions (b) through	yes," respond to questions (b) throu h (f) separately for each administrat No Inform	tor.	ore than one administrator, y
a) I I I I I I I I I I I I I I I I I I I	Does the <i>private fund</i> use an administ of the answer to question 26.(a) is "ymust complete questions (b) through any your last fiscal year, what percent related person?	yes," respond to questions (b) through (f) separately for each administrate. No Information of the private fund's assets (by a person carried out the valuation proped for purposes of investor subscription.	nation Filed	ore than one administrator, you an administrator, that is not y, including obtaining any
a) I I I I I I I I I I I I I I I I I I I	Does the <i>private fund</i> use an administ of the answer to question 26.(a) is "ymust complete questions (b) through any your last fiscal year, what percentage related person? The provided and the valuation use the valuation use the valuation determined and the private of the valuation of the valuation of the valuation was the valuation determined.	yes," respond to questions (b) through (f) separately for each administrate. No Information of the private fund's assets (by a person carried out the valuation proped for purposes of investor subscription.	nation Filed value) was valued by a <i>person</i> , such as rocedure established for that asset, if any	ore than one administrator, you an administrator, that is not y, including obtaining any if fee calculations (including
a) I I I I I I I I I I I I I I I I I I I	Does the <i>private fund</i> use an administ of the answer to question 26.(a) is "ymust complete questions (b) through any your last fiscal year, what percentage are related person? The provided and the valuation use the valuation of the valuation	yes," respond to questions (b) through (f) separately for each administrate. No Information of the private fund's assets (by a person carried out the valuation proposed for purposes of investor subscriped by such person.	nation Filed value) was valued by a <i>person</i> , such as rocedure established for that asset, if any	ore than one administrator, you an administrator, that is not y, including obtaining any
Ouring the second of the secon	Does the <i>private fund</i> use an administ of the answer to question 26. (a) is "ymust complete questions (b) through any your last fiscal year, what percentage are related person? The description of the valuation use the valuation use the valuation determined are the private fund use the service and year. You must answer "yes" whether the similar person. If the answer to question 26. (a) is "yes" whether the similar person. If the answer to question is "yes" whether the similar person.	yes," respond to questions (b) through (f) separately for each administrate. No Information of the private fund's assets (by a person carried out the valuation property of the person. The person carried out the valuation property of the person. The person carried out the valuation property of the person. The person carried out the valuation property of the person. The person carried out the valuation property of the person carried out the person carried out the person	nation Filed value) was valued by a <i>person</i> , such as rocedure established for that asset, if any ptions, redemptions or distributions, and	ore than one administrator, you an administrator, that is not y, including obtaining any if fee calculations (including Yes advisor or other solicitor, or ch marketer the private fund
Ouring the second of the secon	Does the <i>private fund</i> use an administ of the answer to question 26. (a) is "ymust complete questions (b) through any your last fiscal year, what percentage are related person? The description of the valuation use the valuation use the valuation determined are the private fund use the service and year. You must answer "yes" whether the similar person. If the answer to question 26. (a) is "yes" whether the similar person. If the answer to question is "yes" whether the similar person.	yes," respond to questions (b) through (f) separately for each administrate. No Information of the private fund's assets (by a person carried out the valuation properties of the person. The person carried out the valuation properties of the person. The person carried out the valuation properties of someone other than you or you a person acts as a placement agent, atton 28. (a) is "yes," respond to question one marketer you must complete	nation Filed value) was valued by a <i>person</i> , such as rocedure established for that asset, if any ptions, redemptions or distributions, and our <i>employees</i> for marketing purposes? consultant, finder, introducer, municipal stions (b) through (g) below for each sur	ore than one administrator, you an administrator, that is not y, including obtaining any if fee calculations (including Yes advisor or other solicitor, or ch marketer the private fund
Ouring the second of the secon	Does the <i>private fund</i> use an administ of the answer to question 26. (a) is "ymust complete questions (b) through any your last fiscal year, what percentage are related person? The description of the valuation use the valuation use the valuation determined are the private fund use the service and year. You must answer "yes" whether the similar person. If the answer to question 26. (a) is "yes" whether the similar person. If the answer to question is "yes" whether the similar person.	yes," respond to questions (b) through (f) separately for each administrate. No Information of the private fund's assets (by a person carried out the valuation properties of the person. The person carried out the valuation properties of the person. The person carried out the valuation properties of someone other than you or you a person acts as a placement agent, atton 28. (a) is "yes," respond to question one marketer you must complete	nation Filed value) was valued by a person, such as rocedure established for that asset, if any ptions, redemptions or distributions, and our employees for marketing purposes? consultant, finder, introducer, municipal stions (b) through (g) below for each surte questions (b) through (g) separately for each surte questions (g) separately for each surte q) separately for each surte questions (g) separately for each surte q) se	ore than one administrator, year an administrator, that is not y, including obtaining any fee calculations (including Yes advisor or other solicitor, or ch marketer the private fund

Information About the Private Fund

1. (a) Name of the *private fund*:

CANAAN 2020+ CO-INVESTMENT SERIES 12 LP

(b) Private fund identification number: (include the "805-" prefix also) 805-7324861278

2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
J.	No Information Filed		
		Voo	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	_	
0.	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	О	⊙
	No Information Filed		
	No Illioi mattori i lieu		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of private fund:		
	Private fund identification number:		
	(include the "805-" prefix also)		
7.	for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	eacl	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	ssue	d
8.	(a) Is this <i>private fund</i> a "fund of funds"?		No
8.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	0	•
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		V	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment		
7.	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	e fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		

	\$ 11	1,000,000		
<u>Ow</u>	<u>nersl</u>	<u>hip</u>		
12.		mum investment commitment required of an investor in the private fund:		
	NOT	574,000 TE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).		
13.	Appi	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha	at is the approximate percentage of the private fund beneficially owned by non-United States persons:		
You	ır Ad	lvisory Services	.,	
17	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	
17.		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer	to.	•
		question 17.(a) is "no," leave this question blank.	.0	
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	ınswe	er
		No Information Filed		
			Yes	No
19.	Are	your clients solicited to invest in the private fund?	0	•
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	/ate	Offering		
			Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. SI	ERVIC	CE PROVIDERS		
Aud	litors		Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	0	•
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	o	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.		
		No Information Filed		

		res No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0 0
(h)) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualifi	ied opinions?
	C Yes C No C Report Not Yet Received	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the rep	oort is available.
rime l	<u>Broker</u>	
1. (a)) Does the <i>private fund</i> use one or more prime brokers?	Yes No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	
	No Information Filed	
<u>ustod</u>	<u>lian</u>	Voc. No
i. (a)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Yes No ⊙ O
(-)	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	
	Additional Custodian Information : 2 Record(s) Filed.	
	(b) Legal name of custodian: BANC OF CALIFORNIA(c) Primary business name of custodian: BANC OF CALIFORNIA	
	(d) The leasting of the gustadian's office recognished for gustaduratible may sate fund/a coaste (city, atota and country).	
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: Country:	
	LOS ANGELES California United States	
	(e) Is the custodian a <i>related person</i> of your firm?	Yes No
	(e) 13 the eastedian a related person of your min.	0 0
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
	CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>lega identifier</i> (if any)	al entity

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City: SANTA CLARA	State: California	Country: United States	
	SANTA OLANA	Galliottila	States	Yes No
	(e) Is the custodian a related pe	erson of your firm?		0 0
	(f) If the custodian is a broker-	dealer, provide its SEC registration number	er (if any):	
	CRD Number (if any):			
	(g) If the custodian is not a bro identifier (if any)	ker-dealer, or is a broker-dealer but does	s not have an SEC registration number, provid	le its <i>legal entity</i>
Adminis	strator			
				Yes No
26. (a)	Does the <i>private fund</i> use an admi	nistrator other than your firm?		0 0
		s "yes," respond to questions (b) through ugh (f) separately for each administrator.	(f) below. If the <i>private fund</i> uses more than	one administrator, you
		No Information	on Filed	
0% Inc	lude only those assets where (i) su	used for purposes of investor subscription	dure established for that asset, if any, includions, redemptions or distributions, and fee calc	3 - 3 - 3
Markete	<u>ers</u>			
28 (a)	Does the <i>private fund</i> use the serv	rices of someone other than you or your o	employees for marketing purposes?	Yes No
20. (d)	You must answer "yes" whether t similar <i>person</i> . If the answer to qu	he <i>person</i> acts as a placement agent, cor estion 28.(a) is "yes," respond to questio	nsultant, finder, introducer, municipal advisor of the such market (b) through (g) below for each such market (g) separately for each (g) separately for each (g)	eter the <i>private fund</i>
		No Informati	on Filed	
			511 T Heu	
A. PRIVA	TE FUND			
Informa	tion About the <i>Private Fund</i>			
1. (a)	Name of the <i>private fund</i> :			
ζ- /	CANAAN 2020+ CO-INVESTMENT S	SERIES 13 LP		

A. PRIV

Informa

(b) Private fund identification number: (include the "805-" prefix also)

805-5694395265

2. Under the laws of what state or country is the *private fund* organized:

State:

Country:

Delaware

United States

(a) Name(s) of General Partner, Manager, Trustee, or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

CANAAN PARTNERS 2020+ CO-INVESTMENT LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

4.	The private fund (check all that apply; you must check at least one):		
	 □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
	(c) Is this a "fooder fund" in a master fooder arrangement?	Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	0	⊙
	Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.((1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	
8.	(a) Is this private fund a "fund of funds"?		· •
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	_	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	. No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	. What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	ite fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	. Current gross asset value of the <i>private fund</i> : \$ 9,800,000		
<u>Ow</u>	vnership		
12.	. Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,696,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	9	

13. Approximate number of the *private fund's* beneficial owners:

No Information Filed

14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ac	dvisory Services		
17	(0)	Are you a subadyiser to this private fund?	Yes	_
17.		Are you a subadviser to this <i>private fund</i> ?	0	⊙
	(a)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	⊙
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	nswe	er
		No Information Filed		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\circ	\odot
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
	0%	oroximately what percentage of your <i>clients</i> has invested in the <i>private fund?</i> Offering		
<u> </u>	vate	One mg	Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•
22.	If y	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
	ERVI ditor:	CE PROVIDERS		
<u>uc</u>			Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	0	•
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	firm,	
		No Information Filed		
			Yes	No
	(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
	(h)	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified oping Yes O No O Report Not Yet Received	nions	?
			=!!	-1-
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is a	vallat	ле.

Prime Broker

		No Informa	tion Filed	
<u>n</u>				,
oes	the private fund use any custodians (inc	cluding the prime brokers lis	ed above) to hold some or all of its assets?	
	e answer to question 25.(a) is "yes," res more than one custodian, you must cor	•	gh (g) below for each custodian the <i>private fund</i> uses. (g) separately for each custodian.	. If the <i>priva</i>
Addi	itional Custodian Information : 2 Reco	ord(s) Filed.		
		•	ough g) below for each custodian the <i>private fund</i> use hrough (g) separately for each custodian.	s. If the <i>pri</i>
(b)	Legal name of custodian: BANC OF CALIFORNIA			
(c)	Primary business name of custodian: BANC OF CALIFORNIA			
(d)		•	private fund's assets (city, state and country):	
	City: LOS ANGELES	State: California	Country: United States	
				Ye
(e)	Is the custodian a related person of you	ur firm?		0
(f)	If the custodian is a broker-dealer, pro	ovide its SEC registration num	ber (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dealer identifier (if any)	, or is a broker-dealer but do	es not have an SEC registration number, provide its	legal entity
	•	•	ough g) below for each custodian the <i>private fund</i> use hrough (g) separately for each custodian.	s. If the <i>pri</i>
	Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO.	iust complete questions (b) i	inough (g) separately for each custodian.	
(c)	Primary business name of custodian: FIRST-CITIZENS BANK & TRUST CO.			
	The location of the custodian's office r		private fund's assets (city, state and country):	
(d)		State:	Country: United States	
(d)	City: SANTA CLARA	California		
(d)	City: SANTA CLARA	California		Ye
	•			Ye O

24. (a) Does the *private fund* use one or more prime brokers?

Yes No

0 0

<u>Adı</u>	<u>minis</u>	<u>strator</u>	NI.
26.	(a)	Yes Does the <i>private fund</i> use an administrator other than your firm?	INC
		If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.	u
		No Information Filed	
27.		ing your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not related person?	
	Incl rele	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any vant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including cations) was the valuation determined by such <i>person</i> .	
<u>Ma</u>	rkete		.
28.	(a)	Yes Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	INC
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	
		No Information Filed	
	(a)	tion About the Private Fund Name of the private fund: CANAAN 2020+ CO-INVESTMENT SERIES 14 LP Private fund identification number: (include the "805-" prefix also)	
		805-2486143195	
2.	Und	ler the laws of what state or country is the <i>private fund</i> organized:	
		State: Country: Delaware United States	
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
		me of General Partner, Manager, Trustee, or Director NAAN PARTNERS 2020+ CO-INVESTMENT LLC	
	CAI	NAAN PARTNERS 2020+ CO-INVESTMENT LLC	
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
		No Information Filed	
4.		private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
		No Information Filed	

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity

identifier (if any)

0.	(a) Is this a master fund in a master-reduct attaingement:	О	•		
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund?</i> No Information Filed				
		Yes	No		
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	⊙		
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :				
	Private fund identification number: (include the "805-" prefix also)				
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(´	1)		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or each	of		
	No Information Filed				
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issued	d		
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	No ©		
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.				
	(b) If yes, does the private fund invest in funds managed by you or by a related person?		0		
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes	No ⊙		
10.	What type of fund is the <i>private fund</i> ?				
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	ate fun	d:		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.				
11.	Current gross asset value of the <i>private fund</i> : \$ 5,000,000				
<u>Ow</u>	vnership				
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,666,651				
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in thorganizational documents of the fund).	9			
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2				
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%				
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	V	p.,		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Ö	No O		

	Wh:	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
<u>You</u>	ır Ac	dvisory Services	Yes	Na
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	0	• •
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B. (1).A.3. (b)) advise the <i>private fund</i> ?	0	•
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answe	er
		No Information Filed		
19.	Are	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	_
17.		TE: For purposes of this question, do not consider feeder funds of the private fund.	0	•
		proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
	0%			
Priv	ate	Offering		
			Yes	No
21.	Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•
22.	If y	ves, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. SE		CE PROVIDERS <u>s</u>	Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	0	(O)
	. ,	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	j firm	
		No Information Filed		
			Yes	No
	(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
	(h)	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified op	inions	?
	. ,	O Yes O No O Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is	availa	ble.
		,		
Prin	ne E	<u>Broker</u>		
2.4	(0)	Deep the private fundual and or many prime brokers?	Yes	
∠4.	(a)	Does the <i>private fund</i> use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	C priva	⊙ te
		No Information Filed		

ıses	more than one custodian, you must	complete questions (b) through	(g) separately for each custodian.	
Addi	itional Custodian Information : 2 Re	ecord(s) Filed.		
			ugh g) below for each custodian the <i>private fund</i> uses hrough (g) separately for each custodian.	s. If the <i>priva</i>
(b)	Legal name of custodian: BANC OF CALIFORNIA			
(c)	Primary business name of custodiar BANC OF CALIFORNIA	n:		
(d)	The location of the custodian's offic	ce responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City:	State:	Country:	
	LOS ANGELES	California	United States	Yes
(e)	Is the custodian a related person of	your firm?		0
(f)	If the custodian is a broker-dealer,	provide its SEC registration num	ber (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dea	aler, or is a broker-dealer but do	es not have an SEC registration number, provide its <i>l</i>	legal entity
	identifier (if any)			
If t	identifier (if any) the answer to question 25.(a) is "yes	s," respond to questions (b) thro	es not have an SEC registration number, provide its <i>l</i> ugh g) below for each custodian the <i>private fund</i> uses hrough (g) separately for each custodian.	
If t	identifier (if any) the answer to question 25.(a) is "yes	s," respond to questions (b) thro	ugh g) below for each custodian the <i>private fund</i> uses	
If t fun	identifier (if any) the answer to question 25.(a) is "yes and uses more than one custodian, you Legal name of custodian:	s," respond to questions (b) thro u must complete questions (b) t	ugh g) below for each custodian the <i>private fund</i> uses	
If t fun (b)	identifier (if any) the answer to question 25.(a) is "yes and uses more than one custodian, you Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO. Primary business name of custodian FIRST-CITIZENS BANK & TRUST CO.	s," respond to questions (b) through the complete questions (b) through the complete questions (b) the complete questions (c) the complete	ugh g) below for each custodian the <i>private fund</i> uses	
If t fun (b)	identifier (if any) the answer to question 25.(a) is "yes and uses more than one custodian, you Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO. Primary business name of custodian FIRST-CITIZENS BANK & TRUST CO. The location of the custodian's officic City:	s," respond to questions (b) through the series of the state:	ugh g) below for each custodian the <i>private fund</i> uses hrough (g) separately for each custodian. private fund's assets (city, state and country): Country:	
If t fun (b)	identifier (if any) the answer to question 25.(a) is "yes and uses more than one custodian, you Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO. Primary business name of custodian FIRST-CITIZENS BANK & TRUST CO. The location of the custodian's office	s," respond to questions (b) through the second to questions (b) the second to questions (b) the second to questions (b) the second to question (b) the second to question (b) the second to question (c) the second to question (b) the second to question (c) the seco	ugh g) below for each custodian the <i>private fund</i> uses hrough (g) separately for each custodian. private fund's assets (city, state and country):	s. If the <i>priva</i>
If t fun (b) (c) (d)	identifier (if any) the answer to question 25.(a) is "yes and uses more than one custodian, you Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO. Primary business name of custodian FIRST-CITIZENS BANK & TRUST CO. The location of the custodian's officic City:	s," respond to questions (b) through the state: California	ugh g) below for each custodian the <i>private fund</i> uses hrough (g) separately for each custodian. private fund's assets (city, state and country): Country:	
If t fun (b) (c) (d)	identifier (if any) the answer to question 25.(a) is "yes and uses more than one custodian, you begal name of custodian: FIRST-CITIZENS BANK & TRUST CO. Primary business name of custodian FIRST-CITIZENS BANK & TRUST CO. The location of the custodian's officic City: SANTA CLARA	s," respond to questions (b) through the state: California	ugh g) below for each custodian the <i>private fund</i> uses hrough (g) separately for each custodian. private fund's assets (city, state and country): Country: United States	s. If the <i>priva</i>
If t fun (b) (c) (d)	identifier (if any) the answer to question 25.(a) is "yes and uses more than one custodian, you Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO. Primary business name of custodian FIRST-CITIZENS BANK & TRUST CO. The location of the custodian's officicity: SANTA CLARA Is the custodian a related person of	s," respond to questions (b) through the state: California	ugh g) below for each custodian the <i>private fund</i> uses hrough (g) separately for each custodian. private fund's assets (city, state and country): Country: United States	s. If the <i>priva</i>

Administrator

Yes No

26. (a) Does the *private fund* use an administrator other than your firm?

0 0

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

		No Information Filed		
	L			
27.		ng your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is related person?	s not	
	Inclurelev	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any vant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includications) was the valuation determined by such <i>person</i> .	-	
Ma	rkete	<u>rs</u>	V	N I-
28.	(a) I	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes	OVI
	9	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private i</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
Λ Ε	DIVAT	E FUND		
А. Р	KIVAI	E FUND		
Info	<u>ormati</u>	ion About the <i>Private Fund</i>		
1.		Name of the <i>private fund</i> : CANAAN 2020+ CO-INVESTMENT SERIES 15 LP		
		Private fund identification number:		
		(include the "805-" prefix also)		
	8	805-9398777016		
2.	Unde	er the laws of what state or country is the <i>private fund</i> organized:		
۷.		State: Country:		
	1	Delaware United States		
3.		Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
		ne of General Partner, Manager, Trustee, or Director		
	CAN	IAAN PARTNERS 2020+ CO-INVESTMENT LLC		
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		
4.	The	private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	Yes	_
0.		If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	0	•
		No Information Filed		
			Yes	No
	(c)	Is this a "feeder fund" in a master-feeder arrangement?	O	⊙
		-	8.0	407

	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	eacł	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	ssue	ed
3.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	. INO
<i>.</i>	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		٠
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O neage runa O nquiany runa O private equity runa O real estate runa O securnizea asset runa O venture capital runa O other <i>privat</i>	e iui	IU:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 9,600,000		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 2,178,948		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	Ö
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υοι	ur Advisory Services		
17		Yes	
1/.	(a) Are you a subadviser to this <i>private fund</i>?(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer is a subadviser of the private fund.		•
	guestion 17 (a) is "no " leave this guestion blank	-	

		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answe	er
		No Information Filed		
			Yes	No
19.	Are y	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
	Appr	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	ate (Offering Control of the Control of t		
			Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
3. SE	RVIC	CE PROVIDERS		
Aud	itors			
			Yes	No
23.		(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	0	\odot
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	\odot
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	g firm,	
		No Information Filed		
			Yes	No
	.0.	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
	(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified op	inions	?
		C Yes C No C Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is	availal	ole.
<u>Prin</u>	ne Br	<u>roker</u>		
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	Yes	_
2		If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	priva	⊙ te
		No Information Filed		
Cust	todia	an en	Yes	No
25.	(a)	Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?	•	0
		If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>pr</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	ivate f	und
		Additional Custodian Information : 2 Record(s) Filed.		

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(c) Primary business BANC OF CALIFO				
(d) The location of the City:	e custodian's office res	sponsible for <i>custody</i> of the State:	private fund's assets (city, state and country): Country:	
LOS ANGELES		California	United States	
(e) Is the custodian	a <i>related person</i> of your	firm?		
(f) If the custodian i	s a broker-dealer, prov	ide its SEC registration num	ber (if any):	
- CRD Number (if a	·	ý Transition de la companya de la comp Transition de la companya d		
(g) If the custodian i identifier (if any)	s not a broker-dealer,	or is a broker-dealer but do	es not have an SEC registration number, provid	e its <i>legal enti</i>
			ough g) below for each custodian the <i>private fund</i> hrough (g) separately for each custodian.	duses. If the
runa uses more than	one custodian, you mu	ist complete questions (b) t	nrough (g) separately for each custodian.	
(b) Legal name of cu FIRST-CITIZENS I	stodian: BANK & TRUST CO.			
(c) Primary business FIRST-CITIZENS I	name of custodian: BANK & TRUST CO.			
(d) The location of the	e custodian's office re:	sponsible for <i>custody</i> of the	private fund's assets (city, state and country):	
City: SANTA CLARA		State: California	Country: United States	
SANTA CLARA		California	Officed States	
(e) Is the custodian	a <i>related person</i> of your	firm?		
(f) If the custodian i	s a broker-dealer, prov	ide its SEC registration num	ber (if any):	
- CRD Number (if a	ny):			
(g) If the custodian i	s not a broker-dealer,	or is a broker-dealer but do	es not have an SEC registration number, provide	e its <i>legal enti</i>
<u>rator</u>				
	se an administrator oth	ner than your firm?		
Does the <i>private fund</i> u		oond to questions (b) throug rately for each administrato	th (f) below. If the <i>private fund</i> uses more than c	one administra
f the answer to question	ns (b) through (f) sepa			
If the answer to questi	ns (b) through (f) sepa	No Informa	tion Filed	

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

iviai	TRETETS	Voc	s No
28.	(a) Does the private fund use the services of someone other than you or your employees for marketing purposes?	O	• 140
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other s similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the puses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer	olicitor, or private fund	
	No Information Filed		
Λ DD	RIVATE FUND		
Infor	ormation About the <i>Private Fund</i>		
1.	 (a) Name of the private fund: CANAAN 2020+ CO-INVESTMENT SERIES 16 LP (b) Private fund identification number: (include the "805-" prefix also) 805-8401894761 		
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Se for the master-feeder arrangement or reporting on the funds separately.	ection 7.B.((1)
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) the feeder funds answer the following questions:	1), for eacl	h of

No	Information	Filed
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	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\circ	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other <i>privat</i>	te fur	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 14,700,000		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 666,599		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>You</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No •
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	answ	
	No Information Filed		
		Yes	No
10	Are your clients solicited to invest in the private fund?	_	_

NOTE: For purposes of this question, do not consider feeder funds of the private fund.

