FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

F	Prim	ary Business Name: GLG PART	NERS LP		CRD Number: 163016
(Othe	r-Than-Annual Amendment - A	All Sections		Rev. 10/2021
4	1/10	0/2024 2:54:02 PM			
	WAI	·	•	ents or omissions may result in denial red by filing periodic amendments. See	of your application, revocation of your registration, or criminal e Form ADV General Instruction 4.
ı	tem	1 Identifying Information			
		-	•	-	ontact you. If you are filing an <i>umbrella registration</i> , the des information to assist you with filing an <i>umbrella registration</i> .
		Your full legal name (if you are GLG PARTNERS LP	a sole proprietor, you	ur last, first, and middle names):	
		(1) Name under which you prim GLG PARTNERS LP	arily conduct your ac	dvisory business, if different from Item	1.A.
		List on Section 1.B. of Schedule	D any additional name	es under which you conduct your adviso	ory business.
		(2) If you are using this Form A	DV to register more	than one investment adviser under ar	n umbrella registration, check this box 🗖
		If you check this box, complete a	a Schedule R for each	relying adviser.	
		If this filing is reporting a chang name change is of ☐ your legal name or ☐ your properties.		•	e (Item 1.B.(1)), enter the new name and specify whether the
	D.	 (1) If you are registered with the SEC as an investment adviser, your SEC file number: 801-78835 (2) If you report to the SEC as an exempt reporting adviser, your SEC file number: (3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed 			
	E.	(1) If you have a number ("CRD	Number") assigned	by the FINRA's CRD system or by the	IARD system, your <i>CRD</i> number: 163016
		If your firm does not have a CRD	number, skip this Ite	em 1.E. Do not provide the CRD number	of one of your officers, employees, or affiliates.
		(2) If you have additional CRD N	Numbers, your additi	onal <i>CRD</i> numbers:	
				No Information Filed	
	F.	Principal Office and Place of Busin	ness		
		(1) Address (do not use a P.O. Number and Street 1: RIVERBANK HOUSE City:		Number and Street 2: 2 SWAN LANE Country:	ZIP+4/Postal Code:
		LONDON		United Kingdom	EC4R 3AD
		If this address is a private	residence, check this	s box: 🗆	
		you are applying for registra which you are applying for re	ntion, or are registere egistration or with wh EC as an exempt repo	d, with one or more state securities aut om you are registered. If you are apply	business, at which you conduct investment advisory business. If horities, you must list all of your offices in the state or states to ing for SEC registration, if you are registered only with the SEC, or e offices in terms of numbers of employees as of the end of your
		(2) Days of week that you norr • Monday - Friday • Othe	•	ss at your <i>principal office and place of l</i>	business:
		Normal business hours at t 9:00 AM - 5:00 PM GMT/BST			

(5) What is the total number of offices, other than your principal office and place of business, at which you conduct investment advisory business as of

(3) Telephone number at this location:

(4) Facsimile number at this location, if any:

the end of your most recently completed fiscal year?

+44 20 7144 1000

	0					
G.	Mailing address, if different	t from your <i>principal office ar</i>	nd place of business address:			
	Number and Street 1:		Number and Street	2:		
	City:	State:	Country:	ZIP+4/Postal Code:		
	If this address is a private	e residence, check this box:				
Н.		r, state your full residence a	,	rincipal office and place of business address in Item 1.F.:	:	
	Number and Street 1:		Number and Street			
	City:	State:	Country:	ZIP+4/Postal Code:		
					Yes	No
I.	Do you have one or more thinkedIn)?	websites or accounts on pub	llicly available social media plat	forms (including, but not limited to, Twitter, Facebook	and 👩	0
	If a website address serves addresses for all of the othe available social media platfo	as a portal through which to er information. You may need	access other information you ha to list more than one portal add of the content. Do not provide the	publicly available social media platforms on Section 1.1. ave published on the web, you may list the portal without dress. Do not provide the addresses of websites or account e individual electronic mail (e-mail) addresses of employee	t listing nts on publi	
J.	Chief Compliance Officer					
J.	•	contact information of your (Chief Compliance Officer If you	are an exempt reporting adviser, you must provide the	contact	
		· ·	ive one. If not, you must compl		contact	
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if	any:		
	Number and Street 1:		Number and Street	2:		
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ac	ddress, if Chief Compliance C	Officer has one:			
		npany Act of 1940 that you amber (if any):		than you, a <i>related person</i> or an investment company iance officer services to you, provide the <i>person's</i> name	_	
K.	• •	tact Person: If a person other may provide that information	•	fficer is authorized to receive information and respond	d to questic	ons
	Name:	may provide that information	Titles:			
	Telephone number:		Facsimile number, if	any:		
	Number and Street 1:		Number and Street			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ac	ddress, if contact person has	s one:			
	,	•			Yes	No
L.	•	all of the books and records ur <i>principal office and place o</i>		r Section 204 of the Advisers Act, or similar state law,	•	0
	If "yes," complete Section 1	1.L. of Schedule D.			Yes	Nο
M.	Are you registered with a	foreign financial regulatory au	uthority?			0
	•	registered with a foreign final s," complete Section 1.M. of S	0 9	f you have an affiliate that is registered with a foreign fina	ancial	
					Yes	No
N.	Are you a public reporting	company under Sections 12	or 15(d) of the Securities Exch	ange Act of 1934?	0	\odot
					Yes	No
Ο.	•	more in assets on the last d mate amount of your assets	ay of your most recent fiscal yes:	ar?		•
	S \$1 billion to less than	\$10 billion				
	C \$10 billion to less than	n \$50 billion				

\$50 billion or more For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end. P. Provide your Legal Entity Identifier if you have one: 549300RJTS0EUAOPTJ96 A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity SECTION 1.B. Other Business Names List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name. Name: MAN GLG Jurisdictions 🔽 AL **▽** IL ✓ NE **☑** SC ✓ AK **☑** IN ☑ NV **☑** SD ✓ AZ ✓ IA ✓ NH ▼ TN M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
M
<p **▼** TX ✓ AR ✓ KS ✓ KY ✓ NM ☑ UT ☑ CA **☑** co ✓ LA ☑ NY ✓ VT ✓ CT ✓ ME ✓ NC VI 🗹 DE ✓ MD ✓ ND ✓ VA ☑ DC ✓ MA ✓ OH ✓ WA **☑** MI **▽** FL ✓ OK ✓ WV ☑ GA ✓ MN ✓ OR ✓ WI **☑** GU ✓ MS ✓ PA ✓ WY

List your other business names and the jurisdictions in which you use them. You must complete a separate Schedule D Section 1.B. for each business name.

☑ PR

✓ RI

Other:

☑ MO

✓ MT

Name: MAN GROUP

Jurisdictions

☑ HI

✓ ID

▽ IL ✓ NE **☑** SC ✓ AL ✓ AK ✓ IN ✓ NV **☑** SD **☑** AZ ✓ IA ✓ NH ▼ TN M ✓ AR **☑** KS **☑** TX ✓ CA ✓ KY ✓ NM ☑ UT **☑** co ✓ LA ☑ NY ✓ VT ☑ CT ✓ ME ☑ NC ✓ **▽** VA DE ✓ MD ✓ ND ☑ DC ✓ MA ✓ OH ✓ WA **▽** FL **☑** MI ✓ OK ✓ WV ✓ WI ☑ GA ✓ MN ✓ OR ✓ MS **☑** GU ✓ PA ✓ WY **☑** HI MO **₽** PR Other: **☑** ID ✓ MT **☑** RI

SECTION 1.F. Other Offices

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: HTTPS://WWW.MAN.COM

SECTION 1 I	Location of Books a	and Decords

Complete the following information for each location at which you keep your books and records, other than your <i>principal office and place of business</i> . You must complete a separate Schedule D, Section 1.L. for each location.					
Name of entity where books and records are kept: BNY MELLON FUND SERVICES (IRELAND) LIMITED DESIGN	GNATED ACTIVITY	′ COMPANY			
Number and Street 1: ONE DOCKLAND CENTRAL		Number and S			
City: DUBLIN 1	State:	Country: Ireland	ZIP+4/Postal (Code:	
If this address is a private residence, check this box:					
Telephone Number: +35319008500	Facsimile numb	oer, if any:			
This is (check one): one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Name of entity where books and records are kept: BNY FUND MANAGEMENT (CAYMAN) LIMITED					
Number and Street 1: REGATTA OFFICE PARK WINDWARD #1, 1ST FL, W BAY	RD		Number and Street 2: PO BOX 31371		
City: GRAND CAYMAN	S	tate:	Country: Cayman Islands	ZIP+4/Postal Code: KY1-1206	
If this address is a private residence, check this box:					
Telephone Number: 345 943 0731	Fa	acsimile number, if	any:		
This is (check one): O one of your branch offices or affiliates.					
o a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at this loca GLG PARTNERS LP SERVES AS AN INVESTMENT ADVISE VEHICLES MAY BE MAINTAINED AT THIS LOCATION.		OLED INVESTMENT	VEHICLES. RECORDS RELAT	TED TO ONE OR MORE OF THOSE	

THE BANK OF NEW YORK MELLON (LUXEMBOURG	S) S.A.		
Number and Street 1: 2-4 RUE EUGÈNE RUPPERT		Number and Street 2:	
City: LUXEMBOURG	State:	Country: Luxembourg	ZIP+4/Postal Code: L-2453
If this address is a private residence, check this	s box:		
Telephone Number: +352245241	Facsimile number,	, if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.other.			
Briefly describe the books and records kept at t GLG PARTNERS LP SERVES AS AN INVESTMENT A VEHICLES MAY BE MAINTAINED AT THIS LOCATION	ADVISER TO CERTAIN POO	OLED INVESTMENT VEHICLES.	RECORDS RELATED TO ONE OR MORE OF THOSE
Name of entity where books and records are keeman INVESTMENTS INC.	ept:		
Number and Street 1: 1345 AVENUE OF THE AMERICAS		Number and Street 2: 21ST FLOOR	
City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10105
If this address is a private residence, check this	s box:		
Telephone Number: 212 649 6800	Facsimile number, if a	any:	
This is (check one): one of your branch offices or affiliates.			
O a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at t CERTAIN RECORDS RELATING TO SALES AND MA		ED AT THIS LOCATION.	
Name of entity where books and records are ke TIERPOINT	ept:		
Number and Street 1: 34 SAINT MARTIN DRIVE, #3		Number and Street	2:
3	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 01752
If this address is a private residence, check this	s box:		
Telephone Number: (508) 281-7600	Facsimile number, if any:		
This is (check one): O one of your branch offices or affiliates.			
$_{\hbox{\scriptsize C}}$ a third-party unaffiliated recordkeeper.			
other.			

Name of entity where books and records are kept:

Briefly describe the books and records kept at a THIS IS A DISASTER RECOVERY SITE WITH DUPI				
Name of entity where books and records are keep international fund SERVICES (IRELAND) LIM				
Number and Street 1: 78 SIR JOHN ROGERSON'S QUAY		Number and GRAND CANA		
City: DUBLIN 2	State:	Country: Ireland	ZIP+4/Postal Code: D02 HD32	
If this address is a private residence, check this	s box:			
Telephone Number: +35317075000	Facsimi	le number, if any:		
This is (check one): O one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at a GLG PARTNERS LP SERVES AS AN INVESTMENT VEHICLES MAY BE MAINTAINED AT THIS LOCATION	ADVISER TO CERTA	IN POOLED INVESTMENT V	'EHICLES. RECORDS RELATED TO ONE OR MORE OF THO	OSE
Name of entity where books and records are kenderes being been been been been been been been be	ept:			
Number and Street 1: ASTBURY HOUSE		Number and Street 2: BRADFORD ROAD		
City: WINSFORD	State:	Country: United Kingdom	ZIP+4/Postal Code: CW7 2PA	
If this address is a private residence, check this	s box:			
Telephone Number: +443450565759	Facsimile numb	oer, if any:		
This is (check one): O one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at a CERTAIN BOOKS AND RECORDS REQUIRED BY S		E ADVISERS ACT ARE MAIN	ITAINED AT THIS LOCATION FROM TIME TO TIME.	
Name of entity where books and records are keeping the BANK OF NEW YORK MELLON	ept:			
Number and Street 1: 400 BELLEVUE PARKWAY		Number and Street 2: C/O ALTERNATIVE INVE	ESTMENT SERVICES	
3	State: Delaware	Country: United States	ZIP+4/Postal Code: 19809	
If this address is a private residence, check this	s box:			
Telephone Number: +1 800 441 980	Facsimile number, i	f any:		

This is (check one): one of your branch offices or affiliates.					
• a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at this location. GLG PARTNERS LP SERVES AS AN INVESTMENT ADVISER TO CERTAIN POOLED INVESTMENT VEHICLES. RECORDS RELATED TO ONE OR MORE OF THOSE FEHICLES MAY BE MAINTAINED AT THIS LOCATION.					
Name of entity where books and records are kep GRM DOCUMENT MANAGEMENT	t:				
Number and Street 1: 25 COLONY ROAD		Number and Street 2:			
City: JERSEY CITY	State: New Jersey	Country: United States	ZIP+4/Postal Code: 07305		
If this address is a private residence, check this b	oox:				
Telephone Number: (201) 798-7100	Facsimile number, if	any:			
This is (check one): one of your branch offices or affiliates.					
o a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at thi CERTAIN BOOKS AND RECORDS REQUIRED BY SEC		VISERS ACT ARE MAINTAINED A	AT THIS LOCATION FROM TIME TO TIME.		
Name of entity where books and records are kep IRON MOUNTAIN (UK) LTD.	t:				
Number and Street 1: UNIT 5, ZODIAC BUSINESS PARK		Number and Street 2: HIGH ROAD, COWLEY			
City: UXBRIDGE	State:	Country: United Kingdom	ZIP+4/Postal Code: UB8 2GU		
If this address is a private residence, check this b	oox:				
Telephone Number: +4401895433533	Facsimile numbe	r, if any:			
This is (check one): O one of your branch offices or affiliates.					
o a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at thi CERTAIN BOOKS AND RECORDS REQUIRED BY SEC		VISERS ACT ARE MAINTAINED A	AT THIS LOCATION FROM TIME TO TIME.		
Name of entity where books and records are kep NAVISITE EUROPE LTD	t:				
Number and Street 1: 3 FOXBORO PARK		Number and Street 2: ST ANNE'S BLVD, REDHILL			
City: SURREY	State: (Country: Jnited Kingdom	ZIP+4/Postal Code: RH1 1AX		

If this address is a private residence, check this box:						
Telephone Number: +4408006122933	Facsimile number, if any:					
This is (check one): one of your branch offices or affiliates.						
$_{f C}$ a third-party unaffiliated recordkeeper.						
• other.						
Briefly describe the books and records kept at THIS IS A DISASTER RECOVERY SITE WITH DUR		C STORAGE.				
Name of entity where books and records are k NUMERIC INVESTORS LLC	cept:					
Number and Street 1: 200 PIER 4 BOULEVARD		Number and Street 2: 5TH FLOOR				
City:	State:	Country:	ZIP+4/Postal Code:			
BOSTON	Massachusetts	United States	02210			
If this address is a private residence, check this	is box:					
Telephone Number: 617 897 7800	Facsimile number, if any:					
This is (check one): o one of your branch offices or affiliates.						
o a third-party unaffiliated recordkeeper.						
O other.						
Briefly describe the books and records kept at CERTAIN BOOKS AND RECORDS REQUIRED BY S		CT ARE MAINTAINED AT THIS LO	OCATION FROM TIME TO TIME.			
Name of entity where books and records are k DIGITAL REALTY TRUST	cept:					
Number and Street 1: UNIT 21, GOLDSWORTH PARK TRADING ESTATE	<u>:</u>	Number and Street 2: KESTREL WAY				
City:	State:	Country:	ZIP+4/Postal Code:			
WOKING		United Kingdom	GU21 3BA			
If this address is a private residence, check this	is box:					
Telephone Number: +4408006122933	Facsimile numb	er, if any:				
This is (check one): O one of your branch offices or affiliates.						
$_{f C}$ a third-party unaffiliated recordkeeper.						
other.						
Briefly describe the books and records kept at THIS IS A PRIMARY DATA CENTER HOSTING SER						
Name of entity where books and records are k	kept:					

IRON MOUNTAIN (SCHWEIZ) AG

City: ZURICH	State:	Country: Switzerland	ZIP+4/Postal Code:
If this address is a private residence, check this box:			
Telephone Number: +41800002424	Facsimile number	, if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this lo CERTAIN BOOKS AND RECORDS REQUIRED BY SECTION		SERS ACT ARE MAINTAINED AT T	HIS LOCATION FROM TIME TO TIME.
Name of entity where books and records are kept: RESTORE DOCUMENT MANAGEMENT			
Number and Street 1: 08 COLDHARBOUR LN		umber and Street 2:	
		ountry:	ZIP+4/Postal Code:
LONDON	Ur	nited Kingdom	RM13 9YQ
If this address is a private residence, check this box:			
Telephone Number: F +441708527600	acsimile number, if	any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this lo CERTAIN BOOKS AND RECORDS MAY BE MAINTAINED		FROM TIME TO TIME.	
Name of entity where books and records are kept: GLG LLC			
Number and Street 1: 1345 AVENUE OF THE AMERICAS		Number and Street 2: 21ST FLOOR	
	tate:	Country:	ZIP+4/Postal Code:
	ew York	United States	10105
If this address is a private residence, check this box:			
Telephone Number: Factor 212 649 6800	acsimile number, if a	any:	
This is (check one): one of your branch offices or affiliates.			
O a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this lo CERTAIN BOOKS AND RECORDS ARE MAINTAINED AT		DM TIME TO TIME.	

Number and Street 2:

8404 WINTERTHUR

Number and Street 1:

RUDOLF-DIESEL-STRASSE 25

Name of entity where books and records are kept: IRON MOUNTAIN (UK) LTD.			
Number and Street 1:		Number and Street 2:	
UNIT 5, THE BRUNEL CENTRE		NEWTON RD	
City: CRAWLEY	State:	Country: United Kingdom	ZIP+4/Postal Code: RH10 9TU
	_	•	
If this address is a private residence, check this bo	ox:		
Telephone Number: +4401293842800	Facsimile numbe	er, if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this CERTAIN BOOKS AND RECORDS REQUIRED BY SECT		ADVISERS ACT ARE MAINTAINED A	AT THIS LOCATION FROM TIME TO TIME.
Name of entity where books and records are kept: SMARSH			
Number and Street 1: 851 SW 6TH AVE, SUITE 800		Number and Street 2:	
City: PORTLAND	State:	Country: United States	ZIP+4/Postal Code: 97204
FORTLAND	Oregon	United States	97204
If this address is a private residence, check this bo	ox:		
Telephone Number: (503) 946-5980	Facsimile numb	er, if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this CERTAIN RECORDS OF ELECTRONIC COMMUNICATI		INED AT THIS LOCATION.	
Name of entity where books and records are kepts BNY MELLON (POLAND) SP. Z O. O.			
Number and Street 1: UL. SWOBODNA 3		Number and Street 2: 50 - 088	
City: WROCLAW	State:	Country: Poland	ZIP+4/Postal Code:
WROCLAW		roland	
If this address is a private residence, check this bo	ox: 🗖		
Telephone Number: +48713798200	Facsimile n	umber, if any:	
This is (check one): one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
other.			

GLG	riefly describe the books and records kept at this location. LG PARTNERS LP SERVES AS AN INVESTMENT ADVISER TO CERTAIN POOLED INVESTMENT VEHICLES. RECORDS RELATED TO ONE OR MORE OF THOSE EHICLES MAY BE MAINTAINED AT THIS LOCATION.					
SECT	ION	I 1.M	Registration with Foreign Financial Regulatory Authorities			
			e and country, in English, of each foreign financial regulatory authority with which you are registered. You must complete a separate Schedule D for each foreign financial regulatory authority with whom you are registered.			
			ntry/Foreign Financial Regulatory Authority: om - Financial Conduct Authority			
Oth	er:					
tem	2 S	EC Re	egistration/Reporting			
Resp SEC	onse regi:	es to strati	this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for on or submitting an annual updating amendment to your SEC registration. If you are filing an umbrella registration, the information in Item 2 vided for the filing adviser only.			
	annı prov	ual up vides	er (or remain registered) with the SEC, you must check at least one of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an edating amendment to your SEC registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). Part 1A Instruction 2 information to help you determine whether you may affirmatively respond to each of these items. [adviser]:			
	V		are a large advisory firm that either:			
		()	(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or			
			(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent <i>annual updating</i> amendment and is registered with the SEC;			
		(2)	are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:			
			(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or			
			(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;			
			Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.			
		(3)	Reserved			
	V	(4)	have your principal office and place of business outside the United States;			
		(5)	are an investment adviser (or subadviser) to an investment company registered under the Investment Company Act of 1940;			
		(6)	are an investment adviser to a company which has elected to be a business development company pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;			
		(7)	are a pension consultant with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);			
		(8)	are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;			
			If you check this box, complete Section 2.A. (8) of Schedule D.			
		(9)	are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;			
			If you check this box, complete Section 2.A. (9) of Schedule D.			
		(10)	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);			
			If you check this box, complete Section 2.A. (10) of Schedule D.			
			are an Internet adviser relying on rule 203A-2(e);			
		(12)	have received an SEC order exempting you from the prohibition against registration with the SEC;			
	_		If you check this box, complete Section 2.A. (12) of Schedule D.			
		(13)	are no longer eligible to remain registered with the SEC.			

ı

State Securities Authority No	tice Filings and State Reporting	g by Exempt Reporting Advisers			
State Securities Authority Notice Filings and State Reporting by Exempt Reporting Advisers C. Under state laws, SEC-registered advisers may be required to provide to state securities authorities a copy of the Form ADV and any amendments they file with the SEC. These are called notice filings. In addition, exempt reporting advisers may be required to provide state securities authorities with a copy of reports and any amendments they file with the SEC. If this is an initial application or report, check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to direct your notice filings or reports to additional state(s), check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to your registration to stop your notice filings or reports from going to state(s) that currently receive them, uncheck the box(es) next to those state(s). Jurisdictions					
□ AL		□ NE	□ sc		
		III			
□ AK	□ IN	□ NV	□ SD		
□ AZ	□ IA	∥ □ NH	□ TN		
☐ AR	□ KS	∥ □ NJ	П тх		
□ CA	□ KY	□ NM	□ UT		
□ со	□ LA	□ NY	□ VT		
СТ	□ ME	□ NC	□ VI		
□ DE	□ _{MD}	□ _{ND}	□ VA		
□ DC	□ MA	ПОН	□ wa		
□ FL	□ MI	□ ок	□ wv		
□ GA	□ MN	□ OR	□ wi		
□ GU	MS MS	□ PA	□ WY		
		III	VV Y		
□ ні	□ мо	□ PR			
□ ID	□ MT	□ RI			
SECTION 2.A.(8) Related Adviser If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you control, are controlled by, or are under common control with an investment adviser that is registered with the SEC and your principal office and place of business is the same as that of the registered adviser, provide the following information: Name of Registered Investment Adviser CRD Number of Registered Investment Adviser SEC Number of Registered Investment Adviser					
		e for Commission Registration within 120	-		
If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations: I am not registered or required to be registered with the SEC or a <i>state securities authority</i> and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective. I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.					
SECTION 2.A.(10) Multi-State	Adviser				
		emption from the prohibition on registration	n, you are required to make certain representations		
		opriate boxes, you will be deemed to have	·		
\square I have reviewed the applica		ith the SEC, you must make both of these lawe concluded that I am required by the lawes states.	•		
		nendment to this registration indicating that ecurities authorities of those states.	t I would be required by the laws of fewer than 15		
If you are submitting your <i>annual updating amendment</i> , you must make this representation: Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required					

	by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.	
SEC	TION 2.A.(12) SEC Exemptive <i>Order</i>	
If y	ou are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:	
App	Dication Number:	
803	3-	
Dat	a of andor	
Dai	re of <i>order</i> :	
	n 3 Form of Organization	
	ou are filing an <i>umbrella registration</i> , the information in Item 3 should be provided for the <i>filing adviser</i> only. How are you organized?	
<i>7</i>	Corporation	
	- Cala Danaghatagahia	
	O Partnership	
	C Limited Liability Company (LLC)	
	C Limited Partnership (LP)	
	Other (specify): ENGLISH LIMITED PARTNERSHIP	
	If you are changing your response to this Item, see Part 1A Instruction 4.	
B.	In what month does your fiscal year end each year? DECEMBER	
0	Under the laws of what atota or country are you arrest and?	
C.	Under the laws of what state or country are you organized? State Country	
	United Kingdom	
	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.	
	If you are changing your response to this Item, see Part 1A Instruction 4.	
Iter	n 4 Successions	
	Yes N	lo
A.	Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organization or state of incorporation)?	•
	If "yes", complete Item 4.B. and Section 4 of Schedule D.	
B.	Date of Succession: (MM/DD/YYYY)	
	If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4	
SEC	TION 4 Successions	
	No Information Filed	
	n 5 Information About Your Advisory Business - Employees, Clients, and Compensation	
	ponses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making ulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.	

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an

Employees

03							
3. (1)	Approximately how many of the <i>employees</i> reported in 5.A. perform in 76	nvestment advisory	functions (including	g research)?			
(2)	Approximately how many of the employees reported in 5.A. are regist	tered representativ	es of a broker-deale	er?			
(3)	Approximately how many of the <i>employees</i> reported in 5.A. are regist representatives?	ered with one or m	nore state securities	authorities as investment adviser			
(4)	Approximately how many of the <i>employees</i> reported in 5.A. are regist representatives for an investment adviser other than you?	ered with one or m	nore state securities	authorities as investment adviser			
(5)	O Approximately how many of the <i>employees</i> reported in 5.A. are licens	sed agents of an ins	surance company or	agency?			
(6)	O Approximately how many firms or other <i>persons</i> solicit advisory <i>clients</i> 2	s on your behalf?					
•	our response to Item 5.B.(6), do not count any of your employees and co or behalf.	unt a firm only once	e – do not count each	of the firm's employees that solicit on			
Clients							
	responses to Items 5.C. and 5.D. do not include as "clients" the investors se investors.	in a private fund yo	ou advise, unless you	have a separate advisory relationship			
. (1)	. (1) To approximately how many <i>clients</i> for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year? O						
(2)	Approximately what percentage of your <i>clients</i> are non- <i>United States</i> 100%	persons?					
not The 194	purposes of this Item 5.D., the category "individuals" includes trusts, esta include businesses organized as sole proprietorships. category "business development companies" consists of companies that i 0. Unless you provide advisory services pursuant to an investment adviso opany Act of 1940, do not answer (1)(d) or (3)(d) below.	have made an elect	ion pursuant to section	on 54 of the Investment Company Act			
attr	cate the approximate number of your <i>clients</i> and amount of your total ibutable to each of the following type of <i>client</i> . If you have fewer than to 5.D.(2) rather than respond to Item 5.D.(1).		_				
	aggregate amount of regulatory assets under management reported in agement reported in Item 5.F.(2)(c) below.	n Item 5.D.(3) sho	ould equal the total a	amount of regulatory assets under			
you	client fits into more than one category, select one category that most advise a registered investment company, business development company (f) as applicable.			_			
Туј	pe of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 Clients	(3) Amount of Regulatory Assets under Management			
(a)	Individuals (other than high net worth individuals)			\$			
(b)	High net worth individuals			\$			
(c)	Banking or thrift institutions			\$			
(d)	Investment companies			\$			
(e)	Business development companies			\$			
	Pooled investment vehicles (other than investment companies and siness development companies)	79		\$ 33,085,520,178			
1	Pension and profit sharing plans (but not the plan participants or vernment pension plans)			\$			
(h)	Charitable organizations			\$			
(i) pla	State or municipal <i>government entities</i> (including government pension ns)			\$			

employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.

