FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS.

	UNIFORWI AI	PPLICATION FOR INVESTIME	INT ADVISER REGISTRATION AN	D REPORT BY EXEMPT REPORTING ADVISE	:K3
Prin	mary Business Name: CENTA	URUS CAPITAL			CRD Number: 16165
Ann	nual Amendment - All Sections	s			Rev. 10/201
3/1/2	2013 12:35:42 PM				
WA	·	-	sions may result in denial of your applications.	ation, revocation of your registration, or criminal prose	cution. You must
ltem	n 1 Identifying Information				
Res	sponses to this Item tell us wh	no you are, where you are doing bu	isiness, and how we can contact you.		
A.	Your full legal name (if you a CENTAURUS CAPITAL LIMIT	are a sole proprietor, your last, first, ED	, and middle names):		
B.	Name under which you prima	arily conduct your advisory busines	ss, if different from Item 1.A.:		
	List on Section 1.B. of Scheo	dule D any additional names under	which you conduct your advisory busine	ess.	
C.	If this filing is reporting a cha ☐ your legal name or ☐ you		or primary business name (Item 1.B.), e	nter the new name and specify whether the name cha	inge is of
D.		the SEC as an investment adviser, san exempt reporting adviser, you	, your SEC file number: 801-74484 ur SEC file number:		
E.	If you have a number ("CRD	Number") assigned by the FINRA	's CRD system or by the IARD system, yo	ur <i>CRD</i> number: 161651	
	If your firm does not have a	CRD number, skip this Item 1.E. D	o not provide the CRD number of one of	your officers, employees, or affiliates.	
F.	Principal Office and Place of	f Business			
	(1) Address (do not use a P Number and Street 1: 33 CAVENDISH SQUAR City: LONDON	·	Number and Street 2: FLOOR 16 Country: United Kingdom	ZIP+4/Postal Code: W1G 0PW	
	If this address is a priva	te residence, check this box:			
	registration, or are registered whom you are registered	tered, with one or more state secur	rities authorities, you must list all of your	at which you conduct investment advisory business. In the state or states to which you are applying to SEC, or if you are reporting to the SEC as an exempt	for registration or with
	(2) Days of week that you n Monday - Friday		orincipal office and place of business:		
	Normal business hours 9-5	at this location:			
	(3) Telephone number at th 44 0 207 852 3830	nis location:			
	(4) Facsimile number at this 44 0 207 852 3850	s location:			
G.	Mailing address, if different f	rom your <i>principal office and place</i>	e of business address:		
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	If this address is a private re	esidence, check this box:			
Н.	If you are a sole proprietor, s	state your full residence address, if	different from your principal office and p	lace of business address in Item 1.F.:	
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	

Yes No

I. Do you have one or more websites?

	If "yes," list all website addresses on Section 1.I. of Schedule D. If a website address serves as a portal through which to access other information you have published on the web, you may list the portal without listing addresses for all of the other information. Some advisers may need to list more than one portal address. Do not provide individual electronic mail (e-mail) addresses in response to this Item.					
J.	J. Provide the name and contact information of your Chief Compliance Officer: If you are an exempt reporting adviser, you must provide the contact information for your Chief Compliance Officer, if you have one. If not, you must complete Item 1.K. below.					
	Name:	Other title	es, if any:			
	Telephone number:	Facsimile	e number:			
	Number and Street 1:	Number a	and Street 2:			
	City: State:	Country:	ZIP+4/	Postal Code:		
	Electronic mail (e-mail) address, if Chief	f Compliance Officer has one:				
K.	Additional Regulatory Contact Person: If may provide that information here.	a person other than the Chief Compliance O	fficer is authorized to receive i	nformation and respond to questions about this For	m ADV,	you
	Name:	Titles:				
	Telephone number:	Facsimil	e number:			
	Number and Street 1:		and Street 2:			
	City: State:	Country:		Postal Code:		
	Oity.	Godnity.	ZII 1-1/	r ostar oode.		
	Electronic mail (e-mail) address, if conta	act person has one:			Vos	. No
	Do you maintain some or all of the books	and records you are required to keep under	Coation 204 of the Advisors A	at ar similar state law samewhere other than your		
L.	principal office and place of business?	s and records you are required to keep under	Section 204 of the Advisers A	ct, or similar state law, somewhere other than your	•	0
	If "yes," complete Section 1.L. of Schedul	le D.			Vaa	NI-
M.	Are you registered with a foreign financial	I regulatory authority?				No C
	Answer "no" if you are not registered with complete Section 1.M. of Schedule D.	a foreign financial regulatory authority, even	if you have an affiliate that is i	registered with a foreign financial regulatory authorio	y. If "ye:	s,"
					Yes	No
N.	Are you a public reporting company unde	er Sections 12 or 15(d) of the Securities Exch	ange Act of 1934?		0	•
	If "ves " provide your CIK number (Centra	al Index Key number that the SEC assigns to	each public reporting compan	v)·		
	yee, premae year entinames (eema		ouen public reperting company		Yes	. No
O.	Did you have \$1 billion or more in assets	on the last day of your most recent fiscal year	ar?			•
P.	Provide your Legal Entity Identifier if you I	have one:				
	A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. In the first half of 2011, the legal entity identifier standard was still in development. You may not have a legal entity identifier.					
SEC	CTION 1.B. Other Business Names					
Li	st your other business names and the juris	dictions in which you use them. You must co	omplete a separate Schedule	D Section 1.B. for each business name.		
N	ame: CENTAURUS CAPITAL LP					
	urisdictions					
-	₹ AL	₽ IL	☑ NE	▽ sc		
	Z AK	⊠ IN	₩ NV	₩ SC ₩ SD		
	Z AZ	▽ IA	☑ NH	☑ TN		
	Z AR	♥ KS	☑ NJ	☑ TX		
F	☑ CA	☑ KY	☑ NM	☑ UT		
	⊽ co	☑ LA	☑ NY	☑ VT		
	☑ ст	☑ ME	☑ NC	✓VI		
F	☑ DE	☑ MD	☑ ND	☑ ∨A		
	☑ DC	™ MA	⊡ он	☑ WA		
	₹ FL	☑MI	☑ OK	₩		

1	☑ GA	™ MN	☑ OR		☑ WI	
1	☑ GU	™ MS	☑ PA		☐ Other:	
l r	Z Hi	™ MO	☑ PR			
		☑ MT	☑ RI			
L P	☑ ID	™ MI	I ™ RI			
SEC	CTION 1.F. Other Offices					
-						
		No Inform	nation Filed			
SE	CTION 1.I. Website Addresses					
Li	st your website addresses. You must comp	lete a separate Schedule D Section 1.I. for e	each website address.			
W	ebsite Address: WWW.CENTAURUS-CAP	PITAL.COM				
SEC	CTION 1.L. Location of Books and Records					
	omplete the following information for each location.	ocation at which you keep your books and re	cords, other than your <i>princip</i>	oal office and place	e of business. You must complete a separate	
	ame of entity where books and records are REDIT SUISSE ADMINISTRATION SERVICE	•				
	umber and Street 1:		Number and Street 2:	N/		
	ILMORE HOUSE		PARK LANE, SPENCER DOC			
	ity: UBLIN		Country: Ireland	ZIP+4/Postal Cod	e:	
If	this address is a private residence, check th	nis box:				
	elephone Number: 53(0)16076026	Facsimile number: 353(0)18650170				
	nis is (check one): one of your branch offices or affiliates.					
0	a third-party unaffiliated recordkeeper.					
C	other.					
C Fl	Briefly describe the books and records kept at this location: CREDIT SUISSE IS THE ADMINISTRATOR FOR THE CENTAURUS FUNDS LISTED IN ITEM 7B OF SCHEDULE D AND HOLDS THE OFFICIAL BOOKS AND RECORDS FOR THESE FUNDS. TRADING RECORDS ARE ALSO MAINTAINED BY CENTAURUS. THE BOOKS AND RECORDS OF PM MANAGER FUND, SPC SEGREGATED PORTFOLIO 28 ARE MAINTAINED BY THE ADMINISTRATOR OF THAT FUND.					
SECTION 1.M. Registration with Foreign Financial Regulatory Authorities						
	st the name and country, in English, of each	n <i>foreign financial regulatory authority</i> with w m you are registered.	hich you are registered. You	must complete a s	eparate Schedule D Section 1.M. for each	
N	ame of Country/ <i>Foreign Financial Regulator</i>	y Authority.				
U	nited Kingdom - Financial Services Authority	/				
0	ther:					
Iten	n 2 SEC Registration/Reporting					
	sponses to this Item help us (and you) dete annual updating amendment to your SEC r		th the SEC. Complete this Ite	m 2.A. only if you a	are applying for SEC registration or submitting	
all	armaar apaating amendinent to your SEC I	ogiotiation.				

A. To register (or remain registered) with the SEC, you must check at least one of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an <i>annual updating amend</i> your SEC registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). Part 1A Instruction 2 provides information to help you determine whether affirmatively respond to each of these items. You (the adviser):						
		(the a	•			
	V	(1)	are a large advisory firm that eithe	r:		
			(a) has regulatory assets under ma	anagement of \$100 million (in U.S. dollars	s) or more, or	
			(b) has regulatory assets under ma with the SEC;	anagement of \$90 million (in U.S. dollars)	or more at the time of filing its most recent a	nnual updating amendment and is registered
		(2)	are a mid-sized advisory firm that are either:	has regulatory assets under managemen	nt of \$25 million (in U.S. dollars) or more but le	ess than \$100 million (in U.S. dollars) and yo
			(a) not required to be registered as	s an adviser with the state securities author	ority of the state where you maintain your princ	cipal office and place of business, or
			(b) not subject to examination by the	ne state securities authority of the state wh	nere you maintain your <i>principal office and pla</i>	ce of business;
			Click HERE for a list of states in	n which an investment adviser, if registere	d, would not be subject to examination by the	state securities authority.
		(3)	have your principal office and place	e of business in Wyoming (which does not	t regulate advisers);	
	V	(4)	have your principal office and place	e of business outside the United States;		
		(5)	are an investment adviser (or sub-	-adviser) to an investment company regi	istered under the Investment Company Act of	1940;
		(6)	are an investment adviser to a con		ss development company pursuant to section	
		(7)			te value of at least \$200,000,000 that qualifies	s for the exemption in rule 203A-2(a);
		(8)		03A-2(b) that <i>controls</i> , is <i>controlled</i> by, or i cusiness is the same as the registered adv	is under common <i>control</i> with, an investment a	adviser that is registered with the SEC, and
			If you check this box, complete Sec	ction 2.A.(8) of Schedule D.		
		(9)	are a newly formed adviser relying	g on rule 203A-2(c) because you expect to	be eligible for SEC registration within 120 da	ys;
			If you check this box, complete Sec	ction 2.A.(9) of Schedule D.		
		(10)	are a multi-state adviser that is re-	quired to register in 15 or more states and	d is relying on rule 203A-2(d);	
			If you check this box, complete Sec	ction 2.A.(10) of Schedule D.		
		(11)	are an Internet adviser relying on r	rule 203A-2(e);		
		(12)	have received an SEC order exem	pting you from the prohibition against regi	stration with the SEC;	
			If you check this box, complete Sec	ction 2.A.(12) of Schedule D.		
		(13)	are no longer eligible to remain reg	gistered with the SEC.		
				ate Reporting by Exempt Reporting Advi		
	are SEC the s	called C. If the SEC. ubse	d notice filings. In addition, exempt rais is an initial application or report, of this is an amendment to direct you	reporting advisers may be required to prove check the box(es) next to the state(s) that your notice filings or reports to additional state to the SEC. If this is an amendment to you	ies authorities a copy of the Form ADV and an ride state securities authorities with a copy of rayou would like to receive notice of this and all te(s), check the box(es) next to the state(s) that registration to stop your notice filings or report	reports and any amendments they file with the subsequent filings or reports you submit to at you would like to receive notice of this and
	Jur	isdic	tions			
		AL			□ NE	□ sc
		AK		□ IN	□ NV	□ SD
		AZ		Д	□ NH	□ TN
		AR		□ KS	□ NJ	□ TX
		CA CO		□ KY □ LA	□ NM □ NY	□ UT □ VT
		СС		□ ME	□ NC	
		DE		□ MD	□ ND	□ VA
		DC		□ MA	□ он	□ WA
		FL		□ MI	□ ок	□ w
		GA		□ MN	□ OR	□ w
		GU		□ мѕ	□ PA	
					II.	
		HI		□ мо	□ PR	

If you are amending your registration to stop your notice filings or reports from going to a state that currently receives them and you do not want to pay that state's notice filing or

report filling fee for the confiling year, your amendment must be filed before the end of the year (December 31).
SECTION 2.A.(8) Related Adviser If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you control, are controlled by, or are under common control with an investment adviser that is registered with the SEC and your principal office and place of business is the same as that of the registered adviser, provide the following information:
Name of Registered Investment Adviser
CRD Number of Registered Investment Adviser
SEC Number of Registered Investment Adviser 801 -
SECTION 2.A.(9) Newly Formed Adviser
If you are relying on rule 203A-2(c), the newly formed adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations: I am not registered or required to be registered with the SEC or a <i>state securities authority</i> and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective. I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
SECTION 2.A.(10) Multi-State Adviser
If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.
If you are applying for registration as an investment adviser with the SEC, you must make both of these representations: I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
If you are submitting your <i>annual updating amendment</i> , you must make this representation: Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
SECTION 2.A.(12) SEC Exemptive <i>Order</i>
If you are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:
Application Number:
603-
Date of order.
Item 3 Form of Organization
A. How are you organized? C Corporation
Sole Proprietorship
C Limited Liability Partnership (LLP)
O Partnership
C Limited Liability Company (LLC)
C Limited Partnership (LP)
Other (specify): UK LIMITED COMPANY
If you are changing your response to this Item, see Part 1A Instruction 4.
B. In what month does your fiscal year end each year?