20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%	
<u>Priv</u>	vate Offering	
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of	Yes No 1933? • • •
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):	
	No Information Filed	
B. SE	ERVICE PROVIDERS	
<u>Aud</u>	<u>ditors</u>	Yes No
23	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0 0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more that you must complete questions (b) through (f) separately for each auditing firm.	C © an one auditing firm,
	No Information Filed	
		Yes No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fun</i> investors?	
	(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain	unqualified opinions?
	O Yes O No O Report Not Yet Received	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when	n the report is evallable
	If you cheek Report Not Tet Received, you must promptly me an americanem to your form NEV to apacte your response when	Title report is available.
<u>Prir</u>	me Broker	Yes No
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	nd uses. If the private
	No Information Filed	
	(a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	Yes No © C uses. If the private fund
	Additional Custodian Information : 2 Record(s) Filed.	
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA	Juses. If the private
	(c) Primary business name of custodian: BANC OF CALIFORNIA	
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: LOS ANGELES California United States	

				Yes No
	(e) Is the custodian a related pe	rson of your firm?		0 0
	(f) If the custodian is a broker-c	ealer, provide its SEC registration numb	per (if any):	
	- CRD Number (if any):			
	one riamsor (ii arry).			
	(g) If the custodian is not a brok identifier (if any)	er-dealer, or is a broker-dealer but doe	s not have an SEC registration number, provide its	legal entity
			igh g) below for each custodian the <i>private fund</i> us rough (g) separately for each custodian.	es. If the <i>private</i>
	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRU	ST CO.		
	(c) Primary business name of cu			
	(d) The location of the custodian	's office responsible for $\it custody$ of the $\it \mu$	private fund's assets (city, state and country):	
	City: SANTA CLARA	State: California	Country: United States	
				Yes No
	(e) Is the custodian a related pe	rson of your firm?		0 0
	(f) If the custodian is a broker-c	ealer, provide its SEC registration numb	per (if any):	
	CRD Number (if any):			
	(g) If the custodian is not a brok identifier (if any)	er-dealer, or is a broker-dealer but doe	s not have an SEC registration number, provide its	legal entity
Admin	<u>nistrator</u>			Yes N
26. (a	•	·	n (f) below. If the <i>private fund</i> uses more than one	0 6
		No Informat	ion Filed	
27. Du	uring your last fiscal year, what perce	entage of the <i>private fund's</i> assets (by v	alue) was valued by a <i>person</i> , such as an administr	ator, that is not
yc	our <i>related person</i> ? %			
In re	nclude only those assets where (i) suc	used for purposes of investor subscripti	edure established for that asset, if any, including o ons, redemptions or distributions, and fee calculati	
<u>Marke</u>	<u>eters</u>			
20 (N) Dood the makesta for dear 11	one of company others these	omployees for pacificating a sum	Yes N
28. (a	You must answer "yes" whether the similar person. If the answer to que	stion 28.(a) is "yes," respond to questi	<i>employees</i> for marketing purposes? nsultant, finder, introducer, municipal advisor or otlons (b) through (g) below for each such marketer questions (b) through (g) separately for each mark	the <i>private fund</i>
	,		2 (3	

No Information Filed

A. F	PRIVATE FUND	
Info	ormation About the <i>Private Fund</i>	
1.	 (a) Name of the private fund: CANAAN 2020+ CO-INVESTMENT SERIES 17 LP (b) Private fund identification number: (include the "805-" prefix also) 805-3390176263 	
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Delaware Country: United States	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director	
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC	
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed	
4.	The <i>private fund</i> (check all that apply; you must check at least one): ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	No Information Filed	
6.	(a) Is this a "master fund" in a master-feeder arrangement?(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?No Information Filed	Yes No
	 (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund identification number: (include the "805-" prefix also) 	Yes No
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately.	'.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each of
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
8.	(a) Is this private fund a "fund of funds"?	0 0

NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment

vehicles, regardless of whether they are also *private funds* or registered investment companies.

9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment	Yes	
7.	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	e fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 6,300,000		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,666,500		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υοι	ır Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	\circ	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>?(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>.	o answe	⊙ er
	to question 18.(a) is "no," leave this question blank.		
	No Information Filed		
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	ONI
. , ,	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	0	٠
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering		
		Yes	No
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•

No Information Filed

0 0

(b) If yes, does the *private fund* invest in funds managed by you or by a *related person*?

22. If yes, provide the *private fund's* Form D file number (if any):

3	SERVICE	PROVIDERS

identifier (if any)

ditor	ors	Vac N
(a)) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	
	re the <i>private fund's</i> financial statements subject to an annual audit? If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? It is answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, must complete questions (b) through (f) separately for each auditing firm. No Information Filed Yes The private fund's audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> To stors? If of the reports prepared by the auditing firm for the <i>private fund</i> since your last annual updating amendment contain unqualified opinions are one of the private fund and the report form ADV to update your response when the report is available to check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available to the private fund use one or more prime brokers? Yes The private fund use one or more prime brokers? The private fund use one or more prime brokers? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses is the private fund uses is the private fund uses.	0 6
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one as you must complete questions (b) through (f) separately for each auditing firm.	"yes," are the financial statements prepared in accordance with U.S. GAAP? Ges," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, (f) separately for each auditing firm. No Information Filed Yes Notements for the most recently completed fiscal year distributed to the private fund's In girm for the private fund since your last annual updating amendment contain unqualified opinions? In must promptly file an amendment to your Form ADV to update your response when the report is available. Yes Note the private fund uses. If the private is unust complete questions (b) through (e) below for each prime broker the private fund uses. If the private is unust complete questions (b) through (e) separately for each prime broker. No Information Filed Yes Note the private fund uses. If the private fund uses is the private fund uses. If the private fund uses the private fund uses fund uses fund uses. If the private fund uses the private fund uses fund uses fund uses fund uses. If the private fund uses fund uses fund uses fund uses. If the private fund uses fund uses fund uses fund uses fund uses fund uses. If the private fund uses fund uses fund uses. If the private fund uses fund uses fund uses. If the private fund uses fund uses fund uses. If the private fund uses fund uses fund uses. If the private fund uses fund uses fund uses. If the private fund uses fund uses fund uses fund uses. If the private fund uses fund uses fund uses fund uses. If the private fund uses fund uses fund uses fund uses fund uses fund uses fund uses. If the private fund uses fund use fund uses fund use fund uses fund use fu
	No Information Filed	nancial statements subject to an annual audit? on 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? on 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, and (b) through (f) separately for each auditing firm. No Information Filed Yes Noted financial statements for the most recently completed fiscal year distributed to the private fund's of the distributed of the private fund's of the distributed of the private fund's of the distributed of the private fund's of the seeked of the seeked of the seeked," you must promptly file an amendment to your Form ADV to update your response when the report is available, and the seeked," you must promptly file an amendment for your Form ADV to update your response when the report is available, and the seeked," you must promptly file an amendment for your Form ADV to update your response when the report is available, and the seeked," you must promptly file an amendment for your Form ADV to update your response when the report is available, and the seeked," you must promptly file an amendment for your Form ADV to update your response when the report is available, and the seeked," you must complete questions (b) through (c) below for each prime broker the private fund uses. If the private fund uses, if the private fund uses is the private fund uses if the private fund uses. If the private fund uses complete questions (b) through (g) below for each custodian the private fund uses. If the private is custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private is custodian, you must complete questions (b) through (g) separately for each custodian.
		Yes N
(g)) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0 0
(h)		ed opinions?
	C Yes C No C Report Not Yet Received	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the rep	ort is available
me I	<u>Broker</u>	
(=\). December private fundace one or more primes has been a	Yes N
(a)		~ ~
	fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	ir the <i>private</i>
	No Information Filed	
	No Information Filed	
stodi		
	<u>dian</u>	
		⊙ (
	dian Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If	⊙ (
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	⊙ Cthe <i>private fun</i>
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:	⊙ Cthe <i>private fun</i>
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country):	⊙ Cthe <i>private fun</i>
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA	⊙ Cthe <i>private fun</i>
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:	⊙ Cthe <i>private fun</i>
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: LOS ANGELES California United States (e) Is the custodian a <i>related person</i> of your firm?	the private fun the private Yes No
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES California United States	the private fun the private Yes No

	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO.			
	(c) Primary business name of custodian: FIRST-CITIZENS BANK & TRUST CO.			
	(d) The location of the custodian's office	responsible for <i>custody</i> of the	private fund's assets (city, state and c	country):
	City: SANTA CLARA	State: California	Country: United States	
				Yes M
	(e) Is the custodian a related person of you	our firm?		0 (
	(f) If the custodian is a broker-dealer, pr	rovide its SEC registration num	ber (if any):	
	CRD Number (if any):			
	(g) If the custodian is not a broker-deale identifier (if any)	er, or is a broker-dealer but do	es not have an SEC registration numb	per, provide its <i>legal entity</i>
<u>nist</u>	<u>trator</u>			
				Yes
a) I	Does the <i>private fund</i> use an administrator o	•		O
a) l		espond to questions (b) throug		
a) l	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," re	espond to questions (b) throug	r.	0
a) I I I [[Durii	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," re	espond to questions (b) throug parately for each administrator No Informa	tion Filed	onore than one administrator, y
a) I I Ouring Ourour Ow Ow Ow Ow Ow Ow Ow Ow Ow O	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," remust complete questions (b) through (f) se	espond to questions (b) through parately for each administrator. No Information of the private fund's assets (by we have carried out the valuation process of investor subscription.	tion Filed value) was valued by a <i>person</i> , such a	nore than one administrator, y as an administrator, that is not ny, including obtaining any
a) I I Ouring Ourour Ow Ow Ow Ow Ow Ow Ow Ow Ow O	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," remust complete questions (b) through (f) set only your last fiscal year, what percentage of a related person? The person of the valuation used for cations) was the valuation determined by such person of the person of the valuation determined by such person of the valuation deter	espond to questions (b) through parately for each administrator. No Information of the private fund's assets (by we have carried out the valuation process of investor subscription.	tion Filed value) was valued by a <i>person</i> , such a	nore than one administrator, y as an administrator, that is not ny, including obtaining any nd fee calculations (including
a) I I I I I Ouring Our	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," remust complete questions (b) through (f) set only your last fiscal year, what percentage of a related person? The person of the valuation used for cations) was the valuation determined by such person of the person of the valuation determined by such person of the valuation deter	espond to questions (b) through parately for each administrator. No Information of the private fund's assets (by very carried out the valuation products purposes of investor subscriptions and person.	tion Filed value) was valued by a <i>person</i> , such a cedure established for that asset, if a tions, redemptions or distributions, ar	nore than one administrator, y as an administrator, that is not
Ouring of the second of the se	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," remust complete questions (b) through (f) set only your last fiscal year, what percentage of a related person? The person of the valuation used for cations of the valuation determined by such person of the valuation determined by such pe	espond to questions (b) through parately for each administrator. No Information No Information Process of Investor Subscript Such person. The private fund's assets (by Note that the private fund's assets	tion Filed value) was valued by a <i>person</i> , such a cedure established for that asset, if a tions, redemptions or distributions, are employees for marketing purposes? consultant, finder, introducer, municipations (b) through (g) below for each services.	nore than one administrator, years an administrator, that is not any, including obtaining any and fee calculations (including and fee calculations). Yes all advisor or other solicitor, or such marketer the private fund
Ouring of the second of the se	Does the <i>private fund</i> use an administrator of the answer to question 26. (a) is "yes," remust complete questions (b) through (f) seeing your last fiscal year, what percentage of related person? The only those assets where (i) such person vant quotes, and (ii) the valuation used for cations) was the valuation determined by such person that the person was the private fund use the services of so you must answer "yes" whether the person similar person. If the answer to question 28	espond to questions (b) through parately for each administrator. No Information No Information Process of Investor Subscript Such person. The private fund's assets (by Note that the private fund's assets	tion Filed value) was valued by a person, such a cedure established for that asset, if an actions, redemptions or distributions, are consultant, finder, introducer, municipations (b) through (g) below for each se questions (b) through (g) separately	nore than one administrator, years an administrator, that is not any, including obtaining any and fee calculations (including and fee calculations). Yes all advisor or other solicitor, or such marketer the private fund

A. PRIVAT

Information About the Private Fund

1. (a) Name of the private fund:

CANAAN 2020+ CO-INVESTMENT SERIES 18 LP

(b) Private fund identification number: (include the "805-" prefix also)

O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund:

11.	Current gross asset value of the <i>private fund</i> :		
	\$ 14,000,000		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,500,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	3	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(h) If the private fund qualifies for the evaluation from the definition of investment company under cection 2(a)(1) of the Investment	Yes	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
47		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i>?(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.	to	•
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>?(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank.	O answ	⊙ er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund?</i> NOTE: For purposes of this question, do not consider feeder funds of the private fund.	0	•
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Pri</u>	vate Offering		
21	Has the private fund over relied on an examption from registration of its securities under Degulation D of the Securities Act of 1922	Yes	
∠1.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	⊙
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. S	ERVICE PROVIDERS		
Aud	<u>ditors</u>		
22	(a) (1) Are the private fund's financial statements subject to an appual audit?	_	No
∠3.	(a) (1) Are the private fund's financial statements subject to an annual audit?(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	⊙ ⊙

If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm,

 $NOTE: For \ definitions \ of \ these \ fund \ types, \ please \ see \ Instruction \ 6 \ of \ the \ Instructions \ to \ Part \ 1A.$

	you must complete questions (b) through (f) separate	ly for each auditing firm	ı.	
		No Information	Filed	
(g)) Are the <i>private fund's</i> audited financial statements for	the most recently com	pleted fiscal year distributed to the <i>private fund's</i>	Yes N
(h)	investors? Do all of the reports prepared by the auditing firm for Yes No Report Not Yet Received	the <i>private fund</i> since y	our last <i>annual updating amendment</i> contain unqualific	ed opinions?
	If you check "Report Not Yet Received," you must promp	otly file an amendment t	ງ your Form ADV to update your response when the repo	ort is available.
rime	<u>Broker</u>			Yes No
4. (a)	Does the <i>private fund</i> use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to a fund uses more than one prime broker, you must com	questions (b) through (·	C G
		No Information	ı Filed	
ustad	lian			
<u>Custod</u> 25. (a)	Does the <i>private fund</i> use any custodians (including the lift the answer to question 25.(a) is "yes," respond to uses more than one custodian, you must complete qu	questions (b) through (g) below for each custodian the <i>private fund</i> uses. If t	Yes No © C he <i>private fund</i>
	Additional Custodian Information : 2 Record(s) File			
	If the answer to question 25.(a) is "yes," respond to fund uses more than one custodian, you must comp (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA		ng) below for each custodian the <i>private fund</i> uses. If ugh (g) separately for each custodian.	the <i>private</i>
	(d) The location of the custodian's office responsible	e for <i>custody</i> of the <i>pri</i> v	vate fund's assets (city, state and country):	
		State: California	Country: United States	
	(e) Is the custodian a <i>related person</i> of your firm?	samerina		Yes No ○ •
	(f) If the custodian is a broker-dealer, provide its S	EC registration number	(if any):	
	CRD Number (if any):			
	(g) If the custodian is not a broker-dealer, or is a b identifier (if any)	roker-dealer but does	not have an SEC registration number, provide its <i>legal</i>	l entity
	If the answer to question 25.(a) is "yes," respond to fund uses more than one custodian, you must comp		ng) below for each custodian the <i>private fund</i> uses. If ugh (g) separately for each custodian.	the <i>private</i>
	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO.			
	(c) Primary business name of custodian:			

	FIRST-CITIZENS BANK & TRU	ST CO.		
	(d) The location of the custodiar	n's office responsible for <i>custody</i> of the	private fund's assets (city, state and country)	
	City:	State:	Country:	
	SANTA CLARA	California	United States	Yes No
	(e) Is the custodian a related pe	erson of your firm?		0 0
	(f) If the custodian is a broker-o	dealer, provide its SEC registration num	per (if any):	
	CRD Number (if any):			
		cer-dealer, or is a broker-dealer but doe	es not have an SEC registration number, pro	vide its <i>legal entity</i>
	identifier (if any)			
Adminis	strator			
26 (2)	Does the <i>private fund</i> use an admir	pictrator other than your firm?		Yes No
20. (a)	·	· ·	n (f) below. If the <i>private fund</i> uses more tha	O • O an one administrator, you
		igh (f) separately for each administrator		
		No Informa	ion Filad	
		NO IIIIOIIIIa	ion riied	
rele	lude only those assets where (i) surevant quotes, and (ii) the valuation ocations) was the valuation determin	used for purposes of investor subscript	edure established for that asset, if any, incluons, redemptions or distributions, and fee ca	
				Yes No
28. (a)	·	ices of someone other than you or your		0 0
	similar person. If the answer to que	estion 28.(a) is "yes," respond to questi	nsultant, finder, introducer, municipal adviso ons (b) through (g) below for each such man questions (b) through (g) separately for eac	rketer the <i>private fund</i>
		No Informa	ion Filed	
A. PRIVA	TE FUND			
<u>Informa</u>	tion About the Private Fund			
1. (a)	Name of the <i>private fund</i> :			
(-)	CANAAN 2020+ CO-INVESTMENT S	ERIES 19 LP		
(b)	Private fund identification number: (include the "805-" prefix also) 805-9670166053			
2. Und	der the laws of what state or countr	y is the <i>private fund</i> organized:		
	State: Delaware	Country: United States		
	Delawale	United States		
3. (a)	Name(s) of General Partner, Manag	ger, Trustee, or Directors (or <i>persons</i> se	rving in a similar capacity):	

Name of General Partner, Manager, Trustee, or Director

	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
••	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
_			
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed		
	The final fi	Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r eacl	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		d
8.	(a) Is this <i>private fund</i> a "fund of funds"?		
Ο.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		•
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	te fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 5,000,000		
Ow	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		

CANAAN PARTNERS 2020+ CO-INVESTMENT LLC

\$ 1,666,500

		No Information Filed		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	g firm	1,
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
		(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	No ⊙
Διισ	ditor			
B. S	ERVI	CE PROVIDERS		
22.	11 y	No Information Filed		
		the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? es, provide the <i>private fund's</i> Form D file number (if any):	0	•
<u>Priv</u>	<u>vate</u>	<u>Offering</u>	Yes	No
20.	App	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
19.	Are	your clients solicited to invest in the private fund?	Yes	No ⊙
		No Information Filed		
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	Yes	⊙
		No Information Filed		
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	No ©
You	ur Ac	Ivisory Services		
16.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	O
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
14.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your related persons:		
13.	App 2	roximate number of the <i>private fund's</i> beneficial owners:		

				Υ
Do	pes the <i>private fund</i> use one or more	prime brokers?		
		•	gh (e) below for each prime broker the <i>private fund</i> of through (e) separately for each prime broker.	uses. If the <i>pr</i>
		No Inform	ation Filed	
<u>an</u>				Y
lf		es," respond to questions (b) throu	ted above) to hold some or all of its assets? gh (g) below for each custodian the <i>private fund</i> use n (g) separately for each custodian.	s. If the <i>priva</i>
A	dditional Custodian Information : 2	2 Record(s) Filed.		
11	•	•	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian.	es. If the <i>pri</i> d
	(b) Legal name of custodian: BANC OF CALIFORNIA			
	(c) Primary business name of custoo BANC OF CALIFORNIA	dian:		
	(d) The location of the custodian's o City: LOS ANGELES	office responsible for <i>custody</i> of the State: California	private fund's assets (city, state and country): Country: United States	
				Ye
	(e) Is the custodian a related persor	of your firm?		0
	(f) If the custodian is a broker-deale	er, provide its SEC registration nur	nber (if any):	
	CRD Number (if any):			
	(g) If the custodian is not a broker-identifier (if any)	dealer, or is a broker-dealer but de	pes not have an SEC registration number, provide its	s legal entity
	•	•	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian.	es. If the <i>pri</i>
	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST (CO.		
	(c) Primary business name of custod FIRST-CITIZENS BANK & TRUST (
	(d) The location of the custodian's o	office responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City:	State:	Country:	
		California	United States	
	SANTA CLARA	California	United States	Ye

C Yes C No C Report Not Yet Received

		-
		CRD Number (if any):
	((g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> identifier (if any)
<u>Admi</u>	nistrat	<u>or</u>
		Yes No.
26. (es the <i>private fund</i> use an administrator other than your firm?
		he answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you st complete questions (b) through (f) separately for each administrator.
		No Information Filed
7		your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not lated person?
r	elevan	only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any t quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including ons) was the valuation determined by such <i>person</i> .
Mark	<u>eters</u>	
20 /	a) Doo	Yes No
28. (You	es the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? I must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or illar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> s. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
		No Information Filed
A. PRI	VATE F	UND
<u>Inforr</u>	nation	About the Private Fund
1. (a) Nar	ne of the <i>private fund</i> :
	CAN	NAAN 2020+ CO-INVESTMENT SERIES 2 LP
((inc	vate fund identification number: clude the "805-" prefix also) 5-4692010940
2. l	Jnder t	he laws of what state or country is the <i>private fund</i> organized:
	Sta	
	Del	aware United States
3. (a) Nar	ne(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):
		of General Partner, Manager, Trustee, or Director N PARTNERS 2020+ CO-INVESTMENT LLC
L		
	b) If fi	ling an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.
(No Information Filed
		The fine fine fine fine fine fine fine fin
	he <i>pri</i> u	
4. 7		vate fund (check all that apply; you must check at least one): qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
	No Information Filed	Voc	NI.
6.	(a) Is this a "master fund" in a master-feeder arrangement?	Yes	
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	~	~
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or each	of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		b
8.	(a) Is this private fund a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	С
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes	
10.	What type of fund is the private fund?		
	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund venture capital fund C Other private	ate fund	d :
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 21,300,000		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 16,325,083 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	е	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds:

	0%			
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No O
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
<u>Υοι</u>	ır Ac	dvisory Services	V	N.a
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	(O
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		•
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i>	0	⊙
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	proximately what percentage of your clients has invested in the private fund?		
Priv	/ate	Offering		
			Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•
22.	If y	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. SI	ERVI	CE PROVIDERS		
Auc	litor	s.		
			Yes	No
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	0	\odot
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	\odot
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	g firm	,
		No Information Filed		
			Yes	No
	(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
	(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified on	inions	s?
		O Yes O No O Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is	availa	ble.
<u>Prir</u>	ne E	<u>Broker</u>		
			Yes	No
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	0	•
		If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	; priva	te

_	_		
Cι	ıst	OC	lian

	itional Custodian Information : 2	Record(s) Filed.		
	•	•	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian.	ses. If the μ
(b)	Legal name of custodian: BANC OF CALIFORNIA			
(c)	Primary business name of custod BANC OF CALIFORNIA	lian:		
(d)	The location of the custodian's of	ffice responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City:	State:	Country:	
	LOS ANGELES	California	United States	•
(e)	Is the custodian a related person	of your firm?		
	2 10121011	J · · · · · · · ·		
(f)	If the custodian is a broker-deale	er, provide its SEC registration nun	nber (if any):	
	-			
	CRD Number (if any):			
(a)	If the custodian is not a broker-c	lealer or is a broker-dealer but do	see not have an CEC registration number, provide its	
(3)		realer, or is a pronor acarer par ac	ies not have an SEC registration number, provide its	s iegai eniii
(9)	identifier (if any)		ies not have an SEC registration number, provide its	s iegai eriiii
			ies not have an SEC registration number, provide its	s iegai enili
If t	identifier (if any) the answer to question 25.(a) is "y	yes," respond to questions (b) thro	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian.	
If t	identifier (if any) the answer to question 25.(a) is "y	yes," respond to questions (b) thro you must complete questions (b) t	ough g) below for each custodian the <i>private fund</i> us	
If t fun	identifier (if any) the answer to question 25.(a) is "y and uses more than one custodian, y Legal name of custodian:	yes," respond to questions (b) thro you must complete questions (b) t O.	ough g) below for each custodian the <i>private fund</i> us	
If t fund (b)	identifier (if any) the answer to question 25.(a) is "you uses more than one custodian; you have been some than one custodian: FIRST-CITIZENS BANK & TRUST COMMENT OF TREST-CITIZENS BANK & TRUST COMMENT OF TREST-	yes," respond to questions (b) thro you must complete questions (b) t O. lian: O.	ough g) below for each custodian the <i>private fund</i> us	
If t fund (b)	identifier (if any) the answer to question 25.(a) is "you uses more than one custodian, you have been been been been been been been be	yes," respond to questions (b) thro you must complete questions (b) to O. lian: O. ffice responsible for <i>custody</i> of the State:	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian. private fund's assets (city, state and country): Country:	
If t fund (b)	identifier (if any) the answer to question 25.(a) is "you do uses more than one custodian, you have the custodian and the custodian are primary business name of custod first-citizens bank & trust of the location of the custodian's of	yes," respond to questions (b) thro you must complete questions (b) t O. lian: O.	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian. Private fund's assets (city, state and country):	es. If the μ
If t fund (b)	identifier (if any) the answer to question 25.(a) is "you uses more than one custodian, you have been been been been been been been be	yes," respond to questions (b) thro you must complete questions (b) to O. Iian: O. Iffice responsible for <i>custody</i> of the State: California	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian. private fund's assets (city, state and country): Country:	
If t fund (b)	identifier (if any) the answer to question 25.(a) is "you do uses more than one custodian, you have more than one custodian." Legal name of custodian: FIRST-CITIZENS BANK & TRUST COMMENT	yes," respond to questions (b) thro you must complete questions (b) to O. Iian: O. Iffice responsible for <i>custody</i> of the State: California	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian. private fund's assets (city, state and country): Country: United States	ses. If the p
If t fund (b) (c) (d)	identifier (if any) the answer to question 25.(a) is "you do uses more than one custodian, you have more than one custodian." Legal name of custodian: FIRST-CITIZENS BANK & TRUST COMMENT	yes," respond to questions (b) thro you must complete questions (b) t O. Iian: O. Iffice responsible for <i>custody</i> of the State: California of your firm?	ough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian. private fund's assets (city, state and country): Country: United States	ses. If the p

