# FORM ADV

## UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Prin	ary Business Name: ELLIOTT INVESTMEN	NT MANAGEMENT L.P	<b>)</b> .	CRD Number: 307151
Othe	er-Than-Annual Amendment - All Sections			Rev. 10/2021
4/1	2/2024 4:33:47 PM			
١٨/٨	<b>DNING:</b> Complete this form truthfully. Fals	o statomonts or omiss	sions may result in denial of you	r application, revocation of your registration, or criminal
~~~	prosecution. You must keep this for		5	
Iten	1 Identifying Information			
		ere vou are doing bus	siness and how we can contact	you. If you are filing an umbrella registration, the
	•			ormation to assist you with filing an <i>umbrella registration</i> .
A.	Your full legal name (if you are a sole prop ELLIOTT INVESTMENT MANAGEMENT L.F		and middle names):	
B.	(1) Name under which you primarily condu ELLIOTT INVESTMENT MANAGEMENT L.F	• •	ess, if different from Item 1.A.	
	List on Section 1.B. of Schedule D any addition	ional names under whi	ch you conduct your advisory bus	siness.
	(2) If you are using this Form ADV to regis	ter more than one inv	vestment adviser under an umbr	ella registration, check this box 🗹
	If you check this box, complete a Schedule R	? for each relying advis	er.	
C.	If this filing is reporting a change in your le name change is of	gal name (Item 1.A.)	or primary business name (Item	1.B.(1)), enter the new name and specify whether the
	$\Box$ your legal name or $\Box$ your primary busin	ness name:		
D.	(1) If you are registered with the SEC as a	n investment adviser,	your SEC file number: 801-11	9969
	(2) If you report to the SEC as an <i>exempt</i>		•	
	(3) If you have one or more Central Index	Key numbers assigne	d by the SEC ("CIK Numbers"), a	all of your CIK numbers:
	CIK Number			
	1791786			
E.	(1) If you have a number ("CRD Number")	assigned by the FINR.	A's CRD system or by the IARD s	ystem, your CRD number: 307151
	If your firm does not have a CRD number, sh	kip this Item 1.E. Do no	ot provide the CRD number of one	e of your officers, employees, or affiliates.
	(2) If you have additional CRD Numbers, yo	our additional CRD nu	mbers:	
			No Information Filed	
F.	Principal Office and Place of Business			
	(1) Address (do not use a P.O. Box):			
	Number and Street 1:		Number and Street 2:	
	360 S. ROSEMARY AVE		18TH FLOOR	
	City:	State:	Country:	ZIP+4/Postal Code:
	WEST PALM BEACH	Florida	United States	33401
	If this address is a private residence, o	check this box: 🗖		
	you are applying for registration, or are which you are applying for registration o	registered, with one o or with whom you are r	r more state securities authorities registered. If you are applying for	ess, at which you conduct investment advisory business. If s, you must list all of your offices in the state or states to SEC registration, if you are registered only with the SEC, or es in terms of numbers of employees as of the end of your
	(2) Days of week that you normally condu Monday - Friday Other:	ict business at your p	rincipal office and place of busines	SS:
	Normal business hours at this location			

Normal business hours at this location: 8:30AM-5:30PM

- (3) Telephone number at this location:(561) 584-8800
- (4) Facsimile number at this location, if any: (212) 478-2476

		ber of offices, other than your <i>prin</i> recently completed fiscal year?	cipal office and place of busi	<i>ness</i> , at which you conduct investment advisory busin	ess as of	Ē
G.	Mailing address, if differen	t from your <i>principal office and place</i>	e of business address:			
0.	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
		_	country.			
	If this address is a private	e residence, check this box: $\square$				
H.	If you are a sole proprieto	r, state your full residence address	s, if different from your <i>prin</i>	cipal office and place of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
					Yes	No
I.	Do you have one or more LinkedIn)?	websites or accounts on publicly av	vailable social media platfor	ms (including, but not limited to, Twitter, Facebook a	nd 💿	0
	If a website address serves addresses for all of the othe available social media platfo	s as a portal through which to access er information. You may need to list	s other information you have more than one portal addres ontent. Do not provide the in	ublicly available social media platforms on Section 1.1. of e published on the web, you may list the portal without l ss. Do not provide the addresses of websites or accounts adividual electronic mail (e-mail) addresses of employees	listing s on publi	
	Chief Compliance Officer					
J.		contact information of your Chief C Compliance Officer, if you have one		re an <i>exempt reporting adviser</i> , you must provide the c e Item 1.K. below.	ontact	
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if ar	ıy:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ac	ddress, if Chief Compliance Officer I	has one:			
		npany Act of 1940 that you advise mber (if any):	5 5 5 1	an you, a <i>related person</i> or an investment company render officer services to you, provide the <i>person's</i> name	0	
K.	• •	tact Person: If a person other thar may provide that information here.	•	cer is authorized to receive information and respond t	to questi	ons
	Name:		Titles:			
	Telephone number:		Facsimile number, if ar	ıy:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ad	ddress, if contact person has one:				
L.	•	all of the books and records you ar ur <i>principal office and place of busin</i>		section 204 of the Advisers Act, or similar state law,	Yes ©	No O
	If "yes," complete Section 7	1.L. of Schedule D.			Vaa	Ne
M.	Are you registered with a	foreign financial regulatory authority	ſ?		Yes O	©
	, and the second s	registered with a foreign financial re s," complete Section 1.M. of Schedul		ou have an affiliate that is registered with a foreign finar	ncial	
					Yes	No
N.	Are you a public reporting	company under Sections 12 or 15(	(d) of the Securities Exchan	ge Act of 1934?	0	$\odot$
					Yes	
Ο.	•	more in assets on the last day of y imate amount of your assets: \$10 billion	your most recent fiscal year	?	0	o

- \$10 billion to less than \$50 billion
- o \$50 billion or more

For purposes of Item 1.0. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one: 549300BDNYJY0DGFP525

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

## SECTION 1.B. Other Business Names

No Information Filed

## SECTION 1.F. Other Offices

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:		Number and Street 2:		
CONNELL CORPORATE CENTER IV		400 CONNELL DRIVE, 3	RD FLOOR	
City:	State:	Country:	ZIP+4/Postal Code:	
BERKELEY HEIGHTS	New Jersey	United States	07922	

If this address is a private residence, check this box:  $\Box$ 

Telephone Number:	
(908) 286-2000	

Facsimile Number, if any: (908) 464-1370

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many employees perform investment advisory functions from this office location?

2

Are other business activities conducted at this office location? (check all that apply)

- (1) Broker-dealer (registered or unregistered)
- (2) Bank (including a separately identifiable department or division of a bank)
- (3) Insurance broker or agent
- ☑ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- (5) Registered municipal advisor
- $\Box$  (6) Accountant or accounting firm
- (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

## SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.1. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: HTTPS://TWITTER.COM/ELLIOTTINVMGMT

Address of Website/Account on Publicly Available	ble Social Media Platform: HTTPS://WWW.MEDIUM.COM/@ELLIOTTMGMT					
Address of Website/Account on Publicly Available Social Media Platform: HTTPS://TWITTER.COM/JESSECOHN4						
Address of Website/Account on Publicly Available Social Media Platform: HTTPS://WWW.LINKEDIN.COM/COMPANY/ELLIOTT-ADVISORS-UK-LIMITED/						
Address of Website/Account on Publicly Available Social Media Platform: HTTPS://WWW.LINKEDIN.COM/COMPANY/ELLIOTTINVESTMENTMANAGEMENTLP						
Address of Website/Account on Publicly Available	Address of Website/Account on Publicly Available Social Media Platform: HTTP://WWW.ELLIOTTADVISORS.CO.UK					
SECTION 1.L. Location of Books and Records						
Complete the following information for each loca must complete a separate Schedule D, Section 1	-		books and records, c	ther than your <i>principal office and place of business</i> . You		
Name of entity where books and records are ke BPM ASSOCIATES, INC.	ept:					
Number and Street 1: MARK LIGHT TORANOMON			Jumber and Street 2 F 2-3-1			
City: MINATOKU, TOKYO	State:	C	Country: apan	ZIP+4/Postal Code: 105-0003		
If this address is a private residence, check this	box:					
Telephone Number: 03-3580-1321	Facsimile	e number, if a	any:			
This is (check one): O one of your branch offices or affiliates.						
a third-party unaffiliated recordkeeper.						
O other.						
Briefly describe the books and records kept at the INVESTMENT-RELATED RECORDS AND FINANCIAL		IG RECORDS				
Name of entity where books and records are ke EUROPEAN INVESTMENTS HOLDING COMPANY S/						
Number and Street 1: C/O RADAR BUSINESS SOLUTIONS		Number an 12C RUE G	id Street 2: UILLAUME KROLL (G	ROUND FLOOR)		
City: LUXEMBOURG	State:	Country: Luxemboui	rg	ZIP+4/Postal Code: L-1882		
If this address is a private residence, check this	box:					
Telephone Number: 352 28 57 79	Facsimile numbe	er, if any:				
This is (check one):						
<ul> <li>one of your branch offices of anniates.</li> <li>o a third-party unaffiliated recordkeeper.</li> </ul>						
o other.						

Briefly describe the books and records kept at this location. INVESTMENT- AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS.								
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED								
Number and Street 1: 100 HARBOR DRIVE		Number and Stree	t 2:					
	State:	Country:	ZIP+4/Postal Code:					
5	New Jersey	United States	07305					
If this address is a private residence, check this box:								
Telephone Number: I 800-934-3453	•							
This is (check one): o one of your branch offices or affiliates.								
a third-party unaffiliated recordkeeper.								
o other.								
Briefly describe the books and records kept at this INVESTMENT-RELATED RECORDS AND FINANCIAL A		RECORDS						
Name of entity where books and records are kept: ARK DATA CENTRES LIMITED								
Number and Street 1: ARK DATA CENTRE		Number and Street 2: OLD IVELY ROAD						
City:	State:	Country:	ZIP+4/Postal Code:					
FARNBOROUGH		United Kingdom	GU14 OLH					
If this address is a private residence, check this bo	ox: 🗖							
Telephone Number: 448453893355	Facsimile number	r, if any:						
This is (check one): O one of your branch offices or affiliates.								
👩 a third-party unaffiliated recordkeeper.								
o other.								
Briefly describe the books and records kept at this location. INVESTMENT-AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS.								
Name of entity where books and records are kept: KPMG	:							
Number and Street 1:		Number and Street	2:					
1-6-1 ROPPONGI, MINATO-KU		TOKYO IZUMI GARE	DEN TOWER					
City: TOKYO	State:	Country: Japan	ZIP+4/Postal Code: 106-6012					
If this address is a private residence, check this bo	рх: <b>Г</b>							
Telephone Number: 81 (3) 6229-80	Facsimile nu	umber, if any:						

O one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
o other.					
~					
Briefly describe the books and records kept INVESTMENT- AND TRADING-RELATED RECO		D ACCOUNTING RECORE	DS.		
Name of entity where books and records ar TERADA WAREHOUSE COMPANY	e kept:				
Number and Street 1: 2-6-10 SHINAGAWA		Number and	d Street 2:		
City: SHINAGAWA-KU, TOKYO	State:	Country: Japan	ZIP+4/Postal 140-0002	Code:	
If this address is a private residence, check	this box:				
Telephone Number: 81354791613	Facsimil 813547	le number, if any: 91620			
This is (check one): O one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept INVESTMENT-RELATED RECORDS AND FINAN		RECORDS.			
Name of entity where books and records ar ELLIOTT MANAGEMENT (DC) CORPORATION	e kept:				
Number and Street 1: 601 PENNSYLVANIA AVENUE, NW			per and Street 2: TH BUILDING, SUITE 600A		
City: WASHINGTON	State: District of Columbia	Coun Unite	try: ZIP ed States 200	+4/Postal Code: 004	
If this address is a private residence, check	this box:				
Telephone Number: (212) 974-6000	Facsimile number, if an	y:			
This is (check one): one of your branch offices or affiliates.					
O a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept INVESTMENT-RELATED RECORDS AND FINAN		RECORDS.			
Name of entity where books and records ar IRON MOUNTAIN INCORPORATED	e kept:				
Number and Street 1: LONG CROFT ROAD, EUROHUB		Number and Street 2	::		
City:	State:	Country:	ZIP+4/Po		
CORBY		United Kingdom	NN18 8EY		

Telephone Number: 4408445607080	Facsimile number	r, if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this INVESTMENT- AND TRADING-RELATED RECORDS AN		ACCOUNTING RECORDS	
Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED	:		
Number and Street 1: UNIT B RATTY'S LANE		Number and Street 2:	
City: HODDESDON	State:	Country: United Kingdom	ZIP+4/Postal Code: EN11 ORF
If this address is a private residence, check this bo	ох: 🗖		
Telephone Number: 4408445607080	Facsimile number	r, if any:	
This is (check one): O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this INVESTMENT- AND TRADING-RELATED RECORDS AN		ACCOUNTING RECORDS	
	ND FINANCIAL AND	ACCOUNTING RECORDS	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept	ND FINANCIAL AND	ACCOUNTING RECORDS	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City:	ND FINANCIAL AND	Number and Street 2: Country:	ZIP+4/Postal Code:
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE	ND FINANCIAL AND	Number and Street 2:	ZIP+4/Postal Code: SE3 9BY
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City:	ND FINANCIAL AND	Number and Street 2: Country:	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City: KIDBROOKE	ND FINANCIAL AND	Number and Street 2: Country: United Kingdom	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City: KIDBROOKE If this address is a private residence, check this bo Telephone Number:	ND FINANCIAL AND	Number and Street 2: Country: United Kingdom	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City: KIDBROOKE If this address is a private residence, check this bo Telephone Number: 4408445607080 This is (check one):	ND FINANCIAL AND	Number and Street 2: Country: United Kingdom	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City: KIDBROOKE If this address is a private residence, check this book Telephone Number: 4408445607080 This is (check one): one of your branch offices or affiliates. one of your branch offices or affiliates. one of your branch offices or affiliates.	ND FINANCIAL AND	Number and Street 2: Country: United Kingdom	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City: KIDBROOKE If this address is a private residence, check this book Telephone Number: 4408445607080 This is (check one): one of your branch offices or affiliates.	ND FINANCIAL AND State: Dx: □ Facsimile number Facsimile number	Number and Street 2: Country: United Kingdom	
INVESTMENT- AND TRADING-RELATED RECORDS AN Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED Number and Street 1: 3 OLD POST OFFICE LANE City: KIDBROOKE If this address is a private residence, check this book Telephone Number: 4408445607080 This is (check one): one of your branch offices or affiliates. o a third-party unaffiliated recordkeeper. other. Briefly describe the books and records kept at this	ND FINANCIAL AND State: DX: □ Facsimile number Facsimile number Facsimile number	Number and Street 2: Country: United Kingdom	

City: STAFFORD	State:	Country: United Kingdom	ZIP+4/Postal Code: ST16 1GW				
		0					
If this address is a private residence, check this box: 🔲							
Telephone Number: 4408445607080	Facsimile n	umber, if any:					
This is (check one): o one of your branch offices or affiliates.							
a third-party unaffiliated recordkeeper.							
O other.							
Briefly describe the books and records kept at this lo INVESTMENT- AND TRADING-RELATED RECORDS AND		ACCOUNTING RECORDS					
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED							
Number and Street 1: 10, WHITE HART AVENUE		Number and Street 2:					
City: S THAMESMEAD	State:	Country: United Kingdom	ZIP+4/Postal Code: SE28 OFD				
If this address is a private residence, check this box							
Telephone Number: H 4408445607080	Facsimile number	r, if any:					
This is (check one): o one of your branch offices or affiliates.							
$\odot$ a third-party unaffiliated recordkeeper.							
o other.							
Briefly describe the books and records kept at this lo INVESTMENT- AND TRADING-RELATED RECORDS AND		ACCOUNTING RECORDS					
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED							
Number and Street 1: NORMAN ROAD, PICKARDY MANOR WAY		Number and Street 2:					
City: BELVEDERE, LONDON	State:	Country: United Kingdom	ZIP+4/Postal Code: DA17 6JY				
If this address is a private residence, check this box							
Telephone Number: 4408445607080	Facsimile	number, if any:					
This is (check one): O one of your branch offices or affiliates.							
${old o}$ a third-party unaffiliated recordkeeper.							
O other.							
Briefly describe the books and records kept at this lo INVESTMENT- AND TRADING-RELATED RECORDS AND		ACCOUNTING RECORDS.					

Name of entity where books and records are kept:

ELLIOTT MANAGEMENT CORPORATION			
Number and Street 1: CONNELL CORPORATE CENTER IV		Number and Street 2: 400 CONNELL DRIVE, 3RD FI	OOR
	State:	Country:	ZIP+4/Postal Code:
BERKELEY HEIGHTS	New Jersey	United States	07922
If this address is a private residence, check this	box:		
Telephone Number:	Facsimile number, if any:		
(908) 286-2000	(908) 464-1370		
<ul> <li>This is (check one):</li> <li>one of your branch offices or affiliates.</li> <li>a third-party unaffiliated recordkeeper.</li> <li>other.</li> </ul>			
Briefly describe the books and records kept at t INVESTMENT- AND TRADING-RELATED RECORDS		INTING RECORDS.	

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

No Information Filed

#### Item 2 SEC Registration/Reporting

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an *annual updating amendment* to your SEC registration. If you are filing an *umbrella registration*, the information in Item 2 should be provided for the *filing adviser* only.

- A. To register (or remain registered) with the SEC, you must check at least one of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an *annual updating amendment* to your SEC registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. You (the adviser):
  - (1) are a large advisory firm that either:
    - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
    - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
  - (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
    - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
    - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- (4) have your principal office and place of business outside the United States;
- (5) are an investment adviser (or subadviser) to an investment company registered under the Investment Company Act of 1940;
- (6) are an investment adviser to a company which has elected to be a business development company pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;
- (7) are a pension consultant with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);
- (8) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;

If you check this box, complete Section 2.A. (8) of Schedule D.

(9) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, complete Section 2.A. (9) of Schedule D.

(10) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If you check this box, complete Section 2.A. (10) of Schedule D.

- (11) are an Internet adviser relying on rule 203A-2(e);
- (12) have received an SEC order exempting you from the prohibition against registration with the SEC;

If you check this box, complete Section 2.A. (12) of Schedule D.

(13) are **no longer eligible** to remain registered with the SEC.

## State Securities Authority Notice Filings and State Reporting by Exempt Reporting Advisers

C. Under state laws, SEC-registered advisers may be required to provide to *state securities authorities* a copy of the Form ADV and any amendments they file with the SEC. These are called *notice filings*. In addition, *exempt reporting advisers* may be required to provide *state securities authorities* with a copy of reports and any amendments they file with the SEC. If this is an initial application or report, check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to direct your *notice filings* or reports to additional state(s), check the box(es) next to the state(s) that you would like to receive notice of this an amendment to your registration to stop your *notice filings* or reports from going to state(s) that currently receive them, uncheck the box(es) next to those state(s).

Jurisdictions

AL		ne Ne	□ SC
П AK	🗖 IN	□ NV	🗖 SD
AZ	IA IA	nH NH	🗖 TN
AR	Г кs	L NI	Птх
🗹 CA	□ KY	NM	🗖 UT
🗖 со	🗖 LA	□ NY	🗖 VT
🗹 ст	Г ме	NC	🗖 VI
🗖 de	nd MD	ND	🗖 VA
DC DC	П ма	🗖 он	🗖 wa
₽ FL	П мі	🗖 ок	□ wv
GA GA	n MN	C OR	🗖 wi
🗖 GU	n MS	🗖 ра	□ wy
🗖 ні	П мо	PR	
🗖 ID	nt Mt	🗖 RI	

If you are amending your registration to stop your notice filings or reports from going to a state that currently receives them and you do not want to pay that state's notice filing or report filing fee for the coming year, your amendment must be filed before the end of the year (December 31).

#### SECTION 2.A.(8) Related Adviser

If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser

SEC Number of Registered Investment Adviser

## SECTION 2.A.(9) Investment Adviser Expecting to be Eligible for Commission Registration within 120 Days

If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:

- I am not registered or required to be registered with the SEC or a *state securities authority* and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.

## SECTION 2.A.(10) Multi-State Adviser

If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations

about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
- I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.

## SECTION 2.A.(12) SEC Exemptive Order

If you are relying upon an SEC order exempting you from the prohibition on registration, provide the following information:

Application Number:

803-

Date of order:

## Item 3 Form of Organization

If you are filing an umbrella registration, the information in Item 3 should be provided for the filing adviser only.

A. How are you organized?

- O Corporation
- O Sole Proprietorship
- C Limited Liability Partnership (LLP)
- o Partnership
- Limited Liability Company (LLC)
- Limited Partnership (LP)
- O Other (specify):

If you are changing your response to this Item, see Part 1A Instruction 4.

- B. In what month does your fiscal year end each year?
   DECEMBER
- C. Under the laws of what state or country are you organized?

State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.

If you are changing your response to this Item, see Part 1A Instruction 4.

# Item 4 Successions

A. Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organization or state of incorporation)?

Yes No

If "yes", complete Item 4.B. and Section 4 of Schedule D.

B. Date of Succession: (MM/DD/YYYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

## Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

## Employees

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

- A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.
   578
- B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)? 238
  - (2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?

0

0

- (3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives*?
- (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
- (5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
- (6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?
   0

In your response to Item 5.B.(6), do not count any of your employees **and count a firm only once – do not count each of the firm's** employees that solicit on your behalf.

#### Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?

0

- (2) Approximately what percentage of your *clients* are non-*United States persons*?
   87%
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 <i>Clients</i>	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)	0		\$
(b) High net worth individuals	0		\$
(c) Banking or thrift institutions	0		\$

(d) Investment companies	0	\$
(e) Business development companies	0	\$
(f) Pooled investment vehicles (other than investment companies and business development companies)	38	\$ 97,371,716,804
(g) Pension and profit sharing plans (but not the plan participants or government pension plans)	0	\$
(h) Charitable organizations	0	\$
(i) State or municipal <i>government entities</i> (including government pension plans)	0	\$
(j) Other investment advisers	0	\$
(k) Insurance companies	0	\$
(I) Sovereign wealth funds and foreign official institutions	0	\$
(m) Corporations or other businesses not listed above	0	\$
(n) Other:	0	\$

## **Compensation Arrangements**

E. You are compensated for your investment advisory services by (check all that apply):

- ☑ (1) A percentage of assets under your management
- (2) Hourly charges
- (3) Subscription fees (for a newsletter or periodical)
- (4) Fixed fees (other than subscription fees)
- (5) Commissions
- □ (6) Performance-based fees
- (7) Other (specify): PERFORMANCE-BASED ALLOCATIONS

Item 5 Information About Your Advisory Business - Regulatory Assets Under Management								
Regulatory Assets Under Management								
			Yes No					
F. (1) Do you provide continuous and regular supervisory or management services to securities portfolios?								
(2) If yes, what is the amount of your regulatory assets under management and total number of accounts?								
	U.S. Dollar Amount	Total Number of Accounts						
Discretionary:	(a) \$ 97,371,716,804	(d) 38						
Non-Discretionary:	(b) \$ 0	(e) 0						
Total:	(c) \$ 97,371,716,804	(f) 38						

Part 1A Instruction 5.b. explains how to calculate your regulatory assets under management. You must follow these instructions carefully when completing this Item.

(3) What is the approximate amount of your total regulatory assets under management (reported in Item 5.F.(2)(c) above) attributable to *clients* who are non-*United States persons*?

\$ 66,891,208,082

## Item 5 Information About Your Advisory Business - Advisory Activities

## **Advisory Activities**

G. What type(s) of advisory services do you provide? Check all that apply.

- (1) Financial planning services
- (2) Portfolio management for individuals and/or small businesses
- Portfolio management for investment companies (as well as "business development companies" that have made an election pursuant to section 54 of the Investment Company Act of 1940)
- (4) Portfolio management for pooled investment vehicles (other than investment companies)
- (5) Portfolio management for businesses (other than small businesses) or institutional *clients* (other than registered investment companies and other pooled investment vehicles)
- (6) Pension consulting services
- (7) Selection of other advisers (including *private fund* managers)
- (8) Publication of periodicals or newsletters
- (9) Security ratings or pricing services
- (10) Market timing services
- □ (11) Educational seminars/workshops
- (12) Other(specify):

Do not check Item 5.G. (3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G. (3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G. (3) of Schedule D.

H. If you provide financial planning services, to how many *clients* did you provide these services during your last fiscal year?

- **o** 0
- o 1 10
- 0 11 25
- o 26 50
- **o** 51 100
- **1**01 250
- 251 500
- More than 500
  - If more than 500, how many? (round to the nearest 500)

In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

Yes No Ι. (1) Do you participate in a wrap fee program? O  $\odot$ (2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as: (a) sponsor to a wrap fee program (b) portfolio manager for a wrap fee program? (c) sponsor to and portfolio manager for the same wrap fee program? \$ If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b). If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Schedule D. If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee program, do not check Item 5.1.(1) or enter any amounts in response to Item 5.1.(2). Yes No J. (1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of 0  $\odot$ investments? (2) Do you report *client* assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your  $\mathbf{O}$  $\odot$ regulatory assets under management? Separately Managed Account Clients Κ. Yes No (1) Do you have regulatory assets under management attributable to *clients* other than those listed in Item 5.D.(3)(d)-(f) (separately  $\mathbf{O}$  $\odot$ managed account *clients*)? If yes, complete Section 5.K.(1) of Schedule D. (2) Do you engage in borrowing transactions on behalf of any of the separately managed account *clients* that you advise?  $\circ$  $\circ$ If yes, complete Section 5.K.(2) of Schedule D. (3) Do you engage in derivative transactions on behalf of any of the separately managed account *clients* that you advise?  $\circ$  $\circ$ If yes, complete Section 5.K.(2) of Schedule D. (4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold 0 0 ten percent or more of this remaining amount of regulatory assets under management? If yes, complete Section 5.K.(3) of Schedule D for each custodian. Marketing Activities L. Yes No (1) Do any of your *advertisements* include: (a) Performance results?  $\odot$ 0 (b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?  $\odot$ 0

 $\odot$ 

 $\circ$ 

(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?

(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	$\odot$	0
(e) Third-party ratings?	0	۲
(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	0	٥
(3) Do any of your advertisements include hypothetical performance?	0	۲
(4) Do any of your advertisements include predecessor performance?	0	o

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

No Information Filed

SECTION 5.1.(2) Wrap Fee Programs

No Information Filed

## SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Asset Type	Mid-year	End of year
(i) Exchange-Traded Equity Securities	%	%
(ii) Non Exchange-Traded Equity Securities	%	%
(iii) U.S. Government/Agency Bonds	%	%
(iv) U.S. State and Local Bonds	%	%
(v) Sovereign Bonds	%	%
(vi) Investment Grade Corporate Bonds	%	%
(vii) Non-Investment Grade Corporate Bonds	%	%
(viii) Derivatives	%	%
(ix) Securities Issued by Registered Investment Companies or Business Development Companies	%	%
<ul> <li>Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)</li> </ul>	S %	%
(xi) Cash and Cash Equivalents	%	%
(xii) Other	%	%

Generally describe any assets included in "Other"

(i)	Exchange-Traded Equity Securities	%
(ii)	Non Exchange-Traded Equity Securities	%
(iii)	U.S. Government/Agency Bonds	%
(iv)	U.S. State and Local Bonds	%
(v)	Sovereign Bonds	%
(vi)	Investment Grade Corporate Bonds	%
(vii)	Non-Investment Grade Corporate Bonds	%
(viii)	Derivatives	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%
(xi)	Cash and Cash Equivalents	%
(xii)	Other	%

Generally describe any assets included in "Other"

## SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

□ No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3)	Derivative E	xposures		
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative		(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3)	Derivative E	xposures		
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative		(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative

Less than 10%	\$ \$	%	%	%	%	%	%
10-149%	\$ \$	%	%	%	%	%	%
150% or more	\$ \$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

SE	ECTION 5.K.(3) Custodians for Separately Managed Accounts								
		No Information Filed							
Ite	m 6 C	ther Business Activities							
In	this I	em, we request information about your firm's other business activities.							
Α.	You	are actively engaged in business as a (check all that apply):							
		(1) broker-dealer (registered or unregistered)							
		(2) registered representative of a broker-dealer							
		(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)							
		(4) futures commission merchant							
		(5) real estate broker, dealer, or agent							
		(6) insurance broker or agent							
		(7) bank (including a separately identifiable department or division of a bank)							
		(8) trust company							
		<ul> <li>(9) registered municipal advisor</li> <li>(10) registered acquisity based even dealer</li> </ul>							
		<ul><li>(10) registered security-based swap dealer</li><li>(11) major security-based swap participant</li></ul>							
		(12) accountant or accounting firm							
		(12) lawyer or law firm							
		(14) other financial product salesperson (specify):							
	If y	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.							
			Yes	No					
В.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	$\circ$	$\odot$					
	(2)	If yes, is this other business your primary business?	$\circ$	0					
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that na	me.						
				No					
	(3)	Do you sell products or provide services other than investment advice to your advisory clients?	0	$\odot$					

If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.

#### No Information Filed

#### SECTION 6.B.(2) Description of Primary Business

Describe your primary business (not your investment advisory business):

If you engage in that business under a different name, provide that name:

#### SECTION 6.B.(3) Description of Other Products and Services

Describe other products or services you sell to your *client*. You may omit products and services that you listed in Section 6.B.(2) above.

If you engage in that business under a different name, provide that name:

#### Item 7 Financial Industry Affiliations

In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your *clients*.

A. This part of Item 7 requires you to provide information about you and your *related persons*, including foreign affiliates. Your *related persons* are all of your *advisory affiliates* and any *person* that is under common *control* with you.

You have a *related person* that is a (check all that apply):

- (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
- (2) other investment adviser (including financial planners)
- □ (3) registered municipal advisor
- (4) registered security-based swap dealer
- □ (5) major security-based swap participant
- (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- (7) futures commission merchant
- (8) banking or thrift institution
- (9) trust company
- (10) accountant or accounting firm
- (11) lawyer or law firm
- (12) insurance company or agency
- (13) pension consultant
- (14) real estate broker or dealer
- (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
- (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).

Note that if you are filing an umbrella registration, you should not check Item 7.A. (2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

## SECTION 7.A. Financial Industry Affiliations

Complete a separate Schedule D Section 7.A. for each related person listed in Item 7.A.

- 1. Legal Name of *Related Person*: ELLIOTT ADVISORS GP LLC
- 2. Primary Business Name of *Related Person*:

	ELLIOTT ADVISORS GP LLC					
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)					
	or Other					
4.	Related Person's (a) CRD Number (if any):					
	292231 (b) CIK Number(s) (if any):					
	No Information Filed					
5.	Related Person is: (check all that apply) (a) D broker-dealer, municipal securities dealer, or government securities broker or dealer					
	(b) ther investment adviser (including financial planners)					
	<ul> <li>(c) registered municipal advisor</li> <li>(d) registered security-based swap dealer</li> </ul>					
	(e) major security-based swap participant					
	<ul> <li>(f)</li></ul>					
	(h) banking or thrift institution					
	<ul> <li>(i) □ trust company</li> <li>(j) □ accountant or accounting firm</li> </ul>					
	(k) lawyer or law firm					
	<ul> <li>(I) I insurance company or agency</li> <li>(m) pension consultant</li> </ul>					
	<ul> <li>(n) a real estate broker or dealer</li> <li>(o) a sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> </ul>					
	<ul> <li>(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>					
6.	Do you control or are you controlled by the related person?	Yes O	No ©			
7.	Are you and the <i>related person</i> under common <i>control</i> ?	$\odot$	0			
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?</li> </ul>	00	© 0			
	<ul> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i></li> <li>Number and Street 1:</li> </ul>	'asse	ets:			
	City: State: Country: ZIP+4/Postal Code:					
	If this address is a private residence, check this box: $\square$	Yes	No			
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	$\odot$			
	(b) If the answer is yes, under what exemption?					
10.	<ul> <li>(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>?</li> <li>(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered version. No Information Filed</li> </ul>	O red.	©			
11.	Do you and the related person share any supervised persons?	o	0			
10	Do you and the related person share the same physical location?	_	-			
12.	Do you and the <i>related person</i> share the same physical location?	o	0			
1.	Legal Name of <i>Related Person</i> : EIMFL LLC					
2.	Primary Business Name of <i>Related Person</i> : EIMFL LLC					
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -					
	or Other					

4.	Rela	elated Person's						
	(a)	CRD Number (if any): 311380						
	(b)	CIK Number(s) (if any): No Information Filed						
5.	<ul> <li>(a)</li> <li>(b)</li> <li>(c)</li> <li>(d)</li> <li>(e)</li> <li>(f)</li> <li>(g)</li> <li>(h)</li> <li>(i)</li> <li>(j)</li> <li>(k)</li> <li>(l)</li> </ul>	ted Person is: (check all that apply)         broker-dealer, municipal securities dealer, or government securities broker or dealer         other investment adviser (including financial planners)         registered municipal advisor         registered security-based swap dealer         major security-based swap participant         commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         futures commission merchant         banking or thrift institution         trust company         accountant or accounting firm         lawyer or law firm         insurance company or agency						
	(m)							
		<ul> <li>real estate broker or dealer</li> <li>sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> </ul>						
	• •	<ul> <li>sponsor of synapolicities infinited partnerships (or equivalent), oxerdaning pooled investment vehicles</li> <li>sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>						
			Yes	No				
6.	Do y	you control or are you controlled by the related person?	$\odot$	0				
7.	Are <u>y</u>	you and the <i>related person</i> under common <i>control</i> ?	0	0				
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$				
	(b) (c)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:		o ets:				
			Yes	No				
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	$\odot$				
	(b)	If the answer is yes, under what exemption?						
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register No Information Filed	O ed.	•				
11.	Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	$\odot$	$\circ$				
12.	Do y	you and the <i>related person</i> share the same physical location?	0	0				
1.	-	al Name of <i>Related Person</i> : SBERG AGGREGATOR GP LLC						
2.		ary Business Name of <i>Related Person</i> : SBERG AGGREGATOR GP LLC						
3.	Rela -	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)						
	or Othe	∋r						
4.	Rela	ted Person's						
	(a)	CRD Number (if any):		1				
	(b)	292265 CIK Number(s) (if any):						
	/							

No Information Filed

5.	Related Person is: (check all that apply)		
	<ul> <li>(a) broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>(b) other investment adviser (including financial planners)</li> </ul>		
	(c) registered municipal advisor		
	(d)  registered security-based swap dealer		
	(e) 🗖 major security-based swap participant		
	(f) C commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	<ul> <li>(g) futures commission merchant</li> <li>(h) banking or thrift institution</li> </ul>		
	(i) Trust company		
	(j) accountant or accounting firm		
	(k) 🗖 lawyer or law firm		
	<ul> <li>(I) I insurance company or agency</li> <li>(m) pension consultant</li> </ul>		
	<ul> <li>(m) pension consultant</li> <li>(n) real estate broker or dealer</li> </ul>		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	$\odot$
7	Are you and the <i>related person</i> under common <i>control</i> ?	~	~
1.		$\odot$	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	õ	õ
	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	~	~
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients	asse	ets:
	Number and Street 1:Number and Street 2:City:State:Country:ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	$\odot$
	(b) If the answer is yes, under what exemption?		
10	(a) Is the related person registered with a foreign financial regulatory authority?	0	$\odot$
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe	~	۳
	No Information Filed		
11.	Do you and the related person share any supervised persons?	$\odot$	$^{\circ}$
12.	Do you and the <i>related person</i> share the same physical location?	$\odot$	$^{\circ}$
1.	Legal Name of <i>Related Person</i> :		
	EALL LLP		
2.	Primary Business Name of <i>Related Person</i> :		
	EALL LLP		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or		
	Other		
4.	Related Person's (a) CRD Number (if any):		
	292250		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) 🗖 broker-dealer, municipal securities dealer, or government securities broker or dealer		

(b)  $\Box$  other investment adviser (including financial planners)

(c) 
C registered municipal advisor

	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j) (k)	<ul> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> </ul>		
	(k) (l)	insurance company or agency		
	(I) (m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do	you control or are you controlled by the related person?	$\odot$	0
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	$\odot$	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	
		presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	$\sim$	V
		required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asse	ets:
		Number and Street 1:     Number and Street 2:		
		City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	
	(b)	If the answer is yes, under what exemption?	~	~
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	$\odot$	$\circ$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	red.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do	you and the <i>related person</i> share any <i>supervised persons</i> ?	$\odot$	$^{\circ}$
12.	Do	you and the <i>related person</i> share the same physical location?		$\odot$
			$\circ$	
			0	
			0	
1.	•	al Name of <i>Related Person</i> :	0	
1.	•	al Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC	0	
	ELL	IOTT SPECIAL GP, LLC	0	
	ELL	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person</i> :	0	
	ELL	IOTT SPECIAL GP, LLC	0	
	ELL Prin ELL	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person</i> :	0	
2.	ELL Prin ELL <i>Rela</i>	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person:</i> IOTT SPECIAL GP, LLC	0	
2.	ELL Prin ELL	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person:</i> IOTT SPECIAL GP, LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	
2.	ELL Prin ELL <i>Rela</i> - or	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person:</i> IOTT SPECIAL GP, LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	
2.	ELL Prin ELL <i>Rela</i> - or Oth	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person:</i> IOTT SPECIAL GP, LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	0	
2.	ELL Prin ELL <i>Rela</i> - or Oth <i>Rela</i>	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ated Person's CRD Number (if any):	0	
2.	ELL Prin ELL <i>Rela</i> or Oth <i>Rela</i> (a)	IOTT SPECIAL GP, LLC  nary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any): 292238	0	
2.	ELL Prin ELL <i>Rela</i> - or Oth <i>Rela</i>	IOTT SPECIAL GP, LLC  nary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  292238  CIK Number(s) (if any):	0	
2.	ELL Prin ELL <i>Rela</i> or Oth <i>Rela</i> (a)	IOTT SPECIAL GP, LLC  nary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any): 292238	0	
2.	ELL Prin ELL <i>Rela</i> or Oth <i>Rela</i> (a)	IOTT SPECIAL GP, LLC  nary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  292238  CIK Number(s) (if any):	0	
<ol> <li>2.</li> <li>3.</li> <li>4.</li> </ol>	ELL Prin ELL Rela or Oth Rela (a) (b)	IOTT SPECIAL GP, LLC  nary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ated Person's  CRD Number (if any):  292238  CIK Number(s) (if any):	0	
2. 3. 4.	ELL Prin ELL Rela or Oth Rela (a) (b)	IOTT SPECIAL GP, LLC nary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ated Person's <i>CRD</i> Number (if any): 292238 CIK Number(s) (if any): No Information Filed	0	
2. 3. 4.	ELL Prin ELL Rela or Oth Rela (a) (b)	IOTT SPECIAL GP, LLC IOTT SPECIAL GP, LLC ated Person's SEC File Number (If any) (e.g., 801-, 8-, 866-, 802-) er ated Person's CRD Number (If any): 292238 CIK Number(s) (If any): No Information Filed	0	
2. 3. 4.	ELL Prin ELL <i>Rela</i> or Oth <i>Rela</i> (a) (b) <i>Rela</i> (a)	IOTT SPECIAL GP, LLC  hary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC  ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)  er  ted Person's  CRD Number (if any): 292238 CIK Number (if any): No Information Filed  ated Person is: (check all that apply)  Del broker-dealer, municipal securities dealer, or government securities broker or dealer	0	
2. 3. 4.	ELL Prin ELL Rela or Oth Rela (a) (b) Rela (a) (b)	IOTT SPECIAL GP, LLC anary Business Name of <i>Related Person</i> : IOTT SPECIAL GP, LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er ated Person's CRD Number (if any): 292238 CIK Number(s) (if any): No Information Filed ated Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer	0	
2. 3. 4.	ELL Prin ELL Rela or Oth Rela (a) (b) (c) (d) (c) (d) (e)	IOTT SPECIAL GP, LLC IOTT SPECIAL GP, LLC atted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er atted Person's CRD Number (if any): 292238 CIK Number(s) (if any): No Information Filed atted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant	0	
<ol> <li>2.</li> <li>3.</li> <li>4.</li> </ol>	ELL Prin ELL <i>Rela</i> - or Oth <i>Rela</i> (a) (b) (c) (d) (c) (d) (c) (f)	IOTT SPECIAL GP, LLC IDTT SPECIAL GP, LLC ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er  ted Person's CRD Number (if any): 292238 CIK Number(s) (if any): No Information Filed  ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal securities dealer, or government securities broker or dealer broker-dealer, municipal security-based swap dealer	0	
<ol> <li>2.</li> <li>3.</li> <li>4.</li> </ol>	ELL Prin ELL Rela or Oth Rela (a) (b) (c) (d) (c) (d) (e)	IOTT SPECIAL GP, LLC IOTT SPECIAL GP, LLC atted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) er atted Person's CRD Number (if any): 292238 CIK Number(s) (if any): No Information Filed atted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant	0	

	(i)	T trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(I)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(0)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	V	N -
4	Dev	you control or are you controlled by the related person?	Yes	
6.		you control of are you controlled by the related person?	0	$\odot$
-	٨٣٥	view and the related nerver under common control	_	_
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	$\odot$	0
0	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	-	-
8.	(a)		0	$\odot$
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asse	ets:
		Number and Street 1:     Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: $\square$	.,	
	(a)		Yes	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	$\odot$
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	$\odot$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	ed.	
	_	No Information Filed		
11.	Doy	you and the <i>related person</i> share any <i>supervised persons</i> ?	$\odot$	0
	5			
12.	Dog	you and the <i>related person</i> share the same physical location?	$\odot$	0
1.	-	al Name of <i>Related Person:</i> IOTT CO-INVESTMENT GP LLC		
2.		nary Business Name of <i>Related Person:</i> IOTT CO-INVESTMENT GP LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or			
	Oth	er		
4.		ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
	(0)	No Information Filed		
				1
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(C)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j) (k)	accountant or accounting firm		
	(k) (I)	<ul> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul>		
	(I) (m)	<ul> <li>Insurance company or agency</li> <li>pension consultant</li> </ul>		
	(n)	real estate broker or dealer		
	· · · ·			

	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	o
7.	Are you and the <i>related person</i> under common <i>contro</i> ?	$\odot$	0
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?</li> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: </li> </ul>	O O 'asse Yes	
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?		0
	(b) If the answer is yes, under what exemption?	v	Č
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	$\odot$
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe No Information Filed	~	e
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	$\odot$	0
12.	Do you and the <i>related person</i> share the same physical location?	$\odot$	0
	Legal Name of <i>Related Person</i> : EIMCT LLC Primary Business Name of <i>Related Person</i> : EIMCT LLC		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any): 312162		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply)         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (g)       futures company         (j)       accountant or accounting firm         (k)       lawyer or law firm         (j)       insurance company or agency         (m)       pension consultant         (n)       real estate broker or dealer         (o)       sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles         (p)       sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	O	0

7. Are you and the *related person* under common *contro*?

 $\odot$   $\circ$ 

8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\circ$
			_	õ
	(C)	If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients's</i> Number and Street 1:       Number and Street 2:         City:       State:       Country:       ZIP+4/Postal Code:	isse	ts:
		If this address is a private residence, check this box:		Na
9.	(a)		es O	•
	(b)	If the answer is yes, under what exemption?	~	~
10.		Is the related person registered with a foreign financial regulatory authority?	0	⊙
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	ed.	
11.	Do y	ou and the related person share any supervised persons?	o	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	o
1.	•	I Name of <i>Related Person</i> :		
	ELLI	OTT CAPITAL ADVISORS, L.P.		
2.		ary Business Name of <i>Related Person:</i> DTT CAPITAL ADVISORS, L.P.		
2	Dolo	tod Parson's SEC File Number (if any) (e.g. $901, 9, 944, 902$ )		
З.	- -	<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	r		
4.	Relat	ted Person's		
		CRD Number (if any): 292232		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	Relat	ted Person is: (check all that apply)		
0.		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	• •	other investment adviser (including financial planners)		
	• •	<ul> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> </ul>		
	• •	<ul> <li>Tegistered security-based swap dealer</li> <li>major security-based swap participant</li> </ul>		
	• •	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	• •	banking or thrift institution		
	.,	<ul> <li>trust company</li> <li>accountant or accounting firm</li> </ul>		
	V/	I lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	• •	real estate broker or dealer		
		<ul> <li>sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>		
	(4)		'es	No
6.	Do y	ou control or are you controlled by the related person?	0	o
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8. (a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0

(c) If you have answered "yes" to question 8.(a) above, provide the location of the *related person's* office responsible for *custody* of your *clients'* assets:

		Number and Street 1: N	umber and Street 2:	
		City: State: C If this address is a private residence, check this box:	ountry: ZIP+4/Postal Code:	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from re	gistration? Yes 1	No ⊙
		If the answer is yes, under what exemption?		Č
10.	(a)	Is the related person registered with a foreign financial regulatory a	authority?	o
		If the answer is yes, list the name and country, in English of each	<i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. o Information Filed	Č
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	$\odot$	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	$\odot$	0
	-	I Name of <i>Related Person</i> : DTT INTERMEDIATE CO-INVESTMENT GP L.P.		
		ary Business Name of <i>Related Person</i> : DTT INTERMEDIATE CO-INVESTMENT GP L.P.		
3.	Relat	<i>ed Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or Othe	r		
4.	Relat	ed Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):	a Information Filed	
			o Information Filed	
	<ul> <li>(a)</li> <li>(b)</li> <li>(c)</li> <li>(d)</li> <li>(e)</li> <li>(f)</li> <li>(g)</li> <li>(h)</li> <li>(i)</li> <li>(j)</li> <li>(k)</li> <li>(l)</li> <li>(m)</li> <li>(n)</li> <li>(o)</li> </ul>	<ul> <li>other investment adviser (including financial planners)</li> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> <li>major security-based swap participant</li> <li>commodity pool operator or commodity trading advisor (whe</li> <li>futures commission merchant</li> <li>banking or thrift institution</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul>	ther registered or exempt from registration)	No
6.	Do y	ou control or are you controlled by the related person?	0	•
7.	Are y	you and the related person under common control?	$\odot$	0
8.	(a)	Does the related person act as a qualified custodian for your client	s in connection with advisory services you provide to <i>clients</i> ?	o
		If you are registering or registered with the SEC and you have an presumption that you are not operationally independent (pursuan required to obtain a surprise examination for your <i>clients'</i> funds o	t to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	0
	(c)		location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> asset umber and Street 2:	S:
		City: State: C	ountry: ZIP+4/Postal Code:	
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from re	gistration?	

(b) If the answer is yes, under what exemption?

10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	$\odot$
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registere No Information Filed	ed.	
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
1.	0	Il Name of <i>Related Person:</i> OTT FINANCIAL ITALIA S.R.L.		
2.		ary Business Name of <i>Related Person</i> : OTT FINANCIAL ITALIA S.R.L.		
3.	Relai -	<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe			
4.		<i>ted Person's</i> <i>CRD</i> Number (if any): 318704		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	<ul> <li>(a)</li> <li>(b)</li> <li>(c)</li> <li>(d)</li> <li>(e)</li> <li>(f)</li> <li>(g)</li> <li>(h)</li> <li>(l)</li> <li>(l)</li> <li>(m)</li> <li>(n)</li> <li>(o)</li> </ul>	ted Person is: (check all that apply)         broker-dealer, municipal securities dealer, or government securities broker or dealer         other investment adviser (including financial planners)         registered municipal advisor         registered security-based swap dealer         major security-based swap participant         commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         futures commission merchant         banking or thrift institution         trust company         accountant or accounting firm         lawyer or law firm         insurance company or agency         pension consultant         real estate broker or dealer         sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles         sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	<i>z</i> es	Νο
6.	Do y	ou control or are you controlled by the related person?	0	0
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	(a) (b)			⊙ 0
	(c)	If you have answered "yes" to question 8. (a) above, provide the location of the related person's office responsible for custody of your clients' a Number and Street 1:         Number and Street 1:       Number and Street 2:         City:       State:       Country:       ZIP+4/Postal Code:         If this address is a private residence, check this box:       Image: Country is a private residence, check this box:       Image: Country is a private residence, check this box:		
9.	(a)			No
7.	(a) (b)	If the answer is yes, under what exemption?	0	O
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	$\odot$
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registere No Information Filed		~
11.	Do y	ou and the related person share any supervised persons?	$\odot$	0

1.	Legal Name of <i>Related Person</i> :		
	ELLIOTT ALTO AGGREGATOR GP LLC		
2.	Primary Business Name of <i>Related Person</i> : ELLIOTT ALTO AGGREGATOR GP LLC		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply)         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (j)       trust company         (j)       accountant or accounting firm		
	<ul> <li>(k) □ lawyer or law firm</li> <li>(l) □ insurance company or agency</li> <li>(m) □ pension consultant</li> <li>(n) □ real estate broker or dealer</li> <li>(o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>	Yes	No
6.	Do you control or are you controlled by the related person?	0	©
7.	Are you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?</li> </ul>	000	0 0
	<ul> <li>(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1:</li> <li>City: State:</li> <li>Country:</li> <li>ZIP+4/Postal Code:</li> <li>If this address is a private residence, check this box:</li> </ul>		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	0
	(b) If the answer is yes, under what exemption?		
10.	<ul> <li>(a) Is the related person registered with a foreign financial regulatory authority?</li> <li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register</li> </ul>	_	•
11	No Information Filed Do you and the <i>related person</i> share any <i>supervised persons</i> ?	~	~
	Do you and the <i>related person</i> share the same physical location?	•	0
· ∠.		o	0

2.	Primary Business Name of <i>Related Person</i> : ELLIOTT METRON AGGREGATOR GP LLC						
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)						
	- or						
	Other						
4.	Related Person's						
	(a) CRD Number (if any):						
	(b) CIK Number(s) (if any):						
	No Information Filed						
5.	<ul> <li><i>Related Person</i> is: (check all that apply)</li> <li>(a)  broker-dealer, municipal securities dealer, or government securities broker or dealer</li> </ul>						
	(b) □ other investment adviser (including financial planners)						
	(c)  registered municipal advisor						
	(d) registered security-based swap dealer						
	<ul> <li>(e) major security-based swap participant</li> <li>(f) major security page appropriate or commodity trading advisor (whether registered or exampt from registration)</li> </ul>						
	<ul> <li>(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>(g) futures commission merchant</li> </ul>						
	(h) D banking or thrift institution						
	(i) Trust company						
	(j) accountant or accounting firm						
	<ul> <li>(k) lawyer or law firm</li> <li>(l) insurance company or agency</li> </ul>						
	(m) pension consultant						
	(n) Treal estate broker or dealer						
	<ul> <li>(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>						
		/es	No				
6.	Do you control or are you controlled by the related person?	0	$\odot$				
7.	Are you and the <i>related person</i> under common <i>control</i> ?	•	0				
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	$\odot$				
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0				
	(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> a	asse	ets:				
	Number and Street 1: Number and Street 2:						
	City: State: Country: ZIP+4/Postal Code:						
	If this address is a private residence, check this box: $\square$	/es	No				
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	$\circ$				
	(b) If the answer is yes, under what exemption?						
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	$\odot$				
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	_	Č				
11.	Do you and the related person share any supervised persons?	$\odot$	0				
12.	Do you and the <i>related person</i> share the same physical location?	•	0				
1.	Legal Name of <i>Related Person</i> :						
	ELLIOTT CIC 2 INTERMEDIATE GP L.P.						
2.	Primary Business Name of <i>Related Person</i> : ELLIOTT CIC 2 INTERMEDIATE GP L.P.						
0							

<sup>3.</sup> Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)

	or Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply)         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (j)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency         (m)       pension consultant         (n)       real estate broker or dealer         (o)       sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles         (p)       sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Y	Yes Ö	No ©
7.	Are you and the <i>related person</i> under common <i>control</i> ?	o	0
8.			• •
	<ul> <li>(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients' a</i> Number and Street 1:</li> <li>City: State: Country: ZIP+4/Postal Code:</li> <li>If this address is a private residence, check this box: </li> </ul>	asse	ts:
	Ŷ	Yes	No
9.	<ul><li>(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?</li><li>(b) If the answer is yes, under what exemption?</li></ul>	0	0
10.	<ul> <li>(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>?</li> <li>(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed</li> </ul>		٥
11.	Do you and the related person share any supervised persons?	$\odot$	0
12.	Do you and the <i>related person</i> share the same physical location?	0	0
1.	Legal Name of <i>Related Person</i> : ELLIOTT ADVISORS (UK) LIMITED		
2.	Primary Business Name of <i>Related Person:</i> ELLIOTT ADVISORS (UK) LIMITED		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -		
	or Other		
4.	Related Person's (a) CRD Number (if any): 292248		

	(b)	CIK Number(s) (if any): No Information Filed		
5.	<ul> <li>(a)</li> <li>(b)</li> <li>(c)</li> <li>(d)</li> <li>(e)</li> <li>(f)</li> <li>(g)</li> <li>(h)</li> <li>(i)</li> <li>(j)</li> <li>(k)</li> <li>(l)</li> <li>(m)</li> <li>(n)</li> </ul>	<ul> <li>other investment adviser (including financial planners)</li> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> <li>major security-based swap participant</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> <li>banking or thrift institution</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul>		
4	Dev	ion control or are your controlled by the related nerver?	Yes	
6.	Do у	you control or are you controlled by the related person?	$\odot$	С
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	۲	c
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	c
	(b) (c)	If you are registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' Number and Street 1:Number and Street 1:Number and Street 2:City:State:Country:ZIP+4/Postal Code:		C ets
		If this address is a private residence, check this box: $\square$		
9.	$(\mathbf{a})$	If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
7.	(a) (b)	If the answer is yes, under what exemption?	0	©
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	$\odot$	С
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regi	stered.	
		Name of Country/English Name of Foreign Financial Regulatory Authority United Kingdom - Financial Conduct Authority		
11	Do γ	you and the related person share any supervised persons?		~
	20 }		U	C
12.	Do y	you and the <i>related person</i> share the same physical location?	0	C
1.	•	al Name of <i>Related Person</i> : IBLEDON MANAGEMENT LLC		
2.		nary Business Name of <i>Related Person:</i> IBLEDON MANAGEMENT LLC		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	<i>Rela</i> (a) (b)	ated Person's CRD Number (if any): 307072 CIK Number(s) (if any):		

No Information Filed

	(a) 🗖 broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) 🗖 other investment adviser (including financial planners)		
	(c) 🗖 registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) 🗖 major security-based swap participant		
	(f) 🗹 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) 🗖 futures commission merchant		
	(h) 🗖 banking or thrift institution		
	(i)  trust company		
	(j) accountant or accounting firm		
	(k) 🗖 lawyer or law firm		
	(I) Insurance company or agency		
	(m) 🗖 pension consultant		
	(n) 🗖 real estate broker or dealer		
	(o) 🗖 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗖 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	No
6.	Do you control or are you controlled by the related person?	0	$\odot$
7.	Are you and the <i>related person</i> under common <i>control</i> ?	$\odot$	0
		· ·	~
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	~	~
0.		0	
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	o
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your client	ents' asset	ts:
	Number and Street 1: Number and Street 2:		
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: 🗖		
		Yes	No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	$\odot$
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regulatory authority with which the related person is regulated person.		e
	No Information Filed	jistereu.	
11	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	~	_
11.	. Do you and the related person share any supervised persons?	$\odot$	O
10	Do you and the related person share the same physical leastion?		_
12.	. Do you and the <i>related person</i> share the same physical location?	$\odot$	O
1	Legal Name of <i>Related Person</i> :		
•••	HAMBLEDON, INC.		
2.	Primary Business Name of Related Person:		
	HAMBLEDON, INC.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-		
	or		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	292242		
	(b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply)		
	(a) 🗖 broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b) D other investment adviser (including financial planners)		
	(c) C registered municipal advisor		
	(d) C registered security-based swap dealer		
	(e) amajor security-based swap participant		
	(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		

	<ul> <li>(g) □ futures commission merchant</li> <li>(h) □ banking or thrift institution</li> <li>(i) □ trust company</li> </ul>	
	<ul> <li>(i) □ trust company</li> <li>(j) □ accountant or accounting firm</li> </ul>	
	(k) 🗖 lawyer or law firm	
	(I) Insurance company or agency	
	(m) 🗖 pension consultant (n) 🗖 real estate broker or dealer	
	(o) Sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	
6.	5. Do you control or are you controlled by the related person?	Yes No
7.	7. Are you and the <i>related person</i> under common <i>control</i> ?	• •
8.	3. (a) Does the related person act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	00
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	00
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your Number and Street 1: Number and Street 2:	<i>clients'</i> assets:
	City: State: Country: ZIP+4/Postal Code:	
	If this address is a private residence, check this box: $\square$	Yes No
9.	9. (a) If the related person is an investment adviser, is it exempt from registration?	0 0
	(b) If the answer is yes, under what exemption?	
10.	10. (a) Is the related person registered with a foreign financial regulatory authority?	00
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is n No Information Filed	registered.
11.	1. Do you and the related person share any supervised persons?	• •
12.	12. Do you and the <i>related person</i> share the same physical location?	• •
	1. Legal Name of <i>Related Person:</i> ELLIOTT MANAGEMENT CORPORATION	
1.		
2.	2. Primary Business Name of <i>Related Person</i> : ELLIOTT MANAGEMENT CORPORATION	
2.	<ol> <li>Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li><i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)         <ul> <li>or</li> </ul> </li> </ol>	
2.	<ol> <li>Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li><i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)         <ul> <li>or</li> <li>Other</li> </ul> </li> </ol>	
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2.	<ol> <li>Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li><i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)         <ul> <li>or</li> <li>Other</li> </ul> </li> </ol>	
2.	<ul> <li>2. Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li>3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other</li> <li>4. <i>Related Person's</i> <ul> <li>(a) <i>CRD</i> Number (if any):</li> <li>156601</li> <li>(b) <u>CIK Number(s) (if any):</u></li> </ul> </li> </ul>	
2.	<ul> <li>2. Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li>3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other</li> <li>4. <i>Related Person's</i> <ul> <li>(a) <i>CRD</i> Number (if any): 156601</li> <li>(b) CIK Number(s) (if any):</li> <li>CIK Number</li> </ul> </li> </ul>	
2.	<ul> <li>2. Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li>3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other</li> <li>4. <i>Related Person's</i> <ul> <li>(a) <i>CRD</i> Number (if any):</li> <li>156601</li> <li>(b) <u>CIK Number(s) (if any):</u></li> </ul> </li> </ul>	
<ol> <li>2.</li> <li>3.</li> <li>4.</li> </ol>	<ul> <li>2. Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li>3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other</li> <li>4. <i>Related Person's</i> <ul> <li>(a) <i>CRD</i> Number (if any):</li> <li>156601</li> <li>(b) CIK Number(s) (if any):</li> <li>CIK Number(s) (if any):</li> <li>CIK Number</li> </ul> </li> </ul>	
<ol> <li>2.</li> <li>3.</li> <li>4.</li> </ol>	<ul> <li>2. Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li>3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other</li> <li>4. <i>Related Person's</i> <ul> <li>(a) <i>CRD</i> Number (if any): 156601</li> <li>(b) CIK Number (s) (if any):</li> <li>(cIK Number 1048445</li> </ul> </li> </ul>	
<ol> <li>2.</li> <li>3.</li> <li>4.</li> </ol>	<ul> <li>2. Primary Business Name of <i>Related Person</i>: ELLIOTT MANAGEMENT CORPORATION</li> <li>3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - or Other</li> <li>4. <i>Related Person's</i> <ul> <li>(a) <i>CRD</i> Number (if any):</li> <li>156601</li> <li>(b) CIK Number (s) (if any):</li> <li>CIK Number(s) (if any):</li> <li>CIK Number</li> </ul> </li> <li>5. <i>Related Person</i> is: (check all that apply)</li> </ul>	

- (d)  $\hfill\square$  registered security-based swap dealer
- (e) 🗖 major security-based swap participant
- (f) 🗹 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- (g) 🗖 futures commission merchant
- (h)  $\square$  banking or thrift institution
- (i) 🗖 trust company
- (j)  $\square$  accountant or accounting firm
- (k) 🔲 lawyer or law firm

	(I) insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	<ul> <li>(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>		
	(p) L sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do you control or are you controlled by the related person?	0	o
7.	Are you and the <i>related person</i> under common <i>control</i> ?	۲	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	o
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	õ	õ
	<ul> <li>(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your Number and Street 1:</li> </ul>	clients' asse	ets:
	City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	$\odot$
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	o
10.	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is	~	·
	No Information Filed	5	
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	$\odot$	0
12.	Do you and the <i>related person</i> share the same physical location?	0	o
1.	Legal Name of <i>Related Person</i> : ELLIOTT CIC 2 GP LLC		
2.	Primary Business Name of <i>Related Person:</i> ELLIOTT CIC 2 GP LLC		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
5.	<ul> <li>Related Person is: (check all that apply)</li> <li>(a)  broker-dealer, municipal securities dealer, or government securities broker or dealer</li> </ul>		
	<ul> <li>(a) L broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>(b) L other investment adviser (including financial planners)</li> </ul>		
	(c) registered municipal advisor		
	(d)  registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	<ul> <li>(g) futures commission merchant</li> <li>(h) banking or thrift institution</li> </ul>		
	<ul> <li>(h) L banking or thrift institution</li> <li>(i) L trust company</li> </ul>		
	(j) accountant or accounting firm		
	(k) 🗖 lawyer or law firm		
	(I) insurance company or agency		
	(m) pension consultant		
	(n) real estate broker or dealer		
	<ul> <li>(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>		
		Yes	No

6.	Do ک	you control or are you controlled by the related person?	0	$\odot$
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	$\odot$	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	o
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients	'asse	ets:
		Number and Street 1:     Number and Street 2:		
		City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	o
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registe No Information Filed	red.	
11.	Do y	you and the related person share any supervised persons?	$\odot$	0
12.	Do y	you and the <i>related person</i> share the same physical location?	o	0
Iter	n 7 <i>F</i>	Private Fund Reporting		
			Yes	, No
B. /	Are y	ou an adviser to any private fund?	o	0
	5	es," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the Ince and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt		

sentence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.

In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.

	Funds per Page: 15 💌 Total Funds: 11	
A. PRIVATE FUND		
nformation About the Private Fund		
1. (a) Name of the <i>private fund</i> :		
ELLIOTT ALTO CO-INVESTOR AGGE	EGATOR L.P.	
(b) Private fund identification number:		
(include the "805-" prefix also)		
805-6772619739		
2. Under the laws of what state or count	ry is the <i>private fund</i> organized:	
State:	Country:	
Delaware	United States	
3. (a) Name(s) of General Partner, Mana	ger, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
Name of General Partner, Manager,	Trustee, or Director	

(b) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser(s)* that sponsor(s) or manage(s) this *private fund*.

	Filing Adviser/Relying Adviser Name			
	ELLIOTT INVESTMENT MANAGEMENT L.P.			
	EIMCT LLC			
	EIMFL LLC			
	EIMFL SUB LLC			
	ELLIOTT MANAGEMENT CORPORATION			
	EVERGREEN COAST CAPITAL CORP.			
	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.			
	No Information Filed			
		Yes	No	
	(a) Is this a "master fund" in a master-feeder arrangement?	0	G	
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	0	e	
	No Information Filed			
	No miormation meu			
		Yes	Nc	
	(c) Is this a "feeder fund" in a master-feeder arrangement?	_		
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	$\odot$	
	Name of <i>private fund</i> :			
	Private fund identification number: (include the "805-" prefix also)			
Ì	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:			
	No Information Filed			
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. (a) Is this <i>private fund</i> a "fund of funds"? NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	Yes O	ed	
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	C	
		0		
		Yes	N	
	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	0	
,	What type of fund is the <i>private fund</i> ?			