	(j) Other investment advisers			\$		
	(k) Insurance companies			\$		
	(I) Sovereign wealth funds and foreign official institutions		✓	\$ 589,100,817		
	(m) Corporations or other businesses not listed above			\$		
	(n) Other:			\$		
	(ii) Striot.			¥		
Con	mpensation Arrangements					
E.	You are compensated for your investment advisory services by (chock all that apply).				
□ .		спеск ан тпат арргу).				
	(1) A percentage of assets under your management(2) Hourly charges					
	(2) Floarly charges (3) Subscription fees (for a newsletter or periodical)					
	(4) Fixed fees (other than subscription fees)					
	(5) Commissions					
	(6) Performance-based fees					
	(7) Other (specify): INTER-COMPANY SERVICE FEES					
Item	n 5 Information About Your Advisory Business - Regulatory Ass	sets Under Management				
Reg	gulatory Assets Under Management					
				Yes No		
F.	(1) Do you provide continuous and regular supervisory or manage	gement services to securitie	es portfolios?	⊙ ○		
	(2) If yes, what is the amount of your regulatory assets under n	management and total numb	per of accounts?			
	U.S. Dollar	Amount	Total Numb	per of Accounts		
	Discretionary: (a) \$ 33,674,6	20,995	(d) 82			
	Non-Discretionary: (b) \$ 0		(e) 0			
	Total: (c) \$ 33,674,6	20,995	(f) 82			
	Part 1A Instruction 5.b. explains how to calculate your regulat completing this Item. (3) What is the approximate amount of your total regulatory assare non-United States persons?					
	\$ 33,674,620,995					
Item	n 5 Information About Your Advisory Business - Advisory Activ	ities				
	visory Activities	11100				
G.	What type(s) of advisory services do you provide? Check all that	t apply.				
	(1) Financial planning services	11 3				
	(2) Portfolio management for individuals and/or small bus (3) Portfolio management for investment companies (as well)		ent companies" that h	ave made an election pursuant to		
	section 54 of the Investment Company Act of 1940) (4) Portfolio management for pooled investment vehicles	(other than investment com	npanies)			
	(5) Portfolio management for businesses (other than sma other pooled investment vehicles)		•	registered investment companies and		
	(6) Pension consulting services					
	(7) Selection of other advisers (including <i>private fund</i> man (8) Publication of periodicals or newsletters	agers)				
	 (8) Publication of periodicals or newsletters (9) Security ratings or pricing services 					
	(10) Market timing services					
	(11) Educational seminars/workshops					
	(12) Other(specify):					
	Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G.(3) of Schedule D.					
H.	If you provide financial planning services, to how many <i>clients</i> die 0	d you provide these service	s during your last fisca	al year?		
	O 1 - 10 O 11 - 25					
	- 2/ 50					
	O 26 - 50 O 51 - 100					
	- 101 050					
	054 500					
	C 251 - 500 C More than 500					

(round to the nearest 500)		
In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relat with those investors.	tionshi _l t)
(1) Do you participate in a wrap fee program?	Yes	No ©
(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as:(a) sponsor to a wrap fee program		•
(b) portfolio manager for a wrap fee program?		
\$ (c) sponsor to and portfolio manager for the same wrap fee program? \$		
If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Sci	hedule	D.
If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered wrap fee program, do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).	throug	gh a
(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	Yes O	No ©
(2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?	0	•
Separately Managed Account <i>Clients</i>	Yes	Nc
(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i>)?		0
If yes, complete Section 5.K.(1) of Schedule D.		
(2) Do you engage in borrowing transactions on behalf of any of the separately managed account clients that you advise?	•	0
If yes, complete Section 5.K.(2) of Schedule D.		
(3) Do you engage in derivative transactions on behalf of any of the separately managed account clients that you advise?	•	0
If yes, complete Section 5.K.(2) of Schedule D.		
(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?	•	0
If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
Marketing Activities		
(1) Do any of your advertisements include:	Yes	NO
(a) Performance results?	•	0
(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	•	0
(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	•
(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	•	0
(e) Third-party ratings?	0	•
(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	•	0

If more than 500, how many?

1.

J.

K.

L.

⊙

End of year

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

(4) Do any of your advertisements include predecessor performance?

No Information Filed

SECTION 5.1.(2) Wrap Fee Programs

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Asse	et Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	%	%
(ii)	Non Exchange-Traded Equity Securities	%	%
(iii)	U.S. Government/Agency Bonds	%	%
(iv)	U.S. State and Local Bonds	%	%
(v)	Sovereign Bonds	%	%
(vi)	Investment Grade Corporate Bonds	%	%
(vii)	Non-Investment Grade Corporate Bonds	%	%
(viii)	Derivatives	%	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%	%
(xi)	Cash and Cash Equivalents	%	%
(xii)	Other	%	%

Generally describe any assets included in "Other"

(b) Asset Type

(i)	Exchange-Traded Equity Securities	45 %	
(ii)	Non Exchange-Traded Equity Securities	0 %	
(iii)	U.S. Government/Agency Bonds	6 %	
(iv)	U.S. State and Local Bonds	0 %	
(v)	Sovereign Bonds	14 %	
(vi)	Investment Grade Corporate Bonds	28 %	
(vii)	Non-Investment Grade Corporate Bonds	2 %	
(viii) Derivatives	0 %	
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	0 %	

(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	0 %
(xi) Cash and Cash Equivalents	5 %
(xi	i) Other	0 %

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

☐ No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you

should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$ 589,100,817	\$ 27,476,665
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

SECTION 5.K.(3) Custodians f	or Separatel	y Managed	Accounts
----------------	----------------	--------------	-----------	----------

•	te a separate Schedule ory assets under mana	D Section 5.K.(3) for each custodian that holds tergement.	n percent or more of your aggregate separately mai	naged account
(a)	Legal name of custo	dian:		
	STATE STREET BANK	AND TRUST COMPANY		
(b)	Primary business na	me of custodian:		
	STATE STREET BANK	AND TRUST COMPANY		
(c)	The location(s) of th	e custodian's office(s) responsible for custody of the	e assets :	
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	
				Yes No
(d)	Is the custodian a re	elated person of your firm?		0 0
(e)	If the custodian is a	broker-dealer, provide its SEC registration number	(if any)	
	-			
(f)	If the custodian is no any)	ot a broker-dealer, or is a broker-dealer but does n	ot have an SEC registration number, provide its <i>legi</i>	al entity identifier (if
	571474TGEMMWANF	RLN572		
(g)	What amount of you	ır regulatory assets under management attributable	e to separately managed accounts is held at the cu	stodian?
	\$ 485,874,153			
(a)	Legal name of custo	odian:		

(a)	Legal name of custodian:			
	J.P. MORGAN CHASE BANK, N	.A. HONG KONG BRANCH		
(b)	Primary business name of cu	stodian:		
	J.P. MORGAN CHASE BANK, N	.A. HONG KONG BRANCH		
(c)	The location(s) of the custod	ian's office(s) responsible for custody of the	e assets :	
	City: CENTRAL	State:	Country: Hong Kong	
				Yes No
(d)	Is the custodian a related pe	rson of your firm?		0 0
(e)	If the custodian is a broker-o	dealer, provide its SEC registration number	(if any)	
(f)	If the custodian is not a brokany)	er-dealer, or is a broker-dealer but does n	ot have an SEC registration number, provide	its <i>legal entity identifier</i> (if
(g)	What amount of your regula \$ 93,077,080	tory assets under management attributabl	e to separately managed accounts is held at	the custodian?

Iten	n 6 Other Business Activities	
In t	his Item, we request information about your firm's other business activities.	
A.	You are actively engaged in business as a (check all that apply): (1) broker-dealer (registered or unregistered) (2) registered representative of a broker-dealer (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify):	
		Yes No
B.	(1) Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0 0
	(2) If yes, is this other business your primary business?	0 0
	If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that na	me. Yes No
	(3) Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?	0 0
	If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that na	me.
SEC	TION 6.A. Names of Your Other Businesses No Information Filed	
SEC.	TION 6 P. (2) Description of Primary Rusiness	
	TION 6.B.(2) Description of Primary Business cribe your primary business (not your investment advisory business):	
If y	ou engage in that business under a different name, provide that name:	
SEC	TION 6.B.(3) Description of Other Products and Services	
Des	scribe other products or services you sell to your client. You may omit products and services that you listed in Section 6.B.(2) above.	
If y	ou engage in that business under a different name, provide that name:	
Iten	n 7 Financial Industry Affiliations	
In t	his Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interesture between you and your clients.	st may
A.	This part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all advisory affiliates and any person that is under common control with you. You have a related person that is a (check all that apply): (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered) (2) other investment adviser (including financial planners) (3) registered municipal advisor (4) registered security-based swap dealer (5) major security-based swap participant (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (7) futures commission merchant (8) banking or thrift institution	of your
	(9) trust company (10) accountant or accounting firm	

	(11) lawyer or law firm	
	(12) insurance company or agency (13) pension consultant	
	(13) pension consultant (14) real estate broker or dealer	
	(15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	
	Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representa broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).	
	Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to constitute Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.	omplete
	For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. Schedule D.	of
	You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in conne advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or busines related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your	ess to the th the
	You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide t clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person operationally independent under rule 206(4)-2 of the Advisers Act.	-
SEC	CTION 7.A. Financial Industry Affiliations	
Со	implete a separate Schedule D Section 7.A. for each <i>related person</i> listed in Item 7.A.	
1.	Legal Name of <i>Related Person</i> : MAN SOLUTIONS LIMITED	
2.	Primary Business Name of <i>Related Person</i> : MAN SOLUTIONS LIMITED	
3.	801 - 57108	
	or Other	
4.	Related Person's (a) CRD Number (if any): 108899	
	(b) CIK Number(s) (if any):	
	No Information Filed	
5.	Related Person is: (check all that apply)	
	(a) D broker-dealer, municipal securities dealer, or government securities broker or dealer	
	(b) 💆 other investment adviser (including financial planners)	
	(c) registered municipal advisor	
	(d) registered security-based swap dealer(e) major security-based swap participant	
	(f) Security-based swap participant (f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)	
	(g) utures commission merchant	
	(h) Description banking or thrift institution	
	(i)	
	(j) □ accountant or accounting firm(k) □ lawyer or law firm	
	(I) \square insurance company or agency	
	(m) pension consultant	
	(n) real estate broker or dealer	
	(o) \square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) \square sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	
	(F) = Spandar, general parties, managing member (or equivalent) of pooled investment venicles	Yes No
6.	Do you control or are you controlled by the related person?	0 0
7.	Are you and the related person under common control?	\circ

8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: Number 2:	'asse	ets:
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	·
	(b)	If the answer is yes, under what exemption?		
10.		Is the related person registered with a foreign financial regulatory authority?	⊙	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registed. Name of Country/English Name of <i>Foreign Financial Regulatory Authority</i>	rea.	
		United Kingdom - Financial Conduct Authority		
11.	Do y	rou and the related person share any supervised persons?	•	0
12.	Do y	rou and the <i>related person</i> share the same physical location?	•	0
1.	Lega GLG	Il Name of <i>Related Person</i> :		
	OLO			
2.	Prim GLG	ary Business Name of <i>Related Person</i> :		
	GLG			
3.		ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or	- 65571		
	Othe			
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		I
	(b)	138802 CIK Number(s) (if any):		
	(-)	No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
	` '	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	` ,	other investment adviser (including financial planners) registered municipal advisor		
	. ,	registered multiplat advisor registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) (h)	futures commission merchant banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
		lawyer or law firm		
	(l) (m)	☐ insurance company or agency☐ pension consultant		
	` ,	real estate broker or dealer		
	` ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	•
/.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0

	(C)	Number and Street 1: City: State: City: State: Number and Street 2: Country: Country: Number and Street 2: Country: Country:	asse	ets:
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
		If the answer is yes, under what exemption?		٠
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registed. No Information Filed	o red.	•
11.	Do y	you and the related person share any supervised persons?	•	0
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	_	al Name of <i>Related Person</i> : MERIC INVESTORS LLC		
2.		nary Business Name of <i>Related Person</i> : MERIC INVESTORS LLC		
3.	801	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 63276		
	or Othe			
4.		ated Person's CRD Number (if any): 131684		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (k) (l) (m) (n)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant	Yes	No
6.	Do y	you control or are you controlled by the related person?	Ö	
7.	Are	you and the related person under common control?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code:	'asse	∍ts:
		If this address is a private residence, check this box: \square	Yes	Nc
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•

(b)	If the answer is yes, under what exemption?		
). (a)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	0
(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is re-	egistered.	
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Australia - Australian Securities and Investments Commission		
. Do	you and the related person share any supervised persons?	0	⊙
. Do	you and the <i>related person</i> share the same physical location?	0	•
	gal Name of <i>Related Person</i> : NN INVESTMENTS (CH) AG		
	mary Business Name of <i>Related Person</i> : AN INVESTMENTS (CH) AG		
Re	lated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
or Ot	her		
Oti			
Re (a)	olated Person's CRD Number (if any):		
(a)	CND Number (II arry).		
(b)	CIK Number(s) (if any): No Information Filed		
	No miormation riled		
(a) (b) (c) (d) (e) (f) (g) (h) (i) (g) (h) (n) (o) (p)	other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes O	•
Are	e you and the related person under common control?	•	0
(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
(b)	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	
(c)	Number and Street 1: City: State: Number and Street 2: Country: ZIP+4/Postal Code:	nents ass	e(S)
	If this address is a private residence, check this box: \square	Yes	Nic
(a)	If the related person is an investment adviser, is it exempt from registration?	res ©	
(a) (b)		v	•
). (a)		•	0
(b)) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is re	egistered.	

		Switzerland - Swiss Financial Market Supervisory Authority		
11	Do v	you and the related person share any supervised persons?	_	_
11.	БО у	you and the related person share any supervised persons:	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
	_	al Name of <i>Related Person</i> : I SOLUTIONS LLC		
		nary Business Name of <i>Related Person</i> : I SOLUTIONS LLC		
		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 72402		
	or Othe			
4.	Rela	ited Person's		
	(a)	CRD Number (if any): 157592		
	(b)	CIK Number(s) (if any): No Information Filed		
		ated Person is: (check all that apply)		
	(a) (b)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	` ,	registered municipal advisor		
	(d)	registered security-based swap dealer		
	` ,	major security-based swap participant		
		commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant banking or thrift institution		
	` ,	trust company		
	(j)	accountant or accounting firm		
	-	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
		real estate broker or dealer		
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	⁄es	Nο
6.	Do y	you control or are you controlled by the related person?	0	
				~
7.	Are :	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients's	asse	ts:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	es O	
•	(b)	If the answer is yes, under what exemption?		٠
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed		~
11.	Do y	you and the related person share any supervised persons?	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	0

1.	_	al Name of <i>Related Person</i> : N ASSET MANAGEMENT (CAYMAN) LIMITED		
2.	Prim	nary Business Name of <i>Related Person</i> :		
	MAN	N ASSET MANAGEMENT (CAYMAN) LIMITED		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any): No Information Filed		
5.		ated Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d) (e)	registered security-based swap dealer major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) (h)	futures commission merchant banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k) (l)	☐ lawyer or law firm ☐ insurance company or agency		
	(n) (m)			
	(n)	real estate broker or dealer		
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	0	
7.	Are	you and the related person under common control?	•	О
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	
		presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clie	ents' asse	ets
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:	Yes	Nic
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	⊙	
	(b)	If the answer is yes, under what exemption? FOREIGN PRIVATE ADVISER EXEMPTION		
4.0	<i>(</i>)			
10.	(a)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is reg	(stored	С
	(b)	Name of Country/English Name of Foreign Financial Regulatory Authority	istereu.	
		Cayman Islands - Cayman Islands Monetary Authority		
11.	Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•

1. Legal Name of *Related Person*: VCC ADVISORS, LLC

2.	Primary Business Name of <i>Related Person</i> : VCC ADVISORS, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 115053 or		
	Other		
4.	Related Person's (a) CRD Number (if any): 301023 (b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ▼ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency (m) □ pension consultant (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) \square sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □		© O
•		Yes	
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	•
10.	(a) Is the related person registered with a foreign financial regulatory authority?(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register.No Information Filed		•
11.	Do you and the related person share any supervised persons?	0	⊙
12.	Do you and the related person share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : MAN INVESTMENTS INC.		
2.	Primary Business Name of <i>Related Person</i> : MAN INVESTMENTS INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 8 - 32575		

	or Other		
4.	Related Person's (a) CRD Number (if any):		
	15770		
	(b) CIK Number(s) (if any): No Information Filed		
_	Related Person is: (check all that apply)		
5.	(a) Droker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) \square other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	 (d) registered security-based swap dealer (e) major security-based swap participant 		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) futures commission merchant		
	(h) banking or thrift institution		
	(i) □ trust company(j) □ accountant or accounting firm		
	(k) \square lawyer or law firm		
	(I) insurance company or agency		
	(m) □ pension consultant(n) □ real estate broker or dealer		
	(o) \square sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	
6.	Do you control or are you controlled by the related person?	0	•
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?		•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	0	0
	required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i>	'ass	ets:
	Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
9.	(a) If the related person is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	0
	(b) If the answer is yes, under what exemption:		
10.		_	•
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe No Information Filed	red.	
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	0	•
12.	Do you and the related person share the same physical location?	\circ	•
1.	Legal Name of <i>Related Person</i> : MAN GLOBAL PRIVATE MARKETS (USA) INC.		
2.	Primary Business Name of <i>Related Person</i> :		
	MAN GLOBAL PRIVATE MARKETS (USA) INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801 - 108173		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(a) CRD Number (ii any): 284066		

	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a)		
6.	Do you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	Yes O	
7.	Are you and the related person under common control?	•	C
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i>. Number and Street 2: City: State: Country: ZIP+4/Postal Code: 	0	
9.	If this address is a private residence, check this box: (a) If the <i>related person</i> is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption?	Yes O	
10.	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regis No Information Filed 	C stered.	©
11.	Do you and the related person share any supervised persons?	•	С
12.	Do you and the <i>related person</i> share the same physical location?	0	•
1.	Legal Name of <i>Related Person</i> : SILVERMINE CAPITAL MANAGEMENT, LLC		
2.	Primary Business Name of <i>Related Person</i> : SILVERMINE CAPITAL MANAGEMENT		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 70516 or Other		
4.	Related Person's (a) CRD Number (if any): 146110 (b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) Doroker-dealer, municipal securities dealer, or government securities broker or dealer		

	to ther investment adviser (including financial planners) cc		
6.	Do you control or are you controlled by the related person?	Yes Ö	. NO
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?	0	0
	(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code:	s'ass	ets:
	If this address is a private residence, check this box: \square	Yes	. No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	•
10.	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registed. No Information Filed 		•
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	. Do you and the <i>related person</i> share the same physical location?	0	0
1.	Legal Name of <i>Related Person</i> : MAN FUND MANAGEMENT UK LIMITED Primary Business Name of <i>Related Person</i> : MAN FUND MANAGEMENT UK LIMITED		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant		

	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(o) (p)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(b)		Yes	Nο
6.	Do :	you control or are you controlled by the related person?	0	•
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
0.		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	•
	(b)	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asse	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square	V	Nia
9.	(2)	If the related person is an investment adviser, is it exempt from registration?	Yes	
9.	(a)		0	0
	(b)	If the answer is yes, under what exemption?		
10	(0)	le the related nemer registered with a femilian financial regulatory outhority?	_	_
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	⊙	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register	ed.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do :	you and the related person share any supervised persons?	\circ	\odot
12.	Do :	you and the related person share the same physical location?	\odot	\circ
1.	Loa	Name of Polated Person		
1.	1 12 1			
	_	al Name of <i>Related Person</i> : LASSET MANAGEMENT (IRELAND) LIMITED		
	_	ASSET MANAGEMENT (IRELAND) LIMITED		
2.	MAN	ASSET MANAGEMENT (IRELAND) LIMITED		
2.	MAN			
2.	MAN	ASSET MANAGEMENT (IRELAND) LIMITED hary Business Name of <i>Related Person</i> :		
2.	MAN Prin MAN	ASSET MANAGEMENT (IRELAND) LIMITED hary Business Name of <i>Related Person</i> :		
	MAN Prin MAN	ASSET MANAGEMENT (IRELAND) LIMITED hary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED		
	Prin MAN Rela or	ASSET MANAGEMENT (IRELAND) LIMITED hary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	Prin MAN	ASSET MANAGEMENT (IRELAND) LIMITED hary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
3.	Prin MAN Rela or Oth	ASSET MANAGEMENT (IRELAND) LIMITED Pary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED ### ASSET MANAGEMENT (IRELAND) LIMITED ###################################		
	Prin MAN Rela - or Oth	ASSET MANAGEMENT (IRELAND) LIMITED Harry Business Name of <i>Related Person</i> : I ASSET MANAGEMENT (IRELAND) LIMITED Harry Business Name of <i>Related Person</i> : I ASSET MANAGEMENT (IRELAND) LIMITED Harry Business Name of <i>Related Person</i> : I ASSET MANAGEMENT (IRELAND) LIMITED Harry Business Name of <i>Related Person</i> : I ASSET MANAGEMENT (IRELAND) LIMITED Harry Business Name of <i>Related Person</i> : Harry Busi		
3.	Prin MAN Rela or Oth	ASSET MANAGEMENT (IRELAND) LIMITED Pary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED ### ASSET MANAGEMENT (IRELAND) LIMITED ###################################		
3.	Prin MAN Rela or Oth Rela (a)	ASSET MANAGEMENT (IRELAND) LIMITED pary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ted Person's CRD Number (if any):		
3.	Prin MAN Rela - or Oth	ASSET MANAGEMENT (IRELAND) LIMITED Paray Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED **ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) Per **ted Person's **CRD Number (if any): **CIK Number(s) (if any):		
3.	Prin MAN Rela or Oth Rela (a)	ASSET MANAGEMENT (IRELAND) LIMITED pary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ted Person's CRD Number (if any):		
3.	Prin MAN Rela or Oth Rela (a)	ASSET MANAGEMENT (IRELAND) LIMITED Paray Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED **ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) Per **ted Person's **CRD Number (if any): **CIK Number(s) (if any):		
3.	Prin MAN Rela or Oth Rela (a)	ASSET MANAGEMENT (IRELAND) LIMITED vary Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED vted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ted Person's CRD Number (if any): No Information Filed		
3.	Prin MAN Rela or Oth Rela (a) (b)	ASSET MANAGEMENT (IRELAND) LIMITED ary Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) are ated Person's CRD Number (if any): CIK Number(s) (if any): No Information Filed		
3.	Prin MAN Rela or Oth Rela (a)	ASSET MANAGEMENT (IRELAND) LIMITED ary Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ted Person's CRD Number (if any): CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
3.	Prin MAN Rela or Oth Rela (a) (b)	ASSET MANAGEMENT (IRELAND) LIMITED ary Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ted Person's CRD Number (if any): CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
3.	Prin MAN Rela or Oth Rela (a) (b) Rela (b)	ASSET MANAGEMENT (IRELAND) LIMITED ary Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ted Person's CRD Number (if any): CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
3.	MAN Prin MAN Rela or Oth Rela (a) (b) Rela (b) (c)	ASSET MANAGEMENT (IRELAND) LIMITED ASSET MANAGEMENT (IRELAND) LIM		
3.	Prin MAN Rela or Oth Rela (a) (b) Rela (b) (c) (d)	ASSET MANAGEMENT (IRELAND) LIMITED ASSET MANAGEMENT (IRELAND) LIM		
3.	Prin MAN Rela or Oth Rela (a) (b) Rela (b) (c) (d) (e) (f)	ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ARY Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED ASSET MANA		
3.	MAN Prin MAN Rela or Oth (a) (b) Rela (a) (b) (c) (d) (e)	ASSET MANAGEMENT (IRELAND) LIMITED ary Business Name of *Related Person*: ASSET MANAGEMENT (IRELAND) LIMITED are son's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) are ted *Person's CRD* Number (if any): CIK Number(s) (if any): No Information Filed ted *Person* is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer the investment adviser (including financial planners) registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
3.	MAN Prin MAN Rela or Oth Rela (a) (b) (c) (d) (e) (f) (g)	ASSET MANAGEMENT (IRELAND) LIMITED ary Business Name of <i>Related Person</i> : ASSET MANAGEMENT (IRELAND) LIMITED are ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) are ted Person's CRD Number (if any): CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant		
3.	Prin MAN Rela or Oth Rela (a) (b) (c) (d) (e) (f) (g) (h)	ASSET MANAGEMENT (IRELAND) LIMITED ary Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED are served by the Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) are are ted Person's CRD Number (if any): CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer ted registered municipal advisor registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution		
3.	Prin MAN Rela or Oth Rela (a) (b) (c) (d) (e) (f) (g) (h) (i)	ASSET MANAGEMENT (IRELAND) LIMITED tary Business Name of Related Person: ASSET MANAGEMENT (IRELAND) LIMITED tard Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er tated Person's CRD Number (if any): CIK Number(s) (if any): No Information Filed ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) trutres commission merchant banking or thrift institution trust company		

	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			es/	No
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are	you and the related person under common control?	•	0
	•			
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	_	_
0.		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the		•
	(b)	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not	0	0
		required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients.	35 <i>5</i> £	ıts.
	(0)	Number and Street 1: Number 2:	1000	,,,,,
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \Box		
			/es	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	•	0
	(b)	If the answer is yes, under what exemption?		
	(D)	FOREIGN PRIVATE ADVISER EXEMPTION		
		TOREIGN TRIVITE ABVIOLA EXEMITION		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	_	_
10.			•	О
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe	ed.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Ireland - Central Bank of Ireland		
11.	Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	\circ	\odot
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	Lega	al Name of <i>Related Person</i> :		
	MAN	I INVESTMENTS (HONG KONG) LIMITED		
2.	Prim	nary Business Name of <i>Related Person</i> :		
	MAN	I INVESTMENTS (HONG KONG) LIMITED		
3.	Rela	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Othe	er er		
4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	nted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		