Yes No

	must complete q	uestions (b) through (f) separately for each administrator.	
		No Information Filed	
	our related person?	ıl year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is r	ot
re	levant quotes, and	ssets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including valuation determined by such <i>person</i> .	
Marke	<u>ters</u>		_
28. (a) Does the <i>private</i>	funduce the carvings of company other than you ar your ampleyees for marketing nurnesses?	es N
	similar person. If	r "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, of the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fur the fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	r
		No Information Filed	
. PRI\	ATE FUND		
		distribution for the first of t	
	ATE FUND ation About the <i>Pr</i>	ivate Fund	
<u>nform</u>			
<u>nform</u> 1. (a	ation About the <i>Pr</i>) Name of the <i>prive</i> CANAAN 2020+ C	ate fund: CO-INVESTMENT SERIES 20 LP	
<u>nform</u> 1. (a	ation About the Property of the private fund identification.	ate fund: CO-INVESTMENT SERIES 20 LP cification number:	
<u>nform</u> 1. (a	ation About the <i>Pr</i>) Name of the <i>prive</i> CANAAN 2020+ C	ate fund: CO-INVESTMENT SERIES 20 LP dification number: dis-" prefix also)	
<u>nform</u> 1. (a	ation About the Property of the private fund identification (include the "805")	ate fund: CO-INVESTMENT SERIES 20 LP dification number: dis-" prefix also)	
nform 1. (a	ation About the Property of the private fund ident (include the "805-1846739083")	ate fund: CO-INVESTMENT SERIES 20 LP dification number: dis-" prefix also)	
nform 1. (á	ation About the Property of the private fund ident (include the "805-1846739083) ander the laws of whe State:	nate fund: CO-INVESTMENT SERIES 20 LP Sification number: Si-" prefix also) Bat state or country is the private fund organized: Country:	
nform I. (á	ation About the Private CANAAN 2020+ COORDINATE Fund ident (include the "805-1846739083)	ate fund: CO-INVESTMENT SERIES 20 LP diffication number: G-" prefix also) Bat state or country is the private fund organized:	
nform	ation About the Private CANAAN 2020+ COORDINATE (Include the "805-805-1846739083) ander the laws of whe State: Delaware	nate fund: CO-INVESTMENT SERIES 20 LP Sification number: Si-" prefix also) Bat state or country is the private fund organized: Country:	
nform 1. (a	ation About the Private CANAAN 2020+ COORDINATE Private fund ident (include the "805-805-1846739083) ander the laws of whe State: Delaware Name(s) of General Parame of General Parame	ate fund: CO-INVESTMENT SERIES 20 LP dification number: is-" prefix also) Bat state or country is the private fund organized: Country: United States aral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Intrace, Manager, Trustee, or Director	
nform 1. (a	ation About the Private CANAAN 2020+ COORDINATE Private fund ident (include the "805-805-1846739083) ander the laws of whe State: Delaware Name(s) of General Parame of General Parame	ate fund: CO-INVESTMENT SERIES 20 LP dification number: So-" prefix also) Bat state or country is the private fund organized: Country: United States ral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):	
nform 1. (a (k) 2. U	ation About the Private CANAAN 2020+ COORDINATE (Include the "805-805-1846739083) ander the laws of whe State: Delaware Name(s) of General Parame of General Parame ANAAN PARTNERS 2000-100-1000-1000-1000-1000-1000-1000-	ate fund: CO-INVESTMENT SERIES 20 LP diffication number: 6-" prefix also) 3 at state or country is the private fund organized: Country: United States aral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): artner, Manager, Trustee, or Director 2020+ CO-INVESTMENT LLC	
nform 1. (a (k 2. U	ation About the Private CANAAN 2020+ COORDINATE (Include the "805-805-1846739083) ander the laws of whe State: Delaware Name(s) of General Parame of General Parame ANAAN PARTNERS 2000-100-1000-1000-1000-1000-1000-1000-	ate fund: CO-INVESTMENT SERIES 20 LP dification number: is-" prefix also) Bat state or country is the private fund organized: Country: United States aral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Intrace, Manager, Trustee, or Director	
nform 1. (a (k 2. U	ation About the Private CANAAN 2020+ COORDINATE (Include the "805-805-1846739083) ander the laws of whe State: Delaware Name(s) of General Parame of General Parame ANAAN PARTNERS 2000-100-1000-1000-1000-1000-1000-1000-	ate fund: CO-INVESTMENT SERIES 20 LP diffication number: 6-" prefix also) 3 dat state or country is the private fund organized: Country: United States aral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): artner, Manager, Trustee, or Director 2020+ CO-INVESTMENT LLC Alla registration, identify the filling adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
1. (a (k 2. U	ation About the Private CANAAN 2020+ COORDINATE Fund ident (include the "805-805-1846739083) Inder the laws of whe State: Delaware Delaware Name(s) of General Parana ANAAN PARTNERS 2000 If filling an umbre the private fund (cheen the private	ate fund: 20-INVESTMENT SERIES 20 LP diffication number:" prefix also) 3 nat state or country is the private fund organized: Country: United States ral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Interest, Manager, Trustee, or Directors 2020+ CO-INVESTMENT LLC Illa registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed	
1. (a (k 2. U	ation About the Private CANAAN 2020+ COORDINATE (Include the "805-805-1846739083) Inder the laws of whe State: Delaware Name of General Parame of General Parame of General Parame (Include and Include the Include the Include the Include the Include the Include	ate fund: CO-INVESTMENT SERIES 20 LP iffication number: " prefix also) But state or country is the private fund organized: Country: United States ral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): artner, Manager, Trustee, or Director 2020+ CO-INVESTMENT LLC Illa registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed	
1. (a (k 2. U 3. (a (k 4. T	ation About the Private CANAAN 2020+ COORDINATE PRIVATE Fund ident (include the "805-805-1846739083) ander the laws of whostate: Delaware Name(s) of General Parame of Genera	ate fund: 20-INVESTMENT SERIES 20 LP iffication number: i-" prefix also) 3 hat state or country is the private fund organized: Country: United States ral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): irtner, Manager, Trustee, or Director 2020+ CO-INVESTMENT LLC Illa registration, identify the filling adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed ck all that apply; you must check at least one): the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
1. (a (k 2. U 3. (a (k 4. T	ation About the Private CANAAN 2020+ COORDINATE PRIVATE Fund ident (include the "805-805-1846739083) ander the laws of whostate: Delaware Name(s) of General Parame of Genera	ate fund: CO-INVESTMENT SERIES 20 LP iffication number:" prefix also) 3 hat state or country is the private fund organized: Country: United States ral Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Interner, Manager, Trustee, or Director 2020+ CO-INVESTMENT LLC Illa registration, identify the filling adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: No Information Filed ck all that apply: you must check at least one): he exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 he exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
1. (á (k 2. U 3. (á (k 4. T	ation About the Private CANAAN 2020+ COORDINATE Fund ident (include the "805-805-1846739083) ander the laws of who State: Delaware Name(s) of General Parame of General Parame of General Parame ANAAN PARTNERS 20 If filling an umbre the private fund (check (1) qualifies for the coordinate for the	ate fund: CO-INVESTMENT SERIES 20 LP Iffication number:" prefix also) 3 and state or country is the private fund organized:	es N
1. (á (k 2. U 3. (á 4. T 5. Li	ation About the Private CANAAN 2020+ COMMAN	ate fund: CO-INVESTMENT SERIES 20 LP Iffication number: - "prefix also) 3 at state or country is the private fund organized:	

(c) Is this a "feeder fund" in a master-feeder arrangement?

	Name of private fund:		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
0		Yes	
ა .	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	0	•
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund venture capital fund C Other privat	e fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 7,800,000		
<u>Ow</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 2,618,506 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		

(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?

17.	(a)	Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	r to	
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	\odot
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	e answe	er
		No Information Filed		
			Yes	No
19.		your clients solicited to invest in the private fund?	0	⊙
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 0%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	vate	<u>Offering</u>		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	No ©
22	lf v	os provido the private fund's Form D file number (if any).		
22.	II ye	es, provide the <i>private fund's</i> Form D file number (if any): No Information Filed		
23.		(1) Are the <i>private fund's</i> financial statements subject to an annual audit?(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing	O O ng firm	• •
		you must complete questions (b) through (f) separately for each auditing firm.		
		No Information Filed		
			Yes	No
		Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
	(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified o	pinions	?
		O Yes O No O Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is	s availa.	ble.
<u>Prir</u>	me B	<u>croker</u>		
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	Yes	No ©
		If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the		
		fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
		No Information Filed		
Cus	todia	<u>an</u>		
25	(-)		Yes	No
∠5.		Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	•	Oal
		If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the p	л і vate 1	und

uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information : 2 Record(s) Filed.

(0)	Legal name of custodian: BANC OF CALIFORNIA			
(c)	Primary business name of cust BANC OF CALIFORNIA	odian:		
(d)	The location of the custodian's	office responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City: LOS ANGELES	State: California	Country: United States	
				Υe
(e)	Is the custodian a related person	on of your firm?		0
(f)	If the custodian is a broker-dea	aler, provide its SEC registration num	aber (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a broke identifier (if any)	r-dealer, or is a broker-dealer but do	es not have an SEC registration number, provide	its <i>legal entity</i>
	dentiner (ii driy)			
	Legal name of custodian: FIRST-CITIZENS BANK & TRUST Primary business name of cust FIRST-CITIZENS BANK & TRUST	todian:		
(c)	Primary business name of cust FIRST-CITIZENS BANK & TRUST	codian: FCO.	private fund's assets (city, state and country):	
(c)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City:	codian: CO. s office responsible for <i>custody</i> of the State:	Country:	
(c)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's	codian: TCO. Soffice responsible for <i>custody</i> of the		Υє
(c)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City:	codian: T CO. S office responsible for <i>custody</i> of the State: California	Country:	
(c) (d) (e)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City: SANTA CLARA Is the custodian a related person	codian: T CO. S office responsible for <i>custody</i> of the State: California	Country: United States	
(c) (d) (e)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City: SANTA CLARA Is the custodian a related person	codian: T CO. Stoffice responsible for <i>custody</i> of the State: California on of your firm?	Country: United States	
(c) (d) (e) (f)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City: SANTA CLARA Is the custodian a related person If the custodian is a broker-dead- CRD Number (if any):	codian: TOO. Soffice responsible for <i>custody</i> of the State: California on of your firm? aler, provide its SEC registration num	Country: United States	Ye O
(c) (d) (e) (f)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City: SANTA CLARA Is the custodian a related personal of the custodian is a broker-dead company. CRD Number (if any):	codian: TOO. Soffice responsible for <i>custody</i> of the State: California on of your firm? aler, provide its SEC registration num	Country: United States	O
(c) (d) (e) (f)	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City: SANTA CLARA Is the custodian a related personal of the custodian is a broker-dead company of the custodian is a broker-dead company. If the custodian is not a broker identifier (if any)	codian: TOO. Soffice responsible for <i>custody</i> of the State: California on of your firm? aler, provide its SEC registration num	Country: United States	its legal entity
(c) (d) (e) (f) (g) strator Does If the	Primary business name of cust FIRST-CITIZENS BANK & TRUST The location of the custodian's City: SANTA CLARA Is the custodian a related personal of the custodian is a broker-dead comparison. CRD Number (if any): If the custodian is not a broker identifier (if any) The private fund use an administ answer to question 26. (a) is "years."	codian: TOO. coffice responsible for custody of the State: California con of your firm? aler, provide its SEC registration num r-dealer, or is a broker-dealer but do	Country: United States aber (if any): es not have an SEC registration number, provide gh (f) below. If the <i>private fund</i> uses more than on	its legal entity

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

	<u>eters</u>	Yes N	d٠
28. (<i>ε</i>	a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?		(C)
·	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	, or	
	No Information Filed		
A. PRIV	YATE FUND		=
l nform	nation About the <i>Private Fund</i>		
1. (a	a) Name of the private fund:		
	CANAAN 2020+ CO-INVESTMENT SERIES 21 LP		
(b	o) <i>Private fund</i> identification number: (include the "805-" prefix also)		
	805-9669558618		
2. Uı	nder the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Delaware United States		
3. (a	a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
N	lame of General Partner, Manager, Trustee, or Director		
С	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
/1.	b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
(r			
	No Information Filed		_
	no Information Filed ne private fund (check all that apply; you must check at least one):		_
	ne <i>private fund</i> (check all that apply; you must check at least one):		_
4. Th	ne <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
4. Th	ne <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
4. Th	ne <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
4. Th	ne <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 st the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	Yes N	
4. Th	ne <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 st the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	Yes N	
4. Th	ne private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 st the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed		
4. Th	ne private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 st the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed a) Is this a "master fund" in a master-feeder arrangement?		
4. Th	ne private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 st the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed a) Is this a "master fund" in a master-feeder arrangement? b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		•

Private fund identification number:

Name of *private fund*:

(include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	า of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?		•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	Nc
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		•
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	te fun	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 7,300,000		
<u>Ov</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 2,333,100		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).)	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No.
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
17	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.		•
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	answ	er

No Information Filed

			Yes	No
19.	Are	your clients solicited to invest in the private fund?	0	\odot
	NO7	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
	0%			
Priv	/ate	<u>Offering</u>	Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any): No Information Filed		
		No illioillation riled		
B. S	ERVI(CE PROVIDERS		
Auc	ditors			
23	(2)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	_
23.		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditir	O na firm	⊙
		you must complete questions (b) through (f) separately for each auditing firm.	ig illilli	,
		No Information Filed		
			Yes	No
		Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
	(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified o	pinions	s?
		O Yes O No O Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is	; availa	ble.
Deli	D	walka w		
Prii	ne B	<u>roker</u>	Yes	No
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	0	•
		If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the	e <i>priva</i>	te
		fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
		No Information Filed		
Cus	todia	<u>an</u>		
0.5			Yes	No
25.		Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the private fund uses is the private fund uses is the private fund uses.	©	O
		uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	Tivale i	runu
		Additional Custodian Information : 2 Record(s) Filed.		
		If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the	nrivate	,
		fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	private	
		(b) Legal name of custodian:		
		BANC OF CALIFORNIA		
		(a) Primary business name of systedies:		
		(c) Primary business name of custodian: BANC OF CALIFORNIA		

	(d) The location of t	ne custodian's office	responsible for <i>custody</i> of the p	orivate fund's assets (city, state and country)	:			
	City:		State:	Country:				
	LOS ANGELES		California	United States				
					Yes No			
	(e) Is the custodian	a related person of y	our firm?		0 0			
	(f) If the custodian	is a broker-dealer, pi	rovide its SEC registration numl	per (if any):				
	- CDD Neverber (if							
	CRD Number (if a	iny):						
		is not a broker-deale	er, or is a broker-dealer but doe	es not have an SEC registration number, pro	vide its <i>legal entity</i>			
	identifier (if any)							
	If the answer to gue	stion 25.(a) is "ves.'	respond to guestions (b) throu	ugh g) below for each custodian the <i>private</i> i	fund uses. If the private			
			•	rough (g) separately for each custodian.	and deed in the private			
	(b) Legal name of cu							
	FIRST-CITIZENS	BANK & TRUST CO.						
	(c) Primary business	name of custodian						
		BANK & TRUST CO.						
	(d) The location of t	he custodian's office	responsible for <i>custody</i> of the p	orivate fund's assets (city, state and country)	:			
	City:		State:	Country:				
	SANTA CLARA		California	United States				
					Yes No			
	(e) Is the custodian	a related person of y	our firm?		0 0			
	(f) If the quetodian	is a broker dealer a	roulde its SEC registration numb	oor (if any)				
	(r) If the custodian	is a proker-dealer, pi	rovide its SEC registration numl	per (ir any):				
	CRD Number (if a	anv).						
		is not a broker-deale	er, or is a broker-dealer but doe	es not have an SEC registration number, pro	vide its <i>legal entity</i>			
	identifier (if any)							
<u>Admin</u>	<u>iistrator</u>				Voc No			
26 (a) Does the <i>private fund</i> (ise an administrator	other than your firm?		Yes No			
20. (u				n (f) below. If the <i>private fund</i> uses more tha	O O			
			eparately for each administrator		in one administrator, you			
	No Information Filed							
07 D.			6 hl					
	uring your last fiscal year our <i>related person</i> ?	, what percentage of	r the <i>private fund's</i> assets (by v	alue) was valued by a <i>person</i> , such as an ad	ministrator, that is not			
09								
		where (i) such <i>perso</i>	n carried out the valuation proc	edure established for that asset, if any, inclu	uding obtaining any			
re	levant quotes, and (ii) th	ne valuation used for	purposes of investor subscript	ons, redemptions or distributions, and fee ca				
all	locations) was the valuat	ion determined by s	uch <i>person</i> .					
N/a!	t a wa							
<u>Marke</u>	ters							

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund

uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Yes No

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	No Information Filed					
A. F	PRIVAT	E FUND		=		
Info	<u>ormat</u>	ion About the <i>Private Fund</i>				
1.	(a)	Name of the <i>private fund</i> :				
		CANAAN 2020+ CO-INVESTMENT SERIES 3 LP				
	` '	Private fund identification number:				
		(include the "805-" prefix also) 805-3584953676				
2.		er the laws of what state or country is the <i>private fund</i> organized:				
		State: Country: Delaware United States				
3.		Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):				
		me of General Partner, Manager, Trustee, or Director NAAN PARTNERS 2020+ CO-INVESTMENT LLC				
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		_		
		No Information Filed		_		
4.	Tho	private fund (check all that apply; you must check at least one):				
٦.		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940				
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940				
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.				
		No Information Filed				
			Yes N	o		
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	0 6	þ		
	(b)	If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?				
		No Information Filed				
			Vac N	_		
	(c)	Is this a "feeder fund" in a master-feeder arrangement?	Yes N			
		If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0 6	2		
		Name of private fund:				
		Private fund identification number:				
		(include the "805-" prefix also)				
		E: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section the master-feeder arrangement or reporting on the funds separately.	7.B.(1)			
7.	If yo	ou are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), fo	r each o	f		
	the	feeder funds answer the following questions:				
		No Information Filed				
		E: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially alets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it				

multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

8.	(a) Is this <i>private fund</i> a "fund of funds"?	\circ	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	e fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 14,700,000		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 12,499,994		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No C
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υοι	ır Advisory Services		C S No © nd:
	-	Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	\circ	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	answe	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	⊙
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering		
		Yes	No

21. Has the *private fund* ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

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22. If y	yes, provide the <i>private fund's</i> Form D file number (if any):	
	No Information Filed	
3. SERV	ICE PROVIDERS	
Auditor	<u>^s</u>	Yes No
23. (a)	(1) Are the private fund's financial statements subject to an annual audit?	0 0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0 0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm.	ing firm,
	No Information Filed	
		Yes No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0 0
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinions?
	C Yes C No C Report Not Yet Received	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is available.
<u>Prime l</u>	<u>Broker</u>	
		Yes No
24. (a)	Does the <i>private fund</i> use one or more prime brokers?	0 0
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the <i>private</i>
	No Information Filed	
Custod	<u>ian</u>	Yes No
25. (a)	Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?	• O
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	~ ~
	Additional Custodian Information : 2 Record(s) Filed.	
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:	e <i>private</i>
	BANC OF CALIFORNIA	
	(c) Primary business name of custodian: BANC OF CALIFORNIA	
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	
	City: State: Country: LOS ANGELES California United States	
	LOS ANGLLES Camornia Gritted States	Yes No
	(e) Is the custodian a <i>related person</i> of your firm?	0 0
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
	CRD Number (if any):	

	(g) If the custodian is not a broker-deal identifier (if any)			
	,	•	ugh g) below for each custodian the <i>private fund</i> uses. If the hrough (g) separately for each custodian.	e <i>private</i>
	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO.			
	(c) Primary business name of custodian FIRST-CITIZENS BANK & TRUST CO.	n:		
	(d) The location of the custodian's office	e responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City: SANTA CLARA	State: California	Country: United States	
	3,4417, 32,404	dinorma	omited states	Yes No
	(e) Is the custodian a related person of y	your firm?		0 0
	(f) If the custodian is a broker-dealer, p	provide its SEC registration num	ber (if any):	
	- CRD Number (if any):			
	(g) If the custodian is not a broker-deal identifier (if any)	ler, or is a broker-dealer but do	es not have an SEC registration number, provide its <i>legal en</i>	ntity
min				
(a)	Does the <i>private fund</i> use an administrator If the answer to question 26.(a) is "yes," remust complete questions (b) through (f) s	respond to questions (b) throug	nh (f) below. If the <i>private fund</i> uses more than one administ r.	0
(a)	Does the <i>private fund</i> use an administrator If the answer to question 26.(a) is "yes," r	respond to questions (b) throug	r.	0
Du	Does the <i>private fund</i> use an administrator If the answer to question 26.(a) is "yes," must complete questions (b) through (f) s	respond to questions (b) throug separately for each administrato No Informa	r.	rator, you
Du yo 0% In-	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," in must complete questions (b) through (f) so through (graph of the private of the	respond to questions (b) through respond to questions (b) through reparately for each administrator No Information of the private fund's assets (by von carried out the valuation propring purposes of investor subscrip	tion Filed	crator, you
Du yo 0% Increall	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," in must complete questions (b) through (f) suring your last fiscal year, what percentage of the cour <i>related person</i> ? Clude only those assets where (i) such <i>perso</i> devant quotes, and (ii) the valuation used for	respond to questions (b) through respond to questions (b) through reparately for each administrator No Information of the private fund's assets (by von carried out the valuation propring purposes of investor subscrip	tion Filed value) was valued by a <i>person</i> , such as an administrator, that cedure established for that asset, if any, including obtaining	crator, you
Du yo 0% In- re all	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," in must complete questions (b) through (f) suring your last fiscal year, what percentage of the ur related person? Clude only those assets where (i) such person (ii) the valuation used for ocations) was the valuation determined by setters	respond to questions (b) through respond to questions (b) through reparately for each administrator No Information of the <i>private fund's</i> assets (by vote of the private out the valuation proof purposes of investor subscriptions such <i>person</i> .	tion Filed value) was valued by a <i>person</i> , such as an administrator, that cedure established for that asset, if any, including obtaining tions, redemptions or distributions, and fee calculations (incl	crator, you
Du yo 0% In- re all	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," in must complete questions (b) through (f) so the person? If the answer to questions (b) through (f) so the person? Clude only those assets where (i) such person (ii) the valuation used for ocations) was the valuation determined by so the private fund use the services of so the private fund use the services of so you must answer "yes" whether the person (iii) the answer to question 25 to 35 to 36 to	respond to questions (b) through respond to questions (b) through reparately for each administrator. No Information of the private fund's assets (by von carried out the valuation propress of investor subscript such person. Someone other than you or you on acts as a placement agent, consequences are provided to questions.	tion Filed value) was valued by a <i>person</i> , such as an administrator, that cedure established for that asset, if any, including obtaining tions, redemptions or distributions, and fee calculations (incl	erator, you any luding Yes for or
Du yo 0% In- re all	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," in must complete questions (b) through (f) so the person? If the answer to questions (b) through (f) so the person? Clude only those assets where (i) such person (ii) the valuation used for ocations) was the valuation determined by so the private fund use the services of so the private fund use the services of so you must answer "yes" whether the person (iii) the answer to question 25 to 35 to 36 to	respond to questions (b) through respond to questions (b) through reparately for each administrator. No Information of the private fund's assets (by von carried out the valuation propress of investor subscript such person. Someone other than you or you on acts as a placement agent, consequences are provided to questions.	tion Filed value) was valued by a <i>person</i> , such as an administrator, the cedure established for that asset, if any, including obtaining tions, redemptions or distributions, and fee calculations (including purposes? consultant, finder, introducer, municipal advisor or other solicitions (b) through (g) below for each such marketer the <i>priva</i> equestions (b) through (g) separately for each marketer.	erator, you any luding Yes for or
Du yo 0% In re all	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," in must complete questions (b) through (f) so the person? If the answer to questions (b) through (f) so the person? Clude only those assets where (i) such person (ii) the valuation used for ocations) was the valuation determined by so the private fund use the services of so the private fund use the services of so you must answer "yes" whether the person (iii) the answer to question 25 to 35 to 36 to	respond to questions (b) through respond to questions (b) through reparately for each administrator. No Information of the private fund's assets (by vote of the private fund's assets	tion Filed value) was valued by a <i>person</i> , such as an administrator, the cedure established for that asset, if any, including obtaining tions, redemptions or distributions, and fee calculations (including purposes? consultant, finder, introducer, municipal advisor or other solicitions (b) through (g) below for each such marketer the <i>priva</i> equestions (b) through (g) separately for each marketer.	erator, you any luding Yes I

A. P	RIVATE FUND	
Info	ormation About the <i>Private Fund</i>	
1.	 (a) Name of the private fund: CANAAN 2020+ CO-INVESTMENT SERIES 4 LP (b) Private fund identification number: (include the "805-" prefix also) 	
	805-2859963875	
2.	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country:	
	Delaware United States	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director	
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC	
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
	No Information Filed	
4.	The <i>private fund</i> (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (c	
	 ✓ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment company Act of 1940 ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 	
	(e) quantities are an area area area area area area ar	
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	No Information Filed	
	Yes	s No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	
	No Information Filed	
	Yes	s No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	_
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	
	Name of private fund:	
	Private fund identification number: (include the "805-" prefix also)	
	(include the 005- prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B. for the master-feeder arrangement or reporting on the funds separately.	(1)
	To the master-recuer arrangement or reporting on the runus separatery.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each the feeder funds answer the following questions:	ch of
	N 1 6 5" 1	
	No Information Filed	
	NOTE E	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of t assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issues	
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
	Vo	s No

NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment

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8. (a) Is this *private fund* a "fund of funds"?

	venicies, regardless of whether they are also <i>private runds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	ate fun	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 1,400,000		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 7,900,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in th organizational documents of the fund).	Э	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 1		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	~	•
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	⊙
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Pr</u> iv	vate Offering		
		Yes	No
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	⊙

22. If yes, provide the *private fund's* Form D file number (if any):

	No Information Filed		
. SERV	ICE PROVIDERS		
Audito	<u>rs</u>		
23. (a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	No ②
. ,	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audyou must complete questions (b) through (f) separately for each auditing firm.	liting firm,	
	No Information Filed		
		Yes	No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualifie	d opinions	?
	C Yes C No C Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repo	rt is availal	ıle.
Prime	<u>Broker</u>	Yes	No
24. (a)	Does the <i>private fund</i> use one or more prime brokers?	0	•
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. I <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the <i>priva</i>	te
	No Information Filed		
Custod		Yes	No
25. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	⊙ e <i>private f</i>	O Tuna
	Additional Custodian Information : 2 Record(s) Filed.		
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	he <i>private</i>	
	(b) Legal name of custodian: BANC OF CALIFORNIA		
	(c) Primary business name of custodian: BANC OF CALIFORNIA		
	(d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):		
	City: State: Country: LOS ANGELES California United States		
	EOS ANGELES Camornia Office States	Yes N	О
	(e) Is the custodian a related person of your firm?	0 6	ð
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):		
	- CRD Number (if any):		
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal	entitv	
	U. S. C.	· - <i>)</i>	