DECEMBER

	United Kingdom						
	-	ou are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or ntry where you reside.					
	If yo	ou are changing your response to this Item, see Part 1A Instruction 4.					
ltem	4 Su	uccessions					
^	Δ	Yes	No				
A.	Are	you, at the time of this filing, succeeding to the business of a registered investment adviser?	•				
	If "ye	res", complete Item 4.B. and Section 4 of Schedule D.					
B.	Date	e of Succession: (MM/DD/YYYY)					
	If yo	ou have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.					
SEC	TION	I 4 Successions					
		No Information Filed					
•							
		formation About Your Advisory Business - Employees, Clients, and Compensation ses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A					
	-	on 5.a. provides additional guidance to newly formed advisers for completing this Item 5.					
Em	ploye	ees					
-		re organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).					
A.	App	roximately how many employees do you have? Include full- and part-time employees but do not include any clerical workers.					
B.	(1)	Approximately how many of the <i>employees</i> reported in 5.A. perform investment advisory functions (including research)?					
	(2)	Approximately how many of the <i>employees</i> reported in 5.A. are registered representatives of a broker-dealer?					
	(3)	Approximately how many of the <i>employees</i> reported in 5.A. are registered with one or more <i>state securities authorities</i> as <i>investment adviser representatives</i> ?					
	(4)	Approximately how many of the <i>employees</i> reported in 5.A. are registered with one or more <i>state</i> securities authorities as <i>investment</i> adviser representatives for an investment adviser other than you?					
	(5)	Approximately how many of the <i>employees</i> reported in 5.A. are licensed agents of an insurance company or agency?					
	(6)	O Approximately how many firms or other <i>persons</i> solicit advisory <i>clients</i> on your behalf? O					
	In yo	our response to Item 5.B.(6), do not count any of your employees and count a firm only once – do not count each of the firm's employees that solicit on your behalf.					
Clie	ents						
In y	our r	responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.					
C.	(1)	To approximately how many <i>clients</i> did you provide investment advisory services during your most recently completed fiscal year?					
		O 0 O 1-10 O 11-25					
		C 26-100 C More than 100					

C. Under the laws of what state or country are you organized?

State Country

(2)	2) Approximately what percentage of your <i>clients</i> are non- <i>United States persons</i> ? 90%								
bus Inv	For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships. The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, check "None" in response to Item 5.D.(1)(d) and do not check any of the boxes in response to Item 5.D.(2)(d).								
(1)		What types of <i>clients</i> do you have? Indicate the approximate percentage that each type of <i>c</i> category, check all that apply.	elient comp	rises of your to	otal number o	of <i>client</i> s. If a	<i>client</i> fits in	to more than	n one
			<u>None</u>	<u>Up to 10%</u>	<u>11-25%</u>	<u>26-50%</u>	<u>51-75%</u>	<u>76-99%</u>	<u>100%</u>
	(8	(a) Individuals (other than high net worth individuals)	•	0	0	0	0	0	0
	(k	(b) High net worth individuals	•	0	0	0	0	0	0
	(0	(c) Banking or thrift institutions	0	0	•	0	0	0	0
	(0	(d) Investment companies	⊙	0	0	0	0	0	0
	(6	(e) Business development companies	•	0	0	0	0	0	0
	(f	(f) Pooled investment vehicles (other than investment companies)	0	0	0	0	⊙	0	0
	(9	(g) Pension and profit sharing plans (but not the plan participants)	0	•	0	0	0	0	0
	(ł	(h) Charitable organizations	•	0	0	0	0	0	0
	(i	(i) Corporations or other businesses not listed above	•	0	0	0	0	0	0
	(j	(j) State or municipal government entities	⊙	0	0	0	0	0	0
	(ŀ	(k) Other investment advisers	•	0	0	0	0	0	0
	(1	(I) Insurance companies	•	0	0	0	0	0	0
	(1	(m) Other:	•	0	0	0	0	0	0
(2)		Indicate the approximate amount of your regulatory assets under management (reported ir into more than one category, check all that apply.	ı Item 5.F.	below) attribut	able to each	of the followi	ng type of a	client. If a cli	ent fits
				None L	Jp to 25%	<u>Up to 50°</u>	<u>// Up</u>	to 75%	<u>>75%</u>
	(8	(a) Individuals (other than high net worth individuals)		•	0	0		0	0
	(k	(b) High net worth individuals		•	0	0		0	0
	(0	(c) Banking or thrift institutions		0	•	0		0	0
	(0	(d) Investment companies		•	0	0		0	0
	(6	(e) Business development companies		•	0	0		0	0
	(f	(f) Pooled investment vehicles (other than investment companies)		0	0	0		•	0
	(9	(g) Pension and profit sharing plans (but not the plan participants)		0	0	•		0	0
	(ł	(h) Charitable organizations		•	0	0		0	0
	(i	(i) Corporations or other businesses not listed above		•	0	0		0	0
	(j	(j) State or municipal government entities		•	0	0		0	0
	(l	(k) Other investment advisers		•	0	0		0	0
	(I	(I) Insurance companies		•	0	0		0	0
	(1	(m) Other:		•	0	0		0	0
Compe	nsat	ation Arrangements							
-		are compensated for your investment advisory services by (check all that apply):							
V	(1)	(1) A percentage of assets under your management							
		(2) Hourly charges (3) Subscription fees (for a newsletter or periodical)							
		(3) Subscription fees (for a newsletter or periodical)(4) Fixed fees (other than subscription fees)							
	(5	(5) Commissions							
~	(6 (7								
		rmation About Your Advisory Business - Regulatory Assets Under Management							
Regulat	ory	ry Assets Under Management							
F. (1)	Do	Do you provide continuous and regular supervisory or management services to securities p	oortfolios?						Yes No

If more than 100, how many?

	(2) If	yes, what is the amount of your regulatory assets und	der m	anagement and total number of accounts?		
			U	.S. Dollar Amount		Total Number of Accounts
		Discretionary: (a)) \$	646,509,117	(d)	10
		Non-Discretionary: (b)) \$	0	(e)	0
	•	Total: (c)) \$	646,509,117	(f)	10
		Part 1A Instruction 5.b. explains how to calculate your	regu	latory assets under management. You must follow	these	e instructions carefully when completing this Item.
em :	5 Info	rmation About Your Advisory Business - Advisory Ad	ctiviti	es		
Advi	sory	Activities				
G.	What	type(s) of advisory services do you provide? Check all	I that	apply.		
		(1) Financial planning services				
					have	made an election pursuant to section 54 of the Investment
	~	Company Act of 1940) (4) Portfolio management for pooled investment veh	hiclas	c (other than investment companies)		
	-				regis	stered investment companies and other pooled investment
		(6) Pension consulting services				
		(7) Selection of other advisers (including <i>private fun</i>	<i>nd</i> ma	nagers)		
		(8) Publication of periodicals or newsletters(9) Security ratings or pricing services				
		(10) Market timing services				
	-	(11) Educational seminars/workshops				
		(12) Other(specify):				
	Act o					stment company registered under the Investment Company any or investment companies to which you provide advice
Н.	If you	ı provide financial planning services, to how many clier	<i>nt</i> s di	d you provide these services during your last fiscal	l yeai	r?
	0	0				
	~	1 - 10				
	0	11 - 25				
		26 - 50				
		51 - 100				
		101 - 250				
	0	251 - 500				
	Ö	More than 500				
		If more than 500, how many? (round to the nearest 500)				
	In yo	ur responses to this Item 5.H., do not include as "client	its" th	e investors in a private fund you advise, unless you	ı hav	re a separate advisory relationship with those investors.
l.	If you	participate in a wrap fee program, do you (check all the	nat ap	pply):		
		(1) sponsor the wrap fee program?				
		(2) act as a portfolio manager for the wrap fee progra	am?			
	If you	ı are a portfolio manager for a wrap fee program, list th	he na	mes of the programs and their sponsors in Section	า 5.I.((2) of Schedule D.
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee program, do not check either Item 5.I.(1) or 5.I.(2).					
J.	In res	sponse to Item 4.B. of Part 2A of Form ADV, do you indi	licate	that you provide investment advice only with respe	ect to	Imited types of investments? C O
EC1	ION !	5.G.(3) Advisers to Registered Investment Companies	s and	Business Development Companies		
				No Information Filed		
ECT	ION 6	S I (2) Wron Foo Brograms				

ltem	tem 6 Other Business Activities				
In th	In this Item, we request information about your firm's other business activities.				
A.	A. You are actively engaged in business as a (check all that apply):				
	(1) broker-dealer (registered or unregistered)				
	 (2) registered representative of a broker-dealer (3) commodity pool operator or commodity trading advisor (whether registered or exempt from reg 	in (and in m)			
	 (3) commodity pool operator or commodity trading advisor (whether registered or exempt from reg (4) futures commission merchant 	stration)			
	(f) real estate broker, dealer, or agent				
	(6) insurance broker or agent				
	(7) bank (including a separately identifiable department or division of a bank)				
	(8) trust company				
	 (9) registered municipal advisor (10) registered security-based swap dealer 				
	(11) major security-based swap participant				
	(12) accountant or accounting firm				
	(13) lawyer or law firm				
	(14) other financial product salesperson (specify):				
	If you engage in other business using a name that is different from the names reported in Items 1.A. or 1.I.	3, complete Section 6.A. of Schedule D.			
		Yes No			
B.	B. (1) Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment a	dvice)?			
	(2) If yes, is this other business your primary business?	0 0			
	If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this bus				
	ii yes, describe triis other business on Section 6.B.(2) of Schedule D, and if you engage in this busi	Yes No			
	(3) Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?				
	(b) Do you sell products of provide services office than investment advice to your devisory officials:	○ ●			
	If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this bus	ness under a different name, provide that name.			
SEC	SECTION 6.A. Names of Your Other Businesses				
	No Information Filed				
	The information rined				
SEC	SECTION 6.B.(2) Description of Primary Business				
Des	Describe your primary business (not your investment advisory business):				
If yo	If you engage in that business under a different name, provide that name:				
	SECTION 6.B.(3) Description of Other Products and Services				
Des	Describe other products or services you sell to your <i>client</i> , You may omit products and services that you listed	in Section 6.B.(2) above.			
16					
If yo	If you engage in that business under a different name, provide that name.				
Item	tem 7 Financial Industry Affiliations				
	In this Item, we request information about your financial industry affiliations and activities. This information ide your <i>client</i> s.	ntifies areas in which conflicts of interest may occur between you and			
A.	A. This part of Item 7 requires you to provide information about you and your related persons, including foreig	n affiliates. Your related persons are all of your advisory affiliates and any			
	person that is under common control with you.				
	You have a related person that is a (check all that apply):				
	[(1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registere	ed or unregistered)			
	(2) other investment adviser (including financial planners)				
	☐ (3) registered municipal advisor☐ (4) registered security-based swap dealer				
	 (4) registered security-based swap dealer (5) major security-based swap participant 				
	(6) Commodity pool operator or commodity trading advisor (whether registered or exempt from reg	istration)			
	[(7) futures commission merchant				
	(8) banking or thrift institution(9) trust company				

	 □ (10) accountant or accounting firm □ (11) lawyer or law firm □ (12) insurance company or agency □ (13) pension consultant □ (14) real estate broker or dealer □ (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles ☑ (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.	
	You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advise you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to be your relationship with the related person otherwise creates a conflict of interest with your clients.	ory services lated person lieve that
	You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent unde 206(4)-2 of the Advisers Act.	•
EC	CTION 7.A. Financial Industry Affiliations	
Co	omplete a separate Schedule D Section 7.A. for each related person listed in Item 7.A.	
	Legal Name of <i>Related Person</i> : CENTAURUS CAPITAL (HONG KONG) LIMITED	
<u>2</u> .	Primary Business Name of Related Person: CENTAURUS CAPITAL (HONG KONG)	
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	
	or Other	
I.	Related Person's CRD Number (if any):	
> .	Related Person is: (check all that apply) (a)	
6.	Do you control or are you controlled by the related person?	Yes No
7 .	Are you and the related person under common control?	⊙ ⊙
3.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' from the related person? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' assets: 	C ⊙ C C
	Number and Street 1: City: State: Country: ZIP+4/Postal Code:	
9.	If this address is a private residence, check this box: (a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes No