1. Paywoodered care you contended by the referencement 1. Paywoodered care present contends contends of the paywooder contends contends contends of the paywooder contends of the paywooder contends of the paywooder contends of the paywoodered contends				yes	SINO
6 (a) Does the valided person act as a build little distrated in row your clients in connection with additional services you provide to chieff? (b) If you are neglecting or registrated with the SKC and you are decreased itself, to question 8 (a) across, before you destrated in the resoluted to obtain a surrote exemination for your clients' funds or securities that are maint need at the respect across and thus as the resoluted to obtain a surrote exemination for your clients' funds or securities that are maints need at the respect exemination of the stable care across of the responsible for castedy of your clients' assests. Number and Silvest 1: Critical States 1: Critical States 1: Critical States 2: Country 7: (ii) If the additional is not investimated addition, is it enough from registration? (iii) If the stabled persons is an investimated addition, is it enough from registration? (iv) If the additional persons are investigated as a committee of the provided persons of the consist of the consist of the respect of the provided persons of the consist of the consist of the consist of persons of the consist of the consist of persons of the consist of the consist	6.	Do y	ou control or are you controlled by the related person?	0	•
1	7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
10. If you are registered with the SCC and you have answered "yes" to question 8, did above, have you occurrent the presumption that you are not consistently improvements (consistent on 2006)-24(2005) than the relable accessor and thus are registered to obtain a surprise externation for your creen? Indicates of the schedule parameter (see the relative parameter). 8. If you have amounted your is guaration 8 (a) above, provide the factors of the schedule parameter (see the relative parameter). 8. If you have amounted your is guaration 8 (a) above, provide the factors of Street 2. Course 71P 4/Pavoid Court. 1. If this address is a private residence, these this box Course 72P 4/Pavoid Court. 1. If the manager is yes, and the management of the schedule o	8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
Number and Street 1: Number of Street 2: Churry: 719 - 479-wind Craic: If this address is a previor residence, check this pax Churry: 719 - 479-wind Craic: If this address is a previor residence, check this pax Churry: 719 - 479-wind Craic: If the answer is yes, under what exemption? FORTIGN PRIVATE ADVISER EXCEMPTION 10 (a) If the answer is yes, under what exemption? FORTIGN PRIVATE ADVISER EXCEMPTION 10 (b) If the answer is yes, that the name and country in English of each foreign three-tal regulatory authority with which the related person is registered. Name of Country/English Name of Foreign (financial Regulatory Authority) 11. English Street of Statistic Paywer in the same physical location? 12. Do you and the deteral process have any supervised previous? 13. English Street of Statistic Paywer. Make three-statistics will be full full to the Comment of the same physical location? 14. Make three-statistics will be full full to the Comment of Statistics Paywer of Statistics (Finely) (sign, Rd1 , R., Rd4 , Rd2) 15. Cold Number (a) (finely) (sign, Rd1 , R., Rd4 , Rd2) 16. Statistics Paywer of Statistics will be apply) 17. Cold Number (a) (finely) (sign, Rd1 , R., Rd4 , Rd4 , Rd2) 18. Statistics Paywer (detack all the apply) 18. Statistics Paywer (detack all the apply) 19. Decomment of the statistics dealer or government securities broken or dealer 19. Decomment of the statistics of t		(b)	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not		_
City It this address is a private residence, check this box Security 1794-47Pestal Code: 1795-1700 1795-17		(c)		s' ass	ets:
Yes No			City: Country: ZIP+4/Postal Code:		
(ii) If the impose is yet, under what compliand processes and the related person registeres with a foreign thandral regulatory authority? (ii) If the answer is yet, like the name and country, in English of each through this country authority with which the related person list registeres. Name of Country/English Name of Foreign Financial Regulatory Authority			If this address is a private residence, check this box.	Yes	No No
FOREIGN PRIVATE ADVISER EXEMPTION 10. (a) Is the cradinal parson registered with a fareign financial regulatory without your processor of the answer is yet. Is the name and country in English of each today's transcular regulatory authority with which the related person is registered. Name of Country/English Name of Foreign Financial Regulatory Authority	9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	•	\circ
Bit Tithe answer by you Int the name and ecountry In Trigibit of sect foreign frencial regulatory authority with which the related person is registrated.		(b)			
Tithe consert by yes last the name and country. In English of each foreign financial regulatory authority with which the related person is registered.	10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
Hong Kong - Socuritios and Futuros Commission		(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registed.	_	
11. Do you and the related person share any supervised person? 12. Do you and the related person share the same physical location? 13. Legal Name of Related Person 14. Legal Name of Related Person 15. Mod Intri S AUSTRALIA LIMITED 16. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 892-) 17. Sec. To you and the related person 18. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 892-) 18. Related Person's (e.g., 801-, 8-, 866-, 892-) 18. Related Person's (if any): 18. No Information Filed 17. Related Reson is: (check all that upply) 18. (i):: 18. Related Reson is: (check all that upply) 19. Of the investment delater (investment delater (investment delater) 19. Person investment delater (investment delater) 19. Information Filed 19. Information F					
12. Do you and the related person share the same physical location? 1. Logal Namo of Molecod Person MAN INVESTMENTS AUSTRALIA LIMITED 2. Primary Business Namo of Related Person MAN INVESTMENTS AUSTRALIA LIMITED 3. Related Person's SEO File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 121284 or Othor Othor Othor Othor Othor Othor Othor Not Information Filed 5. Related Person's (e.g., 604 Number (if any)) 313907 (b) CIK Number(s) (if any). No Information Filed 5. Related Person is: (chock all that apply) (c) Explored municipal securities dealer, or government securities broker or dealer (d) Projected municipal advisor (including financial plumers) (d) registered security-based swap dealer (e) commodify pool operator or commodity trading advisor (whether registered or exempt from registration) (g) Educas commission morthant (h) Esplaced or near institution (i) trus company or agoncy (m) person consument or accounting tirm (l) Insurance company or agoncy (m) person consultant (l) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (l) sponsor general partner, managing member (or equivalent), excluding pooled investment vehicles (l) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (l) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (l) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (l) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles (l) sponsor or syndicator of limited partnerships (or equivalent) of pooled investment vehicles	11.	Do y		_	_
1. Logal Name or Molarder Person: MAN INVESTMENTS AUSTRALIA LIMITED 2. Primary Business Name of Related Person: MAN INVESTMENTS AUSTRALIA LIMITED 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 301-121284 or Other College of Person's (a) CRD Number (if any): 313907 (b) CIK Number(s) (if any): 313907 (c) CIK Number(s) (if any): No Information Filed 5. Rolated Person's (c) Check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (c) other investment advisor (including financial planners) (c) registered municipal advisor (d) registered sourity-based swap dealer (e) major security-based swap barticipant (f) commodity people operator or commodity trading advisor (whether registered or exempt from registration) (g) tutures commission merchant (f) benising or thrift institution (g) secountant or accounting firm (g) cocountant or accounting firm (g) insurance company or agency (m) pension consultant (f) real estate broker or dealer (g) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (g) sponsor or are you controlled by the related person? Yes No		J			
MAN INVESTMENTS AUSTRALIA LIMITED 2. Primary Business Name of <i>Related Person</i> : MAN INVESTMENTS AUSTRALIA LIMITED 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801-121284 or Other 4. Related Person is: (check all that apply) (b) CIK Numbor (if any):	12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
MAN INVESTMENTS AUSTRALIA LIMITED 2. Primary Business Name of <i>Related Person</i> : MAN INVESTMENTS AUSTRALIA LIMITED 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801-121284 or Other 4. Related Person is: (check all that apply) (b) CIK Numbor (if any):					
MAN INVESTMENTS AUSTRALIA LIMITED 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801-121284 or Other 4. Related Person's (a) CRD Number (if any): 313907 (b) CIK Number(s) (if any): No Information Filed 5. Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment advisor (including financial planners) (c) registered accurrity-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (i) accountant or accounting firm (a) lawyer or law firm (i) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person? C ©	1.	0			
MAN INVESTMENTS AUSTRALIA LIMITED 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801-121284 or Other 4. Related Person's (a) CRD Number (if any): 313907 (b) CIK Number(s) (if any): No Information Filed 5. Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment advisor (including financial planners) (c) registered accurrity-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (i) accountant or accounting firm (a) lawyer or law firm (i) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person? C ©		Drim	ary Rusiness Name of Polated Person		
801 - 121284 or Other 4. Related Person's (a) CRD Number (if any): 313907 (b) CIK Number(s) (if any): No Information Filed 5. Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) brother investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (i) accountant or accounting firm (k) lawyer or law firm (i) pinsurance company or agency (m) pension consultant (n) real estate broker or dealer (c) sponsor or syndicator of limited pertnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person? C ©					
or Other 4. **Related Person's** (a) **CRD Number (if any):	3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
Other 4. Related Person's (a) CRD Number (if any): 313907 (b) CIK Number(s) (if any): No Information Filed 5. Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ♥ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company (i) □ accountant or accounting firm (k) □ lawyer or law firm (i) □ insurance company or agency (m) □ pension consultant (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) □ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No			- 121284		
(a) CRD Number (If any): 313907 (b) CIK Number(s) (If any): No Information Filed 5. Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) vother investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (f) trust company (g) accountant or accounting firm (k) lawyer or law firm (f) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?					
(b) CIK Number(s) (if any): No Information Filed 5. Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (f) trust company (g) accountant or accounting firm (k) lawyer or law firm (g) insurance company or agency (g) pension consultant (g) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?	4.	Rela	ted Person's		
(b) CIK Number(s) (if any): No Information Filed 5. Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (l) trust company (l) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?		(a)			
5. Related Person is: (check all that apply) (a)		(b)			
(a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ▼ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer major security-based swap participant (e) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency pension consultant (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?			No Information Filed		
(a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ▼ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer major security-based swap participant (e) □ commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm (k) □ lawyer or law firm (l) □ insurance company or agency pension consultant (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?	5	Rela	ted Person is: (check all that apply)		
(c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?					
(d)					
(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?		` ,			
(g) ☐ futures commission merchant (h) ☐ banking or thrift institution (i) ☐ trust company (j) ☐ accountant or accounting firm (k) ☐ lawyer or law firm (l) ☐ insurance company or agency (m) ☐ pension consultant (n) ☐ real estate broker or dealer (o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☐ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person? • •		(e)	major security-based swap participant		
(h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (l) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?					
(i)		_			
(k)					
(I) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person?		-			
(m) ☐ pension consultant (n) ☐ real estate broker or dealer (o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☐ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No Do you control or are you controlled by the related person?					
(o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ☐ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person? ○ ○					
 (p) □ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Yes No 6. Do you control or are you controlled by the related person? 		(n)			
Yes No 6. Do you control or are you controlled by the related person? © ©					
6. Do you control or are you controlled by the related person?		(μ)	- sponsor, general partner, managing member (or equivalent) or pooled investment venicles	Yes	. No
7 Are you and the <i>related person</i> under common <i>control</i> ?	6.	Do y	ou control or are you controlled by the related person?		_
The Box was considered because by the control of the box of the bo		Arc :	you and the related person under common control?		_

3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	Ó	O
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code:	;′ass∈	ets:
		If this address is a private residence, check this box:	Voo	Na
) _.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	⊙
	(b)	If the answer is yes, under what exemption?		
0.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registed. Name of Country/English Name of <i>Foreign Financial Regulatory Authority</i>	∍red.	
		Australia - Australian Securities and Investments Commission		
1.	Do y	you and the related person share any supervised persons?	0	$_{\odot}$
2.	Do y	you and the related person share the same physical location?	0	•
	_	al Name of <i>Related Person</i> : GLOBAL PRIVATE MARKETS (UK) LIMITED		
<u>)</u> .		nary Business Name of <i>Related Person</i> : GLOBAL PRIVATE MARKETS (UK) LIMITED		
3.	801	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 110060		
	or Othe	er er		
١.	Rela	ted Person's		
	(a)	CRD Number (if any): 198515		
	(b)	CIK Number(s) (if any): No Information Filed		
		No momaton ried		
).	Rela	ted Person is: (check all that apply)		
	(-)	 □ broker-dealer, municipal securities dealer, or government securities broker or dealer □ other investment adviser (including financial planners) 		
	(c)	registered municipal advisor		
	` ,	registered security-based swap dealer major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) (h)	futures commission merchant banking or thrift institution		
	(i)	trust company		
	0,	accountant or accounting firm lawyer or law firm		
	(I)	insurance company or agency		
	` '	pension consultant real estate broker or dealer		
	` ,	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	V	,
) .	Do y	you control or are you controlled by the related person?	Yes	No ©
	•			~
' .	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
3.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	0	0

	(c)	required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person?</i> If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	'asse	ets:
		If this address is a private residence, check this box.	Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\circ	⊙
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	•	0
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registed	red.	
		Name of Country/English Name of Foreign Financial Regulatory Authority United Kingdom - Financial Conduct Authority		
11.	Do v	you and the related person share any supervised persons?	•	0
	- 3		٠	
12.	Do y	you and the related person share the same physical location?	•	0
	VAR	al Name of <i>Related Person</i> : AGON CAPITAL PARTNERS, L.P. Dary Business Name of <i>Related Person</i> :		
	VAR	AGON CAPITAL PARTNERS, L.P.		
3.		ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 107473		
	or			
	Othe			
4.		ted Person's CRD Number (if any): 281851		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p)	broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> . Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	'asse	ets:

		If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	•
	(b)	If the answer is ves under what exemption?		
		if the answer is yes, ander what exemption:		
10	(2)	Is the related person registered with a foreign financial regulatory authority?	_	_
		If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register No Information Filed	ed.	•
11.	Do y	ou and the related person share any supervised persons?	0	•
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	•
	_	l Name of <i>Related Person</i> :		
	AHL	PARTNERS LLP		
		ary Business Name of <i>Related Person</i> : PARTNERS LLP		
		ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 79565		
	or Othe	r		
4.	Rela	ted Person's		
	(a)	CRD Number (if any): 167882		
	(b)	CIK Number(s) (if any): No Information Filed		
	(a) (b)	ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	(d) (e)	registered municipal advisor registered security-based swap dealer major security-based swap participant		
	(g)	 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution 		
	• ,	□ trust company□ accountant or accounting firm		
	(k)	□ lawyer or law firm □ insurance company or agency		
	(l) (m)	pension consultant		
	` '	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do v	ou <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	Yes	
				٠
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	⊙	0
	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: Number 2:	asse	ets:
		City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: \square		
6	<i>(</i>)		Yes	
	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	•
10.		Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register	⊙	0

	United Kingdom - Financial Conduct Authority		
11. D	o you and the related person share any supervised persons?	•	0
12. D	o you and the <i>related person</i> share the same physical location?	•	0
tem 7	7 Private Fund Reporting		
B. Are	e you an adviser to any <i>private fund</i> ?	Yes ©	No
		v	
ser rep 7.B	Tyes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the national state of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exemple adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You stead, complete Section 7.B.(2) of Schedule D.	npt n Sectior	
cod	either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphal de, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same cod signation in place of the fund's name.		
SECTI	ON 7.B.(1) Private Fund Reporting		
	Funds per Page: 15 Total Funds: 7		
A DE	DIVATE FUND		
A. PI	RIVATE FUND		
<u>Info</u>	ormation About the <i>Private Fund</i>		
1.	(a) Name of the <i>private fund</i> :		
	MAN EURO CLO 2023-1 DAC (b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-8391242817		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
2.	State: Country:		
	Ireland		
2	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
3.	Name of General Partner, Manager, Trustee, or Director		
	CLAIRE MCKENNA, DIRECTOR		
	DEIRDRE BOURKE, DIRECTOR		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		,
	No Information Filed		
	The products 6 and (check all the temples are a product of the temples at least a max)		
4.	The <i>private fund</i> (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (check all that apply; you must check at least one): [In the private fund (c		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Ireland - Central Bank of Ireland]
4		Yes No	
6.	(a) Is this a "master fund" in a master-feeder arrangement?(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	0 0	
	No Information Filed		7

Name of Country/English Name of Foreign Financial Regulatory Authority

	(c) Is this a "feeder fund" in a master-feeder arrangement?	0 0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	n 7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), the feeder funds answer the following questions:	for each of
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes No
Ο.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	ent ○ ○
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
		Yes No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	○ ⊙
10.	What type of fund is the private fund?	
	O hedge fund O liquidity fund O private equity fund O real estate fund o securitized asset fund O venture capital fund O Other private fund O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O other private fund O hedge fun	/ate fund:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the <i>private fund</i> : \$ 379,808,111	
<u>Ow</u>	nership	
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 100,000	
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in torganizational documents of the fund).	he
13.	Approximate number of the <i>private fund's</i> beneficial owners: 0	
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 8%	
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :	

0%

Yes No

	Advisory Services	Ye	s N
7. (a) Are you a subadviser to this <i>private fund</i> ?	С	(
(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answ question 17.(a) is "no," leave this question blank.	er to	
	No Information Filed		
		Ye	s N
) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	C	
(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ne ans	wer
	No Information Filed		
). Ar	re your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Ye	s N
N	OTE: For purposes of this question, do not consider feeder funds of the private fund.	•	•
). Ap	oproximately what percentage of your <i>clients</i> has invested in the <i>private fund?</i>		
ivat	e Offering		
		Ye	s N
l. Ha	as the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	С	(
2. If	yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
<u>udito</u>			
<u>udito</u>	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	6) (
<u>udito</u>	ors) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	6) (
<u>udito</u>	(2) If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one audit	6) (
<u>udito</u>	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm.	6)
<u>udito</u>	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6)
<u>udito</u>	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	6) (
<u>udito</u>	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one audit you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG LLP	6) (
<u>udito</u>	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one audit you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: DUBLIN 2 Ireland	6	m,
<u>udito</u>	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one audit you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country:	ing fir	m,
<u>udito</u>	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one audit you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: DUBLIN 2 Ireland	Yes	No
<u>udito</u>	(2) If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one audit you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one audit you must complete questions: 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: ERNST & YOUNG LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): City: State: Country: DUBLIN 2 Ireland (d) Is the auditing firm an independent public accountant?	Yes	No O

(g) Are the $private\ fund's\ audited\ financial\ statements\ for\ the\ most\ recently\ completed\ fiscal\ year\ distributed\ to\ the\ private\ fund's$

investors?

 \odot \circ

	o all of the reports prepared by the auditin Yes O No O Report Not Yet Received	g min for the private rana		, ,
	you check "Report Not Yet Received," you m	nust promptly file an amenc	lment to your Form ADV to update your re	sponse when the report is available
Bro	<u>ker</u>			Yes N
) D	oes the private fund use one or more prime	e brokers?		0
	the answer to question 24.(a) is "yes," re and uses more than one prime broker, you			•
		No Infor	mation Filed	
lian				
) D	oes the <i>private fund</i> use any custodians (in	ncluding the prime brokers	listed above) to hold some or all of its a	Yes N ssets? ⊙ (
lf	the answer to question 25.(a) is "yes," re	espond to questions (b) thr	rough (g) below for each custodian the $ ho$	private fund uses. If the private
fu	and uses more than one custodian, you mu	st complete questions (b)	through (g) separately for each custodia	an.
F	dditional Custodian Information : 1 Reco	ord(s) Filed.		
	If the answer to question 25.(a) is "yes," fund uses more than one custodian, you n	•	9 9	•
	(b) Legal name of custodian: ELAVON FINANCIAL SERVICES DAC			
	(c) Primary business name of custodian: ELAVON FINANCIAL SERVICES DAC			
	(d) The location of the custodian's office r	responsible for custody of	the private fund's assets (city, state and	country):
	City: DUBLIN	State:	Country: Ireland	
				Yes No
	(e) Is the custodian a <i>related person</i> of yo	our firm?		0 0
	(f) If the custodian is a broker-dealer, pro	ovide its SEC registration r	number (if any):	
	- CRD Number (if any):			
	(g) If the custodian is not a broker-dealer identifier (if any) 5493008GNOHVI377MY19	r, or is a broker-dealer but	does not have an SEC registration num	ber, provide its <i>legal entity</i>
istr	(g) If the custodian is not a broker-dealer	r, or is a broker-dealer but	does not have an SEC registration num	ber, provide its <i>legal entity</i>
				Yes I
lf	oes the <i>private fund</i> use an administrator of the answer to question 26.(a) is "yes," res	espond to questions (b) thr		O (more than one administrator, you
m	ust complete questions (b) through (f) sep	parately for each administr	ator.	
		No Infor	mation Filed	

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including

0%

<u>Ma</u>	rkete	<u>ers</u>	Vaa	NI-				
28.	(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes	OIVI				
	(1)	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	r, or					
		No Information Filed						
A. P	RIVA	TE FUND						
Info	rmat	tion About the <i>Private Fund</i>						
1.	(a)	Name of the <i>private fund</i> :						
		MAN GLG ALPHA NOVUS						
	(b)	Private fund identification number:						
		(include the "805-" prefix also) 805-9174751358						
		000-7174731330						
2.	Und	ler the laws of what state or country is the <i>private fund</i> organized:						
		State: Country:						
		Cayman Islands						
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):						
		ne of General Partner, Manager, Trustee, or Director						
	ERI	IC FORTIER						
	JEN	INIFER COLLINS						
	SIN	MON PALMER						
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.						
		No Information Filed						
4.		private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940						
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.						
		me of Country/English Name of <i>Foreign Financial Regulatory Authority</i>						
	Cay	yman Islands - Cayman Islands Monetary Authority						
,			Yes	No				
6.		Is this a "master fund" in a master-feeder arrangement?	0	⊙				
	(b)	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?						
		No Information Filed						
			Yes	No				
	(c)	Is this a "feeder fund" in a master-feeder arrangement?	0	•				
		If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :						
		Private fund identification number: (include the "805-" prefix also)						

allocations) was the valuation determined by such person.

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:							
	No Information Filed							
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.							
		Yes	No					
8.	(a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		•					
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0					
		Yes	No					
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		•					
10.	What type of fund is the <i>private fund</i> ?							
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	te fur	nd:					
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.							
11.	Current gross asset value of the <i>private fund</i> : \$ 135,983,121							
<u>Ow</u>	nership_							
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 100,000							
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).							
13.	Approximate number of the <i>private fund's</i> beneficial owners: 21							
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 16%							
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 12%							
		Yes	No					
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0					
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 49%							
You	ur Advisory Services							
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ⊙					
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.							
	No Information Filed							
10		Yes						
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the answer to question 18.	0	•					
	to question 18.(a) is "no," leave this question blank.	answ	/CI					

No Information Filed

		Ye	s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	•
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 1%		
Priv	vate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye O	s No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	No Information Filed		
B. SI	ERVICE PROVIDERS		
Auc	<u>ditors</u>		
		Ye	s No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	œ	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	·	_
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	ting fir	m,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:		
	GRAND CAYMAN Cayman Islands		
	(d) Is the auditing firm an independent public accountant?	Yes	
	(u) is the additing him an independent public accountant:	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		۷e	es No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	•	
	(h) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified	opinio	ns?
		орино	1101
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable.
<u>Pr</u> ir	me Broker		
		Ye	es No
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	the pri	_
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	me pri	vale

Additional Prime Broker Information : 3 Record(s) Filed.

	vate fund uses more than one pr	The broker, you must com	prote questions (b) timough (b) separatory for each prime broker.		
(b)	Name of the prime broker: BARCLAYS CAPITAL INC.				
(c)	If the prime broker is registere 8 - 41342 CRD Number (if any):	d with the SEC, its registra	ation number:		
	19714				
(d)			private fund (city, state and country):		
	City: NEW YORK	State: New York	Country: United States		
				Yes	No
(e)	Does this prime broker act as o	ustodian for some or all of	f the <i>private fund's</i> assets?	•	0
pri	•		ns (b) through (e) below for each prime broker the <i>private fund</i> uses aplete questions (b) through (e) separately for each prime broker.	s. If the	
(c)	If the prime broker is registere	d with the SEC, its registra	ation number:		
	- CRD Number (if any):				
(d)	Location of prime broker's offic	e used principally by the p_{i}	private fund (city, state and country):		
	City: LONDON	State:	Country: United Kingdom		
	LONDON		omica Kingdom	Yes	No
(e)	Does this prime broker act as o	ustodian for some or all of	f the private fund's assets?	•	0
	•	•	ns (b) through (e) below for each prime broker the <i>private fund</i> uses aplete questions (b) through (e) separately for each prime broker.	s. If the	
ρπ					
	Name of the prime broker: MORGAN STANLEY & CO. INTER	NATIONAL PLC			
(b)	•		ation number:		
(b)	MORGAN STANLEY & CO. INTER		ation number:		
(b)	MORGAN STANLEY & CO. INTER If the prime broker is registere CRD Number (if any):	d with the SEC, its registra	ation number: private fund (city, state and country):		
(b)	MORGAN STANLEY & CO. INTER If the prime broker is registere CRD Number (if any): Location of prime broker's offic City:	d with the SEC, its registra	orivate fund (city, state and country): Country:		
(b)	MORGAN STANLEY & CO. INTER If the prime broker is registere CRD Number (if any): Location of prime broker's office	d with the SEC, its registra e used principally by the <i>pi</i>	orivate fund (city, state and country):	Yes	Na

Custodian

Yes No

25. (a) Does the *private fund* use any custodians (including the prime brokers listed above) to hold some or all of its assets?