11			gh g) below for each custodian the <i>private func</i> rough (g) separately for each custodian.	duses. If the <i>private</i>
		you must complete questions (b) th	ough (g) separately for each custodian.	
(b)) Legal name of custodian: FIRST-CITIZENS BANK & TRUST (CO.		
(c)	Primary business name of custo FIRST-CITIZENS BANK & TRUST			
(d)			rivate fund's assets (city, state and country):	
	City: SANTA CLARA	State: California	Country: United States	
(0)) Is the custodian a <i>related perso</i>	n of your firm?		Yes N
(e)	y is the custodian a related person	n or your min:		0 6
(f)	If the custodian is a broker-deal	ler, provide its SEC registration numb	er (if any):	
	- CRD Number (if any):			
(g)) If the custodian is not a broker- identifier (if any)	-dealer, or is a broker-dealer but doe	s not have an SEC registration number, provide	e its <i>legal entity</i>
<u>iinistrato</u>	<u>or</u>			
				Yes
	s the <i>private fund</i> use an administr	-		0
If the	e answer to question 26.(a) is "ye	-	(f) below. If the <i>private fund</i> uses more than o	0
If the	e answer to question 26.(a) is "ye	es," respond to questions (b) through		~
If the	e answer to question 26.(a) is "ye	es," respond to questions (b) through (f) separately for each administrator.		0
If the must During your rela	e answer to question 26.(a) is "yet complete questions (b) through	es," respond to questions (b) through (f) separately for each administrator. No Informat		one administrator, y
During your rela O% Include or	e answer to question 26.(a) is "yest complete questions (b) through our last fiscal year, what percentanted person?	es," respond to questions (b) through (f) separately for each administrator. No Informat age of the <i>private fund's</i> assets (by value of the private out the valuation proceed for purposes of investor subscriptions.	on Filed	one administrator, you
During your rela O% Include or	e answer to question 26.(a) is "yest complete questions (b) through our last fiscal year, what percentanted person? Only those assets where (i) such a quotes, and (ii) the valuation use	es," respond to questions (b) through (f) separately for each administrator. No Informat age of the <i>private fund's</i> assets (by value of the private out the valuation proceed for purposes of investor subscriptions.	on Filed Ilue) was valued by a <i>person</i> , such as an admined and the second sec	one administrator, you
During your rela O% Include of relevant allocation	e answer to question 26.(a) is "yest complete questions (b) through our last fiscal year, what percentanted person? Conly those assets where (i) such percentanted quotes, and (ii) the valuation used ins) was the valuation determined	es," respond to questions (b) through (f) separately for each administrator. No Informat age of the <i>private fund's</i> assets (by value of the private out the valuation proceed for purposes of investor subscriptions.	on Filed alue) was valued by a <i>person</i> , such as an adminated additional content of the stablished for that asset, if any, including ons, redemptions or distributions, and fee calculations.	one administrator, you
During you rela O% Include of relevant allocation keters (a) Does You is simila	e answer to question 26.(a) is "yest complete questions (b) through our last fiscal year, what percentanted person? Only those assets where (i) such particles and (ii) the valuation used in the private fund use the services must answer "yes" whether the particles ar person. If the answer to question	es," respond to questions (b) through (f) separately for each administrator. No Informat age of the <i>private fund's</i> assets (by value for purposes of investor subscription by such <i>person</i> . Sof someone other than you or your person acts as a placement agent, co on 28.(a) is "yes," respond to question.	on Filed alue) was valued by a <i>person</i> , such as an adminated additional content of the stablished for that asset, if any, including ons, redemptions or distributions, and fee calculations.	one administrator, you nistrator, that is not any obtaining any lations (including Yes or other solicitor, or ter the private fund
During you rela O% Include of relevant allocation keters (a) Does You is simila	e answer to question 26.(a) is "yest complete questions (b) through our last fiscal year, what percentanted person? Only those assets where (i) such particles and (ii) the valuation used in the private fund use the services must answer "yes" whether the particles ar person. If the answer to question	es," respond to questions (b) through (f) separately for each administrator. No Informat age of the <i>private fund's</i> assets (by value for purposes of investor subscription by such <i>person</i> . Sof someone other than you or your person acts as a placement agent, co on 28.(a) is "yes," respond to question.	on Filed alue) was valued by a <i>person</i> , such as an admirated established for that asset, if any, including ons, redemptions or distributions, and fee calculated employees for marketing purposes? Insultant, finder, introducer, municipal advisor of the constant of the	one administrator, you nistrator, that is not any obtaining any lations (including Yes or other solicitor, or ter the private fund

A. PRIVA

Information About the Private Fund

1. (a) Name of the private fund:

	(include the "805-" prefix also) 805-6791902629		
2	Under the laws of what state or country is the <i>private fund</i> organized:		
۷.	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
	No information riled		
4.	The private fund (check all that apply; you must check at least one):		
	[(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
,	(a) In this a "manator found" in a manator fooder arrangement?	Yes	
5.	(a) Is this a "master fund" in a master-feeder arrangement?(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>?	0	•
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	\circ	⊙
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	d
3.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	ivo ⊙
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	_	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
			_
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		

O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund:

(b) Private fund identification number:

	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	1. Current gross asset value of the <i>private fund</i> : \$ 12,700,000		
<u>Dw</u>	wnership		
12.	2. Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 6,800,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount organizational documents of the fund).	set forth in the	
13.	3. Approximate number of the <i>private fund's</i> beneficial owners:2		
14.	4. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	5. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investigation Company Act of 1940, are sales of the fund limited to qualified clients?	stment O	0
16.	6. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>/o</u> .	our Advisory Services	Yes	No
17.	7. (a) Are you a subadviser to this <i>private fund</i> ?	0	·
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund question 17.(a) is "no," leave this question blank.	. If the answer to	
	No Information Filed		
		Yes	No
18.	3. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	\sim	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>priva</i> to question 18.(a) is "no," leave this question blank.	ate fund. If the answe	er
	No Information Filed		
		Yes	No
19.	9. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	O. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Priv	rivate Offering		
21.	1. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of	Yes 1933? C	No ⊙
22.	2. If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
. SI	SERVICE PROVIDERS		
<u>\u</u> c	<u>uditors</u>		
		Yes	No
23	3. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	_	~

(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?

	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one you must complete questions (b) through (f) separately for each auditing firm.	auditing firm,
	No Information Filed	
		Yes No
(g	g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0 0
(h	h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqua	lified opinions?
	C Yes C No C Report Not Yet Received	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the i	eport is available
<u>Prime</u>	e Broker	
24. (a	a) Does the <i>private fund</i> use one or more prime brokers?	Yes No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	~ ~
	No Information Filed	
Custs		
Custoo	<u>odian</u>	Yes N
25. (a	a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	⊙ Clif the <i>private fund</i>
	Additional Custodian Information : 2 Record(s) Filed.	
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA	If the <i>private</i>
	(c) Primary business name of custodian: BANC OF CALIFORNIA	
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country:	
	LOS ANGELES California United States	Yes No
	(e) Is the custodian a <i>related person</i> of your firm?	0 0
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
	- CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its le identifier (if any)	egal entity
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	If the <i>private</i>
	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO.	

	(c)	FIRST-CITIZENS BANK & TRUST CO.	1:		
	(d)	The location of the custodian's offic	e responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
		City:	State:	Country:	
		SANTA CLARA	California	United States	Yes No
	(e)	Is the custodian a related person of	your firm?		O ©
	(†)	If the custodian is a broker-dealer,	provide its SEC registration num	oer (if any):	
		CRD Number (if any):			
	(g)	If the custodian is not a broker-dea identifier (if any)	ler, or is a broker-dealer but do	es not have an SEC registration number, provid	le its <i>legal entity</i>
<u>Admin</u>	<u>istrato</u>	<u>r</u>			Yes No
26. (a)) Does	the <i>private fund</i> use an administrato	r other than your firm?		res No
		e answer to question 26.(a) is "yes," complete questions (b) through (f) s	_	h (f) below. If the <i>private fund</i> uses more than	
			No Informa	tion Filed	
yo 0% In re	our <i>relat</i> % clude o levant	nely those assets where (i) such pers	on carried out the valuation produce or purposes of investor subscript	alue) was valued by a <i>person</i> , such as an admi redure established for that asset, if any, includi ions, redemptions or distributions, and fee calc	ng obtaining any
Marke	<u>ters</u>				
28. (a) Does	the <i>private fund</i> use the services of :	someone other than you or your	employees for marketing nurnoses?	Yes No
20. (a _.	You r	must answer "yes" whether the <i>perso</i> ar <i>person</i> . If the answer to question 2	on acts as a placement agent, co 8.(a) is "yes," respond to quest	onsultant, finder, introducer, municipal advisor ons (b) through (g) below for each such market questions (b) through (g) separately for each in	eter the <i>private fund</i>
			No Informa	tion Filed	
A. PRIV	ATE FUI	ND			
Informa	ation A	bout the <i>Private Fund</i>			
1. (a)) Name	e of the <i>private fund</i> :			
/I- `		AAN 2020+ CO-INVESTMENT SERIES 7	'LP		
(b _.	(inclu	te fund identification number: ude the "805-" prefix also) 2580288209			
2. Ur	nder the	e laws of what state or country is the	e <i>private fund</i> organized:		
	State		Country:		
	Dela	ware	United States		
3. (a)) Name	e(s) of General Partner, Manager, Tru	stee, or Directors (or <i>persons</i> se	rving in a similar capacity):	

Name of CANAAN	PARTNERS 2020+ CO-INVESTMENT LLC	
(b) If fill	ng an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed	
(1)	ate fund (check all that apply; you must check at least one): qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
List the	name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
	No Information Filed	
		Yes I
	is a "master fund" in a master-feeder arrangement?	0
(b) If ye	es, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	
	No illioillation rilea	
		Yes I
(c) Is th	is a "feeder fund" in a master-feeder arrangement?	No Information Filed Yes No I" in a master-feeder arrangement? The me and private fund identification number (if any) of the master fund in which this private fund invests?
	es, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? e of <i>private fund</i> :	
	ate fund identification number: ude the "805-" prefix also)	
for the r	ou must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 haster-feeder arrangement or reporting on the funds separately. e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	
for the r		
for the r	naster-feeder arrangement or reporting on the funds separately. e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	
If you are the feed NOTE: Fassets in	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all or a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	each of the ssued
If you ar the feed NOTE: F assets in multiple	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all or a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	each of the sued
NOTE: F assets in multiple (a) Is the NOTE: F	naster-feeder arrangement or reporting on the funds separately. e filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all or a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	each of the ssued
NOTE: F assets in multiple (a) Is the NOTE: F vehicles	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all or a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. It is private fund a "fund of funds"? Or purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	each of the sued
NOTE: F assets in multiple (a) Is the NOTE: F vehicles (b) If year	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed Proper purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. It is private fund a "fund of funds"? Or purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. It is, does the private fund invest in funds managed by you or by a related person?	each of the sued
NOTE: F assets in multiple (a) Is the NOTE: F vehicles (b) If year	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all or a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Disprivate fund a "fund of funds"? or purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. In the fund invest in funds managed by you or by a related person?	each of the sued
NOTE: F assets in multiple (a) Is the NOTE: F vehicles (b) If years During y Company	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed No Information Filed The purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. It private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. It is, does the private fund invest in funds managed by you or by a related person?	each of the sued of Yes I
NOTE: F assets in multiple (a) Is the NOTE: F vehicles (b) If years During y Company What type	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed The purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Sis private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. Section 7.B.(1), for expression of the instruction of t	each of the sued
NOTE: Fassets in multiple (a) Is the NOTE: For vehicles (b) If year of the property of the pr	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for er funds answer the following questions: No Information Filed The purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. The purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. The private fund invest in funds managed by you or by a related person? The purpose of this question only, answer "yes" if the fund investment companies registered under the Investment of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	each of the sued

12. Minimum investment commitment required of an investor in the *private fund*:

		TE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the anizational documents of the fund).		
13.	App 25	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No C
16.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ac	dvisory Services	Yes	No
17.	(a)	Are you a subadviser to this <i>private fund</i> ?		•
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i> If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the atto question 18.(a) is "no," leave this question blank.		⊙ er
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund?</i>	0	⊙
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 0%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	/ate	Offering		
21.	Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes O	No ⊙
22.	If y	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. S	ERVI	CE PROVIDERS		
Aud	litor	<u>s</u>		
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	NO.
	` /	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	firm,	
		No Information Filed		
	<i>(</i>		Yes	
	(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	0

\$ 6,000,000

(h)	Do all of the reports prepared by the au	uditing firm for the <i>private fund</i> sir	ce your last <i>annual updating amendment</i> contain ur	iqualified opinions?
	C Yes C No C Report Not Yet Receiv	ved		
	If you check "Report Not Yet Received," y	you must promptly file an amendm	ent to your Form ADV to update your response when t	he report is available.
Prime l	Broker			
111110	<u>5. 0.00.</u>			Yes No
24. (a)	Does the <i>private fund</i> use one or more	prime brokers?		0 0
		•	gh (e) below for each prime broker the <i>private fund</i>	uses. If the private
	runa uses more than one prime broker,	you must complete questions (b)	through (e) separately for each prime broker.	
		No Inform	ation Filed	
Custod	ion			
Custoa	<u>ian</u>			Yes No
25. (a)	Does the private fund use any custodian	ns (including the prime brokers lis	ted above) to hold some or all of its assets?	• c
	If the answer to question 25.(a) is "yes uses more than one custodian, you mu	•	gh (g) below for each custodian the <i>private fund</i> usn (g) separately for each custodian.	es. If the <i>private fund</i>
	Additional Custodian Information : 3	Record(s) Filed.		
	,	•	ough g) below for each custodian the <i>private fund</i> uthrough (g) separately for each custodian.	ises. If the private
	(b) Legal name of custodian: BANC OF CALIFORNIA			
	(c) Primary business name of custod	lian:		
	BANC OF CALIFORNIA	iuii.		
	(d) The location of the custodian's of	ffice responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City: LOS ANGELES	State: California	Country: United States	
	LOS ANGELES	California	United States	Yes No
	(e) Is the custodian a related person	of your firm?		0 0
	(f) If the quetodian is a hydron deale	ar provide its CEC manietration and		
	(f) If the custodian is a broker-deale	r, provide its SEC registration nur	nber (ir any):	
	CRD Number (if any):			
	(g) If the custodian is not a broker-c	lealer, or is a broker-dealer but d	oes not have an SEC registration number, provide i	ts legal entity
	, , , , ,		ough g) below for each custodian the <i>private fund</i> u through (g) separately for each custodian.	ses. If the <i>private</i>
	(b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST C	O.		
	(c) Primary business name of custod FIRST-CITIZENS BANK & TRUST C			
	(d) The location of the custodian's of	ffice responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City: SANTA CLARA	State: California	Country: United States	
	S OE W.	od.ii oi i iid	Simod States	Yes No
	(e) Is the custodian a related person	of your firm?		0 0

	(f) If the custodian is a broker-dealer, provide its SEC registra	ation number (if any):
	CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-deal identifier (if any)	er but does not have an SEC registration number, provide its <i>legal entity</i>
	If the answer to question 25.(a) is "yes," respond to questions fund uses more than one custodian, you must complete questions.	s (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ions (b) through (g) separately for each custodian.
	(b) Legal name of custodian: UBS FINANCIAL SERVICES INC.	
	(c) Primary business name of custodian: UBS FINANCIAL SERVICES INC.	
	(d) The location of the custodian's office responsible for custo	dy of the private fund's assets (city, state and country):
	City: State: BOSTON Massachusetts	Country: United States
	DOSTON	Yes No
	(e) Is the custodian a related person of your firm?	○ ●
	(f) If the custodian is a broker-dealer, provide its SEC registra	ation number (if any):
	8 - 16267 CRD Number (if any):	
	8174	
	(g) If the custodian is not a broker-dealer, or is a broker-deal identifier (if any)	er but does not have an SEC registration number, provide its <i>legal entity</i>
<u>Admin</u>	<u>Iministrator</u>	Yes N
26. (a)	. (a) Does the <i>private fund</i> use an administrator other than your firm?	
	If the answer to question 26.(a) is "yes," respond to questions (must complete questions (b) through (f) separately for each administration.	b) through (f) below. If the <i>private fund</i> uses more than one administrator, you
	No	o Information Filed
yo 0% In rel	your <i>related person</i> ? 0% Include only those assets where (i) such <i>person</i> carried out the valu	sets (by value) was valued by a <i>person</i> , such as an administrator, that is not ation procedure established for that asset, if any, including obtaining any subscriptions, redemptions or distributions, and fee calculations (including
<u>Marke</u>	arketers	
28. (a	. (a) Does the <i>private fund</i> use the services of someone other than yo	Yes Nou or your <i>employees</i> for marketing purposes?
	You must answer "yes" whether the <i>person</i> acts as a placement similar <i>person</i> . If the answer to question 28.(a) is "yes," respond	agent, consultant, finder, introducer, municipal advisor or other solicitor, or to questions (b) through (g) below for each such marketer the <i>private fund</i> complete questions (b) through (g) separately for each marketer.
		o Information Filed

1.	1. (a) Name of the <i>private fund</i> :			
	CANAAN 2020+ CO-INVESTMENT SERIES 8 LP			
	(b) Private fund identification number:(include the "805-" prefix also)			
	805-9515307746			
	003-73 13307740			
2.	2. Under the laws of what state or country is the <i>private fund</i>	organized:		
	State:	Country:		
	Delaware	United States		
3.		tors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director			
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC			
	(b) If filing an umbrella registration, identify the filing advises	and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		_
		No Information Filed		
4.	4. The private fund (check all that apply; you must check at lea	st one):		
	\square (1) qualifies for the exclusion from the definition of inve	stment company under section 3(c)(1) of the Investment Company Act of 1940		
	lackii (2) qualifies for the exclusion from the definition of inve	stment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	 List the name and country, in English, of each foreign finance 	ial regulatory authority with which the private fund is registered.		_
		No Information Filed		
		Ye	es N	lo
6.	6. (a) Is this a "master fund" in a master-feeder arrangemen	?	0 (⊙
	(b) If yes, what is the name and <i>private fund</i> identification	number (if any) of the feeder funds investing in this private fund?		
		No Information Filed		
			es N	lo
	(c) Is this a "feeder fund" in a master-feeder arrangement	?	0 (⊙
		number (if any) of the master fund in which this private fund invests?		
	Name of <i>private fund</i> :			
	Private fund identification number: (include the "805-" prefix also)			
	(melade the coo prent disc)			
	NOTE: You must complete question 6 for each master-feed	er arrangement regardless of whether you are filing a single Schedule D, Section 7.E	3.(1)	
	for the master-feeder arrangement or reporting on the fun-	ds separately.		
7.		aster-feeder arrangement according to the instructions to this Section 7.B.(1), for each	ach c)f
	the feeder funds answer the following questions:			
		No Information Filed		
	· · ·	er arrangement, one or more funds ("feeder funds") invest all or substantially all of		r
		be a "feeder fund" investing in a "master fund" for purposes of this question if it issuctions class (or series) invests substantially all of its assets in a single master fund.	ued	
	s.ttp.e e.aeeee (or series) or shares or interests, and each		es N	10
8.	3. (a) Is this <i>private fund</i> a "fund of funds"?		_	
		ne fund invests 10 percent or more of its total assets in other pooled investment	, ,	ال
	vehicles, regardless of whether they are also <i>private funds</i>			
	(b) If yes, does the private fund invest in funds managed by	y you or by a related person?	0 (0

Information About the Private Fund

9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	ate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 1,000,000		
<u>Ow</u>	nership_		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 4,500,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in thorganizational documents of the fund).	ie	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services	Vaa	Na
17.	(a) Are you a subadviser to this <i>private fund</i> ?	res	No ⊙
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	~	٠
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	ver
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	⊙
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Pri</u>	vate Offering	Vos	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	O	⊙
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed	_	

<u>rs</u>		Yes
(1) Are the <i>private fund's</i> financial statem		0
•	s "yes," are the financial statements prepared in accordance with U.S. GAAP?	O
you must complete questions (b) through	yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more the (f) separately for each auditing firm.	than one auditing firm,
	No Information Filed	
Are the <i>private fund's</i> audited financial st	tatements for the most recently completed fiscal year distributed to the <i>private</i>	Yes le fund's
investors?	diting firm for the private fundaines your last appual undating amandment cents	nin unqualified oninions?
C Yes C No C Report Not Yet Receive	diting firm for the <i>private fund</i> since your last annual updating amendment conta	ain unquaiiiled opinions?
·	eu ou must promptly file an amendment to your Form ADV to update your response w	when the report is availab
<u>Broker</u>		V
Does the <i>private fund</i> use one or more private fund use one or more private fund use one or more private.	orime brokers?	Yes I
If the answer to question 24.(a) is "yes,	" respond to questions (b) through (e) below for each prime broker the <i>private</i> you must complete questions (b) through (e) separately for each prime broker.	e fund uses. If the private
	No Information Filed	
<u>ian</u>		Vas
Does the <i>private fund</i> use any custodians	s (including the prime brokers listed above) to hold some or all of its assets? " respond to questions (b) through (g) below for each custodian the <i>private fu</i>	Yes □ ⊙ <i>Ind</i> uses. If the <i>private fu</i>
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must	" respond to questions (b) through (g) below for each custodian the <i>private fu</i> t complete questions (b) through (g) separately for each custodian.	•
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes,"	" respond to questions (b) through (g) below for each custodian the <i>private fu</i> t complete questions (b) through (g) separately for each custodian.	•
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," uses more than one custodian, you must Additional Custodian Information: 2 For the custodian is "yes," If the answer to question 25.(a) is "yes,"	" respond to questions (b) through (g) below for each custodian the <i>private fu</i> t complete questions (b) through (g) separately for each custodian.	• Ind uses. If the private fu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes," uses more than one custodian, you must Additional Custodian Information: 2 For the custodian is "yes," If the answer to question 25.(a) is "yes,"	"respond to questions (b) through (g) below for each custodian the <i>private fu</i> it complete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the <i>private fu</i>	• Ind uses. If the private fu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25.(a) is "yes fund uses more than one custodian, you have the custodian of custodian:	"respond to questions (b) through (g) below for each custodian the private full tomplete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the private for must complete questions (b) through (g) separately for each custodian.	• Ind uses. If the private fu
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25.(a) is "yes fund uses more than one custodian, you will be considered as the custodian: BANC OF CALIFORNIA (c) Primary business name of custodian BANC OF CALIFORNIA	"respond to questions (b) through (g) below for each custodian the private full tomplete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the private for must complete questions (b) through (g) separately for each custodian.	fund uses. If the private fund uses. If the private
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25.(a) is "yes fund uses more than one custodian, you will be considered as a second of the custodian: BANC OF CALIFORNIA (c) Primary business name of custodian BANC OF CALIFORNIA (d) The location of the custodian's officity:	"respond to questions (b) through (g) below for each custodian the <i>private fust</i> complete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the <i>private four must</i> complete questions (b) through (g) separately for each custodian. an: ice responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country) State: Country:	fund uses. If the private fund uses. If the private
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25.(a) is "yes fund uses more than one custodian, you fund uses more than one custodian: BANC OF CALIFORNIA (c) Primary business name of custodian BANC OF CALIFORNIA	"respond to questions (b) through (g) below for each custodian the <i>private fu</i> at complete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the <i>private for the private fund's assets (city, state and country).</i>	fund uses. If the private fund uses. If the private
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25.(a) is "yes fund uses more than one custodian, you will be considered as a second of the custodian: BANC OF CALIFORNIA (c) Primary business name of custodian BANC OF CALIFORNIA (d) The location of the custodian's officity:	respond to questions (b) through (g) below for each custodian the private function complete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the private for our must complete questions (b) through (g) separately for each custodian. an: ice responsible for custody of the private fund's assets (city, state and country) State: Country: California United States	fund uses. If the private fund uses. If the private
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25.(a) is "yes fund uses more than one custodian, you will be fund uses more than one custodian: BANC OF CALIFORNIA (c) Primary business name of custodian BANC OF CALIFORNIA (d) The location of the custodian's officity: LOS ANGELES (e) Is the custodian a <i>related person</i> of the custodian and the custodian are custodian and the custodian	respond to questions (b) through (g) below for each custodian the private function complete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the private for our must complete questions (b) through (g) separately for each custodian. an: ice responsible for custody of the private fund's assets (city, state and country) State: Country: California United States	fund uses. If the private fund uses. If the private Yes No
Does the <i>private fund</i> use any custodians If the answer to question 25.(a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25.(a) is "yes fund uses more than one custodian, you will be fund uses more than one custodian: BANC OF CALIFORNIA (c) Primary business name of custodian BANC OF CALIFORNIA (d) The location of the custodian's officity: LOS ANGELES (e) Is the custodian a <i>related person</i> of the custodian and the custodian are custodian and the custodian	"respond to questions (b) through (g) below for each custodian the private function to complete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the private for unust complete questions (b) through (g) separately for each custodian. an: ice responsible for custody of the private fund's assets (city, state and country) State: Country: California United States	fund uses. If the private fund uses. If the private Yes No
Does the <i>private fund</i> use any custodians If the answer to question 25. (a) is "yes, uses more than one custodian, you must Additional Custodian Information: 2 F If the answer to question 25. (a) is "ye fund uses more than one custodian, you was more than one custodian: BANC OF CALIFORNIA (c) Primary business name of custodian BANC OF CALIFORNIA (d) The location of the custodian's officity: LOS ANGELES (e) Is the custodian a related person of the custodian is a broker-dealer, compared to the custodian is a broker-	"respond to questions (b) through (g) below for each custodian the private function to complete questions (b) through (g) separately for each custodian. Record(s) Filed. es," respond to questions (b) through g) below for each custodian the private for unust complete questions (b) through (g) separately for each custodian. an: ice responsible for custody of the private fund's assets (city, state and country) State: Country: California United States	fund uses. If the private fund uses. If the private Yes No