	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English, of each foreign financial regulatory authority with which the related person is registered.		~
		Name of Country/Foreign Financial Regulatory Authority		
		Hong Kong - Securities and Futures Commission		
11.	Do y	you and the related person share any supervised persons?	\odot	O
	_			
12.	Do y	ou and the related person share the same physical location?	\circ	\odot
1.	Lega	al Name of Related Person:		
	CEN	ITAURUS CAPITAL LP		
2	Duine	nami Duningan Nama of Dalatad Daman.		
2.		nary Business Name of <i>Related Person:</i>		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or Othe			
	Ouic			
4.	Rela	ated Person's CRD Number (if any):		
_	Dala	ated Davis or in. (about all that annih.)		
5.	(a)	ated Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	<i>(</i> 1)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i) (i)	 □ trust company □ accountant or accounting firm 		
	(j) (k)	lawyer or law firm		
	(I)	insurance company or agency		
	(n)	real estate broker or dealer		
	` '	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6	Dov	in a control or are you controlled by the related parent?	Yes	
6.	ро у	vou control or are you controlled by the related person?	⊙	0
7	Δre	you and the related person under common control?	_	_
ļ'.	/ II C	you and the rotated person under common controls	0	•
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	0
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8(a) above, have you overcome the presumption that you are not	0	
	, ,	operationally independent (pursuant to rule 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds		
		or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' assets:		
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\circ	\odot
	(b)	If the answer is yes, list the name and country, in English, of each foreign financial regulatory authority with which the related person is registered.		
14	D- ·	No Information Filed	_	
11.	DO À	you and the related person share any supervised persons?	0	•
12	Dov	you and the related person share the same physical location?	_	_
' -	_0 y	you and the related person entire the earne physical location:	\odot	0

Itom 7	Private Fund Reporting	
item 7	rivate runa Reporting	
		Yes No
B. Ar	e you an adviser to any <i>private fund</i> ?	စ ဂ
Ins	yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of struction 6 of the Instructions to Part 1A. If another adviser reports this information with reason a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private	respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you
In	either case, if you seek to preserve the anonymity of a private fund client by maintainin	g its identity in your books and records in numerical or alphabetical code, or similar
de	signation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1)	or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.
SECTI	ON 7.B.(1) Private Fund Reporting	
A. P	RIVATE FUND	
Info	rmation About the <i>Private Fund</i>	
1.	(a) Name of the <i>private fund</i> :	
	CENTAURUS ASIA PACIFIC OPPORTUNITIES MASTER FUND LTD	
	(b) Private fund identification number:	
	(include the "805-" prefix also)	
	805-2953995132	
2.	Under the laws of what state or country is the <i>private fund</i> organized:	
	•	
	State: Country: Cayman Islands	
	Cayman Islands	
9	Name(a) of Coneral Portner, Manager, Trustee, or Directors (or persons conving in a	similar conscitute
3.	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a	Similar Capacity).
	Name of General Partner, Manager, Trustee, or Director	
	CENTAURUS CAPITAL LP	
4.	The private fund (check all that apply; you must check at least one):	
	\Box (1) qualifies for the exclusion from the definition of investment company under se	ction 3(c)(1) of the Investment Company Act of 1940
	☑ (2) qualifies for the exclusion from the definition of investment company under se	ction 3(c)(7) of the Investment Company Act of 1940
5.	List the name and country, in English, of each foreign financial regulatory authority w	th which the <i>private fund</i> is registered.
	No Infor	mation Filed
	e	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	⊙ ○
	(b) If yes, what is the name and private fund identification number (if any) of the feed	er funds investing in this private fund?
	Private Fund Name	Private Fund Identification Number
	CENTAURUS ASIA PACIFIC OPPORTUNITIES FUND LTD	805-9849525154
		Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	
		O •
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the mas	ter fund in which this <i>private fund</i> invests?
	Name of the <i>Private Fund</i> :	
	Private Fund Identification Number:	
	(include the "805-" prefix also)	
		ss of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder
	arrangement or reporting on the funds separately.	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement	according to the instructions to this Section 7.B.(1), for each of the feeder funds answer

the f	follow	ing questions:		_
Add	ditiona	al Feeder Fund Information : 1 Record(s) Filed.		
7.	_	u are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder	func	3
		wer the following questions:		
		Name of the private fund:		
		CENTAURUS ASIA PACIFIC OPPORTUNITES FUND LTD		
	` '	Private fund identification number: (include the "805-" prefix also)		
		805-9849525154		
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:		
		State: Country: Cayman Islands		
		Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
		Name of General Partner, Manager, Trustee, or Director		
		CENTAURUS CAPITAL LP		
	(0)	The private fund (check all that apply, you must check at least one):		
	(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	(1)	No Information Filed		
				-
("ma	aster f	or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single und"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or includes (or series) invests substantially all of its assets in a single master fund.		ts
(a)	Is this	s private fund a "fund of funds"?	0	
		does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	
	-	r purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not the		
		te funds, or registered investment companies.	, .	
			Yes	
		ur last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ey market funds," to the extent provided in Instruction 6.e.)?	0	
Wha	at type	of fund is the <i>private fund</i> ?		
⊙ r	nedge	fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other private fund		
NOT	ΓE: Fo	r funds of funds, refer to the funds in which the private fund invests. For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A	٨.	
	•	ross asset value of the <i>private fund</i> :		
\$ /5	5,188,	559		
nersh	<u>nip</u>			
Mini	mum	investment commitment required of an investor in the <i>private fund</i> :		
	000,00			
NOT fund		eport the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents	of tl	
Appı	roxima	ate number of the <i>private fund</i> 's beneficial owners:		

14.	22%	%			
15.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:			
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :			
You	r Ad	Ivisory Services			
			Υe	es I	10
17.		Are you a subadviser to this <i>private fund</i> ?	0		•
		If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17(a) is "no," question blank.	ieave) tni	3
		No Information Filed			
	, ,		Ye	es l	10
18.	` '	Do any other investment advisers advise the <i>private fund</i> ? If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18(a)	io "no		•
		leave this question blank.	15 110	,	
		No Information Filed			
40	۸	very allowed and initial to invest in the project from the	Ye	es l	ю
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	C) (⊙
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?			
Priv		Offering			
			Υe	es l	10
21.	Doe	es the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	5	0
22.	If ye	es, provide the <i>private fund</i> 's Form D file number (if any):			
		No Information Filed			
8. SI	RVI	ICE PROVIDERS			
Auc	itors	<u>s</u>			
23	(a)	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit?		es	_
-0.		(2) Are the financial statements prepared in accordance with U.S. GAAP?	(6		0
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, you must complete question through (f) separately for each auditing firm.	_		
		Additional Auditor Information : 1 Record(s) Filed.			
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questi (b) through (f) separately for each auditing firm.	ons		
		(b) Name of the auditing firm: ERNST & YOUNG LIMITED			
		(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):			
		City: State: Country:			
		GRAND CAYMAN Cayman Islands	Yes	Nc	
		(d) Is the auditing firm an independent public accountant?	•	0	
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0	
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0	

Amendment to your Form ADV to update your response when the report is available. Yes Delow for each prime broker the private fund uses. If the private fund uses more than one prime reach prime broker. (e) below for each prime broker the private fund uses. If the private fund uses more than one prime for each prime broker. In number: Country: United Kingdom Yes Note private funds assets? (e) below for each prime broker the private fund uses. If the private fund uses more than one prime for each prime broker.	Core Core Core Core Report Not Yet Received, "you must promptly tile an amendment to your Form ADV to update your response when the report is available. Does the private fund use one or more prime brokers? (b) the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) below for each prime broker. Additional Prime Broker Information : 3 Record(s) Filed. If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker. Additional Prime Broker Information: 3 Record(s) Filed. If the prime broker is registered with the SEC, its registration number: CREDIT SUISSE SECURITIES (BUROPE) LIMITED (c) If the prime broker is registered with the SEC, its registration number: CREDIT SUISSE SECURITIES (BUROPE) LIMITED (d) Location of prime broker's office used principally by the private fund (b), state and country: CREDIT SUISSE SECURITIES (BUROPE) LIMITED (d) Does this prime broker act as custodian for some or all of the private fund (c), state and country: (d) Does this prime broker act as custodian for some or all of the private fund (c), state and country: (e) Does this prime broker act as custodian for some or all of the private fund sassets? (d) Name of the prime broker: CRD Number (if any): CRD Number (if any): CRD Number (if any): State: Cauntry LONDON Ves	\ra the	e private fund's audited financial statements distributed to the private fund's investors?		
Yes Per a variable. Yes Per a variable broker the private fund uses. If the private fund uses more than one prime reach prime broker. (e) below for each prime broker the private fund uses. If the private fund uses more than one prime for each prime broker. In number: Country: United Kingdom Yes Mark Private fund's assets? Per private fund's assets?	Over C No C Report Not Yet Received 'you must promptly file an amendment to your Form ADV to update your response when the report is available. Obes the private fund use one or more prime brokers? If the answer to 24(a) is 'yes,' respond to questions (b) through (a) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (b) separately for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (b) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (b) separately for each prime broker. Additional Prime Broker Information: 3 Record(s) Filed. If the answer to 24(a) is 'yes,' respond to questions (b) through (c) below for each prime broker. CREDIT SUISSE SECURITIES (EUROPE) LIMITED (d) If the prime broker is registered with the SEC, its registration number: CRED Number (if any): (d) Location of prime broker's office used principally by the private fund (city, state and country): CRED Number (b) If the private fund uses. If the private fund uses more than one principally uses the private fund uses the private fund uses. If the private fund uses more than one principally uses the private fund uses the private fund uses. If the private fund uses more than one principally uses the private fund uses the private fund uses in the private fund uses more than one principally uses the private fund uses the private fund uses more than one principally uses the private fund uses. If the private fund uses more than one principally uses the private fund uses. If the private fund uses more than one principally uses fund uses the private fund uses. If the private fund uses more than one principally uses fund uses fund uses fund uses fund uses. If the private fund uses more than one principally uses fund uses fund uses fund uses. If the private fund uses fund uses fund			1	٠
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Yes ne private fund's assets? (e) below for each prime broker the private fund uses. If the private fund uses more than one principle.	If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one prince broker, you must complete questions (b) through (e) separately for each prime broker.	(e) If th brok (b) (c) (d)	e answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than on ker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: DEUTSCHE BANK, AG If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: LONDON United Kingdom Does this prime broker act as custodian for some or all of the private fund's assets?	• Yes	il
Yes ne private fund's assets? (e) below for each prime broker the private fund uses. If the private fund uses more than one principle.		(e) If th brok (b) (c) (d)	e answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: DEUTSCHE BANK, AG If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country: United Kingdom Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets?	• Yes	ir
Yes ne private fund's assets? (e) below for each prime broker the private fund uses. If the private fund uses more than one principle.	broker, you must complete questions (b) through (e) separately for each prime broker.	(e) If th brok (b) (c) (d) (f th brok (b)	e answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than on ker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: DEUTSCHE BANK, AG If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country: LONDON United Kingdom Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets?	• Yes	in
Yes ne private fund's assets? (e) below for each prime broker the private fund uses. If the private fund uses more than one print for each prime broker.	broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: GOLDMAN SACHS INTERNATIONAL	(e) If th brok (b) (c) If th brok (b)	e answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one ver, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: DEUTSCHE BANK, AG If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country: LONDON United Kingdom Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets?	• Yes	iim
Yes ne private fund's assets? (e) below for each prime broker the private fund uses. If the private fund uses more than one prim for each prime broker.	broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker:	(e) If th brok (b) (c) If th brok (b)	e answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one ver, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: DEUTSCHE BANK, AG If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: State: Country: LONDON United Kingdom Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets?	• Yes	im
Yes ne private fund's assets? (e) below for each prime broker the private fund uses. If the private fund uses more than one pr for each prime broker.	broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: GOLDMAN SACHS INTERNATIONAL	(e) If th brok (b) (c) If th brok (b)	e answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than on each, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: DEUTSCHE BANK, AG If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): Location of prime broker's office used principally by the private fund (city, state and country): City: Country: LONDON Does this prime broker act as custodian for some or all of the private fund's assets? e answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than onear, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: GOLDMAN SACHS INTERNATIONAL If the prime broker is registered with the SEC, its registration number:	Yes	

	City:	State:	Country:	
	LONDON		United Kingdom	
				Υ
(e)	Does this prime broker act as cus	todian for some or all of the	orivate fund's assets?	(
<u>n</u>				
Does t	the <i>private fund</i> use any custodian	s (including the prime broke	rs listed above) to hold some or all of its assets?	
	answer to 25(a) is "yes," respond to complete questions (b) through (f)		low for each custodian the <i>private fund</i> uses. If the <i>private f</i>	und uses more than one custoo
Addit	tional Custodian Information : 3 Re	ecord(s) Filed.		
	ne answer to 25(a) is "yes," respond must complete questions (b) throu		below for each custodian the <i>private fund</i> uses. If the <i>private</i> stodian.	e fund uses more than one cus
	Legal name of custodian: CREDIT SUISSE SECURITIES (E	UROPE) LIMITED		
	Primary business name of custod CREDIT SUISSE	lian:		
			f the private fund's assets (city, state and country):	
	City: LONDON	State:	Country: United Kingdom	
	LONDON		Omica i i ingacini	١
(e)	Is the custodian a related person	of your firm?		
(f)	If the custodian is a broker-dealer	, provide its SEC registration	number (if any)	
	- CRD Number (if any):			
	Cito Number (ii arry).			
	ne answer to 25(a) is "yes," respond must complete questions (b) throu		below for each custodian the <i>private fund</i> uses. If the <i>private</i> stodian.	e fund uses more than one cus
	Legal name of custodian: DEUTSCHE BANK AG			
	Primary business name of custod DEUTSCHE BANK	lian:		
			f the private fund's assets (city, state and country):	
	City: LONDON	State:	Country: United Kingdom	
				`
(0)	Is the custodian a related person	of your firm?		
(e)	If the custodian is a broker-dealer	, provide its SEC registration	number (if any)	
(f)	- CRD Number (if any):			

If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian,

you must complete questions (b) through (f) separately for each custodian.