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other priva	te fui	nd:	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.			
	Current gross asset value of the <i>private fund</i> : \$ 778,802,452			

12.	Mini \$ 0	imum investment commitment required of an investor in the private fund:		
	ΝΟΤ	FE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).	, ,	
13.	Арр 39	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha 0%	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 40%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 6		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	Wha 41%	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : %		
<u>Yo</u>	ır Ad	lvisory Services	Yes	No
17.	(a)	Are you a subadviser to this private fund?	0	•
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ? If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the	<b>O</b> answ	© ′er
		to guestion 18.(a) is "no," leave this guestion blank.		
		to question 18.(a) is "no," leave this question blank. No Information Filed		
		No Information Filed	Yes	No
19.	Are	No Information Filed	Yes	No
19.		No Information Filed		
	NOT	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         proximately what percentage of your clients has invested in the private fund?		
20.	<i>NO</i> 7 App 0%	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         proximately what percentage of your clients has invested in the private fund?         Offering	0	۲
20. <u>Priv</u>	NO7 App 0% <u>/ate</u>	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         proximately what percentage of your clients has invested in the private fund?         Offering		۲
20. Priv 21.	NO7 App 0% <u>/ate</u> Has	No Information Filed your <i>clients</i> solicited to invest in the <i>private fund</i> ? <i>TE: For purposes of this question, do not consider feeder funds of the private fund.</i> proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? Offering	0 Yes	© No
20. <b>Priv</b> 21.	NO7 App 0% /ate Has If ye	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         proximately what percentage of your clients has invested in the private fund?         Offering         the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         Tm D file number	0 Yes	© No
20. Priv 21.	NO7 App 0% /ate Has If ye	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         proximately what percentage of your clients has invested in the private fund?         Offering         • the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):	0 Yes	© No
20. Priv 21. 22.	NO7 App 0% /ate Has If ye 02 <sup>-</sup>	No Information Filed your clients solicited to invest in the private fund? TE: For purposes of this question, do not consider feeder funds of the private fund. wroximately what percentage of your clients has invested in the private fund? Offering the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? es, provide the private fund's Form D file number (if any): m D file number 1-433725	0 Yes	© No
20. Priv 21. 22.	NO7 App 0% /ate Has If ye 02 <sup>-</sup>	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         proximately what percentage of your clients has invested in the private fund?         Offering         the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         Tm D file number	0 Yes	© No
20. <b>Priv</b> 21. 22. B. Sl	NO7 App 0% /ate Has If ye 02 <sup>-2</sup>	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         roximately what percentage of your clients has invested in the private fund?         Offering         the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         m D file number         1-433725         CE PROVIDERS	0 Yes	© No
20. <b>Priv</b> 21. 22. B. Sl	NO7 App 0% /ate Has If ye 02 <sup>-</sup>	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         roximately what percentage of your clients has invested in the private fund?         Offering         the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         m D file number         1-433725         CE PROVIDERS	0 Yes	© No
20. <b>Priv</b> 21. 22. B. SI <u>Auc</u>	NO7 App 0% Vate Has If ye 02 <sup>-</sup> ERVIO	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         roximately what percentage of your clients has invested in the private fund?         Offering         the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         m D file number         1-433725         CE PROVIDERS	O Yes O	© No
20. <b>Priv</b> 21. 22. B. SI <u>Auc</u>	NO7 App 0% Vate Has If ye 02 <sup>-</sup> ERVIO	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         woximately what percentage of your clients has invested in the private fund?         Offering        the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         m D file number         1-433725         CE PROVIDERS         a         (1) Are the private fund's financial statements subject to an annual audit?         (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	O Yes ⊙ Yes ⊙	© No O O O
20. <b>Priv</b> 21. 22. B. SI <u>Auc</u>	NO7 App 0% Vate Has If ye 02 <sup>-</sup> ERVIO	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         workinately what percentage of your clients has invested in the private fund?         Offering         the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         m D file number         1-433725         CE PROVIDERS         s         (1) Are the private fund's financial statements subject to an annual audit?	O Yes ⊙ Yes ⊙	© No O O O
20. <b>Priv</b> 21. 22. B. SI <u>Auc</u>	NO7 App 0% /ate Has If ye 02 <sup>-</sup> ERVIO	No Information Filed         your clients solicited to invest in the private fund?         TE: For purposes of this question, do not consider feeder funds of the private fund.         invisitely what percentage of your clients has invested in the private fund?         Offering        the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         es, provide the private fund's Form D file number (if any):         m D file number         1-433725         CE PROVIDERS         a         (1) Are the private fund's financial statements subject to an annual audit?         (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?         If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing	O Yes ⊙ Yes ⊙	© No O O O

		lame of the auditing firm: GRANT THORNTON LLP				
		be leasting of the sudition		for the private fun	d's sudit (situ, state and sountsu).	
		ne location of the auditing City:	State:	e for the <i>private fun</i>	d's audit (city, state and country): Country:	
		IEW YORK	New York		United States	
	(d) I:	s the auditing firm an <i>indep</i>	endent public accountar	pt?		Yes No O
	(e) Is	s the auditing firm register	ed with the Public Com	oany Accounting Ov	ersight Board?	• •
		f yes, Public Company Acco 48	ounting Oversight Board	-Assigned Number:		
		f "yes" to (e) above, is the ccordance with its rules?	auditing firm subject to	regular inspection	by the Public Company Accounting Oversight Board in	© 0
-	investo	rs?		-	pleted fiscal year distributed to the private fund's	Yes No
(h			_	private fund since	your last annual updating amendment contain unqualifie	ed opinions?
		• No • Report Not Yet R		file an amondmont	to your Form ADV to undate your response when the rend	ort is available
	n you c	πεεκ κεροτι Νοι τει κετειν	ea, you must promptiy	me an amendment	to your Form ADV to update your response when the repo	irt is available.
Prime	Broker					
		a prívata funduca ana ar n	noro primo brokoro?			Yes No
24. (a		ne <i>private fund</i> use one or n	·	stions (b) through	(e) below for each prime broker the <i>private fund</i> uses. I	f the private
		•	• • •		rough (e) separately for each prime broker.	
				No Informatio	n Filed	
Custoc	lian					Yes No
25. (a	If the a	nswer to question 25.(a) is	s "yes," respond to que	stions (b) through	above) to hold some or all of its assets? (g) below for each custodian the <i>private fund</i> uses. If th	• • • • • • • • • • • • • • • • • • •
		es more than one custodia onal Custodian Informatic		questions (b) throug	gh (g) separately for each custodian.	
		•	• · ·		h g) below for each custodian the <i>private fund</i> uses. If	the <i>private</i>
	fund	uses more than one custod	lian, you must complete	e questions (b) thro	ugh (g) separately for each custodian.	
		egal name of custodian: VELLS FARGO BANK, N.A.				
		rimary business name of co VELLS FARGO BANK, N.A.	ustodian:			
	(d) T	he location of the custodia	n's office responsible fo	or <i>custody</i> of the <i>pri</i>	vate fund's assets (city, state and country):	
		City:		State:	Country:	
	S	SAN FRANCISCO		California	United States	Yes No
	(e) I:	s the custodian a <i>related p</i> e	arcan of your firm?			
			erson or your mini?			00
	(f) I1	f the custodian is a broker-		registration numbe	r (if any):	00

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)

KB1H1DSPRFMYMCUFXT09

### **Administrator**

	the answer to question 26.(a) is "yes," respond ust complete questions (b) through (f) separate	•		than one administrator,	you
A	dditional Administrator Information : 1 Recor	d(s) Filed.			
	If the answer to question 26.(a) is "yes," respo administrator, you must complete questions (b)	•	- · · ·	re than one	
	(b) Name of administrator:				
	HARMONIC FUND SERVICES				
	(c) Location of administrator (city, state and co	ountry):			
	City:	State:	Country:		
	GRAND CAYMAN		Cayman Islands	Yes N	~
	(d) Is the administrator a <i>related person</i> of your	r firm?		0 0	
				0.6	
	<ul> <li>(e) Does the administrator prepare and send in</li> <li>• Yes (provided to all investors) • Some (</li> </ul>		ements to the <i>private fund's</i> investors? t not all investors) <sub>O</sub> No (provided to no inve	stors)	
	g your last fiscal year, what percentage of the $p$		est of the) <i>private fund's</i> investors, respond " by value) was valued by a <i>person</i> , such as an		 ot
	elated person?				
leva	e only those assets where (i) such <i>person</i> carrient nt quotes, and (ii) the valuation used for purpo tions) was the valuation determined by such <i>pe</i>	ses of investor subsc			
ters					
) Do	pes the <i>private fund</i> use the services of someon	e other than you or y	your <i>employees</i> for marketing purposes?	Ye	S
sin	ou must answer "yes" whether the <i>person</i> acts a milar <i>person</i> . If the answer to question 28.(a) is ses. If the <i>private fund</i> uses more than one mark	"yes," respond to qu	uestions (b) through (g) below for each such	marketer the private fund	-
		No Infor	mation Filed		

# Information About the Private Fund

- 1. (a) Name of the *private fund*: ELLIOTT ASSOCIATES, L.P.
  - (b) *Private fund* identification number: (include the "805-" prefix also) 805-5338890460

2. Under the laws of what state or country is the *private fund* organized:

State:	Country:	
Delaware	United States	

3.

### (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director

Name of General Farther, Manager, Hustee, of Director
ELLIOTT ADVISORS GP LLC
ELLIOTT CAPITAL ADVISORS, L.P.
ELLIOTT SPECIAL GP, LLC

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

# Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT MANAGEMENT CORPORATION EVERGREEN COAST CAPITAL CORP.

# 4. The *private fund* (check all that apply; you must check at least one):

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

	No Information Filed	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	00
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	
	No Information Filed	
		Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0.0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	0.0
	<i>Private fund</i> identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sec for the master-feeder arrangement or reporting on the funds separately.	tion 7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1 the feeder funds answer the following questions:	), for each of
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantial assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fun	if it issued
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0 0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investive vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	

(b) If yes, does the private fund invest in funds managed by you or by a related person?

9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	$\odot$	0
10.	What type of fund is the <i>private fund</i> ?		
	ullet hedge fund $ullet$ liquidity fund $ullet$ private equity fund $ullet$ real estate fund $ullet$ securitized asset fund $ullet$ venture capital fund $ullet$ Other prive	ate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 29,284,029,732		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in th organizational documents of the fund).	е	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 1077		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 11%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 26%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>Yo</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	<ul><li>(b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17. (a) is "no," leave this question blank.</li></ul>	C r to	o
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	$\odot$
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	$\odot$
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Priv	vate Offering		
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	
22.	If yes, provide the <i>private fund's</i> Form D file number (if any): Form D file number		
	021-370029		

Yes No

				Y	es N
		al statements subject to an annual au		e	•
(2)	f the answer to question 23	.(a)(1) is "yes," are the financial state	ements prepared in accordance with U.S. GAAP?	(	•
	•	<ul><li>(1) is "yes," respond to questions (b)</li><li>) through (f) separately for each audi</li></ul>	) through (h) below. If the <i>private fund</i> uses more than one a ting firm.	uditing fi	rm,
Add	itional Auditor Information	n : 1 Record(s) Filed.			
	•	a)(1) is "yes," respond to questions ( ete questions (b) through (f) separate	(b) through (h) below. If the <i>private fund</i> uses more than one ely for each auditing firm.	<u>;</u>	
(b)	Name of the auditing firm: GRANT THORNTON LLP				
(c)	The location of the auditin	g firm's office responsible for the <i>priv</i>	rate fund's audit (city, state and country):		
	City: NEW YORK	State: New York	Country: United States		
				Yes	s No
(d)	Is the auditing firm an ind	ependent public accountant?		$\odot$	$\circ$
(e)	Is the auditing firm registe	ered with the Public Company Accoun	ting Oversight Board?	©	0
	If yes, Public Company Ac 248	counting Oversight Board-Assigned N	umber:		
(f)	If "yes" to (e) above, is th accordance with its rules?		pection by the Public Company Accounting Oversight Board in	٥	0
				Y	es N
	the <i>private fund's</i> audited fin stors?	ancial statements for the most recen	tly completed fiscal year distributed to the <i>private fund's</i>	6	•
n) Do a	II of the reports prepared by	the auditing firm for the private fund	since your last annual updating amendment contain unqualif	ed opinio	ons?
~	es <sup>O</sup> No <sup>O</sup> Report Not Yet				

## Prime Broker

Yes No 24. (a) Does the *private fund* use one or more prime brokers? If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

	to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the ses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.
(b) Name of	the prime broker:
BARCLAY	S BANK PLC
(c) If the pri	me broker is registered with the SEC, its registration number:
-	iber (if any):

Country:

(d) Location of prime broker's office used principally by the *private fund* (city, state and country):

State:

City:

<ul> <li>Does this prime broker a</li> </ul>	ct as custodian for some or all of the p	rivate fund's assets?	Yes I
-	• • • • • • • • •	through (e) below for each prime broker the <i>priv</i> questions (b) through (e) separately for each prir	
<ul> <li>Name of the prime broket BARCLAYS CAPITAL INC.</li> </ul>	r:		
<ul> <li>If the prime broker is reg</li> <li>8 - 41342</li> </ul>	gistered with the SEC, its registration n	umber:	
CRD Number (if any): 19714			
	s office used principally by the private		
City: NEW YORK	State: New York	Country: United States	
			Yes I
e) Does this prime broker a	ct as custodian for some or all of the $p$	rivate fund's assets?	©
<i>ivate fund</i> uses more than c ) Name of the prime broke	one prime broker, you must complete o r:	through (e) below for each prime broker the <i>priv</i> questions (b) through (e) separately for each prir	
) If the prime broker is reg - CRD Number (if any):	KERAGE INTERNATIONAL, LIMITED gistered with the SEC, its registration n 's office used principally by the <i>private</i> State:		
) If the prime broker is reg - CRD Number (if any): ) Location of prime broker	gistered with the SEC, its registration n 's office used principally by the <i>private</i> .	<i>fund</i> (city, state and country):	
<ul> <li>) If the prime broker is reg</li> <li>-</li> <li>CRD Number (if any):</li> <li>) Location of prime broker'</li> <li>City:</li> <li>DUBLIN</li> </ul>	gistered with the SEC, its registration n 's office used principally by the <i>private</i> . State:	<i>fund</i> (city, state and country): Country: Ireland	
) If the prime broker is reg - CRD Number (if any): I) Location of prime broker' City: DUBLIN	gistered with the SEC, its registration n 's office used principally by the <i>private</i> .	<i>fund</i> (city, state and country): Country: Ireland	
<ul> <li>) If the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> <li>() Location of prime broker' <ul> <li>() Location of prime broker' <ul> <li>() Location of prime broker</li> </ul> </li> <li>() Does this prime broker addition of the answer to question 24.</li> <li>() Name of the prime broker</li> </ul></li></ul>	gistered with the SEC, its registration n 's office used principally by the <i>private</i> of State: ct as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) one prime broker, you must complete of	<i>fund</i> (city, state and country): Country: Ireland	• vate fund uses. If the
<ul> <li>) If the prime broker is reg - CRD Number (if any):</li> <li>i) Location of prime broker's City: DUBLIN</li> <li>e) Does this prime broker ad the answer to question 24. <i>rivate fund</i> uses more than c b) Name of the prime broker</li> </ul>	gistered with the SEC, its registration n 's office used principally by the <i>private</i> . State: ct as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) one prime broker, you must complete o r:	fund (city, state and country): Country: Ireland private fund's assets? through (e) below for each prime broker the priv questions (b) through (e) separately for each prir	• vate fund uses. If the
<ul> <li>) If the prime broker is reg - CRD Number (if any):</li> <li>) Location of prime broker's City: DUBLIN</li> <li>) Does this prime broker as</li> <li>the answer to question 24.</li> <li><i>ivate fund</i> uses more than compare the prime broker</li> <li>) Name of the prime broker is reg -</li> </ul>	gistered with the SEC, its registration n 's office used principally by the <i>private</i> of State: ct as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) one prime broker, you must complete of	fund (city, state and country): Country: Ireland private fund's assets? through (e) below for each prime broker the priv questions (b) through (e) separately for each prir	• vate fund uses. If the
<ul> <li>) If the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> <li>(CRD Number (if any): <ul> <li>(if any):</li> </ul> </li> <li>() Location of prime broker's City: <ul> <li>DUBLIN</li> </ul> </li> <li>() Does this prime broker addition of the prime broke</li></ul>	gistered with the SEC, its registration n 's office used principally by the <i>private</i> . State: ct as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) one prime broker, you must complete o r:	fund (city, state and country): Country: Ireland private fund's assets? through (e) below for each prime broker the priv questions (b) through (e) separately for each prir	• vate fund uses. If the
<ul> <li>) If the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> <li>) Location of prime broker's <ul> <li>City:</li> <li>DUBLIN</li> </ul> </li> <li>e) Does this prime broker as</li> </ul> <li>the answer to question 24. <ul> <li><i>tivate fund</i> uses more than as</li> </ul> </li> <li>e) Name of the prime broker as more than as the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> <li>i) Location of prime broker's reger.</li>	gistered with the SEC, its registration in 's office used principally by the <i>private</i> of State: ct as custodian for some or all of the <i>p</i> (a) is "yes," respond to questions (b) one prime broker, you must complete of r: gistered with the SEC, its registration in 's office used principally by the <i>private</i> of	fund (city, state and country): Country: Ireland rivate fund's assets? through (e) below for each prime broker the priv questions (b) through (e) separately for each prin	• vate fund uses. If the
<ul> <li>) If the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> <li>) Location of prime broker's City: <ul> <li>DUBLIN</li> </ul> </li> <li>e) Does this prime broker and the answer to question 24. <i>civate fund</i> uses more than compared by PARIBAS S.A.</li> <li>) Name of the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> </ul>	gistered with the SEC, its registration in 's office used principally by the <i>private</i> of State: ct as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) one prime broker, you must complete of r: gistered with the SEC, its registration in	fund (city, state and country): Country: Ireland <i>rivate fund's</i> assets? through (e) below for each prime broker the <i>priv</i> questions (b) through (e) separately for each prir	• vate fund uses. If the
<ul> <li>) If the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> <li>() Location of prime broker' <ul> <li>City:</li> <li>DUBLIN</li> </ul> </li> <li>e) Does this prime broker and</li> </ul> <li>the answer to question 24. <ul> <li><i>tivate fund</i> uses more than of</li> </ul> </li> <li>e) Name of the prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li> <li>() Location of prime broker is reg <ul> <li>CRD Number (if any):</li> </ul> </li>	gistered with the SEC, its registration in 's office used principally by the <i>private</i> . State: ct as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) one prime broker, you must complete of r: gistered with the SEC, its registration in 's office used principally by the <i>private</i> . State:	fund (city, state and country): Country: Ireland rivate fund's assets? through (e) below for each prime broker the priv questions (b) through (e) separately for each prin umber:	vate fund uses. If the

	•		hrough (e) below for each prime broker the $p$ uestions (b) through (e) separately for each p	
(b)	Name of the prime broker: BNP PARIBAS SECURITIES CO	DRP.		
(c)		red with the SEC, its registration nu	umber:	
	8 - 32682			
	CRD Number (if any): 15794			
(d)	Location of prime broker's of	fice used principally by the <i>private f</i> i	und (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	
(e)	Does this prime broker act a	s custodian for some or all of the pr	ivate fund's assets?	Yes No © O
			hrough (e) below for each prime broker the $\mu$ uestions (b) through (e) separately for each $\mu$	
(b)	Name of the prime broker: BOFA SECURITIES, INC.			
(c)	If the prime broker is registe 8 - 69787	red with the SEC, its registration nu	umber:	
	CRD Number (if any): 283942			
(d)	Location of prime broker's of	fice used principally by the <i>private f</i> i	und (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
(e)	Does this prime broker act a	s custodian for some or all of the <i>pr</i>	ivate fund's assets?	© 0
	•		hrough (e) below for each prime broker the <i>p</i> uestions (b) through (e) separately for each p	
(b)	Name of the prime broker: CITIBANK, N.A.			
(c)	If the prime broker is registe	red with the SEC, its registration nu	ımber:	
	- CRD Number (if any):			
(d)	Location of prime broker's of	fice used principally by the <i>private f</i>	und (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
lf t	he answer to question 24.(a)	• • • • • • •	hrough (e) below for each prime broker the puestions (b) through (e) separately for each p	
(b)	Name of the prime broker: CITICORP SECURITIES SERVI	CES, INC.		

(c) If the prime broker is registered with the SEC, its registration number:

	ker's office used principally by the private			
City:	State:	Country:		
NEW YORK	New York	United States	Vac	Na
a) Doos this primo brok	er act as custodian for some or all of the	privato fundio accosto?	Yes	-
			۲	0
	· · · ·	) through (e) below for each prime broker the <i>priv</i> e questions (b) through (e) separately for each priv		
b) Name of the prime br CITIGROUP GLOBAL M				
c) If the prime broker is 8 - 8177	registered with the SEC, its registration	number:		
CRD Number (if any):				
7059				
1) Location of prime bro	ker's office used principally by the <i>privat</i> e	e fund (city, state and country)		
City:	State:	Country:		
NEW YORK	New York	United States		
			Yes	No
) Does this prime broke				
the answer to question	• • •	<i>private fund's</i> assets? ) through (e) below for each prime broker the <i>priv</i> e questions (b) through (e) separately for each priv		0
the answer to question	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker:	) through (e) below for each prime broker the <i>pri</i> v	vate fund uses. If the	0
the answer to question <i>rivate fund</i> uses more the Name of the prime br CITIGROUP GLOBAL M	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker:	) through (e) below for each prime broker the <i>pri</i> v e questions (b) through (e) separately for each priv	vate fund uses. If the	0
f the answer to question <i>rivate fund</i> uses more the o) Name of the prime br CITIGROUP GLOBAL M	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker: IARKETS LIMITED	) through (e) below for each prime broker the <i>pri</i> v e questions (b) through (e) separately for each priv	vate fund uses. If the	0
<ul> <li>the answer to question rivate fund uses more that</li> <li>b) Name of the prime br CITIGROUP GLOBAL M</li> <li>c) If the prime broker is -</li> <li>CRD Number (if any):</li> </ul>	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker: IARKETS LIMITED registered with the SEC, its registration	) through (e) below for each prime broker the <i>pri</i> v e questions (b) through (e) separately for each prin number:	vate fund uses. If the	0
<ul> <li>the answer to question rivate fund uses more the prime of the prime br CITIGROUP GLOBAL M</li> <li>c) If the prime broker is -</li> <li>cRD Number (if any):</li> <li>d) Location of prime bro</li> </ul>	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker: IARKETS LIMITED registered with the SEC, its registration	) through (e) below for each prime broker the <i>pri</i> v e questions (b) through (e) separately for each priv number: e fund (city, state and country):	vate fund uses. If the	0
the answer to question <i>rivate fund</i> uses more the Name of the prime br CITIGROUP GLOBAL M ) If the prime broker is - CRD Number (if any):	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker: IARKETS LIMITED registered with the SEC, its registration	) through (e) below for each prime broker the <i>pri</i> v e questions (b) through (e) separately for each prin number:	vate fund uses. If the	0
<ul> <li>the answer to question <i>rivate fund</i> uses more that it is a second to the prime broches of the prime broches of the prime broker is a creation of prime broches of prime broches of prime broches of prime broches of the prime broches</li></ul>	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker: IARKETS LIMITED registered with the SEC, its registration	) through (e) below for each prime broker the <i>priv</i> e questions (b) through (e) separately for each prin number: e <i>fund</i> (city, state and country): Country:	vate fund uses. If the	
<ul> <li>the answer to question</li> <li><i>rivate fund</i> uses more the</li> <li>Name of the prime br</li> <li>CITIGROUP GLOBAL M</li> <li>If the prime broker is</li> <li>CRD Number (if any):</li> <li>Location of prime bro</li> <li>City:</li> <li>LONDON</li> </ul>	24.(a) is "yes," respond to questions (b an one prime broker, you must complete oker: IARKETS LIMITED registered with the SEC, its registration	) through (e) below for each prime broker the <i>priv</i> e questions (b) through (e) separately for each prin number: e <i>fund</i> (city, state and country): Country: United Kingdom	<i>vate fund</i> uses. If the me broker.	
<ul> <li>the answer to question <i>rivate fund</i> uses more that fund uses more that of the prime broker is CITIGROUP GLOBAL M</li> <li>i) If the prime broker is CRD Number (if any):</li> <li>d) Location of prime broker (if any):</li> <li>d) Location of prime broker</li> <li>e) Does this prime broker</li> <li>f the answer to question <i>rivate fund</i> uses more that fund uses more that fund</li></ul>	24. (a) is "yes," respond to questions (b an one prime broker, you must complete oker: MARKETS LIMITED registered with the SEC, its registration ker's office used principally by the <i>private</i> State: er act as custodian for some or all of the 24. (a) is "yes," respond to questions (b an one prime broker, you must complete	) through (e) below for each prime broker the <i>priv</i> e questions (b) through (e) separately for each prin number: e <i>fund</i> (city, state and country): Country: United Kingdom	vate fund uses. If the me broker. Yes	No
<ul> <li>the answer to question rivate fund uses more that fund uses more that of the prime broker is cITIGROUP GLOBAL M</li> <li>i) If the prime broker is cRD Number (if any):</li> <li>d) Location of prime broker (if any):</li> <li>d) Location of prime broker (if any):</li> <li>e) Does this prime broker</li> <li>e) Does this prime broker</li> <li>f the answer to question rivate fund uses more that fund uses more that cREDIT SUISSE AG</li> </ul>	24. (a) is "yes," respond to questions (b an one prime broker, you must complete oker: MARKETS LIMITED registered with the SEC, its registration ker's office used principally by the <i>private</i> State: er act as custodian for some or all of the 24. (a) is "yes," respond to questions (b an one prime broker, you must complete	) through (e) below for each prime broker the <i>priv</i> e questions (b) through (e) separately for each priv number: e <i>fund</i> (city, state and country): Country: United Kingdom <i>private fund's</i> assets?	vate fund uses. If the me broker. Yes	No

DUBLIN		Ireland	
			Yes No
(e) Does this prime bro	ker act as custodian for some	or all of the <i>private fund's</i> assets?	• •
		questions (b) through (e) below for each prime broker the $p$ ust complete questions (b) through (e) separately for each p	
(b) Name of the prime to CREDIT SUISSE SEC			
(c) If the prime broker 8 - 422	is registered with the SEC, its	s registration number:	
CRD Number (if any) 816	):		
(d) Location of prime br	oker's office used principally b	by the <i>private fund</i> (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	k United States	Yes No
(e) Does this prime bro	ker act as custodian for some	or all of the <i>private fund's</i> assets?	
			• •
<ul> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker</li> <li>8 - 129</li> <li>CRD Number (if any)</li> <li>361</li> <li>(d) Location of prime br City:</li> </ul>	CO. LLC is registered with the SEC, its o: roker's office used principally b State:	by the <i>private fund</i> (city, state and country): Country:	
<ul> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker</li> <li>8 - 129</li> <li>CRD Number (if any)</li> <li>361</li> <li>(d) Location of prime br</li> </ul>	CO. LLC is registered with the SEC, its ): roker's office used principally b	by the <i>private fund</i> (city, state and country): Country:	
<ul> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> </ul>	CO. LLC is registered with the SEC, its : roker's office used principally b State: New York	by the <i>private fund</i> (city, state and country): Country: k United States	
<ul> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> </ul>	CO. LLC is registered with the SEC, its : roker's office used principally b State: New York	by the <i>private fund</i> (city, state and country): Country:	Yes No ©
<ul> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> <li>(e) Does this prime bro</li> <li>If the answer to question</li> </ul>	CO. LLC is registered with the SEC, its over's office used principally b State: New York ker act as custodian for some on 24.(a) is "yes," respond to a han one prime broker, you mu	by the <i>private fund</i> (city, state and country): Country: k United States	○ ○ Derivate fund uses. If the
<ul> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> <li>(e) Does this prime bro</li> <li>If the answer to question private fund uses more to GOLDMAN SACHS IN</li> </ul>	CO. LLC is registered with the SEC, its over's office used principally b State: New York ker act as custodian for some on 24.(a) is "yes," respond to a han one prime broker, you mu	by the <i>private fund</i> (city, state and country): Country: K United States e or all of the <i>private fund's</i> assets? questions (b) through (e) below for each prime broker the <i>p</i> sust complete questions (b) through (e) separately for each p	⊙ ○
<ul> <li>GOLDMAN SACHS &amp;</li> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> <li>(e) Does this prime bro</li> <li>If the answer to question private fund uses more to GOLDMAN SACHS IN</li> </ul>	CO. LLC is registered with the SEC, its over the sec office used principally by State: New York ker act as custodian for some on 24.(a) is "yes," respond to a han one prime broker, you mu broker: ITERNATIONAL is registered with the SEC, its	by the <i>private fund</i> (city, state and country): Country: K United States e or all of the <i>private fund's</i> assets? questions (b) through (e) below for each prime broker the <i>p</i> sust complete questions (b) through (e) separately for each p	○ ○ Derivate fund uses. If the
<ul> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> <li>(e) Does this prime bro</li> <li>If the answer to question private fund uses more to GOLDMAN SACHS IN</li> <li>(b) Name of the prime to GOLDMAN SACHS IN</li> <li>(c) If the prime broker - CRD Number (if any)</li> <li>(d) Location of prime br City:</li> </ul>	CO. LLC is registered with the SEC, its roker's office used principally b State: New York ker act as custodian for some an 24.(a) is "yes," respond to a han one prime broker, you mu proker: ITERNATIONAL is registered with the SEC, its o:	by the <i>private fund</i> (city, state and country): Country: United States or all of the <i>private fund's</i> assets? questions (b) through (e) below for each prime broker the <i>p</i> ust complete questions (b) through (e) separately for each p s registration number: by the <i>private fund</i> (city, state and country): Country:	⊙ ○ Derivate fund uses. If the
<ul> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> <li>(e) Does this prime bro</li> <li>If the answer to question private fund uses more to GOLDMAN SACHS IN</li> <li>(c) If the prime broker - CRD Number (if any)</li> <li>(d) Location of prime br</li> </ul>	CO. LLC is registered with the SEC, its roker's office used principally b State: New York ker act as custodian for some on 24.(a) is "yes," respond to a han one prime broker, you mu proker: ITERNATIONAL is registered with the SEC, its :: roker's office used principally b	by the <i>private fund</i> (city, state and country): Country: United States or all of the <i>private fund's</i> assets? questions (b) through (e) below for each prime broker the <i>p</i> ust complete questions (b) through (e) separately for each p s registration number:	private fund uses. If the
<ul> <li>GOLDMAN SACHS &amp;</li> <li>GOLDMAN SACHS &amp;</li> <li>(c) If the prime broker 8 - 129 CRD Number (if any) 361</li> <li>(d) Location of prime br City: NEW YORK</li> <li>(e) Does this prime bro</li> <li>If the answer to question private fund uses more to GOLDMAN SACHS IN</li> <li>(b) Name of the prime to GOLDMAN SACHS IN</li> <li>(c) If the prime broker - CRD Number (if any)</li> <li>(d) Location of prime br City: LONDON</li> </ul>	CO. LLC is registered with the SEC, its roker's office used principally b State: New York ker act as custodian for some an 24.(a) is "yes," respond to a han one prime broker, you mu broker: ITERNATIONAL is registered with the SEC, its coker's office used principally b State:	by the <i>private fund</i> (city, state and country): Country: United States or all of the <i>private fund's</i> assets? questions (b) through (e) below for each prime broker the <i>p</i> ust complete questions (b) through (e) separately for each p s registration number: by the <i>private fund</i> (city, state and country): Country:	orivate fund uses. If the prime broker.

(b) Name of the prime broker J.P. MORGAN SECURITIES			
(c) If the prime broker is reg	istered with the SEC, its regist	tration number:	
8 - 35008			
CRD Number (if any): 79			
(d) Location of prime broker's	s office used principally by the	private fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes No
(e) Does this prime broker ac	et as custodian for some or all	of the <i>private fund's</i> assets?	© 0
-	ne prime broker, you must co	ions (b) through (e) below for each prime broker th omplete questions (b) through (e) separately for eac	-
	istered with the SEC, its regist	tration number:	
-	istered with the SLC, its regist		
CRD Number (if any):			
(d) Location of prime broker's	s office used principally by the	<i>private fund</i> (city, state and country):	
City:	State:	Country:	
LONDON		United Kingdom	Yes No
(e) Does this prime broker ac	t as custodian for some or all	of the private fund's assets?	• • •
		·	
	ne prime broker, you must co	ions (b) through (e) below for each prime broker th omplete questions (b) through (e) separately for eac	
(c) If the prime broker is reg	istered with the SEC, its regist	tration number:	
CRD Number (if any):			
(d) Location of prime broker's		<i>private fund</i> (city, state and country):	
	s office used principally by the State:	<i>private fund</i> (city, state and country): Country: United Kingdom	
(d) Location of prime broker's City: LONDON		Country: United Kingdom	Yes No ⊙ ○
(d) Location of prime broker's City: LONDON	State:	Country: United Kingdom	
<ul> <li>(d) Location of prime broker's City: LONDON</li> <li>(e) Does this prime broker ac</li> <li>If the answer to question 24.</li> </ul>	State: ct as custodian for some or all (a) is "yes," respond to questi	Country: United Kingdom	e <i>private fund</i> uses. If the

(c) If the prime broker is registered with the SEC, its registration number:

	- CRD Number (if any):			
(d)	Location of prime broker's office used principally by the p	private fund (city, state and country):		
	City: State:	Country:		
	LONDON	United Kingdom	Yes	No
(e)	Does this prime broker act as custodian for some or all o	of the <i>private fund's</i> assets?	•	0
	· · · · · ·	ons (b) through (e) below for each prime broker the <i>private fund</i> uses. mplete questions (b) through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: MORGAN STANLEY & CO. LLC			
(c)	If the prime broker is registered with the SEC, its registr 8 - 15869	ration number:		
	CRD Number (if any): 8209			
(d)	Location of prime broker's office used principally by the $p$	private fund (city, state and country):		
	City: State:	Country:		
	NEW YORK New York	United States	Ves	No
(e)	Does this prime broker act as custodian for some or all o	of the <i>private fund's</i> assets?	©	0
	Name of the prime broker: NOMURA INTERNATIONAL PLC	ration number:		
(C)	If the prime broker is registered with the SEC, its registr -	ration number:		
	CRD Number (if any):			
(d)	Location of prime broker's office used principally by the $p$			
	City: State: LONDON	Country: United Kingdom		
			Yes	No
(e)	Does this prime broker act as custodian for some or all o	of the <i>private fund's</i> assets?	۲	0
		ons (b) through (e) below for each prime broker the <i>private fund</i> uses. mplete questions (b) through (e) separately for each prime broker.	If the	
priv			If the	
priv (b)	Name of the prime broker: NOMURA SECURITIES INTERNATIONAL, INC.	mplete questions (b) through (e) separately for each prime broker.	If the	
priv (b)	Name of the prime broker: NOMURA SECURITIES INTERNATIONAL, INC. If the prime broker is registered with the SEC, its registr 8 - 15255	mplete questions (b) through (e) separately for each prime broker.	If the	
priv (b)	Name of the prime broker: NOMURA SECURITIES INTERNATIONAL, INC.	mplete questions (b) through (e) separately for each prime broker.	If the	
<i>pri</i> (b) (c)	Name of the prime broker: NOMURA SECURITIES INTERNATIONAL, INC. If the prime broker is registered with the SEC, its registr 8 - 15255 CRD Number (if any): 4297	mplete questions (b) through (e) separately for each prime broker.	If the	
<i>pri</i> (b) (c)	Name of the prime broker: NOMURA SECURITIES INTERNATIONAL, INC. If the prime broker is registered with the SEC, its registr 8 - 15255 CRD Number (if any):	mplete questions (b) through (e) separately for each prime broker.	If the	

			Yes
e) Does this prime broker a	act as custodian for some or all of the pa	ivate fund s'assets?	۲
-	· · · · · · · · · · · · · · · · · · ·	hrough (e) below for each prime broker the pr uestions (b) through (e) separately for each pr	
) Name of the prime broke PERSHING LLC	؛r:		
) If the prime broker is reg 8 - 17574	gistered with the SEC, its registration n	umber:	
CRD Number (if any): 7560			
•	's office used principally by the private f		
City: JERSEY CITY	State: New Jersey	Country: United States	
			Yes
) Does this prime broker a	act as custodian for some or all of the pa	ivate fund's assets?	۲
UBS AG	er: gistered with the SEC, its registration n	ımber:	
UBS AG		ımber:	
UBS AG If the prime broker is req - CRD Number (if any): ) Location of prime broker	gistered with the SEC, its registration n 's office used principally by the <i>private f</i>	und (city, state and country):	
UBS AG If the prime broker is reg - CRD Number (if any):	gistered with the SEC, its registration n		
UBS AG If the prime broker is reg - CRD Number (if any): Location of prime broker City:	gistered with the SEC, its registration n 's office used principally by the <i>private f</i>	<i>und</i> (city, state and country): Country:	Yes
UBS AG If the prime broker is reg - CRD Number (if any): Location of prime broker City: LONDON	gistered with the SEC, its registration n 's office used principally by the <i>private f</i>	<i>und</i> (city, state and country): Country: United Kingdom	Yes
UBS AG ) If the prime broker is reg - CRD Number (if any): ) Location of prime broker City: LONDON ) Does this prime broker a the answer to question 24 <i>ivate fund</i> uses more than of ) Name of the prime broke	gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State: act as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) for one prime broker, you must complete o	<i>und</i> (city, state and country): Country: United Kingdom	ivate fund uses. If the
UBS AG If the prime broker is reg CRD Number (if any): CRD Number (if any): Location of prime broker City: LONDON Does this prime broker a the answer to question 24 <i>ivate fund</i> uses more than a Name of the prime broke UBS SECURITIES LLC	gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State: act as custodian for some or all of the <i>p</i> . (a) is "yes," respond to questions (b) for one prime broker, you must complete c	<i>und</i> (city, state and country): Country: United Kingdom <i>ivate fund's</i> assets? hrough (e) below for each prime broker the <i>pr</i> uestions (b) through (e) separately for each pr	ivate fund uses. If the
UBS AG If the prime broker is reg CRD Number (if any): Location of prime broker City: LONDON Does this prime broker a the answer to question 24 <i>ivate fund</i> uses more than a Name of the prime broke UBS SECURITIES LLC If the prime broker is reg 8 - 22651	gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State: act as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) for one prime broker, you must complete o	<i>und</i> (city, state and country): Country: United Kingdom <i>ivate fund's</i> assets? hrough (e) below for each prime broker the <i>pr</i> uestions (b) through (e) separately for each pr	€ <i>ivate fund</i> uses. If the
UBS AG If the prime broker is reg CRD Number (if any): CRD Number (if any): Location of prime broker City: LONDON Does this prime broker a the answer to question 24 <i>ivate fund</i> uses more than a Name of the prime broke UBS SECURITIES LLC If the prime broker is reg	gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State: act as custodian for some or all of the <i>p</i> . (a) is "yes," respond to questions (b) for one prime broker, you must complete c	<i>und</i> (city, state and country): Country: United Kingdom <i>ivate fund's</i> assets? hrough (e) below for each prime broker the <i>pr</i> uestions (b) through (e) separately for each pr	€ <i>ivate fund</i> uses. If the
UBS AG If the prime broker is reg CRD Number (if any): Location of prime broker City: LONDON Does this prime broker a the answer to question 24 <i>ivate fund</i> uses more than a Name of the prime broke UBS SECURITIES LLC If the prime broker is reg 8 - 22651 CRD Number (if any): 7654	gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State: act as custodian for some or all of the <i>p</i> . (a) is "yes," respond to questions (b) for one prime broker, you must complete c	und (city, state and country): Country: United Kingdom <i>ivate fund's</i> assets? hrough (e) below for each prime broker the <i>pr</i> uestions (b) through (e) separately for each pr	ivate fund uses. If the
UBS AG If the prime broker is reg CRD Number (if any): Location of prime broker City: LONDON Does this prime broker a the answer to question 24 <i>ivate fund</i> uses more than a Name of the prime broke UBS SECURITIES LLC If the prime broker is reg 8 - 22651 CRD Number (if any): 7654 Location of prime broker	gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State: Act as custodian for some or all of the <i>private</i> . (a) is "yes," respond to questions (b) for one prime broker, you must complete conser: gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State:	und (city, state and country): Country: United Kingdom <i>ivate fund's</i> assets? hrough (e) below for each prime broker the <i>pr</i> uestions (b) through (e) separately for each pr umber: umber: Country:	<i>ivate fund</i> uses. If the
<ul> <li>) If the prime broker is req.</li> <li>- CRD Number (if any):</li> <li>) Location of prime broker City: LONDON</li> <li>) Does this prime broker a</li> <li>the answer to question 24 <i>ivate fund</i> uses more than a</li> <li>) Name of the prime broker</li> <li>UBS SECURITIES LLC</li> <li>) If the prime broker is req. 8 - 22651 CRD Number (if any): 7654</li> <li>) Location of prime broker</li> </ul>	gistered with the SEC, its registration n 's office used principally by the <i>private f</i> State: act as custodian for some or all of the <i>p</i> .(a) is "yes," respond to questions (b) for one prime broker, you must complete c er: gistered with the SEC, its registration n	und (city, state and country): Country: United Kingdom <i>ivate fund's</i> assets? hrough (e) below for each prime broker the <i>pr</i> uestions (b) through (e) separately for each pr uestions (b) through (e) separately for each pr	ivate fund uses. If the

(b) Name of the prime broke WELLS FARGO SECURITI			
•	gistered with the SEC, its registration r	umber:	
8 - 65876 CRD Number (if any): 126292			
(d) Location of prime broker	's office used principally by the <i>private</i>	fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes
(e) Does this prime broker a	act as custodian for some or all of the $ ho$	rivate fund's assets?	©
<u>in</u>			
			Ye
If the answer to question 25.( <i>fund</i> uses more than one custo	a) is "yes," respond to questions (b) th odian, you must complete questions (b)	Flisted above) to hold some or all of its assets? rough (g) below for each custodian the <i>private</i> i through (g) separately for each custodian.	
If the answer to question 25.( <i>fund</i> uses more than one custon Additional Custodian Inform If the answer to question 25	a) is "yes," respond to questions (b) th odian, you must complete questions (b) ation : 38 Record(s) Filed.	rough (g) below for each custodian the private i	fund uses. If the private
If the answer to question 25.( <i>fund</i> uses more than one custon Additional Custodian Inform If the answer to question 25	a) is "yes," respond to questions (b) th odian, you must complete questions (b) ation : 38 Record(s) Filed.	rough (g) below for each custodian the <i>private i</i> through (g) separately for each custodian. through g) below for each custodian the <i>private</i>	fund uses. If the private
If the answer to question 25.( fund uses more than one custon Additional Custodian Inform If the answer to question 25 fund uses more than one custodian (b) Legal name of custodian	a) is "yes," respond to questions (b) th odian, you must complete questions (b) ation : 38 Record(s) Filed.	rough (g) below for each custodian the <i>private i</i> through (g) separately for each custodian. through g) below for each custodian the <i>private</i>	fund uses. If the private
If the answer to question 25. ( fund uses more than one custon Additional Custodian Inform If the answer to question 25 fund uses more than one custodian (b) Legal name of custodian BANK OF AMERICA, N.A. (c) Primary business name BANK OF AMERICA, N.A. (d) The location of the custo	a) is "yes," respond to questions (b) the odian, you must complete questions (b) ation : 38 Record(s) Filed. (a) is "yes," respond to questions (b) stodian, you must complete questions (b) stodian, you must complete questions (b) contained by the store of the store	rough (g) below for each custodian the private is through (g) separately for each custodian. through g) below for each custodian the private b) through (g) separately for each custodian.	fund uses. If the private
If the answer to question 25. ( fund uses more than one custon Additional Custodian Inform If the answer to question 25 fund uses more than one custodian BANK OF AMERICA, N.A. (c) Primary business name BANK OF AMERICA, N.A. (d) The location of the custon City:	a) is "yes," respond to questions (b) the odian, you must complete questions (b) ation : 38 Record(s) Filed. (a) is "yes," respond to questions (b) stodian, you must complete questions (b) stodian, you must complete questions (b) c: cof custodian: podian's office responsible for <i>custody</i> of State:	<pre>through (g) below for each custodian the private is through (g) separately for each custodian.</pre> through g) below for each custodian the private b) through (g) separately for each custodian. the private fund's assets (city, state and country Country:	<i>fund</i> uses. If the <i>priva</i>
If the answer to question 25. ( fund uses more than one custon Additional Custodian Inform If the answer to question 25 fund uses more than one custodian (b) Legal name of custodian BANK OF AMERICA, N.A. (c) Primary business name BANK OF AMERICA, N.A. (d) The location of the custo	a) is "yes," respond to questions (b) the odian, you must complete questions (b) ation : 38 Record(s) Filed. (a) is "yes," respond to questions (b) stodian, you must complete questions (b) stodian, you must complete questions (b) contained by the store of the store	rough (g) below for each custodian the private is through (g) separately for each custodian. through g) below for each custodian the private b) through (g) separately for each custodian.	fund uses. If the private
If the answer to question 25. ( fund uses more than one custon Additional Custodian Inform If the answer to question 25 fund uses more than one custodian BANK OF AMERICA, N.A. (c) Primary business name BANK OF AMERICA, N.A. (d) The location of the custon City:	a) is "yes," respond to questions (b) the odian, you must complete questions (b) ation : 38 Record(s) Filed. (a) is "yes," respond to questions (b) stodian, you must complete questions (b) stodian, you must complete questions (b) c: of custodian: bdian's office responsible for <i>custody</i> of State: New York	<pre>through (g) below for each custodian the private is through (g) separately for each custodian.</pre> through g) below for each custodian the private b) through (g) separately for each custodian. the private fund's assets (city, state and country Country:	fund uses. If the private
If the answer to question 25. ( fund uses more than one custon Additional Custodian Inform If the answer to question 25 fund uses more than one custodian (b) Legal name of custodian BANK OF AMERICA, N.A. (c) Primary business name BANK OF AMERICA, N.A. (d) The location of the custon City: NEW YORK (e) Is the custodian a related	a) is "yes," respond to questions (b) the odian, you must complete questions (b) ation : 38 Record(s) Filed. (a) is "yes," respond to questions (b) stodian, you must complete questions (b) stodian, you must complete questions (b) c: of custodian: bdian's office responsible for <i>custody</i> of State: New York	<pre>rough (g) below for each custodian the private is through (g) separately for each custodian.</pre>	fund uses. If the private fund uses. If the priva (): Yes

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)

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If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b) Legal name of custodian: BARCLAYS BANK PLC

(c) Primary business name of custodian: BARCLAYS BANK PLC

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country): City: State: Country:

	LONDON		United Kingdom	
(e)	Is the custodian a <i>related person</i> of	your firm?		Yes
5	If the quetadian is a broken dealer.	provide its CEC registration		_
)	If the custodian is a broker-dealer,	provide its SEC registration	number (ir any):	
	CRD Number (if any):			
g)	If the custodian is not a broker-dea identifier (if any)	ler, or is a broker-dealer bu	ut does not have an SEC registration number, pr	ovide its <i>legal entity</i>
	G5GSEF7VJP517OUK5573			
			through g) below for each custodian the <i>private</i> (b) through (g) separately for each custodian.	<i>fund</i> uses. If the <i>prive</i>
)	Legal name of custodian: BARCLAYS CAPITAL INC.			
)	Primary business name of custodiar BARCLAYS CAPITAL INC.	1:		
)	The location of the custodian's offic	e responsible for <i>custody</i> of	f the <i>private fund's</i> assets (city, state and country	<b>y)</b> :
	City: NEW YORK	State: New York	Country: United States	
	NEW TORK	New TOTK	United States	Yes
:)	Is the custodian a related person of	your firm?		0
)	If the custodian is a broker-dealer, 8 - 41342 CRD Number (if any): 19714	provide its SEC registration	number (if any):	
g)	If the custodian is not a broker-dea identifier (if any)	ler, or is a broker-dealer bu	ut does not have an SEC registration number, pr	ovide its <i>legal entity</i>
un	•		through g) below for each custodian the <i>private</i> (b) through (g) separately for each custodian.	<i>fund</i> uses. If the <i>priva</i>
))	BNP PARIBAS PRIME BROKERAGE IN	TERNATIONAL, LIMITED		
c)	Primary business name of custodian BNP PARIBAS PRIME BROKERAGE IN			
(k			f the <i>private fund's</i> assets (city, state and country	<i>y</i> ):
	City: DUBLIN	State:	Country: Ireland	No. o
∋)	Is the custodian a <i>related person</i> of	your firm?		Yes O
)	If the custodian is a broker-dealer,	provide its SEC registration	number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dea identifier (if any) 5493000EGBAKFHIIT563	ler, or is a broker-dealer bu	ut does not have an SEC registration number, pr	ovide its <i>legal entity</i>

		(b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ons (b) through (g) separately for each custodian.
(b) Legal name of custodian: BNP PARIBAS S.A.		
(c) Primary business name of custod BNP PARIBAS S.A.	an:	
(d) The location of the custodian's of City: NEW YORK	fice responsible for <i>custoc</i> State: New York	dy of the <i>private fund's</i> assets (city, state and country): Country: United States
(e) Is the custodian a <i>related person</i>		Yes No
(f) If the custodian is a broker-dealer	r, provide its SEC registra	tion number (if any):
CRD Number (if any):		
(g) If the custodian is not a broker-d <i>identifier</i> (if any)	ealer, or is a broker-deale	er but does not have an SEC registration number, provide its <i>legal entity</i>
		(b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ons (b) through (g) separately for each custodian.
(b) Legal name of custodian: BNP PARIBAS SECURITIES CORP.		
(c) Primary business name of custod BNP PARIBAS SECURITIES CORP.	an:	
City:	State:	dy of the <i>private fund's</i> assets (city, state and country): Country:
NEW YORK	New York	United States Yes No
(e) Is the custodian a <i>related person</i>	of your firm?	00
<ul><li>(f) If the custodian is a broker-dealer</li><li>8 - 32682</li></ul>	r, provide its SEC registra	tion number (if any):
CRD Number (if any): 15794		
(g) If the custodian is not a broker-d <i>identifier</i> (if any)	ealer, or is a broker-deale	er but does not have an SEC registration number, provide its <i>legal entity</i>
		(b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ons (b) through (g) separately for each custodian.
(b) Legal name of custodian: BOFA SECURITIES, INC.		
(c) Primary business name of custod BOFA SECURITIES, INC.	an:	
(d) The location of the custodian's of City:	fice responsible for <i>custoc</i> State:	dy of the <i>private fund's</i> assets (city, state and country): Country:

	NEW YORK	New York	United States	
(e)	Is the custodian a <i>related</i>	<i>person</i> of your firm?		Yes No.
(-)				0.6
(f)		r-dealer, provide its SEC registration	number (if any):	
	8 - 69787 CRD Number (if any):			
	283942			
(g)	If the custodian is not a br <i>identifier</i> (if any)	oker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provide its	legal entity
	•		) through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian.	es. If the <i>private</i>
(b)	Legal name of custodian: CITIBANK, N.A.			
(c)	Primary business name of CITIBANK, N.A.	custodian:		
(d)	The location of the custodi	ian's office responsible for <i>custody</i> o	f the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	NEW TORK	New TOTK	United States	Yes No
(e)	Is the custodian a related	person of your firm?		00
(f)	If the custodian is a broke	r-dealer, provide its SEC registration	number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a br <i>identifier</i> (if any) E570DZWZ7FF32TWEFA76		ut does not have an SEC registration number, provide its	legal entity
			) through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian.	es. If the <i>private</i>
(b)	Legal name of custodian: CITICORP SECURITIES SER	RVICES, INC.		
(c)	Primary business name of CITICORP SECURITIES SEF			
(d)	The location of the custodi	ian's office responsible for <i>custody</i> o	f the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes N
(e)	Is the custodian a <i>related</i>	person of your firm?		0 0
(f)	If the custodian is a broke	r-dealer, provide its SEC registration	number (if any):	
(1)	8 - 32117	-		
(')				
(')	CRD Number (if any): 15387			
(')	CRD Number (if any): 15387			

	•	• • • • • •	rough g) below for each custodian the <i>p</i> through (g) separately for each custodi	
(b)	Legal name of custodian: CITIGROUP GLOBAL MARKETS INC.			
(c)	Primary business name of custodian: CITIGROUP GLOBAL MARKETS INC.			
(d)	The location of the custodian's office re	sponsible for <i>custody</i> of th	e <i>private fund's</i> assets (city, state and co	puntry):
	City:	State:	Country:	57
	NEW YORK	New York	United States	
(e)	Is the custodian a <i>related person</i> of you	r firm?		Yes No
(f)	If the custodian is a broker-dealer, prov	vide its SEC registration nu	mber (if any):	
	8 - 8177 CRD Number (if any): 7059			
(g)	If the custodian is not a broker-dealer, <i>identifier</i> (if any)	or is a broker-dealer but o	loes not have an SEC registration numbe	er, provide its <i>legal entity</i>
		• • •	rough g) below for each custodian the <i>p</i> through (g) separately for each custodi	
(b)	Legal name of custodian: CITIGROUP GLOBAL MARKETS LIMITED			
(c)	Primary business name of custodian: CITIGROUP GLOBAL MARKETS LIMITED			
(d)			e <i>private fund's</i> assets (city, state and co ountry:	puntry):
	LONDON	U	nited Kingdom	
(e)	Is the custodian a <i>related person</i> of you	r firm?		Yes No
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			0.0
(f)	If the custodian is a broker-dealer, prov	vide its SEC registration nu	mber (if any):	
	- CRD Number (if any):			
(g)	<i>identifier</i> (if any)	or is a broker-dealer but o	loes not have an SEC registration numbe	er, provide its <i>legal entity</i>
	XKZZ2JZF41MRHTR1V493			
		• • •	rough g) below for each custodian the <i>p</i> through (g) separately for each custodi	
(b)	Legal name of custodian: CREDIT SUISSE AG			
(c)	Primary business name of custodian: CREDIT SUISSE AG			
(d)	The location of the custodian's office re	sponsible for <i>custody</i> of th	e <i>private fund's</i> assets (city, state and co	puntry):
	City:	State:	Country:	

	DUBLIN		Ireland	
				Yes No
(e)	Is the custodian a related person of you	ur firm?		00
(0)				
(f)	If the custodian is a broker-dealer, pro	vide its SEC registration number	(if any):	
	CRD Number (if any):			
	If the sustation is not a broken declar	, on is a broken dealer but dage r	at hours on CEC registration number, provide its (s	and antitu
(g)	<i>identifier</i> (if any)	, or is a proker-dealer but does r	not have an SEC registration number, provide its <i>le</i>	gai entity
	ANGGYXNX0JLX3X63JN86			
	ne answer to question 25.(a) is "yes," r d uses more than one custodian, you m	· · · · -	n g) below for each custodian the <i>private fund</i> uses. ugh (g) separately for each custodian.	If the <i>private</i>
(b)	Legal name of custodian:			
	CREDIT SUISSE SECURITIES (USA) LLC			
(c)	Primary business name of custodian:			
	CREDIT SUISSE SECURITIES (USA) LLC			
(d)	City:	esponsible for <i>custody</i> of the <i>priv</i> State:	<i>vate fund's</i> assets (city, state and country): Country:	
	NEW YORK	New York	United States	
				Yes No
(e)	Is the custodian a related person of you	ur firm?		00
(5)				
(f)	If the custodian is a broker-dealer, pro 8 - 422	vide its SEC registration number	(If any):	
	CRD Number (if any):			
	816			
	If the sustadian is not a broker dealer	er is a broker dealer but dees r	not have an SEC registration number, provide its <i>le</i>	aal optitu
(9)	<i>identifier</i> (if any)		lot have an SEC registration number, provide its ic	garenny
	ne answer to question 25.(a) is "yes," r d uses more than one custodian, you m		n g) below for each custodian the <i>private fund</i> uses. ugh (g) separately for each custodian.	If the <i>private</i>
(b)	Legal name of custodian:			
	GOLDMAN SACHS & CO. LLC			
	Drimony business name of sustadiant			
(C)	Primary business name of custodian: GOLDMAN SACHS & CO. LLC			
(d)			vate fund's assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
(e)	Is the custodian a related person of you	ur firm?		0 0
(f)	If the custodian is a broker-dealer, pro	vide its SEC registration number	(if any):	
	8 - 129 CDD Number (if an ))			
	CRD Number (if any): 361			
(g)		, or is a broker-dealer but does r	not have an SEC registration number, provide its <i>le</i>	gal entity
	<i>identifier</i> (if any)			

		• • •		igh (g) separately for each custodian.	<i>fund</i> uses. If the <i>private</i>
	ame of custodian: AN SACHS INTERNATION	AL			
	business name of custo N SACHS INTERNATION				
(d) The loca City: LONDON		office responsible for <i>c</i> State:	Country	<i>ate fund's</i> assets (city, state and country) y: Kingdom	:
	vustodian a <i>related perso</i>	<i>n</i> of your firm?	United	Kingdonn	Yes No
(f) If the cu	ustodian is a broker-dea	ler, provide its SEC rec	jistration number	(if any):	
- CRD Nur	mber (if any):				
identifier		dealer, or is a broker-	dealer but does n	ot have an SEC registration number, prov	vide its <i>legal entity</i>
b) Legal na HONGKC	ame of custodian: DNG AND SHANGHAI BAN	NKING CORPORATION L		ıgh (g) separately for each custodian.	
	business name of custo DNG AND SHANGHAI BAN		IMITED		
	ation of the custodian's		<i>ustody</i> of the <i>priva</i> ate:	ate fund's assets (city, state and country)	:
City: HONG K	ONG	51	ale.	Country: Hong Kong	
(e) Is the c	ustodian a <i>related perso</i>	<i>n</i> of your firm?			Yes No
	ustodian is a broker-dea	ler, provide its SEC reg	jistration number	(if any):	
(f) If the cu					
-	mber (if any):				
- CRD Nur	ustodian is not a broker	-dealer, or is a broker-	dealer but does n	ot have an SEC registration number, pro	vide its <i>legal entity</i>
- CRD Nur (g) If the cu <i>identifier</i> If the answe <i>fund</i> uses m (b) Legal na	ustodian is not a broker r (if any) er to question 25.(a) is ore than one custodian, ame of custodian:	"yes," respond to ques	tions (b) through	ot have an SEC registration number, pro- g) below for each custodian the <i>private f</i> igh (g) separately for each custodian.	
- CRD Nur (g) If the cu <i>identifier</i> If the answe <i>fund</i> uses m (b) Legal na HSBC BA	ustodian is not a broker r (if any) er to question 25.(a) is ore than one custodian, ame of custodian: ANK BERMUDA	"yes," respond to ques you must complete qu	tions (b) through	g) below for each custodian the <i>private t</i>	
- CRD Nur (g) If the cu <i>identifier</i> If the answe <i>fund</i> uses m (b) Legal na HSBC BA (c) Primary	ustodian is not a broker r (if any) er to question 25.(a) is ore than one custodian, ame of custodian:	"yes," respond to ques you must complete qu	tions (b) through	g) below for each custodian the <i>private t</i>	
- CRD Nur (g) If the cu <i>identifier</i> If the answe <i>fund</i> uses m (b) Legal na HSBC BA (c) Primary HSBC BA	er to question 25.(a) is ore than one custodian; ame of custodian: ANK BERMUDA business name of custo	"yes," respond to ques you must complete qu odian:	tions (b) through uestions (b) throu ustody of the priva	g) below for each custodian the <i>private t</i>	fund uses. If the private

(c)	HAMILTON		Bermuda	
(e)	Is the custodian a <i>related person</i> of you	our firm?		Yes No
/				00
(f)	If the custodian is a broker-dealer, pro	ovide its SEC registration n	umber (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer <i>identifier</i> (if any)	r, or is a broker-dealer but	does not have an SEC registration number, provide its	e legal entity
			hrough g) below for each custodian the <i>private fund</i> use b) through (g) separately for each custodian.	es. If the <i>private</i>
b)	Legal name of custodian: HSBC BANK MAURITIUS LTD			
c)	Primary business name of custodian: HSBC BANK MAURITIUS LTD			
d)	The location of the custodian's office r City: EBENE	responsible for <i>custody</i> of t State:	the <i>private fund's</i> assets (city, state and country): Country: Mauritius	Yes No
e)	Is the custodian a <i>related person</i> of you	our firm?		
	If the custodian is a broker-dealer, pro - CRD Number (if any): If the custodian is not a broker-dealer <i>identifier</i> (if any)	-	number (if any): does not have an SEC registration number, provide its	i legal entity
f†	he answer to question 25.(a) is "yes," i duses more than one custodian, you m		hrough g) below for each custodian the <i>private fund</i> use	
fun (b)	Legal name of custodian: J.P. MORGAN SECURITIES LLC Primary business name of custodian:		5) through (g) separately for each custodian.	es. If the <i>private</i>
b)	-		5) through (g) separately for each custodian.	es. If the <i>private</i>
[b)	J.P. MORGAN SECURITIES LLC Primary business name of custodian: J.P. MORGAN SECURITIES LLC	responsible for <i>custody</i> of t State: New York	b) through (g) separately for each custodian. the <i>private fund's</i> assets (city, state and country): Country: United States	es. If the <i>private</i>
c)	J.P. MORGAN SECURITIES LLC Primary business name of custodian: J.P. MORGAN SECURITIES LLC The location of the custodian's office r City:	State: New York	the <i>private fund's</i> assets (city, state and country): Country:	
fun (b) (c) (d) (e)	J.P. MORGAN SECURITIES LLC Primary business name of custodian: J.P. MORGAN SECURITIES LLC The location of the custodian's office r City: NEW YORK	State: New York our firm?	the <i>private fund's</i> assets (city, state and country): Country: United States	Yes No

		ons (b) through g) below for each custodian the <i>private fun</i> estions (b) through (g) separately for each custodian.	nd uses. If the private
(b) Legal name of custodian: J.P. MORGAN SECURITIES PLC			
(c) Primary business name of custodi J.P. MORGAN SECURITIES PLC	an:		
(d) The location of the custodian's off City:	ice responsible for <i>cus</i> State:	stody of the private fund's assets (city, state and country): Country:	
LONDON		United Kingdom	
(e) Is the custodian a <i>related person</i> of	of your firm?		Yes No
(f) If the custodian is a broker-dealer	, provide its SEC regist	stration number (if any):	
CRD Number (if any):			
(g) If the custodian is not a broker-de <i>identifier</i> (if any) K6Q0W1PS1L1O4IQL9C32	ealer, or is a broker-de	ealer but does not have an SEC registration number, provid	le its <i>legal entity</i>
		ons (b) through g) below for each custodian the <i>private fun</i> estions (b) through (g) separately for each custodian.	nd uses. If the <i>private</i>
(b) Legal name of custodian: JPMORGAN BANK LUXEMBOURG S.	Α.		
(c) Primary business name of custodi JPMORGAN BANK LUXEMBOURG S.			
(d) The location of the custodian's off City:	ice responsible for <i>cus</i>	stody of the private fund's assets (city, state and country): State: Country:	
SENNINGERBERG		Luxembourg	
(e) Is the custodian a <i>related person</i> (	of your firm?		Yes No
	your mm:		00
(f) If the custodian is a broker-dealer	, provide its SEC regist	stration number (if any):	
CRD Number (if any):			
(g) If the custodian is not a broker-de <i>identifier</i> (if any) 7W1GMC6J4KGLBBUSYP52	ealer, or is a broker-de	ealer but does not have an SEC registration number, provic	le its <i>legal entity</i>
		ons (b) through g) below for each custodian the <i>private fun</i> estions (b) through (g) separately for each custodian.	nd uses. If the <i>private</i>
(b) Legal name of custodian: JPMORGAN CHASE BANK, N.A.			