If the answer to question 25.(a) is "yes," respond to questions (b) through q) below for each custodian the *private fund* uses. If the *private*

	fund uses more than one custodiar			
((b) Legal name of custodian: FIRST-CITIZENS BANK & TRUST	гсо.		
((c) Primary business name of cust FIRST-CITIZENS BANK & TRUST			
	(d) The location of the custodian's	s office responsible for <i>custody</i> of the	e private fund's assets (city, state and country	y):
	City: SANTA CLARA	State: California	Country: United States	
		dinornia	officed States	Yes No
((e) Is the custodian a related pers	con of your firm?		○ ⊙
((f) If the custodian is a broker-dea	aler, provide its SEC registration nur	mber (if any):	
	CRD Number (if any):			
((g) If the custodian is not a broke identifier (if any)	r-dealer, or is a broker-dealer but d	oes not have an SEC registration number, pr	ovide its <i>legal entity</i>
	es the <i>private fund</i> use an adminis	•	gh (f) below. If the <i>private fund</i> uses more th	0 (
(a) Do	es the <i>private fund</i> use an adminis the answer to question 26.(a) is "y	•	or.	0 (
(a) Doo	tes the <i>private fund</i> use an adminis the answer to question 26.(a) is "y ust complete questions (b) through	yes," respond to questions (b) throun (f) separately for each administrate No Inform	ation Filed	han one administrator, you
(a) Doo	tes the <i>private fund</i> use an adminis the answer to question 26.(a) is "y ust complete questions (b) through	yes," respond to questions (b) throun (f) separately for each administrate No Inform	or.	han one administrator, you
(a) Doo If t mu During your <i>re</i> 0% Include relevar	the answer to question 26.(a) is "yust complete questions (b) through your last fiscal year, what percentelated person?	yes," respond to questions (b) thround (f) separately for each administrate No Inform No Inform Itage of the private fund's assets (by person carried out the valuation proped for purposes of investor subscriptions.	ation Filed	han one administrator, you administrator, that is not cluding obtaining any
(a) Doo If t mu During your <i>re</i> 0% Include relevar	the answer to question 26.(a) is "yust complete questions (b) through your last fiscal year, what percentated person? e only those assets where (i) such at quotes, and (ii) the valuation use.	yes," respond to questions (b) thround (f) separately for each administrate No Inform No Inform Itage of the private fund's assets (by person carried out the valuation proped for purposes of investor subscriptions.	ation Filed value) was valued by a <i>person</i> , such as an a	han one administrator, you administrator, that is not cluding obtaining any calculations (including
During your re 0% Include relevar allocati	the answer to question 26.(a) is "yust complete questions (b) through your last fiscal year, what percentelated person? e only those assets where (i) such that quotes, and (ii) the valuation usions) was the valuation determine	yes," respond to questions (b) thround (f) separately for each administrate No Inform No Inform Intage of the private fund's assets (by a person carried out the valuation property of purposes of investor subscripted by such person.	ation Filed value) was valued by a <i>person</i> , such as an a	han one administrator, you administrator, that is not cluding obtaining any calculations (including
(a) Doo If to mu During your re 0% Include relevan allocation keters (a) Door your sim	the answer to question 26.(a) is "your last fiscal year, what percentelated person? e only those assets where (i) such that quotes, and (ii) the valuation usions) was the valuation determine the private fund use the service using the person. If the answer to question to the person. If the answer to question to the person. If the answer to question to the person.	yes," respond to questions (b) through (f) separately for each administrate. No Inform Itage of the <i>private fund's</i> assets (by a person carried out the valuation proped for purposes of investor subscripted by such person. The set of someone other than you or you a person acts as a placement agent, of tion 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent.	ation Filed value) was valued by a <i>person</i> , such as an a	han one administrator, you administrator, that is not cluding obtaining any calculations (including Yes Notes or other solicitor, or parketer the private fund
(a) Doo If to mu During your re 0% Include relevan allocation keters (a) Door your sim	the answer to question 26.(a) is "your last fiscal year, what percentelated person? e only those assets where (i) such that quotes, and (ii) the valuation usions) was the valuation determine the private fund use the service using the person. If the answer to question to the person. If the answer to question to the person. If the answer to question to the person.	yes," respond to questions (b) through (f) separately for each administrate. No Inform Itage of the <i>private fund's</i> assets (by a person carried out the valuation proped for purposes of investor subscripted by such person. The set of someone other than you or you a person acts as a placement agent, of tion 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent, of the person acts are person acts as a placement agent.	ation Filed value) was valued by a <i>person</i> , such as an a ocedure established for that asset, if any, incomparison, redemptions or distributions, and fee our <i>employees</i> for marketing purposes? consultant, finder, introducer, municipal advisations (b) through (g) below for each such me e questions (b) through (g) separately for each	han one administrator, you administrator, that is not cluding obtaining any calculations (including Yes Notes or other solicitor, or parketer the private fund

Information About the Private Fund

1. (a) Name of the private fund:

CANAAN 2020+ CO-INVESTMENT SERIES 9 LP

(b) Private fund identification number: (include the "805-" prefix also) 805-7546903571

2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN PARTNERS 2020+ CO-INVESTMENT LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one):		
٦.	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
7.	for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed
8.	(a) Is this <i>private fund</i> a "fund of funds"?		No
Ο.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	_	•
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	_
			0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	. What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	te fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	. Current gross asset value of the <i>private fund</i> :		

	\$ 36	5,800,000		
<u>Ow</u>	ners	hip		
12.		imum investment commitment required of an investor in the private fund:		
	NOT	500,000 [FE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).		
13.	App	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ad	Ivisory Services		
17	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	_
17.		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to	0	•
		question 17.(a) is "no," leave this question blank.	O	
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	nswe	r
		No Information Filed		
			Yes	No
19.	Are	your clients solicited to invest in the private fund?	0	•
	NO7	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	/ate	Offering		
			Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	•
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. SI	ERVI(CE PROVIDERS		
Aud	litors	<u>s</u>		
			Yes	No
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	\circ	•
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	\circ	•
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	firm,	
		No Information Filed		

		res No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0 0
(h)) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualifi	ied opinions?
	C Yes C No C Report Not Yet Received	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the rep	oort is available.
rime l	<u>Broker</u>	
1. (a)) Does the <i>private fund</i> use one or more prime brokers?	Yes No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	
	No Information Filed	
<u>ustod</u>	<u>lian</u>	Voc. No
i. (a)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Yes No ⊙ O
(-)	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	
	Additional Custodian Information : 2 Record(s) Filed.	
	(b) Legal name of custodian: BANC OF CALIFORNIA(c) Primary business name of custodian: BANC OF CALIFORNIA	
	(d) The leasting of the quatedianic office recognished for quateduratible majurate funding exects (city, etcts and equation).	
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: Country:	
	LOS ANGELES California United States	
	(e) Is the custodian a <i>related person</i> of your firm?	Yes No
	(e) 13 the eastedian a related person of your min.	0 0
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
	CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>lega identifier</i> (if any)	al entity

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City:	State:	Country:	
	SANTA CLARA	California	United States	
				Yes No
	(e) Is the custodian a related per	son of your firm?		0 ⊚
	(f) If the custodian is a broker-de	ealer, provide its SEC registration num	ber (if any):	
	CRD Number (if any):			
	(g) If the custodian is not a brok identifier (if any)	er-dealer, or is a broker-dealer but do	es not have an SEC registration number, provi	ide its <i>legal entity</i>
Admini	<u>istrator</u>			
Admini	<u>istrator</u>			Yes No
26 (a)	Doos the private fundues on admin	atratar other than your firm?		
26. (a)			h (f) below. If the <i>private fund</i> uses more than	O ⊙ n one administrator, you
		No Informa	tion Filed	
	ring your last fiscal year, what perce ur related person?	ntage of the <i>private fund's</i> assets (by \	value) was valued by a <i>person</i> , such as an adm	ninistrator, that is not
0%				
Ind rel	clude only those assets where (i) suc	, used for purposes of investor subscript	cedure established for that asset, if any, including its including the call is the call is a contract to the contr	3 3
<u>Market</u>	tors			
<u>iviai kei</u>	<u>lei 5</u>			Yes No
28. (a)	Does the <i>private fund</i> use the service	es of someone other than you or you	employees for marketing purposes?	0 0
	similar person. If the answer to ques	stion 28.(a) is "yes," respond to quest	onsultant, finder, introducer, municipal advisor ions (b) through (g) below for each such mark questions (b) through (g) separately for each	keter the <i>private fund</i>
		No Informa	tion Filed	
A. PRIVA	ATE FUND			
Informa	ation About the <i>Private Fund</i>			
1. (a)) Name of the <i>private fund</i> :			
	CANAAN GOLD COAST L.P.			
(b)				
	(include the "805-" prefix also)			
	805-7317613354			

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

Country:

(a) Name(s) of General Partner, Manager, Trustee, or Directors (or *persons* serving in a similar capacity):

United States

2. Under the laws of what state or country is the *private fund* organized:

Name of General Partner, Manager, Trustee, or Director

CANAAN PARTNERS GOLD COAST LLC

State:

Delaware

	No Information Filed						
4.	The private fund (check all that apply; you must check at least one):						
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940						
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940						
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.						
	No Information Filed						
		Yes	No				
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot				
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?						
	No Information Filed						
		Yes	. No				
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•				
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	~	•				
	Name of private fund:						
	Private fund identification number:						
	(include the "805-" prefix also)						
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section	7.B.(1)				
	for the master-feeder arrangement or reporting on the funds separately.						
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	or eacl	h of				
	the feeder funds answer the following questions:						
	No Information Filed						
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it						
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.						
		Yes	No				
8.	(a) Is this private fund a "fund of funds"?		⊙				
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t					
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0				
		~	~				
		Yes	No				
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment	0	•				
	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?						
10.	What type of fund is the <i>private fund</i> ?						
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	ato fur	ad:				
	Theage fund - inquidity fund - private equity fund - real estate fund - securitized asset fund - venture capital fund - other private	ne rui	IU.				
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.						
11.	Current gross asset value of the <i>private fund</i> :						
	\$ 100,231,573						
<u>Ow</u>	<u>rnership</u>						
12	Minimum investment commitment required of an investor in the <i>private fund</i> :						
ı Z .	\$ 100,000,000						
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the	е					

organizational documents of the fund).

13. Approximate number of the *private fund's* beneficial owners:

14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.			
	0%	Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	O	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
	0%		
You	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answ question 17.(a) is "no," leave this question blank.	er to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ie answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
	0%		
Priv	vate Offering		
		Yes	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-473757		
B. S	ERVICE PROVIDERS		
Auc	<u>ditors</u>		
22	(a) (1) And the universal funding financial exists ments expliced to an empty level to	Yes	No -
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm.	ing firm	١,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one au	 ditina	
	firm, you must complete questions (b) through (f) separately for each auditing firm.	arring	
	(b) Name of the auditing firm:		
	ERNST & YOUNG		
	(c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
	City: State: Country:		
	BOSTON Massachusetts United States		

		Yes	INO
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Υe	es No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	6	9 0
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinio	ns?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable.
me E	<u>Broker</u>		
(=\ <u>\</u>	Door the private funduce one or more prime brokers?		es No
(a)	Does the <i>private fund</i> use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses.	ho nri	
	fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	ne <i>pri</i>	vale
	No Information Filed		
	No Information Filed		
	No Information Filed		
stodi		V	as No
		Ye	es No
	an_	0	0
	an Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the	0	0
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	privat	e fund
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the	privat	e fund
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian:	privat	e fund
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	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:	privat	e fund
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	privat	e fund
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:	privat	e fund
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	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information: 2 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: BANC OF CALIFORNIA (c) Primary business name of custodian: BANC OF CALIFORNIA (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: LOS ANGELES California United States (e) Is the custodian a <i>related person</i> of your firm?	privat e priva	te fundate

a) ouri our % ncli	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," remust complete questions (b) through (f) set ing your last fiscal year, what percentage of the related person? The work of the private fund use an administrator of the private fund of the person of the pers	espond to questions (b) throsparately for each administration No Information Provided Provide	mation Filed y value) was valued	by a <i>person</i> , such as al	e than one administrator n administrator, that is r including obtaining any	not
a) ouri our % ncli	Does the <i>private fund</i> use an administrator If the answer to question 26.(a) is "yes," re must complete questions (b) through (f) se ing your last fiscal year, what percentage of r related person? ude only those assets where (i) such person vant quotes, and (ii) the valuation used for	espond to questions (b) throsparately for each administration No Information Provided Provide	mation Filed y value) was valued	by a <i>person</i> , such as al	e than one administrator n administrator, that is r including obtaining any	C -, yo
a) Ouri Our %	Does the <i>private fund</i> use an administrator If the answer to question 26.(a) is "yes," remust complete questions (b) through (f) se ing your last fiscal year, what percentage of related person?	espond to questions (b) throsparately for each administration No Information Private fund's assets (b)	mation Filed y value) was valued	by a <i>person</i> , such as a	e than one administrator	O -, y
a) ouri	Does the <i>private fund</i> use an administrator If the answer to question 26.(a) is "yes," re must complete questions (b) through (f) se	espond to questions (b) thro parately for each administra No Inforr	mation Filed		e than one administrator	о , у
a)	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," re	espond to questions (b) thro parately for each administra	itor.	e <i>private fund</i> uses more		0
a)	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," re	espond to questions (b) thro parately for each administra	itor.	e <i>private fund</i> uses more		0
a)	Does the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," re	espond to questions (b) thro		e <i>private fund</i> uses more		0
a)	Does the <i>private fund</i> use an administrator	•	wigh (f) helow if the	a nrivata fundusas mare		0
nis [.]	<u>trator</u>				Y	'es
	(g) If the custodian is not a broker-deale identifier (if any)	er, or is a broker-dealer but	does not have an SE	C registration number,	provide its legal entity	
	one names (ii any).					
	- CRD Number (if any):		220. (a),.			
	(f) If the custodian is a broker-dealer, pr	rovide its SEC registration nu	umber (if any):			
	(e) Is the custodian a related person of ye	our firm?			Yes O	s N
	City: SANTA CLARA	State: California	Cour Unite	ntry: ed States		
	(d) The location of the custodian's office	responsible for <i>custody</i> of the	ne <i>private fund's</i> asse	ets (city, state and cour	ntry):	
	(c) Primary business name of custodian: FIRST-CITIZENS BANK & TRUST CO.					
	FIRST-CITIZENS BANK & TRUST CO.					

A. PRIV

Information About the Private Fund

1. (a) Name of the *private fund*:

CANAAN IX LP

(b) Private fund identification number: (include the "805-" prefix also)

805-5878971647

	State: Country:		
	Cayman Islands		
	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director CANAAN PARTNERS IX LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1	940	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1	940	
	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	s N
	(a) Is this a "master fund" in a master-feeder arrangement?	0	0
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	s N
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Se	ection 7.B.	(1)
	(include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sofor the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.		
	(include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, So for the master-feeder arrangement or reporting on the funds separately.		
	(include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sofor the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.		
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	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Sofor the master-feeder arrangement or reporting on the funds separately. If you are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master funds.	ally all of t n if it issuend.	heir ed
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sofor the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investions.	ally all of tonification if it issued to the control of the contro	heir
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sc for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Its private fund a "fund of funds"?	ally all of to the second of t	heir ed
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Sofor the master-feeder arrangement or reporting on the funds separately. If you are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investivelics, regardless of whether they are also private funds or registered investment companies.	ally all of to if it issued to the control of the c	heir ed
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Sofor the master-feeder arrangement or reporting on the funds separately. If you are filling a single Schedule D, Section 7.B. (1) for a master-feeder arrangement according to the instructions to this Section 7.B. (the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Its this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled invest vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person?	ally all of to the if it issued in the image of the image	heir ed S N
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Sofor the master-feeder arrangement or reporting on the funds separately. If you are filling a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investivelics, regardless of whether they are also private funds or registered investment companies.	ally all of to the if it issued in the image of the image	heirred C
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Scfor the master-feeder arrangement or reporting on the funds separately. If you are filling a single Schedule D, Section 7.B. (1) for a master-feeder arrangement according to the instructions to this Section 7.B. (1) the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Its private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled invest vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person?	ally all of to and. Yes the thick the transfer of the transfe	heir ed
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sc for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) Invests substantially all of its assets in a single master fund. It is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled invest vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in instruction 6.e.)?	ally all of to a finite in the second of the	heirred C
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sc for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantiassets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. It is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled invest vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	ally all of to a finite in the second of the	heirred C
· •	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sc for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B. the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantial assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. It is this private fund a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled invest-vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? During your last fiscal year, did the private fund invest in securities issued by Investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? What type of fund is the private fund? ○ hedge fund ○ liquidity fund ○ private equity fund ○ real estate fund ○ securitized asset fund ● venture capital fund ○ Other	ally all of to a finite in the second of the	heirred C

	\$ 426,040,354	
<u>Ow</u>	<u>Dwnership</u>	
12.	 Minimum investment commitment required of an investor in the private fund: \$ 500,000 NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the ar organizational documents of the fund). 	mount set forth in the
13.	3. Approximate number of the <i>private fund's</i> beneficial owners:61	
14.	 What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i>: 	
15.	5. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 42%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes No e Investment O O
16.	6. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 3%	
Υοι	Your Advisory Services	Y N
17	7. (a) Are you a subadviser to this <i>private fund</i> ?	Yes No
17.	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the privat question 17.(a) is "no," leave this question blank.	te fund. If the answer to
	No Information Filed	
		Yes No
18.	8. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private</i>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the to question 18.(a) is "no," leave this question blank.	~ ~
	No Information Filed	
		Yes No
19.	9. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0 0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	
20.	20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%	
<u>Pri</u>	Private Offering	
21.	21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities	Yes No Act of 1933?
22.	22. If yes, provide the <i>private fund's</i> Form D file number (if any):	
	Form D file number 021-171466	
	021-171400	
B. S	SERVICE PROVIDERS	
Aud	<u>Auditors</u>	
23.	23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes No
_0.	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAA	© O .P?
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses m you must complete questions (b) through (f) separately for each auditing firm.	~ ~
	Additional Auditor Information : 1 Record(s) Filed.	

		questions (b) through (t)	(i) separately for each	auditing firm.	
	Name of the auditing ERNST & YOUNG	ı firm:			
(c)	The location of the a	uditina firm's office respo	onsible for the <i>privat</i>	e fund's audit (city, state and country):	
		State:		Country:	
	BOSTON	Massachusetts		United States	
(-1)	l	!!!			Yes No
(a)	is the auditing firm a	т іпаерепаеті ривііс ассо	ountant?		⊙ ○
(e)	Is the auditing firm r	egistered with the Public	c Company Accountin	ng Oversight Board?	⊙ ○
		ny Accounting Oversight	Board-Assigned Num	nber:	
		•	ject to regular inspec	ction by the Public Company Accounting	Oversight Board in 👩 O
					Yes No
	•	ed financial statements f	for the most recently	completed fiscal year distributed to the	private fund's
Do all	of the reports prepai	red by the auditing firm f	for the <i>private fund</i> si	ince your last <i>annual updating amendmer</i>	nt contain unqualified opinions?
⊙ Ye	s C No C Report No	ot Yet Received			
If you	check "Report Not Ye	t Received," you must pro	omptly file an amendm	nent to your Form ADV to update your resp	oonse when the report is available.
<u>Broker</u>					
					Yes No
					0 @
			No Inforn	nation Filed	
<u>ian</u>					Yes No
Does	the <i>private fund</i> use a	any custodians (including	the prime brokers li	sted above) to hold some or all of its as	
If the	answer to question 2	25.(a) is "yes," respond t	to questions (b) thro	ugh (g) below for each custodian the pr	~ ~
Addit	ional Custodian Info	ormation : 5 Record(s) I	Filed.		
	· ·		•		•
	-				
	Primary business nat BANC OF CALIFORNIA				
		A			
(d)	The location of the c		sible for <i>custody</i> of th	ne <i>private fund's</i> assets (city, state and co	ountry):
	City:		State:	Country:	ountry):
			•		ountry): Yes No
) 1	(c) (d) (d) (e) (f) Are the invest Do all Ye If you Broker Does If the fund und If the fund und (b) If the uses r Addit If the fund (b)	City: BOSTON (d) Is the auditing firm a (e) Is the auditing firm a If yes, Public Compa 42 (f) If "yes" to (e) above accordance with its a Are the private fund's audit investors? Do all of the reports prepart Yes No Report Not Yes Broker Does the private fund use of fund uses more than one p If the answer to question 2 fund uses more than one custod Additional Custodian Info If the answer to question fund uses more than one (b) Legal name of custod BANC OF CALIFORNIA	(c) The location of the auditing firm's office responding City: BOSTON Massachusetts (d) Is the auditing firm an <i>independent public acc</i> (e) Is the auditing firm registered with the Public If yes, Public Company Accounting Oversight 42 (f) If "yes" to (e) above, is the auditing firm sub-accordance with its rules? Are the <i>private fund's</i> audited financial statements finvestors? Do all of the reports prepared by the auditing firm to Yes No Report Not Yet Received If you check "Report Not Yet Received," you must probable fund use one or more prime broke. If the answer to question 24. (a) is "yes," responding uses more than one prime broker, you must complete Additional Custodian Information: 5 Record(s) if the answer to question 25. (a) is "yes," responding uses more than one custodian, you must complete	(c) The location of the auditing firm's office responsible for the private City: State: BOSTON Massachusetts (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting If yes, Public Company Accounting Oversight Board-Assigned Nun 42 (f) If "yes" to (e) above, is the auditing firm subject to regular insper accordance with its rules? Are the private fund's audited financial statements for the most recently investors? Do all of the reports prepared by the auditing firm for the private fund since If you check "Report Not Yet Received If you must promptly file an amendate If you check "Report Not Yet Received," you must promptly file an amendate If the answer to question 24. (a) is "yes," respond to questions (b) throughout sessmore than one prime broker, you must complete questions (b) Information Uses more than one custodian, you must complete questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) through the answer to question 25. (a) is "yes," respond to questions (b) the fund uses more than one custodian, you must complete questions (b) the fund uses more than one custodian, you must complete questions (b) the fund uses more than one custodian. BANC OF CALIFORNIA	(c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: BOSTON Massachusetts United States (d) Is the auditing firm an independent public accountant? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board? If yes, Public Company Accounting Oversight Board-Assigned Number: 42 (f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting accordance with its rules? Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the Investors? Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment (e) you check "Report Not Yet Received." you must promptly file an amendment to your Form ADV to update your responder. Proced Does the private fund use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (c) below for each prime broker the fund uses more than one prime broker, you must complete questions (b) through (g) separately for each custodian. Information is Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information: 5 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian and fund use amone than one custodian, you must complete questions (b) through (g) separately for each custodian and fund use amone than one custodian, you must complete questions (b) through (g) separately for each custodian and fund use amone than one custodian, you must complete questions (b) through (g) separately for each custodian and fund use amone than one custodian, you must complete questions (b) through (g) separately for each custodian and fund use amone than one custodian.

	-			
	CRD Number (if any):			
(g)	If the custodian is not a broker-deidentifier (if any)	aler, or is a broker-dealer but do	es not have an SEC registration number, pro	ovide its <i>legal entity</i>
			ough g) below for each custodian the <i>private</i> hrough (g) separately for each custodian.	fund uses. If the private
(b)	Legal name of custodian: COMPUTERSHARE TRUST COMPANY	′, N.A.		
(c)	Primary business name of custodia COMPUTERSHARE TRUST COMPANY			
(d)	City: State		private fund's assets (city, state and country Country: United States):
				Yes No
(e)	Is the custodian a related person of	f your firm?		○ ⊙
(f)	If the custodian is a broker-dealer	, provide its SEC registration num	iber (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-de identifier (if any)	aler, or is a broker-dealer but do	es not have an SEC registration number, pro	ovide its <i>legal entity</i>
	•	•	ough g) below for each custodian the <i>private</i> hrough (g) separately for each custodian.	fund uses. If the private
(b)	Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO			
(c)	Primary business name of custodia FIRST-CITIZENS BANK & TRUST CO			
(d)	The location of the custodian's off	ce responsible for <i>custody</i> of the	private fund's assets (city, state and country):
	City:	State:	Country:	
	SANTA CLARA	California	United States	., .,
(e)	Is the custodian a related person of	f your firm?		Yes No
(f)	If the custodian is a broker-dealer	, provide its SEC registration num	ber (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a broker-deidentifier (if any)	aler, or is a broker-dealer but do	es not have an SEC registration number, pro	ovide its <i>legal entity</i>

(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(0)	Primary business nam	e of custodian	
(C)	IBI CAPITAL	e or custodian:	
(d)	The location of the cus	stodian's office responsible for cus	ustody of the private fund's assets (city, state and country):
	City: TEL AVIV	State:	Country: Israel
(e)	Is the custodian a rela	ated person of your firm?	
(f)	If the custodian is a bi	roker-dealer, provide its SEC regis	stration number (if any):
	CRD Number (if any):		
(g)	If the custodian is not identifier (if any)	a broker-dealer, or is a broker-de	dealer but does not have an SEC registration number, provide its <i>legal en</i>
			cions (b) through g) below for each custodian the <i>private fund</i> uses. If the estions (b) through (g) separately for each custodian.
(b)	Legal name of custodi UBS FINANCIAL SERVI		
(c)	Primary business nam UBS FINANCIAL SERVI		
(d)	The location of the cus	stodian's office responsible for <i>cus</i>	ustody of the private fund's assets (city, state and country):
	City: BOSTON	State: Massachusetts	Country: United States
	BOSTON	Massachusetts	Officed States
(e)	Is the custodian a rela	ated person of your firm?	
(f)	If the custodian is a bi	roker-dealer, provide its SEC regis	stration number (if any):
	CRD Number (if any): 8174		
(g)	If the custodian is not identifier (if any)	a broker-dealer, or is a broker-de	dealer but does not have an SEC registration number, provide its legal en
rator	-		
a (Ul	<u>-</u>		
		administrator other than your firm	
	·	o.(a) is "yes," respond to questions) through (f) separately for each ac	ns (b) through (f) below. If the <i>private fund</i> uses more than one administ administrator.
			No Information Filed

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

0%

Ma	rkete	<u>ers</u>		
20	(2)	Does the private fundues the services of semesne other than you or your employees for marketing purposes?	Yes	
28.		Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private f</i>		•
		uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
А . Р	RIVA	TE FUND		
Info	rmat	tion About the <i>Private Fund</i>		
1	(-)			
1.		Name of the private fund: CANAAN VII LP		
		Private fund identification number:		
	` '	(include the "805-" prefix also)		
		805-3532815497		
2.	Und	ler the laws of what state or country is the <i>private fund</i> organized:		
۷.		State: Country:		
		Delaware United States		
2	(-)	Name (a) of Caracal Barbara Maranas Trustae on Bioches (an agranas arrivales in a similar annual tr		
3.		Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity): me of General Partner, Manager, Trustee, or Director		
		NAAN PARTNERS VII LLC		
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		
4.	The	private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	V	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
E	Liet	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered		
5.	LIST	the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed		
			Yes	No.
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	_	
0.		If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	0	⊙
		No Information Filed		
			Yes	No
	(c)	Is this a "feeder fund" in a master-feeder arrangement?	\circ	\odot
	(d)	If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
		Name of private fund:		
		Private fund identification number:		
		(include the "805-" prefix also)		
		TE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7	.B.(1	1)
	ror :	the master-feeder arrangement or reporting on the funds separately.		
7.	If yo	ou are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	each	ı of
	_	feeder funds answer the following questions:		

No Information Filed

	asse	TE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all ets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i tiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
			Yes	No
8.		Is this <i>private fund</i> a "fund of funds"?	\circ	\odot
		FE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment icles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b)	If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
			V	NI-
9.		ing your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment npany Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes	
10.	Wha	at type of fund is the <i>private fund</i> ?		
	O r	hedge fund $^{f O}$ liquidity fund $^{f O}$ private equity fund $^{f O}$ real estate fund $^{f O}$ securitized asset fund $^{f O}$ venture capital fund $^{f O}$ Other <i>privat</i>	e fun	d:
	NOT	ΓΕ: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.		rent gross asset value of the <i>private fund</i> : 2,901,276		
<u>Ow</u>	ners!	<u>hip</u>		
12.		imum investment commitment required of an investor in the <i>private fund</i> : 8,000		
		TE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).		
13.	App 74	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 56%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ur Ad	Ivisory Services		
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	No ②
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	\circ	•
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the atto question 18.(a) is "no," leave this question blank.	าทรพ	er —
		No Information Filed		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot

NOTE: For purposes of this question, do not consider feeder funds of the private fund.