	(b)	Legal name of custodian: GOLDMAN SACHS INTERNATIONAL	
	(c)	Primary business name of custodian: GOLDMAN SACHS	
	(d)	The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: LONDON United Kingdom	
	(e)	Yes Is the custodian a <i>related person</i> of your firm?	s No
	(f)	If the custodian is a broker-dealer, provide its SEC registration number (if any)	
		CRD Number (if any):	
Adminis		Y	res N
20. (a)	If the	answer to 26(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) the parately for each administrator.	⊙ (hrougl
	Addi	itional Administrator Information : 1 Record(s) Filed.	
		he answer to 26(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b ough (f) separately for each administrator.))
	(b)	Name of the administrator: CREDIT SUISSE ADMINISTRATION SERVICES (IRELAND) LIMITED	
	(c)	Location of administrator (city, state and country): City: State: Country: DUBLIN 1 Ireland	
	(d)	Yes	No ⊙
	(e)	Does the administrator prepare and send investor account statements to the <i>private fund</i> 's investors? • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)	
	(f)	If the answer to 26(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."	nts
100 Incl valu	0% ude or	our last fiscal year, what percentage of the <i>private fund</i> 's assets (by value) was valued by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ? Inly those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) to used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such	
Markete	<u>ers</u>	Y	res N
28. (a)	You r to 28(the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the acts (a) is "yes", respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must plete questions (b) through (g) separately for each marketer.	C @
		No Information Filed	

A. P	RIVATE FUND		
Info	mation About the Private Fund	Private Fund	
1.	 (a) Name of the <i>private fund</i>: CENTAURUS EVENT OPPORTUNITIES MASTER FUND LTD (b) <i>Private fund</i> identification number: (include the "805-" prefix also) 805-6747057337 		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country: Cayman Islands		
3.	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacitation)	city):	
	Name of General Partner, Manager, Trustee, or Director		
	CENTAURUS CAPITAL LP		
4	The principle found (shoot all that apply you prove about at least and)		
4.	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1)	of the Investment Company Act of 1940	
	✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7)		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the	private fund is registered.	
	No Information Filed		
		Yes No	5
6.	(a) Is this a "master fund" in a master-feeder arrangement?	⊙ 0)
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds inver-	sting in this <i>private fund</i> ?	
	Private Fund Name	Private Fund Identification Number	
	CENTAURUS EVENT OPPORTUNITIES FUND LTD	805-5903547894	
		Yes No	0
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0 6	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in w Name of the <i>Private Fund</i> :		r
	Private Fund Identification Number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whethe arrangement or reporting on the funds separately.	you are filing a single Schedule D, Section 7.B.(1). for the master-feeder	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the following questions:	the instructions to this Section 7.B.(1), for each of the feeder funds answer	
	Additional Feeder Fund Information : 1 Record(s) Filed.		
	7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement accordanswer the following questions:	ing to the instructions to this Section 7.B.(1), for each of the feeder funds	
	(a) Name of the <i>private fund</i> : CENTAURUS EVENT OPPORTUNITIES FUND LTD		
	(b) Private fund identification number: (include the "805-" prefix also) 805-5903547894		
	(c) Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		П

	(d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	CENTAURUS CAPITAL LP		
	(e) The <i>private fund</i> (check all that apply; you must check at least one): [(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
	(f) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed		
	OTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or in a deach class (or series) invests substantially all of its assets in a single master fund.		
,		Yes	
).) Is this <i>private fund</i> a "fund of funds"?) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	
	OTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not the so private funds, or registered investment companies.	-	
).	uring your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other an "money market funds," to the extent provided in Instruction 6.e.)?	Yes	
0.	hat type of fund is the <i>private fund</i> ?		
	hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other <i>private fund</i>		
	OTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1.6	٨.	
1.	urrent gross asset value of the <i>private fund</i> : 53,599,437		
<u>Dw</u>	<u>ship</u>		
2.	inimum investment commitment required of an investor in the <i>private fund</i> : 1,000,000		
	OTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents nd).	of the	е
3.	oproximate number of the <i>private fund</i> 's beneficial owners:		
4.	hat is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
5.	hat is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
6.	hat is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
′ οι	dvisory Services		
7.) Are you a subadviser to this <i>private fund</i> ?	Yes	No
	If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17(a) is "no," lead question blank.		_
	No Information Filed		_

Cayman Islands

10. (6. (a) Bodiny office investment devisers devise the private range.	○ •
((b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fun</i> leave this question blank.	nd. If the answer to question 18(a) is "no,"
	No Information Filed	
		Yes No
19. /	9. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	o ⊚
	O. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i>?0%	
<u>Priva</u>	Private Offering	
21 [1. Does the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes No
21. 1	1. Does the private rand tely on all exemption from registration of its securities under regulation b of the securities Act of 1955:	• ○
22. I	2. If yes, provide the <i>private fund's</i> Form D file number (if any):	
	No Information Filed	
B. SEF	SERVICE PROVIDERS	
Audit	<u>Auditors</u>	Yes No
23. (3. (a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit?	© 0
	(2) Are the financial statements prepared in accordance with U.S. GAAP?	• o
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing through (f) separately for each auditing firm.	firm, you must complete questions (b)
	Additional Auditor Information : 1 Record(s) Filed.	
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing (b) through (f) separately for each auditing firm.	ng firm, you must complete questions
	(b) Name of the auditing firm: ERNST & YOUNG LIMITED	
	(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):	
	City: State: Country:	
	GRAND CAYMAN Cayman Islands	Yes No
	(d) Is the auditing firm an independent public accountant?	
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	
	(e) is the additing infinitegistered with the rabble company Accounting Oversight Board:	⊙ ○
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Box	ard in accordance with its rules?
		Va. Na
((g) Are the private fund's audited financial statements distributed to the private fund's investors?	Yes No
	(h) Does the report prepared by the auditing firm contain an unqualified opinion?	
	C Yes C No	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response w	when the report is available.
Prim	Prime Broker	
24 (4. (a) Does the <i>private fund</i> use one or more prime brokers?	Yes No
24. (If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the broker, you must complete questions (b) through (e) separately for each prime broker.	private fund uses more than one prime
	Additional Prime Broker Information : 3 Record(s) Filed.	
	If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the	ne <i>private fund</i> uses more than one prime

(c)	If the prime broker is registered with the SEC, its registration	number:	
	CRD Number (if any):		
(d)	Location of prime broker's office used principally by the private	e fund (city, state and country):	
	City: State: LONDON	Country: United Kingdom	
(e)	Does this prime broker act as custodian for some or all of the	private fund's assets?	
	he answer to 24(a) is "yes," respond to questions (b) through (e) separately for		private fund uses more than one
(b)	Name of the prime broker: DEUTSCHE BANK, AG		
(c)	If the prime broker is registered with the SEC, its registration -	number:	
	CRD Number (if any):		
(d)	Location of prime broker's office used principally by the <i>private</i> City: State:	e fund (city, state and country): Country:	
	LONDON	United Kingdom	
(e)	Does this prime broker act as custodian for some or all of the	private fund's assets?	
bro	he answer to 24(a) is "yes," respond to questions (b) through (e) separately follower, you must complete questions (b) through (e) separately follower.	•	private fund uses more than one
	GOLDMAN SACHS INTERNATIONAL		
(c)	If the prime broker is registered with the SEC, its registration -	number:	
	CRD Number (if any):		
(d)	Location of prime broker's office used principally by the <i>private</i> City: State:	e fund (city, state and country): Country:	
	LONDON	United Kingdom	

If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian, you

25. (a) Does the *private fund* use any custodians (including the prime brokers listed above) to hold some or all of its assets?

must complete questions (b) through (f) separately for each custodian.

Additional Custodian Information: 4 Record(s) Filed.

broker, you must complete questions (b) through (e) separately for each prime broker.

	ne answer to 25(a) is "yes," respond to must complete questions (b) throug		below for each custodian the <i>private fund</i> uses. If the <i>private fun</i> ustodian.	d uses more than one custodian,
	Legal name of custodian: BNY MELLON			
	Primary business name of custodia BNY	n:		
(d)	The location of the custodian's offic	e responsible for <i>custody</i>	of the <i>private fund</i> 's assets (city, state and country):	
	City: LONDON	State:	Country: United Kingdom	
(e)	Is the custodian a related person of	your firm?		Yes No
(f)	If the custodian is a broker-dealer, p	provide its SEC registration	n number (if any)	
	- CRD Number (if any):	Ç		
you (b)	must complete questions (b) throug Legal name of custodian:	h (f) separately for each c	below for each custodian the <i>private fund</i> uses. If the <i>private fun</i> ustodian.	d uses more than one custodian,
	CREDIT SUISSE SECURITIES (EUF	ROPE) LIMITED		
	Primary business name of custodia CREDIT SUISSE	n:		
	The location of the custodian's offic City: LONDON	e responsible for <i>custody</i> (State:	of the <i>private fund</i> 's assets (city, state and country): Country: United Kingdom	
			Officed Kingdom	Yes No
(e)	Is the custodian a related person of	your firm?		0 0
(f)	If the custodian is a broker-dealer, p	provide its SEC registration	n number (if any)	
	CRD Number (if any):			
			below for each custodian the <i>private fund</i> uses. If the <i>private fun</i>	d uses more than one custodian,
you	must complete questions (b) throug	h (f) separately for each c	ustodian.	
	Legal name of custodian: DEUTSCHE BANK AG			
	Primary business name of custodia DEUTSCHE BANK	n:		
. ,	The location of the custodian's offic City:	e responsible for <i>custody</i> (State:	of the <i>private fund</i> 's assets (city, state and country): Country:	
	LONDON	oldie.	United Kingdom	
(e)	Is the custodian a related person of	your firm?		Yes No
(f)	If the custodian is a broker-dealer, p	provide its SEC registration	n number (if any)	
	- CRD Number (if any):	provide its SEC registration	n number (if any)	

(b)	Legal name of custodian: GOLDMAN SACHS INTERNATIONAL				
(c)	Primary business name of custodian: GOLDMAN SACHS				
(d)	The location of the custodian's office re			state and country):	
	City: LONDON	State:	Country: United Kingdom		
(e)	Is the custodian a related person of you	ur firm?			Y
(f)	If the custodian is a broker-dealer, prov	ride its SEC registration number	er (if any)		
	CRD Number (if any):				
rator	:				
oes	the private fund use an administrator of	her than your firm?			
the	answer to 26(a) is "yes," respond to que	stions (b) through (f) below. If	he <i>private fund</i> uses more	e than one administrator, you	must complete questions (b)
	7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 - 7 -				
f) se _l	parately for each administrator.				
		cord(s) Filed.			
	parately for each administrator.	cord(s) Filed.			
Addi	parately for each administrator.	uestions (b) through (f) below.	If the <i>private fund</i> uses m	ore than one administrator, yo	ou must complete questions
Addi	parately for each administrator. itional Administrator Information : 1 Received the answer to 26(a) is "yes," respond to que ough (f) separately for each administrato the administrator:	uestions (b) through (f) below. r.	If the <i>private fund</i> uses m	ore than one administrator, yo	ou must complete questions
Addi	parately for each administrator. itional Administrator Information : 1 Rec the answer to 26(a) is "yes," respond to q ough (f) separately for each administrato	uestions (b) through (f) below. r.	If the <i>private fund</i> uses m	ore than one administrator, yo	ou must complete questions
Addi If the throad	parately for each administrator. itional Administrator Information: 1 Received answer to 26(a) is "yes," respond to query ough (f) separately for each administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SE	uestions (b) through (f) below. r. ERVICES (IRELAND) LIMITED d country):	If the <i>private fund</i> uses m		ou must complete questions
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Addi If the three (b) (c)	titional Administrator Information: 1 Received answer to 26(a) is "yes," respond to quough (f) separately for each administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SE Location of administrator (city, state and City: DUBLIN 1 Is the administrator a related person of Does the administrator prepare and se	uestions (b) through (f) below. r. ERVICES (IRELAND) LIMITED d country): State: your firm? Ind investor account statement one (provided to some but not	s to the <i>private fund</i> 's inval all investors) O No (prov	Country: Ireland estors? ided to no investors)	Yes
Addi If the three (b) (c) (d) (e)	parately for each administrator. itional Administrator Information: 1 Received answer to 26(a) is "yes," respond to quough (f) separately for each administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SE Location of administrator (city, state and City: DUBLIN 1 Is the administrator a related person of Does the administrator prepare and se Yes (provided to all investors) So	uestions (b) through (f) below. r. ERVICES (IRELAND) LIMITED d country): State: your firm? Ind investor account statement one (provided to some but not	s to the <i>private fund</i> 's inval all investors) O No (prov	Country: Ireland estors? ided to no investors)	Yes
Addi If the three (b) (c) (d) (e) (f)	parately for each administrator. itional Administrator Information: 1 Received answer to 26(a) is "yes," respond to quough (f) separately for each administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SE Location of administrator (city, state and City: DUBLIN 1 Is the administrator a related person of Does the administrator prepare and se Yes (provided to all investors) So	uestions (b) through (f) below. r. ERVICES (IRELAND) LIMITED d country): State: your firm? Ind investor account statement one (provided to some but not of the country investor account investor account investor account investor account investor account investor account investors, respond investors account investors, respond investors accountry.	s to the <i>private fund</i> 's inveall investors) No (provount statements to the (restapplicable."	Country: Ireland estors? ided to no investors) of the) private funds investor	Yes C s? If investor account statem
Addi If the three (b) (c) (d) (e) (f)	itional Administrator Information: 1 Received answer to 26(a) is "yes," respond to quough (f) separately for each administrator: CREDIT SUISSE ADMINISTRATION SE Location of administrator (city, state and City: DUBLIN 1 Is the administrator a related person of Does the administrator prepare and se Yes (provided to all investors) So If the answer to 26(e) is "no" or "some, are not sent to the (rest of the) private for the sent to the to the sent to t	uestions (b) through (f) below. r. ERVICES (IRELAND) LIMITED d country): State: your firm? Ind investor account statement one (provided to some but not of the investor accound's investors, respond "not accound accound the valuation process."	s to the <i>private fund</i> 's invalid investors) No (provount statements to the (restapplicable." e) was valued by a <i>persol</i> dure established for that a	Country: Ireland estors? ided to no investors) of the) private funds investor n, such as an administrator, the	Yes S? If investor account statem at is not your related person? g any relevant quotes, and (ii