(c) Primary business name of custodi JPMORGAN CHASE BANK, N.A.	an:		
(d) The location of the custodian's of	ice responsible for our	stody of the <i>private fund's</i> assets (city, state and country):	
City:	State:	Country:	

	NEW YORK	New York	United States	
(ച)	Is the custodian a related per	son of your firm?		Yes I
0)				0
f)	If the custodian is a broker-d	ealer, provide its SEC regi	istration number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a brok <i>identifier</i> (if any) 7H6GLXDRUGQFU57RNE97	er-dealer, or is a broker-d	dealer but does not have an SEC registration number, provide its <i>leg</i>	nal entity
			tions (b) through g) below for each custodian the <i>private fund</i> uses. lestions (b) through (g) separately for each custodian.	If the privat
b)	Legal name of custodian: MERRILL LYNCH INTERNATION	JAL		
c)	Primary business name of cus MERRILL LYNCH INTERNATION			
d)	The location of the custodian	's office responsible for <i>cu</i>	ustody of the private fund's assets (city, state and country):	
	City:	State:	Country:	
	LONDON		United Kingdom	Yes I
e)	Is the custodian a related per	son of your firm?		0
f)	If the custodian is a broker-d	ealer, provide its SEC regi	stration number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a brok identifier (if any)	er-dealer, or is a broker-d	dealer but does not have an SEC registration number, provide its <i>leg</i>	jal entity
	GGDZP1UYGU9STUHRDP48			
	•	· · ·	tions (b) through g) below for each custodian the <i>private fund</i> uses. Testions (b) through (g) separately for each custodian.	If the <i>privat</i>
b)	Legal name of custodian: MORGAN STANLEY & CO INTE	RNATIONAL PLC		
c)	Primary business name of cus MORGAN STANLEY & CO INTE			
d)	The location of the custodian City:	's office responsible for <i>cu</i> State:	<i>ustody</i> of the <i>private fund's</i> assets (city, state and country): Country:	
	LONDON		United Kingdom	
e)	Is the custodian a related per	son of your firm?		Yes I
F)	If the custodian is a broker-d	ealer, provide its SEC regi	istration number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a brok <i>identifier</i> (if any)	er-dealer, or is a broker-d	dealer but does not have an SEC registration number, provide its <i>leg</i>	gal entity

	4PQUHN3JPFGFNF3BB653				
	ne answer to question 25.(a) is "yes," r d uses more than one custodian, you m				<i>e fund</i> uses. If the <i>private</i>
(b)	Legal name of custodian: MORGAN STANLEY & CO. LLC				
(C)	Primary business name of custodian: MORGAN STANLEY & CO. LLC				
(d)	The location of the custodian's office re City: NEW YORK	esponsible for <i>custody</i> of State: New York	the <i>private f</i> u	<i>ind's</i> assets (city, state and counti Country: United States	-у):
(e)	Is the custodian a <i>related person</i> of you	ur firm?			Yes No O O
(f)	If the custodian is a broker-dealer, pro 8 - 15869 CRD Number (if any): 8209	ovide its SEC registration	number (if ar	ıy):	
(g)	If the custodian is not a broker-dealer <i>identifier</i> (if any)	, or is a broker-dealer bu	it does not ha	ive an SEC registration number, p	rovide its <i>legal entity</i>
fun	he answer to question 25.(a) is "yes," r d uses more than one custodian, you m Legal name of custodian: NOMURA INTERNATIONAL PLC		0 0.		<i>e fund</i> uses. If the <i>private</i>
(C)	Primary business name of custodian: NOMURA INTERNATIONAL PLC				
(d)	The location of the custodian's office re City: St LONDON	esponsible for <i>custody</i> of tate:	the <i>private fu</i> Country: United Kingo		-y):
(e)	Is the custodian a <i>related person</i> of you	ur firm?			Yes No
(f)	If the custodian is a broker-dealer, pro	ovide its SEC registration	number (if ar	ıy):	
	- CRD Number (if any):				
(g)	If the custodian is not a broker-dealer <i>identifier</i> (if any) DGQCSV2PHVF712743539	, or is a broker-dealer bu	it does not ha	ive an SEC registration number, p	rovide its <i>legal entity</i>
	ne answer to question 25.(a) is "yes," r d uses more than one custodian, you m	• • • • • • • • • • • • • • • • • • • •			<i>te fund</i> uses. If the <i>private</i>
(b)	Legal name of custodian: NOMURA SECURITIES INTERNATIONAL,	INC.			
(c)	Primary business name of custodian: NOMURA SECURITIES INTERNATIONAL,	INC.			

(d)			he <i>private fund's</i> assets (city, state and country):
	City: NEW YORK	State: New York	Country: United States
			Yes M
e)	Is the custodian a related	d person of your firm?	0 0
(f)	If the custodian is a brok 8 - 15255	er-dealer, provide its SEC registration n	umber (if any):
	CRD Number (if any): 4297		
g)	If the custodian is not a <i>identifier</i> (if any)	broker-dealer, or is a broker-dealer but	does not have an SEC registration number, provide its <i>legal entity</i>
			hrough g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> b) through (g) separately for each custodian.
b)	Legal name of custodian NYDIG TRUST COMPANY I		
c)	Primary business name on NYDIG TRUST COMPANY		
d)	The location of the custo	dian's office responsible for <i>custody</i> of t	he private fund's assets (city, state and country):
	City:	State:	Country:
	NEW YORK	New York	United States Yes N
e)	Is the custodian a related	d person of your firm?	0 (
f)	If the custodian is a brok	er-dealer, provide its SEC registration n	umber (if anv):
. /	-		
	CRD Number (if any):		
(q)	If the custodian is not a	broker-dealer, or is a broker-dealer but	does not have an SEC registration number, provide its <i>legal entity</i>
	<i>identifier</i> (if any)		
			hrough g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> b) through (g) separately for each custodian.
(b)	Legal name of custodian PERSHING LLC	:	
(-)		f av shall a s	
(C)	Primary business name of PERSHING LLC	or custodian:	
(d)	The location of the custo	dian's office responsible for <i>custody</i> of t	he private fund's assets (city, state and country):
	City: JERSEY CITY	State: New Jersey	Country: United States
	JERSET CITT	New Jersey	Yes N
e)	Is the custodian a related	d person of your firm?	0 0
f)	If the custodian is a brok	er-dealer, provide its SEC registration n	umber (if any):
	8 - 17574		
	CRD Number (if any): 7560		
(g)	If the custodian is not a <i>identifier</i> (if any)	broker-dealer, or is a broker-dealer but	does not have an SEC registration number, provide its legal entity

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: SOCIETE GENERALE BANK & TRUST
(c) Primary business name of custodian: SOCIETE GENERALE BANK & TRUST
<ul> <li>(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):</li> <li>City:</li> <li>LUXEMBOURG CITY</li> <li>Country:</li>     &lt;</ul>
(e) Is the custodian a related person of your firm?       •
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY
(c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY
<ul> <li>(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):</li> <li>City: State: Country:</li> <li>BOSTON Massachusetts United States</li> </ul>
Yes No
(e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) 571474TGEMMWANRLN572
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: THE BANK OF NEW YORK MELLON
(c) Primary business name of custodian: THE BANK OF NEW YORK MELLON

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

City: NEW YORK	State: New York	Country: United States	
NEW TORK	New TOIK	United States	Yes N
(e) Is the custodian a re	lated person of your firm?		0 0
f) If the custodian is a l	broker-dealer, provide its SEC registration	number (if any):	
- CRD Number (if any):			
g) If the custodian is no <i>identifier</i> (if any) HPFHU00Q28E4N0NI		ut does not have an SEC registration number, provic	de its <i>legal entity</i>
f the answer to question	1 25.(a) is "yes," respond to questions (b)	through g) below for each custodian the <i>private fur</i>	nd uses. If the private
<i>und</i> uses more than one	custodian, you must complete questions	(b) through (g) separately for each custodian.	
(b) Legal name of custoo THE NORTHERN TRUS	dian: ST INTERNATIONAL BANKING CORPORATIO	Ν	
(c) Primary business nar THE NORTHERN TRUS	me of custodian: ST INTERNATIONAL BANKING CORPORATIO	Ν	
d) The location of the c	ustodian's office responsible for <i>custody</i> or	f the <i>private fund's</i> assets (city, state and country):	
City: JERSEY CITY	State: New Jersey	Country: United States	
			Yes N
e) Is the custodian a re	lated person of your firm?		0
f) If the custodian is a l	broker-dealer, provide its SEC registration	number (if any):	
- CRD Number (if any):			
(g) If the custodian is no <i>identifier</i> (if any)	ot a broker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provid	le its <i>legal entity</i>
f the answer to questior	1 25.(a) is "yes," respond to questions (b)	through g) below for each custodian the <i>private fun</i>	nd uses. If the private
<i>fund</i> uses more than one	custodian, you must complete questions	(b) through (g) separately for each custodian.	
(b) Legal name of custoo TRUIST SECURITIES,			
(c) Primary business nar TRUIST SECURITIES,			
City:	State:	f the <i>private fund's</i> assets (city, state and country): Country:	
CHARLOTTE	North Carolina	United States	Yes N
e) Is the custodian a re	lated person of your firm?		O (
f) If the custodian is a l 8 - 17212	broker-dealer, provide its SEC registration	number (if any):	
8 - 17212 CRD Number (if any): 6271			
(g) If the custodian is no <i>identifier</i> (if any)	t a broker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provic	le its <i>legal entity</i>

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: UBS AG
(c) Primary business name of custodian: UBS AG
<ul> <li>(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):</li> <li>City:</li> <li>Country:</li> <li>LONDON</li> <li>United Kingdom</li> </ul>
(e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) BFM8T61CT2L1QCEMIK50
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: UBS SECURITIES LLC
(c) Primary business name of custodian: UBS SECURITIES LLC
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):
City:State:Country:NEW YORKNew YorkUnited States
(e) Is the custodian a related person of your firm?         Is the custodian a related person of your firm?
<ul> <li>(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):</li> <li>8 - 22651</li> <li>CRD Number (if any):</li> <li>7654</li> </ul>
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: WELLS FARGO BANK, N.A.
(c) Primary business name of custodian: WELLS FARGO BANK, N.A.

<sup>(</sup>d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

SAN FRANCISCO	ר ר	California	United States	
	5	Gamornia		Y
(e) Is the custodiar	n a <i>related person</i> of your firm	۱?		c
(f) If the custodian	n is a broker-dealer, provide i	ts SEC registration numb	per (if any):	
- CRD Number (if	any):			
(g) If the custodian identifier (if any)		a broker-dealer but doe	s not have an SEC registration number, pr	ovide its <i>legal entit</i> y
KB1H1DSPRFMY				
			igh g) below for each custodian the <i>private</i> rough (g) separately for each custodian.	<i>e fund</i> uses. If the <i>p</i>
(b) Legal name of c WELLS FARGO S	custodian: SECURITIES, LLC			
-	ss name of custodian: SECURITIES, LLC			
(d) The location of	the custodian's office respon	usible for <i>custody</i> of the <i>p</i>	private fund's assets (city, state and country	/):
City: NEW YORK	Stat New	te: v York	Country: United States	
(e) Is the custodiar	n a <i>related person</i> of your firm	n?		Y
				(
(f) If the custodian 8 - 65876	n is a broker-dealer, provide i	ts SEC registration numb	per (if any):	
CRD Number (if 126292	any):			
		a broker-dealer but doe	s not have an SEC registration number, pr	ovide its <i>legal entit</i> y
-	)			
(g) If the custodian <i>identifier</i> (if any)	)			
-	)			
<i>identifier</i> (if any)	) use an administrator other th	han your firm?		
<i>identifier</i> (if any) rator Does the <i>private fund</i> If the answer to quest	use an administrator other th	to questions (b) through	n (f) below. If the <i>private fund</i> uses more th	nan one administrat
<i>identifier</i> (if any) rator Does the <i>private fund</i> If the answer to quest must complete question	use an administrator other the the second	to questions (b) through ly for each administrator.		nan one administrat
<i>identifier</i> (if any) rator Does the <i>private fund</i> If the answer to quest must complete question Additional Administr	use an administrator other the tion 26.(a) is "yes," respond ons (b) through (f) separatel rator Information : 2 Record estion 26.(a) is "yes," respon	to questions (b) through ly for each administrator. d(s) Filed. nd to questions (b) throug	gh (f) below. If the <i>private fund</i> uses more	
<i>identifier</i> (if any) rator Does the <i>private fund</i> If the answer to quest Moditional Administr Additional Administr If the answer to que administrator, you r	use an administrator other the tion 26.(a) is "yes," respond ons (b) through (f) separatel rator Information : 2 Record estion 26.(a) is "yes," respon must complete questions (b)	to questions (b) through ly for each administrator. d(s) Filed. nd to questions (b) throug	gh (f) below. If the <i>private fund</i> uses more	
<i>identifier</i> (if any) rator Does the <i>private fund</i> If the answer to quest must complete question Additional Administr	use an administrator other the tion 26.(a) is "yes," respond ons (b) through (f) separatel rator Information : 2 Record estion 26.(a) is "yes," respon must complete questions (b) istrator:	to questions (b) through ly for each administrator. d(s) Filed. nd to questions (b) throug	gh (f) below. If the <i>private fund</i> uses more	nan one administrat
identifier (if any) rator Does the private fund f the answer to quest must complete questic Additional Administr If the answer to que administrator, you r (b) Name of admini HARMONIC FUN (c) Location of adm	use an administrator other the tion 26.(a) is "yes," respond ons (b) through (f) separatel rator Information : 2 Record estion 26.(a) is "yes," respon must complete questions (b) istrator:	to questions (b) through ly for each administrator. <b>d(s) Filed.</b> nd to questions (b) throug through (f) separately fo	gh (f) below. If the <i>private fund</i> uses more or each administrator.	nan one administrat
<i>identifier</i> (if any) rator Does the <i>private fund</i> If the answer to quest must complete question Additional Administr If the answer to que administrator, you r (b) Name of admini HARMONIC FUN	use an administrator other the tion 26. (a) is "yes," respond ons (b) through (f) separatel rator Information : 2 Record estion 26. (a) is "yes," respon must complete questions (b) istrator: ID SERVICES hinistrator (city, state and cou	to questions (b) through ly for each administrator. <b>d(s) Filed.</b> nd to questions (b) throug through (f) separately fo	gh (f) below. If the <i>private fund</i> uses more	nan one administrat
identifier (if any) rator Does the private fund If the answer to quest must complete question Additional Administr If the answer to que administrator, you r (b) Name of admini HARMONIC FUN (c) Location of adm City: GRAND CAYMAN	use an administrator other the tion 26. (a) is "yes," respond ons (b) through (f) separatel rator Information : 2 Record estion 26. (a) is "yes," respon must complete questions (b) istrator: ID SERVICES hinistrator (city, state and cou	to questions (b) through ly for each administrator. <b>d(s) Filed.</b> Ind to questions (b) throug through (f) separately fo	gh (f) below. If the <i>private fund</i> uses more or each administrator. Country:	

(e) Does the administrator prepare and send investor account statements to the *private fund's* investors? ⊙Yes (provided to all investors) ⊙Some (provided to some but not all investors) ⊙No (provided to no investors)

	investors? If investor acco			
	•	a) is "yes," respond to questions (b) t lete questions (b) through (f) separate	hrough (f) below. If the <i>private fund</i> uses more ely for each administrator.	than one
	(b) Name of administrator: THE BANK OF NEW YORK M			
	(c) Location of administrator (	city, state and country):		
	City: SOMERSET	State: New Jersey	Country: United States	
	SUMERSET	New Jersey	United States	Yes N
(	(d) Is the administrator a <i>rela</i>	ted person of your firm?		0 0
	(e) Does the administrator pro	epare and send investor account state	ements to the <i>private fund's</i> investors?	
	•		t not all investors) 👩 No (provided to no investo	ors)
		ount statements are not sent to the (r	the investor account statements to the (rest of the set of the) <i>private fund's</i> investors, respond "no	
levar	5	on used for purposes of investor subsc	procedure established for that asset, if any, incl criptions, redemptions or distributions, and fee o	0 0 5
evar ocati ters	nt quotes, and (ii) the valuation ions) was the valuation deterr	on used for purposes of investor subsc mined by such <i>person</i> .	criptions, redemptions or distributions, and fee o	calculations (including Ye
evar ocati cers Do You sim	nt quotes, and (ii) the valuation ions) was the valuation deterr bes the <i>private fund</i> use the ser u must answer "yes" whether nilar <i>person</i> . If the answer to q	on used for purposes of investor subsc mined by such <i>person</i> . rvices of someone other than you or y the <i>person</i> acts as a placement agen juestion 28.(a) is "yes," respond to qu		calculations (including Ye or or other solicitor, o arketer the <i>private fun</i>
levar ocati ters ) Do You sim use	nt quotes, and (ii) the valuation ions) was the valuation deterr bes the <i>private fund</i> use the ser u must answer "yes" whether nilar <i>person</i> . If the answer to q	on used for purposes of investor subsc mined by such <i>person</i> . rvices of someone other than you or y the <i>person</i> acts as a placement agen juestion 28.(a) is "yes," respond to qu re than one marketer you must comp	your <i>employees</i> for marketing purposes? t, consultant, finder, introducer, municipal advis uestions (b) through (g) below for each such ma	calculations (including Ye or or other solicitor, o arketer the <i>private fun</i>
evariocati cers Do You sim use Ac	nt quotes, and (ii) the valuation ions) was the valuation deterr was the <i>private fund</i> use the ser u must answer "yes" whether nilar <i>person</i> . If the answer to q es. If the <i>private fund</i> uses mo <b>dditional Marketer Information</b> You must answer "yes" wheth pr similar <i>person</i> . If the answer	on used for purposes of investor subso mined by such <i>person</i> . rvices of someone other than you or y the <i>person</i> acts as a placement agen juestion 28.(a) is "yes," respond to qu re than one marketer you must comp <b>on : 3 Record(s) Filed.</b> er the <i>person</i> acts as a placement age to question 28.(a) is "yes," respond	your <i>employees</i> for marketing purposes? t, consultant, finder, introducer, municipal advis uestions (b) through (g) below for each such ma	visor or other solicitor, ch marketer the <i>private fun</i> ch marketer.
evar ocati Do You sim use Ac	nt quotes, and (ii) the valuation ions) was the valuation deterr was the <i>private fund</i> use the ser u must answer "yes" whether nilar <i>person</i> . If the answer to q es. If the <i>private fund</i> uses mo <b>dditional Marketer Information</b> You must answer "yes" wheth pr similar <i>person</i> . If the answer	on used for purposes of investor subso mined by such <i>person</i> . rvices of someone other than you or y the <i>person</i> acts as a placement agen juestion 28.(a) is "yes," respond to qu re than one marketer you must comp <b>on : 3 Record(s) Filed.</b> er the <i>person</i> acts as a placement age to question 28.(a) is "yes," respond ses more than one marketer, you must	ent, consultant, finder, introducer, municipal advistional devices for the consultant of the consultat	visor or other solicitor, ch marketer the <i>private fun</i> ch marketer.
evar ocati Do You sim use Ac	nt quotes, and (ii) the valuation ions) was the valuation deterr bes the <i>private fund</i> use the ser u must answer "yes" whether nilar <i>person</i> . If the answer to q es. If the <i>private fund</i> uses mo <b>dditional Marketer Information</b> You must answer "yes" wheth for similar <i>person</i> . If the answer <i>fund</i> uses. If the <i>private fund</i> u	on used for purposes of investor subso mined by such <i>person</i> . rvices of someone other than you or y the <i>person</i> acts as a placement agen juestion 28.(a) is "yes," respond to qu re than one marketer you must comp <b>on : 3 Record(s) Filed.</b> er the <i>person</i> acts as a placement age to question 28.(a) is "yes," respond ses more than one marketer, you must	ent, consultant, finder, introducer, municipal advistional devices for the consultant of the consultat	visor or other solicitor, ch marketer the <i>private fun</i> ch marketer.
evar ocati Do You sim use Ac	<ul> <li>and quotes, and (ii) the valuation in the quotes, and (ii) the valuation determinant of the private fund use the set of the private fund uses in the private fund uses monotones. If the private fund uses in the private fund uses. If the private fund u</li> <li>(b) Is the marketer a related private fund uses. If the marketer is registered at the private fund u</li> <li>(c) Name of the marketer: EVOKE ADVISORS</li> <li>(d) If the marketer is registered at the private fund u</li> </ul>	on used for purposes of investor subso mined by such <i>person</i> . rvices of someone other than you or y the <i>person</i> acts as a placement agen juestion 28.(a) is "yes," respond to qu re than one marketer you must comp <b>on : 3 Record(s) Filed.</b> er the <i>person</i> acts as a placement age to question 28.(a) is "yes," respond ses more than one marketer, you must	eriptions, redemptions or distributions, and fee of your <i>employees</i> for marketing purposes? t, consultant, finder, introducer, municipal advis uestions (b) through (g) below for each such ma lete questions (b) through (g) separately for each ent, consultant, finder, introducer, municipal advis to questions (b) through (g) below for each suc st complete questions (b) through (g) separatel	visor or other solicitor, ch marketer the <i>private fun</i> ch marketer.
evar ocati Do You sim use Ac	ht quotes, and (ii) the valuation ions) was the valuation deterr bes the <i>private fund</i> use the ser u must answer "yes" whether nilar <i>person</i> . If the answer to q es. If the <i>private fund</i> uses mo <b>dditional Marketer Information</b> <b>You</b> must answer "yes" wheth for similar <i>person</i> . If the answer <i>fund</i> uses. If the <i>private fund</i> u (b) Is the marketer a <i>related p</i> (c) Name of the marketer: EVOKE ADVISORS (d) If the marketer is registered	on used for purposes of investor subso mined by such <i>person</i> . rvices of someone other than you or y the <i>person</i> acts as a placement agen juestion 28.(a) is "yes," respond to qu re than one marketer you must comp <b>on : 3 Record(s) Filed.</b> er the <i>person</i> acts as a placement age to question 28.(a) is "yes," respond ses more than one marketer, you must <i>person</i> of your firm?	eriptions, redemptions or distributions, and fee of your <i>employees</i> for marketing purposes? t, consultant, finder, introducer, municipal advis uestions (b) through (g) below for each such ma lete questions (b) through (g) separately for each ent, consultant, finder, introducer, municipal advis to questions (b) through (g) below for each suc st complete questions (b) through (g) separatel	visor or other solicitor, ch marketer the <i>private func</i> ch marketer.
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(f) Does the marketer market the *private fund* through one or more websites?

No Information File	d
"yes" whether the <i>person</i> acts as a placement agent, consult If the answer to question 28.(a) is "yes," respond to question <i>private fund</i> uses more than one marketer, you must complete	(b) through (g) below for each such marketer t
ter a <i>related person</i> of your firm?	
marketer: NLEY	
er is registered with the SEC, its file number ( <i>e.g.</i> , 801-, 8-, or	866-):
iber (if any):	
ne marketer's office used principally by the <i>private fund</i> (city, st	ate and country):
	Country: United States
INEW YOLK	
keter market the <i>private fund</i> through one or more websites?	
to question 28.(f) is "yes," list the website address(es):	
No Information File "yes" whether the <i>person</i> acts as a placement agent, consult	ant, finder, introducer, municipal advisor or other
	ant, finder, introducer, municipal advisor or other s (b) through (g) below for each such marketer t
"yes" whether the <i>person</i> acts as a placement agent, consult If the answer to question 28.(a) is "yes," respond to question <i>private fund</i> uses more than one marketer, you must complete	ant, finder, introducer, municipal advisor or other s (b) through (g) below for each such marketer t
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Information About the Private Fund

	ELLIOTT CIC 2 INTERMEDIATE A L.P.			
(	b) Private fund identification number:			
	(include the "805-" prefix also)			
	805-9731468103			
2. L	Inder the laws of what state or country is the privation State: Court			
		man Islands		
3. (	a) Name(s) of General Partner, Manager, Trustee,	or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or D	Director		
	ELLIOTT CIC 2 INTERMEDIATE GP L.P.			
(	b) If filing an <i>umbrella registration</i> , identify the filing	ng adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filing Adviser/Relying Adviser Name			
	ELLIOTT INVESTMENT MANAGEMENT L.P.			
	EALL LLP			
	EIMCT LLC			
	EIMFL LLC			
	EIMFL SUB LLC			
	ELLIOTT ADVISORS (UK) LIMITED			
	ELLIOTT FINANCIAL ITALIA S.R.L.			
	ELLIOTT MANAGEMENT CORPORATION			
	EVERGREEN COAST CAPITAL CORP.			
5. L	<ul> <li>(2) qualifies for the exclusion from the definition</li> </ul>	n of investment company under section 3(c)(1) of the Investment Company Act of 1940 n of investment company under section 3(c)(7) of the Investment Company Act of 1940 <i>gn financial regulatory authority</i> with which the <i>private fund</i> is registered.		
J. [		No Information Filed		
			Yes	No
	a) Is this a "master fund" in a master-feeder arrai		$\odot$	0
		ification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
- E	Name of <i>private fund</i>	Private fund identification number		
H	ELLIOTT CIC 2 FEEDER A-1 L.P.	805-2848834581		
-	ELLIOTT CIC 2 FEEDER A-2 L.P.	805-8236413368		
-	ELLIOTT CIC 2 FEEDER A-3 L.P.	805-3295804877		
-	ELLIOTT CIC 2 FEEDER A-4 L.P.	805-8377280849		
	ELLIOTT CIC 2 FEEDER A-5 L.P.	805-9438789963		
			Yes	No
(	c) Is this a "feeder fund" in a master-feeder arrar	ngement?	0	$\odot$
		ification number (if any) of the master fund in which this <i>private fund</i> invests?	$\sim$	e
(	Name of <i>private fund</i> :			
	Private fund identification number:			
	(include the "805-" prefix also)			

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

#### Additional Feeder Fund Information : 5 Record(s) Filed.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for

each c	of the feeder funds answer the following questions:
(a)	Name of the private fund:
	ELLIOTT CIC 2 FEEDER A-1 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-2848834581
(C)	Under the laws of what state or country is the <i>private fund</i> organized:
(0)	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) $(2)$	) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
(u) (z)	
	Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	<ul> <li>The private fund (check all that apply; you must check at least one):</li> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> </ul>
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for of the feeder funds answer the following questions: Name of the <i>private fund</i> : ELLIOTT CIC 2 FEEDER A-2 L.P.
(b)	Private fund identification number: (include the "805-" prefix also) 805-8236413368
(C)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) (2)	
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.

1940         (1)       List the name and country, in English, of each foreign Rhandel regulatory authority with which the private fund is registered No Information Filed         If you are filing a single Schedule D, Section 7.8.(1) for a master-feeder arrangement according to the instructions to this Section 7.8.(1), if each of the feeder funds answer the following questions:         (a)       Name of the private fund:         ELLIOTT CIC 2 FEEDER A 3 LP         (b)       Private fund influention number: (include the 1805-* prefix also) 805-3295061877         (c)       Under the laws of what state or country is the private fund organized: State:         (c)       Under the laws of what state or country is the private fund organized: State:         (d)       Name (c) deneral Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name (c) and General Partner, Manager, Trustee or Director FilliOTT CIC 2 CP LIC         (d)       (1) Name(s) of General Partner, Manager, Trustee or Director         FillioTT CIC 2 CP LIC         (d)       (1) It filing an unbroße acgistration, identify the <i>Ring advisor</i> and/or rolying advisor(s) that sponsor(s) or manage(s) this private fund.         FillioTT CIC 2 CP LIC       ELLIOTT INVESTMENT MANAGEMENT L.P.         Call IT P       FillioTT INVESTMENT MANAGEMENT L.P.         FillioTT FINANCIAL ITALIA S.R.I.       ELLIOT TELLION         ELLIOT THANAGEMENT CORPORATION       ELLIOT THANAGEMENT CORPORAT		EALL LLP
ENERT SUB LIC ELIOT AVXISORS (UD (LINTED) ELIOT AVXISORS (UD (LINTED)) EI (LINTER) EN the containing from the definition of investment company under section 3(3(1) of the Investment Company Act a 1940 F (L) gpathtes for the containing from the definition of investment company under section 3(3(2) of the Investment Company Act a 1940 F (L) gpathtes for the containing from the definition of investment company under section 3(3(2) of the Investment Company Act a 1940 F (L) gpathtes for the containing from the definition of investment company under section 3(3(2) of the Investment Company Act a 1940 F (L) gpathtes for the containing from the definition of investment company under section 3(3(2) of the Investment Company Act a 1940 F (L) gpathtes for the containing from the definition of investment company under section 3(3(2) of the Investment Company Act a 1940 F (L) gpathtes for the containing from the definition of investment company under section 3(3(2) of the Investment Company Act a 1940 F (L) of the avaal Actor F (LINT) (L		EIMCT LLC
Tri IGTT APMERISE (IRG) HARTED         ELLOTT FINANCIAL TAUA S.R.L         (a) The proved fact (deck all field apply: grau much shock in local card):         (b) The proved fact (deck all field apply: grau much shock in local card):         (c) The proved fact (deck all field apply: grau much shock in exploring under section 3(c)(1) of the Investment Company Act of 1943         (c) The proved fact (deck all field apply: grau much shock in exploring thread mapping under section 3(c)(2) of the Investment Company Act of 1943         (c) Is the name and country. In English of each through threaded equivary authority with which the provide fact is Section 7.B. (1) for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions to this Section 7.B. (1), for a master feeder arrangement according to the instructions		EIMFL LLC
ELIDIT INVACED INTERSEL         ELIDIT INVACED INTERSECT CONFORMATION         EVERTEXE CONST CONFINITION CONF.         (a) The private And (check all that captly, you must check at least care):         [c] (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1970         (b) The private And (check all that captly, you must check at least care):         [c] (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1970         (c) Liki the name and anality. In Prefixit, of each foreign threads traphetry sufficiently with when the private fand is reglected.         (c) Liki the name and anality. In Prefixit, of each foreign threads traphetry sufficiently with when the private fand is reglected.         (c) Liki the name and anality. In Prefixit, at each foreign threads traphetry sufficiently with when the private fand is reglected.         (c) Inter the televit funds assister the following quastions.         (c) Inter the televit funds assister the collowing quastions.         (c) Under the televit funds assister or country is the private fand organized.         (c) Under the televit of what state or country is the private fand or region serving in a similar capacity):         Name of General Partner, Manager, Trusten or Director         ELLIOTT INVESTMENT MANADEMENT (.P.         ELLIOTT INVESTMENT MANADEMENT (.P.         ELLIOTT INVESTMENT MANADEMENT (.P.         ELLIOTT INVESTM		EIMFL SUB LLC
c)         ELECT INNERGENE CONST CAPTAL CORP.           (c)         The private family focus all that apply you much above in least near): [1] (apathes for the exclusion from the definition of investment company under section 3(3(1) of the Investment Company Act or 1960)           (c)         Use the name and country, in Linglish, of each breege thranced regulatory automaty with which the private fund is registered. No Intermation Field           (f)         Use the name and country, in Linglish, of each breege thranced regulatory automaty with which the private fund is registered. No Intermation Field           (f)         Use the name and country, in Linglish, of each breege thranced regulatory automaty with which the private fund is registered. No Intermation Field           (f)         Use the forder funds arrows: the following augustory: (notify funds also) adds. South 7.8.(1) for a master-feedor unrangement according to the instructions to this Section 7.8.(1), for adds. South 7.8.(2) for a master-feedor unrangement according to the instructions to this Section 7.8.(1), for adds. South 4.8.(2) profits also) adds. JoenData77           (a)         Anneot fund applies for the country is the private fund organized. State: Country: Cayman Islands           (d)         Inder the laws of what state or country is the private fund organized. State: Country: Cayman Islands           (d)         Inder the laws of what state or country is the private fund organized. State: Country:		ELLIOTT ADVISORS (UK) LIMITED
INVERSITY CONST CAPTIAL CONF.         (a)       The private fand (chock all that apply, you must check at least one):         (b)       (c)       particles for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940.         (c)       Us the name and country. In English, of each foreign financial regulatory authomy with which the private fund is registered. No Intermation Finds         (c)       List the name and country. In English, of each foreign financial regulatory authomy with which the private fund is registered. No Intermation Finds         (c)       List the name and country. In English, of each foreign financial regulatory authomy with which the private fund is registered. No Intermation Finds         (d)       Private fund identification number: On the definition of investment. Control arrangement according to the instructions to this Section 7.8 (1), or a master factor arrangement according to the instructions to this Section 7.8 (1), or a master factor arrangement according to the instructions to this Section 7.8 (1), or a master factor arrangement according to the instructions to this Section 7.8 (1), or a master factor arrangement according to the instructions to this Section 7.8 (1), or a master factor arrangement according to the instructions to this Section 7.8 (1), or a master factor arrangement according to the instructions for the according to the instructions to the following queueilons:         (d)       Neme of the growte fand       Country         (e)       Neme of Conneal Partner, Manager, Trustee or Directore       ELLIOTT CIC 2 CO LCC         (d)       It th		ELLIOTT FINANCIAL ITALIA S.R.L.
<ul> <li>(a) The private fand (check all that apply: you must check at least one): <ul> <li>(b) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(c) qualifies for the exclusion from the definition of investment company under section 3(c)(2) of the Investment Company Act of 1940</li> <li>(c) qualifies for the exclusion from the definition of investment company under section 3(c)(2) of the Investment Company Act of 1940</li> <li>(c) List the name and country, in Legish, of each longing financial regulatory authority with which the private zond is registered. No Information Field</li> </ul> If you are filing a single Schedule D, Scalter 7 B (1) for a mester-fooder anangement according to the instructions to this Sociion 7 B (1), if each of the private fund is private fund in the priva</li></ul>		ELLIOTT MANAGEMENT CORPORATION
<ul> <li>□ (1) qualifies for the exclusion from the definition of investment company under section 3(a)(7) of the Investment Company Act of 1940.</li> <li>○ Lots the name and country, in English, of each foreign financial regulatory authority with which the private food is registered. No Information Flod</li> <li>○ Lots the name and country, in English, of each foreign financial regulatory authority with which the private food is registered. No Information Flod</li> <li>○ Lot such a super Schedule D. Section 7.11 (1) for a master feeder arrangement according to the instructions to this Section 7.11 (1), if each of the private food is registered. No Information Flod</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food and answer the following questions:</li> <li>○ Name of the private food and answer the following questions:</li> <li>○ Under the laws of what state or country is the private found and organized:</li> <li>○ State: Country. Country. Country. Country. Country. Country. Country. Country.</li> <li>○ Under the laws of what state or country is the private food and organized:</li> <li>○ State: Country. Trustee or Directors (or persons serving in a similar capacity):</li> <li>○ Name of Ceneral Partner, Manager, Trustee or Director</li> <li>○ III (1) The VSTMENT MANGCEMENT L.P. (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)</li></ul>		EVERGREEN COAST CAPITAL CORP.
<ul> <li>□ (1) qualifies for the exclusion from the definition of investment company under section 3(a)(7) of the Investment Company Act of 1940.</li> <li>○ Lots the name and country, in English, of each foreign financial regulatory authority with which the private food is registered. No Information Flod</li> <li>○ Lots the name and country, in English, of each foreign financial regulatory authority with which the private food is registered. No Information Flod</li> <li>○ Lot such a super Schedule D. Section 7.11 (1) for a master feeder arrangement according to the instructions to this Section 7.11 (1), if each of the private food is registered. No Information Flod</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food and answer the following questions:</li> <li>○ Name of the private food and answer the following questions:</li> <li>○ Under the laws of what state or country is the private found and organized:</li> <li>○ State: Country. Country. Country. Country. Country. Country. Country. Country.</li> <li>○ Under the laws of what state or country is the private food and organized:</li> <li>○ State: Country. Trustee or Directors (or persons serving in a similar capacity):</li> <li>○ Name of Ceneral Partner, Manager, Trustee or Director</li> <li>○ III (1) The VSTMENT MANGCEMENT L.P. (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)</li></ul>		
<ul> <li>□ (1) qualifies for the exclusion from the definition of investment company under section 3(a)(7) of the Investment Company Act of 1940.</li> <li>○ Lots the name and country, in English, of each foreign financial regulatory authority with which the private food is registered. No Information Flod</li> <li>○ Lots the name and country, in English, of each foreign financial regulatory authority with which the private food is registered. No Information Flod</li> <li>○ Lot such a super Schedule D. Section 7.11 (1) for a master feeder arrangement according to the instructions to this Section 7.11 (1), if each of the private food is registered. No Information Flod</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food answer the following questions:</li> <li>○ Name of the private food and answer the following questions:</li> <li>○ Name of the private food and answer the following questions:</li> <li>○ Under the laws of what state or country is the private found and organized:</li> <li>○ State: Country. Country. Country. Country. Country. Country. Country. Country.</li> <li>○ Under the laws of what state or country is the private food and organized:</li> <li>○ State: Country. Trustee or Directors (or persons serving in a similar capacity):</li> <li>○ Name of Ceneral Partner, Manager, Trustee or Director</li> <li>○ III (1) The VSTMENT MANGCEMENT L.P. (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)</li></ul>	$(\alpha)$	The private fund (check all that apply: you must check at least one):
1940         ■       (2) qualifies for the exclusion from the definition of investment company under section 2(c)(7) of the Investment Company Act of 1940         (b)       List the name and country, in English, of each foreign fibrancial regulatory authority with which the private fund is registered. No Information Filed         (c)       List the name and country, in English, of each foreign fibrancial regulatory authority with which the private fund is registered. No Information Filed         (d)       List the name and country, in English, of each foreign fibrancial regulatory authority with which the private fund is registered.         (d)       List the name and country is English, of each foreign fibrancial regulatory authority with which the private fund is Socion 7.18 (1). If each of the private fund is name the total rund, answer the total write gravitations:         (a)       Name of the private fund.         (a)       Under the laws of what state or country is the private fund organized:         (d)       Under the laws of what state or country is the private fund organized:         (d)       Under the laws of what state or country is the private fund organized:         (e)       Under the laws of what state or country is the private fund organized:         (f)       Under the laws of what state or country is the private fund organized:         (g)       Under the laws of what state or country is the private fund organized:         (f)       Name of General Partner, Manager, Trustee or Director	(e)	
1940         (1)       List the name and country. In Lingtish, of each breight /handial regulatory authority with which the private tund is registered No Information Filed         If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), If each of the feeder funds answer the following questions:         (a)       Nume of the private fund         ELLIOT TO C2 PEEDER A-3 L.P.         (b)       Private fund Identification number: (Include the 1805 - prefix area) 805-3299004877         (c)       Under the laws of what state or country is the private fund organized: State:         State:       Country: Cayman Islands         (d)       Name (s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT INVESTMENT MANAGEMENT L.P.         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALILIP         EIME LUE         EIME LUE         EIMET LUE         EIMET LUE         EIMET INVESTMENT MANAGEMENT L.P.         EALILIP         EIMET LUE         EIMET LUE         EIMET LUE         EIMET LUE         EIME State (CARDINIE COMPORATION         IN MANAGEMENT LONDOR         EIME State (CARDINIE COMPORATION </th <th></th> <th></th>		
No Information Filed         If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to this Section 7.B.(1), for according to the instructions to the instruction for the instructions to the instructions to the instructions to the instruction of the instruction of the instructions to the instructions to the instructions to the instructions to the instruction for the investment Company Act or 1940.		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
each of the freder funds answer the following questions:         (a) Name of the private fund:         ELLIOTT CIC 2 FEFDER A-3 LP.         (b) Private fund identification number:         (include the "005" performs also)         a05-3295804877         (c) Under the laws of what state or country is the private fund organized:         State:       Country:         Cayman Islands         (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Directors         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrola registration, identify the filing adviser and/or registing adviser(s) that sponsor(s) or manage(s) this private fund:         Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L P.         EALL LIP         EINOT LIC         EINOT LIC         EINOT LIC         EINOT TINNECKLITALL S.R.L.         ELLIOTT INVESTMENT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940.         (f)       List the name and country, in English, of each forelgn financial regui	(f)	
each of the freder funds answer the following questions:         (a) Name of the private fund:         ELLIOTT CIC 2 FEFDER A-3 LP.         (b) Private fund identification number:         (include the "005" performs also)         a05-3295804877         (c) Under the laws of what state or country is the private fund organized:         State:       Country:         Cayman Islands         (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Directors         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrola registration, identify the filing adviser and/or registing adviser(s) that sponsor(s) or manage(s) this private fund:         Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L P.         EALL LIP         EINOT LIC         EINOT LIC         EINOT LIC         EINOT TINNECKLITALL S.R.L.         ELLIOTT INVESTMENT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940.         (f)       List the name and country, in English, of each forelgn financial regui		
each of the freder funds answer the following questions:         (a) Name of the private fund:         ELLIOTT CIC 2 FEFDER A-3 LP.         (b) Private fund identification number:         (include the "005" performs also)         a05-3295804877         (c) Under the laws of what state or country is the private fund organized:         State:       Country:         Cayman Islands         (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Directors         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrola registration, identify the filing adviser and/or registing adviser(s) that sponsor(s) or manage(s) this private fund:         Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L P.         EALL LIP         EINOT LIC         EINOT LIC         EINOT LIC         EINOT TINNECKLITALL S.R.L.         ELLIOTT INVESTMENT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940.         (f)       List the name and country, in English, of each forelgn financial regui		
<ul> <li>(a) Name of the private fund: ELLIOTT CIC 2 FEEDER A-3 L P.</li> <li>(b) Arivate fund identification number: (include the "805-" prefix also) 805-3295804877</li> <li>(c) Under the laws of what state or country is the private fund organized: State: Country: Cayman Islands</li> <li>(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CIC 2 OP LIC</li> <li>(d) (2) If filing adviser/Relying Adviser Name ELLIOTT CIC 2 OP LIC</li> <li>(e) (2) If filing adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.</li> <li>EALL LIP EIMCT LIC EIMCT CORPORATION HITOTT PINANCIAL ITALIA S.R.I. HITOTT MANACEMENT CORPORATION HITOTT PINANCIAL ITALIA S.</li></ul>	lf you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
ELLIOTT CIC 2 FEEDER A-3 L P.         (b)       Private fund identification number: (include the "805-" prefix also) 805-3295804877         (c)       Under the laws of what state or country is the private fund organized: State:         State:       Country: Cayman Islands         (d)       Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee or Director         ELLIOTT CIC 2 CP LLC       ELLOTT CIC 2 CP LLC         (d)       (2)       If filing an umbrelia registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: Filing Adviser/Relying Adviser Name         ELLIOTT CIC 2 CP LLC       ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP       ELLOTT INVESTMENT MANAGEMENT L.P.         EALL LLP       ELLIOTT ENDERSON (UK) LIMITED         ELLIOTT ENDINGENG (UK) LIMITED       ELLIOTT ENDINGENG TORPORATION         ELLIOTT MANAGEMENT CORPORATION       ELLOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.       E         (e)       The private fund (check all that apply: you must check at least one):	each c	of the feeder funds answer the following questions:
(b)       Private fund identification number: (include the *805-" prefix also) 805-3295804877         (c)       Under the laws of what state or country is the <i>private fund</i> organized: State:       Country: Cayman Islands         (d)       Name(s) of General Partner. Manager, Trustee on Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director         (d)       (1)       Name(s) of General Partner, Manager, Trustee or Director         (e)       If filing an umbrolia registration, identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : <i>Filing Adviser/Relying Adviser Name</i> (e)       If filing an umbrolia registration, identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : <i>Filing Adviser/Relying Adviser Name</i> (e)       ELLOTT INVESTMENT MANAGEMENT L.P.         EALL LLP       EIMOT ELC         ELLOTT INVESTMENT MANAGEMENT C.P.         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT ADVISORS (APITAL CORP.         (e)       The <i>private fund</i> (check all that apply: you must check at least one): [) () qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         (f)       List the name and count	(a)	Name of the <i>private fund</i> :
<ul> <li>(include the "805-" prefix also) 805-3295804877</li> <li>(c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands</li> <li>(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director (et <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director</li> <li>(d) (2) If Illing an <i>umbrella registration</i>, identify the <i>filing adviser</i> and/or <i>relying adviser</i>(s) that sponsor(s) or manage(s) this <i>private fund</i></li> <li>(e) (2) If Illing a <i>umbrella registration</i>, identify the <i>filing adviser</i> and/or <i>relying adviser</i>(s) that sponsor(s) or manage(s) this <i>private fund</i></li> <li>(filing Adviser/Relying Advisor Name</li> <li>FILIOTT INVESTMENT MANAGEMENT L.P.</li> <li>EALL LLP</li> <li>EIMET LLC</li> <li>EIMET LLC</li> <li>EIMET LLC</li> <li>EIMET LLC</li> <li>EILIOTT INVESTORS (UK) LIMITED</li> <li>ELLIOTT MANAGEMENT CORPORATION</li> <li>EVERCREED COAST CAPITAL CORP.</li> <li>(e) The <i>private fund</i> (check all that apply: you must check at least one):</li> <li>□ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(f) List the name and country. In English, of each foreign financial regulatory authority with which the <i>private fund</i> is registered.</li> </ul>		ELLIOTT CIC 2 FEEDER A-3 L.P.
<ul> <li>(include the "805-" prefix also) 805-3295804877</li> <li>(c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands</li> <li>(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director (et <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director</li> <li>(d) (2) If Illing an <i>umbrella registration</i>, identify the <i>filing adviser</i> and/or <i>relying adviser</i>(s) that sponsor(s) or manage(s) this <i>private fund</i></li> <li>(e) (2) If Illing a <i>umbrella registration</i>, identify the <i>filing adviser</i> and/or <i>relying adviser</i>(s) that sponsor(s) or manage(s) this <i>private fund</i></li> <li>(filing Adviser/Relying Advisor Name</li> <li>FILIOTT INVESTMENT MANAGEMENT L.P.</li> <li>EALL LLP</li> <li>EIMET LLC</li> <li>EIMET LLC</li> <li>EIMET LLC</li> <li>EIMET LLC</li> <li>EILIOTT INVESTORS (UK) LIMITED</li> <li>ELLIOTT MANAGEMENT CORPORATION</li> <li>EVERCREED COAST CAPITAL CORP.</li> <li>(e) The <i>private fund</i> (check all that apply: you must check at least one):</li> <li>□ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(f) List the name and country. In English, of each foreign financial regulatory authority with which the <i>private fund</i> is registered.</li> </ul>		
805-3295804877         (c)       Under the laws of what state or country is the private fund organized: State:         Country:       Country:         Cayman Islands         (d) (1)       Name (s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT CIC 2 GP LLC         (d) (2)       If filing an umbrelia registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:         Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         FAIL LLP         ELMOT LLC         EIMET LLC         EUNOT LLC         EUNOT TINNESTMENT MANAGEMENT L.P.         FAIL LLP         ELLIOTT INVESTMENT MANAGEMENT L.P.         FAIL LLP         ELLIOTT HANISCHENT CORPORATION         ELLIOTT MANAGEMENT CORPORATION         EVERGREENT COAST CAPITAL CORP.         (e)       The private fund (check all that apply; you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act or 1940         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. <th>(b)</th> <th></th>	(b)	
<ul> <li>(c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands</li> <li>(d) (1) Name (s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director [LILOTT CIC 2 GP LIC</li> <li>(d) (2) If filing an <i>umbrelia registration</i>, identify the <i>filing adviser</i> and/or <i>relying adviser</i>(s) that sponsor(s) or manage(s) this <i>private fund</i>: <i>Filing Adviser/Relying Adviser Name</i> ELLIOTT INVESTMENT MANAGEMENT L.P. FALL LIP EIMCT LIC EIMCT LIC EIMCT LIC EIMCT LIC EIMCT LIC EIMCT LIC EIMCT LIC EIMCT LIC EILIOTT FINANCIAL ITALIA S.R.L. ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT MANAGEMENT CORPORATION EVERGREEN COAST CAPITAL CORP.</li> <li>(c) The <i>private fund</i> (check all that apply: you must check at least one): (1) (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act or 1940</li> <li>(d) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> </ul>		(include the "805-" prefix also)
State:       Country: Cayman Islands         (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrellar registration, identify the filing advisor and/or relying advisor(s) that sponsor(s) or manage(s) this private fund: <i>Filing Adviser/Relying Adviser</i> Name         ELLIOTT NVESTMENT MANAGEMENT L.P.         EALL LLP         EIMET LLC         EIMET LLC         EILIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         (1) (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act or 1940         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		805-3295804877
State:       Country: Cayman Islands         (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrellar registration, identify the filing advisor and/or relying advisor(s) that sponsor(s) or manage(s) this private fund: <i>Filing Adviser/Relying Adviser</i> Name         ELLIOTT NVESTMENT MANAGEMENT L.P.         EALL LLP         EIMET LLC         EIMET LLC         EILIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         (1) (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act or 1940         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
Cayman Islands         (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: <i>Filing Adviser/Relying Adviser</i> Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMET LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         (1)       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act or 1940.         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	(C)	Under the laws of what state or country is the <i>private fund</i> organized:
(d) (1) Name (s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: <i>Filing Adviser/Relying Adviser Name</i> ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL SUB LLC         EILIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)         The private fund (check all that apply: you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act or 1940         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		State: Country:
Name of General Partner, Manager, Trustee or Director         ELLIOTT CIC 2 GP LLC         (d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:         Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LUC         EIMFL SUB LLC         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		Cayman Islands
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EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one): <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> </ul> (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		Filing Adviser/Relying Adviser Name
EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The <i>private fund</i> (check all that apply: you must check at least one):         □       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         ✓       (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940         (f)       List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		ELLIOTT INVESTMENT MANAGEMENT L.P.
EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The <i>private fund</i> (check all that apply; you must check at least one):         □       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         反       (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940         (f)       List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		EALL LLP
EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply: you must check at least one):         □       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         ✓       (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		EIMCT LLC
ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply; you must check at least one):         (f)       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         (f)       List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		EIMFL LLC
ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The <i>private fund</i> (check all that apply; you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         []       (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940         []       (f)         List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		EIMFL SUB LLC
ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The <i>private fund</i> (check all that apply; you must check at least one):         []       (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940         []       (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940         []       (f)         List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		ELLIOTT ADVISORS (UK) LIMITED
ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.         (e)       The private fund (check all that apply; you must check at least one):            [1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940             [2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940             (f)         List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
<ul> <li>(e) The <i>private fund</i> (check all that apply; you must check at least one):         <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> <li>(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> </ul> </li> </ul>		
<ul> <li>(e) The <i>private fund</i> (check all that apply; you must check at least one):</li> <li> <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> </ul> </li> <li> (f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li></ul>		
<ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> <li>(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> </ul>		
<ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> <li>(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> </ul>		
<ul> <li>1940</li> <li>Image: Constraint of the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> <li>(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> </ul>	(e)	
<ul> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> <li>(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> </ul>		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act o
<ul><li>(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li></ul>		
(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
		✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

	u are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CIC 2 FEEDER A-4 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-8377280849
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
(0)	State: Country:
	Cayman Islands
	ouyman isianas
(d) (	1) Name(c) of Conoral Partner, Manager, Trustee or Directory (or persons conving in a similar conasity):
(a) (	1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) (	2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
7 If vo	u are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
(4)	ELLIOTT CIC 2 FEEDER A-5 L.P.
	ELLIOTT GIG Z FEEDER A-3 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-9438789963
(c)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (	1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC

(d) (2) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser(s)* that sponsor(s) or manage(s) this *private fund*:

		Filing Adviser/Relying Adviser Name			
		ELLIOTT INVESTMENT MANAGEMENT L.P.			
		EALL LLP			
		EIMCT LLC			
		EIMFL LLC			
		EIMFL SUB LLC			
		ELLIOTT ADVISORS (UK) LIMITED			
		ELLIOTT FINANCIAL ITALIA S.R.L.			
		ELLIOTT MANAGEMENT CORPORATION			
		EVERGREEN COAST CAPITAL CORP.			
	(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940			
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Compar 1940	ly net of		
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed			
	assets in a	purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if asses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	f it issued		
			Yes	No	
8.	(a) Is this	private fund a "fund of funds"?		o	
		purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investm egardless of whether they are also <i>private funds</i> or registered investment companies.		Č	
	(b) If yes,	does the private fund invest in funds managed by you or by a related person?	0	0	
			Yes	No	
9.		r last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	0	
10.	What type	of fund is the private fund?			
	-	und $^{m O}$ liquidity fund $^{m O}$ private equity fund $^{m O}$ real estate fund $^{m O}$ securitized asset fund $^{m O}$ venture capital fund $^{m O}$ Other <i>pr</i> MENT COMMITMENTS	ivate fun	d:	
	NOTE: For	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.			
11.	Current gro \$ 394,984,	oss asset value of the <i>private fund</i> : 170			
<u>Ow</u>	<u>nership</u>				
12.	Minimum ir \$ 5,000,00	ovestment commitment required of an investor in the <i>private fund</i> :			
	NOTE: Rep	ort the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in nal documents of the fund).	the		
13.	Approximat 63	te number of the <i>private fund's</i> beneficial owners:			
14.	What is the 5%	e approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :			

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds: 25%

		Company Act of 1940, are sales of the fund limited to qualified clients?		
16.	Wha 5%	at is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		
<u>Υοι</u>	ır Ad	lvisory Services		
17	(a)	Are you a subadvisor to this private fund	Ye	s No
17.	(b)	Are you a subadviser to this <i>private fund</i> ? If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer	r to	C
		question 17.(a) is "no," leave this question blank. No Information Filed		
			٧e	s No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	e ans	wer
		No Information Filed		
			Ye	s No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	$\overline{\mathbf{O}}$
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Арр 0%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	vate	Offering		
01				s No
21.	наѕ	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	o	0
22.	_	es, provide the <i>private fund's</i> Form D file number (if any):		
		m D file number I-417015		
	ERVI	CE PROVIDERS		
Aut		2	Ye	s No
23.		(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	C	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	C	
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditir you must complete questions (b) through (f) separately for each auditing firm.	וg fir	m,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: GRANT THORNTON LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City:     State:     Country:       NEW YORK     New York     United States		
			Yes	No
		(d) Is the auditing firm an independent public accountant?	0	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 248		
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in	o	0

accordance	with	its	rul	les?

	Yes	No
(g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's	$\odot$	0
investors?		

(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?

⊙ Yes ○ No ○ Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

#### Prime Broker

24. (a) Does the *private fund* use one or more prime brokers?

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

### No Information Filed

### <u>Custodian</u>

Yes	No
$\odot$	$\circ$

Yes No

 $\odot$   $\circ$ 

Yes No

0 0

25. (a) Does the *private fund* use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private* 

fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

dditional Custodian Information : 1	Record(s) Filed.		
	yes," respond to questions (b) through you must complete questions (b) throu	g) below for each custodian the <i>private</i> gh (g) separately for each custodian.	<i>fund</i> uses. If the <i>private</i>
(b) Legal name of custodian: WELLS FARGO BANK, N.A.			
(c) Primary business name of custod WELLS FARGO BANK, N.A.	lian:		
(d) The location of the custodian's o	ffice responsible for <i>custody</i> of the <i>priv</i>	ate fund's assets (city, state and country	/):
City: SAN FRANCISCO	State: California	Country: United States	
(e) Is the custodian a related person	of your firm?		Yes No
(f) If the custodian is a broker-deale	er, provide its SEC registration number	(if any):	
- CRD Number (if any):			
(g) If the custodian is not a broker-o <i>identifier</i> (if any)	dealer, or is a broker-dealer but does n	ot have an SEC registration number, pr	ovide its <i>legal entity</i>
KB1H1DSPRFMYMCUFXT09			

### Administrator

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

		e answer to question 26 inistrator, you must com	•			
		Name of administrator: HARMONIC FUND SERVIC	ES			
	(c) L	Location of administrator	(city, state and country	<b>(</b> ):		
		City:		State:	Country:	
		GRAND CAYMAN			Cayman Islands	Yes No
	(d) I	Is the administrator a <i>re</i>	lated person of your firm?	?		
						0.0
			•		nts to the <i>private fund's</i> investors? t all investors) ONO (provided to no investors)	
					nvestor account statements to the (rest of the) <i>private fu</i> of the) <i>private fund's</i> investors, respond "not applicable."	
you 0% Inc rele	ur <i>related</i> 5 clude onl <u>i</u> evant qu	d person? ly those assets where (i)	such <i>person</i> carried out	t the valuation proc	alue) was valued by a <i>person</i> , such as an administrator, redure established for that asset, if any, including obtaining ions, redemptions or distributions, and fee calculations (in	ng any
Market	ers					
00 ( )						Yes No
28. (a)				5 5	employees for marketing purposes?	00
	similar	person. If the answer to	question 28.(a) is "yes,	" respond to questi	onsultant, finder, introducer, municipal advisor or other so ons (b) through (g) below for each such marketer the <i>pr</i> questions (b) through (g) separately for each marketer.	
				No Informat	ion Filed	
A. PRIVA		out the Private Fund				
morna		out the <i>rivate runu</i>				
1. (a)	Name o	of the <i>private fund</i> :				
	ELLIOT	T CIC 2 INTERMEDIATE E	3 L.P.			
(b)		fund identification numb	er:			
		e the "805-" prefix also) 517440022				
	805-00	517440022				
2. Unc		laws of what state or co	untry is the <i>private fund</i>	organized:		
2. Und	der the I State:	laws of what state of co	Country:	-		
2. Und		laws of what state of co	• ·	-		
	State:		Country: Cayman Isla	ands	rving in a similar capacity):	
3. <b>(a)</b>	State: Name(s		Country: Cayman Isla anager, Trustee, or Direc	ands ctors (or <i>persons</i> se	rving in a similar capacity):	
3. (a)	State: Name(s	s) of General Partner, Ma	Country: Cayman Isla anager, Trustee, or Director er, Trustee, or Director	ands ctors (or <i>persons</i> se	rving in a similar capacity):	
3. (a) Na ELI	State: Name(s ame of G	s) of General Partner, Ma General Partner, Manag IC 2 INTERMEDIATE GP L	Country: Cayman Isla anager, Trustee, or Director er, Trustee, or Director	ands ctors (or <i>persons</i> se		
3. (a) Na ELI	State: Name(s ame of G LIOTT CI	s) of General Partner, Ma Seneral Partner, Manag IC 2 INTERMEDIATE GP L an <i>umbrella registration</i>	Country: Cayman Isla anager, Trustee, or Director er, Trustee, or Director P. identify the <i>filing advise</i>	ands ctors (or <i>persons</i> se	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .	
3. (a) Na ELI (b) <i>Fil</i>	State: Name(s ame of G LIOTT CI If filing <i>ling Adv</i>	s) of General Partner, Ma General Partner, Manag IC 2 INTERMEDIATE GP L an <i>umbrella registration</i> , viser/Relying Adviser N	Country: Cayman Isla anager, Trustee, or Direct er, Trustee, or Director P. identify the <i>filing advise</i> lame	ands ctors (or <i>persons</i> se		
3. (a) Na ELI (b) <i>Fil</i>	State: Name(s ame of G LIOTT CI If filing <i>ling Adv</i> LIOTT IN	s) of General Partner, Ma Seneral Partner, Manag IC 2 INTERMEDIATE GP L an <i>umbrella registration</i>	Country: Cayman Isla anager, Trustee, or Direct er, Trustee, or Director P. identify the <i>filing advise</i> lame	ands ctors (or <i>persons</i> se		
3. (a) Na ELI (b) <i>Fill</i> EA	State: Name(s ame of G LIOTT CI If filing <i>ling Adv</i> LIOTT IN	s) of General Partner, Ma Seneral Partner, Manag IC 2 INTERMEDIATE GP L an <i>umbrella registration</i> , viser/Relying Adviser N	Country: Cayman Isla anager, Trustee, or Direct er, Trustee, or Director P. identify the <i>filing advise</i> lame	ands ctors (or <i>persons</i> se		
3. (a) <b>Na</b> ELI (b) <i>Fill</i> EA EIN	State: Name(s ame of G LIOTT CI If filing <i>ling Adv</i> LIOTT IN	s) of General Partner, Ma General Partner, Manag IC 2 INTERMEDIATE GP L an <i>umbrella registration</i> , viser/Relying Adviser N NVESTMENT MANAGEMEN	Country: Cayman Isla anager, Trustee, or Direct er, Trustee, or Director P. identify the <i>filing advise</i> lame	ands ctors (or <i>persons</i> se		

 EIMFL SUB LLC

 ELLIOTT ADVISORS (UK) LIMITED

 ELLIOTT FINANCIAL ITALIA S.R.L.

 ELLIOTT MANAGEMENT CORPORATION

 EVERGREEN COAST CAPITAL CORP.

4. The *private fund* (check all that apply; you must check at least one):

- (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
- (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

5. List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

	No I	nformation Filed		
			Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?		$\odot$	0
	(b) If yes, what is the name and <i>private fund</i> identification number (	if any) of the feeder funds investing in this private fund?		
	Name of <i>private fund</i>	Private fund identification number		
	ELLIOTT CIC 2 FEEDER B-1 L.P.	805-9345897900		
	ELLIOTT CIC 2 FEEDER B-2 L.P.	805-9379340672		

	000 7377340072	
ELLIOTT CIC 2 FEEDER B-3 L.P.	805-3022498824	
ELLIOTT CIC 2 FEEDER B-4 L.P.	805-9506331752	
ELLIOTT CIC 2 FEEDER B-5 L.P.	805-9589069384	

Yes No

0 0

- (c) Is this a "feeder fund" in a master-feeder arrangement?
- (d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests? Name of *private fund*:

*Private fund* identification number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

## Additional Feeder Fund Information : 5 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
  - (a) Name of the *private fund*: ELLIOTT CIC 2 FEEDER B-1 L.P.
  - (b) *Private fund* identification number: (include the "805-" prefix also) 805-9345897900
  - (c) Under the laws of what state or country is the *private fund* organized:
     State: Country:
     Cayman Islands
  - (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Ma	anager, Trustee or Director
ELLIOTT CIC 2 GP LLC	

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P

	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CIC 2 FEEDER B-2 L.P.
(b)	<i>Private fund</i> identification number: (include the "805-" prefix also)
	805-9379340672
(C)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

7.		are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
		f the feeder funds answer the following questions:
	(a)	Name of the <i>private fund</i> :
		ELLIOTT CIC 2 FEEDER B-3 L.P.
	(b)	Private fund identification number: (include the "805-" prefix also)
		805-3022498824
		605-3022496624
	(-)	
	(C)	Under the laws of what state or country is the <i>private fund</i> organized:
		State: Country: Cayman Islands
		Cayman Islands
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	(a) (1)	
		Name of General Partner, Manager, Trustee or Director
		ELLIOTT CIC 2 GP LLC
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
		Filing Adviser/Relying Adviser Name
		ELLIOTT INVESTMENT MANAGEMENT L.P.
		EALL LLP
		EIMCT LLC
		EIMFL LLC
		EIMFL SUB LLC
		ELLIOTT ADVISORS (UK) LIMITED
		ELLIOTT FINANCIAL ITALIA S.R.L.
		EVERGREEN COAST CAPITAL CORP.
	(e)	The private fund (check all that apply; you must check at least one):
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
		1940
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
		1940
	(0)	
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
		No Information Filed
7.	If you a	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
	each of	f the feeder funds answer the following questions:
	(a)	Name of the <i>private fund</i> :
		ELLIOTT CIC 2 FEEDER B-4 L.P.
	(b)	Private fund identification number:
		(include the "805-" prefix also)
		805-9506331752
	(C)	Under the laws of what state or country is the <i>private fund</i> organized:
		State: Country:
		Cayman Islands
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
		Name of General Partner, Manager, Trustee or Director
		ELLIOTT CIC 2 GP LLC

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940 $\mathbf{V}$ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), fo of the feeder funds answer the following questions: Name of the <i>private fund</i> : ELLIOTT CIC 2 FEEDER B-5 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also) 805-9589069384
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country: Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one): $\Box$ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of

7.