⊙

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Addi	ional Custodian Information : 4 Record(s) Filed.			
	ne answer to question 25.(a) is "yes," respond to quest d uses more than one custodian, you must complete qu		•	ises. If the private
(b)	Legal name of custodian: BARCLAYS CAPITAL INC.			
(c)	Primary business name of custodian: BARCLAYS CAPITAL INC.			
(d)	The location of the custodian's office responsible for <i>cu</i> City: State: NEW YORK New York	Cou	assets (city, state and country): ntry: eed States	
(e)	Is the custodian a related person of your firm?			Yes No
(f)	If the custodian is a broker-dealer, provide its SEC regi 8 - 41342 CRD Number (if any): 19714	stration number (if any):		
(g)	If the custodian is not a broker-dealer, or is a broker-didentifier (if any)	lealer but does not have a	ın SEC registration number, provide i	ts <i>legal entity</i>
fund (b)	ne answer to question 25.(a) is "yes," respond to quest d uses more than one custodian, you must complete que Legal name of custodian: J.P. MORGAN SECURITIES PLC		•	ises. II the <i>private</i>
(c)	Primary business name of custodian: J.P. MORGAN SECURITIES PLC			
(d)	The location of the custodian's office responsible for <i>cu</i> City: State: LONDON	ustody of the private fund's Country: United Kingdom	assets (city, state and country):	
(e)	Is the custodian a related person of your firm?			Yes No
(f)	If the custodian is a broker-dealer, provide its SEC regi- CRD Number (if any):	stration number (if any):		
(g)	If the custodian is not a broker-dealer, or is a broker-didentifier (if any) K6Q0W1PS1L1O4IQL9C32	lealer but does not have a	n SEC registration number, provide i	ts <i>legal entity</i>
	ne answer to question 25.(a) is "yes," respond to quest d uses more than one custodian, you must complete qu		•	ses. If the <i>private</i>
(b)	Legal name of custodian: MORGAN STANLEY & CO. INTERNATIONAL PLC			
(c)	Primary business name of custodian: MORGAN STANLEY & CO. INTERNATIONAL PLC			
(d)	The location of the custodian's office responsible for cu	stody of the private fund's	assets (city, state and country):	

(e)	LONDON	· · · · · · · · · · · · · · · · · · ·	United Kingdom	
(0)	Is the custodian a related pe	person of your firm?		•
	To the education a rolated pe	orserr er yeur minn.		
(f)	If the custodian is a broker-	-dealer, provide its SEC registration n	number (if any):	
	- CRD Number (if any):			
	identifier (if any)	oker-dealer, or is a broker-dealer but	t does not have an SEC registration number, pro	vide its <i>legal enti</i>
	4PQUHN3JPFGFNF3BB653			
	•		through g) below for each custodian the <i>private</i> and both the control of through (g) separately for each custodian.	<i>fund</i> uses. If the
	Legal name of custodian: THE BANK OF NEW YORK ME	ELLON SA/NV, DUBLIN BRANCH		
	Primary business name of co THE BANK OF NEW YORK ME	custodian: ELLON SA/NV, DUBLIN BRANCH		
(d)	The location of the custodia	an's office responsible for <i>custody</i> of t	the <i>private fund's</i> assets (city, state and country)):
	City: DUBLIN 2	State:	Country: Ireland	
			Ti olana	
(e)	Is the custodian a related pe	person of your firm?		
(f)	If the custodian is a broker-	-dealer, provide its SEC registration n	number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a bro identifier (if any)	oker-dealer, or is a broker-dealer but	does not have an SEC registration number, pro	vide its <i>legal enti</i>
oes f the	the <i>private fund</i> use an admi answer to question 26.(a) is complete questions (b) throu	ough (f) separately for each administra	ough (f) below. If the <i>private fund</i> uses more tha	an one administra
ooes f the nust	the <i>private fund</i> use an admi answer to question 26.(a) is	is "yes," respond to questions (b) through (f) separately for each administra	-	an one administra
Does f the nust Addit	the <i>private fund</i> use an admi answer to question 26.(a) is complete questions (b) throu tional Administrator Inform	is "yes," respond to questions (b) through (f) separately for each administration: 1 Record(s) Filed.	hrough (f) below. If the <i>private fund</i> uses more t	
oes f the nust Addit If th adm (b)	the <i>private fund</i> use an admi answer to question 26.(a) is complete questions (b) throutional Administrator Informational Administrator Information answer to question 26.(a) ninistrator, you must complete Name of administrator:	is "yes," respond to questions (b) through (f) separately for each administration: 1 Record(s) Filed.) is "yes," respond to questions (b) the second of th	hrough (f) below. If the <i>private fund</i> uses more tely for each administrator.	
rator Does If the nust Addit If th adm (b)	the <i>private fund</i> use an admi answer to question 26. (a) is complete questions (b) throutional Administrator Informational Administrator Informational Administrator Informational Administrator (a) ninistrator, you must complement to administrator: BNY MELLON FUND SERVICE: Location of administrator (ci	is "yes," respond to questions (b) through (f) separately for each administration: 1 Record(s) Filed. It is "yes," respond to questions (b) the ete questions (b) through (f) separate ete questions (b) through (f) separate ete (IRELAND) DESIGNATED ACTIVITY (c) etty, state and country):	hrough (f) below. If the <i>private fund</i> uses more tely for each administrator. COMPANY	
oes f the nust Addit If th adm (b)	the <i>private fund</i> use an admi answer to question 26.(a) is complete questions (b) throutional Administrator Informational Information Informa	is "yes," respond to questions (b) through (f) separately for each administration: 1 Record(s) Filed. I) is "yes," respond to questions (b) the questions (b) through (f) separate (see (IRELAND) DESIGNATED ACTIVITY (see	hrough (f) below. If the <i>private fund</i> uses more tely for each administrator.	than one
rator Does If the Addit If th adm (b)	the <i>private fund</i> use an admi answer to question 26.(a) is complete questions (b) throutional Administrator Informational Administrator Informational Administrator Informational Administrator (a) ninistrator, you must complete Name of administrator: BNY MELLON FUND SERVICE: Location of administrator (ci City:	is "yes," respond to questions (b) through (f) separately for each administration: 1 Record(s) Filed. In the second is "yes," respond to questions (b) the ete questions (b) through (f) separate (second is given by the ete questions). ES (IRELAND) DESIGNATED ACTIVITY (content of the ete question). State:	hrough (f) below. If the <i>private fund</i> uses more tely for each administrator. COMPANY Country:	

	investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."	——	
	During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, the your <i>related person</i> ?	nat is	s not
	100% Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (in allocations) was the valuation determined by such <i>person</i> .	-	•
/lark	<u>keters</u>		
28.	(a) Does the private fund use the services of someone other than you or your employees for marketing purposes?		Yes ©
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other soli similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
	Additional Marketer Information : 2 Record(s) Filed.		
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other s or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.	e <i>pri</i> v	⁄ate
		Yes	No
	(b) Is the marketer a <i>related person</i> of your firm?	•	0
	(c) Name of the marketer: MAN INVESTMENTS AG		
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
	and CRD Number (if any):		
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country: PFÄFFIKON Switzerland		
		Yes	No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	0	•
	(g) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other s or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.	e <i>pri</i> v keter	vate -
	(b) Is the marketer a <i>related person</i> of your firm?	Yes ©	No O
	(c) Name of the marketer: MAN INVESTMENTS INC.		
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): 8 - 32575		
	and CRD Number (if any): 15770		

	(e) Location of the marketer's office used principally by the City: State:	ne <i>private fund</i> (city, state and country): Country:	
	NEW YORK New York	United States	
		Yes	No
	(f) Does the marketer market the <i>private fund</i> through on	ne or more websites?	•
	(g) If the answer to question 28.(f) is "yes," list the webs	site address(es):	
		No Information Filed	
A. F	A. PRIVATE FUND		
Inf	nformation About the <i>Private Fund</i>		
1.	1. (a) Name of the <i>private fund</i> :		
	MAN GLG CREDIT MULTI-STRATEGY MASTER FUND		
	(b) Private fund identification number: (include the "805-" prefix also)		
	805-8275380268		
2.	2. Under the laws of what state or country is the private fund organ	nized:	
	State: Country:		
	Cayman Islands		
3.		(or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director ERIC FORTIER		
	JENNIFER COLLINS		
	SIMON PALMER		
		/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed	
4	4. The private fixed (sheek all that apply year privat sheek at least apply		
4.		nt company under section 3(c)(1) of the Investment Company Act of 1940	
		nt company under section 3(c)(7) of the Investment Company Act of 1940	
5.	5. List the name and country, in English, of each foreign financial rec	equiatory authority with which the private fund is registered	
0.	Name of Country/English Name of Foreign Financial Regulation		
	Cayman Islands - Cayman Islands Monetary Authority		
		· ·	es No
6.	6. (a) Is this a "master fund" in a master-feeder arrangement?		0
	(b) If yes, what is the name and private fund identification numb	per (if any) of the feeder funds investing in this private fund?	
	Name of private fund	Private fund identification number	
	MAN GLG GLOBAL CREDIT MULTI STRATEGY	805-7430295765	
			, NI
	(c) Is this a "feeder fund" in a master-feeder arrangement?		res No
	•	per (if any) of the master fund in which this <i>private fund</i> invests?	0 0
	Name of <i>private fund</i> :	of the master rand in which this private rand invests:	
	Delivate fixed identification purposes		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE Van much		D (4)
	NOTE: You must complete question 6 for each master-feeder arr for the master-feeder arrangement or reporting on the funds se	rangement regardless of whether you are filing a single Schedule D, Section 7 eparately.	.B.(J)
_			_
7.	/. If you are filing a single Schedule D, Section 7.B.(1) for a master	r-feeder arrangement according to the instructions to this Section 7.B.(1), for	each of

Ad	ditional	Feeder Fund Information : 1 Record(s) Filed.		
7	lf vou	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) for	
/	9	are filling a single schedule D, Section 7.B.(1) for a master-reeder arrangement according to the instructions to this Section 7.B.(1 f the feeder funds answer the following questions:), IOI	
	(a)	Name of the <i>private fund</i> :		
		MAN GLG GLOBAL CREDIT MULTI STRATEGY		
	(b)	Private fund identification number:		
		(include the "805-" prefix also) 805-7430295765		
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:		
		State: Country:		
		Cayman Islands		
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):		ı
		Name of General Partner, Manager, Trustee or Director ERIC FORTIER		
		JENNIFER COLLINS		
		SIMON PALMER		
	(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : No Information Filed		
	(e)	The private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company A	act of	
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company A 1940	act of	
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Cayman Islands - Cayman Islands Monetary Authority		
ISS	ets in a s	surposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it isses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
			Yes	N
	·	private fund a "fund of funds"? Surposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	0	9
	•	gardless of whether they are also <i>private funds</i> or registered investment companies. Hoes the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	,
-,	9 , -			٠
	·		Yes	
		last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment at of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
/ha	at type o	f fund is the <i>private fund</i> ?		
⊙	hedge fu	and $^{ extstyle O}$ liquidity fund $^{ extstyle O}$ private equity fund $^{ extstyle O}$ real estate fund $^{ extstyle O}$ securitized asset fund $^{ extstyle O}$ venture capital fund $^{ extstyle O}$ Other <i>privat</i>	te fund	ſ:
07	ΓE: For d	efinitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
		ss asset value of the <i>private fund</i> :		
. 79	99,940,2	35		

<u>Ownership</u>

12.		mum investment commitment required of an investor in the <i>private fund</i> :		
		E: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the		
		inizational documents of the fund).		
13.	Appr	oximate number of the <i>private fund's</i> beneficial owners:		
	94			
14.	Wha ⁻	t is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
	2%			
15.		What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	0%		⁄es	No
	(b) I	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment		
		Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha ⁻	t is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
	1009	%		
Υοι	ır Adv	visory Services		
			/es	
1 / .		Are you a subadviser to this <i>private fund</i> ?	0	•
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	0	
		No Information Filed		
			/ es	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?		\odot
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	nsw	er
		No Information Filed		
10	Aro.	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	es.	
19.	,	E: For purposes of this question, do not consider feeder funds of the private fund.	0	⊙
	NOTE	L. For purposes of this question, do not consider feeder funds of the private fund.		
20.		oximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
	3%			
Priv	vate C	Offering		NI-
21	Has	the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	es 🙃	
Z 1.	Has	the private rand ever relied on an exemption from registration of its securities under Regulation b of the securities Act of 1755:	0	•
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
s. SI	ERVIC	CE PROVIDERS		
Auc	<u>litors</u>		Yes	No
23.	(a) ((1) Are the private fund's financial statements subject to an annual audit?	•	0
	((2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	firm	,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one		
		auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		

(b) Name of the auditing firm:

		DELOITTE & TOUCHE LLP		
	(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:		
		City: State: Country: Cayman Islands		
	(d)	Is the auditing firm an independent public accountant?	Yes ©	No
	(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
	(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
			Υ,	es No
		he <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> tors?	¢	9 0
	Do al	I of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified es O No O Report Not Yet Received	opinio	ons?
	If you	ı check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable
Prime B	roker			
24 (a)	Does	the <i>private fund</i> use one or more prime brokers?		es No
24. (a)		e answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If		₃ c ivate
	fund	uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
	Addi	tional Prime Broker Information : 10 Record(s) Filed.		
		he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. vate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
	(b)	Name of the prime broker: BNP PARIBAS SA, LONDON BRANCH		
	(c)	If the prime broker is registered with the SEC, its registration number:		
		CRD Number (if any):		
	(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
		City: State: Country: LONDON United Kingdom		
			Yes	No
	(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	•	0
		he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. vate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
	(b)	Name of the prime broker: CITIGROUP GLOBAL MARKETS LIMITED		
	(c)	If the prime broker is registered with the SEC, its registration number:		
		- CRD Number (if any):		

City: LONDON	rime broker's office used principally by the <i>private</i> State:	Country: United Kingdom	
LONDON		omica kingaom	Yes N
(e) Does this pri	me broker act as custodian for some or all of the	private fund's assets?	⊙ (
) through (e) below for each prime broker the <i>private</i> questions (b) through (e) separately for each prime b	
b) Name of the DEUTSCHE B	orime broker: ANK AG, LONDON BRANCH		
c) If the prime	proker is registered with the SEC, its registration	number:	
CRD Number	(if any):		
	rime broker's office used principally by the <i>private</i>		
City: LONDON	State:	Country: United Kingdom	
		S	Yes N
e) Does this pri	me broker act as custodian for some or all of the	private fund's assets?	© (
8 - 17822 CRD Number 2525 d) Location of p	rime broker's office used principally by the <i>private</i>	e fund (city, state and country):	
City: NEW YORK	State: New York	Country: United States	
			Yes N
e) Does this pri	me broker act as custodian for some or all of the	private fund's assets?	©
) through (e) below for each prime broker the <i>private</i> questions (b) through (e) separately for each prime b	
b) Name of the GOLDMAN SA	prime broker: CHS INTERNATIONAL		
-	proker is registered with the SEC, its registration	number:	
CRD Number	(п апу).		
	rime broker's office used principally by the private		
City: LONDON	State:	Country: United Kingdom	
2.120.1		J	Yes N
e) Does this pri	me broker act as custodian for some or all of the	private fund's assets?	⊙ (

If the answer to question 24.(a private fund uses more than one			•	'	If the
(b) Name of the prime broker: J.P. MORGAN PRIME INC.					
(c) If the prime broker is regis 8 - 69703	tered with the SEC, its rec	gistration number:			
CRD Number (if any): 282107					
(d) Location of prime broker's of	office used principally by t	he <i>private fund</i> (city, s	tate and country):		
City: NEW YORK	State: New York		Country: United States		
NEW TORK	New fork		officed States		Yes No
(e) Does this prime broker act	as custodian for some or	all of the <i>private fund</i>	s assets?		• c
If the answer to question 24.(a private fund uses more than one			•	•	If the
(b) Name of the prime broker: J.P. MORGAN SECURITIES L	LC				
(c) If the prime broker is regis	tered with the SEC, its req	gistration number:			
8 - 35008					
CRD Number (if any): 79					
(d) Location of prime broker's of	office used principally by t	the <i>private fund</i> (city, s	tate and country):		
City: NEW YORK	State: New York		Country: United States		
					Yes No
(e) Does this prime broker act	as custodian for some or	all of the <i>private fund</i>	s assets?		© 0
If the answer to question 24.(a private fund uses more than one (b) Name of the prime broker: J.P. MORGAN SECURITIES P	e prime broker, you must	•	·	· ·	If the
(c) If the prime broker is regis	tered with the SEC, its rec	gistration number:			
CRD Number (if any):					
(d) Location of prime broker's of City:	office used principally by t State:	he <i>private fund</i> (city, s Country:	state and country):		
LONDON	State.	United Kin	gdom		
() =					Yes No
(e) Does this prime broker act	as custodian for some or	all of the <i>private fund</i> .	s assets?		⊙ ೧
If the answer to question 24.(a					If the

(b) Name of the prime broker:

MORGAN STANLEY & CO. INTERNATIONAL PLC

(d) Lo	ocation of prime broker's office u	sed principally by the <i>pri</i>	vate fund (city, state and country):	
	ty: DNDON	State:	Country: United Kingdom	
	JNDON		offited Kingdofff	Υe
(e) Do	oes this prime broker act as cust	todian for some or all of t	the <i>private fund's</i> assets?	0
	•	· · · · · · · · · · · · · · · · · · ·	s (b) through (e) below for each prime broker the <i>private fund</i> uses lete questions (b) through (e) separately for each prime broker.	. If th
	ame of the prime broker: 3S AG, LONDON BRANCH			
(c) If	the prime broker is registered w	vith the SEC, its registrati	ion number:	
CR	RD Number (if any):			
	·		vate fund (city, state and country):	
	ty:	State:	Country: United Kingdom	
LC	ONDON			
LC	DNDON			Y
(e) Do	pes this prime broker act as cust		the <i>private fund's</i> assets? okers listed above) to hold some or all of its assets?	Ye
(e) Do	pes this prime broker act as cust e <i>private fund</i> use any custodians	s (including the prime bro	okers listed above) to hold some or all of its assets? b) through (g) below for each custodian the <i>private fund</i> uses. If th	•
(e) Do	pes this prime broker act as cust e <i>private fund</i> use any custodians	s (including the prime bro " respond to questions (I must complete question	okers listed above) to hold some or all of its assets?	•
(e) Do	pes this prime broker act as custoes this prime broker act as custoes e private fund use any custodians asswer to question 25.(a) is "yes, as more than one custodian, you nal Custodian Information: 11 answer to question 25.(a) is "yes, answer to question 25.(a)	s (including the prime brown respond to questions (in must complete questions) Record(s) Filed. es," respond to questions	okers listed above) to hold some or all of its assets? b) through (g) below for each custodian the <i>private fund</i> uses. If th	ne priv
(e) Do	pes this prime broker act as custoes this prime broker act as custoes e private fund use any custodians asswer to question 25.(a) is "yes, as more than one custodian, you nal Custodian Information: 11 answer to question 25.(a) is "yes, answer to question 25.(a)	s (including the prime brown respond to questions (in must complete question) Record(s) Filed. es," respond to questions ou must complete question	okers listed above) to hold some or all of its assets? b) through (g) below for each custodian the <i>private fund</i> uses. If the s (b) through (g) separately for each custodian. s (b) through g) below for each custodian the <i>private fund</i> uses. If	ne <i>pri</i> n
an Does the If the an fund use Addition If the afund use (b) Le BN (c) Pri	pes this prime broker act as custoes this prime broker act as custoes are private fund use any custodians as wer to question 25.(a) is "yes, as more than one custodian, you nal Custodian Information: 11 answer to question 25.(a) is "yes, ses more than one custodian, you segal name of custodian:	s (including the prime brown respond to questions (in must complete question) Record(s) Filed. es," respond to questions ou must complete question H	okers listed above) to hold some or all of its assets? b) through (g) below for each custodian the <i>private fund</i> uses. If the s (b) through (g) separately for each custodian. s (b) through g) below for each custodian the <i>private fund</i> uses. If	ne priv
(e) Do	pes this prime broker act as customer private fund use any custodians as wer to question 25.(a) is "yes, as more than one custodian, you mal Custodian Information: 11 answer to question 25.(a) is "yes, ses more than one custodian, you see more than one custodian, you see more than one custodian; you see more than one custodian: NP PARIBAS SA, LONDON BRANCE imary business name of custodian in PARIBAS SA, LONDON BRANCE in the location of the custodian's office location of the custodian's office location of the custodian's office location.	s (including the prime brown of	okers listed above) to hold some or all of its assets? b) through (g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian. (a) (b) through (g) below for each custodian the <i>private fund</i> uses. If the cons (b) through (g) separately for each custodian.	ne <i>pri</i> n
(e) Do	pes this prime broker act as custoes this prime broker act as custoes any custodians aswer to question 25.(a) is "yes, as more than one custodian, you nal Custodian Information: 11 answer to question 25.(a) is "yes, ses more than one custodian, you see more than one custodian, you see more than one custodian: NP PARIBAS SA, LONDON BRANCI	s (including the prime brown respond to questions (la must complete question) Record(s) Filed. es," respond to questions ou must complete question H	okers listed above) to hold some or all of its assets? b) through (g) below for each custodian the <i>private fund</i> uses. If the second is (b) through (g) separately for each custodian. s (b) through g) below for each custodian the <i>private fund</i> uses. If sons (b) through (g) separately for each custodian.	ne priv
an Does the If the an fund use Addition If the afund use (b) Le BN (c) Pri BN (d) Th Cit LC	pes this prime broker act as custoes this prime broker act as custoes any custodians as wer to question 25.(a) is "yes, as more than one custodian, you mal Custodian Information: 11 answer to question 25.(a) is "yes, ses more than one custodian, you ses more than one custodian, you segal name of custodian: NP PARIBAS SA, LONDON BRANCH imary business name of custodian PPARIBAS SA, LONDON BRANCH in the location of the custodian's offity:	s (including the prime brown respond to questions (in must complete question) Record(s) Filed. es," respond to questions ou must complete question H an: H fice responsible for custoon State:	okers listed above) to hold some or all of its assets? b) through (g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian. (a) (b) through (g) below for each custodian the <i>private fund</i> uses. If the cons (b) through (g) separately for each custodian. (b) through (g) separately for each custodian.	ne priv
(e) Do	pes this prime broker act as custoes the private fund use any custodians aswer to question 25.(a) is "yes, as more than one custodian, you nal Custodian Information: 11 answer to question 25.(a) is "yes, ses more than one custodian, you see more than one custodian, you see more than one custodian: NP PARIBAS SA, LONDON BRANCH imary business name of custodian PPARIBAS SA, LONDON BRANCH ine location of the custodian's offity: DNDON	s (including the prime brown of	b) through (g) below for each custodian the <i>private fund</i> uses. If the s (b) through (g) separately for each custodian. (a) (b) through (g) below for each custodian the <i>private fund</i> uses. If the s (b) through (g) below for each custodian the <i>private fund</i> uses. If ons (b) through (g) separately for each custodian. (a) (b) through (g) separately for each custodian.	ne priv

	•		uestions (b) through g) below for each custodian the e questions (b) through (g) separately for each custod	•
(b)	Legal name of custodian: CITIGROUP GLOBAL MARKET	'S LIMITED		
(c)	Primary business name of concerning CITIGROUP GLOBAL MARKET			
(d)	City:	n's office responsible fo State:	or <i>custody</i> of the <i>private fund's</i> assets (city, state and c Country:	country):
	LONDON		United Kingdom	Yes No
(e)	Is the custodian a related pe	erson of your firm?		○ ●
(f)	If the custodian is a broker-	dealer, provide its SEC	registration number (if any):	
	CRD Number (if any):			
(g)		ker-dealer, or is a brok	xer-dealer but does not have an SEC registration numb	ber, provide its <i>legal entity</i>
	identifier (if any) XKZZ2JZF41MRHTR1V493			
	ARZZZJZI 4 IWRIII R I V 4 9 3			
	a uses more than one custod Legal name of custodian: DEUTSCHE BANK AG, LONDO		e questions (b) through (g) separately for each custoo	dian.
(c)	Primary business name of condensation DEUTSCHE BANK AG, LONDO			
(d)	The location of the custodia	n's office responsible fo	or <i>custody</i> of the <i>private fund's</i> assets (city, state and c	country):
	City: LONDON	State:	Country: United Kingdom	
	20112011		Cinted Kingdom	Yes No
(e)	Is the custodian a related pe	erson of your firm?		○ ●
(f)	If the custodian is a broker-	dealer, provide its SEC	registration number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a bro identifier (if any)	ker-dealer, or is a brok	ker-dealer but does not have an SEC registration numb	ber, provide its <i>legal entity</i>
	•		uestions (b) through g) below for each custodian the e questions (b) through (g) separately for each custod	•
(b)	Legal name of custodian: DEUTSCHE BANK SECURITIE	S INC.		
(c)	Primary business name of condensation DEUTSCHE BANK SECURITIE			
(d)	The location of the custodia	n's office responsible fo	or <i>custody</i> of the <i>private fund's</i> assets (city, state and c	country):

Country:

City:

State:

	NEW YORK	New York	United States		
(e)	Is the custodian a related person of	of your firm?	Y	es Ö	No ⊙
(f)	If the custodian is a broker-dealer 8 - 17822	-, provide its SEC registr	ation number (if any):		
	CRD Number (if any): 2525				
(g)	If the custodian is not a broker-deidentifier (if any)	ealer, or is a broker-dea	ler but does not have an SEC registration number, provide its <i>legal entit</i>	У	
	-		as (b) through g) below for each custodian the <i>private fund</i> uses. If the $ ho$ tions (b) through (g) separately for each custodian.	riva	te
(b)	Legal name of custodian: GOLDMAN SACHS INTERNATIONAL	-			
(c)	Primary business name of custodi GOLDMAN SACHS INTERNATIONAL				
(d)			ody of the private fund's assets (city, state and country):		
	City: LONDON	State:	Country: United Kingdom		
(e)	Is the custodian a related person of	of your firm?		es 5	No ©
(f)	If the custodian is a broker-dealer	-, provide its SEC registr	ation number (if any):		
	CRD Number (if any):				
(g)	identifier (if any)	ealer, or is a broker-dea	ler but does not have an SEC registration number, provide its <i>legal entity</i>	У	
	W22LROWP2IHZNBB6K528				
	•	·	ns (b) through g) below for each custodian the <i>private fund</i> uses. If the p tions (b) through (g) separately for each custodian.	 oriva	te
(b)	Legal name of custodian: J.P. MORGAN PRIME INC.				
(c)	Primary business name of custodi J.P. MORGAN PRIME INC.	an:			
(d)	City:	State:	ody of the <i>private fund's</i> assets (city, state and country): Country:		
	NEW YORK	New York	United States Y	'es	No
(e)	Is the custodian a related person of	of your firm?		0	•
(f)	If the custodian is a broker-dealer 8 - 69703	r, provide its SEC registr	ation number (if any):		
	CRD Number (if any): 282107				
(g)	If the custodian is not a broker-deidentifier (if any)	ealer, or is a broker-dea	ler but does not have an SEC registration number, provide its <i>legal entity</i>	У	

	•	n) is "yes," respond to question dian, you must complete que			private fund uses. If the private lian.
(b)	Legal name of custodian: J.P. MORGAN SECURITIES L	LC			
(c)	Primary business name of of J.P. MORGAN SECURITIES L				
(d)	The location of the custodia	an's office responsible for <i>cus</i>	stody of the private fun	nd's assets (city, state and c	ountry):
	City:	State:	,	Country:	
	NEW YORK	New York	U	Jnited States	
(e)	Is the custodian a <i>related</i> p	person of your firm?			Yes No ○ ⊙
(f)	If the custodian is a broker 8 - 35008	-dealer, provide its SEC regis	stration number (if any)):	
	CRD Number (if any):				
(g)	If the custodian is not a broidentifier (if any)	oker-dealer, or is a broker-de	ealer but does not hav	re an SEC registration numb	per, provide its <i>legal entity</i>
	•	n) is "yes," respond to question dian, you must complete que			private fund uses. If the private lian.
(b)	Legal name of custodian: J.P. MORGAN SECURITIES P	PLC			
(c)	Primary business name of of J.P. MORGAN SECURITIES P				
(d)	The location of the custodia City:	an's office responsible for <i>cus</i> State:	stody of the <i>private fun</i> Country: United Kingdo		ountry):
	London		omica imigac		Yes No
(e)	Is the custodian a related p	person of your firm?			0 ⊚
(f)	If the custodian is a broker	-dealer, provide its SEC regis	stration number (if any	v):	
	- CRD Number (if any):				
(g)	If the custodian is not a broidentifier (if any)	oker-dealer, or is a broker-de	ealer but does not hav	re an SEC registration numb	per, provide its <i>legal entity</i>
	K6Q0W1PS1L1O4IQL9C32				
	•	n) is "yes," respond to question dian, you must complete que			orivate fund uses. If the private lian.
(b)	Legal name of custodian: MORGAN STANLEY & CO. IN	ITERNATIONAL PLC			
(c)	Primary business name of om MORGAN STANLEY & CO. IN				
(d)	The location of the custodia	an's office responsible for <i>cus</i>	stody of the <i>private fun</i>	nd's assets (city, state and c	ountry):
	City:	State:	Country:		-