You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to 28(a) is "yes", respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

	complete questions (b) through (g) separately for each marketer.
	No Information Filed
A. P	RIVATE FUND
<u>Info</u>	mation About the Private Fund
4	(a) Name of the private front
1.	(a) Name of the <i>private fund</i> : CENTAURUS INTERNATIONAL RISK ARBITRAGE MASTER FUND LTD
	(b) Private fund identification number:
	(include the "805-" prefix also)
	805-7076313225
2.	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
3.	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):
0.	Name of General Partner, Manager, Trustee, or Director
	CENTAURUS CAPITAL LP
4.	The private fund (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
	Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?
	Private Fund Name Private Fund Identification Number
	CENTAURUS INTERNATIONAL RISK ARBITRAGE FUND LTD 805-1860972076
	Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?
	Name of the Private Fund:
	Private Fund Identification Number: (include the "805-" prefix also)
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
	Additional Feeder Fund Information : 1 Record(s) Filed.
	7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
	(a) Name of the private fund:
	CENTAURUS INTERNATIONAL RISK ARBITRAGE FUND LTD
	(b) Private fund identification number: (include the "805-" prefix also)

		805-1860972076	
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:	
		State: Country: Cayman Islands	
	(d)	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):	
		Name of General Partner, Manager, Trustee, or Director CENTAURUS CAPITAL LP	
	(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	
		No information rilea	
	("master	or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or intended also be a "feeder fund" investing in a single master fund.	
8.	(a) Is thi		es N
0.			00
		or purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not the ate funds, or registered investment companies.	
			'es N
9.	0.	our last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other ney market funds," to the extent provided in Instruction 6.e.)?	0 0
10.	What type	e of fund is the private fund?	
	• hedg	e fund $^{f C}$ liquidity fund $^{f C}$ private equity fund $^{f C}$ real estate fund $^{f C}$ securitized asset fund $^{f C}$ venture capital fund $^{f C}$ Other <i>private fund</i>	
	NOTE: F	or funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current g \$ 108,96	gross asset value of the <i>private fund</i> : 6,125	
<u>Ow</u>	<u>nership</u>		
12.		investment commitment required of an investor in the <i>private fund</i> :	
	\$ 1,000,0 NOTE: R fund).	eport the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents o	of the
13.	Approxim 21	nate number of the <i>private fund</i> 's beneficial owners:	
14.	What is the	he approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :	
15.	What is to	he approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:	
16.	What is the	he approximate percentage of the private fund beneficially owned by non-United States persons:	

17.	(a)	Are you a subadviser to this <i>private fund</i> ?	0	•
		If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17(a) is "no," question blank.	leave	this
		No Information Filed		
			Ye	s No
18.		Do any other investment advisers advise the <i>private fund</i> ?	0	
		If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18(a) leave this question blank.	is "no,	"
		No Information Filed		
			Ye	s No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	/ate (Offering		
			Yes	s No
21.	Doe	es the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If ye	es, provide the <i>private fund</i> 's Form D file number (if any):		
		No Information Filed		
р сі	=D\/I	CE PROVIDERS		
D. 31	=K V I	CE PROVIDERS		
Aud	litors			
			Ye	s No
23.		(1) Are the <i>private fund</i> 's financial statements subject to an annual audit?	•	0
		(2) Are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, you must complete question through (f) separately for each auditing firm.	s (b)	
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questi (b) through (f) separately for each auditing firm.	ons	
		(b) Name of the auditing firm:		
		ERNST & YOUNG LIMITED		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:		
		GRAND CAYMAN Cayman Islands		
			Yes	No
		(d) Is the auditing firm an independent public accountant?	⊙	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
			V-	- 11-
	(g)	Are the <i>private fund</i> 's audited financial statements distributed to the <i>private fund</i> 's investors?	re ©	s No
	(h)	Does the report prepared by the auditing firm contain an unqualified opinion?	٠	
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.		
Prir	ne B	Broker		
<u>-</u>			Ye	s No
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	G	0

If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime

broker, you must complete questions (b) through (e) separately for each prime broker. Additional Prime Broker Information: 3 Record(s) Filed. If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: CREDIT SUISSE SECURITIES (EUROPE) LIMITED (c) If the prime broker is registered with the SEC, its registration number: CRD Number (if any): (d) Location of prime broker's office used principally by the *private fund* (city, state and country): State: LONDON United Kingdom (e) Does this prime broker act as custodian for some or all of the private fund's assets? \odot \circ If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: DEUTSCHE BANK, AG (c) If the prime broker is registered with the SEC, its registration number: CRD Number (if any): (d) Location of prime broker's office used principally by the private fund (city, state and country): State: Country: City: LONDON United Kingdom Yes No (e) Does this prime broker act as custodian for some or all of the private fund's assets? \odot \circ If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: GOLDMAN SACHS INTERNATIONAL (c) If the prime broker is registered with the SEC, its registration number: CRD Number (if any): (d) Location of prime broker's office used principally by the private fund (city, state and country): City: Country: LONDON United Kingdom Yes No (e) Does this prime broker act as custodian for some or all of the private fund's assets? ⊙ \circ Custodian

Yes No

25. (a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?

CRD Number (if any): If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian. Description Legal name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED CPrimary business name of custodian: CREDIT SUISSE If the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian. CREDIT SUISSE SECURITIES (EUROPE) LIMITED CREDIT SUISSE If the private fund uses. If the private fund uses. If the private fund uses more than one custodian or custodian. CREDIT SUISSE SECURITIES (EUROPE) LIMITED CREDIT SUISSE If the private fund uses. If the private fun		tional Custodian Information : 4 Record(s)	Filed.		
SNY MELLON Primary business name of outdotain: SNY					than one custodia
SNY 3) The location of the custodian's office responsible for custody of the private fund's assets (aby, state and country): City: City: State: Country: United Kingdom Yes If Country: (b) Is the custodian a related person of your firm? (c) If the answer to 25(a) is "yes," respond to quastions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our unsot complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian the private fund's assets (city, state and country): City: State: Country: Chy: State: Country: (c) (d) (f) the custodian a related person of your firm? (c) (d) (d) (d) (d) (d) (d) (d) (d) (d) (d	b)				
City: LONDON United Kingdom Yes. If the custodian a related person of your firm? City If the custodian is a broker-dealer, provide its SEC registration number (if any) CRD Number (if any): The answer to 25(a) is "yes," respond to questions (b) through (i) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (i) separately for each custodian. CREDIT SUISSE SECURTIES (EUROPE) LIMITED Primary business name of custodian: CREDIT SUISSE SECURTIES (EUROPE) LIMITED Primary business name of custodian: CREDIT SUISSE Ohy: LONDON State Country: CONDON The location of the custodian is office responsible for custody of the private funds assets (ely, state and country): City City CRD Number (if any): The answer to 25(a) is "yes," respond to questions (b) through (i) below for each custodian the private fund uses. If the private fund uses more than one custodian is a broker-dealer, provide its SEC registration number (if any) Life the answer to 25(a) is "yes," respond to questions (b) through (i) below for each custodian the private fund uses. If the private fund uses more than one custodian or the custodian is a broker-dealer, provide its SEC registration number (if any) CRD Number (if any): CRD Number (if any): Light answer to 25(a) is "yes," respond to questions (b) through (i) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (i) separately for each custodian. DEUTSCHE BANK Primary business name of custodian: DEUTSCHE BANK The location of the custodians office responsible for custody of the private funds assets (elty, state and country): Coy. Coy. Coy. Controls: United Kingdom Country: United Kingdom	c)				
LONDON United Kingdom Yes ! Is the custodian a related person of your firm? C If the custodian is a broker-dealer, provide its SEC registration number (if any) The answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian unused complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian unused complete questions (b) through (f) separately for each custodian. Pilmany business name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED The location of the custodian's office responsible for custody of the private funds assets (ety, state and country): City, LONDON Yes ! If the custodian is a broker-dealer, provide its SEC registration number (if any) The location of the custodian is a broker-dealer, provide its SEC registration number (if any) Life answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our unust complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian unust complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian unust complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian unust complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian our unust complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian our unust complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian than private fund uses. If the private fund uses more than one cus	d)	The location of the custodian's office resp	oonsible for <i>custody</i> of the	e private fund's assets (city, state and country):	
Is the custodian a related person of your firm? C If the custodian is a broker-dealer, provide its SEC registration number (if any): The answer to 25(a) is "yes," respond to questions (b) through (i) below for each custodian the private fund uses. If the private fund uses more than one custodian or must complete questions (b) through (i) separately for each custodian. Legal name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED		-	State:	•	
If the custodian is a broker-dealer, provide its SEC registration number (if any) CRD Number (if any): The answer to 25(q) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our most complete questions (b) through (f) separately for each custodian. Design anime of custodian: CREDIT SUISSE The location of the custodian's office responsible for custody of the private funds assets (city, state and country): City: Condon Ves. If the custodian is a broker-dealer, provide its SEC registration number (if any) CRD Number (if any): The answer to 25(q) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian. DEUTSCHE BANK AG The location of the custodian's office responsible for custody of the private funds assets (city, state and country): City: State: Country: United Kingdom					Yes !
The answer to 25(a) is 'yes,' respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian. OLegal name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED DEPTIMENT SUISSE The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: City: State: Country: United Kingdom Yes I The the custodian is a broker-dealer, provide its SEC registration number (if any): The answer to 25(a) is 'yes,' respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete fund uses. If the private fund uses more than one custodian our must complete fund uses. If the private fund uses more than one custodian our must complete fund uses. If the private fund uses more than one custodian to the custodian of fund uses more than one custodian the private fund uses. If the private fund uses is the private fund uses. If the private fund uses is the private fund uses. If the private fund uses is the pri	e)	Is the custodian a <i>related person</i> of your f	irm?		0
If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian our must complete questions (b) through (f) separately for each custodian. 2) Legal name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED 2) Primary business name of custodian: CREDIT SUISSE 3) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: Country: LONDON Ves. If the custodian a related person of your firm? (I) If the custodian is a broker-dealer, provide its SEC registration number (if any)	f)	If the custodian is a broker-dealer, provide	e its SEC registration nun	nber (if any)	
ou must complete questions (b) through (f) separately for each custodian. Degal name of custodian: CREDIT SUISSE SECURITIES (EUROPE) LIMITED		- CRD Number (if any):			
CRD Number (if any): If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian ou must complete questions (b) through (f) separately for each custodian. Divided Head of Custodian: DEUTSCHE BANK AG DEUTSCHE BANK DEUTSCHE	o) C)	Legal name of custodian: CREDIT SUISSE SECURITIES (EUROPE) Primary business name of custodian: CREDIT SUISSE The location of the custodian's office resp) LIMITED ponsible for <i>custody</i> of the	e <i>private fund</i> 's assets (city, state and country): Country:	
the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian must complete questions (b) through (f) separately for each custodian. Legal name of custodian: DEUTSCHE BANK AG Primary business name of custodian: DEUTSCHE BANK The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: LONDON United Kingdom	;)		firm?		
ou must complete questions (b) through (f) separately for each custodian. DEUTSCHE BANK AG Primary business name of custodian: DEUTSCHE BANK The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: City: State: Country: LONDON United Kingdom		Is the custodian a <i>related person</i> of your f			
DEUTSCHE BANK AG Primary business name of custodian: DEUTSCHE BANK The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: City: LONDON State: Country: United Kingdom		Is the custodian a <i>related person</i> of your f If the custodian is a broker-dealer, provide -			
DEUTSCHE BANK The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: City: Country: United Kingdom) th	Is the custodian a <i>related person</i> of your for the custodian is a broker-dealer, provided - CRD Number (if any): The answer to 25(a) is "yes," respond to questions.	e its SEC registration num	ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more t	O
City: State: Country: LONDON United Kingdom	th	Is the custodian a <i>related person</i> of your for the custodian is a broker-dealer, provided and the custodian is a broker-dealer. The custodian is a broker-dealer is a broker-dealer in the custodian is a broker-dealer in the custodia	e its SEC registration num	ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more t	O
LONDON United Kingdom	th ou	Is the custodian a <i>related person</i> of your for the custodian is a broker-dealer, provided and the custodian is a broke	e its SEC registration num	ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more t	O
	f throu	Is the custodian a <i>related person</i> of your for the custodian is a broker-dealer, provided and the custodian is a broke	e its SEC registration num	nber (if any) ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more t	O
• • •	f th /ou (b)	Is the custodian a <i>related person</i> of your for the custodian is a broker-dealer, provided and the custodian is a broke	e its SEC registration num	ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the dian. Solution is private fund as assets (city, state and country): Country:	O
	ttr ()	Is the custodian a <i>related person</i> of your for the custodian is a broker-dealer, provided and the custodian is a broke	e its SEC registration numers stions (b) through (f) below separately for each custod consible for custody of the State:	ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more the dian. Solution is private fund as assets (city, state and country): Country:	chan one custodia