 $\Box$  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

 $\blacksquare$  (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

# No Information Filed

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?		$\odot$
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	$\odot$
10.	What type of fund is the private fund?		
	○ hedge fund ○ liquidity fund ○ private equity fund ○ real estate fund ○ securitized asset fund ○ venture capital fund ○ Other priva CO-INVESTMENT COMMITMENTS	te fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 1,270,981,944		
<u>0w</u>	nership		
12	Minimum investment commitment required of an investor in the <i>private fund</i> :		
12.	\$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	Ş	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 63		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 5%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 4%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 81%		
<u>Yoı</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ©
	<ul> <li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.</li> </ul>		e
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	o
	<ul><li>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank.</li></ul>		
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	~	0

NOTE: For purposes of this question, do not consider feeder funds of the private fund.

<ul><li>20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i>?</li><li>0%</li></ul>		
Private Offering		
21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	s No
22. If yes, provide the <i>private fund's</i> Form D file number (if any): Form D file number		
021-417011		
B. SERVICE PROVIDERS		
Auditors		
	Ye	s No
23. (a) (1) Are the private fund's financial statements subject to an annual audit?	$\odot$	0
(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	$\odot$	0
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one au you must complete questions (b) through (f) separately for each auditing firm.	diting firr	n,
Additional Auditor Information : 1 Record(s) Filed.		
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
(b) Name of the auditing firm: GRANT THORNTON LLP		
<ul> <li>(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):</li> <li>City:</li> <li>State:</li> <li>Country:</li> </ul>		
NEW YORK New York United States	Yes	No
(d) Is the auditing firm an independent public accountant?	_	0
(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	o	0
If yes, Public Company Accounting Oversight Board-Assigned Number: 248		
(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0
(g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	Ye:	s No
(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualifi	ed opinio	ns?
Yes O No O Report Not Yet Received		
If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the rep	ort is avai.	lable.
Prime Broker		
	Ye	s No
24. (a) Does the <i>private fund</i> use one or more prime brokers?	O If the <i>pri</i> u	~
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		vaic

**Custodian** 

25. (a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?

If the answer to question 25.(a) is	'yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private
fund uses more than one custodian	you must complete questions (b) through (g) separately for each custodian.

	ecord(s) Filed.		
If the answer to question 25.(a) is "yes <i>fund</i> uses more than one custodian, you			
(b) Legal name of custodian: WELLS FARGO BANK, N.A.			
(c) Primary business name of custodia WELLS FARGO BANK, N.A.	n:		
(d) The location of the custodian's offic			country):
City: SAN FRANCISCO	State: California	Country: United States	
			Y
(e) Is the custodian a <i>related person</i> of	your firm?		c
(f) If the custodian is a broker-dealer,	provide its SEC registration nur	nber (if any):	
- CRD Number (if any):			
(g) If the custodian is not a broker-dea identifier (if any)	aler, or is a broker-dealer but de	oes not have an SEC registration num	ber, provide its <i>legal entit</i> y
identiner (ir ariy)			
KB1H1DSPRFMYMCUFXT09			
KB1H1DSPRFMYMCUFXT09	-	ab (f) bolow. If the private funduises	more than one administrat
KB1H1DSPRFMYMCUFXT09	respond to questions (b) throu		more than one administrat
KB1H1DSPRFMYMCUFXT09 trator Does the <i>private fund</i> use an administrato If the answer to question 26.(a) is "yes,"	respond to questions (b) throu separately for each administrate		more than one administrat
KB1H1DSPRFMYMCUFXT09 trator Does the <i>private fund</i> use an administrato If the answer to question 26.(a) is "yes," must complete questions (b) through (f) s	respond to questions (b) throu separately for each administrate <b>1 Record(s) Filed.</b>	or. ough (f) below. If the <i>private fund</i> use	
KB1H1DSPRFMYMCUFXT09 trator Does the <i>private fund</i> use an administrato If the answer to question 26. (a) is "yes," must complete questions (b) through (f) s Additional Administrator Information : If the answer to question 26. (a) is "yes	respond to questions (b) throu separately for each administrate <b>1 Record(s) Filed.</b>	or. ough (f) below. If the <i>private fund</i> use	
KB1H1DSPRFMYMCUFXT09         trator         Does the private fund use an administrato         If the answer to question 26. (a) is "yes,"         must complete questions (b) through (f) s         Additional Administrator Information :         If the answer to question 26. (a) is "yes         administrator, you must complete question         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, stat	respond to questions (b) throu separately for each administrato <b>1 Record(s) Filed.</b> s," respond to questions (b) throu tions (b) through (f) separately e and country):	or. ough (f) below. If the <i>private fund</i> use for each administrator.	
KB1H1DSPRFMYMCUFXT09         trator         Does the private fund use an administrato         If the answer to question 26. (a) is "yes,"         must complete questions (b) through (f) s         Additional Administrator Information :         If the answer to question 26. (a) is "yes         administrator, you must complete question         (b) Name of administrator:         HARMONIC FUND SERVICES	respond to questions (b) throu separately for each administrate <b>1 Record(s) Filed.</b> s," respond to questions (b) through tions (b) through (f) separately	or. ough (f) below. If the <i>private fund</i> use	
KB1H1DSPRFMYMCUFXT09         trator         Does the private fund use an administrator         If the answer to question 26. (a) is "yes,"         must complete questions (b) through (f) and         Additional Administrator Information :         If the answer to question 26. (a) is "yes         administrator, you must complete question         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, stat         City:         GRAND CAYMAN	respond to questions (b) throuseparately for each administrate <b>1 Record(s) Filed.</b> 5," respond to questions (b) through (f) separately e and country): State:	or. ough (f) below. If the <i>private fund</i> use for each administrator. Country:	
KB1H1DSPRFMYMCUFXT09         trator         Does the private fund use an administrator         If the answer to question 26. (a) is "yes,"         must complete questions (b) through (f) s         Additional Administrator Information :         If the answer to question 26. (a) is "yes         administrator, you must complete quess         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, stat City:	respond to questions (b) throuseparately for each administrate <b>1 Record(s) Filed.</b> 5," respond to questions (b) through (f) separately e and country): State:	or. ough (f) below. If the <i>private fund</i> use for each administrator. Country:	s more than one

(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."

Yes No  $\odot$ 0

your related person?
0%
Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such <i>person</i> .

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

# Marketers

# Yes No

0 O

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

No Information Filed

# A. PRIVATE FUND

#### Information About the Private Fund

1.	(a)	Name of the <i>private fund</i> :
		ELLIOTT CIC 2 INTERMEDIATE

(b) *Private fund* identification number: (include the "805-" prefix also) 805-9743004805

 Under the laws of what state or country is the *private fund* organized: State: Country: Cayman Islands

CL.P.

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director
ELLIOTT CIC 2 INTERMEDIATE GP L.P.

(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.

Filing Adviser/Relying Adviser Name	
ELLIOTT INVESTMENT MANAGEMENT L.P.	
EALL LLP	
EIMCT LLC	
EIMFL LLC	
EIMFL SUB LLC	
ELLIOTT ADVISORS (UK) LIMITED	
ELLIOTT FINANCIAL ITALIA S.R.L.	
ELLIOTT MANAGEMENT CORPORATION	
EVERGREEN COAST CAPITAL CORP.	

4. The *private fund* (check all that apply; you must check at least one):

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

	No Ir	nformation Filed	
			Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?		• •
	(b) If yes, what is the name and private fund identification number (i	f any) of the feeder funds investing in this private fund?	
	Name of private fund	Private fund identification number	

	Name of private fund	Private fund identification number
	ELLIOTT CIC 2 FEEDER C-1 L.P.	805-9804243063
-		

(C)	Is this a	"feeder fund"	in a	master-feeder	arrangement?	
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(d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests? Name of *private fund*:

*Private fund* identification number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

# Additional Feeder Fund Information : 1 Record(s) Filed.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

(a) Name of the *private fund*: ELLIOTT CIC 2 FEEDER C-1 L.P.

- (b) *Private fund* identification number: (include the "805-" prefix also) 805-9804243063
- (c) Under the laws of what state or country is the *private fund* organized:
   State: Country: Cayman Islands
- (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee or Director ELLIOTT CIC 2 GP LLC

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

Filing Adviser/Relying Adviser Name
ELLIOTT INVESTMENT MANAGEMENT L.P.
EALL LLP
EIMCT LLC
EIMFL LLC
EIMFL SUB LLC
ELLIOTT ADVISORS (UK) LIMITED
ELLIOTT FINANCIAL ITALIA S.R.L.
ELLIOTT MANAGEMENT CORPORATION
EVERGREEN COAST CAPITAL CORP.

(e) The *private fund* (check all that apply; you must check at least one):

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
 1940

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered. No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

8.	(a) Is this private fund a "fund of funds"?	0	$\odot$
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	0
10.	What type of fund is the private fund?		
	○ hedge fund ○ liquidity fund ○ private equity fund ○ real estate fund ○ securitized asset fund ○ venture capital fund ◎ Other priva CO-INVESTMENT COMMITMENTS	te fur	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 220,066,398		
Ow	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 72		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 5%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 10%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 59%		
<u> </u>	<u>ir Advisory Services</u>	Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	$\odot$
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
10	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	Yes	
10.	<ul><li>(a) bo any investment advisers (other than the investment advisers listed in Section 7.5.(1).A.S.(b)) advise the private rund?</li><li>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank.</li></ul>	O answ	⊙ er
	No Information Filed		
10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	_
17.	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	0	o
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering	Yes	No

21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	00
22.	If yes, provide the <i>private fund's</i> Form D file number (if any): No Information Filed	
3. SE	RVICE PROVIDERS	
Audi	itors	
		Yes No
23.	<ul> <li>(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?</li> <li>(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?</li> </ul>	• •
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aud you must complete questions (b) through (f) separately for each auditing firm.	⊙ ⊙ liting firm,
	Additional Auditor Information : 1 Record(s) Filed.	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	
	(b) Name of the auditing firm: GRANT THORNTON LLP	
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
	City: State: Country:	
	NEW YORK New York United States	Yes No
	(d) Is the auditing firm an <i>independent public accountant</i> ?	• •
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	© 0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 248	
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	• •
		Yes No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	• •
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	1 opinions?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is available.
<u>Prim</u>	ne Broker	V/ NI
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	Yes No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the <i>private</i>
	No Information Filed	
<u>Cust</u>	todian	
25	(a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Yes No
20.	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	⊙ O e private

(b)	Legal name of custodian: WELLS FARGO BANK, N.A.				
(c)	Primary business name of custodian: WELLS FARGO BANK, N.A.				
(d)	The location of the custodian's office response				ntry):
	City: SAN FRANCISCO	State: California		ountry: hited States	
(e)	Is the custodian a <i>related person</i> of your	firm?			
(f)	If the custodian is a broker-dealer, provid	de its SEC registration numb	er (if any):		
	- CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, o <i>identifier</i> (if any)	or is a broker-dealer but does	s not have an SE	C registration number	, provide its <i>legal ent</i>
	KB1H1DSPRFMYMCUFXT09				
f the	<b>r</b> 5 the <i>private fund</i> use an administrator othe e answer to question 26.(a) is "yes," response c complete questions (b) through (f) separa	ond to questions (b) through		<i>private fund</i> uses mor	e than one administr
Does f the nust	the <i>private fund</i> use an administrator othe e answer to question 26.(a) is "yes," respo	ond to questions (b) through ately for each administrator.		e <i>private fund</i> uses mor	e than one administr
Does f the nust <b>Add</b> If t adr	the <i>private fund</i> use an administrator other e answer to question 26.(a) is "yes," response complete questions (b) through (f) separation itional Administrator Information : 1 Reconstruction the answer to question 26.(a) is "yes," response ministrator, you must complete questions	ond to questions (b) through ately for each administrator. cord(s) Filed.	gh (f) below. If t	he <i>private fund</i> uses m	
Does f the must Add If t adr (b)	the <i>private fund</i> use an administrator other e answer to question 26. (a) is "yes," response complete questions (b) through (f) separation itional Administrator Information : 1 Reconstructed the answer to question 26. (a) is "yes," resonantiations the answer to question 26. (a) is "yes," resonantiation the answer to question 26. (b) is "yes," resonantiation the answer to question 26. (c) is "yes," resonantiation the a	ond to questions (b) through ately for each administrator. cord(s) Filed. spond to questions (b) throug (b) through (f) separately fo	gh (f) below. If t	he <i>private fund</i> uses m	
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Does f the must Add If t adr (b)	the <i>private fund</i> use an administrator other e answer to question 26. (a) is "yes," response complete questions (b) through (f) separation itional Administrator Information : 1 Reconstructed the answer to question 26. (a) is "yes," resonantiations the answer to question 26. (a) is "yes," resonantiation the answer to question 26. (b) is "yes," resonantiation the answer to question 26. (c) is "yes," resonantiation the a	ond to questions (b) through ately for each administrator. cord(s) Filed. spond to questions (b) throug (b) through (f) separately fo	gh (f) below. If t	he <i>private fund</i> uses m ator.	
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Does f the must Addi If t adr (b) (c) (d)	the <i>private fund</i> use an administrator other e answer to question 26. (a) is "yes," response complete questions (b) through (f) separation itional Administrator Information : 1 Reconsection the answer to question 26. (a) is "yes," resonantiations the answer to question 26. (a) is "yes," resonantiation the an	country): State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: State: Sta	gh (f) below. If t r each administra Country: Cayman Isla	he <i>private fund</i> uses m ator.	
Does f the must Addi If t adr (b) (c) (d)	the <i>private fund</i> use an administrator other e answer to question 26. (a) is "yes," response complete questions (b) through (f) separation itional Administrator Information : 1 Reconsection the answer to question 26. (a) is "yes," resonantiations the answer to question 26. (a) is "yes," resonantiation the answer to question 26. (b) is "yes," resonantiation the answer to question 26. (a) is "yes," resonantiation the answer to question 26. (b) is "yes," resonantiation the an	country): State: our firm? d investor account statemen	gh (f) below. If t r each administra Country: Cayman Isla	he <i>private fund</i> uses m ator. ands <i>fund's</i> investors?	ore than one

0%

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

No Information Filed

	PRIVATE FUND	
Info	ormation About the Private F	und
1.	<ul> <li>(a) Name of the <i>private func</i></li> <li>ELLIOTT INTERMEDIATE</li> <li>(b) <i>Private fund</i> identification (include the "805-" prefix</li> <li>805-9260775661</li> </ul>	CO-INVESTMENT I L.P. number:
2.	Under the laws of what state State:	e or country is the <i>private fund</i> organized: Country:
		Cayman Islands
3.	(a) Name(s) of General Part	ner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):
		Manager, Trustee, or Director
	Name of General Partner, I ELLIOTT INTERMEDIATE CO-	
	ELLIOTT INTERMEDIATE CO-	
	ELLIOTT INTERMEDIATE CO-	INVESTMENT GP L.P. tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund
	ELLIOTT INTERMEDIATE CO-	INVESTMENT GP L.P. tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund viser Name
	ELLIOTT INTERMEDIATE CO- (b) If filing an <i>umbrella regis</i> <i>Filing Adviser/Relying Adv</i>	INVESTMENT GP L.P. tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund viser Name
	ELLIOTT INTERMEDIATE CO- (b) If filing an <i>umbrella regis</i> <i>Filing Adviser/Relying Adv</i> ELLIOTT INVESTMENT MANA	INVESTMENT GP L.P. tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund viser Name
	ELLIOTT INTERMEDIATE CO- (b) If filing an <i>umbrella regis</i> <i>Filing Adviser/Relying Adv</i> ELLIOTT INVESTMENT MANA EALL LLP	INVESTMENT GP L.P. tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund viser Name
	ELLIOTT INTERMEDIATE CO- (b) If filing an <i>umbrella regis</i> <i>Filing Adviser/Relying Adv</i> ELLIOTT INVESTMENT MANA EALL LLP EIMCT LLC	INVESTMENT GP L.P. tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund viser Name
	ELLIOTT INTERMEDIATE CO- (b) If filing an <i>umbrella regis</i> <i>Filing Adviser/Relying Adv</i> ELLIOTT INVESTMENT MANA EALL LLP EIMCT LLC EIMFL LLC	INVESTMENT GP L.P. <i>tration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> <i>viser</i> Name GEMENT L.P.
	ELLIOTT INTERMEDIATE CO- (b) If filing an <i>umbrella regis</i> <i>Filing Adviser/Relying Adv</i> ELLIOTT INVESTMENT MANA EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC	INVESTMENT GP L.P. tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund viser Name GEMENT L.P. IITED
	ELLIOTT INTERMEDIATE CO- (b) If filing an <i>umbrella regis</i> <i>Filing Adviser/Relying Adv</i> ELLIOTT INVESTMENT MANA EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIN	INVESTMENT GP L.P.  tration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund viser Name GEMENT L.P.  IITED S.R.L.

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

	No Information Filed	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	• •
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	

Name of *private fund* Private fund identification number ELLIOTT CO-INVESTMENT A-1 L.P. 805-4069205558 ELLIOTT CO-INVESTMENT A-2 L.P. 805-5204685812 ELLIOTT CO-INVESTMENT A-3 L.P. 805-5319852086 ELLIOTT CO-INVESTMENT A-4 L.P. 805-5607893568 ELLIOTT CO-INVESTMENT A-5 L.P. 805-6954669818 ELLIOTT CO-INVESTMENT A-6 L.P. 805-9813370571 ELLIOTT CO-INVESTMENT A-7 L.P. 805-6919368854

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(d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests? Name of *private fund*:

*Private fund* identification number: (include the "805-" prefix also)

7.

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

## Additional Feeder Fund Information : 7 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
  - (a) Name of the *private fund*: ELLIOTT CO-INVESTMENT A-1 L.P.
  - (b) *Private fund* identification number: (include the "805-" prefix also) 805-4069205558

(c) Under the laws of what state or country is the *private fund* organized:
 State: Country:
 Cayman Islands

(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

Filing Adviser/Relying Adviser Name
ELLIOTT INVESTMENT MANAGEMENT L.P.
EALL LLP
EIMCT LLC
EIMFL LLC
EIMFL SUB LLC
ELLIOTT ADVISORS (UK) LIMITED
ELLIOTT FINANCIAL ITALIA S.R.L.
ELLIOTT MANAGEMENT CORPORATION
EVERGREEN COAST CAPITAL CORP.

(e) The *private fund* (check all that apply; you must check at least one):

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
 1940

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

No Information Filed

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

(a) Name of the *private fund*: ELLIOTT CO-INVESTMENT A-2 L.P.

(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-5204685812
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
(C)	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940 (2) gualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
7. If you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
each o	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT A-3 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-5319852086
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(a) of Conoral Dartner, Manager, Tructed or Directory (or percent conving in a similar equation).
(a) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC

EIMFL LLC

ELLIOTT ADVISORS (UK) LIMITED
ELLIOTT FINANCIAL ITALIA S.R.L.
ELLIOTT MANAGEMENT CORPORATION
EVERGREEN COAST CAPITAL CORP.
he <i>private fund</i> (check all that apply; you must check at least one):
(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 940
<ul> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of</li> <li>940</li> </ul>
ist the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
No Information Filed
e filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for he feeder funds answer the following questions:
lame of the <i>private fund</i> :
LLIOTT CO-INVESTMENT A-4 L.P.
Private fund identification number:
include the "805-" prefix also)
05-5607893568
Inder the laws of what state or country is the private fund organized:
itate: Country:
itate: Country: Cayman Islands
Cayman Islands
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
Cayman Islands
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP
Cayman Islands lame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :  Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund: Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC EIMFL LLC
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L.
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT FINANCIAL ITALIA S.R.L.
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT FINANCIAL ITALIA S.R.L.
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> : Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EIMET LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT MANAGEMENT CORPORATION EVERGREEN COAST CAPITAL CORP. In private fund (check all that apply: you must check at least one): I (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrelia registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> : FILING Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EALL LLP EIMET LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT MANAGEMENT CORPORATION EVERGREEN COAST CAPITAL CORP.  he <i>private fund</i> (check all that apply: you must check at least one):  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 940
Cayman Islands         Iame(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT CO-INVESTMENT GP LLC         If fling an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:         Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMET LLC         EIMET LLC         EILIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.
Cayman Islands Iame(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC  f filing an <i>umbrelia registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> : FILING Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P. EALL LLP EALL LLP EIMET LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT FINANCIAL ITALIA S.R.L. ELLIOTT MANAGEMENT CORPORATION EVERGREEN COAST CAPITAL CORP.  he <i>private fund</i> (check all that apply: you must check at least one):  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 940
Cayman Islands         Iame(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):         Name of General Partner, Manager, Trustee or Director         ELLIOTT CO-INVESTMENT GP LLC         If fling an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:         Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMET LLC         EIMET LLC         EILIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.

(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT A-5 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-6954669818
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) $(2)$	If filling an umbrally registration identify the filling advicer and (or relying advicer(a) that energy (a) or manage(a) this private fund
(u) (z)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
(8)	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(0)	
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
7. If you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
•	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	, ELLIOTT CO-INVESTMENT A-6 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-9813370571
(C)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP

		EIMCT LLC
		EIMFL LLC
		EIMFL SUB LLC
		ELLIOTT ADVISORS (UK) LIMITED
		ELLIOTT FINANCIAL ITALIA S.R.L.
		ELLIOTT MANAGEMENT CORPORATION
		EVERGREEN COAST CAPITAL CORP.
(6		The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
		☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f	<sup>-</sup> )	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
e	ach of	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions: Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT A-7 L.P.
(1	o)	Private fund identification number:
		(include the "805-" prefix also)
		805-6919368854
(0	c)	Under the laws of what state or country is the private fund organized:
		State: Country:
		Cayman Islands
((	d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):
		Name of General Partner, Manager, Trustee or Director
		ELLIOTT CO-INVESTMENT GP LLC
((	d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
		Filing Adviser/Relying Adviser Name
		ELLIOTT INVESTMENT MANAGEMENT L.P.
		EALL LLP
		EIMCT LLC
		EIMFL SUB LLC
		ELLIOTT ADVISORS (UK) LIMITED
		ELLIOTT FINANCIAL ITALIA S.R.L.
		ELLIOTT MANAGEMENT CORPORATION
		EVERGREEN COAST CAPITAL CORP.
(€	e)	The private fund (check all that apply; you must check at least one):
		<ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of</li> </ul>
		1940
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
		1940
(f	Ē)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	$\odot$
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		©
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other priva CO-INVESTMENT COMMITMENTS	ate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 434,369,135		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 10,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the	е	
	organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 58		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 3%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 48%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 1%		
Υοι	Ir Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this private fund?	0	$\odot$
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answe question 17.(a) is "no," leave this question blank.	<sup>-</sup> to	
	No Information Filed		
10		Yes	No
18.	<ul> <li>(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>?</li> <li>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the</li> </ul>	O answ	⊙ ∕er
	to question 18.(a) is "no," leave this question blank.		
	No Information Filed		
10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	No
19.	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	O	$\odot$

20. Approximately what percentage of your *clients* has invested in the *private fund*? 0%

# Private Offering

21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	
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$\odot$	$\circ$
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Form D 1	file number				
021-345	921				
RVICE PR	OVIDERS				
tors				V	
(a) (1) A	re the <i>private fund's</i> financia	I statements subject to an annual a	audit?		′es ⊙
(2)  1	the answer to question 23.	(a)(1) is "yes," are the financial sta	itements prepared in accordance with U.S. GAAP?		õ
	•	(1) is "yes," respond to questions (b through (f) separately for each auc	o) through (h) below. If the <i>private fund</i> uses more than one aud diting firm.	iting fi	irm,
Add	itional Auditor Information	: 1 Record(s) Filed.			
	•	a)(1) is "yes," respond to questions te questions (b) through (f) separa	(b) through (h) below. If the <i>private fund</i> uses more than one tely for each auditing firm.		
(b)	Name of the auditing firm: GRANT THORNTON LLP				
(c)	The location of the auditing	g firm's office responsible for the pri	ivate fund's audit (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States	Yes	s N
(d)	Is the auditing firm an inde	pendent public accountant?		$\odot$	¢
(e)	Is the auditing firm registe	red with the Public Company Accou	nting Oversight Board?	o	c
	If yes, Public Company Acc 248	ounting Oversight Board-Assigned I	Number:		
(f)	If "yes" to (e) above, is the accordance with its rules?	e auditing firm subject to regular in:	spection by the Public Company Accounting Oversight Board in	$\odot$	Ċ
(a) Are t	he well at fundle audited fine	analal atotomonto for the most reco	ath, completed ficeal user distributed to the private fund's		'es
g) Are t inves		ancial statements for the most recei	ntly completed fiscal year distributed to the private fund's	(	o
(h) Do al	I of the reports prepared by	the auditing firm for the private fur	nd since your last annual updating amendment contain unqualified	l opini	on

	Yes	No
24. (a) Does the <i>private fund</i> use one or more prime brokers?	0	$\odot$
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If	f the <i>priva</i>	te
fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		

No Information Filed

**Custodian** 

Prime Broker

Yes No

25. (a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?

	cord(s) Filed.		
If the answer to question 25.(a) is "yes, <i>fund</i> uses more than one custodian, you		g) below for each custodian the <i>private fun</i> - igh (g) separately for each custodian.	d uses. If the ,
(b) Legal name of custodian: WELLS FARGO BANK, N.A.			
(c) Primary business name of custodian WELLS FARGO BANK, N.A.	:		
(d) The location of the custodian's office	e responsible for <i>custody</i> of the <i>priv</i>	ate fund's assets (city, state and country):	
City:	State:	Country:	
SAN FRANCISCO	California	United States	,
(e) Is the custodian a <i>related person</i> of y	your firm?		
(f) If the custodian is a broker-dealer, p	provide its SEC registration number	(if any):	
- CRD Number (if any):			
<i>identifier</i> (if any) KB1H1DSPRFMYMCUFXT09		ot have an SEC registration number, provid	U
KB1111D3FKI WIWCUTAT09			
L			
<u>rator</u>			
<u>rator</u> Does the <i>private fund</i> use an administrator	-		
<u>rator</u> Does the <i>private fund</i> use an administrator	respond to questions (b) through (f	) below. If the <i>private fund</i> uses more than o	one administra
<u>rator</u> Does the <i>private fund</i> use an administrator f the answer to question 26.(a) is "yes," r	respond to questions (b) through (f eparately for each administrator.	) below. If the <i>private fund</i> uses more than a	one administra
rator Does the <i>private fund</i> use an administrator f the answer to question 26.(a) is "yes," r must complete questions (b) through (f) se Additional Administrator Information : 1	respond to questions (b) through (f eparately for each administrator. I Record(s) Filed. " respond to questions (b) through	(f) below. If the <i>private fund</i> uses more tha	
rator Does the <i>private fund</i> use an administrator f the answer to question 26.(a) is "yes," r must complete questions (b) through (f) so Additional Administrator Information : 1	respond to questions (b) through (f eparately for each administrator. I Record(s) Filed. " respond to questions (b) through	(f) below. If the <i>private fund</i> uses more tha	
Tator Does the <i>private fund</i> use an administrator f the answer to question 26.(a) is "yes," r must complete questions (b) through (f) se Additional Administrator Information : 1 If the answer to question 26.(a) is "yes, administrator, you must complete questi (b) Name of administrator:	respond to questions (b) through (f eparately for each administrator. I Record(s) Filed. " respond to questions (b) through ions (b) through (f) separately for e	(f) below. If the <i>private fund</i> uses more tha	
rator         Does the private fund use an administrator         f the answer to question 26.(a) is "yes," r         must complete questions (b) through (f) se         Additional Administrator Information : 1         If the answer to question 26.(a) is "yes," administrator, you must complete question         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, state City:	respond to questions (b) through (f eparately for each administrator. I Record(s) Filed. " respond to questions (b) through ions (b) through (f) separately for e	(f) below. If the <i>private fund</i> uses more tha ach administrator. Country:	
rator         Does the private fund use an administrator         f the answer to question 26.(a) is "yes," r         must complete questions (b) through (f) se         Additional Administrator Information : 1         If the answer to question 26.(a) is "yes, administrator, you must complete question         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, state	respond to questions (b) through (f eparately for each administrator. I Record(s) Filed. " respond to questions (b) through ions (b) through (f) separately for e	(f) below. If the <i>private fund</i> uses more tha ach administrator.	in one
rator         Does the private fund use an administrator         f the answer to question 26. (a) is "yes," r         must complete questions (b) through (f) se         Additional Administrator Information : 1         If the answer to question 26. (a) is "yes," administrator, you must complete question         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, state City:         GRAND CAYMAN	respond to questions (b) through (f eparately for each administrator. <b>I Record(s) Filed.</b> " respond to questions (b) through ions (b) through (f) separately for e and country): State:	(f) below. If the <i>private fund</i> uses more tha ach administrator. Country:	n one Ye
rator         Does the private fund use an administrator         f the answer to question 26.(a) is "yes," r         must complete questions (b) through (f) se         Additional Administrator Information : 1         If the answer to question 26.(a) is "yes," administrator, you must complete question         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, state City:	respond to questions (b) through (f eparately for each administrator. <b>I Record(s) Filed.</b> " respond to questions (b) through ions (b) through (f) separately for e and country): State:	(f) below. If the <i>private fund</i> uses more tha ach administrator. Country:	in one
rator         Does the private fund use an administrator         f the answer to question 26. (a) is "yes," r         must complete questions (b) through (f) se         Additional Administrator Information : 1         If the answer to question 26. (a) is "yes,         administrator, you must complete questi         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, state         City:         GRAND CAYMAN         (d) Is the administrator a related person         (e) Does the administrator prepare and	respond to questions (b) through (f eparately for each administrator. <b>I Record(s) Filed.</b> " respond to questions (b) through ions (b) through (f) separately for e and country): State: of your firm? send investor account statements	(f) below. If the <i>private fund</i> uses more tha each administrator. Country: Cayman Islands to the <i>private fund's</i> investors?	n one Ye O
rator         Does the private fund use an administrator         f the answer to question 26. (a) is "yes," r         must complete questions (b) through (f) se         Additional Administrator Information : 1         If the answer to question 26. (a) is "yes,         administrator, you must complete questi         (b) Name of administrator:         HARMONIC FUND SERVICES         (c) Location of administrator (city, state         City:         GRAND CAYMAN         (d) Is the administrator a related person         (e) Does the administrator prepare and	respond to questions (b) through (f eparately for each administrator. <b>I Record(s) Filed.</b> " respond to questions (b) through ions (b) through (f) separately for e and country): State: of your firm? send investor account statements	(f) below. If the <i>private fund</i> uses more tha pach administrator. Country: Cayman Islands	n one Ye O

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any

relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

#### Marketers

Yes No

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

• •

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

# Additional Marketer Information : 1 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

(1	b) Is the marketer a <i>related p</i>	<i>erson</i> of your firm?		Yes	No ⓒ
(0	) Name of the marketer:				
	MORGAN STANLEY				
((	<ol> <li>If the marketer is registere</li> </ol>	d with the SEC, its file number ( <i>e.g.</i>	, 801-, 8-, or 866-):		
	801 - 70103				
	and CRD Number (if any):				
	149777				
((	e) Location of the marketer's	office used principally by the <i>private</i>	fund (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(f	) Does the marketer market	the private fund through one or mo	re websites?	0	$\odot$
(	g) If the answer to question 2	28.(f) is "yes," list the website addre			
		No In	formation Filed		

## A. PRIVATE FUND

#### Information About the Private Fund

1. (a) Name of the *private fund*:

ELLIOTT INTERMEDIATE CO-INVESTMENT II L.P.

- (b) *Private fund* identification number: (include the "805-" prefix also) 805-6051554764
- Under the laws of what state or country is the *private fund* organized: State: Country: Cayman Islands
- 3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or *persons* serving in a similar capacity):

# Name of General Partner, Manager, Trustee, or Director ELLIOTT INTERMEDIATE CO-INVESTMENT GP L.P.

(b) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser(s)* that sponsor(s) or manage(s) this *private fund*.

Filing Adviser/Relying Adviser Name

ELLIOTT INVESTMENT MANAGEMENT L.P.

EALL LLP

EIMFL LLC
EIMFL SUB LLC
ELLIOTT ADVISORS (UK) LIMITED
ELLIOTT FINANCIAL ITALIA S.R.L.
ELLIOTT MANAGEMENT CORPORATION
EVERGREEN COAST CAPITAL CORP.

4. The *private fund* (check all that apply; you must check at least one):

- (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
- (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

5. List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

		No Information Filed	
		Yes N	o
6.	(a) Is this a "master fund" in a master-feeder arrangement?	© (	>

(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

Name of private fund	Private fund identification number
ELLIOTT CO-INVESTMENT B-1 L.P.	805-4877327433
ELLIOTT CO-INVESTMENT B-2 L.P.	805-7171648257
ELLIOTT CO-INVESTMENT B-3 L.P.	805-3488760649
ELLIOTT CO-INVESTMENT B-4 L.P.	805-7945337513
ELLIOTT CO-INVESTMENT B-6 L.P.	805-6044855211
ELLIOTT CO-INVESTMENT B-7 L.P	805-5428783075

Yes No

0 0

- (c) Is this a "feeder fund" in a master-feeder arrangement?
- (d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests? Name of *private fund*:

*Private fund* identification number: (include the "805-" prefix also)

7.

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

## Additional Feeder Fund Information : 6 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
  - (a) Name of the *private fund*: ELLIOTT CO-INVESTMENT B-1 L.P.
  - (b) *Private fund* identification number: (include the "805-" prefix also) 805-4877327433
  - (c) Under the laws of what state or country is the *private fund* organized:
     State: Country: Cayman Islands
  - (d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC

(d) (2) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser(s)* that sponsor(s) or manage(s) this *private fund*:

	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT B-2 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-7171648257
(C)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (1)	) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
(u) (1)	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
	Filing Adviser/Relying Adviser Name
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION
	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.
(e)	Filing Adviser / Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.
(e)	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.
(e)	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.
(e)	Filing Adviser/Relying Adviser Name         ELLIOTT INVESTMENT MANAGEMENT L.P.         EALL LLP         EIMCT LLC         EIMFL LLC         EIMFL SUB LLC         ELLIOTT ADVISORS (UK) LIMITED         ELLIOTT FINANCIAL ITALIA S.R.L.         ELLIOTT MANAGEMENT CORPORATION         EVERGREEN COAST CAPITAL CORP.

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

No Information Filed

7. If you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for			
-	f the feeder funds answer the following questions:			
(a)	Name of the private fund:			
	ELLIOTT CO-INVESTMENT B-3 L.P.			
(b)	Private fund identification number: (include the "805-" prefix also) 805-3488760649			
(c)	Under the laws of what state or country is the <i>private fund</i> organized:			
(0)	State: Country:			
	Cayman Islands			
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):			
	Name of General Partner, Manager, Trustee or Director			
	ELLIOTT CO-INVESTMENT GP LLC			
(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :			
	Filing Adviser/Relying Adviser Name			
	ELLIOTT INVESTMENT MANAGEMENT L.P.			
	EALL LLP			
	EIMCT LLC			
	EIMFL LLC			
	EIMFL SUB LLC			
	ELLIOTT ADVISORS (UK) LIMITED			
	ELLIOTT FINANCIAL ITALIA S.R.L.			
	ELLIOTT MANAGEMENT CORPORATION			
	EVERGREEN COAST CAPITAL CORP.			
(e)	The private fund (check all that apply; you must check at least one):			
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of			
	1940			
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of			
	1940			
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.			
	No Information Filed			
	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for f the feeder funds answer the following questions: Name of the <i>private fund</i> :			
(4)	ELLIOTT CO-INVESTMENT B-4 L.P.			
(b)	Private fund identification number:			
	(include the "805-" prefix also)			
	805-7945337513			
(C)	Under the laws of what state or country is the <i>private fund</i> organized:			
	State: Country: Cayman Islands			
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):			
	Name of General Partner, Manager, Trustee or Director			
ELLIOTT CO-INVESTMENT GP LLC				

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

ELLIOTT INVESTMENT MANAGEMENT L.P.		
EALL LLP		
EIMCT LLC		
EIMFL LLC		
EIMFL SUB LLC		
ELLIOTT ADVISORS (UK) LIMITED		
ELLIOTT FINANCIAL ITALIA S.R.L.		
ELLIOTT MANAGEMENT CORPORATION		
EVERGREEN COAST CAPITAL CORP.		

(e) The *private fund* (check all that apply; you must check at least one):

 $\Box$  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
 1940

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered. No Information Filed

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

(a) Name of the *private fund*: ELLIOTT CO-INVESTMENT B-6 L.P.

(b) *Private fund* identification number: (include the "805-" prefix also) 805-6044855211

Under the laws of what state or country is the *private fund* organized:
 State:
 Country:
 Cayman Islands

(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee or Director
ELLIOTT CO-INVESTMENT GP LLC

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

iling Adviser/Relying Adviser Name
LLIOTT INVESTMENT MANAGEMENT L.P.
ALL LLP
IMCT LLC
IMFL LLC
IMFL SUB LLC
LLIOTT ADVISORS (UK) LIMITED
LLIOTT FINANCIAL ITALIA S.R.L.
LLIOTT MANAGEMENT CORPORATION
VERGREEN COAST CAPITAL CORP.

(e) The *private fund* (check all that apply; you must check at least one):

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
 1940

a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), f der funds answer the following questions: of the <i>private fund</i> : T CO-INVESTMENT B-7 L.P. fund identification number: e the "805-" prefix also) 28783075 the laws of what state or country is the <i>private fund</i> organized: Country: Cayman Islands s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): of General Partner, Manager, Trustee or Director T CO-INVESTMENT GP LLC an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
der funds answer the following questions: of the <i>private fund</i> : T CO-INVESTMENT B-7 L.P. <i>fund</i> identification number: a the "805-" prefix also) 28783075 the laws of what state or country is the <i>private fund</i> organized: Country: Cayman Islands s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): of General Partner, Manager, Trustee or Director T CO-INVESTMENT GP LLC an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> :
T CO-INVESTMENT B-7 L.P. fund identification number: a the "805-" prefix also) 28783075 the laws of what state or country is the <i>private fund</i> organized: Country: Cayman Islands s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): of General Partner, Manager, Trustee or Directors T CO-INVESTMENT GP LLC an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser</i> (s) that sponsor(s) or manage(s) this <i>private fund</i> :
e the "805-" prefix also) 28783075 the laws of what state or country is the <i>private fund</i> organized: Country: Cayman Islands s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): of General Partner, Manager, Trustee or Directors T CO-INVESTMENT GP LLC an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
Country: Cayman Islands s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): of General Partner, Manager, Trustee or Director of General Partner, Manager, Trustee or Director T CO-INVESTMENT GP LLC an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): <b>of General Partner, Manager, Trustee or Director</b> T CO-INVESTMENT GP LLC an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
of General Partner, Manager, Trustee or Director T CO-INVESTMENT GP LLC an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
T CO-INVESTMENT GP LLC
Adviser/Relying Adviser Name
T INVESTMENT MANAGEMENT L.P.
LP
LLC
SUB LLC
T ADVISORS (UK) LIMITED
T FINANCIAL ITALIA S.R.L.
T MANAGEMENT CORPORATION
REEN COAST CAPITAL CORP.
vate fund (check all that apply; you must check at least one):
qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act
qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act
name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed

O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other private fund:

	CO-INVESTMENT COMMITMENTS		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 916,002,548		
<u>Ow</u>	/nership		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 10,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	7	
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
	52		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 3%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	12%	Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 69%		
<u>Yo</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No
	<ul><li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.</li></ul>	~	Ċ
	No Information Filed		
		Yes	No
18.	<ul> <li>(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>?</li> <li>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank.</li> </ul>	O answ	© er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	$\odot$
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Pri</u>	vate Offering		
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-345922		
B. S	ERVICE PROVIDERS		
Aud	ditors		
23	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	No

ļ	Additional Auditor Information : 1 Record(s) Filed.						
(g) Are inve (g) Are inve (h) Do a (f) Prime Broke 24. (a) Doe If th fund 25. (a) Doe If th fund 25. (a) Doe If th fund	If the answer to question 23.(a)(1) is "yes, auditing firm, you must complete questions		(b) through (h) below. If the <i>private fund</i> uses more than one ely for each auditing firm.				
	(b) Name of the auditing firm: GRANT THORNTON LLP						
	(c) The location of the auditing firm's office	e responsible for the <i>priv</i>	ate fund's audit (city, state and country):				
	City:	State:	Country:				
	NEW YORK	New York	United States	Yes	s No		
	(d) Is the auditing firm an <i>independent pub</i>	lic accountant?		$\odot$	0		
	(e) Is the auditing firm registered with the	Public Company Accoun	ting Oversight Board?	o	0		
	If yes, Public Company Accounting Ove	rsight Board-Assigned N	umber:				
	248						
	(f) If "yes" to (e) above, is the auditing fir accordance with its rules?	m subject to regular insp	pection by the Public Company Accounting Oversight Board in	$\odot$	0		
				Y	es N		
) A	re the private fund's audited financial statem	nents for the most recen	tly completed fiscal year distributed to the private fund's	(	•		
If	vestors?		ing completed fiscal year distributed to the private fands				
	o all of the reports prepared by the auditing	firm for the <i>private func</i>	since your last annual updating amendment contain unqualifie	d opini	ons?		
		firm for the <i>private func</i>		d opini	ons?		
6	o all of the reports prepared by the auditing $\roldsymbol{\Im}$ Yes $\roldsymbol{O}$ No $\roldsymbol{O}$ Report Not Yet Received			·			
G If	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received You check "Report Not Yet Received," you mu		since your last annual updating amendment contain unqualifie	rt is ava	ailable		
If Bro	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received You check "Report Not Yet Received," you mu	ust promptly file an amen	since your last annual updating amendment contain unqualifie	rt is ava <b>Y</b>	ailable es N		
( If Brc a) D If	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>Tyou check "Report Not Yet Received," you mu</i> oker oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res	ust promptly file an amen brokers? pond to questions (b) th	since your last annual updating amendment contain unqualifie	rt is ava Y	ailable es N		
Brc if a) D	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>Tyou check "Report Not Yet Received," you mu</i> oker oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res	ust promptly file an amen brokers? pond to questions (b) th nust complete questions	since your last <i>annual updating amendment</i> contain unqualified dment to your Form ADV to update your response when the report of the private fund uses. I	rt is ava Y	ailable es N		
( <i>If</i> Brc a) D	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>Tyou check "Report Not Yet Received," you mu</i> oker oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res	ust promptly file an amen brokers? pond to questions (b) th nust complete questions	since your last <i>annual updating amendment</i> contain unqualified dment to your Form ADV to update your response when the report rough (e) below for each prime broker the <i>private fund</i> uses. I (b) through (e) separately for each prime broker.	rt is ava Y	es N		
() Brc () D If f	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>Tyou check "Report Not Yet Received," you mu</i> <b>ker</b> oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res and uses more than one prime broker, you r	ust promptly file an amen brokers? pond to questions (b) th nust complete questions	since your last <i>annual updating amendment</i> contain unqualified dment to your Form ADV to update your response when the report rough (e) below for each prime broker the <i>private fund</i> uses. I (b) through (e) separately for each prime broker.	rt is ava Y	es N o ( rivate		
() If Bro Bro If fu If fu	o all of the reports prepared by the auditing Yes No Report Not Yet Received <i>Tyou check "Report Not Yet Received," you mu</i> <b>ker</b> oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res and uses more than one prime broker, you n	ust promptly file an amen brokers? pond to questions (b) th nust complete questions No Info	rough (e) below for each prime broker the <i>private fund</i> uses. I (b) through (e) separately for each prime broker.	rt is ava Y f the <i>pr</i>	es N es N rivate		
( If Brc Brc Brc If f( dian	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>Tyou check "Report Not Yet Received," you mu</i> <b>ker</b> oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res and uses more than one prime broker, you n oes the <i>private fund</i> use any custodians (ind the answer to question 25.(a) is "yes," res	ust promptly file an amen brokers? pond to questions (b) th nust complete questions No Info	since your last <i>annual updating amendment</i> contain unqualified dment to your Form ADV to update your response when the report rough (e) below for each prime broker the <i>private fund</i> uses. I (b) through (e) separately for each prime broker.	rt is ava Y f the pr	es N c ( civate		
(interpretation of the second	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>Tyou check "Report Not Yet Received," you mu</i> <b>ker</b> oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res and uses more than one prime broker, you n oes the <i>private fund</i> use any custodians (ind the answer to question 25.(a) is "yes," res	ust promptly file an amen brokers? pond to questions (b) th nust complete questions No Info :luding the prime brokers pond to questions (b) th it complete questions (b)	I since your last annual updating amendment contain unqualified dment to your Form ADV to update your response when the report rough (e) below for each prime broker the private fund uses. I (b) through (e) separately for each prime broker. Intermation Filed is listed above) to hold some or all of its assets? rough (g) below for each custodian the private fund uses. If the	rt is ava Y f the pr	es N O ( rivate		
() If Brc a) D If fc dian	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>you check "Report Not Yet Received," you mu</i> <u>ker</u> oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res and uses more than one prime broker, you n uses the <i>private fund</i> use any custodians (inc the answer to question 25.(a) is "yes," res and uses more than one custodian, you mus additional Custodian Information : 1 Recon	brokers? pond to questions (b) th nust complete questions No Info cluding the prime brokers pond to questions (b) th t complete questions (b) rd(s) Filed.	I since your last annual updating amendment contain unqualified dment to your Form ADV to update your response when the report rough (e) below for each prime broker the private fund uses. I (b) through (e) separately for each prime broker. Intermation Filed is listed above) to hold some or all of its assets? rough (g) below for each custodian the private fund uses. If the	rt is ava Y f the pr Y ne priva	es N o ( civate es N o ( te		
() dian () ) ) ) ) ) ) ) ) ) ) ) ) ) ) ) ) ) )	o all of the reports prepared by the auditing Yes O No O Report Not Yet Received <i>you check "Report Not Yet Received," you mu</i> <u>ker</u> oes the <i>private fund</i> use one or more prime the answer to question 24.(a) is "yes," res and uses more than one prime broker, you n uses the <i>private fund</i> use any custodians (inc the answer to question 25.(a) is "yes," res and uses more than one custodian, you mus additional Custodian Information : 1 Recon	brokers? pond to questions (b) th nust complete questions No Info cluding the prime brokers pond to questions (b) th t complete questions (b) rd(s) Filed.	<pre>/ since your last annual updating amendment contain unqualifie dment to your Form ADV to update your response when the repor rough (e) below for each prime broker the private fund uses. I (b) through (e) separately for each prime broker.</pre>	rt is ava Y f the pr Y ne priva	es N o ( civate es N o ( te		
() Bro ) D If f( ) D If f(	<ul> <li>all of the reports prepared by the auditing</li> <li>Yes O No O Report Not Yet Received</li> <li><i>you check "Report Not Yet Received," you ma</i></li> <li>oes the <i>private fund</i> use one or more prime</li> <li>the answer to question 24. (a) is "yes," res</li> <li>and uses more than one prime broker, you res</li> <li>bes the <i>private fund</i> use any custodians (incluses the answer to question 25. (a) is "yes," res</li> <li>and uses more than one custodian, you mustodiational Custodian Information : 1 Recon</li> <li>If the answer to question 25. (a) is "yes," r</li> <li>fund uses more than one custodian, you mustodiational Custodian Information in the answer is the private fund one custodian, you mustodiation is more than one custodian.</li> </ul>	brokers? pond to questions (b) th nust complete questions No Info cluding the prime brokers pond to questions (b) th t complete questions (b) rd(s) Filed.	<pre>/ since your last annual updating amendment contain unqualifie dment to your Form ADV to update your response when the repor rough (e) below for each prime broker the private fund uses. I (b) through (e) separately for each prime broker.</pre>	rt is ava Y f the pr Y ne priva	es N o ( civate es N o ( te		

		City: SAN FRANCISCO	State: California	Count United	ry: I States		
						Yes No	
	(e) I	s the custodian a <i>related</i>	<i>berson</i> of your firm?			00	
	(f) I	f the custodian is a broke	r-dealer, provide its SEC registration	n number (if any):			
	(	CRD Number (if any):					
	1	f the custodian is not a bi <i>dentifier</i> (if any) KB1H1DSPRFMYMCUFXT09	roker-dealer, or is a broker-dealer b	ut does not have an SEC re	gistration number, provide its <i>l</i>	egal entity	
Admir	<u>nistrator</u>					Yes No	c
26. (a	a) Does tl	ne <i>private fund</i> use an adr	ninistrator other than your firm?			• •	5
		•	is "yes," respond to questions (b) th ough (f) separately for each adminis		<i>ate fund</i> uses more than one ac	dministrator, you	
	Additi	onal Administrator Infor	mation : 1 Record(s) Filed.				
	admi (b) N	•	a) is "yes," respond to questions (b) lete questions (b) through (f) separa S				
		ocation of administrator ( Dity:	city, state and country): State:	Country:			
	(	GRAND CAYMAN		Cayman Islands			
	(d) I	s the administrator a <i>rela</i>	ted person of your firm?			Yes No	
	(e) [	Does the administrator pr	epare and send investor account sta	atements to the <i>private fund</i>	<i>I's</i> investors?		
			estors) ${igodot}$ Some (provided to some b				
		•	26.(e) is "no" or "some," who sends ount statements are not sent to the				
у	uring you our <i>relate</i> d %	• •	rcentage of the <i>private fund's</i> assets	(by value) was valued by a	i <i>person</i> , such as an administrat	tor, that is not	
lr r€	nclude onl elevant qu	•	such <i>person</i> carried out the valuation on used for purposes of investor sub nined by such <i>person</i> .	•	• •		
Marke	eters					Yes No	~
28. (a	a) Does tl	he <i>private fund</i> use the se	rvices of someone other than you or	r your <i>employees</i> for marke	ling purposes?	• • •	
	similar	person. If the answer to q	the <i>person</i> acts as a placement age uestion 28.(a) is "yes," respond to re than one marketer you must com	questions (b) through (g) b	elow for each such marketer th	er solicitor, or e <i>private fund</i>	
	Additi	onal Marketer Informati	on : 1 Record(s) Filed.				
	Value		on the nerson este of a placement of		naduran municipal advisor an at	In	(E

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

		Yes	No
	(b) Is the marketer a <i>related person</i> of your firm?	0	$\odot$
	(c) Name of the marketer:		
	MORGAN STANLEY		
	(d) If the marketer is registered with the SEC, its file number (e.g. $901 \cdot 9$ , or $944$ ).		
	(d) If the marketer is registered with the SEC, its file number ( <i>e.g.</i> , 801-, 8-, or 866-): 801 - 70103		
	and CRD Number (if any): 149777		
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	NEW YORK New York United States		
		Yes	No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	0	$\odot$
	(g) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
Α. Ρ	RIVATE FUND		
Info	ormation About the Private Fund		
1.	(a) Name of the <i>private fund</i> :		
	ELLIOTT INTERMEDIATE CO-INVESTMENT III L.P.		
	(b) Private fund identification number:		
	(include the "805-" prefix also)		
	805-1859879190		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
2.	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ELLIOTT INTERMEDIATE CO-INVESTMENT GP L.P.		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filing Adviser/Relying Adviser Name		
	ELLIOTT INVESTMENT MANAGEMENT L.P.		
	EALL LLP		
	EIMCT LLC		
	EIMFL LLC		
	EIMFL SUB LLC		
	ELLIOTT ADVISORS (UK) LIMITED		
	ELLIOTT FINANCIAL ITALIA S.R.L.		
	ELLIOTT MANAGEMENT CORPORATION		
	EVERGREEN COAST CAPITAL CORP.		
4.	The <i>private fund</i> (check all that apply; you must check at least one):		

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. 5.

No Information Filed

6. (a) Is this a "master fund" in a master-feeder arrangement?

(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?

Name of <i>private fund</i>	Private fund identification number	
ELLIOTT CO-INVESTMENT B-5 L.P.	805-7684602575	
ELLIOTT CO-INVESTMENT C L.P.	805-1596541854	

(c) Is this a "feeder fund" in a master-feeder arrangement?

(d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests? Name of *private fund*:

*Private fund* identification number: (include the "805-" prefix also)

7.

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

Additional Feeder Fund Information : 2 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
  - (a) Name of the *private fund*: ELLIOTT CO-INVESTMENT B-5 L.P.
  - (b) *Private fund* identification number: (include the "805-" prefix also) 805-7684602575
  - (c) Under the laws of what state or country is the *private fund* organized:
     State: Country: Cayman Islands

(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC

(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:

Filing Adviser/Relying Adviser Name	
ELLIOTT INVESTMENT MANAGEMENT L.P.	
EALL LLP	
EIMCT LLC	
EIMFL LLC	
EIMFL SUB LLC	
ELLIOTT ADVISORS (UK) LIMITED	
ELLIOTT FINANCIAL ITALIA S.R.L.	
ELLIOTT MANAGEMENT CORPORATION	
EVERGREEN COAST CAPITAL CORP.	

(e) The *private fund* (check all that apply; you must check at least one):

 $\Box$  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
 1940

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

No Information Filed

Yes No

0 0

	of the feeder funds answer the following questions:	
(a)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P.	
(b)	Private fund identification number:	
	(include the "805-" prefix also)	
	805-1596541854	
(c)	Under the laws of what state or country is the private fund organized:	
	State: Country:	
	Cayman Islands	
(d) (1	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee or Director	
	ELLIOTT CO-INVESTMENT GP LLC	
(d) (2	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:	
	Filing Adviser/Relying Adviser Name	
	ELLIOTT INVESTMENT MANAGEMENT L.P.	
	EALL LLP	
	EIMCT LLC	
	EIMFL LLC	
	EIMFL SUB LLC	
	ELLIOTT ADVISORS (UK) LIMITED	
	ELLIOTT FINANCIAL ITALIA S.R.L.	
	ELLIOTT MANAGEMENT CORPORATION	
	EVERGREEN COAST CAPITAL CORP.	
(e)	<ul> <li>The private fund (check all that apply; you must check at least one):</li> <li>□ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company A 1940</li> <li>☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company A 1940</li> </ul>	
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	
assets in a	purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i isses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
		Yes
(a) Is this	private fund a "fund of funds"?	0
	purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment gardless of whether they are also <i>private funds</i> or registered investment companies.	-0-
	does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0
		Yes
	r last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment ct of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0
What type	of fund is the <i>private fund</i> ?	
		te fun

Company Act of 1740, are sales of the fund limited to <i>qualified clients</i> ?  16. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 6826  2007 2007 2007 2007 2007 2007 2007 2				
1. Minimum investment committee required of an investor in the private Auer S 10,000,000 10. It, is post the amount routinely required of an investor who are not your related persons (even it different from the amount set forth in the angelitation documents at the fund. 13. Approximate number of the private Auer's beneficial owners: 14. What is the approximate percentage of the private Auer's beneficial owners: 15. (a) What is the approximate percentage of the private Auer's beneficially owned (in the aggregated by funds of funds: 15. (a) What is the approximate percentage of the private Auer's funds called at percents? 16. (b) What is the approximate percentage of the private Auer's funds and fund to qualified at private fund statistics of funds: 17. (a) Are percentage of the private Auer's funds and fund a qualified at percent? 18. What is the approximate percentage of the private Auer's funds and fund at percents? 19. (b) If the private fund attains for the exclusion from the cellular private funds of states persons: 10. (c) Are the private fund by approximate percentage of the private fund beneficially owned by non-Outled States persons: 10. (c) Are the average of the private fund beneficially owned by non-Outled States persons: 11. (c) Are you in subscriptor to in the percent fund beneficially owned by non-Outled States persons: 12. (c) Are you in subscriptor to in the private fund beneficially owned by non-Outled States persons: 13. (c) Are you in subscriptor the information fund the private fund beneficially owned by non-Outled States persons: 14. (c) Are you in subscriptor to in the percent fund? 15. (c) Are you in subscriptor to in the percent fund? 16. (c) Are the average to average of the private fund? 17. (c) Are you in subscriptor the information Filed 18. (c) Are wour objects solicited to invest in the percent fund? 19. (c) Are the private fund for a subsc				
A 10,000,000 NOLL iteoret is amount solutionly required of investors we are not your related parsons (even it alterent from the amount set forth in the organization and documents of the physic funds beneficial covers:     So     Adv	<u>Owr</u>	hership		
NOTE: Report the ensume that multicly required of investors who are naty your related persons (seven if different from the amount set furth in the organizational documents of the private fund beneficial governal by your related persons:         13. Approximate number of the private fund beneficially overed by you and your related persons:         45         14. What is the approximate percentage of the private fund beneficially overed by you and your related persons:         475         15. (a) What is the approximate percentage of the private fund beneficially overed by you and your related persons:         476         16. (b) What is the approximate percentage of the private fund beneficially overed by you and your related persons:         476         (b) If the private fund qualifies for the exclusion from the cellulation of measurement company under section \$(0)(1) of the Investment Company what of 1940, non-section 10 the private fund?         (c) What is the approximate percentage of the private fund?         (c) Are you a subservice to this private fund?         (d) If the answer to question 12 (a) is 'your', provide: the name and 5FC file number, if any, of the abeter of the private fund?         (e) Do any investment advisers (other than the investment advisers listed in Section 7.6.(1), A.3.(b)) advise the private fund?         (e) If the answer to question 13 (a) is 'yes', provide the name and 3EC file number, if any, of the other advisers to the private fund?         (f) the answer to question 14 (a) is 'yes', provide the name and 3EC file number, if any, of the other advisers fund. <td></td> <td></td> <td></td> <td></td>				
52         14       What is the approximate percentage of the private rand beneficially owned by you and your related persens:       4%         15       (a) What is the approximate percentage of the private rand beneficially owned (in the aggregate) by function of functions:       12%         15%       Ves. M         15%       Ves. M         (b) If the private fand qualifies for the occlusion from the definition of incontenent company under social 3(c)(1) of the Investment       C         (c) What is the approximate percentage of the private fand.       Ves. M         (c) What is the approximate percentage of the private fand?       C         (d) If the answer to question 17.(a) is 'yes," provide the name and SLC the number, if any, of the adviser for the private fand?       C         (e) Do any involument advisors (after than the invostment advisors loted in Section 7.6.(1) A.3.(b) advise the private fand?       Mes.         (e) Do any involument advisors (after this private fand?       No Information Filed       Yes. M         (f) Are your private into this private fand?       No Information Filed       Yes. M         (f) (f) Do any involument advisors (after this private fand?       No Information Filed       Yes. M         (f) (f) Do any involument advisors (after the answer to question 18.(a) is 'yes,'' provide the name and SEC file number, if any, of the other advisers to the private fand?       No         (g) for coleasts oliditis to invosit in the private f		NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in th	e	
4% 15 (a) What is the approximate percentage of the private fund beneficially evened (in the aggregate) by funds of funds: 12%. (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(a)(1) of the Investment company act or 1440, are saids at the tunal limiter to qualified zbents? 16. What is the approximate percentage of the private fund beneficially owned by non- <i>United States persons</i> : embed and definition of investment percentage of the private fund beneficially owned by non- <i>United States persons</i> : embed as usbaddeer to this private fund? (c) Are you a subaddeer to this private fund? (d) If the answer to question 17.(a) is 'nea' this question blank. No Information Flied Ves Nu (e) Dears investment advisors (other than the investment advisors isted in Section 7.8.(1) A 3.(b)) udvise the private fund. If the answer to question 18.(a) is 'no.'' leave this question blank. No Information Flied Ves Nu (e) Ge any investment advisors (other than the investment advisors listed in Section 7.8.(1) A 3.(b)) udvise the private fund. If the answer to question 18.(a) is 'no.'' leave think question blank. No Information Flied Ves Nu (e) Ge any investment advisors (other than the investment advisors listed in Section 7.8.(1) A 3.(b)) udvise the private fund. If the answer to question 18.(a) is 'no.'' leave thank thank at the private fund? No Information Flied Ves Nu 20. If the answer to question 18.(a) is 'no.'' leave thank thank at the private fund? No Information Flied Ves Nu 21. Has the private fund's fund beneficiant from registration of its securities under Regulation D of the Securities Act of 1933? C Ge 23. If yes, provide the private fund's funds a statements subject to an annual audit? Ves Nu 23. (a) (1) Are the private fund's financial statements subject to an annual audit?				