	Yes	s No
(e) Is the custodian a <i>related person</i> of your firm?	0	•
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):		
- CRD Number (if any):		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any)	entity	
4PQUHN3JPFGFNF3BB653		
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	the <i>priv</i>	'ate
(b) Legal name of custodian: THE BANK OF NEW YORK MELLON, LONDON BRANCH		
(c) Primary business name of custodian: THE BANK OF NEW YORK MELLON, LONDON BRANCH		
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
City: State: Country: LONDON United Kingdom		
20NBON	Yes	s No
(e) Is the custodian a related person of your firm?	0	\odot
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):		
CRD Number (if any):		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any)	entity	
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	the <i>priv</i>	'ate
(b) Legal name of custodian: UBS AG, LONDON BRANCH		
(c) Primary business name of custodian: UBS AG, LONDON BRANCH		
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: Country: LONDON United Kingdom		
	Yes	s No
(e) Is the custodian a <i>related person</i> of your firm?	0	•
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):		
CRD Number (if any):		
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal identifier</i> (if any)	entity	

United Kingdom

LONDON

Yes No (b) Is the marketer a related person of your firm? \odot -0 (c) Name of the marketer: MAN INVESTMENTS AG (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): and CRD Number (if any):

Country:

Switzerland

(e) Location of the marketer's office used principally by the *private fund* (city, state and country):

State:

Citv:

PFAFFIKON

	(f) Does the marketer market the <i>private fund</i> through one or more websites?	Yes	
	(i) Does the marketer market the private rund through one of more websites?	0	•
	(g) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each		
	fund uses. If the private fund uses more than one marketer, you must complete questions (b) through (g) sepa		
		Yes	No
	(b) Is the marketer a <i>related person</i> of your firm?	•	0
	(c) Name of the marketer: MAN INVESTMENTS INC.		
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): 8 - 32575		
	and CRD Number (if any): 15770		
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country: NEW YORK New York United States		
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	Yes O	No ⊙
	(g) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
A. PR	PRIVATE FUND		
Infor	formation About the <i>Private Fund</i>		
THIO	ormation about the Private Fund		
1.			
	MAN GLG DIVERSIFIED EQUITY MASTER (b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-8793826097		
2	Under the laws of what state or country is the <i>private fund</i> organized:		
2.	State: Country:		
	Cayman Islands		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	JENNIFER COLLINS		
	SIMON PALMER		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) the No Information Filed	is <i>private fund.</i>	
4.	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment (Company Act of 1940	
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment (

		Ye
Is this a	a "master fund" in a master-feeder arrangement?	•
If yes, v	what is the name and private fund identification number (if any) of	the feeder funds investing in this private fund?
me of <i>pr</i>	rivate fund	Private fund identification number
N GLG D	IVERSIFIED EQUITY (CAYMAN)	805-6943530576
		Υe
Is this a	a "feeder fund" in a master-feeder arrangement?	
If yes, v	what is the name and <i>private fund</i> identification number (if any) of	the master fund in which this <i>private fund</i> invests?
Name of	f private fund:	
	fund identification number: the "805-" prefix also)	
	must complete question 6 for each master-feeder arrangement re ter-feeder arrangement or reporting on the funds separately.	gardless of whether you are filing a single Schedule D, Section 7.F
	ling a single Schedule D, Section 7.B.(1) for a master-feeder arran unds answer the following questions:	gement according to the instructions to this Section 7.B.(1), for each
ditional	Feeder Fund Information : 1 Record(s) Filed.	
. If you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder	arrangement according to the instructions to this Section 7 B (1).
9	f the feeder funds answer the following questions:	
(a)	Name of the private fund:	
	MAN GLG DIVERSIFIED EQUITY (CAYMAN)	
(b)	Private fund identification number:	
	(include the "805-" prefix also)	
	805-6943530576	
(c)	Under the laws of what state or country is the <i>private fund</i> organ	nized:
(9)	State: Country:	
	Cayman Islands	
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or pe	ersons serving in a similar capacity):
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or per Name of General Partner, Manager, Trustee or Director	ersons serving in a similar capacity):
(d) (1)		ersons serving in a similar capacity):
(d) (1)	Name of General Partner, Manager, Trustee or Director	ersons serving in a similar capacity):
(d) (1)	Name of General Partner, Manager, Trustee or Director ERIC FORTIER	ersons serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director ERIC FORTIER JENNIFER COLLINS SIMON PALMER If filing an umbrella registration, identify the filing adviser and/or registration.	
	Name of General Partner, Manager, Trustee or Director ERIC FORTIER JENNIFER COLLINS SIMON PALMER If filing an umbrella registration, identify the filing adviser and/or registration.	elying adviser(s) that sponsor(s) or manage(s) this private fund: mation Filed
(d) (2)	Name of General Partner, Manager, Trustee or Director ERIC FORTIER JENNIFER COLLINS SIMON PALMER If filing an umbrella registration, identify the filing adviser and/or registration.	elying adviser(s) that sponsor(s) or manage(s) this private fund: mation Filed e):
(d) (2)	Name of General Partner, Manager, Trustee or Director ERIC FORTIER JENNIFER COLLINS SIMON PALMER If filing an umbrella registration, identify the filing adviser and/or re No Infor The private fund (check all that apply; you must check at least on (1) qualifies for the exclusion from the definition of investment	elying adviser(s) that sponsor(s) or manage(s) this private fund: mation Filed e): nt company under section 3(c)(1) of the Investment Company Act
(d) (2)	Name of General Partner, Manager, Trustee or Director ERIC FORTIER JENNIFER COLLINS SIMON PALMER If filing an umbrella registration, identify the filing adviser and/or re No Infor The private fund (check all that apply; you must check at least on (1) qualifies for the exclusion from the definition of investment 1940 ✓ (2) qualifies for the exclusion from the definition of investment	elying adviser(s) that sponsor(s) or manage(s) this private fund: mation Filed e): nt company under section 3(c)(1) of the Investment Company Act nt company under section 3(c)(7) of the Investment Company Act

5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	ssue	d
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\circ	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		~	~
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	te fun	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 38,682,253		
<u>Ow</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 100,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		
<u>Yοι</u>	ur Advisory Services	Yes	Nο
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	•
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	No
18.		\circ	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	\circ	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		

1%

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their

	vate Offering	Υє	es No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	C	⊙ ⊙
22.	If yes, provide the <i>private fund's</i> Form D file number (if any): No Information Filed		
B. S	ERVICE PROVIDERS		
Aud	<u>ditors</u>	V	es No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		9 0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	e	• 0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aud you must complete questions (b) through (f) separately for each auditing firm.	iting fir	rm,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country: GRAND CAYMAN Cayman Islands		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		V	es No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?		9 0
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinic	ons?
107	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is ava	ilable.
Pri	me Broker		
24.	(a) Does the <i>private fund</i> use one or more prime brokers?		es No •
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	_	
	Additional Prime Broker Information : 2 Record(s) Filed.		
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
	(b) Name of the prime broker: GOLDMAN SACHS INTERNATIONAL		

(d)	·		rivate fund (city, state and country):	
	City: LONDON	State:	Country: United Kingdom	
			S	Υ
(e)	Does this prime broker act as co	ustodian for some or all of	the private fund's assets?	(
	•		ns (b) through (e) below for each prime broker to blete questions (b) through (e) separately for each	
(b)	Name of the prime broker: MORGAN STANLEY & CO. LLC			
(c)	If the prime broker is registered 8 - 15869	d with the SEC, its registra	tion number:	
	CRD Number (if any): 8209			
(d)	Location of prime broker's office	e used principally by the <i>pr</i>	rivate fund (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	,
an	Does this prime broker act as continuous the private fund use any custodiction.		the <i>private fund's</i> assets? rokers listed above) to hold some or all of its as	
Does If the	the <i>private fund</i> use any custodi e answer to question 25.(a) is "y	ans (including the prime b		ssets? Fivate fund uses. If the pr
Does If the	the <i>private fund</i> use any custodi e answer to question 25.(a) is "y	ans (including the prime b es," respond to questions ou must complete questio	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the <i>pr</i>	ssets? Fivate fund uses. If the pr
Does If the fund	the <i>private fund</i> use any custodice answer to question 25.(a) is "youses more than one custodian, you itional Custodian Information:	ans (including the prime bes," respond to questions ou must complete question 3 Record(s) Filed. "yes," respond to question	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the <i>pr</i>	rivate fund uses. If the pron.
Does If the fund of the fund o	the <i>private fund</i> use any custodice answer to question 25.(a) is "youses more than one custodian, you itional Custodian Information:	ans (including the prime bes," respond to questions ou must complete question 3 Record(s) Filed. "yes," respond to question you must complete question	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the properties (b) through (g) separately for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) through g) below for each custodian the properties (c) through g) thro	ssets? Fivate fund uses. If the pron. In a private fund uses. If the proprivate fund uses. If the propriets of the propriets
Does If the fund Addi If t fun (b)	the private fund use any custodice answer to question 25.(a) is "youses more than one custodian, you itional Custodian Information: the answer to question 25.(a) is not uses more than one custodian. Legal name of custodian:	ans (including the prime bes," respond to questions ou must complete questions 3 Record(s) Filed. "yes," respond to question you must complete question.	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the properties (b) through (g) separately for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) through g) below for each custodian the properties (c) through g) thro	ssets? Fivate fund uses. If the pron. In a private fund uses. If the proprivate fund uses. If the propriets of the propriets
Does If the fund (b) (c)	the private fund use any custodice answer to question 25. (a) is "youses more than one custodian, you itional Custodian Information: the answer to question 25. (a) is not uses more than one custodian. Legal name of custodian: GOLDMAN SACHS INTERNATION Primary business name of custodian GOLDMAN SACHS INTERNATION	ans (including the prime bes," respond to questions ou must complete questions. 3 Record(s) Filed. "yes," respond to question you must complete question. AL	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the properties (b) through (g) separately for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (b) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) below for each custodian the properties (c) through g) through g) below for each custodian the properties (c) through g) thro	ssets? Fivate fund uses. If the pron. Porivate fund uses. If the proivate fund uses.
Does If the fund (b) (c)	the private fund use any custodial answer to question 25. (a) is "youses more than one custodian, you itional Custodian Information: the answer to question 25. (a) is not uses more than one custodian. Legal name of custodian: GOLDMAN SACHS INTERNATION Primary business name of custodian and custodian and custodian. The location of the custodian's city:	ans (including the prime bes," respond to questions ou must complete questions. 3 Record(s) Filed. "yes," respond to question you must complete question. AL	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the private fund's assets (city, state and compared to the private fund's assets (ci	ssets? Fivate fund uses. If the property of th
Does If the fund (b) (c)	the private fund use any custodice answer to question 25. (a) is "youses more than one custodian, you itional Custodian Information: the answer to question 25. (a) is not uses more than one custodian; Legal name of custodian: GOLDMAN SACHS INTERNATION Primary business name of custodian; GOLDMAN SACHS INTERNATION The location of the custodian's and the custod	ans (including the prime bes," respond to questions ou must complete questions. 3 Record(s) Filed. "yes," respond to question you must complete question. AL odian: AL	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the private fund's assets (city, state and cody of the private fund	ssets? rivate fund uses. If the property of t
Does If the fund (b) (c)	the private fund use any custodial answer to question 25. (a) is "youses more than one custodian, you itional Custodian Information: the answer to question 25. (a) is not uses more than one custodian. Legal name of custodian: GOLDMAN SACHS INTERNATION Primary business name of custodian and custodian and custodian. The location of the custodian's city:	ans (including the prime bes," respond to questions ou must complete questions 3 Record(s) Filed. "yes," respond to question you must complete question and the prime between the prime betwee	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the private fund's assets (city, state and compared to the private fund's assets (ci	ssets? Fivate fund uses. If the pron. Porivate fund uses. If the propries is an.
Does If the fund (b) (c) (d) (e)	the private fund use any custodial answer to question 25. (a) is "youses more than one custodian, you itional Custodian Information: the answer to question 25. (a) is not uses more than one custodian. Legal name of custodian: GOLDMAN SACHS INTERNATION Primary business name of custodian of the custodian's city: LONDON	ans (including the prime bes," respond to questions ou must complete questions. 3 Record(s) Filed. "yes," respond to question you must complete question and the prime between the prime betwe	rokers listed above) to hold some or all of its as (b) through (g) below for each custodian the private fund's assets (city, state and country: United Kingdom	ssets? Fivate fund uses. If the property of t

	he answer to question 25.(a) is "yes," r d uses more than one custodian, you m			•	e fund uses. If the <i>pri</i> v	vate
(b)	Legal name of custodian: MORGAN STANLEY & CO. LLC					
(c)	Primary business name of custodian: MORGAN STANLEY & CO. LLC					
(d)	The location of the custodian's office re	esponsible for <i>custody</i> o	of the <i>private fund's</i>	assets (city, state and countr	y):	
	City:	State:		ntry:		
	NEW YORK	New York	Unit	ed States	Ye	s No
(e)	Is the custodian a related person of you	ur firm?			0	•
(f)	If the custodian is a broker-dealer, pro 8 - 15869	vide its SEC registration	n number (if any):			
	CRD Number (if any): 8209					
(g)	If the custodian is not a broker-dealer identifier (if any)	, or is a broker-dealer b	out does not have a	n SEC registration number, pi	rovide its <i>legal entity</i>	
fun	he answer to question 25.(a) is "yes," r d uses more than one custodian, you m Legal name of custodian: THE BANK OF NEW YORK MELLON (LON	ust complete questions		•	e fund uses. If the <i>pri</i> v	vate
(c)	Primary business name of custodian: THE BANK OF NEW YORK MELLON (LON	IDON BRANCH)				
(d)	The location of the custodian's office re	esponsible for <i>custody</i> o	of the <i>private fund's</i>	assets (city, state and countr	y):	
	3	tate:	Country:			
	LONDON		United Kingdom		Va	- N-
(e)	Is the custodian a related person of you	ur firm?			0	s No ©
(f)	If the custodian is a broker-dealer, pro	vide its SEC registration	n number (if any):			
	- CRD Number (if any):					
(g)	If the custodian is not a broker-dealer identifier (if any)	, or is a broker-dealer b	out does not have a	n SEC registration number, p	rovide its <i>legal entity</i>	
	HPFHU00Q28E4N0NFVK49					

Administrator

Yes No

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	BNIV MELLON FLIND SEDVICES (IRELAND) DESIGNATED ACTIVITY (COMPANY	
	BIVE WELLOW FOUND SERVICES (
(0	c) Location of administrator (city,	state and country):		
	City:	State:	Country:	
	DUBLIN 1		Ireland	Yes N
(0	d) Is the administrator a <i>related p</i>	person of your firm?		res N
		J		
(€	e) Does the administrator prepare	e and send investor account state	ements to the private fund's investors?	
	• Yes (provided to all investor	s) O Some (provided to some but	not all investors) O No (provided to no inve	estors)
(f	•		ne investor account statements to the (rest est of the) private fund's investors, respond "	
	your last fiscal year, what percent lated person?	rage of the <i>private fund's</i> assets (b	by value) was valued by a <i>person</i> , such as ar	n administrator, that is no
00%				
elevan	•	ed for purposes of investor subsc	procedure established for that asset, if any, in the properties of	
eters				
				Ye
a) Doe	es the <i>nrivate</i> funduse the service	s of someone other than you or v	our <i>employees</i> for marketing nurnoses?	_
You sim	must answer "yes" whether the ilar person. If the answer to quest	person acts as a placement agent ion 28.(a) is "yes," respond to qu	our <i>employees</i> for marketing purposes? , consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for	dvisor or other solicitor, or marketer the <i>private fund</i>
You sim use	must answer "yes" whether the ilar person. If the answer to quest	person acts as a placement agent ion 28.(a) is "yes," respond to qu an one marketer you must compl	, consultant, finder, introducer, municipal ad estions (b) through (g) below for each such	marketer the private fund
You sim use	u must answer "yes" whether the illar person. If the answer to quest es. If the private fund uses more the illational Marketer Information: Tou must answer "yes" whether the similar person. If the answer to come	person acts as a placement agent ion 28.(a) is "yes," respond to que nan one marketer you must complete agent agen	, consultant, finder, introducer, municipal ad estions (b) through (g) below for each such	dvisor or other solicitor, or marketer the <i>private fund</i> each marketer. advisor or other solicitor, such marketer the <i>private</i>
You sim use Ad You of fu	u must answer "yes" whether the illar person. If the answer to quest es. If the private fund uses more the illational Marketer Information: Tou must answer "yes" whether the similar person. If the answer to come	person acts as a placement agent ion 28.(a) is "yes," respond to que an one marketer you must complete 2 Record(s) Filed. The person acts as a placement age question 28.(a) is "yes," respond to more than one marketer, you must	nt, consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for nt, consultant, finder, introducer, municipal	dvisor or other solicitor, or marketer the <i>private fund</i> each marketer. advisor or other solicitor, such marketer the <i>private</i>
You sim use Ad You on fu	u must answer "yes" whether the dilar person. If the answer to quest es. If the private fund uses more the dilational Marketer Information: If the und uses more than the dilational Marketer Information: If the answer to cound uses. If the private fund uses results answer to cound uses.	person acts as a placement agent ion 28.(a) is "yes," respond to que an one marketer you must complete 2 Record(s) Filed. The person acts as a placement age question 28.(a) is "yes," respond to more than one marketer, you must	nt, consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for nt, consultant, finder, introducer, municipal	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.
You sim use Ad You of full (k)	u must answer "yes" whether the dilar person. If the answer to quest es. If the private fund uses more the dilational Marketer Information: You must answer "yes" whether the r similar person. If the answer to cound uses. If the private fund uses result to the private fund uses result to the private fund uses result. The private fund uses result to the private fund uses result t	person acts as a placement agent ion 28.(a) is "yes," respond to que an one marketer you must complete agent	consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for the questions (b) through (g) separately for each to questions (b) through (g) below for each the complete questions (b) through (g) separately for each	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.
You sim use Ad You of full (k)	In must answer "yes" whether the dilar person. If the answer to quest es. If the private fund uses more the dilational Marketer Information: You must answer "yes" whether the r similar person. If the answer to cound uses. If the private fund uses reported by Is the marketer a related person. The private fund uses recovered by Is the marketer a related person. The private fund uses recovered by Is the marketer a related person. The private fund uses recovered by Is the marketer a related person. The private fund uses recovered by Is the marketer a related person.	person acts as a placement agent ion 28.(a) is "yes," respond to que an one marketer you must complete agent	consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for the questions (b) through (g) separately for each to questions (b) through (g) below for each the complete questions (b) through (g) separately for each	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.
You sim use Ad You of fix (C)	must answer "yes" whether the filar person. If the answer to quest es. If the private fund uses more the ditional Marketer Information: fou must answer "yes" whether the r similar person. If the answer to cound uses. If the private fund uses result in the private fund uses result in the marketer and the marketer: MAN INVESTMENTS AG d) If the marketer is registered with and CRD Number (if any):	person acts as a placement agent ion 28.(a) is "yes," respond to quan one marketer you must complete an one marketer. 2 Record(s) Filed. The person acts as a placement agent question 28.(a) is "yes," respond to more than one marketer, you must more than one marketer, you must not your firm? the the SEC, its file number (e.g., 8) the private for the person acts as a placement agent question 28.(a) is "yes," respond to more than one marketer, you must not your firm?	consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for the entry of t	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.
You sim use Ad You of fix (C)	must answer "yes" whether the filar person. If the answer to quest es. If the private fund uses more the ditional Marketer Information: fou must answer "yes" whether the resimilar person. If the answer to cound uses. If the private fund uses result in the private fund uses result in the marketer: MAN INVESTMENTS AG d) If the marketer is registered with and CRD Number (if any): e) Location of the marketer's office City:	person acts as a placement agent ion 28.(a) is "yes," respond to que an one marketer you must complete agent age. 2 Record(s) Filed. The person acts as a placement age question 28.(a) is "yes," respond to more than one marketer, you must are the firm. In of your firm?	consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for the end of the end o	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.
You sim use Ad You of fix (C)	must answer "yes" whether the filar person. If the answer to quest es. If the private fund uses more the ditional Marketer Information: fou must answer "yes" whether the r similar person. If the answer to cound uses. If the private fund uses result in the private fund uses result in the marketer and the marketer: MAN INVESTMENTS AG d) If the marketer is registered with and CRD Number (if any):	person acts as a placement agent ion 28.(a) is "yes," respond to quan one marketer you must complete an one marketer. 2 Record(s) Filed. The person acts as a placement agent question 28.(a) is "yes," respond to more than one marketer, you must more than one marketer, you must not your firm? the the SEC, its file number (e.g., 8) the private for the person acts as a placement agent question 28.(a) is "yes," respond to more than one marketer, you must not your firm?	consultant, finder, introducer, municipal ad estions (b) through (g) below for each such ete questions (b) through (g) separately for the entry of t	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.
You sim use Ad You of fix (C)	must answer "yes" whether the filar person. If the answer to quest es. If the private fund uses more the ditional Marketer Information: fou must answer "yes" whether the r similar person. If the answer to cound uses. If the private fund uses result in the private fund uses result in the marketer: MAN INVESTMENTS AG d) If the marketer is registered with and CRD Number (if any): e) Location of the marketer's office City: PFÄFFIKON	person acts as a placement agent ion 28.(a) is "yes," respond to quan one marketer you must complete an one marketer. 2 Record(s) Filed. The person acts as a placement agent question 28.(a) is "yes," respond to more than one marketer, you must more than one marketer, you must not your firm? the the SEC, its file number (e.g., 8) the private for the person acts as a placement agent question 28.(a) is "yes," respond to more than one marketer, you must not your firm?	consultant, finder, introducer, municipal adestions (b) through (g) below for each such ete questions (b) through (g) separately for the ete questions (b) through (g) separately for each to questions (b) through (g) below for each the complete questions (b) through (g) separately for each through (g) separately for e	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.
You sim use Ad You of fit (c)	must answer "yes" whether the filar person. If the answer to quest es. If the private fund uses more the ditional Marketer Information: fou must answer "yes" whether the r similar person. If the answer to cound uses. If the private fund uses result in the private fund uses result in the marketer: MAN INVESTMENTS AG d) If the marketer is registered with and CRD Number (if any): e) Location of the marketer's office City: PFÄFFIKON	person acts as a placement agent ion 28.(a) is "yes," respond to quan one marketer you must complete an one marketer you must complete person acts as a placement age question 28.(a) is "yes," respond to more than one marketer, you must not your firm? the the SEC, its file number (e.g., 8) the used principally by the private for State: private fund through one or more	consultant, finder, introducer, municipal adestions (b) through (g) below for each such ete questions (b) through (g) separately for the ete questions (b) through (g) separately for the ete questions (b) through (g) below for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (b) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately for each ete complete questions (e) through (g) separately ete complete questions	advisor or other solicitor, or marketer the private fund reach marketer. advisor or other solicitor, such marketer the private ately for each marketer. Yes No.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the private fund uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

		es r	No C	
	(c) Name of the marketer: MAN INVESTMENTS INC.			
	(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): 8 - 32575			
	and CRD Number (if any): 15770			
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):			
	City: State: Country:			
	NEW YORK New York United States			
		es N		
	(f) Does the marketer market the <i>private fund</i> through one or more websites?) (⊙	
	(g) If the answer to question 28.(f) is "yes," list the website address(es):			
	No Information Filed			
Δ DI	RIVATE FUND			
⊣. Гі	XIVATE FOID			
nfo	ormation About the Private Fund			
1.	(a) Name of the private fund:			
	MAN GLG GLOBAL CREDIT OPPORTUNITIES			
	(b) Private fund identification number:			
	(include the "805-" prefix also)			
	805-1853487685			
2.	Under the laws of what state or country is the <i>private fund</i> organized:			
	State: Country:			
	Cayman Islands			
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):			
	Name of General Partner, Manager, Trustee, or Director			
	ERIC FORTIER			
	JENNIFER COLLINS			
	SIMON PALMER			
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.			_
	No Information Filed			
1	The private fund (check all that apply; you must check at least one):			
4.	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940			
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940			
	(2) qualities for the exclusion the definition of investment company and a section of (5)(7) of the investment company for all 17 to			
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.			
	Name of Country/English Name of Foreign Financial Regulatory Authority			
	Cayman Islands - Cayman Islands Monetary Authority			\Box
		Υe	es I	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	C		•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?			
	No Information Filed			
			es l	
	(c) Is this a "feeder fund" in a master-feeder arrangement?)	6