20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	rate Offering	V	
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Y 6	es No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any): Form D file number		
	021-77220		
B. S	ERVICE PROVIDERS		
Aud	<u>itors</u>	Ύ	es No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	6	9 0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	6	9 0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audityou must complete questions (b) through (f) separately for each auditing firm.	ing fir	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one autirm, you must complete questions (b) through (f) separately for each auditing firm.	diting	
	(b) Name of the auditing firm: ERNST & YOUNG		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: BOSTON Massachusetts United States		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	0
		Υ¢	es No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?		9 0
	(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified	opinio	ns?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable.
<u>Pri</u>	ne Broker	Υ	es No
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	(•
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	:he <i>pri</i>	vate
	No Information Filed		

Addi	itional Custodian Information :	3 Record(s) Filed.		
	•		ugh g) below for each custodian the <i>private fund</i> us nrough (g) separately for each custodian.	ses. If the <i>pri</i>
(b)	Legal name of custodian: BANC OF CALIFORNIA			
(c)	Primary business name of custo BANC OF CALIFORNIA	dian:		
(d)	The location of the custodian's of	office responsible for <i>custody</i> of the	orivate fund's assets (city, state and country):	
	City: LOS ANGELES	State: California	Country: United States	
				Ye
(e)	Is the custodian a related person	<i>n</i> of your firm?		0
(f)	If the custodian is a broker-deal	ler, provide its SEC registration num	ber (if any):	
	-			
	CRD Number (if any):			
()				, , , , , , , , , , , , , , , , , , , ,
(g)	If the custodian is not a broker- identifier (if any)	dealer, or is a broker-dealer but do	es not have an SEC registration number, provide its	s legal entity
If t	identifier (if any) he answer to question 25.(a) is '	'yes," respond to questions (b) thro	es not have an SEC registration number, provide its ugh g) below for each custodian the <i>private fund</i> us prough (g) separately for each custodian.	
If the	identifier (if any) he answer to question 25.(a) is '	'yes," respond to questions (b) thro you must complete questions (b) tl	ugh g) below for each custodian the <i>private fund</i> us	
If the function (b)	identifier (if any) the answer to question 25.(a) is to duses more than one custodian, Legal name of custodian: FIRST-CITIZENS BANK & TRUST	'yes," respond to questions (b) thro you must complete questions (b) th CO.	ugh g) below for each custodian the <i>private fund</i> us	
If the function (b)	identifier (if any) the answer to question 25.(a) is induses more than one custodian. Legal name of custodian: FIRST-CITIZENS BANK & TRUST of the primary business name of custof FIRST-CITIZENS BANK & TRUST of the primary business name of custof primary business bank & TRUST of the primary business name of custof primary business bank & TRUST of the primary business bank & TRU	'yes," respond to questions (b) thro you must complete questions (b) the CO. Idian: CO.	ugh g) below for each custodian the <i>private fund</i> us	
If the function (b)	identifier (if any) the answer to question 25.(a) is ad uses more than one custodian. Legal name of custodian: FIRST-CITIZENS BANK & TRUST Primary business name of custof FIRST-CITIZENS BANK & TRUST The location of the custodian's of City:	'yes," respond to questions (b) thro you must complete questions (b) the CO. Idian: CO. Office responsible for <i>custody</i> of the State:	ugh g) below for each custodian the <i>private fund</i> us arrough (g) separately for each custodian. **Divition of the content of the country of	
If the function (b)	identifier (if any) the answer to question 25.(a) is and uses more than one custodian. Legal name of custodian: FIRST-CITIZENS BANK & TRUST Primary business name of custof FIRST-CITIZENS BANK & TRUST The location of the custodian's of t	'yes," respond to questions (b) thro you must complete questions (b) the CO. Idian: CO. Office responsible for <i>custody</i> of the	ugh g) below for each custodian the <i>private fund</i> us nrough (g) separately for each custodian. **Description of the content of the country	
If the function (b)	identifier (if any) the answer to question 25.(a) is ad uses more than one custodian. Legal name of custodian: FIRST-CITIZENS BANK & TRUST Primary business name of custof FIRST-CITIZENS BANK & TRUST The location of the custodian's of City:	'yes," respond to questions (b) thro you must complete questions (b) the CO. dian: CO. office responsible for <i>custody</i> of the State: California	ugh g) below for each custodian the <i>private fund</i> us arrough (g) separately for each custodian. **Divition of the content of the country of	ses. If the <i>pri</i> v
If the function (b)	identifier (if any) the answer to question 25.(a) is and uses more than one custodian. Legal name of custodian: FIRST-CITIZENS BANK & TRUST Primary business name of custof FIRST-CITIZENS BANK & TRUST The location of the custodian's of City: SANTA CLARA Is the custodian a related person	'yes," respond to questions (b) thro you must complete questions (b) the CO. dian: CO. office responsible for <i>custody</i> of the State: California	ugh g) below for each custodian the <i>private fund</i> us arough (g) separately for each custodian. **Description** **Descripti	ses. If the <i>pri</i> v
If the function (b) (c) (d)	identifier (if any) the answer to question 25.(a) is and uses more than one custodian. Legal name of custodian: FIRST-CITIZENS BANK & TRUST Primary business name of custof FIRST-CITIZENS BANK & TRUST The location of the custodian's of City: SANTA CLARA Is the custodian a related person	'yes," respond to questions (b) thro you must complete questions (b) the CO. office responsible for custody of the State: California	ugh g) below for each custodian the <i>private fund</i> us arough (g) separately for each custodian. **Description** **Descripti	ses. If the <i>pri</i> v
If the function (b) (c) (d) (e) (f)	identifier (if any) the answer to question 25.(a) is induses more than one custodian. Legal name of custodian: FIRST-CITIZENS BANK & TRUST Primary business name of custof FIRST-CITIZENS BANK & TRUST The location of the custodian's of City: SANTA CLARA Is the custodian a related person If the custodian is a broker-deal company of the custodian is a broker-d	'yes," respond to questions (b) thro you must complete questions (b) the CO. dian: CO. office responsible for custody of the State: California n of your firm? der, provide its SEC registration num	ugh g) below for each custodian the <i>private fund</i> us arough (g) separately for each custodian. **Description** **Descripti	ses. If the <i>pri</i>

(b) Legal name of custodian:

UBS FINANCIAL SERVICES INC.

			CIAL SERVICES INC.					
	((d) The location	of the custodian's office	e responsible for <i>custody</i>	of the <i>private fund'</i> s	assets (city, state and country):		
		City:	State:	,	•	Country:		
		BOSTON	Massa	chusetts		United States		
		a) le the custo	odian a <i>related person</i> of v	your firm?			Yes	_
		e) is the custo	dian a related person of	your mm:			О	•
	(f) If the custoo	dian is a broker-dealer, p	provide its SEC registratio	n number (if any):			
		8 - 16267						
		CRD Numbe 8174	r (if any):					
	(9			ler, or is a broker-dealer	out does not have a	ın SEC registration number, provi	de its <i>legal entity</i>	
		identifier (if	any)					
<u>Adm</u>	inistrat	<u>or</u>						
							Ye	es No
26. (·	und use an administrator	•				_
			•	respond to questions (b) reparately for each admini	•	f the <i>private fund</i> uses more than	one administrator,	you
				N	C E'I . I			
				No Ir	nformation Filed			
27. I	During :	your last fiscal	year, what percentage (of the <i>private fund's</i> assets	s (by value) was val	lued by a <i>person</i> , such as an adm	ninistrator, that is no	ot
		ated person?						
	0% Include	only those ass	sats where (i) such ners	on carried out the valuation	on procedure establi	ished for that asset, if any, includ	ling obtaining any	
1	elevan	t quotes, and		or purposes of investor sul	•	tions or distributions, and fee cal		
Mark	<u>eters</u>							
							Ye	es No
28.	(a) Doe	es the <i>private f</i>	und use the services of s	someone other than you o	or your <i>employees</i> fo	or marketing purposes?	c	•
	sim	ilar <i>person</i> . If t	he answer to question 28	8.(a) is "yes," respond to	questions (b) throu	der, introducer, municipal advisor ugh (g) below for each such mark) through (g) separately for each	keter the <i>private fund</i>	
				No Ir	nformation Filed			
A. PRI	VATE F	UND						
Infor	mation	About the <i>Pri</i>	vate Fund					
1111011	nation	710041 1110 777	tato rama					
1. ((a) Nar	ne of the <i>priva</i>	te fund:					
		IAAN VIII LP						
(<i>ate fund</i> identifulicition in the second in	fication number: " prefix also)					
		-1559332691	p					
2. l	Jnder t	he laws of wha	at state or country is the	private fund organized:				
	Sta	te:		Country:				
				Cayman Islands				
3.	(a) Nar	ne(s) of Genera	al Partner, Manager, Tru:	stee, or Directors (or pers	sons serving in a sim	nilar capacity):		

CANAAN	PARTNERS VIII LLC		_
(b) If fili	ng an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed		
(1) q	te fund (check all that apply; you must check at least one): ualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
List the r	name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
	No Information Filed		
		Yes I	٩c
	is a "master fund" in a master-feeder arrangement?	0	•
(b) If ye	s, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes I	١c
(c) Is th	is a "feeder fund" in a master-feeder arrangement?	0	•
	s, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? e of <i>private fund</i> :		
	te fund identification number: ude the "805-" prefix also)		
for the m	bu must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 paster-feeder arrangement or reporting on the funds separately. The filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for		
for the m	aster-feeder arrangement or reporting on the funds separately.		
for the m	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for		
If you are the feede	Paster-feeder arrangement or reporting on the funds separately. In a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for extended answer the following questions: No Information Filed In purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all or a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	each of the	of
If you are the feede NOTE: For assets in multiple	Paster-feeder arrangement or reporting on the funds separately. In a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for extended answer the following questions: No Information Filed In purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all or a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	each of the sued	of ir
NOTE: For assets in multiple (a) Is the NOTE: For assets in multiple	Paster-feeder arrangement or reporting on the funds separately. The filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a funds answer the following questions: No Information Filed The purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	each of the	of ir
NOTE: For assets in multiple (a) Is the NOTE: For vehicles,	Paster-feeder arrangement or reporting on the funds separately. The filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the funds answer the following questions: No Information Filed The purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. The purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment.	each of the sued	of ir
NOTE: For assets in multiple (a) Is the NOTE: For vehicles, (b) If ye	rester-feeder arrangement or reporting on the funds separately. If filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a funds answer the following questions: No Information Filed To purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Its private fund a "fund of funds"? To purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. So does the private fund invest in funds managed by you or by a related person?	each of the sued	of ir
NOTE: For assets in multiple (a) Is the NOTE: For vehicles, (b) If years	aster-feeder arrangement or reporting on the funds separately. a filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a funds answer the following questions: No Information Filed The purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. The purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. The purpose of the instructions to this Section 7.B.(1), for the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. The purpose of the instructions to this Section 7.B.(1), for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a master-feeder arrangement according to the instructions to the instruction	each of the sued Yes I	oof dir O
NOTE: For assets in multiple (a) Is the NOTE: For vehicles, (b) If years, During years,	e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a funds answer the following questions: No Information Filed Propurposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Its private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. So, does the private fund invest in funds managed by you or by a related person?	each of the sued Yes I	oof dir O
NOTE: For assets in multiple (a) Is the NOTE: For vehicles, (b) If years, Company What type	aster-feeder arrangement or reporting on the funds separately. If illing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a funds answer the following questions: No Information Filed To purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Its private fund a "fund of funds"? For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. It is, does the private fund invest in funds managed by you or by a related person? For purpose, and the private fund invest in securities issued by investment companies registered under the Investment and the first of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	each of the sued Yes I	offir No.
NOTE: For assets in multiple (a) Is the NOTE: For vehicles, (b) If years, what type O hedge	aster-feeder arrangement or reporting on the funds separately. If filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a funds answer the following questions: No Information Filed In purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Its private fund a "fund of funds"? In purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment regardless of whether they are also private funds or registered investment companies. In purpose, and the private fund invest in funds managed by you or by a related person? In purpose, and the private fund invest in funds managed by you or by a related person? In purpose, and the private fund invest in securities issued by investment companies registered under the Investment and the private fund?	each of the sued Yes I	of ir

12. Minimum investment commitment required of an investor in the *private fund*:

	\$ 500,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	ie	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 72		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 57%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No.
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 5%		
You	ur Advisory Services	Yes	Nc
17.	(a) Are you a subadviser to this <i>private fund</i> ?	_	•
.,.	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer question 17.(a) is "no," leave this question blank.	er to	٠
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	e answ	er —
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	⊙
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Driv	vate Offering		
FIII	vate offering	Yes	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-111314		
B. SI	ERVICE PROVIDERS		
Aud	<u>ditors</u>		
22	(a) (1) And the universal funding financial estatements aubient to an annual audit?	Yes	
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	•	О
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	С
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	ng firm	'
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aud firm, you must complete questions (b) through (f) separately for each auditing firm.	iting	
	(b) Name of the auditing firm:		

		ERNST & YOUNG								
	(c)	The location of th	e auditing firm's office r	esponsible for the <i>pri</i>	ivate fund's audit	(city, state and country):				
		City:	State:			Country:				
		BOSTON	Massachuse	etts		United States		Vas	. No	
	(d)	Is the auditing fir	m an <i>independent public</i>	accountant?				•	0	
	(e)	Is the auditing fir	m registered with the Pu	ublic Company Accou	nting Oversight E	Board?		•	0	
		16 5 111 0								
		11 yes, Public Com	npany Accounting Oversi	gnt Board-Assigned I	Number:					
		If "yes" to (e) aboaccordance with i	•	subject to regular ins	spection by the P	ublic Company Accounting Ove	rsight Board in	•	0	
								Ye	es No	
(g)	Are th	•	udited financial statemer	nts for the most recei	ntly completed fis	scal year distributed to the <i>pri</i>	vate fund's	6	9 0	
(h)	Do all	of the reports pre	epared by the auditing fi	rm for the <i>private fun</i>	nd since your last	annual updating amendment co	ontain unqualified	opinic	ons?	
	⊙ Ye	s O No O Repor	t Not Yet Received							
	If you	check "Report Not	Yet Received," you must	promptly file an ame	ndment to your Fo	orm ADV to update your respons	se when the report	t is ava	ilable.	
<u>Prime l</u>	Broker									
								Ye	es No	
24. (a)	Does	the <i>private fund</i> us	se one or more prime br	okers?				(0	
		f the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private</i> fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.								
				No Inf	formation Filed					
<u>Custod</u>	<u>ian</u>									
()	_						_	Ye	es No	
25. (a)	If the	answer to questic	on 25.(a) is "yes," respo	nd to questions (b) t	hrough (g) below	o hold some or all of its assets v for each custodian the <i>privat</i>			• C te fund	
	uses r	more than one cus	stodian, you must compl	ete questions (b) thr	ough (g) separat	tely for each custodian.				
	Addit	ional Custodian I	nformation : 5 Record	(s) Filed.						
		·		•		ow for each custodian the <i>priva</i> separately for each custodian.	<i>ite fund</i> uses. If th	ne <i>priva</i>	ate	
		Legal name of cu AMERICAN STOCK	stodian: TRANSFER & TRUST CO	MPANY, LLC						
		•	name of custodian: TRANSFER & TRUST CO	MPANY, LLC						
	(d)	The location of th	e custodian's office resp	oonsible for <i>custody</i> o	of the <i>private fund</i>	d's assets (city, state and coun	try):			
		City:		State:		ountry:				
		BROOKLYN	,	New York	U	nited States		Yes	No	
	(e)	Is the custodian a	a <i>related person</i> of your f	irm?				0	•	
	(f)	If the custodian is	s a broker-dealer, provid	le its SEC registration	n number (if any)	:				
		- CRD Number (if a	ny):							

identifier (if a	ny)			
) below for each custodian the <i>private fund</i> n (g) separately for each custodian.	uses. If the <i>private</i>
(b) Legal name of BANC OF CAI				
(c) Primary busi BANC OF CAI	ness name of custodian: LIFORNIA			
(d) The location	of the custodian's office respons	sible for <i>custody</i> of the <i>private</i>	e fund's assets (city, state and country):	
City: LOS ANGELE	S	State: California	Country: United States	
	lian a <i>related person</i> of your firm			Yes N
(f) If the custod	ian is a broker-dealer, provide it	ts SEC registration number (if	any):	
- CRD Number	(if any):			
(g) If the custod identifier (if a		a broker-dealer but does not	have an SEC registration number, provide	its <i>legal entity</i>
(b) Legal name of COMPUTERSING (c) Primary busi	•	implete questions (b) tillougi	n (g) separately for each custodian.	
		sible for custody of the private	e fund's assets (city, state and country):	
City:	State:		Country:	
CANTON	Massachusetts	8	United States	Yes N
(e) Is the custoo	lian a <i>related person</i> of your firm	?		0 6
(f) If the custod	ian is a broker-dealer, provide it	ts SEC registration number (if	any):	
CRD Number	(if any):			
(g) If the custod identifier (if a		a broker-dealer but does not	have an SEC registration number, provide	its legal entity
) below for each custodian the <i>private fund</i> n (g) separately for each custodian.	uses. If the <i>private</i>
(b) Legal name (of custodian: ENS BANK & TRUST CO.			
	ness name of custodian: ENS BANK & TRUST CO.			

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity

	City:		·	State:	i the private rund :	Country:	country).		
	SANTA	CLARA		California		United States		Yes	No
	(e) Is the c	custodian a <i>related</i>	person of your firm?					_	⊙
	(f) If the c	ustodian is a broke	r-dealer, provide its	SEC registration	number (if any):				
	- CRD Nu	mber (if any):							
		ustodian is not a b er (if any)	roker-dealer, or is a	broker-dealer b	ut does not have	an SEC registration nun	nber, provide its <i>legal e</i>	ntity	
		·				v for each custodian the eparately for each custo	•	ne <i>privat</i>	te
		ame of custodian: NANCIAL SERVICES	INC.						
		business name of NANCIAL SERVICES							
	(d) The loc City: BOSTOI		ian's office responsik State: Massachusetts	ole for <i>custody</i> o	f the <i>private fund'</i> s	s assets (city, state and Country: United States	country):		
	(e) Is the c	custodian a <i>related</i>	person of your firm?					Yes O	No ©
	8 - 162		r-dealer, provide its	SEC registration	number (if any):				
		ustodian is not a b er (if any)	roker-dealer, or is a	broker-dealer b	ut does not have	an SEC registration nun	nber, provide its <i>legal e</i>	ntity	
<u>Admini</u>	<u>istrator</u>								
26 (a)	. Does the <i>nriv</i>	ate fund use an adı	ministrator other tha	n vour firm?				_	s No
20. (4)	If the answer	to question 26.(a)		questions (b) th	-	If the <i>private fund</i> uses	more than one adminis	Strator,	
				No Inf	formation Filed				
	ur <i>related perso</i>		rcentage of the <i>priva</i>	ate fund's assets	(by value) was va	alued by a <i>person</i> , such	as an administrator, th	nat is no	ot
Ind rel	clude only those evant quotes, a	and (ii) the valuati		of investor sub		lished for that asset, if otions or distributions, a			
Market	<u>ters</u>								

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund*

Yes No

 \circ

	uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
	No Information Filed		
Щ. Н. Р	PRIVATE FUND		
nfc	ormation About the <i>Private Fund</i>		
1.	(a) Name of the <i>private fund</i> :		
••	CANAAN WHEELHOUSE L.P.		
	(b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-8243924170		
2	Under the laws of what state or country is the <i>private fund</i> organized:		
2.	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN WH GP LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
 4. 5. 	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	⊙
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sec for the master-feeder arrangement or reporting on the funds separately.	tion 7.B.([1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1 the feeder funds answer the following questions:), for eacl	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantial assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund	if it issue	

		Yes	No
8.	(a) Is this private fund a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	e fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 1,034,929		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 1		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
17	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	•
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	©
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		

22.	If yes, provide the <i>private fund's</i> Form D file number (if any):	
	Form D file number	
	021-481569	
B. SE	ERVICE PROVIDERS	
<u>Aud</u>	<u>litors</u>	
		Yes No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	⊙ ○
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	⊙ ೧
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audityou must complete questions (b) through (f) separately for each auditing firm.	ting firm,
	Additional Auditor Information : 1 Record(s) Filed.	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one at firm, you must complete questions (b) through (f) separately for each auditing firm.	uditing
	(b) Name of the auditing firm:	
	(b) Name of the auditing firm: ERNST & YOUNG	
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
	City: State: Country:	
	BOSTON Massachusetts United States	
	(d) In the auditing firm an independent nublic economics.	Yes No
	(d) Is the auditing firm an independent public accountant?	• c
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	⊙ ○
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42	
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	⊙ ○
		Yes No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	⊙ ○
	(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified	Loninions?
		оринонз:
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	t is available.
<u>Prin</u>	ne Broker	Vos No
24	(a) Does the <i>private fund</i> use one or more prime brokers?	Yes No ○ ⊙
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If	
	fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	
	No Information Filed	
Cus	<u>todian</u>	Voc Na
25	(a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Yes No
۷.		• O
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the	; private lulia

uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information: 2 Record(s) Filed.

21. Has the *private fund* ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

 \odot \circ

II (h) Laa	al name of custodian:			
	NC OF CALIFORNIA			
(c) Prin	mary business name of custodian:			
BAN	NC OF CALIFORNIA			
(d) The	e location of the custodian's office	responsible for <i>custody</i> of the	private fund's assets (city, state and count	ry):
City LOS	/: S ANGELES	State: California	Country: United States	
(0) (0 t)	he custodian a related person of v	our firm?		Ye
(e) 15 ti	he custodian a <i>related person</i> of y	our mm?		0
(f) If th	he custodian is a broker-dealer, pi	rovide its SEC registration num	nber (if any):	
CRE	O Number (if any):			
(a) If th	he custodian is not a broker-deale	er, or is a broker-dealer but do	pes not have an SEC registration number, p	provide its <i>legal entity</i>
-	ntifier (if any)	21, 01 10 4 21 0100 404101 241 40	os net nave an ege region anomiamos, p	revide ite regar enitty
	al name of custodian: ST-CITIZENS BANK & TRUST CO.			
FIRS (c) Prin	ST-CITIZENS BANK & TRUST CO. mary business name of custodian:			
FIRS (c) Prin	ST-CITIZENS BANK & TRUST CO.			
(c) Prin FIRS	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office	responsible for <i>custody</i> of the	private fund's assets (city, state and country)	ry):
(c) Prim FIRS (d) The City	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office		private fund's assets (city, state and count Country: United States	ry):
(c) Print FIRS (d) The City SAN	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office /: NTA CLARA	responsible for <i>custody</i> of the State: California	Country:	Ye
(c) Print FIRS (d) The City SAN (e) Is the second	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office /: NTA CLARA he custodian a related person of y	responsible for <i>custody</i> of the State: California our firm?	Country: United States	
(c) Print FIRS (d) The City SAN (e) Is the second	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office /: NTA CLARA	responsible for <i>custody</i> of the State: California our firm?	Country: United States	Ye
(c) Prim FIRS (d) The City SAN (e) Is the City Control of the Ci	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office /: NTA CLARA he custodian a related person of y	responsible for <i>custody</i> of the State: California our firm?	Country: United States	Ye
(c) Print FIRS (d) The City SAN (e) Is the CRECE (g) If the CRECE (g)	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. c location of the custodian's office t: NTA CLARA the custodian a related person of y the custodian is a broker-dealer, pi D Number (if any):	responsible for <i>custody</i> of the State: California our firm? rovide its SEC registration num	Country: United States	Ye O
(c) Print FIRS (d) The City SAN (e) Is the CRECE (g) If the CRECE (g)	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office it. NTA CLARA the custodian a related person of y the custodian is a broker-dealer, po D Number (if any): the custodian is not a broker-dealer.	responsible for <i>custody</i> of the State: California our firm? rovide its SEC registration num	Country: United States hber (if any):	Ye O
(c) Print FIRS (d) The City SAN (e) Is the CRECE (g) If	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office it. NTA CLARA the custodian a related person of y the custodian is a broker-dealer, po D Number (if any):	responsible for <i>custody</i> of the State: California our firm? rovide its SEC registration num	Country: United States hber (if any):	Ye
(c) Print FIRS (d) The City SAN (e) Is the CRECE CRE	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office i: NTA CLARA the custodian a related person of y the custodian is a broker-dealer, po O Number (if any): the custodian is not a broker-dealer intifier (if any)	responsible for <i>custody</i> of the State: California our firm? rovide its SEC registration num er, or is a broker-dealer but do	Country: United States hber (if any):	Ye O provide its legal entity
(c) Print FIRS (d) The City SAN (e) Is the CRE (g) If the ider (strator Does the	ST-CITIZENS BANK & TRUST CO. mary business name of custodian: ST-CITIZENS BANK & TRUST CO. e location of the custodian's office i: NTA CLARA the custodian a related person of y the custodian is a broker-dealer, po D Number (if any): the custodian is not a broker-dealer intifier (if any)	responsible for <i>custody</i> of the State: California our firm? rovide its SEC registration num er, or is a broker-dealer but do	Country: United States hber (if any):	Ye O provide its <i>legal entity</i>

27. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person?