12%       Ves         (b) If the private fund qualities for the exclusion from the definition of investment company under section 3(c)(1) of the investment       C         (c) Operating Act of 1940, are sales of the trud limited to qualified electors?       C       C         16. What is the approximate percentage of the private fund beneficially owned by non-United States persons.       C       C         c9%       Value       Values       Values       Values         7       (a) Are you a subadvisor to this private fund?       C       C       C         (b) If the answer to quastion 12 (a) is "reps." private fund?       C       C       C       C         (b) If the answer to quastion 12 (a) is "reps." private fund?       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C       C <td< td=""><td></td><td></td><td></td><td></td></td<>				
<ul> <li>(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?</li> <li>(c) What is the approximate percentage of the private fund beneficially owned by non-Drilled States persons: 63%</li> <li>(c) What is the approximate percentage of the private fund?</li> <li>(c) Are you a subadviser to this private fund?</li> <li>(c) If the answer to question 17. (a) is "yos," provide the name and SLC file number, if any, of the adviser of the private fund?</li> <li>(c) If the answer to question 17. (a) is "yos," provide the name and SEC file number, if any, of the other advisers to the private fund?</li> <li>(c) If the answer to question 18. (a) is "yos," provide the name and SEC file number, if any, of the other advisers to the private fund?</li> <li>(d) Do any investment advisers (other than the investment advisers listed in Section 7. Is (1) A.3. (b)) advise the private fund?</li> <li>(e) If the answer to question 18. (a) is "yos," provide the name and SEC file number, if any, of the other advisers to the private fund?</li> <li>(e) If the answer to question 18. (a) is "yos," provide the name and SEC file number, if any, of the other advisers to the private fund?</li> <li>(f) Are your clients subities question black.</li> <li>No Information Filed</li> <li>Yes Nu</li> <li>(g) Approximately what percentage of your clients has invested in the private fund?</li> <li>(g) Approximately what percentage of your clients has invested in the private fund?</li> <li>(f) Has the private fund's from the investment (f any):</li> <li>(h) Information Filed</li> <li>Yes Nu</li> <li>(h) Information Filed</li> </ul>				
Company Act of 1940, are sales of the fund limited to qualified clients?			Yes	No
			0	0
Yes Nu       No				
17       (a) Are you a subadviser to this <i>private fund?</i> C       G         (b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17. (a) is "no," leave this question blank.       Yes Nu         18       (a) Do any investment advisers (other than the investment advisers listed in Section 7.8. (1). A.3. (b)) advise the <i>private fund</i> . If the answer to question 18. (a) is "no," leave this question blank.       Yes Nu         18       (a) Do any investment advisers (other than the investment advisers listed in Section 7.8. (1). A.3. (b)) advise the <i>private fund</i> . If the answer to question 18. (a) is "no," leave this question blank.       Yes Nu         19       (b) If the answer to question 18. (a) is "no," leave this question blank.       No information Filed       Yes Nu         19       Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?       O       G         19       Are your <i>clients</i> has invested in the <i>private fund</i> ?       O       G         19       Are your <i>clients</i> basinvested in the <i>private fund</i> ?       O       G         20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?       O       G         21. Has the <i>private fund</i> 's Form D file number (if any):       No Information Filed       Yes Nu         22. If yes, provide the <i>private fund's</i> francial statements subject to an annual audit?       No Information Filed       Yes Nu	<u>You</u>	r Advisory Services	Ves	No
<ul> <li>(a) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17. (a) is "no," leave this question blank.</li> <li>No Information Filed</li> <li>Yes Number, if any, of the adviser so the private fund?</li> <li>(b) If the answer to question 18. (a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the answer to question 18. (a) is "no," leave this question blank.</li> <li>No Information Filed</li> <li>Yes Number, if any, of the other advisers to the <i>private fund</i>. If the answer to question 18. (a) is "no," leave this question blank.</li> <li>No Information Filed</li> <li>Yes Number, if any of the other advisers to the <i>private fund</i>.</li> <li>Yes Number, if any of the other advisers to the <i>private fund</i>.</li> <li>Yes Number, if any of the other advisers to the <i>private fund</i>.</li> <li>Are your <i>clients</i> solicited to invest in the <i>private fund</i>?</li> <li>Wort: For purposes of this question, do not consider feeder funds of the private fund?</li> <li>O%</li> <li>Private Offering</li> <li>Yes Number, if any of the other adviser fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?</li> <li>Yes Number, if yes, provide the <i>private fund</i>?</li> <li>Yes Number, if yes, provide the <i>private fund</i>'s financial statements subject to an annual audit?</li> <li>Yes Number, if yes number, i</li></ul>	17.	(a) Are you a subadviser to this private fund?	-	-
Yes       No         18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B. (1).A.3.(b)) advise the private fund?       Image: Comparison of the priva		(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer		U
		No Information Filed		
<ul> <li>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the answer to question 18.(a) is "no," leave this question blank.</li> <li>No Information Filed</li> <li>Yes No</li> <li>Prevention of the private fund?</li> <li>Private Offering</li> <li>Yes No</li> <li>21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?</li> <li>Yes No</li> <li>Private Offering</li> <li>Yes, provide the <i>private fund</i>'s Form D file number (if any):</li> <li>No Information Filed</li> <li>Yes No</li> <li>Yes No</li></ul>			Yes	No
to question 18. (a) is "no," leave this question blank.   No Information Filed   Yes Note: For purposes of this question, do not consider feeder funds of the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund? 0% Private Offering Yes Note: The private fund's private fund's private fund's form D file number (if any): No Information Filed Ves Note: PROVIDERS Auditors Yes Note: PROVIDERS Auditors Yes Note: Private fund's financial statements subject to an annual audit? (1) When the private fund's financial statements with the private fund audit? (2) (1) When the private fund's financial statements with the private fund audit?	18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	$\odot$
Yes       Nu         19. Are your clients solicited to invest in the private fund?       NOTE: For purposes of this question, do not consider feeder funds of the private fund.       Image: Constraint of the private fund?         20. Approximately what percentage of your clients has invested in the private fund?       Image: Constraint of the private fund?       Image: Constraint of the private fund?         20. Approximately what percentage of your clients has invested in the private fund?       Yes       Nu         20. Approximately what percentage of your clients has invested in the private fund?       Yes       Nu         21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?       Image: Constraint of the private fund's Form D file number (if any):         22. If yes, provide the private fund's Form D file number (if any):       Image: Constraint of the private fund's financial statements subject to an annual audit?       Yes       Nu         23. (a) (1) Are the private fund's financial statements subject to an annual audit?       Image: Constraint of the private fund's financial statements for the private fund is financial statements for the private			answ	er
<ul> <li>19. Are your <i>clients</i> solicited to invest in the <i>private fund</i>? <i>NOTE: For purposes of this question, do not consider feeder funds of the private fund</i>.</li> <li>20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i>? 0%</li> <li>Private Offering</li> <li>21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?</li> <li>22. If yes, provide the <i>private fund</i>'s Form D file number (if any): No Information Filed</li> <li>As SERVICE PROVIDERS</li> <li>Auditors</li> <li>23. (a) (1) Are the <i>private fund</i>'s financial statements subject to an annual audit?</li> <li>© C</li> </ul>		No Information Filed		
NOTE: For purposes of this question, do not consider feeder funds of the private fund.         20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?         0%         Private Offering         21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?         22. If yes, provide the <i>private fund</i> 's Form D file number (if any):         No Information Filed         SERVICE PROVIDERS         23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?         © 10 Virition of the private fund's financial statements subject to an annual audit?			Yes	No
20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0% Private Offering Yes No 21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? Yes No 22. If yes, provide the <i>private fund</i> 's Form D file number (if any): No Information Filed SERVICE PROVIDERS Auditors Yes N 23. (a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit?	19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	$\odot$
0%   Private Offering Yes Null 21. Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? © © 22. If yes, provide the <i>private fund</i> 's Form D file number (if any): No Information Filed SERVICE PROVIDERS Auditors Yes N 23. (a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit? © © ©		NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
Yes   21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?   22. If yes, provide the private fund's Form D file number (if any):   No Information Filed   3. SERVICE PROVIDERS   Auditors   23. (a) (1) Are the private fund's financial statements subject to an annual audit?				
21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?   22. If yes, provide the private fund's Form D file number (if any):   No Information Filed   3. SERVICE PROVIDERS Auditors 23. (a) (1) Are the private fund's financial statements subject to an annual audit? (a) If the private fund's financial statements with the financial statement with the financi	<u>Priv</u>	ate Offering	Ves	No
No Information Filed No Information Filed  Auditors  (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?  (b) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the private fund's financial statements subject to an annual audit?  (c) If the	21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?		©
Auditors 23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	22.			
Auditors 23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (a) If the private fund's financial statements subject to an annual audit? (b) If the private fund's financial statements subject to an annual audit? (c) If the private fund's financial statements are private for a statement of the private fund of the private fund.				
<ul> <li>Yes N</li> <li>23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?</li> <li>(a) (b) If it is a contract of the statement of the statement</li></ul>	B. SE	RVICE PROVIDERS		
23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	<u>Aud</u>	itors	Vac	Ne
	23	(a) (1) Are the private fund's financial statements subject to an annual audit?	_	-
	_0.			0
If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm,			-0-	

NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.

10.11				
	•	is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one estions (b) through (f) separately for each auditing firm.		
(b)	Name of the auditing firm:			
	GRANT THORNTON LLP			
(c)	The location of the auditing firm's	's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City:	State: Country:		
	NEW YORK	New York United States		
(d)	Is the auditing firm an <i>independe</i>	ent public accountant?	Yes	No
			0	
(e)	Is the auditing firm registered wi	ith the Public Company Accounting Oversight Board?	$\odot$	0
	If yes, Public Company Accountin	ng Oversight Board-Assigned Number:		
	248			
(f)	If "yes" to (e) above, is the audit	ting firm subject to regular inspection by the Public Company Accounting Oversight Board in	$\odot$	0
	accordance with its rules?			
			v	es No
Are th	e <i>private fund's</i> audited financial s	statements for the most recently completed fiscal year distributed to the private fund's		0 0
nvest	ors?			
Do all	of the reports prepared by the au	uditing firm for the private fund since your last annual updating amendment contain unqualified	d opini	ons?
• Yes	s 🖸 No 🤷 Report Not Yet Receiv	ved		
If you	check "Report Not Yet Received," y	you must promptly file an amendment to your Form ADV to update your response when the repor	rt is ava	ailable.
<u>Broker</u>				
			v	
D			Ŷ	es No
	he <i>private fund</i> use one or more		(	•
If the	answer to question 24.(a) is "yes	prime brokers? es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If r, you must complete questions (b) through (e) separately for each prime broker.	(	•
If the	answer to question 24.(a) is "yes	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If	(	•
If the	answer to question 24.(a) is "yes	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If you must complete questions (b) through (e) separately for each prime broker.	(	•
If the <i>fund</i> u	answer to question 24.(a) is "yes	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If you must complete questions (b) through (e) separately for each prime broker.	f the <i>pr</i>	O ⊙ ∵ivate
If the fund u	answer to question 24.(a) is "yes ses more than one prime broker,	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If you must complete questions (b) through (e) separately for each prime broker.	f the pr	O ⊙ ∵ivate
If the fund u	answer to question 24.(a) is "yes ses more than one prime broker, he <i>private fund</i> use any custodiar answer to question 25.(a) is "yes	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If r, you must complete questions (b) through (e) separately for each prime broker. No Information Filed	f the <i>pr</i>	o ⊙ ivate es No ⊙ O
If the fund u	answer to question 24.(a) is "yes ses more than one prime broker, he <i>private fund</i> use any custodiar answer to question 25.(a) is "yes	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If you must complete questions (b) through (e) separately for each prime broker. No Information Filed ans (including the prime brokers listed above) to hold some or all of its assets? es," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian.	f the <i>pr</i>	o ⊙ ∵ivate es Noc
If the fund u Does t If the fund u Addit	answer to question 24.(a) is "yes ses more than one prime broker, the <i>private fund</i> use any custodiar answer to question 25.(a) is "yes ses more than one custodian, yo <b>ional Custodian Information : 1</b>	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If , you must complete questions (b) through (e) separately for each prime broker. No Information Filed ans (including the prime brokers listed above) to hold some or all of its assets? es," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian. I Record(s) Filed.	f the <i>pr</i> Y	es Nc te
If the fund u Does t If the fund u Addit	answer to question 24.(a) is "yes ses more than one prime broker, he <i>private fund</i> use any custodiar answer to question 25.(a) is "yes ses more than one custodian, yo <b>ional Custodian Information : 1</b> e answer to question 25.(a) is "y	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If you must complete questions (b) through (e) separately for each prime broker. No Information Filed ans (including the prime brokers listed above) to hold some or all of its assets? es," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian.	f the <i>pr</i> Y	es No te
f the fund u Does t f the fund u Addit If th fund (b)	answer to question 24.(a) is "yes ses more than one prime broker, the <i>private fund</i> use any custodiar answer to question 25.(a) is "yes ses more than one custodian, yo <b>ional Custodian Information : 1</b> e answer to question 25.(a) is "y uses more than one custodian, yo Legal name of custodian:	es," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If , you must complete questions (b) through (e) separately for each prime broker. No Information Filed ans (including the prime brokers listed above) to hold some or all of its assets? es," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian. I Record(s) Filed.	f the <i>pr</i> Y	es No te
If the fund u an Does f If the fund u If the fund u If the fund (b)	answer to question 24.(a) is "yes ses more than one prime broker, "he <i>private fund</i> use any custodiar answer to question 25.(a) is "yes ses more than one custodian, yo <b>ional Custodian Information : 1</b> e answer to question 25.(a) is "y uses more than one custodian, yo Legal name of custodian: WELLS FARGO BANK, N.A.	is," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If a you must complete questions (b) through (e) separately for each prime broker. No Information Filed ins (including the prime brokers listed above) to hold some or all of its assets? is," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian. I Record(s) Filed. yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the you must complete questions (b) through (g) separately for each custodian.	f the <i>pr</i> Y	es No te
If the fund u In Does f If the fund u If the fund u If the fund (b) (c)	answer to question 24.(a) is "yes ses more than one prime broker, the <i>private fund</i> use any custodiar answer to question 25.(a) is "yes ses more than one custodian, yo <b>ional Custodian Information : 1</b> e answer to question 25.(a) is "y uses more than one custodian, yo Legal name of custodian:	is," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If a you must complete questions (b) through (e) separately for each prime broker. No Information Filed ins (including the prime brokers listed above) to hold some or all of its assets? is," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian. I Record(s) Filed. yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the you must complete questions (b) through (g) separately for each custodian.	f the <i>pr</i> Y	es No te
If the fund u In Does t If the fund u If the fund u If the fund (b) (c)	answer to question 24.(a) is "yes ses more than one prime broker, "he <i>private fund</i> use any custodiar answer to question 25.(a) is "yes ses more than one custodian, yo <b>ional Custodian Information : 1</b> e answer to question 25.(a) is "y uses more than one custodian, yo Legal name of custodian: WELLS FARGO BANK, N.A. Primary business name of custod WELLS FARGO BANK, N.A.	is," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If a you must complete questions (b) through (e) separately for each prime broker. No Information Filed ins (including the prime brokers listed above) to hold some or all of its assets? is," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian. I Record(s) Filed. yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the you must complete questions (b) through (g) separately for each custodian.	f the <i>pr</i> Y	es No te
If the fund u  Does f  If the fund u  Addit  If th  fund  (b)  (c)  (d)	answer to question 24.(a) is "yes ses more than one prime broker, "he <i>private fund</i> use any custodiar answer to question 25.(a) is "yes ses more than one custodian, yo <b>ional Custodian Information : 1</b> e answer to question 25.(a) is "y uses more than one custodian, yo Legal name of custodian: WELLS FARGO BANK, N.A. Primary business name of custod WELLS FARGO BANK, N.A.	is," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If you must complete questions (b) through (e) separately for each prime broker.          No Information Filed         ins (including the prime brokers listed above) to hold some or all of its assets?         is," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian.         I Record(s) Filed.         yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian.         I Record(s) Filed.         yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the put must complete questions (b) through (g) separately for each custodian.         dian:	f the <i>pr</i> Y	es I ivate

(e) Is the custodian a related per	<i>son</i> of your firm?		0
(f) If the custodian is a broker-d	ealer, provide its SEC registration num	iber (if any):	
- CRD Number (if any):			
(a) If the custodian is not a brok	er-dealer, or is a broker-dealer but do	es not have an SEC registration number, provid	de its <i>legal entity</i>
<i>identifier</i> (if any)		es not have an seo registration namber, provi	ac no legar entry
KB1H1DSPRFMYMCUFXT09			
strator			
			Ye
Does the private fund use an admin	-		e
• • • •	'yes," respond to questions (b) throug h (f) separately for each administrator	gh (f) below. If the <i>private fund</i> uses more than r.	one administrator,
Additional Administrator Informa	tion : 1 Record(s) Filed.		
	s "yes," respond to questions (b) thro questions (b) through (f) separately f	ugh (f) below. If the <i>private fund</i> uses more that for each administrator.	an one
(b) Name of administrator:			
HARMONIC FUND SERVICES			
(c) Location of administrator (cit	( state and country).		
City:	State:	Country:	
GRAND CAYMAN		Cayman Islands	
(d) la the administrator a relater	norman of your firm?		Yes N
(d) Is the administrator a <i>related</i>	person of your firm?		0 0
(e) Does the administrator prepa	re and send investor account stateme	ents to the <i>private fund's</i> investors?	
€ Yes (provided to all invest	ors) ${igc O}$ Some (provided to some but no	ot all investors) ${igcar_{igcar{O}}}$ No (provided to no investors)	)
		investor account statements to the (rest of the of the) private fund's investors, respond "not a	
ing your last fiscal year, what perce r related person?	ntage of the <i>private fund's</i> assets (by v	value) was valued by a <i>person</i> , such as an adm	inistrator, that is n
•	used for purposes of investor subscript	cedure established for that asset, if any, includ tions, redemptions or distributions, and fee cald	• • •
ers			Ye
Does the private fund use the service	es of someone other than you or you	r employees for marketing purposes?	
similar person. If the answer to que	stion 28.(a) is "yes," respond to quest	onsultant, finder, introducer, municipal advisor tions (b) through (g) below for each such mark e questions (b) through (g) separately for each	or other solicitor, o eter the <i>private fun</i>
Additional Marketer Information			
or similar person. If the answer to	question 28.(a) is "yes," respond to a	consultant, finder, introducer, municipal adviso questions (b) through (g) below for each such r omplete questions (b) through (g) separately fo	marketer the <i>privat</i>
			Yes N
			105 1

	(c) Name of the marketer: MORGAN STANLEY	
	<ul> <li>(d) If the marketer is registered with the SEC, its file number (<i>e.g.</i>, 801-, 8-, or 866-):</li> <li>801 - 70103</li> <li>and CRD Number (if any):</li> <li>149777</li> </ul>	
	(e) Location of the marketer's office used principally by the private fund (city, state and country):	
	City: State: Country:	
	NEW YORK New York United States	s No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	©
	(g) If the answer to question 28.(f) is "yes," list the website address(es):	
	No Information Filed	
	IVATE FUND	
<u>nto</u>	mation About the Private Fund	
1.	(a) Name of the <i>private fund</i> :	
	ELLIOTT INTERNATIONAL, L.P.	
	(b) Private fund identification number:	
	(include the "805-" prefix also)	
	805-1428688771	
2.	Under the laws of what state or country is the <i>private fund</i> organized:	
Ζ.	State: Country:	
	Cayman Islands	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
0.	Name of General Partner, Manager, Trustee, or Director	
	HAMBLEDON, INC.	
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
	Filing Adviser/Relying Adviser Name	
	ELLIOTT INVESTMENT MANAGEMENT L.P.	
	EALL LLP	
	EIMCT LLC	
	EIMFL LLC	
	EIMFL SUB LLC	
	ELLIOTT ADVISORS (UK) LIMITED	
	ELLIOTT FINANCIAL ITALIA S.R.L.	
	ELLIOTT MANAGEMENT CORPORATION	
	EVERGREEN COAST CAPITAL CORP.	
	HAMBLEDON MANAGEMENT LLC	
4	The <i>private fund</i> (check all that apply; you must check at least one):	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
	<ul> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940</li> </ul>	
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	Name of Country/English Name of Foreign Financial Regulatory Authority	
	Cayman Islands - Cayman Islands Monetary Authority	
		Yes No

varme or pri	ivate fund	Private fund identification number
ELLIOTT INT	ERNATIONAL LIMITED	805-2174036634
		Yes
c) Is this a	"feeder fund" in a master-feeder arra	angement?
d) If yes, w	what is the name and <i>private fund</i> ident	tification number (if any) of the master fund in which this <i>private fund</i> invests?
	private fund:	
Private fi	und identification number:	
(include	the "805-" prefix also)	
or the maste	er-feeder arrangement or reporting or	
•	ing a single Schedule D, Section 7.B.(1 unds answer the following questions:	1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each
Additional F	Feeder Fund Information : 1 Record(	(s) Filed.
7 If you c	pro filing a cingle Schodule D. Section -	7  D (1) for a master feeder arrangement according to the instructions to this Section 7 D (1), for
-	the feeder funds answer the following	7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for ng questions:
	Name of the <i>private fund</i> :	
	ELLIOTT INTERNATIONAL LIMITED	
(b)	Private fund identification number:	
	(include the "805-" prefix also)	
	805-2174036634	
	Under the laws of what state or count	
	State:	Country: Cayman Islands
		Cayman Islanus
(d) (1)	Name(s) of General Partner, Manager	r, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager,	
	ALUN JOHN DAVIES	·
	MYRON KAPLAN	
	PAUL SINGER	
		the second se
(d) (2)		ify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
(d) (2)	Filing Adviser/Relying Adviser Nar	me
(d) (2)		me
(d) (2)	Filing Adviser/Relying Adviser Nar	me
(d) (2)	Filing Adviser/Relying Adviser Nar ELLIOTT INVESTMENT MANAGEMENT L	me
(d) (2)	Filing Adviser/Relying Adviser Nar ELLIOTT INVESTMENT MANAGEMENT L EALL LLP	me
(d) (2)	Filing Adviser/Relying Adviser Nar ELLIOTT INVESTMENT MANAGEMENT L EALL LLP EIMCT LLC	me
(d) (2)	Filing Adviser/Relying Adviser Nar ELLIOTT INVESTMENT MANAGEMENT L EALL LLP EIMCT LLC EIMFL LLC	me
(d) (2)	Filing Adviser/Relying Adviser Nar ELLIOTT INVESTMENT MANAGEMENT L EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC	me
(d) (2)	Filing Adviser/Relying Adviser Nar ELLIOTT INVESTMENT MANAGEMENT L EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED ELLIOTT FINANCIAL ITALIA S.R.L.	me L.P.
(d) (2)	Filing Adviser/Relying Adviser Nar ELLIOTT INVESTMENT MANAGEMENT L EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) LIMITED	me L.P.

(e) The *private fund* (check all that apply; you must check at least one):

7.

 $\Box$  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

 $\blacksquare$  (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

	Name of Country/English Name of Foreign Financial Regulatory Authority		
	Cayman Islands - Cayman Islands Monetary Authority		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed
0	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	
8.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	o nt	o
	<ul><li>vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.</li><li>(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i>?</li></ul>	0	0
		<sup>v</sup>	V
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	O	0
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • real estate fund • securitized asset fund • venture capital fund • other private equity fund • venture capital fund • other private equity fund • other priv	ate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the private fund:		
	\$ 63,654,803,887		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in th organizational documents of the fund).	е	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 934		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 10%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 21%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Ō	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 47%		
<u>You</u>	r Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ©
	<ul><li>(b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17. (a) is "no," leave this question blank.</li></ul>	~	U
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	$\odot$	0

(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund.	If the answer
	to question 18.(a) is "no," leave this question blank.	

Name of Other Adviser to private fund	SEC file number	CRD number
BLACKROCK FINANCIAL MANAGEMENT, INC	801-48433	107105

19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	C	•
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering		
		Ye	s No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	œ	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number           021-370032		
	021-370032		
B. SI	ERVICE PROVIDERS		
Aud	litors		
		Υe	es No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	e	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi- you must complete questions (b) through (f) separately for each auditing firm.	ing fir	m,
	Additional Auditor Information : 2 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: GRANT THORNTON		
	<ul> <li>(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):</li> <li>City: State: Country:</li> <li>GRAND CAYMAN Cayman Islands</li> </ul>		
	(d) Is the auditing firm an <i>independent public accountant</i> ?	Yes	No
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	$\odot$	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 5346		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	o
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: GRANT THORNTON LLP		
	(c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
	City: State: Country:		
	NEW YORK New York United States	Yes	No
	(d) Is the auditing firm an <i>independent public accountant</i> ?	0	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	o	0
	If yes, Public Company Accounting Oversight Board-Assigned Number:		

				Ye	es
(g)	Are the <i>private fund's</i> audited financia investors?	al statements for the most re	ecently completed fiscal year distributed to the private fund's	G	•
(h)	Do all of the reports prepared by the	auditing firm for the private a	fund since your last annual updating amendment contain unqualified	1 opinic	ons
	• Yes • No • Report Not Yet Reco	eived			
	If you check "Report Not Yet Received,	" you must promptly file an ar	mendment to your Form ADV to update your response when the repor	t is ava	ilal
ne B	roker			Ye	es
a)	Does the private fund use one or mor	re prime brokers?		Ģ	•
	• • • •		<ul> <li>b) through (e) below for each prime broker the <i>private fund</i> uses. If ions (b) through (e) separately for each prime broker.</li> </ul>	the pr	'iva
	Additional Prime Broker Informati	on : 25 Record(s) Filed.			
	· · · · · ·	• • •	(b) through (e) below for each prime broker the <i>private fund</i> uses. ete questions (b) through (e) separately for each prime broker.	If the	
	(b) Name of the prime broker: BARCLAYS BANK PLC				
	(c) If the prime broker is registere	ed with the SEC, its registration	on number:		
	CRD Number (if any):				
	(d) Location of prime broker's offic	se used principally by the <i>priv</i>	vate fund (city, state and country).		
	City:	State:	Country:		
	LONDON		United Kingdom	Yes	• NI
				162	, IN
	(e) Does this prime broker act as a	custodian for some or all of th	he private fund's assets?	$\odot$	0
	If the answer to question 24.(a) is	"yes," respond to questions	he <i>private fund's</i> assets? (b) through (e) below for each prime broker the <i>private fund</i> uses. ete questions (b) through (e) separately for each prime broker.		
	If the answer to question 24.(a) is	"yes," respond to questions	(b) through (e) below for each prime broker the <i>private fund</i> uses.		
	<ul> <li>If the answer to question 24.(a) is <i>private fund</i> uses more than one pr</li> <li>(b) Name of the prime broker: BARCLAYS CAPITAL INC.</li> <li>(c) If the prime broker is registered 8 - 41342</li> </ul>	"yes," respond to questions ime broker, you must comple	(b) through (e) below for each prime broker the <i>private fund</i> uses. ete questions (b) through (e) separately for each prime broker.		
	If the answer to question 24.(a) is private fund uses more than one pr (b) Name of the prime broker: BARCLAYS CAPITAL INC. (c) If the prime broker is registere	"yes," respond to questions ime broker, you must comple	(b) through (e) below for each prime broker the <i>private fund</i> uses. ete questions (b) through (e) separately for each prime broker.		
	<ul> <li>If the answer to question 24.(a) is <i>private fund</i> uses more than one private fund uses more than one private fund uses more than one private fund uses more than one private fund.</li> <li>(b) Name of the prime broker: BARCLAYS CAPITAL INC.</li> <li>(c) If the prime broker is registered 8 - 41342</li> <li>CRD Number (if any): 19714</li> <li>(d) Location of prime broker's officient</li> </ul>	e "yes," respond to questions time broker, you must comple ed with the SEC, its registration	(b) through (e) below for each prime broker the <i>private fund</i> uses. ete questions (b) through (e) separately for each prime broker. on number:		0
	<ul> <li>If the answer to question 24. (a) is <i>private fund</i> uses more than one private fund uses more than one private fund uses more than one private fund uses more than one private fund.</li> <li>(b) Name of the prime broker: BARCLAYS CAPITAL INC.</li> <li>(c) If the prime broker is registered 8 - 41342</li> <li>CRD Number (if any): 19714</li> </ul>	"yes," respond to questions ime broker, you must comple ed with the SEC, its registratio	(b) through (e) below for each prime broker the <i>private fund</i> uses. ete questions (b) through (e) separately for each prime broker. on number:		
	<ul> <li>If the answer to question 24. (a) is <i>private fund</i> uses more than one private fund uses more than one private fund uses more than one private fund uses more than one private fund.</li> <li>(b) Name of the prime broker: BARCLAYS CAPITAL INC.</li> <li>(c) If the prime broker is registered 8 - 41342 CRD Number (if any): 19714</li> <li>(d) Location of prime broker's officient City:</li> </ul>	e "yes," respond to questions rime broker, you must comple ed with the SEC, its registration ce used principally by the <i>prive</i> State:	(b) through (e) below for each prime broker the <i>private fund</i> uses. ete questions (b) through (e) separately for each prime broker. on number: <i>vate fund</i> (city, state and country): Country:		

(b) Name of the prime broker:

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	BNP PARIBAS PRIME BROKERAGE	INTERNATIONAL, LIMITED		
(c)	If the prime broker is registered v	with the SEC, its registration i	number:	
	- CRD Number (if any):			
(d)	Location of prime broker's office u	used principally by the <i>private</i>	<i>fund</i> (city, state and country):	
	City:	State:	Country:	
	DUBLIN		Ireland	
(A)	Does this prime broker act as cus	todian for some or all of the	nrivate fund's assets?	Yes No
				0 0
	•		) through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker	
(b)	Name of the prime broker: BNP PARIBAS S.A.			
(c)	If the prime broker is registered v	with the SEC, its registration i	number:	
	- CRD Number (if any):			
(d)	Location of prime broker's office u	used principally by the <i>private</i>	fund (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	Vee Ne
(A)	Does this prime broker act as cus	todian for some or all of the	nrivate fund's assets?	Yes No
priv			) through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker	
(c)	If the prime broker is registered v	with the SEC its registration a	numbor	
(C)	8 - 32682			
	CRD Number (if any): 15794			
(d)	Location of prime broker's office u	used principally by the <i>private</i>	fund (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	
(e)	Does this prime broker act as cus	todian for some or all of the	private fund's assets?	Yes No
priv		• • • • •	) through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker	
<u> </u>	BOFA SECURITIES, INC.			
(c)	If the prime broker is registered v 8 - 69787	with the SEC, its registration i	number:	
	CRD Number (if any):			

(d)	(d) Location of prime broker's office used principally by the private fund (city, state and country):					
	City:					
	NEW YORK	New York	United States			
				Yes No		
(e)	Does this prime broker	act as custodian for some or all of the $\mu$	private fund's assets?	• •		
			through (e) below for each prime broker the $p_{\rm c}$			
priv	<i>vate fund</i> uses more than	one prime broker, you must complete	questions (b) through (e) separately for each p	rime broker.		
(b)	Name of the prime brok CITIBANK, N.A.	er:				
(c)	If the prime broker is re	egistered with the SEC, its registration r	number:			
	CRD Number (if any):					
(d)		er's office used principally by the <i>private</i>				
	City: NEW YORK	State: New York	Country: United States			
		INC VE FOR	onice States	Yes No		
(e)	Does this prime broker	act as custodian for some or all of the	private fund's assets?	• •		
	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·				
	8 - 32117 CRD Number (if any): 15387	egistered with the SEC, its registration r er's office used principally by the <i>private</i> State: New York		Yes No		
(e)	Does this prime broker	act as custodian for some or all of the $\mu$	private fund's assets?	• •		
priv (b)	vate fund uses more than Name of the prime brok CITIGROUP GLOBAL MA	n one prime broker, you must complete	through (e) below for each prime broker the <i>p</i> questions (b) through (e) separately for each p number:			
(d)	City:	er's office used principally by the <i>private</i> State:	Country:			
	NEW YORK	New York	United States			
				Yes No		
(e)	Does this prime broker	act as custodian for some or all of the $\mu$	nivate tuna s'assets?	• •		

		uestions (b) through (e) separately for each pri	<i>vate fund</i> uses. If the me broker.
(b) Name of the prime broker: CITIGROUP GLOBAL MARKE	TS LIMITED		
(c) If the prime broker is regis	tered with the SEC, its registration nu	umber:	
- CRD Number (if any):			
(d) Location of prime broker's	office used principally by the <i>private f</i> u	und (city, state and country):	
City: LONDON		Country: United Kingdom	
			Yes No
(e) Does this prime broker act	as custodian for some or all of the pr	rivate fund's assets?	© 0
		through (e) below for each prime broker the <i>pri</i> uestions (b) through (e) separately for each pri	
(c) If the prime broker is regis	tered with the SEC, its registration nu	umber:	
- CRD Number (if any):			
(d) Location of prime broker's City: DUBLIN	office used principally by the <i>private f</i> State:	und (city, state and country): Country: Ireland	
	as custodian for some or all of the <i>pr</i>		Yes No © O
	· · · · · · · · · · · · · · · · · · ·	through (e) below for each prime broker the <i>pri</i> uestions (b) through (e) separately for each pri	
	e prime broker, you must complete q		
<ul> <li><i>private fund</i> uses more than on</li> <li>(b) Name of the prime broker: CREDIT SUISSE SECURITIE</li> <li>(c) If the prime broker is regis</li> </ul>	e prime broker, you must complete q	uestions (b) through (e) separately for each pri	
private fund uses more than on (b) Name of the prime broker: CREDIT SUISSE SECURITIE	e prime broker, you must complete q S (USA) LLC	uestions (b) through (e) separately for each pri	
<ul> <li><i>private fund</i> uses more than on</li> <li>(b) Name of the prime broker: CREDIT SUISSE SECURITIE</li> <li>(c) If the prime broker is regis 8 - 422 CRD Number (if any): 816</li> </ul>	e prime broker, you must complete q S (USA) LLC	uestions (b) through (e) separately for each pri umber:	
<ul> <li><i>private fund</i> uses more than on</li> <li>(b) Name of the prime broker: CREDIT SUISSE SECURITIE</li> <li>(c) If the prime broker is regis 8 - 422 CRD Number (if any): 816</li> </ul>	e prime broker, you must complete q S (USA) LLC stered with the SEC, its registration nu	uestions (b) through (e) separately for each pri umber:	
<ul> <li>private fund uses more than on</li> <li>(b) Name of the prime broker: CREDIT SUISSE SECURITIE</li> <li>(c) If the prime broker is regis 8 - 422 CRD Number (if any): 816</li> <li>(d) Location of prime broker's of City: NEW YORK</li> </ul>	e prime broker, you must complete q S (USA) LLC stered with the SEC, its registration nu office used principally by the <i>private fu</i> State:	uestions (b) through (e) separately for each pri umber: <i>und</i> (city, state and country): Country: United States	me broker. Yes No
<ul> <li>private fund uses more than on</li> <li>(b) Name of the prime broker: CREDIT SUISSE SECURITIE</li> <li>(c) If the prime broker is regis 8 - 422 CRD Number (if any): 816</li> <li>(d) Location of prime broker's of City: NEW YORK</li> </ul>	e prime broker, you must complete q S (USA) LLC stered with the SEC, its registration nu office used principally by the <i>private fu</i> State: New York	uestions (b) through (e) separately for each pri umber: <i>und</i> (city, state and country): Country: United States	me broker.
<ul> <li>private fund uses more than on</li> <li>(b) Name of the prime broker: CREDIT SUISSE SECURITIE</li> <li>(c) If the prime broker is regis 8 - 422 CRD Number (if any): 816</li> <li>(d) Location of prime broker's of City: NEW YORK</li> <li>(e) Does this prime broker act</li> </ul>	e prime broker, you must complete q S (USA) LLC atered with the SEC, its registration nu office used principally by the <i>private fo</i> State: New York as custodian for some or all of the <i>pr</i>	uestions (b) through (e) separately for each pri umber: umber: Country: United States <i>rivate fund's</i> assets?	me broker. Yes No ⓒ Õ
<ul> <li>private fund uses more than on</li> <li>(b) Name of the prime broker: CREDIT SUISSE SECURITIE</li> <li>(c) If the prime broker is regist 8 - 422 CRD Number (if any): 816</li> <li>(d) Location of prime broker's of City: NEW YORK</li> <li>(e) Does this prime broker act</li> </ul>	e prime broker, you must complete q S (USA) LLC atered with the SEC, its registration nu office used principally by the <i>private fi</i> State: New York as custodian for some or all of the <i>pr</i>	uestions (b) through (e) separately for each pri umber: <i>und</i> (city, state and country): Country: United States	me broker. Yes No © O

(b) Name of the prime broker: GOLDMAN SACHS & CO. LLC

(c)	If the prime broker is registered with the SEC, its registration	n number:	
	8 - 129		
	CRD Number (if any): 361		
(d)	Location of prime broker's office used principally by the privat	<i>te fund</i> (city, state and country);	
	City: State:	Country:	
	NEW YORK New York	United States	
			Yes No
(e)	Does this prime broker act as custodian for some or all of the	e private fund's assets?	00
	ne answer to question 24.(a) is "yes," respond to questions (l rate fund uses more than one prime broker, you must complet	b) through (e) below for each prime broker the <i>private fund</i> uses. e questions (b) through (e) separately for each prime broker.	If the
(b)	Name of the prime broker: GOLDMAN SACHS INTERNATIONAL		
(c)	If the prime broker is registered with the SEC, its registration	number:	
	- CRD Number (if any):		
(d)	Location of prime broker's office used principally by the privat	<i>te fund</i> (city, state and country):	
	City: State:	Country:	
	LONDON	United Kingdom	Yes No
(e)	Does this prime broker act as custodian for some or all of the	e private fund's assets?	© 0
			- v - v
priv	ne answer to question 24.(a) is "yes," respond to questions (l vate fund uses more than one prime broker, you must complet Name of the prime broker: J.P. MORGAN SECURITIES LLC	b) through (e) below for each prime broker the <i>private fund</i> uses. e questions (b) through (e) separately for each prime broker.	If the
(c)	If the prime broker is registered with the SEC, its registration	number:	
	8 - 35008		
	CRD Number (if any):		
	79		
(4)	Leastion of prime broker's office used principally by the prive	to fund (aity, state and equatry).	
(a)	Location of prime broker's office used principally by the <i>privat</i> City: State:	Country:	
	NEW YORK New York	United States	
			Yes No
(e)	Does this prime broker act as custodian for some or all of the	e private fund's assets?	$\odot$ $\circ$
	ne answer to question 24.(a) is "yes," respond to questions (l vate fund uses more than one prime broker, you must complet	b) through (e) below for each prime broker the <i>private fund</i> uses. e questions (b) through (e) separately for each prime broker.	If the
(b)	Name of the prime broker: J.P. MORGAN SECURITIES PLC		
(c)	If the prime broker is registered with the SEC, its registration	number:	
	CRD Number (if any):		

	City:	State:	Country:		
	LONDON		United Kingdom		
(e)	Does this prime broker act a	as custodian for some or all	of the <i>private fund's</i> assets?	Yes	N C
	·				
			ions (b) through (e) below for each prime broker the <i>private fund</i> uses omplete questions (b) through (e) separately for each prime broker.	s. If the	
	Name of the prime broker: MERRILL LYNCH INTERNATIO	ONAL			
(c)	If the prime broker is regist	ered with the SEC, its regist	tration number:		
	- CRD Number (if any):				
(d)	Location of prime broker's o	office used principally by the	private fund (city, state and country):		
	City: LONDON	State:	Country: United Kingdom		
				Yes	; <b>N</b> /
(e)	Does this prime broker act a	as custodian for some or all	of the <i>private fund's</i> assets?	$\odot$	С
oriva (b)		e prime broker, you must coi	ions (b) through (e) below for each prime broker the <i>private fund</i> uses omplete questions (b) through (e) separately for each prime broker.	s. If the	
(c)	If the prime broker is regist	ered with the SEC, its regist	tration number:		
	- CRD Number (if any):				
(d)	Location of prime broker's o	office used principally by the	private fund (city, state and country):		
	City:	State:	Country:		
	LONDON		United Kingdom	Yes	: N
e)	Does this prime broker act a	as custodian for some or all	of the private fund's assets?	©	C
	•		ions (b) through (e) below for each prime broker the <i>private fund</i> uses emplete questions (b) through (e) separately for each prime broker.	s. If the	
oriva (b)	•	e prime broker, you must coi		s. If the	
oriva (b) (c)	ate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LLC If the prime broker is regist 8 - 15869	e prime broker, you must coi	omplete questions (b) through (e) separately for each prime broker.	s. If the	
(b)	ate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LLG If the prime broker is regist	e prime broker, you must con C	omplete questions (b) through (e) separately for each prime broker.	s. If the	
oriva (b) (c)	ate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LLG If the prime broker is regist 8 - 15869 CRD Number (if any): 8209	e prime broker, you must con C ered with the SEC, its regist	omplete questions (b) through (e) separately for each prime broker.	s. If the	
(b) (c)	ate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LLG If the prime broker is regist 8 - 15869 CRD Number (if any): 8209	e prime broker, you must con C ered with the SEC, its regist	mplete questions (b) through (e) separately for each prime broker. tration number:	s. If the	
oriva (b) (c)	ate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LLG If the prime broker is regist 8 - 15869 CRD Number (if any): 8209 Location of prime broker's o City:	e prime broker, you must con C ered with the SEC, its regist office used principally by the State:	tration number: <i>private fund</i> (city, state and country): Country:	s. If the	N

			) through (e) below for each prime broker the <i>private</i> questions (b) through (e) separately for each prime	
(b)	Name of the prime broker: NOMURA INTERNATIONAL PLO	0		
(c)	If the prime broker is registe	red with the SEC, its registration	number:	
	- CRD Number (if any):			
(d)	·	fice used principally by the <i>private</i>		
	City: LONDON	State:	Country: United Kingdom	
				Yes No
(e)	Does this prime broker act as	s custodian for some or all of the	private fund's assets?	• •
priv	•	prime broker, you must complete	) through (e) below for each prime broker the <i>private</i> e questions (b) through (e) separately for each prime	
(c)	If the prime broker is registe 8 - 15255 CRD Number (if any): 4297	red with the SEC, its registration	number:	
(d)	Location of prime broker's of City: NEW YORK	fice used principally by the <i>private</i> State: New York	e <i>fund</i> (city, state and country): Country: United States	
(e)	Does this prime broker act as	s custodian for some or all of the	private fund's assets?	Yes No
	•	• • • • • •	) through (e) below for each prime broker the <i>private</i> e questions (b) through (e) separately for each prime	
(b)	Name of the prime broker: PERSHING LLC			
(c)	8 - 17574	red with the SEC, its registration	number:	
	CRD Number (if any): 7560			
(d)	·	fice used principally by the private		
	City: JERSEY CITY	State: New Jersey	Country: United States	
(e)	Does this prime broker act a	s custodian for some or all of the	nrivate fund's assets?	Yes No
(e)				© 0
priv	•	• • • • • •	) through (e) below for each prime broker the <i>private</i> questions (b) through (e) separately for each prime	

UBS AG

CRD Number (if any	<i>ı</i> ):		
•	proker's office used principally by the pr		
City: LONDON	State:	Country: United Kingdom	
			`
(e) Does this prime bro	oker act as custodian for some or all of	f the <i>private fund's</i> assets?	1
		ns (b) through (e) below for each prime broker the <i>private fund</i> use plete questions (b) through (e) separately for each prime broker.	es. If
(b) Name of the prime UBS SECURITIES LL			
(c) If the prime broker 8 - 22651	is registered with the SEC, its registra	ation number:	
CRD Number (if any 7654	<b>')</b> :		
	roker's office used principally by the pr		
City: NEW YORK	State: New York	Country: United States	
			,
		ns (b) through (e) below for each prime broker the <i>private fund</i> use plete questions (b) through (e) separately for each prime broker.	s. If
(b) Nome of the prime -			
(b) Name of the prime WELLS FARGO SECU			
WELLS FARGO SECU	is registered with the SEC, its registra	ation number:	
WELLS FARGO SECU	is registered with the SEC, its registra	ation number:	
WELLS FARGO SECU (c) If the prime broker		ation number:	
WELLS FARGO SECU (c) If the prime broker 8 - 65876 CRD Number (if any 126292			
<ul> <li>WELLS FARGO SECU</li> <li>(c) If the prime broker</li> <li>8 - 65876</li> <li>CRD Number (if any 126292</li> <li>(d) Location of prime broker</li> <li>City:</li> </ul>	/): proker's office used principally by the <i>pr</i> State:	<i>rivate fund</i> (city, state and country): Country:	
<ul> <li>WELLS FARGO SECU</li> <li>(c) If the prime broker</li> <li>8 - 65876</li> <li>CRD Number (if any 126292</li> <li>(d) Location of prime broker</li> </ul>	<i>I</i> ): proker's office used principally by the <i>pr</i>	rivate fund (city, state and country):	
<ul> <li>WELLS FARGO SECU</li> <li>(c) If the prime broker</li> <li>8 - 65876</li> <li>CRD Number (if any 126292</li> <li>(d) Location of prime broker</li> <li>City:</li> <li>NEW YORK</li> </ul>	/): proker's office used principally by the <i>pr</i> State:	<i>rivate fund</i> (city, state and country): Country: United States	
<ul> <li>WELLS FARGO SECU</li> <li>(c) If the prime broker</li> <li>8 - 65876</li> <li>CRD Number (if any 126292</li> <li>(d) Location of prime broker</li> <li>City:</li> <li>NEW YORK</li> </ul>	/): proker's office used principally by the <i>pr</i> State: New York	<i>rivate fund</i> (city, state and country): Country: United States	
<ul> <li>WELLS FARGO SECU</li> <li>(c) If the prime broker 8 - 65876 CRD Number (if any 126292</li> <li>(d) Location of prime bro City: NEW YORK</li> <li>(e) Does this prime bro</li> </ul>	/): proker's office used principally by the <i>pr</i> State: New York oker act as custodian for some or all of	<i>rivate fund</i> (city, state and country): Country: United States f the <i>private fund's</i> assets?	
WELLS FARGO SECU (c) If the prime broker 8 - 65876 CRD Number (if any 126292 (d) Location of prime bro City: NEW YORK (e) Does this prime bro	/): proker's office used principally by the <i>pr</i> State: New York oker act as custodian for some or all of	private fund (city, state and country): Country: United States f the <i>private fund's</i> assets?	
<ul> <li>WELLS FARGO SECU</li> <li>(c) If the prime broker 8 - 65876 CRD Number (if any 126292</li> <li>(d) Location of prime bro City: NEW YORK</li> <li>(e) Does this prime bro</li> </ul>	/): proker's office used principally by the <i>pr</i> State: New York oker act as custodian for some or all of e any custodians (including the prime b 25.(a) is "yes," respond to questions	<i>rivate fund</i> (city, state and country): Country: United States f the <i>private fund's</i> assets?	

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b) Legal name of custodian:

	BANK OF AMERICA, N.A.				
(c)	Primary business name of cust BANK OF AMERICA, N.A.	odian:			
(d)	The location of the custodian's	office responsible for cus	tody of the private fu	<i>Ind's</i> assets (city, state and country)	:
()	City:	State:		Country:	
	NEW YORK	New York		United States	
					Yes No
(e)	Is the custodian a related perso	on of your firm?			0 0
(f)	If the custodian is a broker-dea	aler, provide its SEC regist	tration number (if ar	y):	
	- CRD Number (if any):				
(g)	If the custodian is not a broker <i>identifier</i> (if any) B4TYDEB6GKMZO031MB27	r-dealer, or is a broker-de	ealer but does not ha	ive an SEC registration number, pro	vide its <i>legal entity</i>
	•	•		elow for each custodian the <i>private</i> ; g) separately for each custodian.	<i>fund</i> uses. If the <i>private</i>
(b)	Legal name of custodian: BARCLAYS BANK PLC				
(c)	Primary business name of cust BARCLAYS BANK PLC	odian:			
(d)	The location of the custodian's City: LONDON	office responsible for <i>cus</i> State:	<i>tody</i> of the <i>private fu</i> Country: United Kingo	<i>und's</i> assets (city, state and country)	:
	LONDON		United Kinge		Yes No
(e)	Is the custodian a related perso	on of your firm?			00
(f)	If the custodian is a broker-dea	aler, provide its SEC regist	tration number (if ar	ıy):	
	- CRD Number (if any):				
(g)	If the custodian is not a broker <i>identifier</i> (if any)	r-dealer, or is a broker-de	aler but does not ha	ive an SEC registration number, pro	vide its <i>legal entity</i>
	G5GSEF7VJP5I7OUK5573				
funi	d uses more than one custodiar	• · ·		elow for each custodian the <i>private</i> ; g) separately for each custodian.	<i>fund</i> uses. If the <i>private</i>
(b)	Legal name of custodian: BARCLAYS CAPITAL INC.				
(c)	Primary business name of cust BARCLAYS CAPITAL INC.	odian:			
(d)	The location of the custodian's	office responsible for cus	tody of the private fu	und's assets (city, state and country)	:
	City:	State:		Country:	
	NEW YORK	New York		United States	
					Yes No
(e)	Is the custodian a related perso	on of your firm?			0 0

	8 - 41342			
	CRD Number (if any): 19714			
	17714			
(D)	If the custodian is not a broker-deale	er or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal ent</i>	titv
(9)	<i>identifier</i> (if any)			ing.
		• • • • • •	through g) below for each custodian the <i>private fund</i> uses. If the	e private
func	uses more than one custodian, you	must complete questions (	(b) through (g) separately for each custodian.	
(1.)				
(D)	Legal name of custodian: BNP PARIBAS PRIME BROKERAGE INTI	FRNATIONAL LIMITED		
(c)	Primary business name of custodian:			
	BNP PARIBAS PRIME BROKERAGE INTI	ERNATIONAL, LIMITED		
(d)	The location of the custodian's office	responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	DUBLIN		Ireland	
<i>/</i>				Yes N
(e)	Is the custodian a <i>related person</i> of y	our tirm?		0 0
(5)	If the sustadion is a broken dealer, m	novida ita CEC nagistratian		
(f)	If the custodian is a broker-dealer, p	TOVIDE ITS SEC TEGISTIATION		
	- CRD Number (if any):			
	<i>identifier</i> (if any) 5493000EGBAKFHIIT563	er, or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal ent</i>	tity
	identifier (if any)	er, or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal ent</i>	tity
	identifier (if any)	er, or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal ent</i>	tity
	<i>identifier</i> (if any) 5493000EGBAKFHIIT563			
lf th	<i>identifier</i> (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes,"	' respond to questions (b)	It does not have an SEC registration number, provide its <i>legal ent</i> through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	
ftł	<i>identifier</i> (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes,"	' respond to questions (b)	through g) below for each custodian the <i>private fund</i> uses. If the	
lf th	<i>identifier</i> (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," uses more than one custodian, you Legal name of custodian:	' respond to questions (b)	through g) below for each custodian the <i>private fund</i> uses. If the	
f th	<i>identifier</i> (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," uses more than one custodian, you	' respond to questions (b)	through g) below for each custodian the <i>private fund</i> uses. If the	
If th fund (b)	<i>identifier</i> (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A.	' respond to questions (b) must complete questions (	through g) below for each custodian the <i>private fund</i> uses. If the	
f tł func (b)	<i>identifier</i> (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," uses more than one custodian, you Legal name of custodian:	' respond to questions (b) must complete questions (	through g) below for each custodian the <i>private fund</i> uses. If the	
If th fund (b)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," 'uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian:	' respond to questions (b) must complete questions (	through g) below for each custodian the <i>private fund</i> uses. If the	
If th fund (b) (c)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A.	' respond to questions (b) must complete questions (	through g) below for each custodian the <i>private fund</i> uses. If the	
If th fund (b) (c)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City:	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State:	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	
If th fund (b) (c)	<i>identifier</i> (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office	' respond to questions (b) must complete questions (	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private
(b) (c)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private
f th func (b) (c)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City:	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private Yes N
If th func (b) (c) (d)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private Yes N
(c) (d)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private Yes N
If th func (b) (c) (d) (e)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y If the custodian is a broker-dealer, pr	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private Yes N
If th func (b) (c) (d)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private Yes N
If th func (b) (c) (d) (e)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," ' uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y If the custodian is a broker-dealer, pr	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	e private Yes N
If th func (b) (c) (d) (f)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," 'uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y If the custodian is a broker-dealer, pr - CRD Number (if any):	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York our firm? rovide its SEC registration	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian.	Yes N
(b) (c) (d)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," 'uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y If the custodian is a broker-dealer, pr - CRD Number (if any):	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York our firm? rovide its SEC registration	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian. The <i>private fund's</i> assets (city, state and country): Country: United States	Yes No O O
(b) (c) (d)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," 'uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y If the custodian is a broker-dealer, pr - CRD Number (if any): If the custodian is not a broker-dealer	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York our firm? rovide its SEC registration	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian. The <i>private fund's</i> assets (city, state and country): Country: United States	Yes No O O
(b) (c) (d) (f)	identifier (if any) 5493000EGBAKFHIIT563 e answer to question 25.(a) is "yes," 'uses more than one custodian, you Legal name of custodian: BNP PARIBAS S.A. Primary business name of custodian: BNP PARIBAS S.A. The location of the custodian's office City: NEW YORK Is the custodian a <i>related person</i> of y If the custodian is a broker-dealer, pr - CRD Number (if any): If the custodian is not a broker-dealer	' respond to questions (b) must complete questions ( responsible for <i>custody</i> of State: New York our firm? rovide its SEC registration	through g) below for each custodian the <i>private fund</i> uses. If the (b) through (g) separately for each custodian. The <i>private fund's</i> assets (city, state and country): Country: United States	Yes N

(b)	Legal name of custodian: BNP PARIBAS SECURITIES CORP.			
(c)	Primary business name of custoe BNP PARIBAS SECURITIES CORP.			
(d)	The location of the custodian's o	office responsible for <i>custody</i>	of the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	Yes No
(e)	Is the custodian a related persor	ı of your firm?		0 0
(f)	If the custodian is a broker-deal 8 - 32682	er, provide its SEC registratio	on number (if any):	
	CRD Number (if any): 15794			
(g)	If the custodian is not a broker- <i>identifier</i> (if any)	dealer, or is a broker-dealer	but does not have an SEC registration number, provide its	legal entity
fun			b) through g) below for each custodian the <i>private fund</i> use s (b) through (g) separately for each custodian.	s. If the <i>private</i>
	BOLA SECONTIES, INC.			
(c)	Primary business name of custoe BOFA SECURITIES, INC.	dian:		
(d)	The location of the custodian's o	ffice responsible for <i>custody</i>	of the private fund's assets (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	Yes No
(e)	Is the custodian a related persor	of your firm?		0 0
(f)	If the custodian is a broker-deal	er, provide its SEC registratic	on number (if any):	
	8 - 69787 CRD Number (if any): 283942			
(g)	If the custodian is not a broker- <i>identifier</i> (if any)	dealer, or is a broker-dealer	but does not have an SEC registration number, provide its	legal entity
	• • • •		b) through g) below for each custodian the <i>private fund</i> use s (b) through (g) separately for each custodian.	s. If the <i>private</i>
(b)	Legal name of custodian: CITIBANK, N.A.			
(c)	Primary business name of custo CITIBANK, N.A.	dian:		
(d)	The location of the custodian's o	ffice responsible for <i>custody</i>	of the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	INE W TORN		UNITED STATES	Yes No
(e)	Is the custodian a related persor	of your firm?		0 0
10				
(1)	If the custodian is a broker-deal	ar, provide its SEC registratio	n number (il any).	

	If the custodian is not a bro <i>identifier</i> (if any)	ker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provide its	legal entity
	E570DZWZ7FF32TWEFA76			
			through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian.	s. If the <i>private</i>
c)	Legal name of custodian: CITICORP SECURITIES SERV	ICES, INC.		
c)	Primary business name of cu CITICORP SECURITIES SERV			
d)	The location of the custodia	n's office responsible for <i>custody</i> o	f the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	Yes N
e)	Is the custodian a related pe	erson of your firm?		o e
)	If the custodian is a broker- 8 - 32117	dealer, provide its SEC registration	number (if any):	
	CRD Number (if any):			
	15387			
g)	If the custodian is not a bro <i>identifier</i> (if any)	ker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provide its	legal entity
ftł	<i>identifier</i> (if any) ne answer to question 25.(a)	is "yes," respond to questions (b)	through g) below for each custodian the <i>private fund</i> use	
f tl	<i>identifier</i> (if any) ne answer to question 25.(a)	is "yes," respond to questions (b) ian, you must complete questions		
f tl und b)	<i>identifier</i> (if any) ne answer to question 25.(a) d uses more than one custod Legal name of custodian:	is "yes," respond to questions (b) ian, you must complete questions S INC. ustodian:	through g) below for each custodian the <i>private fund</i> use	
c)	<i>identifier</i> (if any) ne answer to question 25.(a) <i>d</i> uses more than one custod Legal name of custodian: CITIGROUP GLOBAL MARKET Primary business name of cu	is "yes," respond to questions (b) ian, you must complete questions S INC. ustodian: S INC.	through g) below for each custodian the <i>private fund</i> use	
c)	identifier (if any) he answer to question 25.(a) d uses more than one custod Legal name of custodian: CITIGROUP GLOBAL MARKET Primary business name of cu CITIGROUP GLOBAL MARKET The location of the custodian City:	is "yes," respond to questions (b) ian, you must complete questions 'S INC. ustodian: 'S INC. n's office responsible for <i>custody</i> o State:	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country:	
)	identifier (if any) he answer to question 25.(a) d uses more than one custod Legal name of custodian: CITIGROUP GLOBAL MARKET Primary business name of cu CITIGROUP GLOBAL MARKET The location of the custodian	is "yes," respond to questions (b) ian, you must complete questions S INC. ustodian: S INC. n's office responsible for <i>custody</i> o	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country):	s. If the <i>private</i>
ד דו התע ס)	identifier (if any) he answer to question 25.(a) d uses more than one custod Legal name of custodian: CITIGROUP GLOBAL MARKET Primary business name of cu CITIGROUP GLOBAL MARKET The location of the custodian City:	is "yes," respond to questions (b) ian, you must complete questions 'S INC. ustodian: 'S INC. n's office responsible for <i>custody</i> o State: New York	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country:	s. If the <i>private</i>
() () () () () () () () () () () () () (	identifier (if any) he answer to question 25.(a) d uses more than one custod Legal name of custodian: CITIGROUP GLOBAL MARKET Primary business name of cu CITIGROUP GLOBAL MARKET The location of the custodian City: NEW YORK Is the custodian a <i>related pe</i>	is "yes," respond to questions (b) ian, you must complete questions 'S INC. ustodian: 'S INC. n's office responsible for <i>custody</i> o State: New York	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	s. If the <i>private</i>
ti no )	identifier (if any) he answer to question 25.(a) d uses more than one custod Legal name of custodian: CITIGROUP GLOBAL MARKET Primary business name of cu CITIGROUP GLOBAL MARKET The location of the custodian City: NEW YORK Is the custodian a <i>related pe</i> If the custodian is a broker-4	is "yes," respond to questions (b) ian, you must complete questions 'S INC. ustodian: 'S INC. n's office responsible for <i>custody</i> o State: New York	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	s. If the <i>private</i> Yes N
( th ())))))))))))))))))))))))))))))))))))	identifier (if any) he answer to question 25.(a) d uses more than one custod Legal name of custodian: CITIGROUP GLOBAL MARKET Primary business name of cu CITIGROUP GLOBAL MARKET The location of the custodian City: NEW YORK Is the custodian a <i>related pe</i> If the custodian is a broker-4 8 - 8177 CRD Number (if any): 7059	is "yes," respond to questions (b) ian, you must complete questions S INC. ustodian: 'S INC. n's office responsible for <i>custody</i> o State: New York <i>erson</i> of your firm? dealer, provide its SEC registration	through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian. f the <i>private fund's</i> assets (city, state and country): Country: United States	s. If the <i>private</i> Yes N

(b) Legal name of custodian:

	CITIGROUP GLOBAL MARKETS LIN	1ITED		
(c)	Primary business name of custod	lian:		
.,	CITIGROUP GLOBAL MARKETS LIN			
d)	The location of the custodian's of	fice responsible for <i>custoc</i>	dy of the <i>private fund's</i> assets (city, st	ate and country):
	City:	State:	Country:	
	LONDON		United Kingdom	Yes No
(e)	Is the custodian a related person	of your firm?		0 0
(f)	If the custodian is a broker-deale	r, provide its SEC registra	ation number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-d identifier (if any) XKZZ2JZF41MRHTR1V493	lealer, or is a broker-deale	er but does not have an SEC registra	tion number, provide its <i>legal entity</i>
			s (b) through g) below for each custo ons (b) through (g) separately for ea	dian the <i>private fund</i> uses. If the <i>private</i> ch custodian.
		,		
(b)	Legal name of custodian: CREDIT SUISSE AG			
c)	Primary business name of custod CREDIT SUISSE AG	ian:		
(d)	The location of the custodian's of	fice responsible for <i>custoc</i>	dy of the <i>private fund's</i> assets (city, st	ate and country):
	City: DUBLIN	State:	Country: Ireland	
	DODLIN		neidha	Yes No
e)	Is the custodian a related person	of your firm?		00
(f)	If the custodian is a broker-deale	r, provide its SEC registra	ation number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-d identifier (if any) ANGGYXNX0JLX3X63JN86	ealer, or is a broker-deale	er but does not have an SEC registra	tion number, provide its <i>legal entity</i>
	•			dian the private fund uses. If the private
un	d uses more than one custodian, y	you must complete question	ons (b) through (g) separately for ea	ch custodian.
(b)	Legal name of custodian: CREDIT SUISSE SECURITIES (USA	A) LLC		
	Primary business name of custod CREDIT SUISSE SECURITIES (USA			
(c)				
	The location of the custodian's of	fice responsible for <i>custoc</i>	dy of the <i>private fund's</i> assets (city, st	ate and country):
	City:	State:	Country:	ate and country):
		·		ate and country): Yes No
I)	City:	State: New York	Country:	

	3 - 422					
	CRD Number (if any):					
	316					
-	f the custodian is not a broker-dealer, <i>identifier</i> (if any)	or is a broker-dealer	but does not hav	/e an SEC registration กเ	umber, provide its <i>l</i> e	egal entity
una 5)	e answer to question 25.(a) is "yes," re uses more than one custodian, you mu Legal name of custodian: GOLDMAN SACHS & CO. LLC Primary business name of custodian: GOLDMAN SACHS & CO. LLC				•	. If the <i>priva</i>
d)	The location of the custodian's office re	sponsible for <i>custody</i>	of the private fu	nd's assets (city, state ar	nd country):	
		State:		Country:		
	NEW YORK	New York		Jnited States		Yes
<del>)</del> )	s the custodian a <i>related person</i> of you	r firm?				0
)	f the custodian is a broker-dealer, prov	vide its SEC registration	on number (if an			
	8 - 129			/).		
	CRD Number (if any):					
g)	361 If the custodian is not a broker-dealer, <i>identifier</i> (if any)	or is a broker-dealer	but does not hav	/e an SEC registration ກເ	umber, provide its <i>l</i>	egal entity
g) f th	f the custodian is not a broker-dealer, <i>identifier</i> (if any) e answer to question 25.(a) is "yes," re	espond to questions (	b) through g) be	low for each custodian t	he <i>private fund</i> uses	
g) f th und b)	If the custodian is not a broker-dealer, identifier (if any)	espond to questions (	b) through g) be	low for each custodian t	he <i>private fund</i> uses	
g) f th una b)	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re uses more than one custodian, you mu	espond to questions (	b) through g) be	low for each custodian t	he <i>private fund</i> uses	
g) f th und o)	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re uses more than one custodian, you mu Legal name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian:	espond to questions ( ust complete question	b) through g) be s (b) through (g	low for each custodian t ) separately for each cus	he <i>private fund</i> uses stodian.	
g) f th und b) c)	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re- uses more than one custodian, you mu legal name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL The location of the custodian's office re City:	espond to questions ( ust complete question	b) through g) be is (b) through (g of the <i>private fu</i> Country:	low for each custodian t ) separately for each cus nd's assets (city, state ar	he <i>private fund</i> uses stodian.	
g) th und b) ()	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re uses more than one custodian, you mu legal name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL	espond to questions ( ust complete question	b) through g) be is (b) through (g	low for each custodian t ) separately for each cus nd's assets (city, state ar	he <i>private fund</i> uses stodian.	
g) th una c)	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re- uses more than one custodian, you mu legal name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL The location of the custodian's office re City:	espond to questions ( ust complete question esponsible for <i>custody</i> ate:	b) through g) be is (b) through (g of the <i>private fu</i> Country:	low for each custodian t ) separately for each cus nd's assets (city, state ar	he <i>private fund</i> uses stodian.	. If the <i>priva</i>
g) f th und b) c) d)	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re uses more than one custodian, you mu legal name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL The location of the custodian's office re City: Sta LONDON	espond to questions ( ust complete question esponsible for <i>custody</i> ate: r firm?	b) through g) be the s (b) through (g cof the <i>private fun</i> Country: United Kingdo	low for each custodian t ) separately for each cus nd's assets (city, state ar	he <i>private fund</i> uses stodian.	. If the <i>priva</i> t
g) f th und o) c) d) f	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re- uses more than one custodian, you mu- legal name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL The location of the custodian's office re City: Sta LONDON	espond to questions ( ust complete question esponsible for <i>custody</i> ate: r firm?	b) through g) be the s (b) through (g cof the <i>private fun</i> Country: United Kingdo	low for each custodian t ) separately for each cus nd's assets (city, state ar	he <i>private fund</i> uses stodian.	. If the <i>priva</i> t
(g) f th fund (b) (c) f) f)	If the custodian is not a broker-dealer, identifier (if any) e answer to question 25.(a) is "yes," re- uses more than one custodian, you mu- legal name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL The location of the custodian's office re City: Sta LONDON is the custodian a <i>related person</i> of you if the custodian is a broker-dealer, prov	espond to questions ( ust complete question esponsible for <i>custody</i> ate: r firm? vide its SEC registratio	b) through g) bens (b) through (g s (b) through (g country: United Kingde on number (if any	low for each custodian t ) separately for each cus nd's assets (city, state ar om y):	the <i>private fund</i> uses stodian.	Yes

(b)	Legal name of custodian: HONGKONG AND SHANGHAI BANKING CORPORATIO	ON LIMITED		
(c)	Primary business name of custodian: HONGKONG AND SHANGHAI BANKING CORPORATIO	ON LIMITED		
(d)	The location of the custodian's office responsible f	for <i>custody</i> of the <i>priv</i>	ate fund's assets (city, state and country):	
	City:	State:	Country:	
	HONG KONG		Hong Kong	Yes No
(e)	Is the custodian a related person of your firm?			0 0
(f)	If the custodian is a broker-dealer, provide its SEC	C registration number	(if any):	
	-			
	CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a bro <i>identifier</i> (if any)	)ker-dealer but does n	ot have an SEC registration number, provide its	legal entity
	the answer to question 25.(a) is "yes," respond to and uses more than one custodian, you must comple			es. If the <i>private</i>
(b)	Legal name of custodian: HSBC BANK BERMUDA			
(c)	Primary business name of custodian: HSBC BANK BERMUDA			
(d)	The location of the custodian's office responsible f	for <i>custody</i> of the <i>priv</i>	ate fund's assets (city, state and country):	
	City: HAMILTON	State:	Country: Bermuda	
				Yes No
(e)	Is the custodian a <i>related person</i> of your firm?			00
(f)	If the custodian is a broker-dealer, provide its SEC	C registration number	(if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-dealer, or is a bro <i>identifier</i> (if any)	)ker-dealer but does n	ot have an SEC registration number, provide its	legal entity
lfi	the answer to question 25.(a) is "yes," respond to a	questions (b) through	g) below for each custodian the <i>private fund</i> use	es. If the <i>private</i>
	nd uses more than one custodian, you must comple			
(b)	Legal name of custodian: HSBC BANK MAURITIUS LTD			
(c)	Primary business name of custodian: HSBC BANK MAURITIUS LTD			
(d)	The location of the custodian's office responsible f	for <i>custody</i> of the <i>priv</i> .	ate fund's assets (city, state and country):	
	City: State: EBENE		Country: Mauritius	
				Yes No
(e)	Is the custodian a <i>related person</i> of your firm?			00
(f)	If the custodian is a broker-dealer, provide its SEC	C registration number	(if any):	

- CRD Number (if any):			
(g) If the custodian is not a broke <i>identifier</i> (if any)	er-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provi	de its <i>legal entity</i>
-		) through g) below for each custodian the <i>private fu</i> (b) through (g) separately for each custodian.	<i>nd</i> uses. If the <i>private</i>
(b) Legal name of custodian: J.P. MORGAN SECURITIES LLC			
(c) Primary business name of cus J.P. MORGAN SECURITIES LLC			
(d) The location of the custodian's City: NEW YORK	s office responsible for <i>custody</i> of State: New York	f the <i>private fund's</i> assets (city, state and country): Country: United States	Vec No
(e) Is the custodian a related pers	son of your firm?		Yes No
(f) If the custodian is a broker-de 8 - 35008 CRD Number (if any): 79	ealer, provide its SEC registration	number (if any):	
(g) If the custodian is not a broke <i>identifier</i> (if any)	er-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provi	de its legal entity
		) through g) below for each custodian the <i>private fu</i> (b) through (g) separately for each custodian.	nd uses. If the private
(b) Legal name of custodian: J.P. MORGAN SECURITIES PLC			
(c) Primary business name of cus J.P. MORGAN SECURITIES PLC			
(d) The location of the custodian's City: LONDON	s office responsible for <i>custody</i> of State:	f the <i>private fund's</i> assets (city, state and country): Country: United Kingdom	Voc No
(e) Is the custodian a related pers	son of your firm?		Yes No
(f) If the custodian is a broker-de	ealer, provide its SEC registration	number (if any):	
- CRD Number (if any):			
(g) If the custodian is not a broke <i>identifier</i> (if any) K6Q0W1PS1L104IQL9C32	er-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provi	de its <i>legal entity</i>
L			
•	•	) through g) below for each custodian the <i>private fu</i> (b) through (g) separately for each custodian.	nd uses. If the private

(b) Legal name of custodian:

	JPMORGAN BANK LUXEMBOL	JRG S.A.			