	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7. for the master-feeder arrangement or reporting on the funds separately.	.B.(´	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for a the feeder funds answer the following questions:	each	ı of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		'es	No
8.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	0	•
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	_	_
	(b) If yes, does the private rand livest in rands managed by you of by a related person:	0	0
	Y	'es	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	fun	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 113,272,746		
	¥ 1.0/2/2//		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 100,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 36		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 63%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 12%		
			No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		

	question 17.(a) is "no," leave this question blank.			
		No Information Filed		
ō (Ye	s N
		ent advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	
(1)	b) If the answer to question 18.(a) is "yes," provide the to question 18.(a) is "no," leave this question blank.	name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If t	ne ans	wer
		No Information Filed		
			Ye	s N
	Are your <i>clients</i> solicited to invest in the <i>private fund?</i> NOTE: For purposes of this question, do not consider feeder	r funds of the private fund	0	•
7 V	vote. For purposes of this question, do not consider recuer	Tarias of the private rana.		
	Approximately what percentage of your <i>clients</i> has invest %	ted in the <i>private fund</i> ?		
<u>rivat</u>	te Offering		.,	_
1. Ha	Has the <i>private fund</i> ever relied on an exemption from rec	gistration of its securities under Regulation D of the Securities Act of 1933?	Ye	s N
		g		
2. If	f yes, provide the <i>private fund's</i> Form D file number (if any	y):		
		No Information Filed		
CED/	RVICE PROVIDERS			
SERV	RVICE PROVIDERS			
udito				
	<u>ors</u>			
			Ye	s ľ
	a) (1) Are the <i>private fund's</i> financial statements subject		•	
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are t	the financial statements prepared in accordance with U.S. GAAP?	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are t	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are t If the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate Additional Auditor Information : 1 Record(s) Filed	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one audicly for each auditing firm.	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are t If the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate Additional Auditor Information : 1 Record(s) Filed	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditively for each auditing firm. I. Ind to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the subject of the sub	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditively for each auditing firm. I. Ind to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the subject of the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate (a) Additional Auditor Information: 1 Record(s) Filed (b) If the answer to question 23.(a)(1) is "yes," responsible questions (b) through (c) through (d) is "yes," responsible questions (d) through (e) through (f) and the private fund (f) and the private fun	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditively for each auditing firm. I. Ind to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the subject of the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate (a) Additional Auditor Information: 1 Record(s) Filed (b) If the answer to question 23.(a)(1) is "yes," responsible questions (b) through (c) Name of the auditing firm: DELOITTE & TOUCHE LLP	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditively for each auditing firm. I. Ind to questions (b) through (h) below. If the <i>private fund</i> uses more than one	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate (a) Additional Auditor Information: 1 Record(s) Filed (b) If the answer to question 23.(a)(1) is "yes," responsible auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible.	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one audicely for each auditing firm. I. Ind to questions (b) through (h) below. If the <i>private fund</i> uses more than one bough (f) separately for each auditing firm. In a sible for the <i>private fund's</i> audit (city, state and country): State: Country:	6) (
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate Additional Auditor Information: 1 Record(s) Filed If the answer to question 23.(a)(1) is "yes," responsively auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsively.	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditely for each auditing firm. I. Indicate the private fund uses more than one bough (f) separately for each auditing firm. In the private fund uses more than one bough (f) separately for each auditing firm.	ting fir) (m,
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate (a) Additional Auditor Information: 1 Record(s) Filed (b) If the answer to question 23.(a)(1) is "yes," responsible auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible.	the financial statements prepared in accordance with U.S. GAAP? I to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditely for each auditing firm. I. Ind to questions (b) through (h) below. If the <i>private fund</i> uses more than one bugh (f) separately for each auditing firm. In this private fund uses more than one bugh (f) separately for each auditing firm. In this private fund uses more than one bugh (f) separately for each auditing firm. In this private fund uses more than one bugh (f) separately for each auditing firm.	6) (m,
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate (a) Additional Auditor Information: 1 Record(s) Filed (b) If the answer to question 23.(a)(1) is "yes," responsible auditing firm, you must complete questions (b) through (f) separate (a) (a) (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible GRAND CAYMAN	the financial statements prepared in accordance with U.S. GAAP? It to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditively for each auditing firm. I. Indicate the private fund uses more than one bough (f) separately for each auditing firm. In the private fund uses more than one bough (f) separately for each auditing firm. In the private fund uses more than one bough (f) separately for each auditing firm. In the private fund uses more than one bough (f) separately for each auditing firm.	ting fir Yes ⊙	No O
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate. Additional Auditor Information: 1 Record(s) Filed If the answer to question 23.(a)(1) is "yes," responsible auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible GRAND CAYMAN (d) Is the auditing firm an <i>independent public accounting</i> firm an <i>independent public a</i>	the financial statements prepared in accordance with U.S. GAAP? It to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditively for each auditing firm. I. Indicate the private fund uses more than one bough (f) separately for each auditing firm. In the private fund uses more than one bough (f) separately for each auditing firm. In the private fund uses more than one bough (f) separately for each auditing firm. In the private fund uses more than one bough (f) separately for each auditing firm.	ting fir	No
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate. Additional Auditor Information: 1 Record(s) Filed If the answer to question 23.(a)(1) is "yes," responsible auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible GRAND CAYMAN (d) Is the auditing firm an <i>independent public accounting</i> firm an <i>independent public a</i>	the financial statements prepared in accordance with U.S. GAAP? It to questions (b) through (h) below. If the <i>private fund</i> uses more than one audicely for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one auditing firm. In the private fund uses more than one auditing firm. In the private fund uses more than one auditing firm.	ting fir Yes ⊙	No O
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate (a) Additional Auditor Information: 1 Record(s) Filed (b) If the answer to question 23.(a)(1) is "yes," responsively auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsible. City: GRAND CAYMAN (d) Is the auditing firm an <i>independent public accounting</i> (e) Is the auditing firm registered with the Public County of the suditing firm of the public County of the auditing Oversight Boundary Public Company Accounting Oversight Boundary Public Company Public Company Accounting Oversight Boundary Public Company Public Company Public Company Pub	the financial statements prepared in accordance with U.S. GAAP? It to questions (b) through (h) below. If the <i>private fund</i> uses more than one audicely for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one bugh (f) separately for each auditing firm. In the private fund uses more than one auditing firm. In the private fund uses more than one auditing firm. In the private fund uses more than one auditing firm.	ting fir Yes ⊙	No O
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate Additional Auditor Information: 1 Record(s) Filed If the answer to question 23.(a)(1) is "yes," responsively auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsitely: GRAND CAYMAN (d) Is the auditing firm an <i>independent public accounding</i> (e) Is the auditing firm registered with the Public Council of the auditing firm registered with the Public Council of the auditing firm registered with the Public Council of the auditing firm subject to the auditing firm for the auditing firm firm firm firm for the auditing firm firm for the auditing firm firm firm for the auditing firm firm firm firm firm firm firm firm	the financial statements prepared in accordance with U.S. GAAP? It to questions (b) through (h) below. If the <i>private fund</i> uses more than one audictly for each auditing firm. In the distribution of the private fund uses more than one bough (f) separately for each auditing firm. It is is to questions (b) through (h) below. If the private fund uses more than one bough (f) separately for each auditing firm. It is is to questions (b) through (h) below. If the private fund uses more than one bough (f) separately for each auditing firm. It is to questions (b) through (h) below. If the private fund uses more than one audiction of the private fund uses more than one	Yes	No O
	(a) (1) Are the <i>private fund's</i> financial statements subject (2) If the answer to question 23.(a)(1) is "yes," are to the answer to question 23.(a)(1) is "yes," respond you must complete questions (b) through (f) separate Additional Auditor Information: 1 Record(s) Filed If the answer to question 23.(a)(1) is "yes," responsively auditing firm, you must complete questions (b) through (b) Name of the auditing firm: DELOITTE & TOUCHE LLP (c) The location of the auditing firm's office responsitely: GRAND CAYMAN (d) Is the auditing firm an <i>independent public accounding</i> (e) Is the auditing firm registered with the Public Council of the auditing firm registered with the Public Council of the auditing firm registered with the Public Council of the auditing firm subject to the auditing firm for the auditing firm firm firm firm for the auditing firm firm for the auditing firm firm firm for the auditing firm firm firm firm firm firm firm firm	the financial statements prepared in accordance with U.S. GAAP? It to questions (b) through (h) below. If the <i>private fund</i> uses more than one audictly for each auditing firm. In the distribution of the private fund uses more than one bough (f) separately for each auditing firm. It is is to questions (b) through (h) below. If the private fund uses more than one bough (f) separately for each auditing firm. It is is to questions (b) through (h) below. If the private fund uses more than one bough (f) separately for each auditing firm. It is to questions (b) through (h) below. If the private fund uses more than one audiction of the private fund uses more than one	Yes O	No O

<u>Pri</u>

24

the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the answer to question 24.(a) is "yes," respond to questions (b) through (e) separately for each prime broker. b) Name of the prime broker: J.P. MORGAN SECURITIES LLC (c) If the prime broker is registered with the SEC, its registration number: 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker's office used principally by the private fund (city, state and country): City: NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f) the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number:	oes the <i>private fund</i> use one	or more prime brokers?		6
the answer to question 24 (a) is "yes," respond to questions (b) through (e) below for each prime broker the answer fund uses. If the answer to question are prime broker is registered with the SEC, its registration number: 8 - 47/33 (SRD Number (if any): 22/107 (December of prime broker's office used principally by the answer fund (city, state and country): CITY: NEW YORK New York New York United States Desirably prime broker act as custodian for some or all of the private fund (city, state and country): (If the prime broker uset as custodian for some or all of the private fund) as especially for each prime broker the general fund uses. If the answer to question 24 (a) is "yes," respond to questions (b) through (c) below for each prime broker the general fund uses. If the private fund (if any): (3) Name or the prime broker: 10. MOREAS SCORTIES LLC (3) If the prime broker is registered with the SEC. Its registration number: 8 - 3008 (3) Name or the prime broker: 9 December of prime broker is registered with the SEC. Its registration number: 8 - 3008 (3) Name or the prime broker: 9 December of prime broker: 10 December of prime broker is registered with the SEC. Its registration number: 8 - 3008 (3) Name or the prime broker: 9 December of prime broker is registered with the SEC. Its registration number: 9 December of prime broker is registered with the SEC. Its registration number: 9 December of prime broker is registered with the SEC. Its registration number: 10 December of prime broker is registered with the SEC. Its registration number: 11 December of prime broker is registered with the SEC. Its registration number: 12 December of prime broker is registered with the SEC. Its registration number: 13 December of prime broker is registered with the SEC. Its registration number: 14 December of prime broker is registered with the SEC. Its registration number: 15 December of prime broker is registered with the SEC. Its registration number:	•		, , ,	ises. If the pr
Annual of the prime broker: 1.19. More of the prime broker: 1.19. More of the prime broker: 1.19. More of the prime broker is registered with the SEC, its registration number: 3. A 69703. 3. CRD Number (if any): 2.22 2107 40. Location of prime broker's office used principally by the private fund (aity, state and country): City: NEW YORK New York Does this prime broker act as custodian for some or all of the private fund's assets? 6. The answer to question 24. (a) is "yes," respond to questions (b) through (c) below for each prime broker the private fund uses. If the prime broker is registered with the SEC, its registration number: 3. 35008 CRD Number (if any): 79 90. Location of prime brokers act as custodian for some or all of the private fund (aity, state and country): City: Country: Line prime broker is registered with the SEC, its registration number: 3. 35008 CRD Number (if any): 79 90. Location of prime brokers act as custodian for some or all of the private fund (aity, state and country): City: NEW YORK New York United States Yes 91. Does this prime broker act as custodian for some or all of the private fund (aity, state and country): City: NEW YORK New York United States Yes 92. Does this prime broker act as custodian for some or all of the private fund's assets? 62. The answer to question 24. (a) is "yes," respond to questions (b) through (c) below for each prime broker the private fund uses. If the private fund uses more than prime broker, you must complete questions (b) through (c) separately for each prime broker. 1.19. MORGAN SECURITIES FLC 93. If the prime broker is registered with the SEC, its registration number: CRD Number (if any): 30. Location of prime brokers office used principally by the private fund (dity, state and country):	Additional Prime Broker Info	ormation : 3 Record(s) Filed.		
JP. MORGAN PRIME INC. (i) If the prime broker is registered with the SLC, its registration number: 8 - 69703 CRD Number (if any). 292107 (ii) Location of prime brokers office used principally by the private fund (city, state and country): City: NEW YORK New York United States Yes (ii) Does this prime broker act as custedian for some or all of the private fund's assets? (ii) Does this prime broker act as custedian for some or all of the private fund's assets? (ii) It canswor to question 24 (a) is "yes," respond to questions (b) through (c) below for each prime broker the private fund uses. If the prime broker is registered with the SEC, its registration number: 8 - 35008 CRD Number (if any): (ii) The prime broker is registered with the SEC, its registration number: 8 - 35008 CRD Number (if any): (iii) Location of prime brokers office used principally by the private fund (city, state and country): City: NEW YORK New York United States Yes (iii) Does this prime broker act as custedian for some or all of the private fund's assets? (iii) If the prime broker act as custedian for some or all of the private fund's assets? (iii) If the prime broker act as custedian for some or all of the private fund's assets? (iv) Does this prime broker act as custedian for some or all of the private fund's assets? (iii) If the prime broker act as custedian for some or all of the private fund's assets? (iii) If the prime broker han one prime broker, you must complete questions (b) through (c) separately for each prime broker the private fund uses. If the prime broker than one prime broker, you must complete questions (b) through (c) separately for each prime broker. (ii) If the prime broker is registered with the SEC, its registration number: CRD Number (if any):	•			
B - 69703 GRD Number (if any): 292107 d) Location of prime broker's office used principally by the private fund (city, state and country): City. State: Country NEW YORK New York United States Poss this prime broker act as custodian for some or all of the private fund's assets? (a) Does this prime broker act as custodian for some or all of the private fund's assets? (b) The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. JP. MORGAN SECURITIES LLC (c) If the prime broker is registered with the SEC, its registration number: B - 35008 GRD Number (if any): 79 (d) Location of prime broker's office used principally by the private fund (city, state and country): City. NEW YORK New York United States Ves (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f) the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker: JP. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number: - CRD Number (if any): (d) Location of prime broker's office used principally by the private fund (city, state and country):	•			
CRD Number (if any): 252107 3) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: New YORK New York United States Yes Poess this prime broker act as custodian for some or all of the private fund's assests? 6 6 6 6 6 6 6 6 6 6 6 6 6	•	egistered with the SEC, its registration	number:	
City: State: Country: United States Yes Does this prime broker act as custodian for some or all of the private fund's assets? It the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the answer to question 24. (a) is "yes," respond to questions (b) through (e) separately for each prime broker. Description of the prime broker: J.P. MORGAN SECURITIES LLC If the prime broker is registered with the SEC, its registration number: 8 - 35008 CRID Number (if any): 79 Above York United States Yes Does this prime broker act as custodian for some or all of the private fund (city, state and country): City: NEW YORK New York United States Yes Does this prime broker act as custodian for some or all of the private fund's assets? Fit can swer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. J.P. MORGAN SECURITIES PLC If the prime broker is registered with the SEC, its registration number:	CRD Number (if any):			
City: State: Country: United States Yes Does this prime broker act as custodian for some or all of the private fund's assets? It the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the answer to question 24. (a) is "yes," respond to questions (b) through (e) separately for each prime broker. Description of the prime broker: J.P. MORGAN SECURITIES LLC If the prime broker is registered with the SEC, its registration number: 8 - 35008 CRID Number (if any): 79 Above York United States Yes Does this prime broker act as custodian for some or all of the private fund (city, state and country): City: NEW YORK New York United States Yes Does this prime broker act as custodian for some or all of the private fund's assets? Fit can swer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. J.P. MORGAN SECURITIES PLC If the prime broker is registered with the SEC, its registration number:	(d) Location of prime broken	r's office used principally by the private	of fund (city, state and country):	
NEW YORK New York United States Yes Does this prime broker act as custodian for some or all of the private fund's assets? F the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the prime to question 24. (a) is "yes," respond to questions (b) through (e) separately for each prime broker. In the prime broker: I.P. MORGAN SECURITIES LLC. (c) If the prime broker is registered with the SEC, its registration number: 835008 CEB Number (if any): 79 (d) Location of prime broker's office used principally by the private fund (city, state and country): City: NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f) the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. I.P. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number: - CRID Number (if any): (d) Location of prime broker's office used principally by the private fund (city, state and country):	•			
the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the answer to question 24.(a) is "yes," respond to questions (b) through (e) separately for each prime broker. b) Name of the prime broker: J.P. MORGAN SECURITIES LLC (c) If the prime broker is registered with the SEC, its registration number: 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker's office used principally by the private fund (city, state and country): City: NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f) the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number:	•	New York	·	
f the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. 19. Name of the prime broker: 19. MORGAN SECURITIES LLC 10. If the prime broker is registered with the SEC, its registration number: 10. 8 - 35008 11. Cauthor of prime broker's office used principally by the private fund (city, state and country): 12. City: 13. State: 14. Country: 15. NEW YORK 16. New York 17. United States 17. Vese 18. Does this prime broker act as custodian for some or all of the private fund's assets? 19. Does this prime broker act as custodian for some or all of the private fund's assets? 19. Name of the prime broker: 19. JP. MORGAN SECURITIES PLC 20. If the prime broker is registered with the SEC, its registration number: 19. CRD Number (if any): 21. Cauchty: 22. CRD Number (if any):				Yes
b) Name of the prime broker: J.P. MORGAN SECURITIES LLC CIf the prime broker is registered with the SEC, its registration number: 8 - 35008 CRD Number (if any): 79 d) Location of prime broker act as custodian for some or all of the private fund (city, state and country): NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. b) Name of the prime broker: J.P. MORGAN SECURITIES PLC CRD Number (if any): d) Location of prime broker's office used principally by the private fund (city, state and country):	(e) Does this prime broker a	act as custodian for some or all of the	private fund's assets?	•
CRD Number (if any): 79 (d) Location of prime broker's office used principally by the private fund (city, state and country): City: NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f) the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	•	er:	questions (b) through (e) separately for each prime broke	er.
City: State: Country: NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private fund's assets? f the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	J.P. MORGAN SECURITIE (c) If the prime broker is re	er: ES LLC		er.
NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f) the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	J.P. MORGAN SECURITIE (c) If the prime broker is re 8 - 35008 CRD Number (if any):	er: ES LLC		er.
Yes The Does this prime broker act as custodian for some or all of the private fund's assets? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. The Does this prime broker that a custodian for some or all of the private fund's assets? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker that private fund uses. If the private fund uses more than one prime broker that private fund uses. If the private fund uses more than one prime broker that private fund uses. If the private fund uses more than one prime broker that private fund uses. If the private fund uses more than one prime broker that private fund uses. If the private fund uses more than one prime broker than one prime broker. The Does this prime broker that private fund (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker than one prime broker. The Does this prime broker than private fund (e) below for each prime broker the private fund uses. If the private fund uses more than one prime broker than one prime broker. The Does this prime broker than private fund (e) below for each prime broker than private fund uses. If the private fund uses more than one prime broker than private fund uses. If the private fund uses more than one prime broker than private fund uses. If the private fund uses more than private fund uses. If the private fund uses more than private fund uses. If the private fund uses more than private fund us	J.P. MORGAN SECURITIE (c) If the prime broker is re 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker	er: ES LLC egistered with the SEC, its registration r's office used principally by the <i>private</i>	number: e fund (city, state and country):	er.
f the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (c) If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	J.P. MORGAN SECURITIE (c) If the prime broker is re 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker City:	er: ES LLC egistered with the SEC, its registration r's office used principally by the <i>private</i> State:	number: e fund (city, state and country): Country:	er.
b) Name of the prime broker: J.P. MORGAN SECURITIES PLC (b) If the prime broker is registered with the SEC, its registration number: - CRD Number (if any):	J.P. MORGAN SECURITIE (c) If the prime broker is re 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker City:	er: ES LLC egistered with the SEC, its registration r's office used principally by the <i>private</i> State:	number: e fund (city, state and country): Country:	
CRD Number (if any): (d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	J.P. MORGAN SECURITIE (c) If the prime broker is re 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker City:	er: ES LLC egistered with the SEC, its registration r's office used principally by the <i>private</i> State:	number: e fund (city, state and country): Country:	er.
) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):	J.P. MORGAN SECURITIE If the prime broker is re 8 - 35008 CRD Number (if any): 79 Location of prime broker City: NEW YORK Does this prime broker a the answer to question 24 ivate fund uses more than Name of the prime broker	er: ES LLC egistered with the SEC, its registration r's office used principally by the private State: New York act as custodian for some or all of the 4.(a) is "yes," respond to questions (b) one prime broker, you must complete er:	number: e fund (city, state and country): Country: United States private fund's assets? through (e) below for each prime broker the private fund	Ye : ⊙ #uses. If the
	J.P. MORGAN SECURITIE (c) If the prime broker is re 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker City: NEW YORK (e) Does this prime broker a If the answer to question 24 private fund uses more than (b) Name of the prime broke J.P. MORGAN SECURITIE	er: ES LLC egistered with the SEC, its registration r's office used principally by the private State: New York act as custodian for some or all of the 4. (a) is "yes," respond to questions (b) one prime broker, you must complete er: ES PLC	number: e fund (city, state and country): Country: United States private fund's assets? through (e) below for each prime broker the private fund questions (b) through (e) separately for each prime broke	Yes ⊙ Juses. If the
	J.P. MORGAN SECURITIE (c) If the prime broker is really a second and a security	er: ES LLC egistered with the SEC, its registration r's office used principally by the private State: New York act as custodian for some or all of the 4. (a) is "yes," respond to questions (b) one prime broker, you must complete er: ES PLC	number: e fund (city, state and country): Country: United States private fund's assets? through (e) below for each prime broker the private fund questions (b) through (e) separately for each prime broke	Yes ⊙ #uses. If the
City: Country: LONDON United Kingdom	J.P. MORGAN SECURITIE (c) If the prime broker is really 8 - 35008 CRD Number (if any): 79 (d) Location of prime broker City: NEW YORK (e) Does this prime broker and Uses more than (b) Name of the prime broker J.P. MORGAN SECURITIE (c) If the prime broker is really 1.00 crown companies to the prime broker is really 1.00 crown companies to the prime broker is really 1.00 crown companies to the prime broker is really 1.00 crown companies to the prime broker is really 1.00 crown companies to the prime broker is really 1.00 crown companies to the prime broker is really 1.00 crown companies to the prime broker is really 1.00 crown crown companies to the prime broker is really 1.00 crown crown crown crown companies to the prime broker is really 1.00 crown crow	er: ES LLC r's office used principally by the private State: New York act as custodian for some or all of the one prime broker, you must complete er: ES PLC registered with the SEC, its registration	number: e fund (city, state and country): Country: United States private fund's assets? through (e) below for each prime broker the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately for each prime broken the private fund questions (b) through (e) separately fund questions (b) through (e) separately fund questions (b) through (e) separately fund questions (c) through (e) separately fund q	Yes ⊙ #uses. If the

Does the <i>private fund</i> use any custodia	ns (including the prime brokers	s listed above) to hold some or all of its assets?			
If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.					
Additional Custodian Information : 4 Record(s) Filed.					
1		through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian.	s. If the <i>pi</i>		
(b) Legal name of custodian: J.P. MORGAN PRIME INC.					
(c) Primary business name of custod J.P. MORGAN PRIME INC.	lian:				
(d) The location of the custodian's of City:	ffice responsible for <i>custody</i> of State:	the <i>private fund's</i> assets (city, state and country): Country:			
NEW YORK	New York	United States			
			Y		
(e) Is the custodian a related person	of your firm?		C		
(f) If the custodian is a broker-deale 8 - 69703	er, provide its SEC registration	number (if any):			
CRD Number (if any): 282107					
(g) If the custodian is not a broker-of identifier (if any)	dealer, or is a broker-dealer bu	t does not have an SEC registration number, provide its	legal entity		
	•	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian.	s. If the <i>pi</i>		
(b) Legal name of custodian: J.P. MORGAN SECURITIES LLC					
(c) Primary business name of custod J.P. MORGAN SECURITIES LLC	dian:				
(d) The location of the custodian's of City:	State:	the <i>private fund's</i> assets (city, state and country): Country:			
NEW YORK	New York	United States			
(e) Is the custodian a related person	of your firm?		Y		
(f) If the custodian is a broker-deale 8 - 35008	er, provide its SEC registration	number (if any):			
CRD Number (if any): 79					
	dealer or is a broker-dealer bu	t does not have an SEC registration number, provide its	legal entity		

⊙ ⊙

(e) Does this prime broker act as custodian for some or all of the $\it private fund$'s assets?

fun	d uses more than one custo	dian, you must complete que	estions (b) through (g) separately for each custodian.	
(b)	Legal name of custodian: J.P. MORGAN SECURITIES	PLC		
(c)	Primary business name of J.P. MORGAN SECURITIES			
(d)	The location of the custodi City: LONDON	an's office responsible for <i>cus</i> State:	stody of the private fund's assets (city, state and country): Country: United Kingdom	
(e)	Is the custodian a related	person of your firm?	g	Yes No
(f)	If the custodian is a broke	r-dealer, provide its SEC regis	stration number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a bridentifier (if any)	oker-dealer, or is a broker-de	ealer but does not have an SEC registration number, provide its	legal entity
	K6Q0W1PS1L1O4IQL9C32			
	Legal name of custodian: THE BANK OF NEW YORK N			
(c)	Primary business name of THE BANK OF NEW YORK M			
(d)	The location of the custodi City: NEW YORK	an's office responsible for <i>cus</i> State: New York	stody of the private fund's assets (city, state and country): Country: United States	
	NEW TORK	New Tork	officed States	Yes No
(e)	Is the custodian a related	person of your firm?		0 0
(f)	If the custodian is a broke	r-dealer, provide its SEC regis	stration number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a bridentifier (if any)	oker-dealer, or is a broker-de	ealer but does not have an SEC registration number, provide its	legal entity
	HPFHU00Q28E4N0NFVK49			
ator	-			
201	-			Yes N
000	the private funduce on adm	ninistrator other than your fire	ma ?	_

Adminis

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information: 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator:

BNY MELLON FUND SERVICES (IRELAND) DESIGNATED ACTIVITY COMPANY

	(c)	Location of adminis	trator (city, state and country	/):				
		City:	Š	State:		Country:		
		DUBLIN 1				Ireland	Vo	s No
	(d)	Is the administrate	r a <i>related person</i> of your firm	?			0	
	(e)	Does the administr	ator prepare and send invest	or account sta	atements to the <i>pi</i>	rivate fund's investors?		
			all investors) Some (provi		•			
		•	nestion 26.(e) is "no" or "som or account statements are no					
		ur last fiscal year, v ed person?	what percentage of the <i>private</i>	e fund's assets	(by value) was va	alued by a <i>person</i> , such	n as an administrator, that	is not
100	%							
rele	vant q	juotes, and (ii) the	ere (i) such <i>person</i> carried ou valuation used for purposes on determined by such <i>person</i> .		·			•
Markete	<u>ers</u>							Yes N
28. (a)	Does	the <i>private fund</i> use	the services of someone oth	er than you o	r your <i>employees</i> f	or marketing purposes	?	·
	simila	r <i>person</i> . If the answ	whether the <i>person</i> acts as a power to question 28.(a) is "yes ses more than one marketer	," respond to	questions (b) thro	ugh (g) below for each	such marketer the <i>private</i>	
	Addit	tional Marketer Inf	ormation : 2 Record(s) Filed	i.				
	or s	imilar <i>person</i> . If the	whether the <i>person</i> acts as a answer to question 28.(a) is a fund uses more than one ma	"yes," respon	d to questions (b)	through (g) below for	each such marketer the pr	rivate
	(b)	Is the marketer a <i>i</i>	elated person of your firm?				Ye	s No
							•	0
	` ′	Name of the marke						
	(d)	If the marketer is r	egistered with the SEC, its file	e number (<i>e.g.</i>	., 801-, 8-, or 866-):		
		and CRD Number (i	f any):					
	(e)	Location of the ma	keter's office used principally	by the <i>private</i>	e fund (city, state a	and country):		
	11	City:	S	State:		ntry:		
		PFÄFFIKON			Swit	zerland	V-	- 51-
	(f)	Does the marketer	market the <i>private fund</i> throu	ıgh one or mo	ore websites?		Ye O	es No •
	(g)	If the answer to qu	estion 28.(f) is "yes," list the	website addr	ess(es):			
				No Ir	nformation Filed			
	You	must answer "ves"	whether the <i>person</i> acts as a	a placement a	dent consultant t	finder introducer mun	icinal advisor or other solic	itor
	11		answer to question 28.(a) is					
		•	e fund uses more than one ma	•	•		•	

Yes No

(b) Is the marketer a *related person* of your firm?

		(c) Name of the marketer: MAN INVESTMENTS INC.		
		(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): 8 - 32575 and CRD Number (if any): 15770		
		(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
		City: Country:		
		NEW YORK New York United States	s No	,
		(f) Does the marketer market the <i>private fund</i> through one or more websites?		
		(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed		
A. P	RIVATI	E FUND		
16.		an Abaut tha Drivata Fund		
Inic	rmati	on About the <i>Private Fund</i>		
1.		Name of the <i>private fund</i> : MAN GLG GLOBAL INVESTMENT OPPORTUNITIES MASTER		
		Private fund identification number:		
		(include the "805-" prefix also) 805-1863595742		
	·			
2	111 -			
2.		er the laws of what state or country is the <i>private fund</i> organized: State: Country:		
		Cayman Islands		
3.	(a) N	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
		ne of General Partner, Manager, Trustee, or Director		
		C FORTIER		
		ON DALMED.		
	511/10	ON PALMER		
	(b) I	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		
4.		private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	IV ((2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List t	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		ne of Country/English Name of <i>Foreign Financial Regulatory Authority</i> man Islands - Cayman Islands Monetary Authority		
	Cayı	man islanus - Cayman islanus Monetally Authority	Voc	No.
6.	(a) I	s this a "master fund" in a master-feeder arrangement?	0	(O
	(b) I	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	~	~
		No Information Filed		
	(c) '	s this a "feeder fund" in a master-feeder arrangement?	_	No
	. ,	If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	•
		Name of <i>private fund</i> :		

	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately.	7.B.((1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	ssue	ed
0			No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	_	⊙
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	e fur	าd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 51,343,355		
<u>Ow</u>	vnership		
10	Minimum investment commitment required of an investor in the private fund.		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 100,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		
<u>You</u>	ur Advisory Services		
			No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	\circ	⊙

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to

question 17.(a) is "no," leave this question blank.