	0%			
	rele	lude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining and evant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (included acations) was the valuation determined by such <i>person</i> .	-	
Ma	ırkete	<u>ers</u>		
			Yes	No
28	. (a)	Does the private fund use the services of someone other than you or your employees for marketing purposes?	0	⊙
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
A. F	PRIVA	TE FUND		
Info	orma	tion About the <i>Private Fund</i>		
1.	(a)	Name of the <i>private fund</i> :		
١.	(a)	CANAAN X LP		
	(b)	Private fund identification number:		
		(include the "805-" prefix also)		
		805-5289160219		
2.	Und	der the laws of what state or country is the <i>private fund</i> organized:		
		State: Country: Cayman Islands		
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Na	me of General Partner, Manager, Trustee, or Director		
	CA	NAAN PARTNERS X LLC		
	(h)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	(D)	No Information Filed		
4.	The	e private fund (check all that apply; you must check at least one):		
	V	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
			Yes	No
6.		Is this a "master fund" in a master-feeder arrangement?	0	•
	(b)	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed		
	(5)	Le this a "feeder fund" in a master feeder arrangement?	Yes	
		Is this a "feeder fund" in a master-feeder arrangement? If you what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	0	•
	(d)	If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

Private fund identification number: (include the "805-" prefix also)

7.	f you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:								
	No Information Filed								
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.								
		Yes	s No						
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	•						
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t							
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0						
		Yes	s No						
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•						
10.	What type of fund is the private fund?								
	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund venture capital fund C Other private	ite fur	nd:						
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.								
11.	Current gross asset value of the <i>private fund</i> : \$ 1,305,541,307								
<u>Ow</u>	vnership								
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000								
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	è							
13.	Approximate number of the <i>private fund's</i> beneficial owners: 57								
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 2%								
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 50%								
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?		S No						
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 1%								
You	ur Advisory Services	Vos	s No						
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	. NO						
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to							
	No Information Filed								
10	(a) Do any investment advisors (other than the investment advisors listed in Section 7.D. (1) A.2. (b)) advise the private fund?	_	No -						
ıδ.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>?(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the	o answ	⊙ ver						
	to question 18.(a) is "no," leave this question blank.	VV	٥.						

No Information Filed

		Yes	s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Priv	vate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	s No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-226327		
3. SE	ERVICE PROVIDERS		
Aud	<u>litors</u>	Va	s No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	<u>o</u>	_
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aud you must complete questions (b) through (f) separately for each auditing firm.	Ling Him	n,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one a firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG	iaiting	
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	BOSTON Massachusetts United States		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	⊙	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i>		s No
	investors?	•	
	(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified	opinion	ıs?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	is availa	able.
Prir	ne Broker		
2 4	(a) Doos the private funduce and or more prime brokers?	_	s No
∠4.	(a) Does the <i>private fund</i> use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the <i>priv</i>	

No Information Filed

<u>Custodian</u>

\/	
res	N

bos the private fund use any custodians (including the prime brokers listed above), to hold some or all of its assets? (a) If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses it the private fund use it to private fund use it to private fund uses. If the private fund uses it to private fund uses it to private fund uses in the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Logal name or custodian: AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC. (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City. State. Charty: BROOKLYN New York United States Yos (d) If the custodian a private passed by your time? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): CIND Number (if any) If the custodian is not a broker-dealer, are is a broker-dealer but does not have an SEC registration number, provide its legal entry dentified from the custodian, you must complete questions (b) through (g) separately for each custodian in the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian (g) the private fund uses more than one custodian; (d) The location of the custodian: BANC OF CALIFORNIA (d) The location of the custodian: CALIFORNIA (d) The location of the custodian of first responsible for custody of the private fund's ussets (city, state and country): CITY: STATE: COUNTRY LOSA NGILES California Losa Califor
TRANSFER & TRUST COMPANY, LLC
namo of custodian
custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):
New York United States
related person of your firm?
a broker dealer, provide its SEC registration number (if any).
a broker-dealer, provide its SEC registration number (if any).
todian:
todian: NIA name of custodian:
todian: NIA name of custodian: NIA
todian: NIA name of custodian: NIA custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):
todian: NIA name of custodian: NIA custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): State: Country:
todian: NIA name of custodian: NIA custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): State: Country: California United States
todian: NIA name of custodian: NIA custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): State: Country: California United States

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private* fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b)	Legal name of custodian: COMPUTERSHARE TRUST CO	MPANY, N.A.		
(c)	Primary business name of concomputershare trust co			
(d)	The location of the custodian	n's office responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	
	City: CANTON	State: Massachusetts	Country: United States	
	<i>5,</i> 	wassasmassits	Crintod Ciditos	Yes No
(e)	Is the custodian a related pe	erson of your firm?		0 0
(f)	If the custodian is a broker-	dealer, provide its SEC registration	number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a bro identifier (if any)	ker-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal</i>	l entity
fun	•		through g) below for each custodian the <i>private fund</i> uses. If (b) through (g) separately for each custodian.	the <i>private</i>
	FIRST-CITIZENS BANK & TRU	JST CO.		
(c)	Primary business name of confirmation of the FIRST-CITIZENS BANK & TRU			
(d)	The location of the custodian	n's office responsible for <i>custody</i> of	the private fund's assets (city, state and country):	
	City: SANTA CLARA	State: California	Country: United States	
				Yes No
(e)	Is the custodian a related pe	erson of your firm?		0 0
(f)	If the custodian is a broker-	dealer, provide its SEC registration	number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a bro identifier (if any)	ker-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal</i>	l entity
	•	•	through g) below for each custodian the <i>private fund</i> uses. If (b) through (g) separately for each custodian.	the <i>private</i>
(b)	Legal name of custodian: RAYMOND JAMES & ASSOCIA	TES, INC.		
(c)	Primary business name of contract RAYMOND JAMES & ASSOCIA			
(d)	The location of the custodian	n's office responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	INLVV TORK	NEW YOLK	omieu States	Yes No
11	Is the custodian a related pe	aroon of vous firms?		0.0

	8 - 10999	ker-dealer, provide its SEC registrat	ion number (ir arry).	
	CRD Number (if any):			
	705			
	(g) If the custodian is not a identifier (if any)	broker-dealer, or is a broker-deale	r but does not have an SEC registration number, provide its <i>legal</i>	l entity
		•	(b) through g) below for each custodian the <i>private fund</i> uses. If ons (b) through (g) separately for each custodian.	the <i>private</i>
	(b) Legal name of custodiar UBS FINANCIAL SERVICE			
	(c) Primary business name UBS FINANCIAL SERVICE			
	(d) The location of the customer	odian's office responsible for <i>custod</i>	y of the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	Yes No
	(e) Is the custodian a relate	ed person of your firm?		0 0
	(f) If the custodian is a bro 8 - 16267	ker-dealer, provide its SEC registrat	ion number (if any):	
	CRD Number (if any): 8174			
	(g) If the custodian is not a identifier (if any)	broker-dealer, or is a broker-deale	er but does not have an SEC registration number, provide its <i>legal</i>	I entity
	identifier (if arry)			
Admin	<u>istrator</u>			
				Yes No
26. (a	•	administrator other than your firm?		0 0
	·	(a) is "yes," respond to questions (b through (f) separately for each admi	 through (f) below. If the private fund uses more than one admir inistrator. 	nistrator, you
		No	Information Filed	
		percentage of the <i>private fund's</i> asse	ets (by value) was valued by a <i>person</i> , such as an administrator,	that is not
99 99	ur <i>related person</i> ? 6			
In re	clude only those assets where (ation used for purposes of investor s	tion procedure established for that asset, if any, including obtaini subscriptions, redemptions or distributions, and fee calculations (i	
<u>Marke</u>	ter <u>s</u>			
				Yes No
28. (a	·	· ·	u or your <i>employees</i> for marketing purposes?	0 0
	similar person. If the answer to	o question 28.(a) is "yes," respond	agent, consultant, finder, introducer, municipal advisor or other so to questions (b) through (g) below for each such marketer the <i>pr</i> complete questions (b) through (g) separately for each marketer.	rivate fund
		No	Information Filed	

1.	(a) Name of the private fund:		
	CANAAN XI LP		
	(b) <i>Private fund</i> identification number: (include the "805-" prefix also)		
	805-6674068054		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN PARTNERS XI LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
٥.	No Information Filed		
	THE THIRD THIRD	Yes	No.
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	~	
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of private fund:		
	Deivote fund identification number		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section	7.B.(1	1)
	for the master-feeder arrangement or reporting on the funds separately.		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	or each	ı of
	the feeder funds answer the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a		
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issued	ι
	The state of the desired in a single matter faile.	Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?		•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen		
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0

Information About the Private Fund

9.	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O other private fund O hedge fun	ʻate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 1,673,980,544		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	ıe	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 73		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 2%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 39%		
		Yes	s No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 8%		
<u>You</u>	ur Advisory Services	V	. N
17.	(a) Are you a subadviser to this <i>private fund</i> ?	res	s No ⊙
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		٠
	No Information Filed		
		Yes	s No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	e answ	/er
	No Information Filed		
		Yes	No No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering	Vos	s No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	©	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-291386		

	E PROVIDERS				
Auditors		Y	es l	No	
23. (a)	1) Are the <i>private fund's</i> financial statements subject to an annual audit?	(•	0	
	2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?			0	
	f the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one a ou must complete questions (b) through (f) separately for each auditing firm.	uditing fir	rm,		
	Additional Auditor Information : 1 Record(s) Filed.				
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one artific firm, you must complete questions (b) through (f) separately for each auditing firm.					
	(b) Name of the auditing firm: ERNST & YOUNG				
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):				
	City: State: Country: BOSTON Massachusetts United States				
	BOSTON Massastta	Yes	s No	,	
	(d) Is the auditing firm an independent public accountant?	•	0		
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	~		
	(c) 13 the duditing in in registered with the rabbe company necessiting everages bears.	•	O		
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42				
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board i accordance with its rules?	n o	0		
	The the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors? To all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unquality.	(es l o ons?	0	
	🤊 Yes 🔼 No 🔼 Report Not Yet Received f you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the re	nort is ava	eilahl	<i>ما</i>	
	r you thetk. Report Not Tet Received, you must promptly me an amendment to your rollingov to apadic your response when the re	JUIL IS AVA	!IIavi	E.	
Prime B	<u>bker</u>	V	es l	NΙΩ	
24. (a)	ooes the <i>private fund</i> use one or more prime brokers?			⊙	
	the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses und uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	. If the <i>pr</i> .	_		
	No Information Filed				
Custodia	<u>1</u>				
		Y	es l	No	
25. (a)	loes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If		_		
	ses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	lie piivai	!e ru	liu	
	Additional Custodian Information : 5 Record(s) Filed.				
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	f the <i>priva</i>	ate		
	(b) Legal name of custodian: BANC OF CALIFORNIA				

(c)	Primary business name of custodian: BANC OF CALIFORNIA			
(d)	The location of the custodian's office re	esponsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City:	State:	Country:	
	LOS ANGELES	California	United States	
				Yes No
(e)	Is the custodian a <i>related person</i> of you	ır firm?		0 0
(f)	If the custodian is a broker-dealer, pro	vide its SEC registration num	ber (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, identifier (if any)	or is a broker-dealer but do	es not have an SEC registration number, provi	de its <i>legal entity</i>
		•	ough g) below for each custodian the <i>private fu</i> hrough (g) separately for each custodian.	nd uses. If the <i>private</i>
	Legal name of custodian:		g., (g, espending)	
(0)	COINBASE CUSTODY TRUST COMPANY,	LLC		
(c)	Primary business name of custodian: COINBASE CUSTODY TRUST COMPANY,	LLC		
(d)			private fund's assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
(e)	Is the custodian a related person of you	ır firm?		0 0
(f)	If the custodian is a broker-dealer, pro	vide its SEC registration num	ber (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, identifier (if any)	or is a broker-dealer but do	es not have an SEC registration number, provi	de its <i>legal entity</i>
		•	ough g) below for each custodian the <i>private fu</i>	and uses. If the private
	Legal name of custodian: FIRST-CITIZENS BANK & TRUST CO.	ust complete questions (b) ti	hrough (g) separately for each custodian.	
(c)	Primary business name of custodian: FIRST-CITIZENS BANK & TRUST CO.			
	The location of the custodian's office re	esponsible for <i>custody</i> of the State:	<pre>private fund's assets (city, state and country):</pre>	
	SANTA CLARA	California	United States	
				Yes No
(e)	Is the custodian a related person of you	ır firm?		o ⊚
(f)	If the custodian is a broker-dealer, pro	vide its SEC registration num	ber (if any):	
	-			

CRD Number (if any):

	identifier (if any)		
	•		b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> is (b) through (g) separately for each custodian.
(b)	Legal name of custodian: RAYMOND JAMES & ASSOCIA	TES, INC.	
(c)	Primary business name of cu RAYMOND JAMES & ASSOCIA		
(d)	The location of the custodian	n's office responsible for <i>custody</i>	of the <i>private fund's</i> assets (city, state and country):
	City:	State:	Country:
	NEW YORK	New York	United States Yes No
(e)	Is the custodian a related pe	erson of your firm?	
	13 the customan a related pe	13011 of your min.	○ ●
(f)		dealer, provide its SEC registratio	on number (if any):
	8 - 10999		
	CRD Number (if any): 705		
(g)	If the custodian is not a brok identifier (if any)	ker-dealer, or is a broker-dealer	but does not have an SEC registration number, provide its legal entity
	•		b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> s (b) through (g) separately for each custodian.
fun	•	ian, you must complete question	
fun (b)	d uses more than one custodi Legal name of custodian:	ian, you must complete question	
(b)	d uses more than one custodian: Legal name of custodian: UBS FINANCIAL SERVICES IN Primary business name of cu UBS FINANCIAL SERVICES IN	ian, you must complete question IC. ustodian:	
(b)	d uses more than one custodian: Legal name of custodian: UBS FINANCIAL SERVICES IN Primary business name of cu UBS FINANCIAL SERVICES IN The location of the custodian City:	ian, you must complete question IC. ustodian: IC. I's office responsible for <i>custody</i> State:	of the <i>private fund's</i> assets (city, state and country): Country:
(b)	d uses more than one custodian: Legal name of custodian: UBS FINANCIAL SERVICES IN Primary business name of cu UBS FINANCIAL SERVICES IN The location of the custodian	ian, you must complete question IC. ustodian: IC. n's office responsible for <i>custody</i>	of the <i>private fund's</i> assets (city, state and country): Country: United States
(b) (c) (d)	d uses more than one custodian: Legal name of custodian: UBS FINANCIAL SERVICES IN Primary business name of cu UBS FINANCIAL SERVICES IN The location of the custodian City:	ian, you must complete question IC. Istodian: IC. It's office responsible for custody State: Massachusetts	of the <i>private fund's</i> assets (city, state and country): Country:
(b) (c) (d)	Legal name of custodian: UBS FINANCIAL SERVICES IN Primary business name of cu UBS FINANCIAL SERVICES IN The location of the custodian City: BOSTON Is the custodian a related per	ian, you must complete question IC. Istodian: IC. It's office responsible for custody State: Massachusetts	of the <i>private fund's</i> assets (city, state and country): Country: United States Yes No
(b) (c) (d) (e)	Legal name of custodian: UBS FINANCIAL SERVICES IN Primary business name of cu UBS FINANCIAL SERVICES IN The location of the custodian City: BOSTON Is the custodian a related pe	ian, you must complete question IC. Is office responsible for custody State: Massachusetts Prson of your firm?	of the <i>private fund's</i> assets (city, state and country): Country: United States Yes No

Administrator

Yes No

26. (a) Does the *private fund* use an administrator other than your firm?

0 6

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

27.	During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that your <i>related person</i> ? 0%	is not	
	Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining a relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (incluallocations) was the valuation determined by such <i>person</i> .	•	
<u>Ma</u>	<u>rketers</u>	.,	
28.	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes	NO ©
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicit similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>privat</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
	No Information Filed		
A. P	RIVATE FUND		
Info	ormation About the <i>Private Fund</i>		
1.	(a) Name of the private fund:		
	CANAAN XII LP		
	(b) Private fund identification number: (include the "805-" prefix also)		
	805-8662074922		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
2.	State: Country:		
	Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CANAAN PARTNERS XII LLC		
	(b) If filing an umbralla registration identify the filing advicer and/or relying advicer(c) that an energy (c) as manage (c) this private fund		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	⊙
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ? No Information Filed		
	NO THIOTHIAMON FILEA		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number:		

(include the "805-" prefix also)

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:					
	No Information Filed					
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	ssue	d			
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	No ⊙			
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment		٠			
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	_	_			
	(b) If yes, does the private rund invest in runds managed by you of by a related person?	0	0			
		Yes	No			
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•			
10.	What type of fund is the private fund?					
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	e fun	ıd:			
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.					
11.	Current gross asset value of the <i>private fund</i> : \$ 888,092,225					
<u>Ow</u>	<u>vnership</u>					
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000					
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).					
13.	Approximate number of the <i>private fund's</i> beneficial owners:					
	46					
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 2%					
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 18%					
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O				
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 5%					
<u>You</u>	ur Advisory Services					
17		Yes				
1/.	(a) Are you a subadviser to this <i>private fund</i>?(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17.(a) is "no," leave this question blank.	o to	•			

ZI .			0
(D	b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the pr to question 18.(a) is "no," leave this question blank.		swei
	No Information Filed		
O A.	and your eligited to invest in the private funcion		es I
	Are your <i>clients</i> solicited to invest in the <i>private fund?</i> NOTE: For purposes of this question, do not consider feeder funds of the private fund.	(0
700	NOTE. For purposes of this question, do not consider reeder funds of the private fund.		
	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>rivat</u>	te Offering		
1. Ha	las the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act	of 10222	es I
2. If	f yes, provide the <i>private fund's</i> Form D file number (if any):		
Fo	Form D file number		
0:	021-365683		
Audito	<u>ors</u>	Y	'es
:3. (a	a) (1) Are the private fund's financial statements subject to an annual audit?	4	•
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	(•
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more	than one auditing fi	.rm,
	you must complete questions (b) through (f) separately for each auditing firm.		
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more firm, you must complete questions (b) through (f) separately for each auditing firm.	re than one auditing]
	(b) Name of the auditing firm: ERNST & YOUNG		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	BOSTON Massachusetts United States	v	
	(d) Is the auditing firm an independent public accountant?		s No
	(a) 13 the additing him an independent public accountants	•	О
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversig accordance with its rules?	ght Board in 👩	0
		Υ	'es
(g	g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private</i> investors?	fund's (•
	h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment conta	in unqualified opinic	ons?
(h	.,	•	
(h			
(g	investors?	e fund's (•

Yes No

		No Informa	ion Filed	
<u>an</u>				
Does	the private fund use any custodians (i	including the prime brokers list	ed above) to hold some or all of its assets?	
	e answer to question 25.(a) is "yes," r more than one custodian, you must c		n (g) below for each custodian the <i>private fu</i> (g) separately for each custodian.	and uses. If the priv
Addi	itional Custodian Information : 3 Rec	cord(s) Filed.		
		•	ugh g) below for each custodian the <i>private i</i> rough (g) separately for each custodian.	fund uses. If the pri
(b)	Legal name of custodian: BANC OF CALIFORNIA			
(c)	Primary business name of custodian: BANC OF CALIFORNIA	:		
(d)	The location of the custodian's office	e responsible for <i>custody</i> of the	orivate fund's assets (city, state and country)	:
	City:	State:	Country:	
	LOS ANGELES	California	United States	Ye
(e)	Is the custodian a related person of y	your firm?		0
(f)	If the custodian is a broker-dealer, p	provide its SEC registration num	per (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-deale identifier (if any)	er, or is a broker-dealer but do	es not have an SEC registration number, pro	vide its <i>legal entity</i>
		•	ugh g) below for each custodian the <i>private i</i> rough (g) separately for each custodian.	fund uses. If the pr
	Legal name of custodian:	, , ,		
	FIRST-CITIZENS BANK & TRUST CO.			
(c)	Primary business name of custodian: FIRST-CITIZENS BANK & TRUST CO.	:		
(d)	The location of the custodian's office	e responsible for custody of the	private fund's assets (city, state and country)	:
	City: SANTA CLARA	State: California	Country: United States	
	SANTA CLARA	Camornia	offited States	Ye
(e)	Is the custodian a related person of y	your firm?		0
	If the custodian is a broker-dealer, p	provide its SEC registration num	per (if any):	
(f)	_			

(h	(b) Legal name of custo	odian:		
	UBS FINANCIAL SER			
(c	(c) Primary business na UBS FINANCIAL SER			
(d	(d) The location of the	custodian's office responsible for custod	y of the <i>private fund's</i> assets (city, state and co	ountry):
	City: BOSTON	State: Massachusetts	Country: United States	
				Yes
(e	(e) Is the custodian a <i>r</i>	related person of your firm?		0
(f)		a broker-dealer, provide its SEC registrat	ion number (if any):	
	8 - 16267 CRD Number (if any)·		
	8174	7.		
(g	(g) If the custodian is r identifier (if any)	not a broker-dealer, or is a broker-deale	r but does not have an SEC registration numb	er, provide its <i>legal entity</i>
strate	<u>tor</u>			
strate	<u>tor</u>			Υe
Doe	es the <i>private fund</i> use	an administrator other than your firm?		c
Doe If th	es the <i>private fund</i> use the answer to question	·) through (f) below. If the <i>private fund</i> uses m inistrator.	c
Doe If th	es the <i>private fund</i> use the answer to question	26.(a) is "yes," respond to questions (b) through (f) separately for each admit		c
Doe If th	es the <i>private fund</i> use the answer to question	26.(a) is "yes," respond to questions (b) through (f) separately for each admit	inistrator.	c
Doe If th mus	es the <i>private fund</i> use the answer to question ust complete questions	26.(a) is "yes," respond to questions (b) through (f) separately for each admi	Information Filed	ore than one administrator,
Doe If th mus	es the <i>private fund</i> use the answer to question ust complete questions	26.(a) is "yes," respond to questions (b) through (f) separately for each admi	inistrator.	ore than one administrator,
Doe If th mus ring y ur rela clude evant	es the <i>private fund</i> use the answer to question ust complete questions your last fiscal year, we lated person?	26.(a) is "yes," respond to questions (b) through (f) separately for each admit No No what percentage of the <i>private fund's</i> asserter (i) such <i>person</i> carried out the valua	Information Filed	ore than one administrator, s an administrator, that is no
Doe If th mus ring y ur rela clude evant	es the <i>private fund</i> use the answer to question ust complete questions your last fiscal year, we lated person?	26.(a) is "yes," respond to questions (b) (b) through (f) separately for each admit No No what percentage of the <i>private fund's</i> assertere (i) such <i>person</i> carried out the valual valuation used for purposes of investors	Information Filed ets (by value) was valued by a <i>person</i> , such as tion procedure established for that asset, if an	s an administrator, that is no y, including obtaining any d fee calculations (including
Doe If th mus ring y ur rela lude evant ocation	es the <i>private fund</i> use the answer to question ust complete questions your last fiscal year, we lated person? e only those assets when t quotes, and (ii) the valuation	26.(a) is "yes," respond to questions (b) (b) through (f) separately for each admit No	Information Filed ets (by value) was valued by a <i>person</i> , such as tion procedure established for that asset, if an	ore than one administrator, s an administrator, that is no
ring yar related by the position of the positi	es the <i>private fund</i> use the answer to question ust complete questions your last fiscal year, we lated person? e only those assets when t quotes, and (ii) the valuation was the valuation es the <i>private fund</i> use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person.	26.(a) is "yes," respond to questions (b) (b) through (f) separately for each admit No	Information Filed ets (by value) was valued by a <i>person</i> , such as tion procedure established for that asset, if an subscriptions, redemptions or distributions, and	ore than one administrator, one than one administrator, that is not an administrator, that is not y, including obtaining any differ calculations (including Year advisor or other solicitor, or other marketer the private functions).
ring yar related by the position of the positi	es the <i>private fund</i> use the answer to question ust complete questions your last fiscal year, we lated person? e only those assets when t quotes, and (ii) the valuation was the valuation es the <i>private fund</i> use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person. If the answer set the private fund use u must answer "yes" would a person.	26.(a) is "yes," respond to questions (b) (b) through (f) separately for each admit No	Information Filed ets (by value) was valued by a <i>person</i> , such as tion procedure established for that asset, if an subscriptions, redemptions or distributions, and or your <i>employees</i> for marketing purposes? egent, consultant, finder, introducer, municipal to questions (b) through (g) below for each su	ore than one administrator, one than one administrator, that is not an administrator, that is not y, including obtaining any differ calculations (including Year advisor or other solicitor, or other marketer the private functions).