(c)	Primary business name of c JPMORGAN BANK LUXEMBOU				
(d)	The location of the custodia	n's office responsible for	<i>custody</i> of the <i>priv</i>	<i>ate fund's</i> assets (city, state ar	nd country):
	City:		State:	Country:	
	SENNINGERBERG			Luxembourg	
					Yes No
(e)	Is the custodian a related po	erson of your firm?			00
(f)	If the custodian is a broker-	dealer, provide its SEC re	egistration number	(if any):	
	CRD Number (if any):				
(g)	If the custodian is not a bro <i>identifier</i> (if any) 7W1GMC6J4KGLBBUSYP52	oker-dealer, or is a broke	r-dealer but does r	not have an SEC registration nu	umber, provide its <i>legal entity</i>
	7W1GMC0J4KGLDD031132				
fund	•	• • •		n g) below for each custodian t ugh (g) separately for each cus	he <i>private fund</i> uses. If the <i>private</i> stodian.
	JPMORGAN CHASE BANK, N./	Α.			
(C)	Primary business name of c JPMORGAN CHASE BANK, N./				
(d)	The location of the custodia	n's office responsible for	<i>custody</i> of the <i>priv</i>	<i>vate fund's</i> assets (city, state ar	nd country):
	City:	State:		Country:	
	NEW YORK	New York		United States	Yes No
(e)	Is the custodian a related po	<i>erson</i> of your firm?			o ⊙
(f)	If the custodian is a broker-	dealer, provide its SEC re	egistration number	(if any):	
	- CRD Number (if any):				
(g)	If the custodian is not a bro <i>identifier</i> (if any) 7H6GLXDRUGQFU57RNE97	ker-dealer, or is a broke	er-dealer but does r	not have an SEC registration nu	umber, provide its <i>legal entity</i>
			-	n g) below for each custodian t ugh (g) separately for each cus	he <i>private fund</i> uses. If the <i>private</i> stodian.
(b)	Legal name of custodian: MERRILL LYNCH INTERNATIO	DNAL			
	Primary business name of c MERRILL LYNCH INTERNATIO				
(d)	The location of the custodia	n's office responsible for	custody of the priv	<i>ate fund's</i> assets (city, state ar	nd country):
- /	City:	State:	Countr	y:	
	LONDON				
	EONDON		United	Kingdom	
(-)	Is the custodian a <i>related p</i>		United	Kingdom	Yes No

(f)		
	- CRD Number (if any):	
g)	If the custodian is not a broker-dealer, or is a br <i>identifier</i> (if any) GGDZP1UYGU9STUHRDP48	oker-dealer but does not have an SEC registration number, provide its <i>legal entity</i>
		questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ete questions (b) through (g) separately for each custodian.
b)	Legal name of custodian: MORGAN STANLEY & CO INTERNATIONAL PLC	
c)	Primary business name of custodian: MORGAN STANLEY & CO INTERNATIONAL PLC	
(d)	The location of the custodian's office responsible	for custody of the private fund's assets (city, state and country):
	City: State: LONDON	Country: United Kingdom
		Yes N
(e)	Is the custodian a <i>related person</i> of your firm?	0 @
(f)	If the custodian is a broker-dealer, provide its SE	C registration number (if any):
	-	
	CRD Number (if any):	
	CRD Number (if any):	
(g)	If the custodian is not a broker-dealer, or is a br identifier (if any)	oker-dealer but does not have an SEC registration number, provide its <i>legal entity</i>
(g)	If the custodian is not a broker-dealer, or is a br	oker-dealer but does not have an SEC registration number, provide its <i>legal entity</i>
(g)	If the custodian is not a broker-dealer, or is a br identifier (if any)	oker-dealer but does not have an SEC registration number, provide its <i>legal entity</i>
lft	If the custodian is not a broker-dealer, or is a br <i>identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to	
lf t fun	If the custodian is not a broker-dealer, or is a br <i>identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to	questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i>
If t fun (b)	If the custodian is not a broker-dealer, or is a br identifier (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must comple Legal name of custodian:	questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i>
If t fun (b) (c)	If the custodian is not a broker-dealer, or is a br <i>identifier</i> (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to <i>d</i> uses more than one custodian, you must comple Legal name of custodian: MORGAN STANLEY & CO. LLC Primary business name of custodian: MORGAN STANLEY & CO. LLC	questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ete questions (b) through (g) separately for each custodian. for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): Country:
If t fun (b) (c)	If the custodian is not a broker-dealer, or is a br identifier (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must complet Legal name of custodian: MORGAN STANLEY & CO. LLC Primary business name of custodian: MORGAN STANLEY & CO. LLC The location of the custodian's office responsible City: State:	questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ete questions (b) through (g) separately for each custodian.
If t fun (b) (c) (d)	If the custodian is not a broker-dealer, or is a br identifier (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must complet Legal name of custodian: MORGAN STANLEY & CO. LLC Primary business name of custodian: MORGAN STANLEY & CO. LLC The location of the custodian's office responsible City: State:	questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> ete questions (b) through (g) separately for each custodian.
If t fun (b) (c) (d) (e)	If the custodian is not a broker-dealer, or is a br identifier (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must complet Legal name of custodian: MORGAN STANLEY & CO. LLC Primary business name of custodian: MORGAN STANLEY & CO. LLC The location of the custodian's office responsible City: State: NEW YORK New Yor	questions (b) through g) below for each custodian the private fund uses. If the private ete questions (b) through (g) separately for each custodian.         for custody of the private fund's assets (city, state and country):         Country:         k       United States         Yes N
If t fun (b) (c) (d) (e)	If the custodian is not a broker-dealer, or is a br identifier (if any) 4PQUHN3JPFGFNF3BB653 he answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must complet Legal name of custodian: MORGAN STANLEY & CO. LLC Primary business name of custodian: MORGAN STANLEY & CO. LLC The location of the custodian's office responsible City: State: NEW YORK New Yor Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SE	questions (b) through g) below for each custodian the private fund uses. If the private ete questions (b) through (g) separately for each custodian.         for custody of the private fund's assets (city, state and country):         Country:         k       United States         Yes N
If t <i>fun</i> (b) (c) (d) (e)	If the custodian is not a broker-dealer, or is a br identifier (if any) 4PQUHN3JPFGFNF3BB653 The answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must complet Legal name of custodian: MORGAN STANLEY & CO. LLC Primary business name of custodian: MORGAN STANLEY & CO. LLC The location of the custodian's office responsible City: State: NEW YORK New Yor Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SE 8 - 15869 CRD Number (if any): 8209	questions (b) through g) below for each custodian the private fund uses. If the private ete questions (b) through (g) separately for each custodian.         for custody of the private fund's assets (city, state and country):         Country:         k       United States         Yes N

fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

(b)	Legal name of custodian: NOMURA INTERNATIONAL PLC				
(c)	Primary business name of custod NOMURA INTERNATIONAL PLC	lian:			
(d)	The location of the custodian's of City: LONDON	fice responsible for <i>custo</i> State:	<i>dy</i> of the <i>private f</i> Country: United King	<i>fund's</i> assets (city, state and country): Idom	
(e)	Is the custodian a related person	of your firm?			Yes No
(f)	If the custodian is a broker-deale	er, provide its SEC registra	ation number (if a	ny):	
	- CRD Number (if any):				
(g)	If the custodian is not a broker-d <i>identifier</i> (if any) DGQCSV2PHVF7I2743539	lealer, or is a broker-deal	er but does not h	ave an SEC registration number, provide	its <i>legal entity</i>
	he answer to question 25.(a) is "y d uses more than one custodian, y			pelow for each custodian the <i>private fund</i> (g) separately for each custodian.	uses. If the <i>private</i>
(b)	Legal name of custodian: NOMURA SECURITIES INTERNATIO	ONAL, INC.			
(c)	Primary business name of custod NOMURA SECURITIES INTERNATIO				
(d)	The location of the custodian's of City: NEW YORK	fice responsible for <i>custo</i> State: New York	<i>dy</i> of the <i>private f</i>	<i>fund's</i> assets (city, state and country): Country: United States	
	Is the custodian a <i>related person</i>	of your firm?			Yes No
(e)	is the custodian a related person				00
(f)	If the custodian is a broker-deale 8 - 15255	r, provide its SEC registra	ation number (if a	ny):	
	CRD Number (if any): 4297				
(g)	If the custodian is not a broker-d <i>identifier</i> (if any)	lealer, or is a broker-deal	er but does not h	ave an SEC registration number, provide	its <i>legal entity</i>
fun (b) (c)	d uses more than one custodian, y Legal name of custodian: NYDIG TRUST COMPANY LLC Primary business name of custod NYDIG TRUST COMPANY LLC The location of the custodian's of City:	you must complete questi lian: fice responsible for <i>custo</i> State:	ions (b) through (	<i>fund's</i> assets (city, state and country): Country:	uses. If the <i>private</i>
	NEW YORK	New York		United States	
					Yes No

	CRD Number (if any):			
	f the custodian is not a broker-de identifier (if any)	aler, or is a broker-dealer but do	es not have an SEC registration number, provide	its <i>legal entity</i>
		• • • • • •	ugh g) below for each custodian the <i>private fund</i> hrough (g) separately for each custodian.	uses. If the <i>privat</i> e
	egal name of custodian: PERSHING LLC			
	Primary business name of custodia PERSHING LLC	an:		
	The location of the custodian's off City: JERSEY CITY	ce responsible for <i>custody</i> of the State: New Jersey	<i>private fund's</i> assets (city, state and country): Country: United States	
	s the custodian a <i>related person</i> c	-		Yes I
	f the custodian is a broker-dealer 3 - 17574 CRD Number (if any): 7560	, provide its SEC registration num	ber (if any):	
-	f the custodian is not a broker-de identifier (if any)	aler, or is a broker-dealer but do	es not have an SEC registration number, provide	its <i>legal entity</i>
th na	<i>identifier</i> (if any) e answer to question 25.(a) is "ye	es," respond to questions (b) thro ou must complete questions (b) t	es not have an SEC registration number, provide rugh g) below for each custodian the <i>private fund</i> hrough (g) separately for each custodian.	
th na	identifier (if any) e answer to question 25.(a) is "ye uses more than one custodian, ye Legal name of custodian:	es," respond to questions (b) thro ou must complete questions (b) t -	ugh g) below for each custodian the <i>private fund</i>	
th na )	e answer to question 25.(a) is "ye uses more than one custodian, ye egal name of custodian: SOCIETE GENERALE BANK & TRUST Primary business name of custodia SOCIETE GENERALE BANK & TRUST	es," respond to questions (b) thro ou must complete questions (b) t - -	bugh g) below for each custodian the <i>private fund</i> in the hrough (g) separately for each custodian.	uses. If the <i>priva</i>
th na	e answer to question 25.(a) is "ye uses more than one custodian, ye egal name of custodian: SOCIETE GENERALE BANK & TRUST Primary business name of custodia SOCIETE GENERALE BANK & TRUST The location of the custodian's off City:	es," respond to questions (b) thro ou must complete questions (b) t - an: - fice responsible for <i>custody</i> of the State	bugh g) below for each custodian the <i>private fund</i> in hrough (g) separately for each custodian. <i>private fund's</i> assets (city, state and country):	uses. If the <i>priva</i>
th nc	e answer to question 25.(a) is "ye uses more than one custodian, ye egal name of custodian: SOCIETE GENERALE BANK & TRUST Primary business name of custodia SOCIETE GENERALE BANK & TRUST The location of the custodian's off City: LUXEMBOURG CITY	es," respond to questions (b) thro bu must complete questions (b) t - an: - ice responsible for <i>custody</i> of the State	pugh g) below for each custodian the <i>private fund</i> is hrough (g) separately for each custodian.	uses. If the <i>priva</i>
th nc ) )	e answer to question 25.(a) is "ye uses more than one custodian, ye legal name of custodian: SOCIETE GENERALE BANK & TRUST Primary business name of custodia SOCIETE GENERALE BANK & TRUST The location of the custodian's officity: LUXEMBOURG CITY s the custodian a <i>related person</i> c	es," respond to questions (b) thro bu must complete questions (b) t - an: - ice responsible for <i>custody</i> of the State	pugh g) below for each custodian the <i>private fund</i> is hrough (g) separately for each custodian.	uses. If the <i>priva</i>

(b)	Legal name of custodian: STATE STREET BANK AND TRUS	Γ COMPANY		
(c)	Primary business name of custo STATE STREET BANK AND TRUS			
(d)	The location of the custodian's	office responsible for <i>custody</i> of	the private fund's assets (city, state and country):	
	5	tate: assachusetts	Country: United States	
			United States	Yes No
(e)	Is the custodian a related perso	n of your firm?		00
(f)	If the custodian is a broker-dea	ller, provide its SEC registration	number (if any):	
	-			
	CRD Number (if any):			
(g)	If the custodian is not a broker <i>identifier</i> (if any)	-dealer, or is a broker-dealer bu	It does not have an SEC registration number, provide	its legal entity
	571474TGEMMWANRLN572			
			through g) below for each custodian the <i>private fund</i> (b) through (g) separately for each custodian.	uses. If the <i>private</i>
(b)	Legal name of custodian: THE BANK OF NEW YORK MELLO	DN		
(c)	Primary business name of custo THE BANK OF NEW YORK MELLO			
(d)			the <i>private fund's</i> assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
(e)	Is the custodian a <i>related perso</i>	<i>n</i> of your firm?		00
(f)	If the custodian is a broker-dea	ller, provide its SEC registration	number (if any):	
	- CRD Number (if any):			
	х <i>У</i>			
(g)	If the custodian is not a broker <i>identifier</i> (if any)	-dealer, or is a broker-dealer bu	It does not have an SEC registration number, provide	its <i>legal entity</i>
	HPFHU00Q28E4N0NFVK49			
	•	• • • • • •	through g) below for each custodian the private fund	uses. If the <i>private</i>
fun	d uses more than one custodian	, you must complete questions (	(b) through (g) separately for each custodian.	
(b)	Legal name of custodian: THE NORTHERN TRUST INTERNA	ATIONAL BANKING CORPORATION	J	
(C)	Primary business name of custo THE NORTHERN TRUST INTERNA	odian: ATIONAL BANKING CORPORATION	l	
(d)	The location of the custodian's	office responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	JERSEY CITY	New Jersey	United States	Yes No
(e)	Is the custodian a related perso	an of your firm?		

(If the out-define is not a tarbor -deater, or is a tarbor -deater but does not have an SFC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a tarbor -deater but does not have an SFC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a tarbor -deater but does not have an SFC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a tarbor -deater but does not have an SFC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a tarbor -deater but does not have an SFC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a tarbor -deater but does not have an SFC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a broker-deater but does not have an SEC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a broker-deater but does not have an SEC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, or is a broker-deater but does not have an SEC registration number, provide its <i>legal extity</i> (If the out-define is not a tarbor -deater, provide its SFC registration number, provide its <i>legal extity</i> (If the out-define is a tarbor -deater, or is a broker-deater but does not have an SEC registration number, provide its <i>legal extity</i> (If the out-define is a tarbor -deater, provide its SFC registration number, provide its <i>legal extity</i> (I		CRD Number (if any):			
and uses more than one custodian; you must complete questions (b) through (g) separately for each custodian.         b legal name of custodian; TRUST SECURITIES, INC.         c) Primary business name of custodian: IRUIST SECURITIES, INC.         b) The location of the custodian's office responsible for sustedy of the private fund's assets (city, state and country): City:         City:       State:         c:       Country:         CitARLOTTL       North Carolina         united states       Ves. 1         c:       1 if the custodian is a broker-dealer, provide its SEC registration number (if any): 0 if the custodian is not a broker-dealer, or is a broker-dealer but does not have an SLC registration number, provide its <i>legal entity</i> .         dentifier (if any): 0 if the custodian is, not a broker-dealer, or is a broker-dealer but does not have an SLC registration number, provide its <i>legal entity</i> .         dentifier (if any): 0 if the custodian is, you must complete questions (b) through (g) separately for each custodian.         ites amone than one custodian: UBS AG         p) The location of the custodian: UBS AG         p) The location of the custodian is a broker-dealer, provide its SLC registration number (if any): City:         c) State:       Country: Country: Country: LONDON         uit the custodian a <i>related person</i> of your firm?       Country: Country: Country: City:         i) It the custodian is a broker-dealer, provide its SLC registration number (if any): City:       Country: Country: Co	g)		er-dealer, or is a broker-de	aler but does not have an SEC registration number, provide i	ts legal entity
TRUIST SECURITIES, INC.  Primary business name of custodian: TRUIST SECURITIES, INC.  The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: City: City: Country: CitARLOTT North Carolina United States  Yos I  the custodian a related person of your firm? Cit the custodian is not a broker-dealer, provide its SEC registration number (if any): 2 - 17212 CR0 Number (if any): 6271  If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal ontity identifice</i> (if any)  If the custodian: City: Cit		• • • •	•		uses. If the <i>private</i>
TRUIST SECURITIES, INC.  TRUIST SECURITIES, INC.  The location of the custodian's office responsible for custody of the <i>private fund's</i> assets (city, state and country):  City: Country: Count		-			
City:       State:       Country:         United States       Yes I         If the custodian is a broker-dealer, provide its SEC registration number (if any):       8         9 - 17212       CRD Number (if any):         9 - 10       Fit the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> It the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian.         9 Legal name of custodian:       UBS AG         10 The location of the custodian:       Country:         11 UBS AG       Country:         12 UBS AG       State:       Country:         13 The location of the custodian:       Country:         14 The custodian a <i>related person</i> of your firm?       Country:         15 The custodian a <i>related person</i> of your firm?	)	-	todian:		
CHARLOTTE       North Carolina       United States         Ves. F         a) Is the custodian a related person of your firm?       a         b) If the custodian is a broker-dealer, provide its SEC registration number (if any):       8-17212         CRD Number (if any):       6271         a) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity tehnifier (if any):         c)       b) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity tehnifier (if any)         c)       the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses information on custodian:         uses more than one custodian:       UBS AG         b) Legal name of custodian:       UBS AG         c)       Primary business name of custodian:         uBS AG       United Kingdom         via the custodian is a broker-dealer, provide its SEC registration number (if any):         c)       It he custodian is a broker-dealer, provide its SEC registration number (if any):         c)       CRD Number (if any):         c)       If the custodian is a broker-dealer, provide its SEC registration number (if any):         c)       If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide	d)	The location of the custodian's	s office responsible for <i>cusi</i>	tody of the private fund's assets (city, state and country):	
Vest I         0) Is the custodian a related person of your firm?         0) Is the custodian is a broker-dealer, provide its SEC registration number (if any):         8 - 17212         CRD Number (if any):         6271         0) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity         identifier (if any):         6271         0) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity         identifier (if any):         6271         1) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity         identifier (if any):         2) Legal name of custodian:         UBS AG         2) Primary business name of custodian:         UBS AG         2) It he location of the custodian's office responsible for custody of the private fund's assets (city, state and country):         City:       State:       Country:         LODION       United Kingdom         ves r       C         4) If the custodian is a broker-dealer, provide its SEC registration number (if any):         7:       CRD Number (if any):         9) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC re		-		•	
a) Is the custodian a <i>related person</i> of your firm?  b) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 17212 CRD Number (if any): 6271  c) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) c) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) c) Legal name of custodian: UBS AG  c) Primary business name of custodian: UBS AG  c) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: City: State: Country: LONDON Ves M c) If the custodian is a broker-dealer, provide its SEC registration number (if any): - CRD Number (if any): C) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> Country: Coun		CHARLOTTE	North Carolina	United States	Voc. I
8 - 17212 CRD Number (if any): 6271 () If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) (f the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) () () () () () () () () () () () () ()	;)	Is the custodian a related pers	son of your firm?		
8 - 17212 CRD Number (if any): 6271 () If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) () If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) () Legal name of custodian: UBS AG () Primary business name of custodian: UBS AG () Primary business name of custodian: UBS AG () The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: LONDON United Kingdom () Is the custodian a <i>related person</i> of your firm? () If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): () If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> () () () () () () () () () () () () ()	١	If the custodian is a broker-de	paler provide its SEC regist	tration number (if any).	
CRD Number (if any): 6271 ) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> <i>identifier</i> (if any) the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>privat</i> the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>privat</i> <i>nd</i> uses more than one custodian; you must complete questions (b) through (g) separately for each custodian. Legal name of custodian: UBS AG ) Primary business name of custodian: UBS AG ) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: LONDON United Kingdom Yes Is the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any):			aler, provide its SEC regist		
<ul> <li>If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)</li> <li>If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)</li> <li>If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any):</li> <li>If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any):</li> <li>If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i></li> </ul>		· • •			
<ul> <li>Legal name of custodian: UBS AG</li> <li>Primary business name of custodian: UBS AG</li> <li>The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: LONDON United Kingdom</li> <li>Yes I</li> <li>Is the custodian a <i>related person</i> of your firm?</li> <li>If the custodian is a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i></li> </ul>	)		er-dealer, or is a broker-de	aler but does not have an SEC registration number, provide i	ts <i>legal entity</i>
UBS AG UB	tł	<i>identifier</i> (if any) e answer to question 25.(a) is	s "yes," respond to questio	ons (b) through g) below for each custodian the <i>private fund</i> u	
City: State: Country:   LONDON United Kingdom   Yes I Is the custodian a <i>related person</i> of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i>	tł und	<i>identifier</i> (if any) e answer to question 25.(a) is uses more than one custodia Legal name of custodian:	s "yes," respond to questio	ons (b) through g) below for each custodian the <i>private fund</i> u	
LONDON United Kingdom Yes I e) Is the custodian a <i>related person</i> of your firm? • If the custodian is a broker-dealer, provide its SEC registration number (if any): CRD Number (if any): ) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i>	tł una c)	<i>identifier</i> (if any) e answer to question 25.(a) is uses more than one custodia Legal name of custodian: UBS AG Primary business name of cus	s "yes," respond to questio n, you must complete ques	ons (b) through g) below for each custodian the <i>private fund</i> u	
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<ul> <li>is the custodian a <i>related person</i> of your firm?</li> <li>if the custodian is a broker-dealer, provide its SEC registration number (if any):</li> <li>CRD Number (if any):</li> <li>if the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i></li> </ul>	5 th und c)	identifier (if any) le answer to question 25.(a) is (uses more than one custodia Legal name of custodian: UBS AG Primary business name of cus UBS AG The location of the custodian's City:	s "yes," respond to questio n, you must complete ques todian: s office responsible for <i>cust</i>	ons (b) through g) below for each custodian the <i>private fund</i> us stions (b) through (g) separately for each custodian. <i>tody</i> of the <i>private fund's</i> assets (city, state and country): Country:	
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) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i>	th ind ))	identifier (if any) le answer to question 25.(a) is (uses more than one custodia Legal name of custodian: UBS AG Primary business name of cus UBS AG The location of the custodian's City: LONDON	s "yes," respond to questio n, you must complete ques todian: s office responsible for <i>cust</i> State:	ons (b) through g) below for each custodian the <i>private fund</i> us stions (b) through (g) separately for each custodian. <i>tody</i> of the <i>private fund's</i> assets (city, state and country): Country:	uses. If the <i>privat</i>
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BFM8T61CT2L1QCEMIK50	- - - - - - - - - - - - - -	identifier (if any) le answer to question 25. (a) is f uses more than one custodia Legal name of custodian: UBS AG Primary business name of cus UBS AG The location of the custodian's City: LONDON Is the custodian a <i>related pers</i> If the custodian is a broker-de - CRD Number (if any):	s "yes," respond to questio n, you must complete ques todian: s office responsible for <i>cust</i> State: son of your firm? ealer, provide its SEC regist	ons (b) through g) below for each custodian the <i>private fund</i> us stions (b) through (g) separately for each custodian. <i>tody</i> of the <i>private fund's</i> assets (city, state and country): Country: United Kingdom	uses. If the <i>privat</i> Yes I

(b)	Legal name of custodian: UBS SECURITIES LLC			
(c)	Primary business name of custo UBS SECURITIES LLC	dian:		
(d)	The location of the custodian's of	office responsible for <i>custody</i> of	of the <i>private fund's</i> assets (city, state and cou	intry):
	City: NEW YORK	State: New York	Country: United States	
	NEWTORK	New TOTK	United States	Yes No
(e)	Is the custodian a related person	n of your firm?		00
(f)	If the custodian is a broker-deal 8 - 22651 CRD Number (if any): 7654	er, provide its SEC registration	າ number (if any):	
(g)	If the custodian is not a broker- identifier (if any)	dealer, or is a broker-dealer b	out does not have an SEC registration number	, provide its <i>legal entity</i>
fun			) through g) below for each custodian the <i>pri</i> (b) through (g) separately for each custodian	-
(c)	Primary business name of custo WELLS FARGO BANK, N.A.	dian:		
(d)	The location of the custodian's of	office responsible for <i>custody</i> of	of the <i>private fund's</i> assets (city, state and cou	intry):
	City:	State:	Country:	
	SAN FRANCISCO	California	United States	
(e)	Is the custodian a related person	n of your firm?		Yes No
(f)	If the custodian is a broker-dea	er, provide its SEC registration	ח number (if any):	
	- CRD Number (if any):			
(g)	identifier (if any)	dealer, or is a broker-dealer b	out does not have an SEC registration number	, provide its <i>legal entity</i>
	KB1H1DSPRFMYMCUFXT09			
fun	•	you must complete questions	) through g) below for each custodian the <i>pri</i> (b) through (g) separately for each custodian	
(c)	Primary business name of custo	dian:		
	WELLS FARGO SECURITIES, LLC			
(d)		1	of the <i>private fund's</i> assets (city, state and cou	intry):
	City: NEW YORK	State: New York	Country: United States	
1		NEW TOLK	United States	
				Yes No

(f)	If the custodian is a broker-dealer, provide its SEC registration number (if any):
	8 - 65876
	CRD Number (if any): 126292
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity

## **Administrator**

26. (a) Does the *private fund* use an administrator other than your firm?

identifier (if any)

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Yes No

• •

	on 26.(a) is "yes," respond to questions (b) t at complete questions (b) through (f) separate	hrough (f) below. If the <i>private fund</i> uses more the ely for each administrator.	an one
b) Name of administra			
HARMONIC FUND S	ERVICES		
c) Location of adminis	strator (city, state and country):		
City:	State:	Country:	
GRAND CAYMAN		Cayman Islands	
			Yes N
d) Is the administrate	or a <i>related person</i> of your firm?		0 0
	rator prepare and send investor account stat		、
Yes (provided to	all investors) OSome (provided to some bu	t not all investors) ${igodot}$ No (provided to no investors	5)
			pplicable."
•	· · · · · · · · · · · · · · · · · · ·	hrough (f) below. If the <i>private fund</i> uses more th	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus	st complete questions (b) through (f) separate	hrough (f) below. If the <i>private fund</i> uses more th	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus c) Name of administra	et complete questions (b) through (f) separate	hrough (f) below. If the <i>private fund</i> uses more th	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus b) Name of administra	st complete questions (b) through (f) separate	hrough (f) below. If the <i>private fund</i> uses more th	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus b) Name of administra THE BANK OF NEW	ator: YORK MELLON CORPORATION	hrough (f) below. If the <i>private fund</i> uses more th	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus b) Name of administra THE BANK OF NEW c) Location of adminis	et complete questions (b) through (f) separate	hrough (f) below. If the <i>private fund</i> uses more th	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus ) Name of administra THE BANK OF NEW	et complete questions (b) through (f) separate ator: YORK MELLON CORPORATION strator (city, state and country):	hrough (f) below. If the <i>private fund</i> uses more th ely for each administrator.	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus ) Name of administra THE BANK OF NEW ) Location of adminis City:	ator: YORK MELLON CORPORATION strator (city, state and country): State:	hrough (f) below. If the <i>private fund</i> uses more they for each administrator. Country:	· · · · · · · · · · · · · · · · · · ·
dministrator, you mus ) Name of administra THE BANK OF NEW ) Location of adminis City: SOMERSET	ator: YORK MELLON CORPORATION strator (city, state and country): State:	hrough (f) below. If the <i>private fund</i> uses more they for each administrator. Country:	an one
dministrator, you mus ) Name of administra THE BANK OF NEW ) Location of adminis City: SOMERSET I) Is the administrato	ator: YORK MELLON CORPORATION strator (city, state and country): State: New Jersey or a <i>related person</i> of your firm?	hrough (f) below. If the <i>private fund</i> uses more the ely for each administrator. Country: United States	an one Yes N
<ul> <li>dministrator, you mus</li> <li>Name of administra THE BANK OF NEW</li> <li>Location of adminis City: SOMERSET</li> <li>Is the administrato</li> <li>Does the administrato</li> </ul>	ator: YORK MELLON CORPORATION Strator (city, state and country): State: New Jersey or a <i>related person</i> of your firm?	hrough (f) below. If the <i>private fund</i> uses more the ely for each administrator. Country: United States	an one Yes M
<ul> <li>dministrator, you mus</li> <li>Name of administra THE BANK OF NEW</li> <li>Location of administrato</li> <li>City: SOMERSET</li> <li>Is the administrato</li> <li>Does the administrato</li> </ul>	ator: YORK MELLON CORPORATION Strator (city, state and country): State: New Jersey or a <i>related person</i> of your firm?	hrough (f) below. If the <i>private fund</i> uses more the ely for each administrator. Country: United States	an one Yes M

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any

relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

## Marketers

## Yes No

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

• •

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer. Additional Marketer Information : 4 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

				Yes No
(b)	Is the marketer a <i>related person</i> of your firm?	2		00
(c)	Name of the marketer: EVOKE ADVISORS			
(d)	If the marketer is registered with the SEC, its 801 - 115290 and CRD Number (if any): 300856	s file number ( <i>e.g</i> ., 801-, 8-, o	nr 866-):	
(e)	Location of the marketer's office used princip	ally by the <i>private fund</i> (city, s	state and country):	
	City: LOS ANGELES	State: California	Country: United States	
				Yes No
(f)	Does the marketer market the private fund the	nrough one or more websites	?	00
(g)	If the answer to question 28.(f) is "yes," list	the website address(es):		
		No Information F	iled	
ors	n must answer "yes" whether the <i>person</i> acts similar <i>person</i> . If the answer to question 28.(a d uses. If the <i>private fund</i> uses more than one	) is "yes," respond to questio	ns (b) through (g) below for each such	n marketer the <i>private</i>
(b)	Is the marketer a <i>related person</i> of your firm?	0		0 0
(c)	Name of the marketer: HSBC PRIVATE BANK (SUISSE) SA			
(d)	If the marketer is registered with the SEC, its	s file number ( <i>e.g</i> ., 801-, 8-, o	r 866-):	
	and CRD Number (if any):			
(e)	Location of the marketer's office used princip	ally by the <i>private fund</i> (city, s	state and country):	
	City: State:		untry:	
	GENEVA	SWI	itzerland	Yes No
(f)	Does the marketer market the private fund the	nrough one or more websites	?	0 0
(a)	If the answer to question 28.(f) is "yes," list	the website address(es).		
(9)		No Information F	iled	
L				

			st complete questions (b) through (g) separate	ely for each marketer
(h)	Is the marketer a related perso	an of your firm?		Yes
				o
	Name of the marketer: MORGAN STANLEY			
	If the marketer is registered w 801 - 70103	vith the SEC, its file number ( <i>e.g.</i> ,	801-, 8-, or 866-):	
	and CRD Number (if any): 149777			
(e)	Location of the marketer's offi	ce used principally by the <i>private i</i>	fund (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	N
(f)	Does the marketer market the	e <i>private fund</i> through one or more	e websites?	Yes
		Ť		$\sim$
(g)	If the answer to question 28.(	(f) is "yes," list the website addres		
		No Info	ormation Filed	
(c)	Is the marketer a <i>related perso</i> Name of the marketer: UBS FINANCIAL SERVICES INC			Yes O
	801 - 7163 and CRD Number (if any):	vith the SEC, its file number ( <i>e.g.</i> ,	801-, 8-, or 866-):	
	801 - 7163	vith the SEC, its file number ( <i>e.g.</i> ,	801-, 8-, or 866-):	
(e)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi	ce used principally by the <i>private i</i>	<i>fund</i> (city, state and country):	
(e)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City:	ce used principally by the <i>private i</i> State:	<i>fund</i> (city, state and country): Country:	
(e)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi	ce used principally by the <i>private i</i>	<i>fund</i> (city, state and country):	Yes
(e)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN	ce used principally by the <i>private i</i> State:	<i>fund</i> (city, state and country): Country: United States	Yes
(e) (f)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN Does the marketer market the	ce used principally by the <i>private i</i> State: New Jersey	<i>fund</i> (city, state and country): Country: United States	
(e) (f)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN Does the marketer market the	ce used principally by the <i>private i</i> State: New Jersey e <i>private fund</i> through one or more (f) is "yes," list the website addres	<i>fund</i> (city, state and country): Country: United States	
(e) (f)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN Does the marketer market the	ce used principally by the <i>private i</i> State: New Jersey e <i>private fund</i> through one or more (f) is "yes," list the website addres	<i>fund</i> (city, state and country): Country: United States e websites? ss(es):	
(e) (f)	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN Does the marketer market the If the answer to question 28.(	ce used principally by the <i>private i</i> State: New Jersey e <i>private fund</i> through one or more (f) is "yes," list the website addres	<i>fund</i> (city, state and country): Country: United States e websites? ss(es):	
(e) (f) (g) TE FUN	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN Does the marketer market the If the answer to question 28.(	ce used principally by the <i>private i</i> State: New Jersey e <i>private fund</i> through one or more (f) is "yes," list the website addres	<i>fund</i> (city, state and country): Country: United States e websites? ss(es):	
(e) (f) (g) TE FUN	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN Does the marketer market the If the answer to question 28.(	ce used principally by the <i>private i</i> State: New Jersey e <i>private fund</i> through one or more (f) is "yes," list the website addres	<i>fund</i> (city, state and country): Country: United States e websites? ss(es):	Yes
(e) (f) (g) TE FUNI	801 - 7163 and CRD Number (if any): 8174 Location of the marketer's offi City: WEEHAWKEN Does the marketer market the If the answer to question 28.(	ce used principally by the <i>private i</i> State: New Jersey e <i>private fund</i> through one or more (f) is "yes," list the website addres	<i>fund</i> (city, state and country): Country: United States e websites? ss(es):	

805-1665149401

2.	Under the laws	of what state or	country is the	private fund organized:
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State:	Country:
Delaware	United States

3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):					
	Name of General Partner, Manager, Trustee, or Director					
	ELLIOTT METRON AGGREGATOR GP LLC					
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.					
	Filing Adviser/Relying Adviser Name					
	ELLIOTT INVESTMENT MANAGEMENT L.P.		_			
	EIMFL LLC					
	EIMFL SUB LLC					
	ELLIOTT MANAGEMENT CORPORATION					
	EVERGREEN COAST CAPITAL CORP.					
4.	The <i>private fund</i> (check all that apply; you must check at least one):					
4.	<ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940</li> </ul>					
	(1) qualifies for the exclusion from the definition of investment company under section $3(c)(7)$ of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section $3(c)(7)$ of the Investment Company Act of 1940					
	(2) qualities for the exclusion from the definition of investment company under section 3(c)(7) of the investment company Act of 1940					
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.					
	No Information Filed					
		Yes	s No			
6.	(a) Is this a "master fund" in a master-feeder arrangement?					
0.	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	0	$\odot$			
	No Information Filed					
	No momation riled					
		Vaa	s No			
	(c) Is this a "feeder fund" in a master-feeder arrangement?	_	_			
		0	$\odot$			
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?					
	Name of <i>private fund</i> :					
	<i>Private fund</i> identification number: (include the "805-" prefix also)					
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section	ו 7.B.(	(1)			
	for the master-feeder arrangement or reporting on the funds separately.					
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	or eac	h of			
	the feeder funds answer the following questions:					
	No Information Filed					
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a					
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it	: issue	эd			
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.					
0			s No			
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	$\odot$			
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen	۱t				
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	_	_			
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0			
		Yes	s No			
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment	0	$\odot$			

tered under the Investment During your last fiscal year, did the *private fund* invest in securities issued by investment companies regination Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?

10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other pr	rivate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 191,804,897		
<u>0w</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in organizational documents of the fund).	the	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 20		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 20%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Õ	No Ō
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 84%		
<u> Yoı</u>	ur Advisory Services		
17	(a) Are you a subadviser to this private fund?	Yes	
17.	<ul> <li>(a) Are you a subadviser to this <i>private rund</i>?</li> <li>(b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17. (a) is "no," leave this question blank.</li> </ul>	O wer to	o
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	<ul><li>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If t to question 18.(a) is "no," leave this question blank.</li></ul>		
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	$\odot$
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering		
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-460445		

Auditors

23. (a)	) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	0	0					
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?		0					
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditi you must complete questions (b) through (f) separately for each auditing firm.							
	Additional Auditor Information : 1 Record(s) Filed.							
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.							
	(b) Name of the auditing firm: GRANT THORNTON LLP							
	<ul> <li>(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):</li> <li>City:</li> <li>State:</li> <li>Country:</li> </ul>							
	NEW YORK         New York         United States							
		Yes	No					
	(d) Is the auditing firm an independent public accountant?							
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?							
	If yes, Public Company Accounting Oversight Board-Assigned Number: 248							
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0					
(h)	investors? ) Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified • Yes • No • Report Not Yet Received If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	·						
<u>Prime</u>	Broker	Υe	es No					
24. (a)	) Does the <i>private fund</i> use one or more prime brokers?	c						
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.							
	No Information Filed							
Custoc	lian							
		Ye	es No					
25. (a)	) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	o privat	~~~					
	Additional Custodian Information : 1 Record(s) Filed.							
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.							
	(b) Legal name of custodian: WELLS FARGO BANK, N.A.							
	(c) Primary business name of custodian: WELLS FARGO BANK, N.A.							

Yes No

	(d) The locat	ion of the custodian's office	e responsible for <i>custody</i> of the <i>pr</i>	<i>ivate fund's</i> assets (city, state and co	untry):
	City:		State:	Country:	
	SAN FRAM	ICISCO	California	United States	Yes No
	(e) Is the cu	stodian a <i>related person</i> of	your firm?		0 0
	(f) If the cus	stodian is a broker-dealer. r	provide its SEC registration numbe	er (if anv):	
	-				
	CRD Num	ber (if any):			
			ler, or is a broker-dealer but does	not have an SEC registration number	er, provide its <i>legal entity</i>
	<i>identifier</i> KB1H1DS	(if any) PRFMYMCUFXT09			
L	<u> </u>				
dminist	trator				
. (a)	Does the <i>privat</i>	<i>e fund</i> use an administrator	r other than your firm?		Yes No
	If the answer to	o question 26.(a) is "yes," r	·	(f) below. If the <i>private fund</i> uses mo	
	Additional Adr	ninistrator Information : 7	1 Record(s) Filed.		
			" respond to questions (b) throug ions (b) through (f) separately for	yh (f) below. If the <i>private fund</i> uses i <sup>-</sup> each administrator.	more than one
	(b) Name of	administrator:			
	HARMONI	C FUND SERVICES			
	(c) Location	of administrator (city, state	e and country):		
	City:		State:	Country:	
	GRAND C	AYMAN		Cayman Islands	Yes No
	(d) Is the ad	ministrator a related person	of your firm?		0 0
	(e) Does the	administrator prepare and	send investor account statement	ts to the <i>private fund's</i> investors?	
	⊙Yes (p	rovided to all investors) ${f O}$	Some (provided to some but not a	all investors) ${igcar_{O}}$ No (provided to no ir	nvestors)
		•		vestor account statements to the (re	
	Investors		ments are not sent to the (rest of	the) <i>private fund's</i> investors, respon	d not applicable.
Duri	ng your last fise	cal year, what percentage (	of the <i>private fund's</i> assets (by val	lue) was valued by a <i>person</i> , such as	an administrator, that is not
your 0%	related person?	)			
	ude only those a	assets where (i) such perso	on carried out the valuation proce	dure established for that asset, if any	y, including obtaining any
	•	nd (ii) the valuation used fo e valuation determined by s		ns, redemptions or distributions, and	fee calculations (including
kete	rs				
(2)	Doos the privat	o funduise the convision of a	someone other than you or your	mployacs for markating purpassed	Yes No
				employees for marketing purposes?	advisor or other solicitor or
:	similar <i>person</i> . I	f the answer to question 28	8.(a) is "yes," respond to question	sultant, finder, introducer, municipal ns (b) through (g) below for each su uestions (b) through (g) separately f	ch marketer the <i>private fund</i>
			No Informatic	on Filed	

<u>Infor</u>	mation About the Private Fund		
1.	(a) Name of the <i>private fund</i> : GINSBERG AGGREGATOR LP		
	(b) <i>Private fund</i> identification number: (include the "805-" prefix also)		
	805-8512276986		
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	GINSBERG AGGREGATOR GP LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filing Adviser/Relying Adviser Name		
	ELLIOTT INVESTMENT MANAGEMENT L.P.		
	EIMCT LLC		
	EIMFL LLC		
	EIMFL SUB LLC		
	ELLIOTT MANAGEMENT CORPORATION		
	EVERGREEN COAST CAPITAL CORP. The <i>private fund</i> (check all that apply; you must check at least one):		
	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1		
	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1	940	
5.	The <i>private fund</i> (check all that apply; you must check at least one):  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) Qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) Qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) Qualifies for the exclusion from the definition of investment company act of 1 (2) Qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (3) Qualifies for the exclusion from the definition of investment company act of 1 (3) Qualifies for the exclusion from the excl		No
5.	The <i>private fund</i> (check all that apply; you must check at least one): <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> <li>No Information Filed</li> </ul> (a) Is this a "master fund" in a master-feeder arrangement?	940	No
5.	<ul> <li>The <i>private fund</i> (check all that apply; you must check at least one):</li> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed</li> <li>(a) Is this a "master fund" in a master-feeder arrangement?</li> <li>(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>?</li> </ul>	940 Yes	_
5.	The <i>private fund</i> (check all that apply; you must check at least one): <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.</li> <li>No Information Filed</li> </ul> (a) Is this a "master fund" in a master-feeder arrangement?	940 Yes	_
5.	<ul> <li>The <i>private fund</i> (check all that apply; you must check at least one):</li> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed</li> <li>(a) Is this a "master fund" in a master-feeder arrangement?</li> <li>(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>?</li> </ul>	940 Yes	•
5.	<ul> <li>The <i>private fund</i> (check all that apply; you must check at least one):</li> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed</li> <li>(a) Is this a "master fund" in a master-feeder arrangement?</li> <li>(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>?</li> </ul>	940 Yes O	0
5.	<ul> <li>The <i>private fund</i> (check all that apply: you must check at least one):</li> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(a) Is this a "master fund" in a master-feeder arrangement?</li> <li>(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? No Information Filed</li> </ul>	940 Yes Yes	© No
5.	<ul> <li>The private fund (check all that apply; you must check at least one): <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(3) Is this a "master fund" in a master-feeder arrangement?</li> <li>(4) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?</li> </ul></li></ul>	940 Yes Yes	© No
5.	<ul> <li>The private fund (check all that apply: you must check at least one): <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(3) Is this a "feeder fund" in a master-feeder arrangement?</li> <li>(4) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund identification number:</li> </ul></li></ul>	940 Yes O	© No ⊙
5.	<ul> <li>The private fund (check all that apply: you must check at least one): <ul> <li>(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1</li> <li>(3) Is this a "master fund" in a master-feeder arrangement?</li> <li>(b) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund:</li> <li>(c) Is this a "feeder fund" in a master-feeder arrangement?</li> <li>(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund:</li> <li>(include the "805-" prefix also)</li> </ul> </li> <li>NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filling a single Schedule D, Single Schedule D, Single Schedule D, Single Schedu</li></ul>	940 Yes O Yes	• No • (1)

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	$\odot$
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen	t	
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	~
		0	0
		Yes	No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment	0	o
	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Ň	Č
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund ⊙ private equity fund O real estate fund O securitized asset fund O venture capital fund O Other priva	ite fur	nd:
	NOTE: For definitions of these fund turner places and instruction ( of the instructions to Dart 1)		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the private fund:		
	\$ 149,844,461		
<u>0v</u>	<u>/nership</u>		
12	Minimum investment commitment required of an investor in the <i>private fund</i> :		
12.	\$ 0		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the	Э	
	organizational documents of the fund).		
13	Approximate number of the <i>private fund's</i> beneficial owners:		
13.	7		
14.	What is the approximate percentage of the private fund beneficially owned by you and your related persons:		
	0%		
1 Г	(a) What is the expressioners processing of the private fundhere fisially express (in the expression) by funds of funds.		
15.	<ul> <li>(a) What is the approximate percentage of the private fund beneficially owned (in the aggregate) by funds of funds:</li> <li>100%</li> </ul>		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment	0	0
	Company Act of 1940, are sales of the fund limited to qualified clients?		
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 73%		
Yo	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	$\odot$
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	©
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the		
	to question 18.(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	$\odot$
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
20.	0%		
Pri	vate Offering		

	yes, pr	ovide the <i>private fund's</i> For	• • •	nation Filed		
3. SERV	/ICE PR	OVIDERS				
<u>Audito</u>	ors				Υe	es No
23. <b>(</b> a	) (1) A	re the <i>private fund's</i> financi	al statements subject to an annual au	udit?	e	0
	(2) If	the answer to question 23	(a)(1) is "yes," are the financial state	ements prepared in accordance with U.S. GAAP?	G	0
		•	)(1) is "yes," respond to questions (b) )) through (f) separately for each audi	) through (h) below. If the <i>private fund</i> uses more than one audi ting firm.	ting fir	m,
	Addi	itional Auditor Informatio	n : 1 Record(s) Filed.			
		•	(a)(1) is "yes," respond to questions ( lete questions (b) through (f) separate	(b) through (h) below. If the <i>private fund</i> uses more than one ely for each auditing firm.		
	(b)	Name of the auditing firm MARCUM LLP	:			
	(c)	The location of the auditir	ng firm's office responsible for the <i>priv</i>	ate fund's audit (city, state and country):		
		City:	State:	Country:		
		NEW YORK	New York	United States	Yes	No
	(d)	Is the auditing firm an inc	lependent public accountant?		•	0
	(e)	Is the auditing firm regist	ered with the Public Company Accoun	ting Oversight Board?	$\odot$	0
		If yes, Public Company Ac 688	ccounting Oversight Board-Assigned N	umber:		
	(f)	If "yes" to (e) above, is the accordance with its rules?		pection by the Public Company Accounting Oversight Board in	o	0
(g		•	nancial statements for the most recen	tly completed fiscal year distributed to the <i>private fund's</i>	Ye	es No
(h	inves		y the auditing firm for the <i>private func</i>	since your last annual updating amendment contain unqualified	oninic	ns?
(I		es <sup>O</sup> No <sup>O</sup> Report Not Yei		i since your last annual apaating amenament contain anquaimea	opinio	/13.
				dment to your Form ADV to update your response when the report	t is ava	ilable.
<u>Prime</u>	Broker	2				
24. (a	) Does	the private fund use one o	r more prime brokers?		Ye	es No
,	If the	e answer to question 24.(a)	) is "yes," respond to questions (b) th	rough (e) below for each prime broker the <i>private fund</i> uses. If (b) through (e) separately for each prime broker.		~ ~
			No Infc	ormation Filed		
Custo	dian					
25. (a	) Does	the <i>private fund</i> use any ci	ustodians (including the prime brokers	s listed above) to hold some or all of its assets?	_	es No
_J. (0	, 2003		and a starting the prime brokers		•	0

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information : 1 Record(s) Filed.

(d)	Legal name of custodian: WELLS FARGO BANK, N.A.				
(c)	Primary business name of custodian: WELLS FARGO BANK, N.A.				
(d)	The location of the custodian's office re	esponsible for <i>custody</i> of the <i>µ</i>	private fund's assets (	city, state and country):	
	City: SAN FRANCISCO	State: California	Count United	ry: d States	
(e)	Is the custodian a <i>related person</i> of you	ır firm?			
(f)	If the custodian is a broker-dealer, pro-	vide its SEC registration numb	per (if any):		
	- CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, <i>identifier</i> (if any)	or is a broker-dealer but doe	es not have an SEC re	gistration number, prov	ride its <i>legal ent</i>
	KB1H1DSPRFMYMCUFXT09				
f the	er s the <i>private fund</i> use an administrator ot e answer to question 26.(a) is "yes," res t complete questions (b) through (f) sepa	pond to questions (b) through		<i>vate fund</i> uses more than	n one administr
Does f the must	s the <i>private fund</i> use an administrator ot e answer to question 26.(a) is "yes," res	pond to questions (b) through arately for each administrator		<i>vate fund</i> uses more than	n one administr
Does f the must <b>Add</b> If t	the <i>private fund</i> use an administrator ot e answer to question 26.(a) is "yes," res complete questions (b) through (f) sepa	pond to questions (b) through arately for each administrator record(s) Filed. espond to questions (b) throu	ugh (f) below. If the p	<i>private fund</i> uses more th	
Does f the must <b>Add</b> If t adi	the <i>private fund</i> use an administrator ot e answer to question 26.(a) is "yes," res complete questions (b) through (f) sepa <b>itional Administrator Information : 1 R</b>	pond to questions (b) through arately for each administrator record(s) Filed. espond to questions (b) throu	ugh (f) below. If the p	<i>private fund</i> uses more th	
Does f the must Add If t adu (b)	the <i>private fund</i> use an administrator ot e answer to question 26.(a) is "yes," res complete questions (b) through (f) sepa <b>itional Administrator Information : 1 R</b> the answer to question 26.(a) is "yes," re ministrator, you must complete question Name of administrator:	pond to questions (b) through arately for each administrator record(s) Filed. espond to questions (b) throu s (b) through (f) separately fo	ugh (f) below. If the p	<i>private fund</i> uses more th	
Does f the must Add If t adu (b)	the <i>private fund</i> use an administrator of e answer to question 26. (a) is "yes," res t complete questions (b) through (f) sepa <b>itional Administrator Information : 1 R</b> the answer to question 26. (a) is "yes," re ministrator, you must complete question Name of administrator: HARMONIC FUND SERVICES Location of administrator (city, state ar City:	pond to questions (b) through arately for each administrator record(s) Filed. espond to questions (b) throu s (b) through (f) separately fo	ugh (f) below. If the por each administrator. Country:	<i>rivate fund</i> uses more th	
Does f the must Add If t adu (b)	the <i>private fund</i> use an administrator of e answer to question 26. (a) is "yes," res complete questions (b) through (f) sepa itional Administrator Information : 1 R the answer to question 26. (a) is "yes," re ministrator, you must complete question Name of administrator: HARMONIC FUND SERVICES Location of administrator (city, state ar	pond to questions (b) through arately for each administrator ecord(s) Filed. espond to questions (b) throu s (b) through (f) separately for nd country):	ugh (f) below. If the p or each administrator.	<i>rivate fund</i> uses more th	nan one
Does f the must Add If t adu (b) (c)	the <i>private fund</i> use an administrator of e answer to question 26. (a) is "yes," res t complete questions (b) through (f) sepa <b>itional Administrator Information : 1 R</b> the answer to question 26. (a) is "yes," re ministrator, you must complete question Name of administrator: HARMONIC FUND SERVICES Location of administrator (city, state ar City:	pond to questions (b) through arately for each administrator ecord(s) Filed. espond to questions (b) throu s (b) through (f) separately for nd country): State:	ugh (f) below. If the por each administrator. Country:	<i>rivate fund</i> uses more th	
Does f the must Add If t adu (b) (c) (d)	the <i>private fund</i> use an administrator of e answer to question 26. (a) is "yes," res t complete questions (b) through (f) sepa <b>itional Administrator Information : 1 R</b> the answer to question 26. (a) is "yes," re ministrator, you must complete question Name of administrator: HARMONIC FUND SERVICES Location of administrator (city, state ar City: GRAND CAYMAN	pond to questions (b) through arately for each administrator ecord(s) Filed. espond to questions (b) throus s (b) through (f) separately for and country): State:	ugh (f) below. If the p or each administrator. Country: Cayman Islands	d's investors?	nan one Ya

0%

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

### Item 8 Participation or Interest in Client Transactions

In this Item, we request information about your participation and interest in your *clients*' transactions. This information identifies additional areas in which conflicts of interest may occur between you and your *clients*. Newly-formed advisers should base responses to these questions on the types of participation and interest that you expect to engage in during the next year.

Like Item 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.

Pro	oprietary Interest in <i>Client</i> Transactions			
А.	Do you or any <i>related person</i> :	Y€	es N	١o
	(1) buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	C	0	•
	(2) buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	0	0	•
	(3) recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprie (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	tary C	2	o

Sal	es Ir	nterest in Client Transactions					
В.	Do	you or any <i>related person</i> :	Yes	No			
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	O			
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	0	O			
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	©			
In۱	vestm	nent or Brokerage Discretion					
C.	Do	Do you or any <i>related person</i> have <i>discretionary authority</i> to determine the:					
	(1)	securities to be bought or sold for a <i>client's</i> account?	$\odot$	$\circ$			
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	$\odot$	0			
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	$\odot$	$\circ$			
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	$\odot$	0			
D.	lf y	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	$\odot$			
E.	Do	you or any related person recommend brokers or dealers to clients?	0	$oldsymbol{\circ}$			
F.	lf y	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	0			
G.	(1)	Do you or any related person receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	$\odot$	0			
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	$\odot$	0			
H.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	0	$\odot$			
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	0	©			

I. Do you or any *related person*, including any *employee*, directly or indirectly, receive compensation from any *person* (other than you or any *related operson*) for *client* referrals?

In your response to Item 8.1., do not include the regular salary you pay to an employee.

In responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.

tem	9	Custody
terri	-	Custouy

In this Item, we ask you whether you or a *related person* has *custody* of *client* (other than *clients* that are investment companies registered under the Investment Company Act of 1940) assets and about your custodial practices.

Α.	(1)	Do you have <i>custody</i> of any advisory <i>clients</i> ':	Yes	No
		(a) cash or bank accounts?	$\odot$	0
		(b) securities?	$\odot$	0

If you are registering or registered with the SEC, answer "No" to Item 9.A. (1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.

(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which you have *custody*:

U.S. Dollar Amount	Total Number of Clients
(a) \$ 0	(b) 0

If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response to Item 9.B.(2).

В.	(1)	In connection with advisory services you provide to clients, do any of your related persons have custody of any of your advisory clients':	Yes	No
		(a) cash or bank accounts?	$\odot$	$\circ$
		(b) securities?	$\odot$	$\circ$

You are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).

(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which your *related persons* have *custody*:

 U.S. Dollar Amount
 Total Number of Clients

 (a) \$ 97,371,716,804
 (b) 38

C. If you or your *related persons* have *custody* of *client* funds or securities in connection with advisory services you provide to *clients*, check all the following that apply:

(1) A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.  $\Box$ 

(2) An *independent public accountant* audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools.

(3) An independent public accountant conducts an annual surprise examination of client funds and securities.

(4) An *independent public accountant* prepares an internal control report with respect to custodial services when you or your *related persons* are qualified custodians for *client* funds and securities.

If you checked Item 9.C. (2), C. (3) or C. (4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or prepare an internal control report. (If you checked Item 9.C. (2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provided this information with respect to the private funds you advise in Section 7.B. (1) of Schedule D).

Γ

D.	Do you or your related person(s) act as qualified custodians for your clients in connection with advisory services you provide to clients?	Yes	No	
	(1) you act as a qualified custodian	$\circ$	$\odot$	
	(2) your <i>related person(s)</i> act as qualified custodian(s)	0	$\odot$	

If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

E. If you are filing your *annual updating amendment* and you were subject to a surprise examination by an *independent public accountant* during your last fiscal year, provide the date (MM/YYYY) the examination commenced:

F. If you or your related persons have custody of client funds or securities, how many persons, including, but not limited to, you and your related persons, act as qualified custodians for your clients in connection with advisory services you provide to clients? 38

SECTION 9.C. Independent Public Accountant

No Information Filed

### Item 10 Control Persons

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

A. Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?

Yes No

0 0

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

SECTION 10.B. Control Person Public Reporting Companies

No Information Filed

#### Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your *advisory affiliates* are: (1) all of your current *employees* (other than *employees* performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any *person* performing similar functions); and (3) all *persons* directly or indirectly *controlling* you or *controlled* by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your *advisory affiliates* are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

		Yes	No				
Do	Do any of the events below involve you or any of your <i>supervised persons</i> ?						
For	"yes" answers to the following questions, complete a Criminal Action DRP:						
А.	In the past ten years, have you or any advisory affiliate:	Yes	No				
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	0	$\odot$				
	(2) been <i>charged</i> with any <i>felony</i> ?	0	$\odot$				

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are currently pending.

B. In the past ten years, have you or any advisory affiliate:

(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving:

investments or an *investment-related* business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?

(2) been *charged* with a *misdemeanor* listed in Item 11.B.(1)?

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending.

For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	$\odot$
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	$\odot$
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	o
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	0	$\odot$
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	$\odot$
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	$\odot$
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	$\odot$	$\circ$
	(3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	$\odot$
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	$\odot$	$\circ$
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	⊙
E.	Has any self-regulatory organization or commodities exchange ever:		
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	$\odot$
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	$\odot$	0
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	$\odot$
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	⊙
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	o
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	o	0
For	"yes" answers to the following questions, complete a Civil Judicial Action DRP:		
H.	(1) Has any domestic or foreign court:	Yes	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	$\odot$	$\circ$
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	$\odot$
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?	0	⊙
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	o

### Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a person, whether through ownership of securities, by

 $\circ$   $\circ$ 

contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Ye	s No
Α.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	$\circ$
lf	s," you do not need to answer Items 12.B. and 12.C.		
B.	Do you:		
	1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV of \$25 million or more on the last day of its most recent fiscal year?	) 0	0
	2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
C.	Are you:		
	<ol> <li>controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?</li> </ol>	0	0
	<ol> <li>controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?</li> </ol>	e o	0

## Schedule A

#### **Direct Owners and Executive Officers**

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? Yes No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

FULL LEGAL NAME (Individuals:	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No. If None: S.S. No.
Last Name, First Name, Middle			Status Acquired	Code	Person		and Date of Birth, IRS Tax
Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
ELLIOTT CAPITAL ADVISORS, L.P.	DE	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL	DE	LIMITED PARTNER	09/2019	D	N	Ν	
ADVISORS GP LLC							
ELLIOTT INVESTMENT	DE	GENERAL PARTNER	09/2019	NA	Y	N	xxx-xx-xxxx
MANAGEMENT GP LLC							
GREENBERG, ELLIOT	1	VICE PRESIDENT	09/2019	NA	N	N	1250067
SINGER, PAUL, ELLIOTT	1	CO-CHIEF EXECUTIVE OFFICER, CO-	09/2019	NA	Y	Ν	872317
		CHIEF INVESTMENT OFFICER,					
		PRESIDENT					
JOEL, EDWARD, THOMAS	1	CHIEF COMPLIANCE OFFICER	09/2019	NA	Υ	Ν	1878527
POLLOCK, JONATHAN, D	1	CO-CHIEF EXECUTIVE OFFICER, CO-	09/2019	NA	Y	N	1619709
		CHIEF INVESTMENT OFFICER, CHIEF					
		TRADING OFFICER					<u> </u>
SHOHET, ZION, MOSHE	1	CHIEF OPERATING OFFICER, VICE	09/2019	NA	Υ	N	5730424
		PRESIDENT					

ZABEL, RICHARD, BENJAMIN		CHIEF LEGAL OFFICER, GENERAL COUNSEL, VICE PRESIDENT	09/2019	NA	Y	N	6582384
MAGNAN, JEAN-YVES	I	CHIEF FINANCIAL OFFICER, VICE PRESIDENT	09/2019	NA	Y	N	7242973

## Schedule B

#### Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317

- 1 H-									
	PESFT IIH EA LLC	DE	ELLIOTT CAPITAL	LIMITED PARTNER	06/2023	С	N	Ν	
			ADVISORS, L.P.						

#### Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

THE REGULATORY ASSETS UNDER MANAGEMENT PROVIDED IN ITEMS 5.D. AND 5.F., THE GROSS ASSETS FIGURES PROVIDED IN SECTION 7.B.(1) AND THE DOLLAR AMOUNTS PROVIDED IN ITEM 9 ARE BASED ON UNAUDITED FIGURES AS OF DECEMBER 31, 2023. AS OF DECEMBER 31, 2023, THE FILING ADVISER HAD APPROXIMATELY US\$68,571,845,868 OF NET ASSETS UNDER MANAGEMENT ON A DISCRETIONARY BASIS (EXCLUDING CERTAIN DEFERRED AMOUNTS AND ANY UNDRAWN CAPITAL COMMITMENTS). THE WEBSITE FOR THE FILING ADVISER CONTAINS A LINK TO "RELATED SITES." SUCH RELATED SITES LINK INCLUDES LINKS TO INVESTMENT RELATED WEBSITES AND SOCIAL MEDIA SITES FOR WHICH THE FILING ADVISER AND/OR THE RELYING ADVISERS (COLLECTIVELY, "ELLIOTT") CONTROL THE CONTENT. WITH RESPECT TO THE RESPONSE TO ITEMS 5.L.(1)(D) AND 5.L.(2), (I) ELLIOTT PARTICIPATES IN CERTAIN "CAPITAL INTRODUCTION" PROGRAMS (SEE THE DISCLOSURE REGARDING "CAPITAL INTRODUCTION" PROGRAMS SET FORTH IN THE FILING ADVISER'S FORM ADV PART 2A, ITEM 12 UNDER THE HEADING "BROKERAGE FOR CLIENT REFERRALS"), AND (II) ELLIOTT HAS ENTERED INTO ARRANGEMENTS WITH CERTAIN THIRD PARTIES PURSUANT TO WHICH SUCH THIRD PARTIES MAY RECOMMEND THAT THEIR CLIENTS INVEST IN ONE OR MORE OF ELLIOTT'S ADVISORY CLIENTS (DIRECTLY OR THROUGH VEHICLES ESTABLISHED BY SUCH THIRD PARTIES). SUCH THIRD PARTIES MAY BE DEEMED TO HAVE MADE ENDORSEMENTS OF ELLIOTT. ELLIOTT DOES NOT COMPENSATE SUCH THIRD PARTIES FOR ANY ENDORSEMENTS; HOWEVER, SUCH THIRD PARTIES (AND/OR THEIR AFFILIATES) CURRENTLY HAVE ONE OR MORE BUSINESS RELATIONSHIPS WITH ELLIOTT AND/OR ITS CLIENTS, INCLUDING, WITHOUT LIMITATION, ACTING AS PRIME BROKER, CUSTODIAN, BROKER, INVESTMENT BANKING ADVISOR, LENDER, DEALER AND/OR CLEARING AGENT. OF THE ENTITIES IDENTIFIED IN RESPONSE TO QUESTION 3(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EACH OF ELLIOTT ASSOCIATES, L.P. ("EALP"), ELLIOTT INTERNATIONAL, L.P. ("EILP"), THE CIC INTERMEDIATE PARTNERSHIPS (AS DEFINED BELOW), ELLIOTT ALTO CO-INVESTOR AGGREGATOR L.P. ("ALTO") AND ELLIOTT METRON CO-INVESTOR AGGREGATOR L.P. ("METRON"), ONLY THE FILING ADVISER MANAGES EALP, EILP, THE CIC INTERMEDIATE PARTNERSHIPS, ALTO AND METRON; THE OTHER ENTITIES ARE RELYING ADVISERS THAT PROVIDE SERVICES EITHER DIRECTLY OR INDIRECTLY TO EALP, EILP, THE CIC INTERMEDIATE PARTNERSHIPS, ALTO AND METRON PURSUANT TO SERVICE AGREEMENTS. NONE OF THE ENTITIES IDENTIFIED IN RESPONSE TO QUESTION 3(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO GINSBERG AGGREGATOR LP ("GINSBERG") SPONSOR OR MANAGE GINSBERG; RATHER, THEY PROVIDE SERVICES EITHER DIRECTLY OR INDIRECTLY TO GINSBERG PURSUANT TO SERVICE AGREEMENTS. THE FILING ADVISER'S RESPONSE TO QUESTION 14 OF 7.B.(1) OF SCHEDULE D WITH RESPECT TO EILP INCLUDES DEFERRED COMPENSATION. WITH RESPECT TO THE RESPONSE TO QUESTIONS 18(A) AND 18(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EILP, ELLIOTT HAS ENGAGED BLACKROCK FINANCIAL MANAGEMENT, INC. TO PROVIDE CASH MANAGEMENT SERVICES BY INVESTING IN U.S. TREASURY OBLIGATIONS IN ACCORDANCE WITH SPECIFIC PARAMETERS IMPOSED BY ELLIOTT. WITH RESPECT TO THE RESPONSE TO QUESTION 26 OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EALP AND EILP, NOTE THAT HARMONIC FUND SERVICES ("HARMONIC") SERVES AS THE REGISTRAR AND TRANSFER AGENT FOR EACH OF EALP AND EILP. IN ADDITION, THE BANK OF NEW YORK MELLON ("BNYM") HAS BEEN RETAINED TO PROVIDE SHADOW FULL ADMINISTRATION SERVICES FOR EALP AND EILP, INCLUDING MAINTAINING THE CUSTOMARY FINANCIAL AND ACCOUNTING BOOKS AND RECORDS IN SUPPORT THEREOF AND DAILY RECONCILIATION OF POSITIONS AND TRANSACTIONS. IN RESPONSE TO QUESTION 27 OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EALP AND EILP, AS WELL AS ELLIOTT INTERMEDIATE CO-INVESTMENT I L.P., ELLIOTT INTERMEDIATE CO-INVESTMENT II L.P., ELLIOTT INTERMEDIATE CO-INVESTMENT III L.P., ELLIOTT CIC 2 INTERMEDIATE A L.P., ELLIOTT CIC 2 INTERMEDIATE B L.P. AND ELLIOTT CIC 2 INTERMEDIATE C L.P. (TOGETHER, THE "CIC INTERMEDIATE PARTNERSHIPS"), THE FILING ADVISER REPORTED THAT DURING 2023, 0% OF THE ASSETS OF EALP, EILP AND THE CIC INTERMEDIATE PARTNERSHIPS WERE VALUED BY A PERSON THAT IS NOT A RELATED PERSON OF THE FILING ADVISER. NONETHELESS, DURING 2023: BNYM, KROLL (FORMERLY BRANDED AS DUFF & PHELPS) ("KROLL") AND EMPIRE VALUATION CONSULTANTS ("EMPIRE") PROVIDED CERTAIN PRICE VERIFICATION AND/OR POSITION AND TRANSACTION RECONCILIATION SERVICES WITH RESPECT TO THE PORTFOLIOS OF EALP AND EILP; AND KROLL PROVIDED CERTAIN PRICE VERIFICATION SERVICES WITH RESPECT TO THE PORTFOLIOS OF THE CIC INTERMEDIATE PARTNERSHIPS. THE SERVICES PROVIDED BY HARMONIC, BNYM, KROLL AND EMPIRE ARE MORE FULLY DESCRIBED IN THE FILING ADVISER'S FORM ADV PART 2A, ITEM 6. THE OWNERSHIP INFORMATION FOR HAMBLEDON, INC. AS REPORTED ON SCHEDULE R FOR EACH OF HAMBLEDON, INC. AND HAMBLEDON MANAGEMENT LLC, IS LISTED BY CLASS OF SHARES. THE FILING ADVISER'S AND ITS RELYING ADVISERS' CLIENTS ARE EALP, EILP, ELLIOTT INTERNATIONAL LIMITED ("EIL" AND TOGETHER WITH EALP AND EILP, THE "ELLIOTT FUNDS") AND OTHER CO-INVESTMENT VEHICLES FORMED TO INVEST ALONGSIDE THE ELLIOTT FUNDS, INCLUDING GINSBERG, ALTO AND METRON. THE OTHER CLIENTS LISTED IN RESPONSE TO ITEMS 5.C., 5.D., 5.F. AND 9.B.(2) ARE ENTITIES ASSOCIATED WITH CO-INVESTMENT COMMITMENTS (THE "CO-INVESTMENT COMMITMENTS") THAT INVEST ALONGSIDE THE ELLIOTT FUNDS IN CERTAIN U.S., CANADIAN AND EUROPEAN PRIVATE EQUITY AND PRIVATE CREDIT INVESTMENTS. THE FILING ADVISER MANAGES THE CO-INVESTMENT COMMITMENTS. EACH PARTICIPANT IN THE CO-INVESTMENT COMMITMENTS (EACH, A "PARTICIPANT") HAS EXECUTED A COMMITMENT AGREEMENT PURSUANT TO WHICH EACH PARTICIPANT HAS COMMITTED TO INVEST IN THE CO-INVESTMENT OPPORTUNITIES DESCRIBED ABOVE THROUGH VARIOUS ENTITIES THAT IN TURN INVEST SUBSTANTIALLY ALL OF THEIR INVESTABLE ASSETS IN THE APPLICABLE CIC INTERMEDIATE PARTNERSHIPS. THE FILING ADVISER DOES NOT CONSIDER THE CIC INTERMEDIATE PARTNERSHIPS TO BE FUNDS, BUT RATHER VIEWS THE STRUCTURES AS A SERIES OF UNRELATED CO-INVESTMENTS ALONGSIDE THE ELLIOTT FUNDS. HOWEVER, IN AN ABUNDANCE OF CAUTION, THE FILING ADVISER HAS COMPLETED SECTION 7.B.(1) OF SCHEDULE D FOR EACH CIC INTERMEDIATE PARTNERSHIP. SUBJECT TO THE DISCLOSURES ABOVE REGARDING THE FILING ADVISER'S AND ITS RELYING ADVISERS' CLIENTS, WITH RESPECT TO THE RESPONSES TO ITEMS 5.C.(2), 5.D.(F), 5.F.(2)(D), 5.F.(2)(F) AND 9.B.(2)(B), THE FILING ADVISER HAD A TOTAL OF 38 CLIENTS AS OF THE FILING DATE OF THIS FORM ADV, PART 1A. FOR THE AVOIDANCE OF DOUBT, THE NOTICE FILING FOR THE STATE OF FLORIDA PURSUANT TO ITEM 2.C. APPLIES TO THE FILING ADVISER AND TO EIMFL LLC AND EIMFL SUB LLC, WHICH ARE RELYING ADVISERS INCLUDED IN SCHEDULE R.

#### Schedule R

## SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

- A. Your full legal name: ELLIOTT MANAGEMENT CORPORATION
- B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.
- C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

				No Information Filed								
	L											
	You a	lo not have to include the	names or jurisdictions of	the filing adviser or other relvina	adviser(s) in response to this Section 1.C.							
	lf you	u currently have, or ever	had, a number ("CRD Nu		<i>CRD</i> system or by the IARD system (other than	the <i>filing</i>						
	1566	<i>er's CRD</i> number), your ( 5 <b>01</b>	<i>LRD</i> humber:									
	No Information Filed											
	If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).											
E.	Princi	ipal Office and Place of Bu	siness									
	(1)	Address (do not use a F	P.O. Box):									
		Same as the filing ad	lviser.									
		Number and Street 1: 40 WEST 57TH STREET		Number and Street 2:								
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10019							
		If this address is a priva	ate residence, check this	box: 🗖								
	(2)	Days of week that you Monday - Friday C	-	ss at your <i>principal office and pla</i>	nce of business:							
		Normal business hours 8:30AM-5:30PM	at this location:									
	(3)	Telephone number at tl (212) 974-6000	his location:									
	(4)	Facsimile number at this 212-478-2476	s location, if any:									
F.	Mailir	ng address, if different fro	om your <i>principal office a</i>	nd place of business address:								
	🗖 Sa	ame as the <i>filing adviser</i> .										
	Num	ber and Street 1:		Number and Street 2:								
	City:	St	tate:	Country:	ZIP+4/Postal Code:							
	lf th	is address is a private re	esidence, check this box:									
G.		de your <i>Legal Entity Iden</i> WJ4TBNAH1YMQM073	<i>tifier</i> if you have one:									
	A lega identi		que number that compar	nies use to identify each other ir	n the financial marketplace. You may not have a <i>l</i>	egal entity						
H.		u have Central Index Key Number	v numbers assigned by th	e SEC ("CIK Numbers"), all of y	our CIK numbers:							
	1048	3445										
<b>0</b> = -												
		2 SEC Registration	(and you) determine wh	ether you are eligible to registe	r with the SEC							
кеsp	UISES	s to this section help us	(and you) determine wh	emen you are engible to registe								

A. To be a *relying adviser*, you must be independently eligible to register (or remain registered) with the SEC. You must check **at least one** of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to

each of these items.

You (the relying adviser):

- (1) are a large advisory firm that either:
  - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
  - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
  - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
  - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- (4) have your principal office and place of business outside the United States;
- (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;
- (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.
- If you are submitting your annual updating amendment, you must make this representation:
- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

#### **SECTION 3 Form of Organization**

#### A. How are you organized?

- Corporation
- O Sole Proprietorship
- o Limited Liability Partnership (LLP)
- O Partnership
- Limited Liability Company (LLC)
- C Limited Partnership (LP)
- O Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
   State Country
   Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.
SECTION 4.A. Direct Owners and Executive Officers
In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.
(1) Section 4.A. asks for information about your direct owners and executive officers.
(2) Direct Owners and Executive Officers. List below the names of:
(a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
Direct owners include any <i>person</i> that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a <i>person</i> beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
(c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
(d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
<ul> <li>(e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed,</li> <li>5% or more of your capital, and (ii) if managed by elected managers, all elected managers.</li> </ul>
(3) Do you have any indirect owners to be reported on Section 4.B. below? <ul> <li>Yes</li> <li>No</li> </ul>
(4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
(5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
(6) Ownership codes are:NA - less than 5%B - 10% but less than 25%D - 50% but less than 75%A - 5% but less than 10%C - 25% but less than 50%E - 75% or more
(7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
(c) Complete each column.
Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I			Ownership Code	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ELLIOTT CAPITAL ADVISORS, L.P.	DE	SHAREHOLDER	06/1997	E	Y	N	292232
SINGER, PAUL, ELLIOTT	I	PRESIDENT, DIRECTOR	10/1994	NA	Y	N	872317

## SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

- (6) Ownership codes are:
- C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	-	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	C	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317

#### SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

Yes No

0 0

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

#### SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

A. Your full legal name: ELLIOTT ADVISORS GP LLC

B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.

C.	List a	any other business na	mes and the jurisdictio	ns in which you	u use them. Complete th	nis question for each other business name.	
					No Information Filed		
	You d	do not have to include	the names or jurisdiction	ns of the filing a	ndviser or other relying ad	dviser(s) in response to this Section 1.C.	
D.	-	er's CRD number), you		2D Number") as	signed by the <i>FINRA's C</i>	<i>RD</i> system or by the IARD system (other tha	In the <i>filing</i>
					No Information Filed		
	lf you advis		ımber, skip this Section	1.D. Do not pro	ovide the CRD number of	one of your officers, employees, or affiliates (i	ncluding the filing
E.	Princ	ipal Office and Place of	Business				
	(1)	Address (do not use	a P.O. Box):				
		Same as the <i>filing</i>	adviser.				
		-			<b>N N N</b>		
		Number and Street 1 360 S. ROSEMARY AV			Number and Street 18TH FLOOR	2:	
		City:		State:	Country:	ZIP+4/Postal Code:	
		WEST PALM BEACH		Florida	United States	33401	
		If this address is a p	rivate residence, check	this box: 🗖			
	(2)	Days of week that yo Monday - Friday		isiness at your	principal office and place	of business:	
		Normal business hou					
		8:30AM-5:30PM					
	(3)	Telephone number a (212) 974-6000	t this location:				
	(4)	Facsimile number at	this location, if any:				
F.	Mailir	ng address, if different	from your <i>principal off</i>	ice and place of	business address:		
	🗖 S	ame as the <i>filing advis</i>	er.				
	Nur	ber and Street 1:		r	Number and Street 2:		
	City		State:		Country:	ZIP+4/Postal Code:	
	lf th	is address is a private	e residence, check this	box: 🗖			
G.	Provi	de your <i>Legal Entity Ic</i>	dentifier if you have one	2:			
	A leg ident		unique number that co	mpanies use to	identify each other in th	ne financial marketplace. You may not have	a legal entity
H.	If yo	u have Central Index I	Key numbers assigned	•	IK Numbers"), all of you No Information Filed	r CIK numbers:	
		2 SEC Registration					
Res					are eligible to register v		
Α.	To be	e a relying adviser, you	I must be independentl	y eligible to req	gister (or remain registe	red) with the SEC. You must check at least	one of the

Sections 2.A. (1) through 2.A. (8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

You (the *relying adviser*):

## (1) are a large advisory firm that either:

- (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
- (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
  - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
  - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

(3) Reserved

- (4) have your principal office and place of business outside the United States;
- (5) are a **related adviser** under rule 203A-2(b) that *controls*, is *controlled* by, or is under common *control* with, an investment adviser that is registered with the SEC, and your *principal office and place of business* is the same as the registered adviser;

## (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have **received an SEC** *Order* exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are **no longer eligible** to remain registered with the SEC.

#### **SECTION 3 Form of Organization**

## A. How are you organized?

- O Corporation
- O Sole Proprietorship
- o Limited Liability Partnership (LLP)
- o Partnership
- Limited Liability Company (LLC)
- Limited Partnership (LP)
- O Other (specify):

Delaware United States

- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized? State Country

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

### SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
    Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
  - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
  - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? OYes oNo
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

(6) Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%

- A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS
Name, Middle Name)			ΜΜ/ΥΥΥΥ				Tax No. or Employer ID No.
SINGER, PAUL, ELLIOTT	I	MANAGING	03/2017	E	Y	Ν	872317
		MEMBER,					
		PRESIDENT					

#### SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

		D - 50% but less than 7	5% F - Other (	(general partner, trustee, or elec	ted manager)
(7)	d			•	Terms to Form ADV, and enter "No" if the person ers, general partners, elected managers, and
		n the PR column, enter "PR" if the owner is a	public reporting	company under Sections 12 or 1	5(d) of the Exchange Act.
	Check	omplete each column. < this box if you are filing this Form ADV thro rs you have provided in Schedule B for your	•	•	o pre-fill Schedule B with the same indirect Il pre-fill these fields for you, but you will be able
	to ma	nually edit the information after it is pre-fille	d and before you	submit your filing.	
			No I	nformation Filed	
SEC	TION	4.C. Control Persons			
0L0					Yes No
C.	Does	any person not named in Section 1.A., Section	on 4.A., or Section	n 4.B. directly or indirectly, contro	ol your management or policies? O
		s, you must complete the information below f actly <i>controls</i> your management or policies.	for each <i>control p</i>	person not named in Section 1.A.,	Section 4.A., or Section 4.B. that directly or
			No I	nformation Filed	
SEC	TION	4.D. Control Persons - Public Reporting Co	mpanies		
			No I	nformation Filed	
SEC	TION	1 Identifying Information			
Res	ponses	s to this Section tell us who you (the <i>relying</i>	<i>adviser</i> ) are, whe	ere you are doing business, and l	how we can contact you.
A.		full legal name: )TT CAPITAL ADVISORS, L.P.			
B.	Name 1A.	e under which you primarily conduct your adv	risory business, if	different from Section 1.A. above	e or Item 1.A. of the <i>filing adviser's</i> Form ADV Part
C.	List a	ny other business names and the jurisdiction	ns in which you ι	use them. Complete this question	n for each other business name.
			No	o Information Filed	
	You c	lo not have to include the names or jurisdiction	ns of the filing adv	viser or other relying adviser(s) in i	response to this Section 1.C.
D.		u currently have, or ever had, a number (" <i>CR</i> <i>er's CRD</i> number), your <i>CRD</i> number:	D Number") assig	gned by the FINRA's CRD system	or by the IARD system (other than the filing
	2922		NI	- Information Filed	
			INC	o Information Filed	
	lf you advis	•	1.D. Do not provi	de the CRD number of one of your	officers, employees, or affiliates (including the filing
E.	Princi	pal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		Same as the <i>filing adviser</i> .			
		Number and Street 1:		Number and Street 2:	
		360 S. ROSEMARY AVE City:	State:	18TH FLOOR Country:	ZIP+4/Postal Code:
		WEST PALM BEACH	Florida	United States	33401
		If this address is a private residence, check	this box: 🗖		

	(2)	Days of week that you normally conduct business at your <i>principal office and place of business:</i> Monday - Friday Other:
		Normal business hours at this location: 8:30AM-5:30PM
	(3)	Telephone number at this location: (212) 974-6000
	(4)	Facsimile number at this location, if any:
F.	Mail	ing address, if different from your principal office and place of business address:
		Same as the <i>filing adviser</i> .
	Nur	mber and Street 1: Number and Street 2:
	City	y: State: Country: ZIP+4/Postal Code:
	lf t	his address is a private residence, check this box: 🗖
G.		vide your <i>Legal Entity Identifier</i> if you have one: 3005TQOP9MM1IWS46
		gal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity tifier.
H.	lf yo	ou have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:
	5	No Information Filed
SEC		I 2 SEC Registration
		es to this Section help us (and you) determine whether you are eligible to register with the SEC.
A.	Sect	be a <i>relying adviser</i> , you must be independently eligible to register (or remain registered) with the SEC. You must check <b>at least one</b> of the tions 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to n of these items.
		(the <i>relying adviser</i> ):
	V	(1) are a large advisory firm that either:
		(a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
		(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC;
		(2) are a <b>mid-sized advisory firm</b> that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
		(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
		(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
		Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3) Reserved
		(4) have your principal office and place of business outside the United States;
		(5) are a <b>related adviser</b> under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

	If this is your initial filing as a relying adviser, you must make both of these representations:
	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
	The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
	If you are submitting your annual updating amendment, you must make this representation:
	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
<b>(</b> 8)	) have <b>received an SEC <i>Order</i></b> exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are **no longer eligible** to remain registered with the SEC.

#### **SECTION 3 Form of Organization**

- A. How are you organized?
  - O Corporation
  - Sole Proprietorship
  - C Limited Liability Partnership (LLP)
  - O Partnership
  - Limited Liability Company (LLC)

  - Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
   State Country
   Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

#### SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth,
Name, Middle Name)			ΜΜ/ΥΥΥΥ				IRS Tax No. or Employer ID No.
AS81 EA LLC	DE	LIMITED PARTNER	06/2023	А	N	Ν	
AS90 EA LLC	DE	LIMITED PARTNER	06/2023	В	N	Ν	
BRAXTON ASSOCIATES, INC.	DE	GENERAL PARTNER	09/1986	NA	Y	Ν	
ELLIOTT ADVISORS GP LLC	DE	GENERAL PARTNER	12/2018	NA	Y	Ν	292231
ELLIOTT ASSET MANAGEMENT	DE	GENERAL PARTNER	02/2003	NA	Y	Ν	
LLC							
GS83 EA LLC	DE	LIMITED PARTNER	06/2023	В	N	Ν	
GS83II EA LLC	DE	LIMITED PARTNER	06/2023	А	N	Ν	
KAPLAN, MYRON	1	TRUSTEE OF THE PAUL E.	12/2019	А	N	Ν	5907834
		SINGER FAMILY TRUST IIH					
LEVINE, JOSHUA	1	CHIEF FINANCIAL OFFICER	09/2019	NA	N	N	6025009
PAUL E. SINGER FAMILY TRUST	DE	LIMITED PARTNER	12/2019	A	N	N	
ПΗ							
PESFT IIH EA LLC	DE	LIMITED PARTNER	06/2023	С	Ν	Ν	
SINGER, GORDON, MATTHEW	1	TRUSTEE OF THE PAUL E.	12/2019	А	N	Ν	5908245
		SINGER FAMILY TRUST IIH					

## SECTION 4.B. Indirect Owners

(1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners

- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned				Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ANDREW F. MORRIS-SINGER 1981 TRUST	DE		MANAGING MEMBER	06/2023	E	Y	N	
ANDREW F. MORRIS-SINGER 1990 TRUST	DE	AS90 EA LLC	MANAGING MEMBER	06/2023	E	Y	N	

GORDON M. SINGER 1983 TRUST	DE	GS83 EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
GORDON M. SINGER 1983 TRUST II	DE	GS8311 EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
SECTION 4.C. Control Persons		<u> </u>	1					
								Yes No
C. Does any <i>person</i> not named in	Section 1.	.A., Section 4.A., c	or Section 4.B. dire	ctly or indirectly	y, <i>control</i> you	ur manag	eme	ent or policies? O O
If yes, you must complete the indirectly <i>controls</i> your manage			<i>control person</i> not	named in Section	on 1.A., Sect	ion 4.A.,	or S	ection 4.B. that directly or
			No Informatio	n Filed				
SECTION 4.D. Control Persons - P	ublic Repo	orting Companies	5					
			No Informatio	n Filed				
SECTION 1 Identifying Information								
SECTION 1 Identifying Information Responses to this Section tell us wh		ne relving adviser)	are where you ar	e doing busines	ss and how	we can c	onta	
A. Your full legal name: ELLIOTT SPECIAL GP, LLC								
<ul><li>B. Name under which you primaril</li><li>1A.</li></ul>	y conduct	your advisory bus	siness, if different	from Section 1.	A. above or	Item 1.A.	of t	he <i>filing adviser's</i> Form ADV Part
C. List any other business names	and the ju	urisdictions in whi	ich you use them.	Complete this	question for	each oth	er bi	usiness name.
			No Informa	tion Filed				
You do not have to include the r								
	names or j	urisdictions of the	filing adviser or oth	ner relying advis	er(s) in respo	onse to th	is Se	ection 1.C.
<ul> <li>D. If you currently have, or ever h adviser's CRD number), your CR</li> <li>292238</li> </ul>	ad, a num	nber (" <i>CRD</i> Numbe	-					
adviser's CRD number), your CR	ad, a num	nber (" <i>CRD</i> Numbe	-	ne FINRA's CRD				

	(1)	Address (do not us	se a P.O. Box):			
		Same as the filin	ng adviser.			
		Number and Street	t 1:		Number and Street 2	2:
		360 S. ROSEMARY A	AVE		18TH FLOOR	
		City: WEST PALM BEACH	I	State: Florida	Country: United States	ZIP+4/Postal Code: 33401
		If this address is a	private residence, che	ck this box: 🗖		
	(2)	Days of week that Monday - Friday	• •	business at you	ur principal office and place (	of business:
		Normal business ho 8:30AM-5:30PM	ours at this location:			
	(3)	Telephone number (212) 974-6000	at this location:			
	(4)	Facsimile number a	at this location, if any:			
F.	Mailir	ng address, if differe	nt from your <i>principal c</i>	ffice and place	of business address:	
	🗖 S	ame as the <i>filing adv</i>	viser.			
	Num	nber and Street 1:			Number and Street 2:	
	City	:	State:		Country:	ZIP+4/Postal Code:
	lf th	nis address is a priva	te residence, check thi	s box: 🗖		
G.	Provi	ide your <i>Legal Entity</i>	Identifier if you have o	ne:		
	A leg ident	•	a unique number that c	ompanies use	to identify each other in th	e financial marketplace. You may not have a <i>legal entity</i>
H.	lf vo	u baya Contral Inday	Kov numbers assigned	d by the SEC ('	'CIK Numbers"), all of your	
11.	-	Number	K Key numbers assigned		CTR Numbers ), all or your	CIX Humbers.
	131	3688				
SEC	TION	2 SEC Registration				
Res	ponse	s to this Section help	p us (and you) determ	ine whether yo	u are eligible to register w	ith the SEC.
A.	Secti		-		•	ed) with the SEC. You must check <b>at least one</b> of the p you determine whether you may affirmatively respond to
	You (	(the <i>relying adviser</i> ):				
	V	(1) are a large advis	sory firm that either:			
		(a) has regulator	ry assets under manag	ement of \$100	million (in U.S. dollars) or	more; or
			ry assets under manag and is registered with t		nillion (in U.S. dollars) or m	nore at the time of filing its most recent annual updating
	Γ		advisory firm that has old advisory firm that has old and you are eith		sets under management o	f \$25 million (in U.S. dollars) or more but less than \$100
		(a) not required <i>place of busir</i>	•	adviser with t	he <i>state securities authorit</i>	y of the state where you maintain your principal office and
		(b) not subject t	o examination by the s	state securities	authority of the state wher	e you maintain your principal office and place of business;
		Click <b>HERE</b> fo authority.	or a list of states in whic	h an investmen	t adviser, if registered, wou	ld not be subject to examination by the state securities
		(3) Reserved				
		(4) have your princip	pal office and place of bu	usiness outside	the United States;	
						nder common <i>control</i> with, an investment adviser that is

		registered with the SEC, and your principal office and place of business is the same as the registered adviser;
Γ		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
Γ		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		If this is your initial filing as a relying adviser, you must make both of these representations:
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
Γ		(8) have <b>received an SEC</b> <i>Order</i> exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		Application Number: 803- Date of Order:
Γ		(9) are <b>no longer eligible</b> to remain registered with the SEC.
SECTIO	DN	I 3 Form of Organization
		/ are you organized?
6	0	Corporation
0	0	Sole Proprietorship
6	0	Limited Liability Partnership (LLP)
6	0	Partnership
0	•	Limited Liability Company (LLC)
0	0	Limited Partnership (LP)
(	0	Other (specify):
		vhat month does your fiscal year end each year? EMBER

C. Under the laws of what state or country are you organized? State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

#### SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

(c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution,

- or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)		Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ELLIOTT CAPITAL ADVISORS, L.P.	DE	MANAGING MEMBER	09/2004	NA	Y	N	292232
KAPLAN, MYRON	I	TRUSTEE OF THE SAMUEL AND SOPHIE SINGER 2011 TRUST	10/2011	В	N	N	5907834
SAMUEL AND SOPHIE SINGER 2011 TRUST	DE	MEMBER	10/2011	В	N	N	xxx-xx-xxxx
SINGER, GORDON, MATTHEW	1	MEMBER	01/2010	С	N	N	5908245
SINGER, PAUL, ELLIOTT	1	MANAGING MEMBER, PRESIDENT	09/2004	NA	Υ	N	872317
YUSTEIN, ROSS, LEONARD	1	TRUSTEE OF THE SAMUEL AND SOPHIE SINGER 2011 TRUST	10/2011	В	N	N	6904717

## SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No.
Last Name, First Name, Middle		Interest is Owned		Acquired	Code	Person		and Date of Birth, IRS Tax

				ΜΜ/ΥΥΥΥ				No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
KAPLAN, MYRON	I	SAMUEL AND SOPHIE SINGER 2011 TRUST	TRUSTEE	09/2011	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	С	N	N	
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
YUSTEIN, ROSS, LEONARD	I	SAMUEL AND SOPHIE SINGER	TRUSTEE	09/2011	F	Y	N	6904717

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

## SECTION 1 Identifying Information

Responses to this Section tell us who you (the *relying adviser*) are, where you are doing business, and how we can contact you.

- A. Your full legal name: HAMBLEDON, INC.
- B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.
- C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.

D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number:
 292242

No Information Filed

If you do not have a CRD number,	skip this Section 1.D.	Do not provide the CRD	number of one of your offi	cers, employees,	or affiliates	(including the filin
adviser).						

E. Principal Office and Place of Business

(1) Address (do not use a P.O. Box):

Same as the *filing adviser*.

		Number and Street			Number and Street	2:	
		360 S. ROSEMARY A City: WEST PALM BEACH	VE	State: Florida	18TH FLOOR Country: United States	ZIP+4/Pos 33401	stal Code:
		If this address is a p	private residence, check	this box: 🗖			
	(2)	Days of week that y Monday - Friday		siness at you	r principal office and place	of business:	
		Normal business ho 8:30AM-5:30PM	urs at this location:				
	(3)	Telephone number a (212) 974-6000	at this location:				
	(4)	Facsimile number at	t this location, if any:				
F.	Mailir	ng address, if differen	nt from your <i>principal offi</i>	ce and place c	of business address:		
	🗖 S	ame as the <i>filing advi</i> s	ser.				
	Num	ber and Street 1:			Number and Street 2:		
	City		State:		Country:	ZIP+4/Postal Code:	
	lf th	is address is a private	e residence, check this b	оох: 🗖			
G.	Provi	de your <i>Legal Entity I</i>	<i>dentifier</i> if you have one	:			
	A leg ident	•	unique number that cor	npanies use t	o identify each other in th	e financial marketplace	. You may not have a <i>legal entity</i>
H.	lf yo	u have Central Index	Key numbers assigned b	by the SEC ("(	CIK Numbers"), all of you No Information Filed	CIK numbers:	
SEC	TION	2 SEC Registration					
Res	ponse	s to this Section help	us (and you) determine	e whether you	u are eligible to register w	ith the SEC.	
A.	Secti				•		nust check <b>at least one</b> of the er you may affirmatively respond to
		the <i>relying adviser</i> ):					
	V	(1) are a large advis	ory firm that either:				
		(a) has regulatory	y assets under managen	nent of \$100 i	million (in U.S. dollars) or	more; or	
			y assets under managem nd is registered with the		nillion (in U.S. dollars) or r	nore at the time of filing	g its most recent annual updating
			advisory firm that has r ollars) and you are either		sets under management o	f \$25 million (in U.S. do	ollars) or more but less than \$100

(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business:

	Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
	(3) Reserved
Γ	(4) have your principal office and place of business outside the United States;
	(5) are a <b>related adviser</b> under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
	(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
	If you check this box, you must make both of the representations below:
	I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
	By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
Γ	(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
	If this is your initial filing as a relying adviser, you must make both of these representations:
	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
	The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
	If you are submitting your annual updating amendment, you must make this representation:
	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.

(8) have **received an SEC** *Order* exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are **no longer eligible** to remain registered with the SEC.

## SECTION 3 Form of Organization

#### A. How are you organized?

- Corporation
- 👩 Sole Proprietorship
- C Limited Liability Partnership (LLP)
- O Partnership
- O Limited Liability Company (LLC)
- C Limited Partnership (LP)
- ♂ Other (specify): CAYMAN ISLANDS EXEMPTED COMPANY
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
  - State Country

Cayman Islands

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

## SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a

public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sisterin-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B - 10% but less than 25% D - 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
DAVIES, ALUN, JOHN	I	DIRECTOR	01/2021	NA	Y	N	7300944
ELLIOTT CAPITAL ADVISORS, L.P.	DE	CLASS C SHAREHOLDER	11/2019	E	N	N	292232
ELLIOTT INTERNATIONAL CAPITAL ADVISORS INC.	DE	DIRECTOR	12/2010	NA	Y	N	
ELLIOTT INTERNATIONAL LIMITED	FE	CLASS B SHAREHOLDER	12/2010	E	N	N	
ELLIOTT INTERNATIONAL SPECIAL GP, LLC	FE	CLASS A SHAREHOLDER	11/2019	E	Y	N	
KAPLAN, MYRON	1	DIRECTOR	07/2002	NA	Y	N	5907834
SINGER, PAUL, ELLIOTT	1	PRESIDENT	08/2005	NA	Y	N	872317

## SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY		Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MANAGER, CLASS A MEMBER	12/2018	D	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	С	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MEMBER, PRESIDENT	01/2019	F	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317

#### SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

#### SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

A. Your full legal name: EVERGREEN COAST CAPITAL CORP.

B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.

Yes No

 $\odot$ 

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<ul> <li>Ist any other humanes names and the justalizations in which you uses them. Complete this question for cases other pursies enter: <ul> <li>Ist any other humanes names and the justalizations in which you uses them. Complete this question for cases other numbers of the status the names or justalizations or other reging anderer() in response to the Section 1.0.</li> <li>Ist and on not have a status the names or justalizations or the flag anderer or other reging anderer() in response to the Section 1.0.</li> <li>Ist and on not have a status the names or justalizations or the flag anderer or other reging anderer() in response to the Section 1.0.</li> <li>Ist and on not have a SEC number, says the Section 1.0. Do not provide the CED number of one of your officers, emproyees, or affiliates (batching the Wing advect).</li> <li>Ist and on the or (0.0. Reg): <ul> <li>Section of the or (0.0. Reg):</li> <li>Section and them of Busines.</li> <li>Number and Street 1.</li> <li>Section and Street 1.</li> <li>Section and Street 1.</li> <li>Number and Street 2.</li> <li>Section and Street 1.</li> <li>Section and Street 1.</li> <li>Number and Street 2.</li> <li>Section 1.0. Reg and the cases of your perspections on provide the CED number of one of your officers, emproyees, or affiliates (batching the Wing advect).</li> <li>Ist and Street 1.</li> <li>Number and Street 1.<th>ELLIOTT PRIVATE EQUITY</th><th></th></li></ul></li></ul></li></ul>	ELLIOTT PRIVATE EQUITY	
Fouldo not have to include the names or jursdictions of the thing adviser or other relying adviser(s) in response to this Section 1.C.         If You concerning hous, or over had, an unified (1000 Number), ensigned by the FMAA's CR0 system or by the LARD system (other than the King adviser's CR0 number), your CR0 number; 202243         No Information Hield         If you do not have a CR0 number; stop this Section 1.D. Do not provide the CR0 number of one of your others, employees, or atNates (Including the hilling adviser;         If you do not have a CR0 number; stop this Section 1.D. Do not provide the CR0 number of one of your others, employees, or atNates (Including the hilling adviser;         If Address (do not use a CR0 number; stop this Section 1.D. Do not provide the CR0 number of one of your others, employees, or atNates (Including the hilling adviser;         If Address (do not use a CR0 number; stop this Section 1.D. Life not provide the CR0 number of one of your others, employees, or atNates (Including the hilling adviser; 2).         If Address (do not use a CR0 number; stop this Section 1.D. Life not provide the CR0 number of one of your others, employees, or atNates (Including the hilling adviser; 2).         If Address (do not use a CR0 number; stop this Section 2.D. Suffice and Street 2:         Visite address is a private residence, check this box:         If this address is a private residence, check this box:         If address is a private residence, check this box:         If address is a private residence, check this box:         If address is a private residence, check this box:         If address	C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.	
<ul> <li>If you cannot be have, or over had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filling advances of 00 number), your CRD number.</li> <li>If you do not have a CRD number, sky this Section T.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the thing advance).</li> <li><i>Reinspace Office and Place of Rusinos</i></li> <li>Address (do not use a P.D. Boo).</li> <li>Section as the diffug advisor.</li> <li>Number and Street 1: Number and Street 1: Subtraction (Education to Current to Current</li></ul>	No Information Filed	
<ul> <li>If you cannot be have, or over had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filling advances of 00 number), your CRD number.</li> <li>If you do not have a CRD number, sky this Section T.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the thing advance).</li> <li><i>Reinspace Office and Place of Rusinos</i></li> <li>Address (do not use a P.D. Boo).</li> <li>Section as the diffug advisor.</li> <li>Number and Street 1: Number and Street 1: Subtraction (Education to Current to Current</li></ul>		
<ul> <li>If you cannot be have, or over had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filling advances of 00 number), your CRD number.</li> <li>If you do not have a CRD number, sky this Section T.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the thing advance).</li> <li><i>Reinspace Office and Place of Rusinos</i></li> <li>Address (do not use a P.D. Boo).</li> <li>Section as the diffug advisor.</li> <li>Number and Street 1: Number and Street 1: Subtraction (Education to Current to Current</li></ul>		
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<ul> <li>adviser).</li> <li>F. Principal Office and Fluce of Business: <ul> <li>(1) Address (do not use a P.0. Box): <ul> <li>Same as the filing adviser</li> <li>Number and Street 1:</li> <li>State:</li> <li>California:</li> <li>United States:</li> <li>94025</li> <li>If this address is a private residence, check this box:</li> </ul> </li> <li>(2) Days of week that you normally conduct business at your principal office and place of business: <ul> <li>adviser, 'California'</li> <li>(3) Telephone number at this location:</li> <li>(4) Facsimic number at this location:</li> <li>(5) 233-7000</li> <li>(4) Facsimic number at this location:</li> <li>(5) Same as the filing adviser.</li> </ul> </li> <li>F. Mailing address, if different from your principal office and place of business: <ul> <li>(advises, if different from your principal office and place of business:</li> <li>(bit address is a private residence, check this box:</li> </ul> </li> <li>F. Mailing address, if different from your principal office and place of business: <ul> <li>(a) Telephone number at this location:</li> <li>(bits address is a private residence, check this box:</li> </ul> </li> <li>F. Mailing address: <ul> <li>(a) Telephone number at this location:</li> <li>(bits address is a private residence, check this box:</li> </ul> </li> <li>F. Mailing address: <ul> <li>(bits address is a private residence, check this box:</li> <li>(c) State:</li> &lt;</ul></li></ul></li></ul>		
<ul> <li>Address (do not use a P.O. Box): <ul> <li>Same as the filing advisor:</li> <li>Number and Street 1:</li> <li>Suffer 300</li> <li>City:</li> <li>State:</li> <li>Country:</li> <li>TIP 47Postal Code:</li> <li>MENLO PARK</li> <li>California</li> <li>United States</li> <li>94025</li> </ul> </li> <li>(2) Days of week that you normally conduct business at your principal affice and place of business: <ul> <li>offinite officient states</li> <li>State:</li> <li>Officient states</li> <li>State:</li> <li>Officient states</li> <li>State:</li> <li>State:</li> <li>Officient states</li> <li>State:</li> <li>State:</li> <li>Officient states</li> <li>State:</li> <li>Outrient states</li> <l< th=""><th></th><th>filing</th></l<></ul></li></ul>		filing
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Cip:       State:       Country:       ZIP-4/Postal Code:         MENIO PARK       California       United States       9405         If this address is a private residence, check this box:       -         (2)       Days of week that you normally conduct business at your principal office and place of business in uses in a state is location:       -         (3)       Telephone number at this location:       -         (4)       Facsimile number at this location:       -         (50)       233-7000       -         (4)       Facsimile number at this location:       -         (53)       Telephone number at this location:       -         (65)       233-7000       -       -         (4)       Facsimile number at this location:       -         (55)       233-7000       -       -         (4)       Facsimile number at this location:       -       -         (5)       Same as the filing adviser:       Number and Street 1:       Number and Street 2:       Cipt:         (1)       State:       State:       Country:       ZIP+4/Postal Code:         (1)       this address is a private residence, check this box:       -       -         (2)       Fordity count Legal Entit/s identiffier is a unique number that companies use		
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<ul> <li>(2) Days of week that you normally conduct business at your principal office and place of business: <ul> <li>Monday - Friday</li> <li>Other:</li> <li>Normal business hours at this location:</li> <li>8:30AM-5: 30PM</li> </ul> </li> <li>(3) Telephone number at this location: <ul> <li>(650) 233-7000</li> </ul> </li> <li>(4) Facsimile number at this location, if any:</li> </ul> <li>F. Malling address, if different from your principal office and place of business address: <ul> <li>Same as the filing adviser.</li> <li>Number and Street 1:</li> <li>City:</li> <li>State:</li> <li>Country:</li> <li>ZIP+4/Postal Code:</li> <li>If this address is a private residence, check this box:</li> </ul> </li> <li>C. Provide your <i>Legal Entity Identifier</i> if you have one: <ul> <li>A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.</li> <li>H. If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:</li> </ul></li>		
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□ Same as the filing adviser.         Number and Street 1:       Number and Street 2:         City:       State:       Country:       ZIP+4/Postal Code:         If this address is a private residence, check this box:       □         G.       Provide your Legal Entity Identifier if you have one:         A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.         H.       If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:	(4) Facsimile number at this location, if any:	
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identifier. H. If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:	G. Provide your Legal Entity Identifier if you have one:	
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SECTION 2 SEC Registration	SECTION 2 SEC Registration	

Responses to this Section help us (and you) determine whether you are eligible to register with the SEC.

A. To be a *relying adviser*, you must be independently eligible to register (or remain registered) with the SEC. You must check **at least one** of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

You (the relying adviser):

- (1) are a large advisory firm that either:
  - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
  - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
  - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
  - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

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(3) Reserved
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- (4) have your principal office and place of business outside the United States;
- (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;
- (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.
- If you are submitting your annual updating amendment, you must make this representation:
- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have **received an SEC** *Order* exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

 $\square$  (9) are **no longer eligible** to remain registered with the SEC.

# **SECTION 3 Form of Organization**

- A. How are you organized?
  - Corporation
  - O Sole Proprietorship
  - C Limited Liability Partnership (LLP)
  - O Partnership
  - C Limited Liability Company (LLC)
  - 👩 Limited Partnership (LP)
  - O Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER

C. Under the laws of what state or country are you organized?

State Country Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

#### SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
     Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild,
  - grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sisterin-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
  - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
  - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS
Name, Middle Name)			ΜΜ/ΥΥΥΥ				Tax No. or Employer ID No.
ELLIOTT MANAGEMENT	DE	SHAREHOLDER	07/2013	С	Y	N	156601
CORPORATION							
GREENBERG, ELLIOT	1	DIRECTOR,	07/2013	В	Y	N	1250067
		SHAREHOLDER					
MAGNAN, JEAN-YVES	1	SHAREHOLDER	08/2020	В	N	N	7242973
POLLOCK, JONATHAN, D	1	SHAREHOLDER	07/2015	В	N	N	1619709

## SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or

15(d) of the Exchange Act) is reached, no further ownership information need be given.

- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	· ·	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT MANAGEMENT CORPORATION	SHAREHOLDER	10/1994	E	Y	N	292232
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	С	N	N	
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317

## SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

Yes No

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If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

## SECTION 1 Identifying Information

Responses to this Section tell us who you (the *relying adviser*) are, where you are doing business, and how we can contact you.

A. Your full legal name: ELLIOTT ADVISORS (UK) LIMITED

B.	Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV Part 1A.								
C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.									
	No Information Filed								
	You d	do not have to include the names	or jurisdictions of t	he filing adviser or other relying adv	iser(s) in response to this Section 1.C.				
D.	-	er's CRD number), your CRD nur		nber") assigned by the <i>FINRA's CR</i>	D system or by the IARD system (other than the <i>filing</i>				
				No Information Filed					
	lf yo advis		o this Section 1.D. L	Do not provide the CRD number of o	ne of your officers, employees, or affiliates (including the fili				
E.	Princ	ipal Office and Place of Business							
	(1)	Address (do not use a P.O. Bo	x):						
		Same as the <i>filing adviser</i> .							
		Number and Street 1: PARK HOUSE, 6TH FLOOR City: LONDON	State:	Number and Street 2: 116 PARK STREET Country: United Kingdom	ZIP+4/Postal Code: W1K 6AF				
		If this address is a private res	idence, check this I	box:					
	(2)	Days of week that you normal Monday - Friday Other:	ly conduct busines	s at your <i>principal office and place c</i>	f business:				
		Normal business hours at this 8:30AM-5:30PM	location:						
	(3)	Telephone number at this loca 442030091818	ition:						
	(4)	Facsimile number at this locati 442030091888	on, if any:						
F.	Maili	ng address, if different from you	r principal office and	d place of business address:					
	🗖 s	ame as the <i>filing adviser</i> .							
	Nun City	ber and Street 1: State:		Number and Street 2: Country:	ZIP+4/Postal Code:				
	lf th	is address is a private residence	e, check this box: <b>[</b>						
G.		de your <i>Legal Entity Identifier</i> if 0030P0LU4REML462	you have one:						
	A leg ident	-	mber that compani	es use to identify each other in the	e financial marketplace. You may not have a <i>legal entity</i>				
H.	lf yo	u have Central Index Key numb	ers assigned by the	SEC ("CIK Numbers"), all of your No Information Filed	CIK numbers:				

- SECTION 2 SEC Registration Responses to this Section help us (and you) determine whether you are eligible to register with the SEC To be a relying adviser, you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. You (the relying adviser): (1) are a large advisory firm that either: (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC; (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either: (a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business; Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority. (3) Reserved (4) have your principal office and place of business outside the United States; (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser; (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days; If you check this box, you must make both of the representations below: I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective. By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC. (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d); If this is your initial filing as a relying adviser, you must make both of these representations: I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states. The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states. If you are submitting your annual updating amendment, you must make this representation: Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states. (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information: Application Number: 803-Date of Order: (9) are no longer eligible to remain registered with the SEC. SECTION 3 Form of Organization How are you organized? Α. Corporation
  - Sole Proprietorship
  - Limited Liability Partnership (LLP)
  - O Partnership
  - Limited Liability Company (LLC)
  - C Limited Partnership (LP)
  - Other (specify): PRIVATE COMPANY LIMITED BY SHARES
- B. In what month does your fiscal year end each year?

- C. Under the laws of what state or country are you organized?
  - State Country

United Kingdom

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

### SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

	DE/FE/I	Title or Status	Date Title or	Ownership			CRD No.
(Individuals: Last Name, First Name, Middle Name)			Status Acquired MM/YYYY	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BAYLISS, JAMES, MICHAEL EDWARD	I	SHAREHOLDER	12/2020	A	N	N	7895175
BEST, PAUL	1	SHAREHOLDER	12/2020	A	N	N	7895168
BHANJI, NABEEL, ABDUL MOHAMED FAZAL	I	DIRECTOR, SHAREHOLDER	12/2020	A	Y	N	7894702
ELLIOTT ADVISORS HOLDINGS LLC	DE	SHAREHOLDER	06/2015	В	N	N	xxx-xx-xxxx
GUNN, IAIN, ANDREW	I	SHAREHOLDER	10/2004	В	N	Ν	6024276
HOULBROOK, THOMAS, HUGH	I	DIRECTOR	06/2023	NA	Y	Ν	7894709
LEONARD, CHRISTOPHER, PHILLIP	I	DIRECTOR	02/2020	NA	Y	Ν	7243038
POLLOCK, JONATHAN, D	I	DIRECTOR, SHAREHOLDER	12/2001	В	N	N	1619709
SINGER, GORDON, MATTHEW	I	CHIEF EXECUTIVE OFFICER	03/2009	NA	Y	N	5908245
STOTT, JAMES, WINSTON	I	DIRECTOR, SHAREHOLDER	12/2020	A	Y	N	7894711

## SECTION 4.B. Indirect Owners

(1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners

(2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:

(a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are:
- C 25% but less than 50% E 75% or more
- D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and
Last Name, First Name, Middle		Interest is		Acquired	Code	Person		Date of Birth, IRS Tax No. or
Name)		Owned		ΜΜ/ΥΥΥΥ				Employer I D No.
SINGER, PAUL, ELLIOTT	I	ELLIOTT	MANAGING	03/2015	E	Y	Ν	872317
		ADVISORS	MEMBER,					
		HOLDINGS LLC	PRESIDENT					

#### SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

Yes No

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Termination Da	to	
	Number and Street 2	
	18TH FLOOR	
State	Country	ZIP+4/Postal Code
Florida	United States	33401
s boy:		
S DUX.		
	State Florida s box: RELATED PERSON	18TH FLOORStateCountryFloridaUnited States

SEC	TION	4.D. Control Persor	ns - Public Reporting Co	ompanies				
				No Information Filed				
0=0								
		1 Identifying Inform		advicar) and whore you are doing hi	usiness, and how we can contact you.			
	-			adviser) are, where you are doing bu				
A.	EALL	full legal name: . LLP						
B.	Nam 1A.	e under which you pr	imarily conduct your adv	visory business, if different from Section	ion 1.A. above or Item 1.A. of the <i>filing adviser's</i> Form ADV Part			
C.	List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.							
				No Information Filed				
	You	do not have to include	e the names or jurisdiction	ns of the filing adviser or other relying	adviser(s) in response to this Section 1.C.			
D.	advis	ser's CRD number), yc		2D Number") assigned by the FINRA's	<i>CRD</i> system or by the IARD system (other than the <i>filing</i>			
	292	250		No Information Filed				
				NO THIOFHAUOIT Flied				
	lf yo advis		number, skip this Section	1.D. Do not provide the CRD number of	of one of your officers, employees, or affiliates (including the filing			
E.	Princ	ipal Office and Place o	f Business					
	(1)	Address (do not use	e a P.O. Box):					
		Same as the filin	g adviser.					
		Number and Street 500 FIFTH AVENUE	1:	Number and Street 2:				
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10110			
		If this address is a	private residence, check	this box: 🗖				
	(2)	Days of week that y Monday - Friday	-	usiness at your <i>principal office and pla</i>	ce of business:			
		Normal business ho 8:30AM-5:30PM	ours at this location:					
	(3)	Telephone number (212) 974-6000	at this location:					
	(4)	Facsimile number a	t this location, if any:					
F.	Maili	ng address, if differer	nt from your <i>principal offi</i>	ice and place of business address:				
	n S	ame as the <i>filing advi</i>	iser.					
	Nun	nber and Street 1:		Number and Street 2:				
	City	:	State:	Country:	ZIP+4/Postal Code:			
	lf th	nis address is a privat	e residence, check this l	box: 🗖				
0	-							

A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier. H. If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed SECTION 2 SEC Registration Responses to this Section help us (and you) determine whether you are eligible to register with the SEC. To be a relying adviser, you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Α. Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. You (the relying adviser): (1) are a large advisory firm that either: (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC; (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either: (a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business; Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority. (3) Reserved (4) have your principal office and place of business outside the United States; (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser; (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days; If you check this box, you must make both of the representations below: I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective. By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC. (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d); If this is your initial filing as a relying adviser, you must make both of these representations: I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states. The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states. If you are submitting your *annual updating amendment*, you must make this representation: 🔲 Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information: Application Number: 803-Date of Order: (9) are **no longer eligible** to remain registered with the SEC. SECTION 3 Form of Organization How are you organized?

Corporation

O Sole Proprietorship

- Limited Liability Partnership (LLP)
- O Partnership
- C Limited Liability Company (LLC)
- Limited Partnership (LP)
- O Other (specify):
- B. In what month does your fiscal year end each year?
   DECEMBER
- C. Under the laws of what state or country are you organized?

State Country Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

## SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
    Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
  - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
  - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? OYes oNo
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
KAPLAN, MYRON	1	MANAGER	02/2011	NA	Υ	N	5907834
LEDLEY, JAMES, R	1	MANAGER	02/2011	NA	Υ	N	5715222
SINGER, GORDON, MATTHEW	I	PARTNER	02/2011	E	Υ	Ν	5908245

## SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent,

grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

#### No Information Filed

Yes No

 $\circ$ 

#### SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

Firm or Organization Name			
ELLIOTT INVESTMENT MANAGEMENT L.P.			
CRD Number (if any)			
307151			
Effective Date	Termination Da	ate	
09/16/2019			
Business Address:			
Number and Street 1		Number and Street 2	
360 S. ROSEMARY AVE	State	18TH FLOOR	71D + 4 (Postal Cada
City WEST PALM BEACH	Florida	Country United States	ZIP+4/Postal Code 33401
	. londa		
If this address is a private residence, check the	nis box: 🗖		
Briefly describe the nature of the control:			
			TUE OF A SERVICES AGREEMENT WITH THE FILING
			ITIALLY ALL OF ITS REVENUE STREAM. ALL REFERENCES
HEREIN TO EALL ARE DEEMED TO BE QUALIFIED	J BY THIS STATEN	1ENT.	
SECTION 4.D. Control Persons - Public Repor	ting Companies		
		No Information Filed	
SECTION 1 Identifying Information			
Responses to this Section tell us who you (the	relying adviser) ar	e, where you are doing business	and how we can contact you.

A.		full legal name: BERG AGGREGATOR	GP LLC							
В.	Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV Part 1A.									
C.	List a	any other business n	ames and the jurisdiction	ns in which ye	ou use them. Complete thi	is question for each other business name.				
					No Information Filed					
	You d	do not have to include	e the names or jurisdictior	ns of the filing	adviser or other relying adv	viser(s) in response to this Section 1.C.				
D.		ser's CRD number), yo		D Number") a	assigned by the FINRA's CR	2D system or by the IARD system (other than the <i>filing</i>				
					No Information Filed					
	lf yol advis		number, skip this Section	1.D. Do not pi	rovide the CRD number of o	ne of your officers, employees, or affiliates (including the	filing			
E.	Princ	ipal Office and Place o	of Business							
	(1)	Address (do not us	e a P.O. Box):							
		☑ Same as the filin	ng adviser.							
		Number and Street 360 S. ROSEMARY A			Number and Street 2 18TH FLOOR	2:				
		City: WEST PALM BEACH		State: Florida	Country: United States	ZIP+4/Postal Code: 33401				
		If this address is a	private residence, check	this box: 🗖						
	(2)	Days of week that : Monday - Friday	• •	siness at you	r principal office and place of	of business:				
		Normal business ho 8:30AM-5:30PM	ours at this location:							
	(3)	Telephone number (212) 974-6000	at this location:							
	(4)	Facsimile number a	t this location, if any:							
F.	Mailii	ng address, if differei	nt from your <i>principal offi</i>	ce and place o	of business address:					
	🗖 s	ame as the <i>filing adv</i>	viser.							
		nber and Street 1:	State:		Number and Street 2: Country:	ZIP+4/Postal Code:				
	City				country.					
	if th	ns aduress is a priva	te residence, check this b							
G.	Provi	ide your <i>Legal Entity</i>	Identifier if you have one	:						
	A leg ident	•	a unique number that cor	npanies use t	o identify each other in the	e financial marketplace. You may not have a <i>legal entit</i> y	/			
H.	lf yo	u have Central Index	Key numbers assigned b	by the SEC ("	CIK Numbers"), all of your No Information Filed	CIK numbers:				

#### SECTION 2 SEC Registration

Responses to this Section help us (and you) determine whether you are eligible to register with the SEC.

A. To be a *relying adviser*, you must be independently eligible to register (or remain registered) with the SEC. You must check **at least one** of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

You (the relying adviser):

- (1) are a large advisory firm that either:
  - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
  - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
  - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
  - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- (4) have your principal office and place of business outside the United States;
- (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;
- (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- □ I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.

If you are submitting your annual updating amendment, you must make this representation:

- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

#### SECTION 3 Form of Organization

- A. How are you organized?
  - O Corporation
  - O Sole Proprietorship
  - Limited Liability Partnership (LLP)
  - Partnership
  - Limited Liability Company (LLC)
  - C Limited Partnership (LP)
  - O Other (specify):

B.	In what month does your fiscal DECEMBER	year end	each year?								
C.	Under the laws of what state or	country	are you orgar	nized?							
	State Country										
	Delaware United States										
	If you are a partnership, provide	the name	of the state of	r country under whose	e laws your p	partnership v	vas	formed.			
SEC	TION 4.A. Direct Owners and E	xecutive	Officers								
In t	his Section 4, we ask you to iden	tify each	other <i>person</i> t	hat, directly or indirection	ctly, <i>controls</i>	s you.					
	Section 4.A. asks for information	•			cers.						
(2)	Direct Owners and Executive Offi										
	(a) each Chief Executive Officer, status or functions;	Chief Fina	ancial Officer,	Chief Operations Offic	cer, Chief Le	gal Officer,	dire	ector and any other individuals with similar			
							a c	lass of your voting securities, unless you are a			
	a class of your voting securiti grandchild, parent, stepparer	rson that es. For pr nt, grandp dence; or	owns, benefic urposes of this parent, spouse	ially owns, has the ri s Section 4.A., a <i>pers</i> i e, sibling, mother-in-l	ght to vote, <i>on</i> beneficial aw, father-i	or has the Ily owns any n-law, son-	y se in-la	wer to sell or direct the sale of, 5% or more of ecurities: (i) owned by his/her child, stepchild, aw, daughter-in-law, brother-in-law, or sister- bugh the exercise of any option, warrant, or			
	• •	tnership,		rtners and those limi	ted and spe	ecial partner	's th	nat have the right to receive upon dissolution,			
	contributed, 5% or more of y	our capita	al, the trust a	nd each trustee; and	-			the right to receive upon dissolution, or has			
(2)	5% or more of your capital, a	nd (ii) if	managed by e	lected managers, all	elected man	-	to	receive upon dissolution, or have contributed,			
	Do you have any indirect owners			0	~						
	In the DE/FE/I column below, ent or "I" if the owner or executive or			a domestic entity, "F	E" if the own	ner is an en	tity	incorporated or domiciled in a foreign country,			
(5)	Complete the Title or Status colu or member; and for shareholders	•	•	•	•			sole proprietor, elected manager, shareholder, I).			
(6)	•	ss than 5'		3 - 10% but less than		50% but le		than 75%			
(7)				2 - 25% but less than							
(7)	does not have <i>control</i> . Note t					5		ns to Form ADV, and enter "No" if the <i>person</i> general partners, elected managers, and			
	trustees are <i>control persons</i> . (b) In the PR column, enter "PR"	if the ow	nor is a nublic	roporting company i	Indor Soctio	ns 12 or 15	(d)	of the Exchange Act			
	(c) Complete each column.	II the ow		reporting company c	inder Sectio	115 12 01 15	(u)	of the Exchange Act.			
		is Form A	DV through th	ne IARD system and w	vant the IAR	D system to	o pr	e-fill Schedule B with the same indirect owners			
	•	for your f	filing adviser.	If you check the box,	the system	•	•	se fields for you, but you will be able to			
	L LEGAL NAME		Title or	Date Title or Status		Control	PR	CRD No.			
	dividuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax			
Nar	ne, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.			
ELL	IOTT ADVISORS HOLDINGS LLC	DE	MANAGING MEMBER	12/2017	E	Y	N	XXX-XX-XXXX			
SIN	GER, PAUL, ELLIOTT	1	PRESIDENT	12/2017	NA	Y	N	872317			
650	TION 4 P. Indirect Oursers										
	TION 4.B. Indirect Owners	about	ur indirect au	Porce you must first	omplate C-	ction 4 A	uh!	a acke for information about your direct			
(1)	Section 4.B. asks for information owners	about yo	our mairect ow	mers; you must first (	compiete Se	CHOIT 4.A., \	wriiC	ch asks for information about your direct			

- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.

- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and
Last Name, First Name, Middle		Interest is		Acquired	Code	Person		Date of Birth, IRS Tax No. or
Name)		Owned		ΜΜ/ΥΥΥΥ				Employer I D No.
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS	MANAGING MEMBER,	03/2015	E	Y	N	872317
		HOLDINGS LLC	PRESIDENT					

Yes No

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### SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your management or policies.

#### No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

#### SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

A. Your full legal name: HAMBLEDON MANAGEMENT LLC

B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV Part 1A.

C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.

D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number: 307072

No Information Filed

If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).

E.	Princ	cipal Office and Place of Business											
	(1)	Address (do not use a P.O. Box):											
		Same as the <i>filing adviser</i> .											
		Number and Street 1:		Number and Stree	et 2:								
		360 S. ROSEMARY AVE	State:	18TH FLOOR Country:	ZIP+4/Postal Code:								
		City: WEST PALM BEACH	Florida	United States	33401								
		If this address is a private residen	ce, check this box: 🗖										
	(2)	Days of week that you normally co Monday - Friday Other:	onduct business at yo	ur principal office and plac	re of business:								
		Normal business hours at this loca 8:30AM-5:30PM	ation:										
	(3)	Telephone number at this location (212) 974-6000											
	(4)	Facsimile number at this location,	if any:										
F.	Maili	ng address, if different from your <i>pr</i>	incipal office and place	of business address:									
•••													
	LS	Same as the <i>filing adviser</i> .											
		nber and Street 1:		Number and Street 2:									
	City	state:		Country: ZIP+4/Postal Code:									
	lf th	nis address is a private residence, ch	neck this box: 🗖										
G.	Prov	ide your <i>Legal Entity Identifier</i> if you	have one:										
	A leg ident		r that companies use	to identify each other in	the financial marketplace. You may not have a <i>legal entity</i>								
H.	lf yo	u have Central Index Key numbers a	assigned by the SEC (	•	our CIK numbers:								
				No Information Filed									
SEC	TION	2 SEC Registration											
Res	ponse	es to this Section help us (and you)	determine whether yc	ou are eligible to register	with the SEC.								