Private fund identification number:

		No Information Filed		
			Ye	s N
8.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	С	0
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If t to question 18.(a) is "no," leave this question blank.	he ans	wer
		No Information Filed		
			Ye	s N
9.	Are	your clients solicited to invest in the private fund?	С	(
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
<u>2</u> 0.	App	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	ate	Offering		
21.	Has	the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye C	s N
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
0.5				
. SE	:RVI(CE PROVIDERS		
\ud	itors		Va	N
23	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	_	s N
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•) (
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi	~	
		you must complete questions (b) through (f) separately for each auditing firm.	ting m	,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: DELOITTE & TOUCHE LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City: State: Country:		
		GRAND CAYMAN Cayman Islands		
			Yes	No
		(d) Is the auditing firm an independent public accountant?	•	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 1096		
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	0
			۷e	s N
	_	Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's	•	
		investors?		
		Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified	opinio	ns?
		C Yes C No € Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	lable

Additional Prime Broker Inforr	nation : 2 Record(s) Filed.		
·) through (e) below for each prime broker the <i>private fund</i> uses questions (b) through (e) separately for each prime broker.	. If the
(b) Name of the prime broker: GOLDMAN SACHS & CO. LL			
(c) If the prime broker is regis	stered with the SEC, its registration	number:	
CRD Number (if any): 361			
(d) Location of prime broker's	office used principally by the private	e fund (city, state and country):	
City: NEW YORK	State: New York	Country: United States	
(e) Does this prime broker act	as custodian for some or all of the	private fund's assets?	Yes •
·) through (e) below for each prime broker the <i>private fund</i> uses e questions (b) through (e) separately for each prime broker.	. If the
(b) Name of the prime broker: MORGAN STANLEY & CO. L			
(c) If the prime broker is regis	stered with the SEC, its registration	number:	
CRD Number (if any): 8209			
(d) Location of prime broker's	office used principally by the <i>private</i>	e fund (city, state and country):	
City: NEW YORK	State: New York	Country: United States	
NEW TORK	NEW TOTA	office States	Yes
(e) Does this prime broker act	as custodian for some or all of the	private fund's assets?	•
n	stodians (including the prime broke	ers listed above) to hold some or all of its assets?	Y
f the answer to question 25.(a)	is "yes," respond to questions (b) t	through (g) below for each custodian the <i>private fund</i> uses. If the bough (g) separately for each custodian.	ne <i>priva</i>
Additional Custodian Informat	ion : 3 Record(s) Filed.		
			the english
If the answer to question 25.(a		through g) below for each custodian the <i>private fund</i> uses. If (b) through (g) separately for each custodian.	ine <i>priv</i>
If the answer to question 25.(a	dian, you must complete questions	· · · · · · · · · · · · · · · · · · ·	tne <i>priv</i>
If the answer to question 25.(a fund uses more than one custo (b) Legal name of custodian:	odian, you must complete questions C custodian:	· · · · · · · · · · · · · · · · · · ·	tne <i>priv</i>

Yes No

	City:	State:	Country:		
	NEW YORK	New York	United States	Voc	. No
(e)	Is the custodian a related person of you	ur firm?		O	⊙
(f)	If the custodian is a broker-dealer, pro 8 - 129	vide its SEC registration	number (if any):		
	CRD Number (if any): 361				
(g)	If the custodian is not a broker-dealer, identifier (if any)	or is a broker-dealer bu	it does not have an SEC registration number, provide its lega	al entity	
			through g) below for each custodian the <i>private fund</i> uses. If (b) through (g) separately for each custodian.	f the <i>priv</i>	ate
(b)	Legal name of custodian: MORGAN STANLEY & CO. LLC				
(c)	Primary business name of custodian: MORGAN STANLEY & CO. LLC				
(d)			the private fund's assets (city, state and country):		
	City: NEW YORK	State: New York	Country: United States		
(e)	Is the custodian a related person of you	ur firm?		Yes O	No ⊙
(f)	If the custodian is a broker-dealer, pro 8 - 15869 CRD Number (if any): 8209	vide its SEC registration	number (if any):		
(g)	If the custodian is not a broker-dealer, identifier (if any)	or is a broker-dealer bu	it does not have an SEC registration number, provide its <i>lega</i>	al entity	
			through g) below for each custodian the <i>private fund</i> uses. If (b) through (g) separately for each custodian.	f the <i>priv</i>	ate
(b)	Legal name of custodian: THE BANK OF NEW YORK MELLON (LON	DON BRANCH)			
(c)	Primary business name of custodian: THE BANK OF NEW YORK MELLON (LON	DON BRANCH)			
(d)		esponsible for <i>custody</i> of ate:	the <i>private fund's</i> assets (city, state and country): Country: United Kingdom		
(e)	Is the custodian a related person of you	ur firm?		Yes O	No ⊙
(f)	If the custodian is a broker-dealer, pro	vide its SEC registration	number (if any):		
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, identifier (if any)	or is a broker-dealer bu	it does not have an SEC registration number, provide its lega	al entity	

HPFHU00Q28E4N0NFVK49

Yes	No
•	0

16	(a)	Does the	nrivato fund	use an	administrator	other than	vour firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Add	itional Administrator Information	n: 1 Record(s) Filed.		
	•	•	ugh (f) below. If the <i>private fund</i> uses m	ore than one
ad	ministrator, you must complete qu	estions (b) through (f) separately	for each administrator.	
(b)	Name of administrator:			
	BNY MELLON FUND SERVICES (IR	ELAND) DESIGNATED ACTIVITY COI	MPANY	
(c)	Location of administrator (city, st	rate and country):		
	City:	State:	Country:	
	DUBLIN 1		Ireland	
				Yes No
(d)	Is the administrator a related per	son of your firm?		0 ⊚
(e)	Does the administrator prepare a	and send investor account stateme	ents to the <i>private fund's</i> investors?	
	• Yes (provided to all investors)	${\color{red}\mathbf{C}}$ Some (provided to some but no	ot all investors) $_{ m C}$ No (provided to no inv	vestors)
(f)	If the answer to question 26.(e)	is "no" or "some." who sends the	investor account statements to the (res	t of the) <i>private fund's</i>

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

Yes No

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

_	,
-	

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Nο	Information	Filed

A. PRIVATE FUND

Information About the Private Fund

1. (a) Name of the private fund:

MAN GLG RI GLOBAL SUSTAINABLE GROWTH LONG/SHORT MASTER

(b) *Private fund* identification number: (include the "805-" prefix also)

805-8424397581

2. Under the laws of what state or country is the *private fund* organized:

State: Country:

Cayman Islands

RIC FORT	TER			
ENNIFER (COLLINS			
IMON PAL	MER			
) If filing	an umbrella registration, iden		er(s) that sponsor(s) or manage(s) this private fund.	
		No Information	Filed	
•	fund (check all that apply; yo		ler section 3(c)(1) of the Investment Company Act of	1040
			ler section 3(c)(7) of the Investment Company Act of	
(2) 944	annes for the exercision from the	is definition of investment company and		1710
st the nan	me and country, in English, of	each foreign financial regulatory authori	ty with which the <i>private fund</i> is registered.	
ame of C	ountry/English Name of For	reign Financial Regulatory Authority		
ayman Is	lands - Cayman Islands Mone	etary Authority		
				Ye
) Is this	a "master fund" in a master-	feeder arrangement?		•
) If yes,	what is the name and private	fund identification number (if any) of the	ne feeder funds investing in this private fund?	
ame of p	rivate fund		Private fund identification number	-
IAN GLG R	RI GLOBAL SUSTAINABLE GRO	WTH LONG/SHORT (CAYMAN)	805-1050977388	
				Ye
) Is this	a "feeder fund" in a master-f	eeder arrangement?		
) If yes,	what is the name and private	fund identification number (if any) of the	e master fund in which this <i>private fund</i> invests?	
Private	of <i>private fund</i> : fund identification number: e the "805-" prefix also)			
<i>Private</i> (include OTE: You	fund identification number: e the "805-" prefix also) must complete question 6 for	r each master-feeder arrangement rega eporting on the funds separately.	rdless of whether you are filing a single Schedule D, S	Section 7.E
Private (include OTE: You r the mas	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or re filing a single Schedule D, Sec	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange	erdless of whether you are filing a single Schedule D, Stement according to the instructions to this Section 7.B.	
Private (include OTE: You r the mas	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or re	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange		
Private (include OTE: You r the mas you are file feeder to	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or re filing a single Schedule D, Sec	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions:		
Private (include OTE: You r the mas you are fi e feeder t	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or re- filing a single Schedule D, Sec- funds answer the following q	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions:		
Private (include OTE: You r the mas you are fi e feeder f dditional	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or re illing a single Schedule D, Sec funds answer the following q I Feeder Fund Information: are filing a single Schedule D	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder arrange uestions.		.(1), for e
Private (include OTE: You r the mas you are fi e feeder to additional 7. If you each o	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Sectunds answer the following question: are filing a single Schedule D of the feeder funds answer the	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder arrange uestions.	ement according to the instructions to this Section 7.B.	.(1), for e
Private (include OTE: You r the mas you are fi e feeder f dditional	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or refilling a single Schedule D, Secfunds answer the following question: are filling a single Schedule D of the feeder funds answer the Name of the private fund:	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2. Section 7.B.(1) for a master-feeder are following questions:	ement according to the instructions to this Section 7.B.	.(1), for e
Private (include OTE: You r the mas you are fi e feeder f dditional	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or refilling a single Schedule D, Secfunds answer the following question: are filling a single Schedule D of the feeder funds answer the Name of the private fund:	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder arrange uestions.	ement according to the instructions to this Section 7.B.	.(1), for e
Private (include OTE: You r the mas you are fi e feeder f dditional 7. If you each c (a)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Section funds answer the following question in the feeder funds answer the following answer the feeder funds and feeder fun	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 7. Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN	ement according to the instructions to this Section 7.B.	.(1), for e
Private (include OTE: You r the mas you are fi e feeder f dditional 7. If you each o	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or refilling a single Schedule D, Secfunds answer the following question: are filling a single Schedule D of the feeder funds answer the Name of the private fund:	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 7. Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber:	ement according to the instructions to this Section 7.B.	.(1), for e
Private (include OTE: You the mas you are fi e feeder f dditional 7. If you each c (a)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Secfunds answer the following questions are filing a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification number:	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 7. Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber:	ement according to the instructions to this Section 7.B.	.(1), for e
Private (include OTE: You r the mas you are fi e feeder f dditional 7. If you each c (a)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Section funds answer the following question are filling a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification number (include the "805-" prefix also.	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 7. Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber:	ement according to the instructions to this Section 7.B.	.(1), for e
Private (include OTE: You r the mas you are fi e feeder t dditional 7. If you each c (a)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or re filing a single Schedule D, Sec funds answer the following q I Feeder Fund Information: are filing a single Schedule D of the feeder funds answer th Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification nu (include the "805-" prefix al 805-1050977388	eporting on the funds separately. tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2. Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber:	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section	.(1), for e.
Private (include OTE: You r the mas you are fi e feeder f dditional 7. If you each c (a)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Section funds answer the following question are filling a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification number (include the "805-" prefix also 805-1050977388	tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2. Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber: Ilso)	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section	.(1), for e.
Private (include OTE: You the mass you are file feeder for the dditional The file feed of the feed of	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or re filing a single Schedule D, Sec funds answer the following q I Feeder Fund Information: are filing a single Schedule D of the feeder funds answer th Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification nu (include the "805-" prefix al 805-1050977388	tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber: Iso) te or country is the <i>private fund</i> organize Country:	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section	.(1), for ea
Private (include OTE: You r the mas you are fi e feeder t dditional 7. If you each c (a)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Section funds answer the following question are filling a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification number (include the "805-" prefix also 805-1050977388	tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2. Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber: Ilso)	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section	.(1), for ea
Private (include OTE: You r the mass you are fi e feeder f dditional 7. If you each c (a) (b)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or refilling a single Schedule D, Sec funds answer the following quare filling a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification numbers (include the "805-" prefix also 805-1050977388 Under the laws of what star State:	tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber: Iso) te or country is the <i>private fund</i> organize Country:	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section)	.(1), for e.
Private (include OTE: You r the mass you are fi e feeder f dditional 7. If you each c (a) (b)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Sec funds answer the following questions are filing a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification number (include the "805-" prefix also 805-1050977388 Under the laws of what star State:	tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber: Iso) te or country is the <i>private fund</i> organize Country: Cayman Islands	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section)	.(1), for ea
Private (include OTE: You r the mass you are file feeder to additional 7. If you each co (a) (b)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or religions a single Schedule D, Sec funds answer the following questions are filing a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification number (include the "805-" prefix also 805-1050977388 Under the laws of what star State:	tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber: liso) te or country is the private fund organize Country: Cayman Islands 7, Manager, Trustee or Directors (or personal state)	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section)	.(1), for ea
Private (include OTE: You r the mass you are file feeder to additional 7. If you each co (a) (b)	fund identification number: e the "805-" prefix also) must complete question 6 for ster-feeder arrangement or resiling a single Schedule D, Sec funds answer the following quare filing a single Schedule D of the feeder funds answer the Name of the private fund: MAN GLG RI GLOBAL SUSTA Private fund identification number (include the "805-" prefix also 805-1050977388 Under the laws of what star State: Name of General Partner,	tion 7.B.(1) for a master-feeder arrange uestions: 1 Record(s) Filed. 2, Section 7.B.(1) for a master-feeder are following questions: INABLE GROWTH LONG/SHORT (CAYMAN umber: liso) te or country is the private fund organize Country: Cayman Islands 7, Manager, Trustee or Directors (or personal state)	ement according to the instructions to this Section 7.B. rangement according to the instructions to this Section)	.(1), for ea

	(-)			
	(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company	av Act of	
		1940	IY ACT OF	
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company 1940	ny Act of	
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		Name of Country/English Name of Foreign Financial Regulatory Authority		ı II
		Cayman Islands - Cayman Islands Monetary Authority		1
		Cayman Islands Cayman Islands Monetary Admonty		
	assets in a	purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question in a single master fund in the force of the contract of the contrac	f it issue	d
			Yes	No
8.	(a) Is this	private fund a "fund of funds"?	0	\odot
		purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investm egardless of whether they are also <i>private funds</i> or registered investment companies.	nent	
	(b) If yes,	does the private fund invest in funds managed by you or by a related person?	0	0
			Yes	No
9.		r last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment ct of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type	of fund is the private fund?		
	• hedge f	und $^{f O}$ liquidity fund $^{f O}$ private equity fund $^{f O}$ real estate fund $^{f O}$ securitized asset fund $^{f O}$ venture capital fund $^{f O}$ Other p	rivate fun	d:
	NOTE: For	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gro \$ 29,121,9	oss asset value of the <i>private fund</i> : 41		
<u>Ow</u>	nership			
12.	Minimum ir \$ 100,000	evestment commitment required of an investor in the private fund:		
	NOTE: Rep	ort the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in nal documents of the fund).	the	
13.	Approximat	re number of the <i>private fund's</i> beneficial owners:		
14.	What is the	e approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) What is	s the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		orivate fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment ny Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes	
16.	What is the	e approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
<u>Υ</u> οι	ur Advisory	Services		
			Yes	No
17.	(a) Are you	a subadviser to this <i>private fund</i> ?	0	•

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to

question 17.(a) is "no," leave this question blank.

No Information Filed

		No Informa	ition Filed			
					Ye	s No
8. (a)	Do any investment advisers (other than the in	vestment advisers listed in	Section 7.B.(1).A.3.(b)) advise the p	rivate fund?	•	C
(b)	If the answer to question 18.(a) is "yes," prov to question 18.(a) is "no," leave this question		number, if any, of the other advisers	to the private fund. If th	ne ans	wer
	Name of Other Adviser to private fund		SEC file number	CRD number		
	MAN INVESTMENTS (CH) AG					
					Ye	s N
	e your <i>clients</i> solicited to invest in the <i>private fur</i>		6 m d		0	(
NC	TE: For purposes of this question, do not consider	r reeder runds or the private	runa.			
). Ap	proximately what percentage of your <i>clients</i> has	s invested in the <i>private fur</i>	nd?			
ivate	e Offering				Va	s No
1. Ha	s the <i>private fund</i> ever relied on an exemption f	rom registration of its secu	rities under Regulation D of the Secu	rities Act of 1933?	O	_
2. If y	yes, provide the <i>private fund's</i> Form D file numbe	er (if any):				
		No Informati	on Filed			
SERV	ICE PROVIDERS					
uditor	<u>"S</u>				Vo	- N
(a)	(1) Are the <i>private fund's</i> financial statements	subject to an annual audit	7		_	s N
, (u)	(2) If the answer to question 23.(a)(1) is "yes	-		GAAP?	• •	
	If the answer to question 23.(a)(1) is "yes," re					
	you must complete questions (b) through (f) s		•	es more than one additi	iiig iii	.11,
	Additional Auditor Information : 1 Record(s	s) Filed.				
	If the answer to question 23.(a)(1) is "yes," auditing firm, you must complete questions	•	•	uses more than one		
	(b) Name of the auditing firm: DELOITTE & TOUCHE LLP					
	DELOTTE & TOUCHE LLP					
	(c) The location of the auditing firm's office	responsible for the <i>private</i>	fund's audit (city, state and country):			
	City:	State:	Country:			
	GRAND CAYMAN		Cayman Islands		V	NI-
	(d) Is the auditing firm an independent publi	ic accountant?			Yes	NO.
	(a) to the additing him an independent pacin				٠	
	(e) Is the auditing firm registered with the I	Public Company Accounting	Oversight Board?		•	0
	If yes, Public Company Accounting Over-	sight Board-Assigned Numb	per:			
	(f) If "yes" to (e) above, is the auditing firm accordance with its rules?	n subject to regular inspect	ion by the Public Company Accountin	g Oversight Board in	•	0
					۷e	s No
(g)	Are the <i>private fund's</i> audited financial stateme	ents for the most recently (completed fiscal year distributed to th	ne <i>private fund's</i>) (
.57	investors?	j	· ·		•	•
(h)	Do all of the reports prepared by the auditing	firm for the <i>private fund</i> sin	ce your last annual updating amendm	ent contain unqualified (opinio	ns?
	• Yes • No • Report Not Yet Received					
	If you check "Report Not Yet Received," you mu:	st promptly file an amendme	ent to your Form ADV to update your re	esponse when the report	is avai	lable

	NEW YORK	New York	United States		
				Yes	No
(e)	Is the custodian a relate	d person of your firm?		0	⊙
(f)	If the custodian is a brok	ker-dealer, provide its SEC registration	number (if any):		
	CRD Number (if any): 361				
(g)	If the custodian is not a identifier (if any)	broker-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide	its <i>legal entity</i>	
	•		through g) below for each custodian the <i>private fund</i> (b) through (g) separately for each custodian.	uses. If the <i>priva</i>	
	Legal name of custodian MORGAN STANLEY & CO.	:			
(c)	Primary business name of MORGAN STANLEY & CO.				
(d)	The location of the custo	dian's office responsible for custody of	f the <i>private fund's</i> assets (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States	Yes	Nc
(e)	Is the custodian a relate	d person of your firm?		0	•
(f)	8 - 15869 CRD Number (if any): 8209	ker-dealer, provide its SEC registration broker-dealer, or is a broker-dealer by	number (if any): ut does not have an SEC registration number, provide	its <i>legal entity</i>	
	identifier (if any)				
			through g) below for each custodian the <i>private fund</i> (b) through (g) separately for each custodian.	uses. If the <i>priva</i>	ate
(b)	Legal name of custodian THE BANK OF NEW YORK				
(c)	Primary business name of THE BANK OF NEW YORK				
(d)	The location of the custo	dian's office responsible for custody of	f the private fund's assets (city, state and country):		
	City: NEW YORK	State: New York	Country: United States		
				Yes	No
(e)	Is the custodian a relate	d person of your firm?		0	•
(f)	If the custodian is a brok	ker-dealer, provide its SEC registration	number (if any):		
	- CRD Number (if any):				
(g)	If the custodian is not a identifier (if any)	broker-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide	its <i>legal entity</i>	

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

HPFHUOOQ28E4NONFVK49

Adr	min	istra	tor

A				
	dditional Administrator Information :	1 Record(s) Filed.		
	If the answer to question 26.(a) is "yes, administrator, you must complete quest	•	rough (f) below. If the <i>private fund</i> uses more than one yes for each administrator.	
	(b) Name of administrator: BNY MELLON FUND SERVICES (IRELA	AND) DESIGNATED ACTIVITY CO	DMPANY	
	(c) Location of administrator (city, state	e and country):		
	City: DUBLIN 1	State:	Country: Ireland	
			Υ	es No
	(d) Is the administrator a related person	of your firm?	(0 0
	(e) Does the administrator prepare and	I send investor account stater	nents to the <i>private fund's</i> investors?	
			not all investors) No (provided to no investors)	
	•		e investor account statements to the (rest of the) private fund at of the) private fund's investors, respond "not applicable."	''S
	tions) was the valuation determined by s	such <i>person</i> .		uding
lloca				aumg
loca				Yes
eters a) D	pes the <i>private fund</i> use the services of so ou must answer "yes" whether the <i>perso</i>	someone other than you or yo on acts as a placement agent,	consultant, finder, introducer, municipal advisor or other solici	Yes • tor, or
eters a) D yo si	pes the <i>private fund</i> use the services of so ou must answer "yes" whether the <i>perso</i> milar <i>person</i> . If the answer to question 2	someone other than you or yo on acts as a placement agent, 8.(a) is "yes," respond to que		Yes • tor, or
eters a) D You si us	pes the <i>private fund</i> use the services of so ou must answer "yes" whether the <i>perso</i> milar <i>person</i> . If the answer to question 2	someone other than you or yo on acts as a placement agent, 8.(a) is "yes," respond to que ne marketer you must comple	consultant, finder, introducer, municipal advisor or other solici stions (b) through (g) below for each such marketer the <i>priva</i>	Ye: ⊙ tor, or
eters a) D You si	poes the <i>private fund</i> use the services of sou must answer "yes" whether the <i>perso</i> milar <i>person</i> . If the answer to question 2 ses. If the <i>private fund</i> uses more than or additional Marketer Information: 2 Records or similar <i>person</i> . If the answer to question	someone other than you or you acts as a placement agent, 8.(a) is "yes," respond to que ne marketer you must comple cord(s) Filed. Transcorp acts as a placement agention 28.(a) is "yes," respond to	consultant, finder, introducer, municipal advisor or other solici stions (b) through (g) below for each such marketer the <i>priva</i>	Ye: tor, or te fund icitor, private
eters a) D You si	poes the <i>private fund</i> use the services of sou must answer "yes" whether the <i>perso</i> milar <i>person</i> . If the answer to question 2 ses. If the <i>private fund</i> uses more than or additional Marketer Information: 2 Records or similar <i>person</i> . If the answer to question	someone other than you or you acts as a placement agent, 8.(a) is "yes," respond to que ne marketer you must comple cord(s) Filed. Troon acts as a placement agention 28.(a) is "yes," respond to than one marketer, you must	consultant, finder, introducer, municipal advisor or other solici stions (b) through (g) below for each such marketer the <i>priva</i> te questions (b) through (g) separately for each marketer. t, consultant, finder, introducer, municipal advisor or other soloquestions (b) through (g) below for each such marketer the complete questions (b) through (g) separately for each marketer	Ye: tor, or te fund icitor, private
ters) D You si	poes the <i>private fund</i> use the services of sou must answer "yes" whether the <i>perso</i> milar <i>person</i> . If the answer to question 2 ses. If the <i>private fund</i> uses more than or additional Marketer Information: 2 Record You must answer "yes" whether the <i>per</i> or similar <i>person</i> . If the answer to questifund uses. If the <i>private fund</i> uses more	someone other than you or you acts as a placement agent, 8.(a) is "yes," respond to que ne marketer you must comple cord(s) Filed. Troon acts as a placement agention 28.(a) is "yes," respond to than one marketer, you must	consultant, finder, introducer, municipal advisor or other solici stions (b) through (g) below for each such marketer the <i>priva</i> te questions (b) through (g) separately for each marketer. t, consultant, finder, introducer, municipal advisor or other soloquestions (b) through (g) below for each such marketer the complete questions (b) through (g) separately for each marketer	Yestor, or te fund
ters) D You si	poes the <i>private fund</i> use the services of sou must answer "yes" whether the <i>perso</i> milar <i>person</i> . If the answer to question 2 ses. If the <i>private fund</i> uses more than or additional Marketer Information: 2 Record You must answer "yes" whether the <i>person</i> or similar <i>person</i> . If the answer to questifund uses. If the <i>private fund</i> uses more (b) Is the marketer a <i>related person</i> of yes.	someone other than you or you acts as a placement agent, 8.(a) is "yes," respond to que ne marketer you must comple cord(s) Filed. Trison acts as a placement agention 28.(a) is "yes," respond to than one marketer, you must your firm?	consultant, finder, introducer, municipal advisor or other solici stions (b) through (g) below for each such marketer the <i>priva</i> te questions (b) through (g) separately for each marketer. t, consultant, finder, introducer, municipal advisor or other soloquestions (b) through (g) below for each such marketer the complete questions (b) through (g) separately for each marketer the questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (b) through (g) separately for each marketer the graph of the complete questions (g) through (g) separately for each marketer the graph of the complete questions (g) through (g) separately for each marketer the graph of the complete questions (g) through (g) separately for each marketer the graph of the complete questions (g) through (g) separately for each marketer the graph of the complete questions (g) through (g) throu	Yestor, or te fundicitor, private eter.

City:	State:	Country:	
PFÄFFIKON		Switzerland	
			Yes N
(†) Does the marketer marke	t the <i>private fund</i> through one or mo	re websites?	0 @
(g) If the answer to question	28.(f) is "yes," list the website addr	ess(es):	
	No Ir	nformation Filed	
or similar person. If the answe	to question 28.(a) is "yes," respon	gent, consultant, finder, introducer, municipal advisor of to questions (b) through (g) below for each such material ust complete questions (b) through (g) separately for	arketer the <i>private</i>
Tana asss. If the private fana e	ses mere than one marketer, yearn	ast somplete questions (b) through (g) sopulation, for	
(b) Is the marketer a <i>related</i>	person of your firm?		Yes N
(b) 13 the marketer a related p	ocraon or your minn:		• c
(c) Name of the marketer: MAN INVESTMENTS INC.			
(d) If the marketer is register	ed with the SEC, its file number (e.g.	, 801-, 8-, or 866-):	
8 - 32575			
and CRD Number (if any): 15770			
13770			
(e) Location of the marketer's	office used principally by the private	e fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes N
(f) Does the marketer marke	the <i>private fund</i> through one or mo	re websites?	nes iv
(g) If the answer to question	28.(f) is "yes," list the website addr		
	No Ir	formation Filed	
	Funds per Page:	5 Total Funds: 7	
N 7.B.(2) <i>Private Fund</i> Reporting			
	No Informa	ation Filed	
Participation or Interest in <i>Client</i> ⁻	Françactions		
·		ur <i>clients</i> ' transactions. This information identifies addi	itional areas in wi
·	and your <i>clients</i> . Newly-formed adv	risers should base responses to these questions on the	
m 7, Item 8 requires you to provide	information about you and your rela	ted persons, including foreign affiliates.	
etary Interest in <i>Client</i> Transaction	ns		
you or any <i>related person</i> : buy securities for yourself from ac	lvisory clients or sall socurities you	own to advisory <i>clients</i> (principal transactions)?	Υ
buy or sell for yourself securities	(other than shares of mutual funds)	that you also recommend to advisory clients?	