Information About the Private Fund

1. (a) Name of the private fund:

CANAAN XIII LP

(b) Private fund identification number: (include the "805-" prefix also)

2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director CANAAN PARTNERS XIII LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
J.	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
	(c) Is this a "feeder fund" in a master-feeder arrangement?(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i>:	Yes O	No ⊙
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
_		Yes	
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		•
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	te fun	nd:

11.		rent gross asset value of the <i>private fund</i> : 50,000,000		
<u>Ow</u>	ners	<u>ship</u>		
12.		imum investment commitment required of an investor in the <i>private fund</i> : ,000,000		
		TE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).		
13.	Арр 39	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wh.	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 179	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
			Yes	No
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wh	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ur Ac	dvisory Services	Yes	No
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	0	•
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i> If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the	O answe	⊙ er
		to question 18.(a) is "no," leave this question blank.		
		No Information Filed		
10	۸۲۵	your diants solicited to invest in the private fund	Yes	
19.		your <i>clients</i> solicited to invest in the <i>private fund?</i> TE: For purposes of this question, do not consider feeder funds of the private fund.	0	⊙
	NO	rt. For purposes of this question, do not consider reeder funds of the private fund.		
20.	App 0%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund?</i>		
<u>Pri</u>	<u>vate</u>	<u>Offering</u>		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	If y	es, provide the <i>private fund's</i> Form D file number (if any):		
		rm D file number		
	02	1-469700		
B. S	ERVI	CE PROVIDERS		
Aud	ditor	<u>s</u>	Yes	No
23	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	es ⊙	O
	\- /	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0

 ${\tt NOTE:}\ \ {\tt For\ definitions\ of\ these\ fund\ types,\ please\ see\ Instruction\ 6\ of\ the\ Instructions\ to\ Part\ 1A.$

	litional Auditor Information : 1 Record(s) Filed.		
''''	the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more m, you must complete questions (b) through (f) separately for each auditing firm.	e than one auditin	ıg
	Name of the auditing firm: ERNST & YOUNG		
(c)	The location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
	City: State: Country: BOSTON Massachusetts United States		
	BOSTON Massachusetts United States	Ye	s No
(d)	Is the auditing firm an independent public accountant?	•	0
(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 42		
	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversigh	nt Board in	0
	accordance with its rules?		
Are th	the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private t</i>		Yes No ⊙ C
	stors?		
Do all	Il of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain	n unqualified opin	ions?
• Ye	es O No O Report Not Yet Received		
If you	u check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response wh	nen the report is av	vailable
Broker	r		
		,	Yes N
Does	s the <i>private fund</i> use one or more prime brokers?		0 0
	e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private</i> is uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	fund uses. If the p	orivate
	No Information Filed		
<u>an</u>		,	Yes N
	s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	,	Yes No
If the	s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? e answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> more than one custodian, you must complete questions (b) through (g) separately for each custodian.		© (
Does of the uses r	e answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i>		© (
Does of the uses r	e answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> more than one custodian, you must complete questions (b) through (g) separately for each custodian.		© (
Does of the uses r	e answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> more than one custodian, you must complete questions (b) through (g) separately for each custodian.	d uses. If the <i>priva</i>	⊙ Cate fund
Does of the uses of Addition (b)	e answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> more than one custodian, you must complete questions (b) through (g) separately for each custodian. Ilitional Custodian Information: 3 Record(s) Filed. the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i>	d uses. If the <i>priva</i>	⊙ Cate fund
Does of the uses represented the uses represented to the use of the u	e answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private function one custodian, you must complete questions (b) through (g) separately for each custodian. Itional Custodian Information: 3 Record(s) Filed. The answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private function of uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian:	d uses. If the <i>priva</i>	⊙ Cate fund
Does of the uses r Addit If the fund (b)	e answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private function more than one custodian, you must complete questions (b) through (g) separately for each custodian. Ilitional Custodian Information: 3 Record(s) Filed. the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private function of uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: BANC OF CALIFORNIA Primary business name of custodian:	d uses. If the <i>priva</i>	⊙ Cate fund

				Yes No
(e)	Is the custodian a related person of	your firm?		0 0
(f)	If the custodian is a broker-dealer,	nrovide its SEC registration nu	mber (if any):	
(1)	-	provide its see registration ha	meer (ii dily).	
	CRD Number (if any):			
(g)		ler, or is a broker-dealer but o	does not have an SEC registration number, provide its	s legal entity
	identifier (if any)			
			rough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian.	es. If the <i>private</i>
(b)	Legal name of custodian:			
	FIRST-CITIZENS BANK & TRUST CO.			
(c)	Primary business name of custodian	ı:		
(0)	FIRST-CITIZENS BANK & TRUST CO.			
(ما)	The leastion of the quotedian's office	a maamamalkia fan ayatady af th	a multiple formula accepta (ait o atata and accepts).	
(d)	City:	e responsible for <i>custody</i> or th State:	e <i>private fund's</i> assets (city, state and country): Country:	
	SANTA CLARA	California	United States	
				Yes No
(e)	Is the custodian a <i>related person</i> of	your firm?		0 0
(f)	If the custodian is a broker-dealer,	provide its SEC registration nu	mber (if any):	
	-			
	CRD Number (if any):			
(g)		ler, or is a broker-dealer but o	does not have an SEC registration number, provide its	s legal entity
	identifier (if any)			
	-		rough g) below for each custodian the <i>private fund</i> us through (g) separately for each custodian.	ses. If the <i>private</i>
	, and the second			
(b)	Legal name of custodian: UBS FINANCIAL SERVICES INC.			
	ODS FINANCIAE SERVICES INC.			
(c)	Primary business name of custodian	n:		
	UBS FINANCIAL SERVICES INC.			
(d)	The location of the custodian's office	e responsible for <i>custody</i> of th	e private fund's assets (city, state and country):	
	City: State		Country:	
	BOSTON Massa	achusetts	United States	Vac Na
(e)	Is the custodian a <i>related person</i> of	your firm?		Yes No
		-		~ ·
(f)	If the custodian is a broker-dealer,	provide its SEC registration nu	mber (if any):	
	8 - 16267			
	CRD Number (if any): 8174			
(g)	If the custodian is not a broker-dea identifier (if any)	ler, or is a broker-dealer but o	does not have an SEC registration number, provide its	s legal entity

			Yes	No
26.	(a)	Does the <i>private fund</i> use an administrator other than your firm?	0	\odot
		If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrate must complete questions (b) through (f) separately for each administrator.	or, y	ou
		No Information Filed		
27.	you	iring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that i ur <i>related person</i> ?	s not	
	0%			
	rele	clude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining an evant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includ ocations) was the valuation determined by such <i>person</i> .	-	
Ma	rkete	<u>ters</u>		
<u>Ma</u>	rkete	ters_	Yes	No
		ters Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes O	No ©
			O , or	_
		Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i>	O , or	_
		Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	O , or	_
		Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	O , or	_
		Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	O , or	_

1.	Name of the private fund:
	CANAAN EXTEND FUND, L.P.
2.	
	(include the "805-" prefix also)
	805-1365484438
3.	Name and SEC File number of adviser that provides information about this <i>private fund</i> in Section 7.B.(1) of Schedule D of its Form ADV filing
	Name:
	SAINTS CAPITAL
	SEC File Number:
	801 - 74208
	Yes N
4.	Are your <i>clients</i> solicited to invest in this <i>private fund</i> ?
	In answering this question, disregard feeder funds' investment in a master fund. For purposes of this question, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

In this Item, we ask you to identify every person that, directly or indirectly, controls you. If you are filing an umbrella registration, the information in Item 10 should be provided for the filing adviser only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

Yes No

 \circ

- Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?
 - If yes, complete Section 10.A. of Schedule D.
- If any person named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

SECTION 10.B. Control Person Public Reporting Companies

No Information Filed

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your advisory affiliates. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the filing adviser and all relying advisers under an umbrella registration.

Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your

cal	closure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purp culating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from prel lers, judgments, or decrees lapsed.			
Υοι	u must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.			
		Yes	No	
Do	any of the events below involve you or any of your supervised persons?	•		
For	"yes" answers to the following questions, complete a Criminal Action DRP:			
A.	In the past ten years, have you or any advisory affiliate:	Yes	No	
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	\circ	\odot	
	(2) been <i>charged</i> with any <i>felony</i> ?	0	•	
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) charges that are currently pending.	to		
B.	In the past ten years, have you or any advisory affiliate:			
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	•	
	(2) been <i>charged</i> with a <i>misdemeanor</i> listed in Item 11.B.(1)?	0	•	
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) charges that are currently pending.	to		
For	"yes" answers to the following questions, complete a Regulatory Action DRP:			
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No	
	(1) found you or any advisory affiliate to have made a false statement or omission?	\circ	⊙	
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	⊙	0	
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•	
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	\odot	\circ	
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	⊙	0	
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:			
	(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	\circ	\odot	
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	\circ	\odot	
	(3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•	
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	0	•	
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	•	
E.	Has any self-regulatory organization or commodities exchange ever:			
	(1) found you or any advisory affiliate to have made a false statement or omission?	\circ	\odot	
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	•	
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•	
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	•	
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	•	
G.	Are you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	•	
<u>Fo</u> r	"yes" answers to the following questions, complete a Civil Judicial Action DRP:			
Н.	(1) Has any domestic or foreign court:	Yes	No	
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	0	•	
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	•	

(c) ever dismissed, pursuant to a settlement agreement, an *investment-related* civil action brought against you or any *advisory affiliate* by a

(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?

\circ

Schedule A

Direct Owners and Executive Officers

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

DE/FE/I Title or Status

A - 5% but less than 10% $\,$ C - 25% but less than 50% $\,$ E - 75% or more

7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

Date Title or Status Ownership Control PR CRD No. If None: S.S. No. and

- (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
- (c) Complete each column.

 FULL LEGAL NAME (Individuals:

Last Name, First Name, Middle			Acquired	Code	Person		Date of Birth, IRS Tax No. or
Name)			MM/YYYY				Employer ID No.
CANAAN MANAGEMENT, INC.	DE	MEMBER	01/2015	Е	Υ	N	
Ibrahim, Maha, Saleh	I	VICE PRESIDENT	01/2018	NA	Υ	N	6930242
AHRENS, BRENTON, K.	I	MANAGER OF CANAAN	04/2005	А	Υ	N	6045412
		PARTNERS VII LLC					
BALEN, JOHN, V.	I	MANAGER OF CANAAN	04/2005	Α	Υ	N	6045411
		PARTNERS VII LLC					
Ibrahim, Maha, Saleh	I	MANAGER OF CANAAN	04/2005	А	Υ	N	6930242
		PARTNERS VII LLC					
KAMRA, DEEPAK	I	MANAGER OF CANAAN	04/2005	А	Υ	N	6045410
		PARTNERS VII LLC					
Bloch, Stephen	I	MANAGER OF CANAAN	04/2005	А	Υ	N	7092169
		PARTNERS VII LLC					
SAWYER, WENDE, ELLEN	I	MANAGER OF CANAAN	04/2005	А	Υ	N	1120899
		PARTNERS VII LLC					
KJELLSON, NINA, SOFIA	I	MANAGER OF CANAAN	10/2014	А	Υ	N	4313477
		PARTNERS X LLC					
Shannon, Tim	I	MANAGER OF CANAAN	10/2014	А	Υ	N	7092172
		PARTNERS X LLC					
Simonian, Hrach	I	MANAGER OF CANAAN	10/2014	А	Υ	N	7092173
		PARTNERS X LLC					
Boyle, Richard	I	MANAGER OF CANAAN	07/2017	А	Υ	N	7092175
		PARTNERS XI LLC					
RUSSO, GUY, M.	I	MANAGER OF CANAAN	04/2005	А	Υ	N	6045413
		PARTNERS VII LLC					
AHRENS, BRENTON, K.	I	MANAGER OF CANAAN	11/2007	А	Υ	N	6045412
		PARTNERS VIII LLC					
BALEN, JOHN, V.	I	MANAGER OF CANAAN	11/2007	А	Υ	N	6045411
		PARTNERS VIII LLC					
Bloch, Stephen	ı	MANAGER OF CANAAN	11/2007	А	Υ	N	7092169

		PARTNERS VIII LLC					
SAWYER, WENDE, ELLEN	I	MANAGER OF CANAAN PARTNERS VIII LLC	11/2007	A	Y	N	1120899
Ibrahim, Maha, Saleh	I	MANAGER OF CANAAN PARTNERS VIII LLC	11/2007	А	Υ	N	6930242
KAMRA, DEEPAK	I	MANAGER OF CANAAN PARTNERS VIII LLC	11/2007	А	Υ	N	6045410
RUSSO, GUY, M.	I	MANAGER OF CANAAN PARTNERS VIII LLC	11/2007	А	Υ	N	6045413
AHRENS, BRENTON, K.	I	MANAGER OF CANAAN PARTNERS IX LLC	01/2012	А	Υ	N	6045412
Bloch, Stephen	I	MANAGER OF CANAAN PARTNERS IX LLC	01/2012	A	Υ	N	7092169
SAWYER, WENDE, ELLEN	I	MANAGER OF CANAAN PARTNERS IX LLC	01/2012	А	Υ	N	1120899
Ibrahim, Maha, Saleh	I	MANAGER OF CANAAN PARTNERS IX LLC	01/2012	А	Υ	N	6930242
KAMRA, DEEPAK	I	MANAGER OF CANAAN PARTNERS IX LLC	01/2012	А	Υ	N	6045410
RUSSO, GUY, M.	I	MANAGER OF CANAAN PARTNERS IX LLC	01/2012	А	Υ	N	6045413
AHRENS, BRENTON, K.	I	MANAGER OF CANAAN PARTNERS X LLC	10/2014	А	Υ	N	6045412
Bloch, Stephen	I	MANAGER OF CANAAN PARTNERS X LLC	10/2014	А	Υ	N	7092169
SAWYER, WENDE, ELLEN	I	MANAGER OF CANAAN PARTNERS X LLC	10/2014	А	Υ	N	1120899
Ibrahim, Maha, Saleh	I	MANAGER OF CANAAN PARTNERS X LLC	10/2014	А	Υ	N	6930242
KAMRA, DEEPAK	I	MANAGER OF CANAAN PARTNERS X LLC	10/2014	А	Υ	N	6045410
RUSSO, GUY, M.	I	MANAGER OF CANAAN PARTNERS X LLC	10/2014	А	Υ	N	6045413
AHRENS, BRENTON, K.	I	MANAGER OF CANAAN PARTNERS XI LLC	07/2017	А	Υ	N	6045412
SAWYER, WENDE, ELLEN	I	MANAGER OF CANAAN PARTNERS XI LLC	07/2017	А	Υ	N	1120899
Ibrahim, Maha, Saleh	I	MANAGER OF CANAAN PARTNERS XI LLC	07/2017	А	Υ	N	6930242
KJELLSON, NINA, SOFIA	I	MANAGER OF CANAAN PARTNERS XI LLC	07/2017	А	Υ	N	4313477
RUSSO, GUY, M.	I	MANAGER OF CANAAN PARTNERS XI LLC	07/2017	А	Υ	N	6045413
Shannon, Tim	I	MANAGER OF CANAAN PARTNERS XI LLC	07/2017	А	Υ	N	7092172
Simonian, Hrach	I	MANAGER OF CANAAN PARTNERS XI LLC	07/2017	А	Υ	N	7092173
Pacifico, John	I	CHIEF OPERATING OFFICER AND CHIEF FINANCIAL OFFICER	01/2019	NA	Y	N	7092176
BHATTACHARYYA, JOYDEEP	I	MANAGER OF CANAAN PARTNERS XI LLC	03/2019	А	Υ	N	5939600
AHRENS, BRENTON, K.	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	А	Υ	N	6045412
BHATTACHARYYA, JOYDEEP	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	A	Υ	N	5939600
Boyle, Richard	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	А	Υ	N	7092175
Ibrahim, Maha, Saleh	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	A	Υ	N	6930242
KJELLSON, NINA, SOFIA	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	А	Υ	N	4313477
Pacifico, John	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	A	Υ	N	7092176
Shannon, Tim	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	A	Υ	N	7092172
Simonian, Hrach	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	A	Υ	N	7092173
DICKINSON, BRENDAN, CHARLES	I	MANAGER OF CANAAN	04/2020	А	Υ	N	5474951

		PARTNERS XII LLC					
BALEN, JOHN, V.	I	MANAGER OF CANAAN PARTNERS IX LLC	01/2012	A	Υ	N	6045411
Grant, Julie, Papanek	I	MANAGER OF CANAAN PARTNERS XII LLC	04/2020	A	Y	N	7531328
Shannon, Tim	I	VICE PRESIDENT	01/2023	NA	Υ	N	7092172
CANAAN PARTNERS XIII LLC	DE	MANAGER	01/2023	NA	Υ	N	
BHATTACHARYYA, JOYDEEP	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Υ	N	5939600
Boyle, Richard	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Y	N	7092175
DICKINSON, BRENDAN, CHARLES	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Y	N	5474951
Grant, Julie, Papanek	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Y	N	7531328
Ibrahim, Maha, Saleh	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Y	N	6930242
Pacifico, John	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Y	N	7092176
Shannon, Tim	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Y	N	7092172
Simonian, Hrach	I	MANAGER OF CANAAN PARTNERS XIII LLC	01/2023	A	Y	N	7092173
CANAAN MANAGEMENT LLC	DE	MANAGER OF CANAAN 2020+ CO-INVESTMENT LLC	08/2020	NA	Y	N	
CANAAN MANAGEMENT LLC	DE	MANAGER OF CANAAN PARTNERS GOLD COAST LLC	03/2023	NA	Y	N	
CANAAN MANAGEMENT LLC	DE	MANAGER OF CANAAN WH GP	05/2023	NA	Υ	N	

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:

LLC

(a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more

DE/FE/I Entity in

- D 50% but less than 75% $\,$ F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

Status

(c) Complete each column.

FULL LEGAL NAME

(Individuals: Last Name, First Name, Middle Name)		Which Interest is Owned		Acquired MM/YYYY	Code	Person		No. and Date of Birth, IRS Tax No. or Employer ID No.
Ibrahim, Maha, Saleh	I	CANAAN	DIRECTOR, SHAREHOLDER AND VICE PRESIDENT	01/2018	С	Y		6930242
Pacifico, John	I	CANAAN	DIRECTOR, SHAREHOLDER, CHIEF	12/2020	С	Υ	N	7092176

Date Status Ownership Control PR CRD No. If None: S.S.

		MANAGEMEI INC.		OPERATIONS OFFICER, TREASURER AND SECRETARY					
Shannon, Tim		I CANAAN MANAGEMEI		DIRECTOR, SHAREHOLDER AND VICE PRESIDENT	01/2023	С	Υ	N	7092172
		INC.							
Schedule D - Miscel You may use the sr		explain a response to	n an	Item or to provide any other inform	nation				
rea may ase me sp	acc scient to	oxpiaiir a response to	, an	Them of to provide any other inform					
DRP Pages									
		RTING PAGE (ADV)							
No Information Filed	d								
REGULATORY ACT	ION DISCLO	SURE REPORTING P	AGE	(ADV)					
This Disalegues Day	arting Dage ((DDD ADV) is an - IN	1714	GENERAL INSTRUCTIONS	a mamant data!!	o for officer	tiva maam		as to Itamas 11 C 11 D
11.E., 11.F. or 11.G			IIIA	L OR • AMENDED response used to	o report detail	s for affirmat	tive resp	ons	es to Items II.C., II.D.,
				Regulatory Action					
Check item(s) bein 11.C(1)	•	to: ☑ 11.C(2)		☐ 11.C(3)	☑ 11.C(4)		17	111	.C(5)
11.D(1)		□ 11.C(2)		□ 11.D(3)	□ 11.C(4)				.C(5) .D(5)
□ 11.E(1)		□ 11.E(2)		□ 11.E(3)	□ 11.E(4)				
□ 11.F.		□ 11.G.							
with a completed E	xecution Pag ult in more th	je. nan one affirmative ar	iswe	me event or <i>proceeding</i> may be reported to Items 11.C., 11.D., 11.E., 11.F. in one regulator, provide details for	or 11.G. Use	only one DRI	P to repo		•
PART I) for the control DDD in	l !:	an Cladia (ana)					
A. The person(s) • You (the a) for whom this DRP is	peli	ng filed is (are):					
O You and or	ne or more of	f your <i>advisory affiliate</i>	S						
One or mo	re of your <i>ad</i>	lvisory affiliates							
	-	•	-	the full name of the <i>advisory affiliate</i> at number. If not, indicate "non-reg					
ADV DRP - AL	OVISORY AFFI	LIATE							
	161180	Tr	nis a	dvisory affiliate is 🌀 a Firm 🤼 an I	ndividual				
Number: Reaistered:	6								
	• Yes • I								
		als, Last, First,							
☐ This DRP s registered	hould be rem or applying f	noved from the ADV re	cord	d because the <i>advisory affiliate(s)</i> is red because: (1) the event or <i>proceedi</i> ate. EC or reporting as an <i>exempt report</i> .	ng occurred mo	ore than ten	years ag	go c	
11.D(4), and	only if that e	•	an 1	urities authority, you may remove a ten years ago. If you are registered years ago.				_	•
☐ This DRP s	hould be rem	noved from the ADV re	cord	d because it was filed in error, such	as due to a cle	rical or data	-entry m	nista	ke. Explain the

	circumstances:							
В.	B. If the <i>advisory affiliate</i> is registered through the IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided.							
	C Yes C No							
	NOTE: The completion of this form does not relieve the advisory affiliate of its	obligation to update its IARD or <i>CRD</i> records.						
PAR	тп							
1.	Regulatory Action initiated by:							
	⊙SEC ⊙Other Federal ⊙State ⊙ _{SRO} ⊙Foreign							
	(Full name of regulator, <i>foreign financial regulatory authority</i> , federal, state, or SECURITIES AND EXCHANGE COMMISSION	· SRO)						
2.	Principal Sanction:							
	Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:							
	CEASE AND DESIST; CENSURE							
3.	Date Initiated (MM/DD/YYYY):							
	02/15/2022 © Exact © Explanation							
	If not exact, provide explanation:							
	Docket/Case Number							
4.	Docket/Case Number: 3-21079							
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regul	atory action (if applicable):						
6.	Principal Product Type: Other							
	Other Product Types:							
	VENTURE CAPITAL FUNDS							
7.	Describe the allegations related to this regulatory action (your response must the U.S. SECURITIES AND EXCHANGE COMMISSION ("SEC") ALLEGED THAT A UNDER THE INVESTMENT ADVISERS ACT OF 1940, THE SEC'S "PAY-TO-PLAY" FROM PROVIDING COMPENSATED ADVISORY SERVICES WITHIN TWO YEARS A CONTRIBUTIONS. THE ALLEGED VIOLATION OF THE RULE WAS BASED ON A \$COVERED ASSOCIATES MADE IN 2018 TO THE CAMPAIGN OF A CANDIDATE FOR APPOINTS OTHER MEMBERS TO, THE BOARD OF A GOVERNMENT ENTITY THAT SYSTEM. THAT GOVERNMENT ENTITY HAD PREVIOUSLY, BETWEEN 7 AND 13 YEAR CLOSED-END FUNDS ADVISED BY THE REGISTRANT.	COVERED ASSOCIATE OF THE REGISTRANT VIOLATED RULE 206(4)-5 RULE (THE "RULE"), WHICH PROHIBITS AN EXEMPT REPORTING ADVISER IFTER A COVERED ASSOCIATE MAKES CERTAIN POLITICAL I,000 CAMPAIGN CONTRIBUTION THAT ONE OF THE REGISTRANT'S OR GOVERNOR OF CALIFORNIA. THE GOVERNOR SERVES ON, AND SELECTS INVESTMENT ADVISERS FOR A CALIFORNIA STATE UNIVERSITY						
8.	Current Status? O Pending O On Appeal Final							
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Cour	t) and Date Appeal Filed:						
lf F	Final or On Appeal, complete all items below. For Pending Actions, complete Ite	m 13 only.						
10.	How was matter resolved: Settled							
11.	Resolution Date (MM/DD/YYYY):							
	09/15/2022 © Exact C Explanation							
	If not exact, provide explanation:							
12.	. Resolution Detail:							
	A. Were any of the following Sanctions Ordered (check all appropriate item	s)?						
	Monetary/Fine Amount: \$ 95,000.00							
	Revocation/Expulsion/Denial	Disgorgement/Restitution						
	Censure	Cease and Desist/Injunction						
	☐ Bar	☐ Suspension						

Other Sanctions Ordered:

Sanction detail: if suspended, enjoined or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an advisory affiliate, date paid and if any portion of penalty was waived:

WITHOUT ADMITTING OR DENYING LIABILITY, THE REGISTRANT AGREED TO PAY A \$95,000 PENALTY. THE REGISTRANT PAID THE \$95,000 PENALTY ON SEPTEMBER 19, 2022.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

THE REGISTRANT AND THE SEC AGREED TO SETTLE THE MATTER ON TERMS OUTLINED BY THE SEC STAFF, PURSUANT TO WHICH, WITHOUT ADMITTING OR DENYING LIABILITY, THE REGISTRANT AGREED TO PAY A \$95,000 PENALTY. THE ORDER NOTED THAT THE RULE DOES NOT REQUIRE A SHOWING OF ACTUAL INTENT TO INFLUENCE A CANDIDATE FOR ELECTED OFFICE, AND THUS THERE IS NO SUCH FINDING, AND THAT THE SEC IS NOT REQUIRED TO SHOW THAT THE REGISTRANT WAS AWARE THAT IT WAS VIOLATING ANY RULE. THE REGISTRANT PAID THE \$95,000 PENALTY ON SEPTEMBER 19, 2022.

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your principal office and place of business and any other state in which you are submitting a notice filing, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, order instituting proceedings, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative proceeding or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, proceeding, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your principal office and place of business or of any state in which you are submitting a notice filing.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any person having custody or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:

Date: MM/DD/YYYY

JOHN J. PACIFICO

03/28/2024

Printed Name:

JOHN J. PACIFICO

GENERAL PARTNER, COO & CFO

Adviser CRD Number:

161180

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a notice filing, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, order instituting proceedings, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative proceeding or

arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

161180

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
Printed Name:	Title:
Adviser CRD Number:	