	CRD Number (if any):			
	ne answer to 25(a) is "yes," respond to que u must complete questions (b) through (f) s	• • • • • • • • • • • • • • • • • • • •	custodian the <i>private fund</i> uses. If the <i>private fun</i>	nd uses more than one cu
(b)	Legal name of custodian: GOLDMAN SACHS INTERNATIONAL			
(c)	Primary business name of custodian: GOLDMAN SACHS			
(d)	The location of the custodian's office resp	consible for <i>custody</i> of the <i>private fu</i>	nd's assets (city, state and country):	
	City:		Country:	
	LONDON	L	Jnited Kingdom	
(e)	Is the custodian a related person of your	firm?		
(f)	If the custodian is a broker-dealer, provide	o its SEC registration number (if any	a)	
(1)	-	e its SEC registration number (if any	()	
	CRD Number (if any):			
r <u>ator</u> Ooes	the <i>private fund</i> use an administrator othe	r than your firm?		
oes the	the private fund use an administrator othe		ate fund uses more than one administrator, you n	nust complete questions (
Ooes f the f	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to question	ons (b) through (f) below. If the <i>priva</i>	ate fund uses more than one administrator, you n	nust complete questions (
Does f the s f) sep Addit	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to question parately for each administrator. tional Administrator Information: 1 Recor	ons (b) through (f) below. If the <i>prive</i>	ate fund uses more than one administrator, you n	
Does f the s f) sep Addit If th thro	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to question parately for each administrator. tional Administrator Information: 1 Recorume answer to 26(a) is "yes," respond to que	ons (b) through (f) below. If the <i>prive</i>		
Does f the s f) sep Addit If th thro	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to question parately for each administrator. tional Administrator Information: 1 Record to answer to 26(a) is "yes," respond to que pugh (f) separately for each administrator.	ons (b) through (f) below. If the <i>prive</i> rd(s) Filed.		
of the separate of the separat	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to question parately for each administrator. tional Administrator Information: 1 Recorume answer to 26(a) is "yes," respond to que pugh (f) separately for each administrator. Name of the administrator:	ons (b) through (f) below. If the <i>prive</i> of the prive of		
of the separate of the separat	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to questionarately for each administrator. tional Administrator Information: 1 Record to each administrator and to question to the answer to 26(a) is "yes," respond to question to question to the administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SERVALUE Location of administrator (city, state and concepts)	ons (b) through (f) below. If the <i>prive</i> of the prive of	rivate fund uses more than one administrator, you	
of the separate of the separat	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to question parately for each administrator. tional Administrator Information: 1 Record the answer to 26(a) is "yes," respond to quee bugh (f) separately for each administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SERVELLOCATION of administrator (city, state and content of the server of the	ons (b) through (f) below. If the prive rd(s) Filed. estions (b) through (f) below. If the prive priv	rivate fund uses more than one administrator, you	
of the september of the	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to questionarately for each administrator. tional Administrator Information: 1 Record to each administrator and to question to the answer to 26(a) is "yes," respond to question to question to the administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SERVALUE Location of administrator (city, state and concepts)	ons (b) through (f) below. If the prive rd(s) Filed. State:	rivate fund uses more than one administrator, you	u must complete question
Addid If the three (b)	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to questivate parately for each administrator. tional Administrator Information: 1 Record to answer to 26(a) is "yes," respond to quee tough (f) separately for each administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SERVICE. Location of administrator (city, state and concepts) City: DUBLIN 1	ons (b) through (f) below. If the prive rd(s) Filed. State:	rivate fund uses more than one administrator, you Country: Ireland	u must complete question
Addid If the three (b)	the <i>private fund</i> use an administrator othe answer to 26(a) is "yes," respond to questivately for each administrator. tional Administrator Information: 1 Record to answer to 26(a) is "yes," respond to quee tough (f) separately for each administrator. Name of the administrator: CREDIT SUISSE ADMINISTRATION SERVICE Location of administrator (city, state and concity: DUBLIN 1	ons (b) through (f) below. If the privated of	rivate fund uses more than one administrator, you Country: Ireland private fund's investors?	u must complete question

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

		Yes	No
28.	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the to 28(a) is "yes", respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you complete questions (b) through (g) separately for each marketer.		⊙ wer
	No Information Filed		
A. Pi	RIVATE FUND		
Infor	mation About the Private Fund		
1.	(a) Name of the <i>private fund</i> :		
١.	PM MANAGER FUND, SPC SEGREGATED PORTFOLIO 28		
	(b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-2903329354		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country: Cayman Islands		
3.	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	MICHELLE WILSON-CLARKE, KEVIN WILLIAMS, CHARLES NIGHTINGALE		
4.	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Other - CAYMAN ISLANDS - CAYMAN ISLANDS MONETARY AUTHORITY		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?		_
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	•
	Name of the <i>Private Fund</i> :		
	Private Fund Identification Number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master arrangement or reporting on the funds separately.	-feede	;r
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder fundathe following questions:	s ansv	wer
	No Information Filed		

<u>Marketers</u>

	("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares of and each class (or series) invests substantially all of its assets in a single master fund.	U	
		Yes	No
	(a) Is this private fund a "fund of funds"?	0	\odot
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	\circ
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or no also <i>private funds</i> , or registered investment companies.	ot they a	
	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private fund		
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of the Instructions to Par	t 1A.	
	Current gross asset value of the <i>private fund</i> : \$ 110,981,002		
<u>Owi</u>	nership		
	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 250,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational docume fund).	ents of th	е
13.	Approximate number of the <i>private fund</i> 's beneficial owners: 20		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 89%		
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 30%		
You	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	⊙	0
	(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17(a) is "no," question blank.	' leave th	nis
	Name of adviser to private fund SEC file number CRD number		
	PACIFIC ALTERNATIVE ASSET MANAGEMENT CO LLC 801-57416 108989		
		Yes	No
18.	(a) Do any other investment advisers advise the <i>private fund</i> ?	0	\odot
	(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18(a) leave this question blank.	is "no,"	
	No Information Filed		
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	
		О	•
	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Priv	vate Offering		
		Yes	No

21. Does the private fund rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

22.	If ye	es, provide the <i>private fund</i> 's Form D file number (if any):		
	For	rm D file number		
	02	1-177380		
B. SF	RVI	CE PROVIDERS		
Δud	itors	:		
Auu	itors		٧c	es No
23	(2)	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit?		
20.				0
		(2) Are the financial statements prepared in accordance with U.S. GAAP?	•	9 0
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions through (f) separately for each auditing firm.	፥ (b)	
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, you must complete question (b) through (f) separately for each auditing firm.	ons	
		(b) Name of the auditing firm:		
		DELOITTE & TOUCHE, LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):		
		City: State: Country:		
		GEORGETOWN Cayman Islands		
			Yes	No
		(d) Is the auditing firm an independent public accountant?	•	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
			Ye	es No
	,	Are the <i>private fund's</i> audited financial statements distributed to the <i>private fund's</i> investors?	•	9 0
	(h)	Does the report prepared by the auditing firm contain an unqualified opinion?		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.		
Prin	ne B	<u>roker</u>		
			Ye	es No
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	0	0
		If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one private fund uses more than one private fund uses.	rime	!
		broker, you must complete questions (b) through (e) separately for each prime broker.		
		Additional Prime Broker Information : 3 Record(s) Filed.		
		Additional France Broker information . 5 Record(5) Frace.		
If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than o broker, you must complete questions (b) through (e) separately for each prime broker.				
		(b) Name of the prime broker: CREDIT SUISSE SECURITIES (EUROPE) LIMITED		
		(c) If the prime broker is registered with the SEC, its registration number:		
		CRD Number (if any):		
		(d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
		City: State: Country:		
		LONDON United Kingdom		

(e) Does this prime broker act as custo	is the property of the p	Tvate tunu's assets:	
If the answer to 24(a) is "yes," respond broker, you must complete questions (b		pelow for each prime broker the <i>private fund</i> uses. If the <i>private</i> each prime broker.	e fund uses more than one prime
(b) Name of the prime broker: DEUTSCHE BANK, AG			
(c) If the prime broker is registered with	h the SEC, its registration nu	mber:	
- CRD Number (if any):			
(d) Location of prime broker's office use			
City: LONDON	State:	Country: United Kingdom	
(e) Does this prime broker act as custo	odian for some or all of the p	rivate fund's assets?	Yes No
If the answer to 24(a) is "yes," respond broker, you must complete questions (b		pelow for each prime broker the <i>private fund</i> uses. If the <i>private</i> each prime broker.	e fund uses more than one prime
(b) Name of the prime broker: GOLDMAN SACHS INTERNATIONA	AL		
(c) If the prime broker is registered with	h the SEC, its registration nu	mber:	
- CRD Number (if any):			
(d) Location of prime broker's office use	ed principally by the <i>private t</i>	und (city, state and country):	
City: LONDON	State:	Country: United Kingdom	
			Yes No
(e) Does this prime broker act as custo	odian for some or all of the p	rivate fund's assets?	⊙ ೧
	Controller of the makes a bank and	listed shows) to hold some on all of its social	Yes
	questions (b) through (f) belo	listed above) to hold some or all of its assets? ow for each custodian the <i>private fund</i> uses. If the <i>private fund</i>	uses more than one custodian, yo
Additional Custodian Information : 4 Rec			
If the answer to 25(a) is "yes," respond you must complete questions (b) through		elow for each custodian the <i>private fund</i> uses. If the <i>private fund</i>	nd uses more than one custodian,
(b) Legal name of custodian:		todium.	
(c) Primary business name of custodia			
CREDIT SUISSE			
(d) The leasting of the second officials offi-			
City:	ce responsible for <i>custody</i> of State:	the <i>private fund</i> 's assets (city, state and country): Country:	

(e)	e) Is the custodian a <i>related person</i> of your firm?	0.6	•
(f)) If the custodian is a broker-dealer, provide its SEC registration number (if any)		
	- CRD Number (if any):		
	the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian thou must complete questions (b) through (f) separately for each custodian.	e private fund uses. If the private fund uses more than one custodian	١,
(b)	DEUTSCHE BANK AG		
(c)	c) Primary business name of custodian: DEUTSCHE BANK		
(d)	d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):	
	City: State: Country:	a.m.	
	LONDON United Kingd	om Yes N	ю
(e)	e) Is the custodian a <i>related person</i> of your firm?	0 6	
(f)) If the custodian is a broker-dealer, provide its SEC registration number (if any)		
	- CRD Number (if any):		
you	the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian thou must complete questions (b) through (f) separately for each custodian. b) Legal name of custodian:	e private fund uses. If the private fund uses more than one custodian	١,
	GOLDMAN SACHS INTERNATIONAL		
(c)	c) Primary business name of custodian: GOLDMAN SACHS		
(d)	d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):	
	City: State: Country:		
	LONDON United Kingd		
(e)	e) Is the custodian a <i>related person</i> of your firm?	Yes N	
(f)) If the custodian is a broker-dealer, provide its SEC registration number (if any)		
	- CRD Number (if any):		
	the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian thou must complete questions (b) through (f) separately for each custodian.	e private fund uses. If the private fund uses more than one custodian	١,
(b)	b) Legal name of custodian: THE NORTHERN TRUST COMPANY		
(c)	c) Primary business name of custodian: THE NORTHERN TRUST COMPANY		
(d)	d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country):	