B. Do you or any related person: Yes No (1) as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory \circ *client* securities are sold to or bought from the brokerage customer (agency cross transactions)?

(3) recommend securities (or other investment products) to advisory *clients* in which you or any *related person* has some other proprietary

(ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?

Sales Interest in *Client* Transactions

(2) recommend to advisory *clients*, or act as a purchaser representative for advisory *clients* with respect to, the purchase of securities for

 \circ

 \circ

 \odot

		which you or any related person serves as underwriter or general or managing partner?		
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	•
Inv	vestn	nent or Brokerage Discretion		
C.	Do :	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(2)	amount of securities to be bought or sold for a client's account?	\odot	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a client's account?	\odot	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	0
D.	If y	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	•
E.	Do	you or any related person recommend brokers or dealers to clients?	•	0
F.	If y	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	•
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	•	0
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	0
Н.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	•	0
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	•	0
1.		you or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any related son) for client referrals?	0	•
	In y	your response to Item 8.I., do not include the regular salary you pay to an employee.		
	fron	responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or re m (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount errals.		
l tor	m 9 C	Custody		
In t	this It	tem, we ask you whether you or a <i>related person</i> has <i>custody</i> of <i>client</i> (other than <i>clients</i> that are investment companies registered under th ent Company Act of 1940) assets and about your custodial practices.	e	
Α.	(1)	Do you have <i>custody</i> of any advisory <i>clients</i> ':	Yes	No
		(a) cash or bank accounts?	\odot	0
		(b) securities?	•	0
	dire	rou are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advisc ectly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but we overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.	-	es
	(2)	If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for you have <i>custody</i> :	whicl	h

(a) cash or bank accounts?

(b) securities?

(1) In connection with advisory services you provide to clients, do any of your related persons have custody of any of your advisory clients':

Total Number of Clients

(b) 8

You are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).

Instead, include that information in your response to Item 9.B.(2).

U.S. Dollar Amount

(a) \$ 599,058,058

(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which your *related persons* have *custody*:

If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2).

Yes No

⊙ ⊙

⊙

	(a) \$ 1,518,365,409	(b) 13				
C.	If you or your <i>related persons</i> have <i>custo</i> that apply:	ody of <i>client</i> funds or securitie	es in connection with ac	dvisory services you provide to <i>clients</i> , check all t	he followi	ing
	(1) A qualified custodian(s) sends acco	dits annually the pooled inve	•	n the pooled investment vehicle(s) you manage. you manage and the audited financial statemen	ts 🔽	
	(3) An independent public accountant co	nducts an annual surprise ex	amination of <i>client</i> fun	ds and securities.		
	(4) An independent public accountant pre are qualified custodians for client fu	·	port with respect to cu	stodial services when you or your <i>related person</i> s	,	
	•	Item 9.C.(2), you do not have	e to list auditor informat	at are engaged to perform the audit or examination in Section 9.C. of Schedule D if you already prov		
D.	Do you or your <i>related person(s)</i> act as q (1) you act as a qualified custodian	ualified custodians for your o	clients in connection wi	th advisory services you provide to clients?		No ⊙
	(2) your related person(s) act as qualified	ed custodian(s)			_	•
		·		than any mutual fund transfer agent pursuant to release determined the related person to be operationally		dent
E.	If you are filing your <i>annual updating ame</i> fiscal year, provide the date (MM/YYYY) t	•	·	nation by an <i>independent public accountant</i> during	your last	t
F.	If you or your <i>related persons</i> have <i>custo</i> as qualified custodians for your <i>clients</i> in 19	•	• ,	including, but not limited to, you and your <i>related</i> clients?	l persons,	act
SEC	CTION 9.C. Independent Public Account	ant				
рс	·	·		perform a surprise examination, perform an aud mplete a separate Schedule D Section 9.C. for ea		
(*	Name of the <i>independent public account</i> DELOITTE IRELAND LLP	ant:				
(2	2) The location of the <i>independent public a</i>	ccountant's office responsible	e for the services provi	ded:		
	Number and Street 1:		Number and Str	eet 2:		
	DELOITTE & TOUCHE HOUSE		29 EARLSFORT T	ERRACE		
	City:	State:	Country:	ZIP+4/Postal Code:		
	DUBLIN		Ireland	D02 AY28		
					Yes	No
(;	3) Is the <i>independent public accountant</i> rec	gistered with the Public Comp	pany Accounting Overs	ight Board?	•	0
	If "yes," Public Company Accounting Ov 1193	versight Board-Assigned Num	ber:			
(4	4) If "yes" to (3) above, is the independen accordance with its rules?	t public accountant subject to	regular inspection by	the Public Company Accounting Oversight Board	in 👩	0
(í	 The independent public accountant is en A. ✓ audit a pooled investment vehicle B. ☐ perform a surprise examination of C. ☐ prepare an internal control report 	clients' assets				
(6	5) Since your last <i>annual updating amendn</i>	nont did all of the reports pr	anarad bu tha indonen		estment	
	vehicle or that examined internal control	· · · · ·		dent public accountant that audited the pooled inv		

Total Number of Clients

U.S. Dollar Amount

	C Report Not Yet Received		
	If you check "Report Not Yet Received", you must promptly file an amendment to your Form ADV to update your response when the accountant's repo available.	ort is	
ten	n 10 Control Persons		
n th	nis Item, we ask you to identify every <i>person</i> that, directly or indirectly, controls you. If you are filing an umbrella registration, the information in Itula be provided for the filing adviser only.	tem 10)
and	ou are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.	eporte	ed
Α.	Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?	O	No ⊙
	If yes, complete Section 10.A. of Schedule D.		
В.	If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Section 1934, please complete Section 10.B. of Schedule D.	ecuriti	es
EC	TION 10.A. Control Persons		
	No Information Filed		
EC	TION 10.B. <i>Control Person</i> Public Reporting Companies		
	No Information Filed		
_	n 11 Disclosure Information		
nve one umb four f yo follo	ermine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities estment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the <i>filing adviser</i> and all <i>relying advisers</i> prella registration. In advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions our officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are not are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to be a wing the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit you have to too yours following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit you have to too yours following the date of an event only in responding to liters 11 A (1) 11 B (1) 11 B (2) 11 B (3) 11 D (4) and 11 B (1) 20 For pure the properties of the event.	more s unde s unde s unde s unde s s unde s s s s s s s s s s s s s s s s s s s	r an) all ou. ars
calci	losure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For pur ulating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from pre ers, judgments, or decrees lapsed.	•	
/ou	must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.		
Do a	any of the events below involve you or any of your <i>supervised persons</i> ?	Yes ©	No O
	"yes" answers to the following questions, complete a Criminal Action DRP:		~
	In the past ten years, have you or any <i>advisory affiliate</i> :	Yes	No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	0	•
	(2) been charged with any felony?	0	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) charges that are currently pending.) to	
В.	In the past ten years, have you or any advisory affiliate:		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	•
	(2) been <i>charged</i> with a <i>misdemeanor</i> listed in Item 11.B.(1)?	0	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2)) to	
	you are registered or registering with the ober, or it you are reporting as an exempt reporting advisor, you may little your response to ReIII TT.B.(2)	.0	

	charges that are currently pending.		
For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	•
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	0	\odot
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	•
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority: (1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	•
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	·	o
	(3) ever <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	o	⊙ ⊙
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	•	0
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	•
E.	Has any self-regulatory organization or commodities exchange ever:		
	(1) found you or any advisory affiliate to have made a false statement or omission?	\circ	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	•
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	•
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	•
G.	Are you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	•
For	"yes" answers to the following questions, complete a Civil Judicial Action DRP:		
Н.	(1) Has any domestic or foreign court:	Yes	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	0	⊙
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	\odot
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any <i>advisory affiliate</i> by a state or <i>foreign financial regulatory authority</i> ?	0	•
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•
Itor	n 12 Small Rusinossos		

Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a person, whether through ownership of securities, by contract, or otherwise. Any person that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another person is presumed to control the other person.

Yes No

 \circ

 \circ

A. Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?

υ.	20	you.		
		control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2)	control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
C.	Are	e you:		
	(1)	controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in	0	0

Schedule A

B Do you:

Direct Owners and Executive Officers

1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.

(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the

response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?

2. Direct Owners and Executive Officers. List below the names of:

If "yes," you do not need to answer Items 12.B. and 12.C.

last day of its most recent fiscal year?

- (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
- (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? \bullet Yes \bullet No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	1	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
GLG PARTNERS LIMITED	FE	GENERAL PARTNER	03/2000	NA	Υ	N	
SQUIRE, KATHERINE, ELIZABETH	I	CHIEF COMPLIANCE OFFICER	12/2016	NA	Υ	N	6738205
FA SUB 3 LIMITED	FE	LIMITED PARTNER	12/2022	E	Υ	N	
BURL, ERIC, GALIP	I	HEAD OF DISCRETIONARY	02/2024	NA	Υ	N	6144993
FOEHRENBACH, JENS, NMN	I	HEAD OF PUBLIC MARKETS, DISCRETIONARY	03/2024	NA	N	N	6573123
CAZAZIAN, ANTHONY	I	HEAD OF BUSINESS STRATEGY, DISCRETIONARY	03/2024	NA	N	N	4263925

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct

the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
FA SUB 3 LIMITED	FE	GLG PARTNERS LIMITED	SHAREHOLDER	11/2007	E	Y	N	
MAN INVESTMENTS FINANCE LIMITED	FE	FA SUB 3 LIMITED	SHAREHOLDER	08/2023	E	Υ	N	
MAN STRATEGIC HOLDINGS LIMITED	FE	MAN INVESTMENTS FINANCE LIMITED	SHAREHOLDER	08/2006	E	Υ	N	
MAN GROUP LIMITED	FE	MAN STRATEGIC HOLDINGS LIMITED	SHAREHOLDER	05/2019	Е	Υ	N	
MAN GROUP TREASURY LIMITED	FE	MAN GROUP LIMITED	SHAREHOLDER	05/2019	E	Υ	N	
MAN GROUP PLC	FE	MAN GROUP TREASURY LIMITED	SHAREHOLDER	05/2019	E	Υ	N	

Schedule D - Miscellaneous

GROUP PLC.

You may use the space below to explain a response to an Item or to provide any other information.

ITEM 5.A. AND ITEM 5.B.: PERSONNEL PERFORMING FUNCTIONS ON BEHALF OF GLG PARTNERS LP ARE EMPLOYED BY RELATED PERSONS OF GLG PARTNERS LP. THE FIGURE PROVIDED IN ITEM 5.A. INCLUDES KEY PERSONNEL THAT PERFORM FUNCTIONS FOR GLG PARTNERS LP. ITEM 5.B.(6): GLG PARTNERS LP HAS ARRANGEMENTS WITH AFFILIATED ENTITIES to SOLICIT ADVISORY CLIENTS OR ACT AS PLACEMENT AGENT FOR FUNDS. FROM TIME TO TIME, GLG PARTNERS LP MAY ALSO ENTER INTO A CONTRACTUAL OBLIGATION TO COMPENSATE A THIRD PARTY FOR SOLICITING ADVISORY CLIENTS IN THE EVENT THAT GLG PARTNERS LP WERE TO OBTAIN A NEW CLIENT THROUGH SUCH THIRD PARTY. ITEMS 5.C., 5.D. AND 5F: CERTAIN NON-US CLIENTS OF GLG PARTNERS LP INVEST IN FUNDS ADVISED BY GLG PARTNERS LP AND THOSE CLIENTS HAVE BEEN REFLECTED IN RESPONSES TO THESE QUESTIONS AS INVESTORS IN SUCH FUNDS. FUNDS AND CLIENTS THAT ARE FULLY OR PARTIALLY MANAGED BY GLG PARTNERS LP'S AFFILIATE, GLG LLC, ARE INCLUDED IN THESE FIGURES. ITEM 5.F: VALUE OF REGULATORY ASSETS UNDER MANAGEMENT HAS BEEN DETERMINED AS OF DECEMBER 31, 2023. ITEM 7A: GLG PARTNERS LP HAS IDENTIFIED CERTAIN OF ITS RELATED PERSON ENTITIES THAT ARE SPONSORS, GENERAL PARTNERS AND MANAGING MEMBERS (OR EQUIVALENT) OF POOLED INVESTMENT VEHICLES ADVISED BY GLG PARTNERS LP; SUCH ENTITIES ARE CONSIDERED TO BE REGISTERED INVESTMENT ADVISERS PURSUANT TO THE JANUARY 18, 2012 NO-ACTION LETTER FROM THE SEC STAFF TO THE AMERICAN BAR ASSOCIATION, BUSINESS LAW SECTION. GLG PARTNERS LP HAS IDENTIFIED CERTAIN OF ITS RELATED PERSONS AS INDICATED IN THE INSTRUCTIONS BUT HAS NOT SEPARATELY SCHEDULED ALL AFFILIATES OF THOSE RELATED PERSONS. SECTION 7.B: FIGURES PROVIDED ARE AS OF DECEMBER 31, 2023. SECTION 7.B (1) ITEM A. 12: MINIMUM INVESTMENT COMMITMENT REQUIRED MAY VARY DEPENDING ON SUB FUND, CURRENCY AND/OR SHARE CLASS, AS APPLICABLE. SECTION 7.B (1) ITEMS 13, 15 AND 16 REQUESTING THE NUMBER OF THE BENEFICIAL OWNERS AND THE PERCENTAGE BREAKDOWN BY "FUND OF FUNDS" AND "NON-UNITED STATES PERSONS": THE FIRM IS UNABLE TO PROVIDE A RESPONSE FOR ITS CLOS BECAUSE IT DOES NOT HAVE ACCESS TO THE INFORMATION RELATED TO BENEFICIAL OWNERS AND AS SUCH HAS INCLUDED "ZERO" IN ITS RESPONSE TO THOSE QUESTIONS. SECTION 7.B (1) ITEM 14: FOR PURPOSES OF CALCULATING OWNERSHIP OF EACH CLO, THE FIRM HAS TAKEN INTO ACCOUNT FIRM AND AFFILIATE OWNERSHIP OF THE EQUITY TRANCHE ONLY AND USED ALL TRANCHES TO CALCULATE PERCENTAGE OF OWNERSHIP, ROUNDING AS APPROPRIATE. SECTION 7.B (1) ITEM A.14: FEEDER FUNDS OR FUNDS MANAGED BY GLG PARTNERS LP OR AN AFFILIATE ARE CONSIDERED TO BE A RELATED PERSON FOR PURPOSES OF THIS QUESTION. SECTION 7.B (1) ITEM A.19: FROM TIME TO TIME, CERTAIN PRIVATE FUNDS OF GLG PARTNERS LP WHICH ARE NOT CONSIDERED FUND OF FUNDS, MAY INVEST IN OTHER FUNDS MANAGED BY GLG PARTNERS LP OR A RELATED PERSON. SECTION 7.B (1) ITEMS A.19 AND A.20: THESE RESPONSES INCLUDE GLG PARTNERS LP NON-US CLIENTS THAT INVEST IN CERTAIN OF THE PRIVATE FUNDS ADVISED BY GLG PARTNERS LP WHICH WERE NOT SEPARATELY INCLUDED IN RESPONSE TO ITEMS 5.C, 5.D AND 5.F. SECTION 7.B ITEM A.21: IN THE CASE OF THE MASTER-FEEDER FUNDS, MASTER FUNDS WERE NOT MARKED AS RELYING ON AN EXEMPTION FROM REGISTRATION OF ITS SECURITIES UNDER REGULATION D OF THE SECURITIES ACT OF 1933 BECAUSE THE MASTER FUND IS NOT OFFERED AND SOLD TO US PERSONS. ITEMS 9.A. (2) AND 9.B (2) U.S. DOLLAR AMOUNT OF CLIENT FUNDS AND SECURITIES UNDER CUSTODY REPRESENTS NET ASSET VALUE AS OF DECEMBER 31, 2023. ITEM 9.A (2) INCLUDES THE NUMBER OF PRIVATE FUNDS THAT BOTH GLG PARTNERS LP AND A RELATED PERSON OF GLG PARTNERS LP HAVE CUSTODY. ITEM 9.B (2) INCLUDES PRIVATE FUNDS AND OTHER FUNDS A RELATED PERSON OF GLG PARTNERS LP HAS CUSTODY. SCHEDULE B: IT SHOULD BE NOTED THAT THERE IS NO SHAREHOLDER HOLDING MORE THAN 25% OF MAN

Schedule	e R				
			No Information	Filed	
DRP Pag	jes				
CRIMIN	IAL DI SCL	OSURE REPORTING PAGE (ADV)			
No Infor	mation File	ed			
REGULA	ATORY AC	TION DISCLOSURE REPORTING I	PAGE (ADV)		
			GENERAL INSTRUC		
			NITIAL OR 6 AMENDED respon	se used to report details for aff	irmative responses to Items 11.C., 11.D.
11.E., 1	1.F. or 11.	G. of Form ADV.			
			Regulatory Ac	tion	
		ng responded to:	=	=	
□ 11.0		□ 11.C(2) ⊡ 11.D(2)	□ 11.C(3) □ 11.D(3)	□ 11.C(4) ☑ 11.D(4)	□ 11.C(5) □ 11.D(5)
11.E		□ 11.E(2)	□ 11.D(3) □ 11.E(3)	□ 11.E(4)	L 11.D(5)
□ 11.F	` '	□ 11.G.	E 11.2(0)		
PART I A. Th	ne <i>person(s</i> You (the	event gives rise to actions by mores) or entity(ies) for whom this DRP is advisory firm) one or more of your advisory affiliat	s being filed is (are):	etails for each action on a sepa	arate DRP.
		ore of your advisory affiliates			
If If	this DRP is	s being filed for an <i>advisory affiliate,</i> ry affiliate has a <i>CRD</i> number, provi			, Last name, First name, Middle name). he appropriate box.
A	DV DRP - A	ADVISORY AFFILIATE			
F	CRD Number: Registered Name:	T Yes • No MAN GROUP PLC (For individuals, Last, First, Middle)	his <i>advisory affiliate</i> is © a Firm	O an Individual	
	This DRP registere		ecord because: (1) the event of	proceeding occurred more than	vith the adviser. In ten years ago or (2) the adviser is EC and the event was resolved in the
1	1.D(4), and	egistered or registering with a <i>state</i> d only if that event occurred more t in Item 11 that occurred more tha	han ten years ago. If you are r		reported only in response to Item e SEC, you may remove a DRP for any
	This DRP	should be removed from the ADV rances:	ecord because it was filed in er	ror, such as due to a clerical or	data-entry mistake. Explain the

B.	If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.						
	O yes • No						
	NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.						
PAR ⁻	ГІІ						
1.							
	OSEC Other Federal OState OSRO Foreign (Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) COMISION NACIONAL DEL MERCADO DE VALORES						
2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:						
3.	Date Initiated (MM/DD/YYYY):						
	02/12/2021 © Exact C Explanation If not exact, provide explanation:						
4.	Docket/Case Number: 2021025805						
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):						
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:						
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): ON APRIL 7, 2021, MAN GROUP PLC ("MAN"), AN ADVISORY AFFILIATE OF GLG PARTNERS LP ("GLG") BASED IN LONDON, UK, AGREED TO PAY A FINE OF EURO 240,000 TO THE COMISION NACIONAL DEL MERCADO DE VALORES (THE "CNMV"), PURSUANT TO A SETTLED RESOLUTION, IN CONNECTION WITH THE CNMV'S FINDINGS THAT MAN HAD NOT COMPLIED WITH EUROPEAN UNION ("EU") REGULATIONS REQUIRING THE DISCLOSURE OF AN INVESTOR'S SHORT POSITIONS IN THE SECURITIES OF ANY ISSUER BASED IN AN EU MEMBER COUNTRY, SUCH AS SPAIN. SPECIFICALLY, THE EUROPEAN SECURITIES AND MARKETS AUTHORITY ("ESMA") HAS ISSUED REGULATIONS ON SHORT SELLING AND CREDIT DEFAULT SWAPS ("SSR") THAT REQUIRE INVESTORS TO FILE REPORTS DISCLOSING ANY SHORT POSITIONS ABOVE A THRESHOLD OF 0.2% OF AN ISSUER'S OUTSTANDING SHARES, WITH ADDITIONAL DISCLOSURE THRESHOLDS AT INCREASING INTERVALS OF 0.1%. THUS, AN INVESTOR MUST FILE DISCLOSURES CALCULATING ITS NET SHORT POSITION WHENEVER IT CROSSES THRESHOLDS (UP OR DOWN) OF 0.2%, 0.3%, 0.4%, 0.5% ETC. IN THE CASE OF MAN, THE CNMV DETERMINED THAT, BETWEEN DECEMBER 2017 AND NOVEMBER 2018, THREE OF MAN'S AFFILIATED MANAGERS, INCLUDING GLG PARTNERS LP HAD ON FIVE OCCASIONS FAILED TO CORRECTLY DISCLOSE THEIR NET SHORT POSITION IN FOUR SPANISH ISSUERS AMOUNTING TO BETWEEN 0.2% AND 0.7% OF THE ISSUERS' OUTSTANDING SHARES. THE SSR REGULATIONS IMPOSE RULES FOR CALCULATING NET SHORT POSITIONS AT THE FUND LEVEL AMONG AFFILIATED ENTITIES, WHICH MAN ATTEMPTED TO APPLY IN GOOD FAITH. SEE ESMA 70-145-408, QUESTION 8.5, AVAILABLE AT HTTPS://WWW.ESMA.EUROPA.EU/SITES/DEFAULT/FILES/LIBRARY/ESMA70-145-408, QA_ON_SSR.PDF. IN FOUR CASES, THE IRREGULARITIES AROSE FROM THE INCORRECT IDENTIFICATION OF THE POSITION HOLDING ENTITY WITHIN MAN; (FOR EXAMPLE, A POSITION HELD BY ONE MAN MANAGER WAS INCORRECTLY NOTIFIED AS A POSITION HELD BY ANOTHER MAN MANAGER). THIS MISREPORTING. RESULTED IN DELAYED CORRECTING NOTIFICATIONS TO THE CNMV. IN ONE INSTANCE, A POSITION WAS						
8.	Current Status? C Pending C On Appeal Final						
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:						
If F	inal or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.						
10.	How was matter resolved: Settled						
11.	Resolution Date (MM/DD/YYYY):						
	04/07/2021 © Exact C Explanation						
	If not exact, provide explanation:						
12.	Resolution Detail: A. Were any of the following Sanctions <i>Ordered</i> (check all appropriate items)?						

	☐ Monetary/Fin		C Discourse of /Destitution	
	☐ Revocation/E☐ Censure	expulsion/Denial	☐ Disgorgement/Restitution☐ Cease and Desist/Injunction	
	☐ Bar		☐ Suspension	
В.	Other Sanctions	Ordered:	•	
Σ.		OF EURO 240,000		
			ovide duration including start date and capacities affected (C	
	•	·	by exam/retraining was a condition of the sanction, provide	9
		• •	condition has been satisfied. If disposition resulted in a fine	
			otal amount, portion levied against you or an advisory affiliate	e, date paid and if any portion
	of penalty was w		GLG PARTNERS LP AGREED TO PAY A FINE OF EURO 240,000	TO THE COMISION NACIONAL
			T TO A SETTLED RESOLUTION, IN CONNECTION WITH THE CN	
			LATIONS REQUIRING THE DISCLOSURE OF AN INVESTOR'S S	
	SECURITIES OF A	NY ISSUER BASED IN AN EU MEMBER	R COUNTRY, SUCH AS SPAIN.	
13. Pro	ovide a brief summa	ary of details related to the action st	atus and (or) disposition and include relevant terms, conditi	ons and dates (your response
mι	ust fit within the spa	ace provided).		
NO	N APRIL 7, 2021, MAI	N AGREED TO ACCEPT THE FINDINGS	S WITHOUT CONTESTING AND PAY A FINE OF EURO 240,000.	
CIVII II	UDICIAL ACTION F	DISCLOSURE REPORTING PAGE (A	.DV)	,
	mation Filed	DISCLOSURE REPORTING FAGE (A		
NO THIOH				
Part 2				
Exempt	tion from brochure	delivery requirements for SEC-reg	gistered advisers	
SEC rule	es exempt SEC-regis	stered advisers from delivering a firr	m brochure to some kinds of clients. If these exemptions ex	cuse you from delivering a
	,	sory clients, you do not have to prep	•	3
				Yes No
Are you	exempt from delive	ering a brochure to all of your clients	s under these rules?	0 ⊙
If no, co	omplete the ADV Part	2 filing below.		
	•	Ü		
Amend,	retire or file new bro	ochures:		
Part 3				
	CRS	Type(s)	Affiliate Info	Retire
Thomas	and the CDC fillings to	dianlau		
inere a	are no CRS filings to	display.		
	n Pages			
		DVISER EXECUTION PAGE		
	st complete the follo Cand all amendmen		This execution page must be signed and attached to your in	itial submission of Form ADV to
Appoin	itment of Agent fo	or Service of Process		
D! !	ing this Farm ADV.	ive outling Dogs were the		n longilly decimated -ff' City
	•		d adviser, irrevocably appoint the Secretary of State or other siness and any other state in which you are submitting a <i>noti</i>	
	winch vou maintain	. word than marting 4 and DIACE OF DIIS	omess and any other state in which you are Submitting a <i>noti</i>	ce minu, as your duents to
receive	•		· · · · · · · · · · · · · · · · · · ·	
	service, and agree	that such <i>persons</i> may accept service	ce on your behalf, of any notice, subpoena, summons, order	instituting <i>proceedings</i> , demand
for arbit	service, and agree tration, or other pro	that such <i>persons</i> may accept servicess or papers, and you further agree	· · · · · · · · · · · · · · · · · · ·	instituting <i>proceedings</i> , demand mail, in any federal or state

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other

is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state

in which you maintain your principal office and place of business or of any state in which you are submitting a notice filing.

Signature

information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:

Printed Name:

Adviser CRD Number:

163016

Date: MM/DD/YYYY

Title:

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:

KATHERINE ELIZABETH SQUIRE

Printed Name:

KATHERINE ELIZABETH SQUIRE

Adviser CRD Number:

163016

Date: MM/DD/YYYY 04/10/2024

Title:

CHIEF COMPLIANCE OFFICER