State:

Country:

City:

(b) Is the custodian a plotted person of your firm? CRD Number (if any): doministrator Yes I B the netwer to 26(a) is 'yes,' respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. Additional Administrator Information: 1 Record(s) Filed. If the answer to 26(a) is 'yes,' respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. Additional Administrator Information: 1 Record(s) Filed. If the answer to 28(a) is 'yes,' respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. MORGAN STANLEY FUND SERVICES (BERMUDA) LTD (c) Location of administrator (by, state and country): Cgr: State: Country: HAMILTON Bermuda Yes No (d) Is the administrator a releted person of your firm? (e) Does the administrator prepare and send investor account statements to the private funds investors? (g) Yes (provided to all investors) C Some (provided to some but not all investors) C No (provided to no investors) (f) If the answer to 28(e) is 'no' or 'some': who sends the investor account statements to the (rest of the) private funds investors? If investor account statements are not sent to the (rest of the) private funds investors? If investor account statements are not sent to the (rest of the) private funds investors, respond 'not applicable.' 7. During your last fiscal year, what percentage of the private funds investors, respond 'not applicable.' 7. During your last fiscal year, what percentage of the private funds assets (by value) was valued by a person, such as an administrator, that is not your releted person? 100% 100%						
Additional Administrator Information : 1 Record(s) Filed. If the answer to 26(s) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. Additional Administrator Information : 1 Record(s) Filed. If the answer to 26(s) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. (b) Name of the administrator (c) Location of administrator: (d) Location of administrator: (e) MoRCAN STANLEY FUND SERVICES (SERMUDA) LTD (d) Is the administrator a related person of your time? (e) Does the administrator prepare and send investor account statements to the private fund's investors? (e) Yes (provided to all investors) O Some (provided to some but not all investors). No (provided to no investors) (f) If the answer to 26(e) is "no" of "some," who sends the investor account statements to the (rest of the) private fund's investors? If investors account statements are not some to the (rest of the) private fund's investors? If investor account statements are not some to the (rest of the) private fund's investors? If investors and (ii) the valuation used for purposes of investor subscriptions, receivaptions or distributions, and fee calculations (including allocations) was the valuation determined by such person. 2 No. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes? Yes If You must answer yes' whether the person acids as a placement agent, consultant, finiter, introducer, municipal activities or other solicitor, or similar person. If the onew to 28(s) is "yes", respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer you must complete questions (c) through (g) separately for each marketer.	(€	e) Is the custodian a related person	of your firm?			Yes No
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				No Information Filed		

Iten	1 8 Pa	articipation or Interest in <i>Client</i> Transactions		
		em, we request information about your participation and interest in your <i>clients</i> ' transactions. This information identifies additional areas in which conflicts of interest n you and your <i>clients</i> .	ay o	ccur
Lik	e Item	7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.		
Pr	priet	tary Interest in <i>Client</i> Transactions		
A.	-	you or any related person:	Yes	No
	(1)	buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	\circ	⊙
	(2)	buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	\circ	\odot
	(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	0	•
Sa	les In	sterest in <i>Client</i> Transactions		
B.	Doy	you or any related person:	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	•
	(2)	recommend purchase of securities to advisory <i>clients</i> for which you or any <i>related person</i> serves as underwriter, general or managing partner, or purchaser representative?	0	•
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	0
		ent or Brokerage Discretion		
C.		you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	⊙	0
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	\odot	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	0
D.	If yo	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	•
E.	Doy	you or any <i>related person</i> recommend brokers or dealers to <i>clients</i> ?	•	0
F.	If yo	ou answer "yes" to E above, are any of the brokers or dealers related persons?	0	•
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	•	0
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any related persons receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	0
H.	Doy	you or any related person, directly or indirectly, compensate any person for client referrals?	0	•
I.	Doy	you or any related person, directly or indirectly, receive compensation from any person for client referrals?	0	•
		esponding to Items 8.H and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H) or received from (in answering to the second of the second o	ring .	Item
l4 a sa	. 0.0.			
In t	his Ite	ustody em, we ask you whether you or a related person has custody of client (other than clients that are investment companies registered under the Investment Company Act and about your custodial practices.	of 19	40)
A.	(1)	Do you have <i>custody</i> of any advisory <i>clients'</i> :	Yes	No
		(a) cash or bank accounts?	•	0
		(b) securities?	•	0
	clie	ou are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from you nts' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption to not operationally independent (pursuant to Advisers Act rule 206(4)-(2)(d)(5)) from the related person.		ЭU
	(2)	If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for which you have <i>custody</i> :		
	. /	U.S. Dollar Amount Total Number of <i>Clients</i>		
		(a) \$ 0		
	16	ou are registering or registered with the SEC and you have quetody calculy because you deduct your advisory feet directly from your clients' accounts, do not include the		

		·	to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services y of those clients in your response to 9.A.(2). Instead, include that information in your response to Item 9.	-	ovide
B.	(1)	In connection with advisory services you provide to <i>clients</i> , do	o any of your related persons have custody of any of your advisory clients':	Yes	No
		(a) cash or bank accounts?		•	0
		(b) securities?		•	0
	Yo	u are required to answer this item regardless of how you answe	ered Item 9.A.(1)(a) or (b).		
	(2)	If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximately:	roximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for which your <i>related persons</i>	s have	
		U.S. Dollar Amount Total N	umber of Clients		
		(a) \$ 237,754,121 (b) 6			
C.	If v	ou or your related persons have custody of client funds or secu-	rities in connection with advisory services you provide to <i>clients</i> , check all the following that apply:		
0.	(1)		quarterly to the investors in the pooled investment vehicle(s) you manage.		
	(2)	An independent public accountant audits annually the pooled	d investment vehicle(s) that you manage and the audited financial statements are distributed to the	V	
	(3)	investors in the pools. An independent public accountant conducts an annual surpr	rise examination of client funds and securities		
	(3) (4)		rol report with respect to custodial services when you or your related persons are qualified custodians		
	()	for <i>client</i> funds and securities.			
	rep		Schedule D the accountants that are engaged to perform the audit or examination or prepare an internal r information in Section 9.C. of Schedule D if you already provided this information with respect to the pr		ol
D.	Do	you or your related person(s) act as qualified custodians for yo	our clients in connection with advisory services you provide to clients?	Yes	No
	(1)	you act as a qualified custodian		0	\odot
	(2)	your related person(s) act as qualified custodian(s)		0	\odot
	_		s qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be unave determined the related person to be operationally independent under rule 206(4)-2 of the Advise		t.
E.	•	ou are filing your annual updating amendment and you were so e (MM/YYYY) the examination commenced:	ubject to a surprise examination by an <i>independent public accountant</i> during your last fiscal year, provid	e the	
F.	-	ou or your <i>related persons</i> have <i>custody</i> of <i>client</i> funds or secu your <i>clients</i> in connection with advisory services you provide to	rities, how many persons, including, but not limited to, you and your <i>related persons,</i> act as qualified cu o <i>clients?</i>	ıstodia	ans
SEC	CTION	N 9.C. Independent Public Accountant			
			ublic accountant engaged to perform a surprise examination, perform an audit of a pooled investment v	√ehicle)
	1) N	ame of the independent public accountant:	plete a separate Schedule D Section 9.C. for each independent public accountant.		
	E	RNST AND YOUNG LIMITED			
(2	2) TI	he location of the independent public accountant's office respo	onsible for the services provided:		
	1	Number and Street 1:	Number and Street 2:		
	2	2ND FLOOR, LEEWARD 4	REGATTA OFFICE PARK, WEST BAY ROAD		
	(City: State:	Country: ZIP+4/Postal Code:		
	(GRAND CAYMAN	Cayman Islands		
(;	3) Is	the independent public accountant registered with the Public	Company Accounting Oversight Board?	Yes I	No C
(4	•	yes to (3) above, is the <i>independent public accountant</i> subject tles?	to regular inspection by the Public Company Accounting Oversight Board in accordance with its	0	0
(5) TI	he independent public accountant is engaged to:			
		■ audit a pooled investment vehicle ■ perform a surprise examination of <i>clients'</i> assets			
		Derenare an internal control concrt			

(6) Does any report prepared by the independent public accountant that audited the pooled investment vehicle or that examined internal controls contain an unqualified opin	nion?	
C No		
C Report Not Yet Received		
If you check "Report Not Yet Received", you must promptly file an amendment to your Form ADV to update your response when the accountant's report is available.		
Item 10 Control Persons		
In this Item, we ask you to identify every person that, directly or indirectly, controls you.		
If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive of Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or bot you filed with your initial application or report, you must complete Schedule C.		at
A. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies?	0	•
If yes, complete Section 10.A. of Schedule D.		
B. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of a please complete Section 10.B. of Schedule D.	1934,	
SECTION 10.A. Control Persons		
No Information Filed		
SECTION 10.B. Control Person Public Reporting Companies		
No Information Filed		
Item 11 Disclosure Information		
In this Item, we ask for information about your disciplinary history and the disciplinary history of all your advisory affiliates. We use this information to determine whether to gran application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem area focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below.		r
Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.		
If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the other event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.	of an	
You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.		
	Yes	No
Do any of the events below involve you or any of your supervised persons?	0	•
For "yes" answers to the following questions, complete a Criminal Action DRP:	V	
A. In the past ten years, have you or any advisory affiliate:(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	Yes	_
(2) been charged with any felony?	0	•
(2) book analysis mutany lossity.	0	⊙
If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are curpending.	rrently	/
B. In the past ten years, have you or any advisory affiliate:		
(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	•

	pending.		
For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	•
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	0	\odot
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	\odot
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	\circ	\odot
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	\odot	\circ
	(3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	0	\odot
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	•
E.	Has any self-regulatory organization or commodities exchange ever:		
	(1) found you or any advisory affiliate to have made a false statement or omission?	\circ	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	⊙
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	⊙
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	•
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	•
G.	Are you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	•
For	"yes" answers to the following questions, complete a Civil Judicial Action DRP:		
H.	(1) Has any domestic or foreign court:	Yes	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	0	\odot
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	\circ	\odot
	(c) ever dismissed, pursuant to a settlement agreement, an investment-related civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?	0	⊙
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•
tem	12 Small Businesses		
	SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the dall business" or "small organization" under rule 0-7.	efinitio	on of

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently

Answer this Item 12 only if you are registered or registering with the SEC and you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of clients. In determining your or another person's total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a person, whether through ownership of securities, by contract, or otherwise. Any person that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another person is presumed to control the other person.

Yes No

A. Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?

0 0

If "yes," you do not need to answer Items 12.B. and 12.C.

		, • • • • • • • • • • • • • • • • • • •		
	(1)	control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2)	control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
C.	Are	you:		
	(1)	controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0

(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent C

Schedule A

Do you

Direct Owners and Executive Officers

fiscal year?

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% $\,$ C 25% but less than 50% $\,$ E 75% or more
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First	DE/FE/I	Status	Date Status Acquired	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of Birth, IRS
Name, Middle Name)			MM/YYYY	Code	Person		Tax No. or Employer ID No.
CENTAURUS GLOBAL HOLDING LIMITED	FE	OWNER	04/2008	Е	Υ	N	
LEARY, PAUL, ALLEN	I	CHIEF COMPLIANCE OFFICER	06/2000	NA	Y	N	5068615
OPPETIT, BERNARD, ROGER	I	CEO	08/2000	NA	Υ	N	1697653
FREEMAN, RANDEL, HOWARD	ı	DIRECTOR	08/2000	NA	Υ	N	1873115

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an

individual.		
Complete the Status column by e securities owned (if more than or the securities owned)	•	atus as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of
6. Ownership codes are: C - 25%	% but less than 50%	E - 75% or more
D - 50%	% but less than 75%	F - Other (general partner, trustee, or elected manager)
7. (a) In the Control Person column	, enter "Yes" if the pers	son has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. N
that under this definition, mos	st executive officers an	d all 25% owners, general partners, elected managers, and trustees are control persons.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name,	DE/FE/I	Entity in Which Interest is	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and Date of Birth,
First Name, Middle Name)		Owned		Acquired MM/YYYY	Code	Person		IRS Tax No. or Employer ID No.
OPPETIT, BERNARD, ROGER	I	CENTAURUS GLOBAL HOLDING LIMITED	OWNER	04/2008	E	Υ	N	1697653

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

SCHEDULE D, SECTION 7.B REPORTS INFORMATION ON THE PRIVATE FUNDS ADVISED BY CENTAURUS CAPITAL LIMITED ("CCL"). CENTAURUS CAPITAL LP IS THE APPOINTED INVESTMENT MANAGER FOR THESE FUNDS AND IS DISCLOSED IN ITEM 7.A.5. AS A SPONSOR, GENERAL PARTNER OR SIMILAR, IN RELATION TO THE FUNDS. CENTAURUS CAPITAL LP HAS NO EMPLOYEES AND IS A VEHICLE SET UP FOR TAX AND REGULATORY PURPOSES, THEREFORE CENTAURUS CAPITAL LP ACTS THROUGH ITS GENERAL PARTNER, CCL THE REGISTERING ADVISER AND IS RELYING ON THE 2005 ABA NO-ACTION LETTER. CENTAURUS CAPITAL LP, IS SUJBECT TO SEC EXAMINATION AND ANY ACTIVITY THAT IS DEEMED TO BE INVESTMENT ADVISORY IN NATURE IS SUBJECT TO THE ADVISERS ACT AND THE RULES THEREUNDER. IN ANSWERING QUESTION 19 IN SECTION 7.B OF SCHEDULE D, WE HAVE ANSWERED IN TERMS OF OUR SEPARATE ACCOUNT CLIENTS AND HAVE NOT REPORTED THE INVESTMENTS BY THE FEEDER FUNDS AS A SOLICITATION OF OUR CLIENTS. SECTION 7.A. OF SCHEDULE D DISCLOSES OUR ADVISORY AFFILIATE, CENTAURUS CAPITAL (HONG KONG) LIMITED, WHICH HAS NO CLIENTS OTHER THAN THE FILING ADVISER. AS DISCLOSED IN ITEM 11, PART 1A, CENTAURUS PAID FINES TO THE SPANISH AND SWEDISH REGULATORS IN RELATION TO TWO SEPARATE INCIDENTS INVOLVING THE INADVERTANT FAILURE TO DISCLOSE POSITION INCREASES. THE FINE PAID TO THE SWEDISH REGULATOR WAS 15,000 EURO AND WAS CONVERTED TO \$19,961 DOLLARS FOR PART 1A.

ORP Pages					
CRIMINAL DISCLOSURE	E REPORTING PAGE (ADV)				
No Information Filed					
REGULATORY ACTION	DISCLOSURE REPORTING PAGE (AD	/)			
		GENERAL INSTRU	ICTIONS		
This Disclosure Report	ting Page (DRP ADV) is an $_{\hbox{\scriptsize oldsymbol{C}}}$ INITIA	OR	to report details for affirmative respo	nses to Items 11.C., 11.D., 11.E., 11.F. or 11.	G.
of Form ADV.					
		Regulatory Act	tion		
Check item(s) being re					
□ 11.C(1)	□ 11.C(2)	□ 11.C(3)	□ 11.C(4)	□ 11.C(5)	
□ 11.D(1)	☑ 11.D(2)	□ 11.D(3)	□ 11.D(4)	□ 11.D(5)	
□ 11.E(1)	□ 11.E(2)	□ 11.E(3)	□ 11.E(4)		
□ 11.F.	□ 11.G.				
Use a separate DRP for Execution Page.	or each event or <i>proceeding</i> . The san	ne event or <i>proceeding</i> may be repor	ted for more than one <i>person</i> or enti	ty using one DRP. File with a completed	
· ·	n more than one affirmative answer to than one regulator, provide details to		1.G. Use only one DRP to report deta	ails related to the same event. If an event give	3
PART I					
A. The <i>person(s)</i> or o	entity(ies) for whom this DRP is being sory firm)	filed is (are):			
$_{ m C}$ You and one $_{ m C}$	or more of your advisory affiliates				
One or more o	of your advisory affiliates				
If this DRP is bein	ng filed for an <i>advisory affiliate</i> , give th	ne full name of the <i>advisory affiliate</i> be	elow (for individuals, Last name, Firs	et name, Middle name).	

If the advisory affiliate has a CRD number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

	ADV DRP - ADVISORY AFFILIATE
	No Information Filed
	☐ This DRP should be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. ☐ This DRP should be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC and the event was resolved in the adviser's or <i>advisory affiliate's</i> favor.
	If you are registered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.
	This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
B.	If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.
	C Yes C No
	NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.
PAR	T II
1.	Regulatory Action initiated by: Ose Other Federal Ostate Ose Foreign
	(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) FINANSINSPEKTIONEN
2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions: NO OTHER SANCTIONS WERE IMPOSED, JUST A FINE.
3.	Date Initiated (MM/DD/YYYY):
	11/17/2011 © Exact © Explanation If not exact, provide explanation:
4.	Docket/Case Number: FI DNR 10-12012
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): FAILURE TO REPORT AN INCREASE IN ITS HOLDING OF SHARES IN GLOBAL IP SOLUTIONS AB, EXCEEDING 5 PERCENT OF THE TOTAL SHARE, WITHIN THE TIME PRESCRIBED BY LAW. GLOBAL IP SOLUTIONS AB IS LISTED ON THE NORWEGIAN EXCHANGE AND THE INCREASE IN HOLDINGS WAS REPORTED TIMELY TO THE NORWEGIAN REGULATOR. HOWEVER, GLOBAL IP SOLUTIONS AB IS A SWEDISH COMPANY, THEREFORE THERE WAS ALSO A REPORTING REQUIREMENT TO SWEDEN. BECAUSE THE FILING WAS MADE TO NORWAY THE SWEDISH REGULATOR CONSIDERED THE LACK OF DISCLOSURE TO BE DUE TO A MISUNDERSTANDING AND NOT SEVERE, IMPOSING A LOW END FINE WHICH WAS PAID BY CENTAURUS ONCE THEY RECEIVED NOTIFCATION OF THE UNINTENDED BREACH. AT NO TIME DID CENTAURUS SEEK TO MISLEAD THE MARKET OR HIDE TRADING ACTIVITY OR POSITIONS, AS ILLUSTRATED BY ITS TIMELY DISCLOSURE TO THE NORWEGIAN REGULATOR. CENTAURUS HAS SINCE REVIEWED THEIR PROCESSES FOR IDENTIFYING WHEN DISCLOSURES ARE NEEDED ACROSS ALL JURISDCITIONS IN WHICH IT TRADES.
8.	Current Status? C Pending C On Appeal Final
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:
If F	inal or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.
10.	How was matter resolved: Consent
11.	Resolution Date (MM/DD/YYYY):

	03/13	3/2012 C Exact C Explanation				
	If not	exact, provide explanation:				
	THE	FINE WAS PAID IN FULL ON MARCH 28, 2012 ANI	D THE SWEDISH REGULATOR CLC	OSED THE FILE ON FEBRUARY 13	, 2012.	
12.	Reso	lution Detail:				
	A.	Were any of the following Sanctions Ordered (chec	ck all appropriate items)?			
		Monetary/Fine Amount: \$ 2,268.00				
		☐ Revocation/Expulsion/Denial	1	Disgorgement/Restitution		
		☐ Censure	1	Cease and Desist/Injunction		
		□ Bar	ſ	Suspension		
		Other Sanctions <i>Ordered:</i> NO SANCTIONS WERE ORDERED, JUST THE FIN Sanction detail: if suspended, <i>enjoined</i> or barred, Principal, etc.). If requalification by exam/retraining condition has been satisfied. If disposition resulted you or an <i>advisory affiliate</i> date paid and if any port THE FINE WAS PAID IN FULL ON MARCH 28, 201	provide duration including start date was a condition of the sanction, prod in a fine, penalty, restitution, disgotion of penalty was waived:	vide length of time given to requalify rgement or monetary compensation	y/retrain, type of exam required and whetlen, provide total amount, portion levied ag	her
13.	provid	de a brief summary of details related to the action ded). IE WAS LEVIED AND PAID, NO FURTHER SANCTION			dates (your response must fit within the s	pace
Thic	Disclo	peuro Poporting Page (DPP ADV) is an INITIAL	GENERAL INSTRUC		onses to Itams 11 C 11 D 11 E 11 E (or 11 G
		osure Reporting Page (DRP ADV) is an 👝 INITIAL	OR • AMENDED response used to	to report details for anifmative resp	onses to items 11.C., 11.D., 11.E., 11.F. C	or TT.G.
OI FO	rm AD	v.				
			Regulatory Acti	on		
		n(s) being responded to:	T 44 0(0)	-	5	
	1.C(1)		□ 11.C(3)	□ 11.C(4)	□ 11.C(5)	
	1.D(1)		□ 11.D(3)	□ 11.D(4)	□ 11.D(5)	
	1.E(1) 1.F.	D 11.E(2) ☐ 11.G.	□ 11.E(3)	□ 11.E(4)		
	1.F.	L 11.G.				
Exec One	cution I	arate DRP for each event or <i>proceeding</i> . The same Page. may result in more than one affirmative answer to bons by more than one regulator, provide details to each	Items 11.C., 11.D., 11.E., 11.F. or 11	*		
PAR	ΤI					
A.	-	person(s) or entity(ies) for whom this DRP is being ou (the advisory firm)	filed is (are):			
	o Y	ou and one or more of your advisory affiliates				
		ne or more of your advisory affiliates				
		DRP is being filed for an <i>advisory affiliate</i> , give the <i>advisory affiliate</i> has a <i>CRD</i> number, provide that r		•	•	
	ADV	DRP - ADVISORY AFFILIATE				
			No Information	n Filed		
	□ T	his DRP should be removed from the ADV record be his DRP should be removed from the ADV record be egistration with the SEC and the event was resolved	pecause: (1) the event or proceeding	occurred more than ten years ago		ng for
	-	u are registered or registering with a state securities rred more than ten years ago. If you are registered				
	Пт	his DRP should be removed from the ADV record b	pecause it was filed in error, such as	due to a clerical or data-entry mist	take. Explain the circumstances:	

В.	of the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.			
	C Yes C No			
	NOTE: The completion of this form does not relieve the advisory affiliate of its obligation	to update its IARD or CRD records.		
PAR	тш			
1.	- 3· ···· , · · · · · · · · · · · · · · ·			
	O SEC Other Federal O State O SRO Foreign			
	(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) COMISION NACIONAL DEL MERCADO DE VALORES (CNMV)			
2.	Principal Sanction:			
	Civil and Administrative Penalt(ies) /Fine(s)			
	Other Sanctions: NO OTHER SANCTIONS WERE IMPOSED.			
3.	Date Initiated (MM/DD/YYYY): 02/06/2008 © Exact © Explanation			
	If not exact, provide explanation:			
	ON FEBRUARY 6, 2008 CENTAURUS RECEIVED NOTIFICATION FROM THE CMNV TH DISCLOSURE IN RELATION EXCEEDING THE 5% THRESHOLD IN LOGISTA BY CENT ACTUAL CHARGES, FROM THE CMNV, IN RELATION TO THE MISSED DISCLOSURE.			
4.	Docket/Case Number: 2009010956			
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action	on (if applicable):		
6.	Principal Product Type:			
	Equity Listed (Common & Preferred Stock) Other Product Types:			
7.	Describe the allegations related to this regulatory action (your response must fit within the failure to disclose that on May 3, 2007 the centaurus alpha master fundistrib integral logista (logista). Centaurus had made the required discontinuous training for the centaurus alphating while it was updating other related filings and was then asked of explanation, which was completed on november 22, 2007. This was for february 6, 2008, followed by charges received on March 31, 2008.	JND HAD CROSSED THE 5% THRESHOLD IN RELATION TO HOLDINGS IN CIADISCLOSURES FOR THE GROUP OF FUNDS EXCEEDING THIS LEVEL, BUTHA MASTER FUND IN ITS OWN RIGHT. CENTAURUS DISCOVERED THE MISSED BY THE CNMV TO MAKE A LATE NOTIFICATION FILING AND TO PROVIDE A LETTER		
8.	Current Status? C Pending C On Appeal C Final			
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date	e Appeal Filed:		
If F	inal or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.			
10.	How was matter resolved:			
	Stipulation and Consent			
11.	Resolution Date (MM/DD/YYYY):			
	06/01/2009 C Exact Explanation			
	If not exact, provide explanation: ON MARCH 20, 2009 CENTAURUS ADVISED THE CNMV, BY LETTER, AS IS THE PRAC SEEK AN APPEAL OR HEARING IN ORDER TO SETTLE THE MATTER. THE FINE WAS			
12.	Resolution Detail:			
	A. Were any of the following Sanctions <i>Ordered</i> (check all appropriate items)?			
	✓ Monetary/Fine Amount: \$ 19,661.00			
	Revocation/Expulsion/Denial	☐ Disgorgement/Restitution		
	☐ Censure	Cease and Desist/Injunction		
	☐ Bar	☐ Suspension		

B. Other Sanctions Ordered:

NO OTHER SANCTIONS WERE ORDERED.

Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate* date paid and if any portion of penalty was waived:

THE FINE WAS PAID IN FULL ON JUNE 1, 2009.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

THE MATTER WAS FULLY RESOLVED WITH THE PAYMENT OF THE FINE IN FULL.

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Part 2

Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Yes No

Are you exempt from delivering a brochure to all of your clients under these rules?

 \circ

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Brochure ID	Brochure Name	Brochure Type(s)
71331	CENTAURUS MARCH 2012	Other institutional, Private funds or pools
92215	CENTAURUS FEBRUARY 2013	Other institutional, Private funds or pools

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Date: MM/DD/YYYY

Signature:

Printed Name: Title:

Adviser CRD Number:

161651

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:
PAUL LEARY
Printed Name:

Title:

03/01/2013

Date: MM/DD/YYYY

PAUL LEARY

CHIEF OPERATING OFFICER

Adviser CRD Number:

161651