А.	Secti	ions 2.A.(1) through 2.A.(8), below.		• • •	ered) with the SEC. You must check <b>at least one</b> of the nelp you determine whether you may affirmatively respond to								
		of these items. (the <i>relying adviser</i> ):											
		<ul><li>(1) are a large advisory firm that</li></ul>	aithar										
				million (in U.S. dollars)									
		(a) has regulatory assets under	-										
	(b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual up amendment and is registered with the SEC;												
		(2) are a mid-sized advisory firm a million (in U.S. dollars) and you		ssets under management	t of \$25 million (in U.S. dollars) or more but less than \$100								
		<ul> <li>(a) not required to be registere place of business; or</li> </ul>	d as an adviser with t	the state securities author	rity of the state where you maintain your principal office and								
		(b) not subject to examination	by the state securities	authority of the state wh	nere you maintain your principal office and place of business;								
		Click <b>HERE</b> for a list of states authority.	in which an investmer	nt adviser, if registered, w	ould not be subject to examination by the state securities								
		(3) Reserved											
		(4) have your principal office and pla	ace of business <b>outside</b>	e the United States;									
		(5) are a related adviser under rul	e 203A-2(b) that cont	<i>rols</i> , is <i>controlled</i> by, or is	under common control with, an investment adviser that is								

		registered with the SEC, and your principal office and place of business is the same as the registered adviser;
Γ		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
Γ		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		If this is your initial filing as a relying adviser, you must make both of these representations:
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
Γ		(8) have <b>received an SEC</b> <i>Order</i> exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		Application Number: 803- Date of Order:
Γ		(9) are <b>no longer eligible</b> to remain registered with the SEC.
SECTIO	DN	I 3 Form of Organization
		/ are you organized?
6	0	Corporation
0	0	Sole Proprietorship
6	0	Limited Liability Partnership (LLP)
6	0	Partnership
0	•	Limited Liability Company (LLC)
0	0	Limited Partnership (LP)
(	0	Other (specify):
		vhat month does your fiscal year end each year? EMBER

C. Under the laws of what state or country are you organized? State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

#### SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

(c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution,

- or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
HAMBLEDON, INC.	FE	MANAGING	09/2019	E	Y	N	292242
		MEMBER					
SINGER, PAUL, ELLIOTT	l	PRESIDENT	09/2019	NA	Y	Ν	872317

### SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired		Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax
Name)				ΜΜ/ΥΥΥΥ				No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
				10/0010				000001
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
		ADVISORS, L.P.	PARTNER					

ELLIOTT CAPITAL ADVISORS, L.P.	DE	HAMBLEDON, INC.	CLASS C	11/2019	E	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	SHAREHOLDER MANAGER, CLASS A MEMBER	12/2018	D	Y	N	
ELLIOTT INTERNATIONAL LIMITED	FE	HAMBLEDON, INC.	CLASS B SHAREHOLDER	12/2010	E	N	N	
ELLIOTT INTERNATIONAL SPECIAL GP, LLC	FE	HAMBLEDON, INC.	CLASS A SHAREHOLDER	11/2019	E	Y	N	
KAPLAN, MYRON	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	С	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MEMBER, PRESIDENT	01/2019	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

Yes No

○

# No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

# SECTION 1 Identifying Information

Responses to this Section tell us who you (the *relying adviser*) are, where you are doing business, and how we can contact you.

A. Your full legal name: EIMFL LLC

B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.

C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

	You c	to not have to include	the names or jurisdictior	ns of the filing a	adviser or other relying ad	viser(s) in response to this Section 1.C.
D.		<i>er's CRD</i> number), yo		D Number") as	ssigned by the FINRA's CF	RD system or by the IARD system (other than the filing
	0110				No Information Filed	
	lf yοι advis		number, skip this Section	1.D. Do not pro	ovide the CRD number of c	one of your officers, employees, or affiliates (including the filing
E.	Princi	ipal Office and Place o	f Business			
	(1)	Address (do not use	e a P.O. Box):			
		Same as the filing	g adviser.			
		Number and Street	1:		Number and Street 2	2:
		360 S. ROSEMARY A	VE		18TH FLOOR	
		City: WEST PALM BEACH		State: Florida	Country: United States	ZIP+4/Postal Code: 33401
		If this address is a	private residence, check	this box: 🗖		
	(2)	Days of week that y Monday - Friday	•	siness at your	principal office and place	of business:
		Normal business ho 8:30AM-5:30PM	ours at this location:			
	(3)	Telephone number (561) 884-8800	at this location:			
	(4)	Facsimile number at	t this location, if any:			
F.	Mailir	ng address, if differer	nt from your <i>principal offi</i>	ce and place of	<i>f business</i> address:	
	🗖 S	ame as the <i>filing advi</i>	iser.			
	Num	ber and Street 1:			Number and Street 2:	
	City:		State:		Country:	ZIP+4/Postal Code:
	lf th	is address is a privat	e residence, check this t	pox:		
G.	Provi	de your <i>Legal Entity i</i>	<i>Identifier</i> if you have one	:		
	A leg. ident		unique number that cor	npanies use to	o identify each other in th	e financial marketplace. You may not have a <i>legal entity</i>
H.	lf you	u have Central Index	Key numbers assigned b	by the SEC ("C	CIK Numbers"), all of your No Information Filed	CIK numbers:
		2 SEC Registration	us (and you) determine	whathar you	are eligible to register w	ith the SEC
Α.	Secti each	ons 2.A.(1) through 2 of these items.		, 0	0 . 0	ed) with the SEC. You must check <b>at least one</b> of the p you determine whether you may affirmatively respond to
		the relying adviser):				
	$\checkmark$	(1) are a large advis	sory firm that either:			
		(a) has regulator	y assets under managen	nent of \$100 n	nillion (in U.S. dollars) or	more; or
			y assets under managem nd is registered with the		llion (in U.S. dollars) or n	nore at the time of filing its most recent annual updating

		(2) are a <b>mid-sized advisory firm</b> that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
		(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and
		place of business; or
		(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
		Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3) Reserved
		(4) have your principal office and place of business outside the United States;
		(5) are a <b>related adviser</b> under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		If this is your initial filing as a relying adviser, you must make both of these representations:
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
		<ul> <li>(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:</li> </ul>
		Application Number: 803- Date of <i>Order</i> :
		(9) are <b>no longer eligible</b> to remain registered with the SEC.
SEC A.		I <b>3 Form of Organization</b> / are you organized?
A.		Corporation
	õ	Sole Proprietorship
	õ	Limited Liability Partnership (LLP)
	õ	Partnership
	o	Limited Liability Company (LLC)
	0	Limited Partnership (LP)
	0	Other (specify):
В.	In w	hat month does your fiscal year end each year?
D.		EMBER
C.	Und	er the laws of what state or country are you organized?
	Sta	
	Del	aware United States
	lf yc	ou are a partnership, provide the name of the state or country under whose laws your partnership was formed.
SEC	TION	4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
    Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
  - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
  - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? 
  <sup>•</sup> Yes <sup>•</sup> No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
ELLIOTT INVESTMENT MANAGEMENT	DE	MANAGING	10/2020	E	Y	Ν	307151
L.P.		MEMBER					
SINGER, PAUL, ELLIOTT	I	PRESIDENT	10/2020	NA	Y	Ν	872317

#### SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but les

C - 25% but less than 50% E - 75% or more

- D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	· ·	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	C	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	D	N	N	
ELLIOTT INVESTMENT MANAGEMENT GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	GENERAL PARTNER	09/2019	F	Y	N	xxx-xx-xxxx
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	С	N	N	
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317

### SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

A. Your full legal name:

Yes No

	EIMC	T LLC							
B.	Name 1A.	e under which you prir	marily conduct your advi	sory busines	s, if different from Section	1.A. above or Item 1.A. of the filing advis	<i>er's</i> Form ADV Part		
C.	List a	ny other business na	mes and the jurisdiction	s in which y	ou use them. Complete th	is question for each other business name			
No Information Filed									
	You a	lo not have to include a	the names or jurisdiction.	s of the filing	adviser or other relying ad	lviser(s) in response to this Section 1.C.			
D.		er's CRD number), you		) Number") a	assigned by the FINRA's CI	<i>RD</i> system or by the IARD system (other t	han the <i>filing</i>		
					No Information Filed				
	lf you advis		umber, skip this Section 1	I.D. Do not pi	rovide the CRD number of c	one of your officers, employees, or affiliates	(including the filing		
E.	Princi	pal Office and Place of	Business						
	(1)	Address (do not use	a P.O. Box):						
		Same as the <i>filing</i>	adviser.						
		Number and Street 1 600 STEAMBOAT ROA			Number and Street 2: 3RD FLOOR				
		City: GREENWICH	State: Connecticut		Country: United States	ZIP+4/Postal Code: 06830			
		If this address is a p	private residence, check	this box: 🗖					
	(2)	Days of week that yo Monday - Friday	5	siness at you	r principal office and place	of business:			
		Normal business hou 8:30AM-5:30PM	urs at this location:						
	(3)	Telephone number a (212) 974-6000	at this location:						
	(4)	Facsimile number at	this location, if any:						
F.	Mailir	ng address, if different	t from your <i>principal offic</i>	e and place o	of business address:				
	🗖 Sa	ame as the <i>filing advis</i>	ser.						
	Num City:	ber and Street 1:	State:		Number and Street 2: Country:	ZIP+4/Postal Code:			
	lf th	is address is a private	e residence, check this b	ox: 🗖					
G.	Provi	de your <i>Legal Entity Ic</i>	<i>dentifier</i> if you have one:						
	A lega identi	-	unique number that com	ipanies use t	o identify each other in th	ne financial marketplace. You may not hav	e a <i>legal entity</i>		
H.	lf you	ı have Central Index H	Key numbers assigned b	y the SEC ("	CIK Numbers"), all of you No Information Filed	r CIK numbers:			

- SECTION 2 SEC Registration Responses to this Section help us (and you) determine whether you are eligible to register with the SEC. To be a relying adviser, you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items. You (the relying adviser): (1) are a large advisory firm that either: (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating amendment and is registered with the SEC; (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either: (a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business; Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority. (3) Reserved (4) have your principal office and place of business outside the United States; (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser; (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days; If you check this box, you must make both of the representations below: I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective. By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC. (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d); If this is your initial filing as a relying adviser, you must make both of these representations: I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states. The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states. If you are submitting your *annual updating amendment*, you must make this representation: Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded
  - that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
  - (8) have **received an SEC** *Order* exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

#### **SECTION 3 Form of Organization**

- A. How are you organized?
  - O Corporation
  - O Sole Proprietorship
  - C Limited Liability Partnership (LLP)
  - o Partnership
  - Limited Liability Company (LLC)
  - C Limited Partnership (LP)
  - Other (specify):
- B. In what month does your fiscal year end each year?

	DECEMBER							
C.	Under the laws of what state or	country a	are vou organ	lized?				
0.	State Country	oounity (	are yea ergar					
	Delaware United States							
	If you are a partparable provide	the name	of the state of	r agustru undar whaca		ortoorchip		formed
	If you are a partnership, provide	ine name	or the state of	country under whose	iaws your pa	ar thership w	vas i	iormea.
SEC	TION 4.A. Direct Owners and Ex	kecutive	Officers					
In t	this Section 4, we ask you to iden	tify each o	other <i>person</i> t	hat, directly or indirec	tly, <i>controls</i>	you.		
(1)	Section 4.A. asks for information	about yo	ur direct owne	ers and executive offic	ers.			
(2)	Direct Owners and Executive Offi							
	(a) each Chief Executive Officer, status or functions;	Chief Fina	ncial Officer,	Chief Operations Offic	er, Chief Leç	gal Officer,	dire	ector and any other individuals with similar
	(b) if you are organized as a corp public reporting company (a c						a cl	ass of your voting securities, unless you are a
	Direct owners include any <i>per</i> a class of your voting securiti grandchild, parent, stepparen	r <i>son</i> that es. For pu it, grandp dence; or	owns, benefic urposes of this arent, spouse	ially owns, has the rig s Section 4.A., a <i>perso</i> e, sibling, mother-in-la	ght to vote, on beneficiall aw, father-ir	or has the y owns any i-law, son-i	, seo in-la	ver to sell or direct the sale of, 5% or more of curities: (i) owned by his/her child, stepchild, aw, daughter-in-law, brother-in-law, or sister- ough the exercise of any option, warrant, or
	(c) if you are organized as a part or have contributed, 5% or m		-	rtners and those limit	ted and spec	ial partner	s th	hat have the right to receive upon dissolution,
	(d) in the case of a trust that dire contributed, 5% or more of years	•		•	ing securitie	s, or that h	nas †	the right to receive upon dissolution, or has
	<ul><li>(e) if you are organized as a limit</li><li>5% or more of your capital, a</li></ul>					•	to i	receive upon dissolution, or have contributed,
(3)	Do you have any indirect owners	to be rep	orted on Sect	ion 4.B. below? 👩 Y	es 👩 No	-		
• •	In the DE/FE/I column below, ent or "I" if the owner or executive of			a domestic entity, "FE	E" if the own	er is an en	tity	incorporated or domiciled in a foreign country,
• •	Complete the Title or Status colu or member; and for shareholders	5	0	0	•			ole proprietor, elected manager, shareholder, ).
	Ownership codes are: NA - les	ss than 59	% E	3 - 10% but less than 2 - 25% but less than	25% D-!	50% but le	ss tl	-
(7)	(a) In the Control Person column,	enter "Ye	es" if the <i>pers</i>	on has <i>control</i> as defir	ned in the GI	ossary of T	erm	ns to Form ADV, and enter "No" if the person
		hat under	this definitio	n, most executive offi	cers and all	25% owne	rs, g	general partners, elected managers, and
	<ul><li>trustees are <i>control persons</i>.</li><li>(b) In the PR column, enter "PR"</li></ul>	if the own	her is a public	reporting company u	nder Sectior	ns 12 or 15	(d) (	of the Exchange Act
	(c) Complete each column.			· · · · · · · · · · · · · · · · · · ·			()	
	Check this box if you are filing th	is Form A	DV through th	e IARD system and w	ant the IAR	D system to	o pre	e-fill Schedule B with the same indirect owners
	you have provided in Schedule B manually edit the information after	-	-		-	will pre-fill	thes	se fields for you, but you will be able to
	L LEGAL NAME	DE/FE/I		Date Title or Status		Control	PR	CRD No.
•	dividuals: Last Name, First ne, Middle Name)		Status	Acquired MM/YYYY	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
	IOTT INVESTMENT MANAGEMENT	DE	MANAGING MEMBER	10/2020	E	Y	N	307151
	IGER, PAUL, ELLIOTT	1	PRESIDENT	10/2020	NA	Υ	Ν	872317
0 = 6								
	Section 4.B. Indirect Owners	about va	ur indirect ex	norse you must first a	omplata Saa	tion 1 A	vbic	hacks for information about your direct
	owners	-		-	·			h asks for information about your direct
(2)	Indirect Owners. With respect to	each ow	ner listed in S	ection 4.A. (except in	dividual owr	ners), list b	elov	v:

(a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

(b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;

(c) in the case of an owner that is a trust, the trust and each trustee; and

(d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.

(3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or

15(d) of the Exchange Act) is reached, no further ownership information need be given.

- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired		Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax
Name)				ΜΜ/ΥΥΥΥ				No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	D	N	N	
ELLIOTT INVESTMENT MANAGEMENT GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	GENERAL PARTNER	09/2019	F	Y	N	xxx-xx-xxxx
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	N	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	С	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317

SECTION 4.C. Control Persons

Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies? C.

 $\odot$ 

If yes, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your management or policies.

	No Information Filed										
SEC	TION	4.D. Control Person	s - Public Reporting Co	ompanies							
	No Information Filed										
		1 Identifying Inform									
	Responses to this Section tell us who you (the <i>relying adviser</i> ) are, where you are doing business, and how we can contact you.										
A.		full legal name: L SUB LLC									
В.	Name 1A.	e under which you pri	marily conduct your ad	visory busines	s, if different from Section 7	1.A. above or Item 1.A. of the <i>filing adviser's</i> Form ADV Part					
C.	List a	ny other business na	ames and the jurisdiction	ons in which y	ou use them. Complete this	s question for each other business name.					
					No Information Filed						
	You a	lo not have to include	the names or jurisdictio	ons of the filing	adviser or other relving advi	iser(s) in response to this Section 1.C.					
				-							
D.	-	er's CRD number), you		RD Number") a	assigned by the FINRA's CRL	Osystem or by the IARD system (other than the filing					
					No Information Filed						
E.	advis			1.D. Do not p	rovide the CRD number of or	ne of your officers, employees, or affiliates (including the filing					
L.											
	(1)	Address (do not use									
		Same as the filing	g adviser.								
		Number and Street 360 S. ROSEMARY A			Number and Street 2: 18TH FLOOR						
		City:	V L	State:	Country:	ZIP+4/Postal Code:					
		WEST PALM BEACH		Florida	United States	33401					
		If this address is a p	private residence, check	k this box: 🗖							
	(2)	Days of week that y Monday - Friday	-	usiness at you	Ir principal office and place of	f business:					
		Normal business hou 8:30AM-5:30PM	urs at this location:								
	(3)	Telephone number a (561) 884-8800	at this location:								
	(4)	Facsimile number at	this location, if any:								
F.	Mailir	ng address, if differen	t from your <i>principal ofi</i>	fice and place of	of business address:						
	🗖 S	ame as the <i>filing advis</i>	ser.								
	Num	ber and Street 1:			Number and Street 2:						
	City		State:		Country:	ZIP+4/Postal Code:					
	lf th	is address is a private	e residence, check this	box: 🗖							

G. Provide your Legal Entity Identifier if you have one:

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

H. If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:

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No Information Filed
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#### SECTION 2 SEC Registration

Responses to this Section help us (and you) determine whether you are eligible to register with the SEC.

A. To be a *relying adviser*, you must be independently eligible to register (or remain registered) with the SEC. You must check **at least one** of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

You (the relying adviser):

- (1) are a large advisory firm that either:
  - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
  - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
  - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business;* or
  - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- (4) have your principal office and place of business outside the United States;
- (5) are a **related adviser** under rule 203A-2(b) that *controls*, is *controlled* by, or is under common *control* with, an investment adviser that is registered with the SEC, and your *principal office and place of business* is the same as the registered adviser;
- (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- □ I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.
- If you are submitting your *annual updating amendment*, you must make this representation:
- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

#### A. How are you organized?

- O Corporation
- O Sole Proprietorship
- o Limited Liability Partnership (LLP)
- O Partnership
- Limited Liability Company (LLC)
- Limited Partnership (LP)
- Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized? State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

## SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? <sup>•</sup> Yes <sup>•</sup> No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5%
  - NA less than 5%
     B 10% but less than 25%
     D 50% but less than 75%

     A 5% but less than 10%
     C 25% but less than 50%
     E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
DAGATA, MANDA, JEAN	I	DIRECTOR	01/2021	NA	Y	Ν	2296404
EIMFL LLC	DE	MEMBER	01/2021	E	Y	Ν	311380
GREENBERG, ELLIOT	1	DIRECTOR	01/2021	NA	Y	Ν	1250067
MAGNAN, JEAN-YVES	1	DIRECTOR	01/2021	NA	Y	Ν	7242973
RAJAN, SRIKRISHNAN, M	1	DIRECTOR	01/2021	NA	Y	Ν	4924108
SINGER, PAUL, ELLIOTT	1	DIRECTOR,	01/2021	NA	Υ	Ν	872317
		PRESIDENT					

#### SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	D	N	N	
ELLIOTT INVESTMENT MANAGEMENT GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	GENERAL PARTNER	09/2019	F	Y	N	xxx-xx-xxxx
ELLIOTT INVESTMENT MANAGEMENT L.P.	DE	EIMFL LLC	MANAGING MEMBER	10/2020	E	Y	N	307151
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	PESFT IIH EA LLC	MANAGING MEMBER	06/2023	E	Y	Ν	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	Ν	
PESFT IIH EA LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	06/2023	С	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317

SINGER, PAUL, ELLIOTT       I       ELLIOTT       MANAGING       12/2018       F       Y       N       872317         SINGER, PAUL, ELLIOTT       I       ELLIOTT       MANAGING       PRESIDENT       09/2019       E       Y       N       872317         SINGER, PAUL, ELLIOTT       I       ELLIOTT       MANAGING       09/2019       E       Y       N       872317         SINGER, PAUL, ELLIOTT       I       ELLIOTT       MANAGING       09/2019       E       Y       N       872317         SINGER, PAUL, ELLIOTT       I       ELLIOTT       MANAGING       09/2019       E       Y       N       872317	SINGER, PAUL, ELLIOTT	I ELLIOTT ASSET MANAGEMENT LL		02/2003	E	Y	N	872317
Interactional         Interact			PRESIDENT	10/0010				070017
Interview         Hubble is the set of the se	INGER, PAUL, ELLIOTT	INTERNATIONAL	MEMBER,	12/2018	F	Y	N	8/2317
Internation         Method State         Comparison         Pecktory         Pecktory <td>NGER, PAUL, ELLIOTT</td> <td></td> <td></td> <td>09/2019</td> <td>E</td> <td>Y</td> <td>N</td> <td>872317</td>	NGER, PAUL, ELLIOTT			09/2019	E	Y	N	872317
LLC         CTION 4.0 Control Persons         Desisting person not named in Section 1.A., Section 4.8. cliently or indirectly, control your management or policies?         If yes, you much complete the information below for each axional person not neered in Section 1.A., Section 4.A., or Section 4.B. Hot directly or indirectly control your management or policies.         If yes, you much complete the information below for each axional person not neered in Section 1.A., Section 4.A., or Section 4.B. Hot directly or indirectly control your management or policies.         If yes, you much complete the information person         If yes, you much complete the information person or policies.         If an information Field         If an information Field         If an information Field         It any other business names and the jurisdictions in which you use them. Complete this question for each other business names.         No Information Field         If any other business names and the jurisdictions in which you use them. Complete this question to each other business name.         No Information Field         If you do not have a Coth number; (COM Number) mestioned by the <i>FiNSAN CSD</i> system or the <i>Number</i> ; states (Action I.D. is not provide the Cital number or one or your offices, emproyees, or atticing of the information field         If you do not have a Coth number; State II.D. bo not provide the Cital number or one or your offices, emproyees, or atticing (nothing advices)         If you advices (Bo number; State II.D. bo not provide the Cital number or one or your of								
Ves           Eners any person ont named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?         ©           If yes, you must complete the information below for each control person ont earned in Section 1.A., Section 4.A., or Section 4.B. that directly or policies?         No           No information Elect         No information Elect         No           Section 4.B. Control Persons - Public Reporting Companies         No         No           CHON 4.D. Control Persons - Public Reporting Companies         No         No           CHON 4.D. Control Persons - Public Reporting Companies         No         No           CHON 4.D. Control Persons - Public Reporting Companies         No         No           CHON 4.D. Control Persons - Public Reporting Companies         Companies         No           CHON 4.D. Control Persons - Public Reporting Companies         Companies         No         No           Section (chine Section (chine Section (chine Section (chine Section 1.A.)         No         No         No           Section (chine Section								
Decs any presental network in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?       If you, you must complete the information below for each control person not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly controls your management or policies.         Indirectly control Persons - Public Reporting Companies       No. Information Field         CETION 1.D. Control Persons - Public Reporting Companies       No. Information Field         CETION 1.D. Control Persons - Public Reporting Companies       No. Information Field         CETION 1.D. Control Persons - Public Reporting Companies       No. Information Field         CETION 1.D. Control Persons - Public Reporting Companies       No. Information Field         CETION 1.D. Control Persons - Public Reporting Companies       No. Information Field         CETION 1.D. Control Persons - Public Reporting Companies       No. Information Field         CETION 1.D. Control Persons - Public Reporting Companies       No. Information 1.A. alkance or Item 1.A. of the filegradvisor's Form ACV P. Tak         • Your Dull lega name:       No. Information 1.B. alkance or Item 1.A. of the filegradvisor's Form ACV P. Tak         • Lest any other business names and the Jurisdictions in which you use them. Complete this question for each other business name.       No. Information 1.B.d         • To us do not have to include the number or jurisdictions of the filingradviser or other regingradviser(s) in response to this Section 1.C.       No. Information Field <tr< td=""><td>CTION 4.C. Control Persons</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr<>	CTION 4.C. Control Persons							
Indirectly costrols your management or policies.         No Information Filed         SCTION 4.D. Control Persons - Public Reporting Companies         No Information Filed         SCTION 1 dentifying information         exponses to this Section tell us who you (the relying advised) are, where you are doing business, and how we can contact you.         Your builling anone:         ELLIOTT FINANCIAL ITALIA S.R.L.         No Information Tiled         No Information To the provide the provide divisory business, it different from Section 1.A. above or Item 1.A. of the #Bing adviser's form ADV PL         No Information Tiled         If you do not have a Cito number, skip foils Section 1.D. Do not provide the Cito number of one of your officers, employees, or affibrates (including the file advisor):         If you do n	. Does any <i>person</i> not named	in Section 1.A., Section 4.A.,	or Section 4.B. dire	ectly or indirectly	, <i>control</i> yo	ur manage	men	t or policies?
No Information Filed         SetTion 4.D. Control Persons - Public Reporting Companies         No Information Filed         SetTion 1 Identifying Information         SetTion 1 Identifying Information I Ide	If yes, you must complete t	the information below for each	control person not	named in Sectio	on 1.A., Sec	tion 4.A., o	r Se	ction 4.B. that directly or
EGTION 4.D. Control Persons - Public Reporting Companies           No Information Filed           EGTION 1 Identifying Information           Reporters to this Section fiell us who you (the relying adviced) are, where you are doing business, and how we can contact you.           Your full legal name:           ELUCIT FINANCIAL TRALE S.R.L.           Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Hem 1.A. of the filing advisor's Form ADV P. 1A.           List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.           No Information Filed           You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this Section 1.C.           If you currently have, or over had, a number (CRD Number') assigned by the FINRA's CRD system or by the LARD system (other than the filing advisor) Section Filed           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file advisor).           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file advisor).           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file advisor).           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affil	indirectly controls your man	agement or policies.						
EGTION 4.D. Control Persons - Public Reporting Companies           No Information Filed           EGTION 1 Identifying Information           Reporters to this Section fiell us who you (the relying adviced) are, where you are doing business, and how we can contact you.           Your full legal name:           ELUCIT FINANCIAL TRALE S.R.L.           Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Hem 1.A. of the filing advisor's Form ADV P. 1A.           List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.           No Information Filed           You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this Section 1.C.           If you currently have, or over had, a number (CRD Number') assigned by the FINRA's CRD system or by the LARD system (other than the filing advisor) Section Filed           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file advisor).           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file advisor).           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file advisor).           If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affil			No Informatio					
No Information Filed         ECTION 1 Identifying Information         esponses to this Section tell us who you (the relying advisor) are, where you are doing business, and how we can contact you.         Your full legal name:         FILIOTT FIRANCIAL ITALIA S.R.L.         Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV P. 1A.         List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.         No Information Filed         You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this Section 1.C.         You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this Section 1.C.         You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this Section 1.C.         You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this Section 1.C.         You do not have a CRD number; skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file advisor).         Principal Office and Place of Business         (1) Address (de not use a P.O. Box):         Same us the fileg adviser.         Number and Street 1:       Number and Street 2:         CORSO ITALIA, 8 <td< td=""><td></td><td></td><td></td><td>n Flied</td><td></td><td></td><td></td><td></td></td<>				n Flied				
ECTION 1 Identifying information         esponses to this Section totil us who you (the rolying advisor) are, where you are doing business, and how we can contact you.         Your full legal name:         FILIOTT ENANCIAL TALLA S.R.L.         5. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or them 1.A. of the <i>filing adviser's</i> Form ADV P. 1A.         c. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.         No Information Filed         You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this. Section 1.C.         No Information Filed         You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this. Section 1.C.         No Information Filed         You do not have to include the names or jurisdictions of the filing advisor or other relying advisor(s) in response to this. Section 1.C.         No Information Filed         You do not have a linebula this Section 1.D. Do not provide the CRD number of one of your afficers, employees, or affiliates (including the filing adviser).         Philipal Office and Place of Business         (1) Address (do not use a P.O. Box):         Same as the filing adviser:         Number and Street 1:       Number and Street 2:         CORSO ITALIA &       Conurty:       ZIP 4/Postal Code:	ECTION 4.D. Control Persons	- Public Reporting Companie						
esponses to this Section tell us who you (the <i>relying advisor</i> ) are, where you are doing business, and how we can contact you.           Your full legal name:           ELLIOTT FINANCIAL ITALIA S.R.L           In Name under which you primarily conduct your advisory business, if different from Section 1.A. above or item 1.A. of the <i>filing adviser's</i> Form ADV P 1A.           List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.           No Information Filed           You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.           If you currently have, or ever had, a number ("CRD Number") assigned by the <i>FINRA's CRD</i> system or by the IARD system (other than the <i>filing adviser's CRD</i> number), your <i>CRD</i> number: <b>318704</b> If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).           Principal Office and Place of Business           (1) Address (do not use a P.O. Box):           Same as the filing adviser.           Number and Street 1:           Corps (TALLA, 8)           City:         State:           Country:         ZIP+4/Postal Code:			No Informatio	on Filed				
Your full legal name:         ELLIOTT FINANCIAL ITALIA S.R.L.         Is name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the <i>filing adviser's</i> Form ADV P 1A.         List any other business names and the jurisdictions in which you use them. Complete this guestion for each other business name.         No Information Filed         You do not have to include the names or jurisdictions of the filing adviser or other rolying adviser(s) in response to this Section 1.C.         No Information Filed         You do not have to include the names or jurisdictions of the filing adviser or other rolying adviser(s) in response to this Section 1.C.         No Information Filed         You do not have a CRD number, your CRD number: <b>318704</b> No Information Filed         If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the file adviser).         Principal Office and Place of Business         (1) Address (do not use a P.O. Box):         Same as the filing adviser;         Number and Street 1:       Number and Street 2:         CORSO ITALIA, 8       Clip;         Clip;       State:       Country:       ZIP+4/Postal Code:         MILAN       Taly       20122	ECTION 1 Identifying Informa	ition						
ELLIOTT FINANCIAL ITALIA S.R.L.         A name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the <i>filing adviser's</i> Form ADV P TA.         Ist any other business names and the jurisdictions in which you use them. Complete this question for each other business name.         No Information Filed         You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.         You currently have, or ever had, a number ("CRD Number") assigned by the <i>FINRA's</i> CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number: 318704         No Information Filed         If you do not have a CRD number, skip this Section 1.D. Do not provide the GRD number of one of your officers, employees, or affiliates (including the file adviser).         Principal Office and Place of Business         (1) Address (do not use a P.O. Box):         Same as the filing adviser.         Number and Street 1:       Number and Street 2:         CORSO ITALIA, 8       City:       State:       Country:       ZIP+4/Postal Code:         City:       State:       Country:       ZIP+4/Postal Code:       Have	esponses to this Section tell us	s who you (the <i>relying adviser</i> )	are, where you ar	re doing busines	s, and how	we can co	ntac	t you.
Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the filing adviser's Form ADV P 1A.   List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.   No Information Flicd   You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number: 318704 No Information Filed If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your afficers, employees, or affiliates (including the filing adviser). Principal Office and Place of Business (1) Address (do not use a P.O. Box): Same as the filing adviser. Number and Street 1: Ourse of TALLA, 8 City: State: Country: ZIP+4/Postal Code: MILAN	A. Your full legal name:							
1A.         List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.         No Information Filed         You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.         Dif you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number:         318704       No Information Filed         If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filiadviser).         Principal Office and Place of Business         (1) Address (do not use a P.O. Box):         Same as the filing adviser.         Number and Street 1:       Number and Street 2:         CORSO ITALIA. 8       Country:       ZIP+4/Postal Code:         City:       State:       Country:       ZIP+4/Postal Code:         MILAN       Italy       20122	ELLIOTT FINANCIAL ITALIA S	S.R.L.						
1A.         List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.         No Information Filed         You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.         Dif you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number:         318704       No Information Filed         If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filiad/secr).         Principal Office and Place of Business         (1) Address (do not use a P.O. Box):         Same as the filing adviser.         Number and Street 1:       Number and Street 2:         CORSO ITALIA, 8       City:       State:         City:       State:       Country:       ZIP+4/Postal Code:         MILAN       Italy       20122							<b>6</b>	
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<ul> <li>If you currently have, or ever had, a number ("<i>CRD</i> Number") assigned by the <i>FINRA's CRD</i> system or by the IARD system (other than the <i>filing adviser's CRD</i> number), your <i>CRD</i> number:</li> <li>318704 No Information Filed If you do not have a <i>CRD</i> number, skip this Section 1.D. Do not provide the <i>CRD</i> number of one of your officers, employees, or affiliates (including the fil adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box): Same as the <i>filing adviser</i>. Number and Street 1: CORSO ITALIA, 8 City: State: Country: ZIP+4/Postal Code: MILAN Hard Street 2: Country: ZIP+4/Postal Code: MILAN Hard Street 2: Country: ZIP+4/Postal Code: MILAN</li></ul>			No Informa	tion Filed				
<ul> <li>If you currently have, or ever had, a number ("<i>CRD</i> Number") assigned by the <i>FINRA's CRD</i> system or by the IARD system (other than the <i>filing adviser's CRD</i> number), your <i>CRD</i> number: 318704 No Information Filed</li> <li>If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filiadviser).</li> <li>Principal Office and Place of Business</li> <li>(1) Address (do not use a P.O. Box): <ul> <li>Same as the <i>filing adviser</i>.</li> <li>Number and Street 1:</li> <li>CORSO ITALIA, 8</li> <li>City:</li> <li>State:</li> <li>Country:</li> <li>ZIP+4/Postal Code:</li> <li>MILAN</li> <li>Italy</li> </ul> </li> </ul>								
<ul> <li>If you currently have, or ever had, a number ("<i>CRD</i> Number") assigned by the <i>FINRA's CRD</i> system or by the IARD system (other than the <i>filing adviser's CRD</i> number), your <i>CRD</i> number:</li> <li>318704 No Information Filed If you do not have a <i>CRD</i> number, skip this Section 1.D. Do not provide the <i>CRD</i> number of one of your officers, employees, or affiliates (including the file adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box): Same as the <i>filing adviser</i>. Number and Street 1: CORSO ITALIA, 8 City: State: Country: ZIP+4/Postal Code: MILAN If you currently have, or ever had, a number of one of your officers. If you do not have a <i>CRD</i> number, skip this Section 1.D. Do not provide the <i>CRD</i> number of one of your officers, employees, or affiliates (including the file adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box): I same as the <i>filing adviser</i>. Number and Street 1: CORSO ITALIA, 8 City: State: Country: ZIP+4/Postal Code: MILAN Italy 20122</li></ul>								
<ul> <li>If you currently have, or ever had, a number ("<i>CRD</i> Number") assigned by the <i>FINRA's CRD</i> system or by the IARD system (other than the <i>filing adviser's CRD</i> number), your <i>CRD</i> number:</li> <li>318704 No Information Filed If you do not have a <i>CRD</i> number, skip this Section 1.D. Do not provide the <i>CRD</i> number of one of your officers, employees, or affiliates (including the fil adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box): Same as the <i>filing adviser</i>. Number and Street 1: CORSO ITALIA, 8 City: State: Country: ZIP+4/Postal Code: MILAN Hard Street 2: Country: ZIP+4/Postal Code: MILAN Hard Street 2: Country: ZIP+4/Postal Code: MILAN</li></ul>								
<ul> <li>If you currently have, or ever had, a number ("<i>CRD</i> Number") assigned by the <i>FINRA's CRD</i> system or by the IARD system (other than the <i>filing adviser's CRD</i> number), your <i>CRD</i> number:</li> <li>318704 No Information Filed If you do not have a <i>CRD</i> number, skip this Section 1.D. Do not provide the <i>CRD</i> number of one of your officers, employees, or affiliates (including the file adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box): Same as the <i>filing adviser</i>. Number and Street 1: CORSO ITALIA, 8 City: State: Country: ZIP+4/Postal Code: MILAN If you currently have, or ever had, a number of one of your officers. If you do not have a <i>CRD</i> number, skip this Section 1.D. Do not provide the <i>CRD</i> number of one of your officers, employees, or affiliates (including the file adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box): I same as the <i>filing adviser</i>. Number and Street 1: CORSO ITALIA, 8 City: State: Country: ZIP+4/Postal Code: MILAN Italy 20122</li></ul>	You do not have to include th	he names or iurisdictions of the	e filina adviser or otl	her relvina advise	er(s) in resp	onse to this	: Sec	tion 1.C.
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CORSO ITALIA, 8City:State:Country:ZIP+4/Postal Code:MILANItaly20122	$\square$ Same as the filing a	adviser.						
City:State:Country:ZIP+4/Postal Code:MILANItaly20122		:	Number	and Street 2:				
MILAN Italy 20122		State:	Country:		ZIP+4/Po	stal Code:		
If this address is a private residence, check this box: 🗖	-		•					
· · · · · · · · · · · · · · · · · · ·	If this address is a pr	ivate residence, check this ho	x: 🗖					

	(2)		ays of week that Monday - Friday	• •	siness at your <i>principal office a</i>	nd place o	of business:	
		No	p#	ours at this location:				
	(3)		elephone number 42030091818	at this location:				
	(4)	Fa	acsimile number a	at this location, if any:				
F.	Mail	ing a	address, if differe	ent from your <i>principal offic</i>	e and place of business addres	S:		
		Sam	e as the <i>filing ad</i> v	viser.				
	Nu	mbei	r and Street 1:		Number and Stre	eet 2:		
	City	y:		State:	Country:		ZIP+4/Postal Code:	
	lft	his a	address is a priva	ate residence, check this bo	ox: 🗖			
G.	Pro	∕ide	your <i>Legal Entity</i>	<i>Identifier</i> if you have one:				
		gal e ntifier	-	a unique number that com	npanies use to identify each ot	her in the	e financial marketplace. You may not have a <i>legal en</i> t	tity
H.	lf yo	ou ha	ave Central Inde:	x Key numbers assigned by	y the SEC ("CIK Numbers"), a No Information	•	CIK numbers:	
SEC	τιοι	I 2 S	EC Registration	l				
Res	ponse	es to	o this Section hel	p us (and you) determine	whether you are eligible to re	egister w	vith the SEC.	
A.	Sect	tions				-	red) with the SEC. You must check <b>at least one</b> of the p you determine whether you may affirmatively respo	
	You	(the	e relying adviser):					
	V	(1)	are a large advi	isory firm that either:				
			(a) has regulato	ry assets under manageme	ent of \$100 million (in U.S. do	ollars) or	more; or	
				ry assets under manageme and is registered with the		ars) or m	nore at the time of filing its most recent annual update	ing
				advisory firm that has re dollars) and you are either:		jement o	f \$25 million (in U.S. dollars) or more but less than \$	100
			(a) not required place of busing	•	lviser with the <i>state securities</i>	authority	y of the state where you maintain your principal office	e and
			(b) not subject t	to examination by the <i>stat</i>	<i>te securities authority</i> of the st	ate wher	re you maintain your principal office and place of busin	ess;
			Click <b>HERE</b> fo authority.	or a list of states in which a	an investment adviser, if registe	ered, wou	Id not be subject to examination by the state securities	S
		(3)	Reserved					
		(4)	have your <i>princi</i>	pal office and place of busin	ness outside the United States	<b>S</b> ;		
		(5)			b) that controls, is controlled by al office and place of business is		nder common <i>control</i> with, an investment adviser than ne as the registered adviser;	t is
		(6)	are an <b>adviser</b> r	relying on rule 203A-2(c) b	pecause you <b>expect to be elig</b>	ible for S	SEC registration within 120 days;	
			If you check this	s box, you must make both	h of the representations belov	V:		
							urities authority and I have a reasonable expectation gistration with the SEC becomes effective.	n that I
			Schedule R i	f, on the 120th day after t	e e		in amendment to this <i>umbrella registration</i> to remove with the SEC becomes effective, I would be prohibite	
		(7)	are a multi-stat	e adviser that is required	to register in 15 or more stat	es and is	s relying on rule 203A-2(d);	

	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
	The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
	If you are submitting your annual updating amendment, you must make this representation:
	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the <i>state securities authorities</i> in those states.
<b>(</b> 8)	have <b>received an SEC</b> Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are **no longer eligible** to remain registered with the SEC.

## SECTION 3 Form of Organization

### A. How are you organized?

- Corporation
- O Sole Proprietorship
- o Limited Liability Partnership (LLP)
- O Partnership
- C Limited Liability Company (LLC)
- O Limited Partnership (LP)
- Other (specify): ITALIAN SOCIETÀ A RESPONSABILITÀ LIMITATA
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
  - State Country

Italy

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

#### SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
    Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
  - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
  - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? O Yes O No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
  - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person

does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS
Name, Middle Name)			ΜΜ/ΥΥΥΥ				Tax No. or Employer ID No.
ELLIOTT ADVISORS (UK) LIMITED	FE	SOLE	12/2021	E	Y	N	292248
		SHAREHOLDER					
LEONARD, CHRISTOPHER, PHILLIP	1	DIRECTOR	02/2022	NA	Y	N	7243038
RUGGIERI, MASSIMO	1	DIRECTOR	12/2021	NA	Y	N	7495291

## SECTION 4.B. Indirect Owners

(1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners

(2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:

(a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and

(d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.

- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

#### No Information Filed

Yes No

⊙ ○

## SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

Firm or Organization Name ELLIOTT INVESTMENT MANAGEMENT L.P.

CRD Number (if any) 307151

Effective Date 12/30/2021 **Termination Date** 

Business Address:				
Number and Street 1	I	Number an	d Street 2	
360 S. ROSEMARY AV		18TH FLOO		
City		ate Country		Postal Code
WEST PALM BEACH	Fl	orida United Sta	tes 33401	
If this address is a p	rivate residence, check this bo	рх: <b>Г</b>		
ADVISER. PLEASE SEE	TALIA S.R.L. ("EFI") IS WHOLL E SECTION 4.C. OF EAUK'S SCH SSIDIARY OF EAUK, EFI IS ALS(	EDULE R FOR DISCLOSURE	REGARDING EAUK'S RELATION	D IS A RELYING ADVISER OF THE FILING TO THE FILING ADVISER. THEREFORE, AS A NCES HEREIN TO EFI ARE DEEMED TO BE
SECTION 4.D. Contro	Persons - Public Reporting	Companies		
		No Informat	ion Filed	
RP Pages				
CRIMINAL DISCLOSUF	RE REPORTING PAGE (ADV)			
No Information Filed				
REGULATORY ACTION	DISCLOSURE REPORTING F	PAGE (ADV)		
		GENERAL INST	RUCTIONS	
This Disclosure Reportir	ng Page (DRP ADV) is an 👩 IN	IITIAL OR ⓒ AMENDED res	ponse used to report details for	affirmative responses to Items 11.C., 11.D.
11.E., 11.F. or 11.G. of I	Form ADV.			
Check item (c) being re		Regulatory	Action	
Check item(s) being res		<b>[</b> 11.C(3)		
□ 11.C(1)	□ 11.C(2)		□ 11.C(4)	□ 11.C(5)
<b>1</b> 1.D(1)	✓ 11.D(2)	<b>[</b> 11.D(3)	✓ 11.D(4)	🗖 11.D(5)
□ 11.E(1) □ 11.F.	□ 11.E(2) □ 11.G.	□ 11.E(3)	□ 11.E(4)	
	-			
Use a separate DRP for with a completed Execu	, ,	e same event or <i>proceeding</i>	may be reported for more than	one <i>person</i> or entity using one DRP. File
•			, 11.E., 11.F. or 11.G. Use only le details for each action on a se	one DRP to report details related to the eparate DRP.
PART I				
	entity(ies) for whom this DRP is pry firm)	s being filed is (are):		
~	r more of your <i>advisory affiliate</i>	25		
	f your advisory affiliates	~~		
If this DRP is being	g filed for an <i>advisory affiliate</i> ,	•	<i>visory affiliate</i> below (for individu ate "non-registered" by checkin	als, Last name, First name, Middle name). Ig the appropriate box.
ADV DRP - ADVIS	ORY AFFILIATE			
CRD		nis <i>advisory affiliate</i> is 💿 a F	irm O on Individual	
Number:		ns auvisory arfillate is 🤎 a F	inni 🔍 an Individual	
Registered: O				
Name: ELLI	OTT INTERNATIONAL, L.P.			

(For individuals, Last, First,

CRD		
Number:		This advisory affiliate is 🙆 a Firm 📫 an Individual
Registered:	O Yes 💿 No	
Name:	MANSFIELD (MAURITIUS)	
	LIMITED	
	(For individuals, Last, First, Middle)	
CRD		This advisory affiliate is 💿 a Firm 🗢 an Individual
Number:		
Registered:	O Yes 💿 No	
Name:	THE LIVERPOOL LIMITED PARTNERSHIP	
	(For individuals, Last, First,	
	Middle)	
CRD		
Number:		This advisory affiliate is 💿 a Firm 🛛 © an Individual
Registered:	O Yes O No	
Name:	SUFFOLK (MAURITIUS) LIMITED	
	(For individuals, Last, First,	
	Middle)	
CRD		This <i>advisory affiliate</i> is <sup>•</sup> a Firm <sup>•</sup> an Individual
Number:		This advisory amiliate is 👻 a Firm 🔍 an Individual
Registered:	O Yes O No	
Name:	ELLIOTT ASSOCIATES, L.P.	
	(For individuals, Last, First, Middle)	
	or <i>advisory affiliate's</i> favor.	ate securities authority , you may remove a DRP for an event you reported only in response to Item
11.D(4), and		e than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any
This DRP s circumstar		/ record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the
	•	ne IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to is "Yes," no other information on this DRP must be provided.
O Yes O	No	
NOTE: The co	mpletion of this form does not r	elieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records.
	tion initiated by:	
Regulatory Ac	tion initiated by:	
Regulatory Ac SEC OOt Full name of	ther Federal OState OSRO	● Foreign atory authority, federal, state, or SRO)
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Middle)

- 5. Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
- 6. Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
- 7. Describe the allegations related to this regulatory action (your response must fit within the space provided):

ON DECEMBER 9, 2016, THE SECURITIES AND EXCHANGE BOARD OF INDIA ("SEBI") ISSUED A "SHOW CAUSE NOTICE" (THE "NOTICE") UNDER SECTIONS 11, 11B AND 11(4) OF THE SEBI ACT, 1992, AND REGULATIONS 3(B), (C), (D) AND 4(1) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003, ADDRESSED TO ELLIOTT ADVISORS (HK) LIMITED ("EAHK"), ELLIOTT MANAGEMENT CORPORATION, ELLIOTT ASSOCIATES, L.P., ELLIOTT INTERNATIONAL, L.P., THE LIVERPOOL LIMITED PARTNERSHIP, MANSFIELD (MAURITIUS) LIMITED, SUFFOLK (MAURITIUS) LIMITED (TOGETHER "ELLIOTT") AND ASTRAZENECA PHARMACEUTICALS AB SWEDEN ("AZ SWEDEN"). THE NOTICE WAS ISSUED PURSUANT TO SEBI'S INVESTIGATION INTO MATTERS CONCERNING A SALE BY AZ SWEDEN OF SHARES IN ASTRAZENECA PHARMA INDIA LIMITED ("AZ INDIA") IN A PUBLIC OFFER FOR SALE PROCESS (THE "OFS") CONDUCTED IN MAY 2013, AND PERCEIVED CONNECTIONS WITH THE SUBSEQUENT INTENDED PRIVATIZATION AND DELISTING OF AZ INDIA THAT WAS ANNOUNCED ON MARCH 3, 2014 BUT WAS NOT EXECUTED. THE NOTICE SET FORTH CERTAIN ALLEGATIONS, INCLUDING THAT (I) ELLIOTT AND AZ SWEDEN EMPLOYED MANIPULATIVE AND DECEPTIVE DEVICES BY CONDUCTING FRAUDULENT NEGOTIATIONS TO INFLUENCE THE DELISTING PRICE OF AZ INDIA; AND (II) MATERIAL INFORMATION CONCERNING SUCH NEGOTIATIONS, THE SIZE OF ELLIOTT'S OVERALL EXPOSURE TO AZ INDIA, AND ITS ABILITY TO INFLUENCE THE INTENDED DELISTING PROCESS WAS CONCEALED FROM RETAIL INVESTORS.

- 8. Current Status? C Pending C On Appeal C Final
- 9. If on appeal, regulatory action appealed to (SEC, *SRO*, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

10. How was matter resolved: Order

11. Resolution Date (MM/DD/YYYY):

06/05/2020 O Exact O Explanation If not exact, provide explanation: DATE ORDER RECEIVED BY ELLIOTT.

# 12. Resolution Detail:

- A. Were any of the following Sanctions Ordered (check all appropriate items)?
  - Monetary/Fine Amount: \$
  - Revocation/Expulsion/Denial
  - Censure
  - 🗖 Bar

- Disgorgement/Restitution
- Cease and Desist/Injunction
- Suspension

B. Other Sanctions Ordered:

Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived: SEE ITEM 13 BELOW.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

ON JUNE 5, 2020, ELLIOTT AND AZ SWEDEN RECEIVED A WRITTEN ORDER IN AN ADMINISTRATIVE PROCEEDING CONDUCTED UNDER SECTIONS 11(1), 11(4) AND 11(B) OF THE SEBI ACT 1992 BY SEBI. THE ORDER RELATES TO ALLEGATIONS BY SEBI THAT ELLIOTT AND AZ SWEDEN EMPLOYED MANIPULATIVE AND DECEPTIVE DEVICES BY CONDUCTING FRAUDULENT NEGOTIATIONS TO INFLUENCE THE DELISTING PRICE OF SHARES IN AZ INDIA. THE DELISTING OF AZ INDIA WAS PROPOSED BY AZ SWEDEN IN EARLY 2014 BUT DID NOT TAKE PLACE. AS NOTED ABOVE, THE SEBI ORDER CAN BE INTERPRETED AS SAYING THAT ELLIOTT AND AZ SWEDEN DID NOT COMPLY WITH REQUIREMENTS UNDER THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003. ELLIOTT AND AZ SWEDEN WERE CENSURED AND DIRECTED TO REFRAIN FROM SIMILAR ACTIONS IN THE FUTURE. NO MONETARY PENALTY WAS IMPOSED. ELLIOTT STRONGLY DISAGREES WITH THE ORDER AND THE FINDINGS, CONSIDERS THEM TO BE WHOLLY WITHOUT FACTUAL AND LEGAL FOUNDATION, AND IT REMAINS ELLIOTT'S POSITION THAT THE ACTIVITIES OF ELLIOTT WERE LAWFUL AT ALL TIMES.

			INITIAL OR • AMENDED respons	se used to report details for af	firmative responses to Items 11.C., 11.D.
11.E	., 11.F. or 11.(	G. of Form ADV.			
			Regulatory Act	ion	
Che	ck item(s) bei	ng responded to:			
	1.C(1)	🗖 11.C(2)	🗖 11.C(3)	🗖 11.C(4)	<b>[</b> 11.C(5)
1	1.D(1)	☑ 11.D(2)	🗖 11.D(3)	11.D(4)	🗖 11.D(5)
1	1.E(1)	<b>1</b> 1.E(2)	<b>1</b> 1.E(3)	<b>1</b> 1.E(4)	
<b>[</b> ] 1	1.F.	🗖 11.G.			
with One	a completed event may re	Execution Page. sult in more than one affirmativ		.E., 11.F. or 11.G. Use only on	ne <i>person</i> or entity using one DRP. File e DRP to report details related to the arate DRP.
	T 1				
PAR		) or optitu(ioc) for whom this D	DD is being filed is (are);		
A.	•	) or entity(ies) for whom this D advisory firm)	RP is being filed is (are):		
		one or more of your advisory aff	filiates		
	⊙ One or m	ore of your advisory affiliates			
			<i>ate</i> , give the full name of the <i>advisol</i> rovide that number. If not, indicate	-	s, Last name, First name, Middle name). the appropriate box.
	ADV DRP - A	DVISORY AFFILIATE			
	<i>CRD</i> Number:	292232	This <i>advisory affiliate</i> is <sup>6</sup> a Firm	O an Individual	
	Registered:	⊙ Yes ○ No			
	Name:	ELLIOTT CAPITAL ADVISORS, L.P. (For individuals, Last, First, Middle)			
	<i>CRD</i> Number:	292248	This <i>advisory affiliate</i> is <sup>O</sup> a Firm	O an Individual	
	Registered:	💿 Yes 🔘 No			
	Name:	ELLIOTT ADVISORS (UK) LIMITED			
		(For individuals, Last, First, Middle)			
	This DRP registered adviser's	should be removed from the AE d or applying for registration wi or <i>advisory affiliate's</i> favor.		proceeding occurred more than opt reporting adviser with the Si	n ten years ago or (2) the adviser is EC and the event was resolved in the
	11.D(4), and		re than ten years ago. If you are re		e SEC, you may remove a DRP for any
	This DRP circumsta		DV record because it was filed in err	or, such as due to a clerical or	data-entry mistake. Explain the
B.	the IARD or (	CRD for the event? If the answe	the IARD system or <i>CRD</i> system, hat er is "Yes," no other information on	5	ed a DRP (with Form ADV, BD or U-4) to
	O Yes 💿	No			
	NOTE: The co	ompletion of this form does not	relieve the advisory affiliate of its ob	pligation to update its IARD or	CRD records.
PAR	TII				
1.	• •	ction initiated by: ther Federal o State o sRC	- Foreign		
	V . U	U SRO	ر س <sup>ر</sup> ر		

(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO)

2. Principal Sanction:

Other Sanctions:

3. Date Initiated (MM/DD/YYYY):

12/23/2019 C Exact C Explanation If not exact, provide explanation: SEE ITEM 7 BELOW FOR MORE INFORMATION REGARDING INITIATION DATES.

- 4. Docket/Case Number: PROCEDURE NO. 2019-03
- 5. Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
- 6. Principal Product Type: Derivative(s)
   Other Product Types: EQUITY LISTED (COMMON & PREFERRED STOCK)
- 7. Describe the allegations related to this regulatory action (your response must fit within the space provided):

ON DECEMBER 23, 2019, ELLIOTT CAPITAL ADVISORS, L.P. ("ECALP") RECEIVED, AND SUBSEQUENTLY ON DECEMBER 31, 2019, ELLIOTT ADVISORS **(UK) LIMITED ("EAUK") RECEIVED, A COPY OF AN AUTORITÉ DES MARCHÉS FINANCIERS (THE "AMF") INVESTIGATIVE REPORT (THE "REPORT") TO THE** SANCTIONS COMMISSION OF THE AMF IN FRANCE. (ECALP AND EAUK ARE TOGETHER REFERRED TO BELOW AS "ELLIOTT".) THE REPORT REFLECTS NON-BINDING RECOMMENDATIONS AS TO CERTAIN ALLEGATIONS SET FORTH BY THE AMF AS A RESULT OF THEIR INVESTIGATION INTO ELLIOTT'S ACTIVITY CONCERNING A TENDER OFFER CONDUCTED BY XPO LOGISTICS, INC. AND XPO LOGISTICS FRANCE (COLLECTIVELY "XPO") FOR THE SHARES OF NORBERT DENTRESSANGLE SA ("NDSA") IN 2015. THE RECOMMENDATIONS SET FORTH IN THE REPORT ARE THAT (I) ALTHOUGH ELLIOTT'S PUBLIC DISCLOSURE OF ITS POSITION IN NDSA WAS NOT MISLEADING OR MANIPULATIVE, IT INACCURATELY DESCRIBED ITS DERIVATIVE HOLDINGS AS "CONTRACTS FOR DIFFERENCE" RATHER THAN AS "EQUITY SWAPS"; (II) ELLIOTT DID NOT DECLARE ITS INTENTION TO NOT TENDER ITS HOLDINGS IN NDSA INTO XPO'S TENDER OFFER IN A TIMELY MANNER; AND (III) DESPITE RESPONDING TO EACH OF THE AMF'S REQUESTS FOR INFORMATION IN A TIMELY MANNER; AND DUVNTARILY AGREED TO ANSWER REQUESTS IT WAS NOT COMPELLED TO ANSWER, EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A DELAYED PRODUCTION OF DOCUMENTS THAT ELLIOTT, IN THE AMF'S VIEW, SHOULD HAVE UNDERSTOOD WERE BEING SOUGHT BY THE AMF.

- 8. Current Status? O Pending O On Appeal O Final
- 9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:
- If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.
- 10. How was matter resolved: Decision
- 11. Resolution Date (MM/DD/YYYY):

04/04/2024 • Exact • Explanation If not exact, provide explanation:

- 12. Resolution Detail:
  - A. Were any of the following Sanctions *Ordered* (check all appropriate items)?
    - Monetary/Fine Amount: \$ 20,345,000.00
    - Revocation/Expulsion/Denial
    - 🗖 Censure
    - 🗖 Bar

- Disgorgement/Restitution
- Cease and Desist/Injunction
- Suspension

B. Other Sanctions Ordered:

Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

THE SANCTIONS COMMISSION OF THE AMF ASSESSED A FINE OF EUR 15 MILLION (APPROXIMATELY \$16,500,000) AGAINST EAUK AND EUR 5 MILLION (APPROXIMATELY \$5,500,000) AGAINST ECALP. THE PARIS COURT OF APPEALS REDUCED THE FINE AGAINST EAUK BY EUR 1 MILLION (APPROXIMATELY \$1,100,000) AND AGAINST ECALP BY EUR 0.5 MILLION (APPROXIMATELY \$555,000).

13.	Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response
	must fit within the space provided).

ON APRIL 22, 2020, ELLIOTT RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF IN FRANCE RELATING TO ELLIOTT'S ACTIVITY CONCERNING A TENDER OFFER CONDUCTED BY XPO FOR THE SHARES OF NDSA IN 2015. IN THE WRITTEN DECISION THE AMF FOUND (I) THAT ELLIOTT INACCURATELY DESCRIBED THEIR DERIVATIVE HOLDINGS AS "CONTRACTS FOR DIFFERENCE" RATHER THAN AS "EQUITY SWAPS" IN THEIR PUBLIC DISCLOSURE OF THEIR POSITION IN NDSA; (II) THAT ELLIOTT DID NOT DECLARE THEIR INTENTION TO NOT TENDER THEIR HOLDINGS IN NDSA INTO XPO'S TENDER OFFER IN A TIMELY MANNER; AND (III) THAT EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A DELAYED PRODUCTION OF DOCUMENTS THAT ELLIOTT, IN THE AMF'S VIEW, SHOULD HAVE UNDERSTOOD WERE BEING SOUGHT BY THE AMF. ALTHOUGH THE SANCTIONS COMMISSION ACCEPTED THAT ELLIOTT'S DECLARATIONS RELATING TO XPO'S TENDER OFFER DID NOT IN FACT MISLEAD OR CAUSE MARKET PARTICIPANTS TO MODIFY THEIR STRATEGIES CONCERNING THE TENDER OFFER, AND FOUND NO INTENT BY EAUK TO IMPEDE THE AMF'S INVESTIGATION THROUGH THE DELAYED PRODUCTION OF MATERIALS, IT NEVERTHELESS IMPOSED A FINE OF EUR 15 MILLION AGAINST EAUK AND A FINE OF EUR 5 MILLION AGAINST ECALP. ELLIOTT STRONGLY DISAGREES WITH THE FINDINGS AGAINST ELLIOTT IN THIS ADMINISTRATIVE PROCEEDING, CONSIDERS THEM WHOLLY WITHOUT FOUNDATION, VIGOROUSLY OPPOSES ANY SUGGESTION OF WRONGDOING, AND APPEALED THE MATTER TO THE PARIS COURT OF APPEALS. ON MARCH 24, 2022 THE PARIS COURT OF APPEALS DETERMINED THAT ELLIOTT WAS NOT IN FACT REQUIRED IN ITS DECLARATIONS OF INTENT TO DISTINGUISH THE TYPE OF ITS DERIVATIVE HOLDINGS AND REDUCED THE FINE AGAINST EAUK BY EUR 1 MILLION AND AGAINST ECA BY EUR 0.5 MILLION. HOWEVER, THE COURT OF APPEALS UPHELD THE AMF'S FINDINGS THAT: (I) ELLIOTT WAS NEVERTHELESS REQUIRED TO DISTINGUISH THE TYPE OF ITS DERIVATIVE HOLDINGS IN ITS OTHER FILINGS; (II) ELLIOTT DID NOT DECLARE ITS INTENTION TO NOT TENDER ITS HOLDINGS IN NDSA INTO XPO'S TENDER OFFER IN A TIMELY MANNER; AND (III) EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A DELAYED PRODUCTION OF DOCUMENTS THAT ELLIOTT, IN THE AMF'S VIEW, SHOULD HAVE UNDERSTOOD WERE BEING SOUGHT BY THE AMF. ELLIOTT DISAGREED WITH THE DECISION OF THE PARIS COURT OF APPEALS AND APPEALED THE DECISION TO THE FRENCH SUPREME COURT, THE COUR DE CASSATION. ON APRIL 4, 2024 THE COUR DE CASSATION AFFIRMED THE DECISION OF THE PARIS COURT OF APPEALS. ELLIOTT IS REVIEWING THE DECISION OF THE COUR DE CASSATION AND EVALUATING WHETHER TO MAKE ANY FURTHER APPEALS AGAINST THIS DECISION. NONE OF THE COSTS ASSOCIATED WITH THIS MATTER (INCLUDING THE PENALTY ASSESSED BY THE SANCTIONS COMMISSION AND THE COST OF THE APPEAL) HAVE BEEN OR WILL BE BORNE BY THE ELLIOTT FUNDS, AND ELLIOTT CONTINUES TO BELIEVE THAT THIS MATTER WILL NOT HAVE AN ADVERSE IMPACT ON THE FUNDS.

GENERAL INSTRUCTIONS

This Disclosure Reporting Page (DRP ADV) is an O INITIAL OR O AMENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G. of Form ADV.

		Regulatory Ac	tion		
Check item(s) being	responded to:				
🗖 11.C(1)	<b>1</b> 1.C(2)	🗖 11.C(3)	<b>1</b> 1.C(4)	🗖 11.C(5)	
🗖 11.D(1)	11.D(2)	🗖 11.D(3)	<b>1</b> 1.D(4)	🗖 11.D(5)	
🗖 11.E(1)	🗖 11.E(2)	🗖 11.E(3)	<b>1</b> 1.E(4)		
<b>[</b> ] 11 F	<b>▼</b> 11 G				

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.

#### PART I

- A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):
  - O You (the advisory firm)
  - O You and one or more of your advisory affiliates
  - One or more of your advisory affiliates

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

### ADV DRP - ADVISORY AFFILIATE

<i>CRD</i> Number:		This advisory affiliate is 💿 a Firm 🔹 an Individual
Registered:	O Yes 💿 No	
Name:	ELLIOTT INTERNATIONAL, L.P.	
	(For individuals, Last, First,	
	Middle)	
CRD		This <i>advisory affiliate</i> is 🙆 a Firm 🛛 🖸 an Individual
Number:		

Registered:	🖸 Yes 💿 No
Name:	THE LIVERPOOL LIMITED

This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser.
 This DRP should be removed from the ADV record because: (1) the event or *proceeding* occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an *exempt reporting adviser* with the SEC and the event was resolved in the adviser's or *advisory affiliate's* favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

- This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
- B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.

🔿 Yes 💿 No

NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.

### PART II

1. Regulatory Action initiated by:

O SEC O Other Federal O State O SRO ⊙ Foreign (Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) HELLENIC CAPITAL MARKET COMMISSION (GREECE)

2. Principal Sanction:

Other Sanctions:

3. Date Initiated (MM/DD/YYYY):

02/11/2015 C Exact Explanation If not exact, provide explanation: DATE ELLIOTT INTERNATIONAL, L.P. RECEIVED INQUIRY LETTER. THE LIVERPOOL LIMITED PARTNERSHIP RECEIVED A SUBSTANTIALLY IDENTICAL INQUIRY LETTER ON AUGUST 14, 2015.

- 4. Docket/Case Number: 132/15.1.2015; 2317/19.6.2015
- 5. *Advisory Affiliate* Employing Firm when activity occurred which led to the regulatory action (if applicable):
- Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:

7. Describe the allegations related to this regulatory action (your response must fit within the space provided):

CERTAIN PRIVATE INVESTMENT FUND ENTITIES REFERENCED ABOVE IN PART I.A OF THIS DRP (EACH SUCH FUND, AN "ELLIOTT FUND") EACH SEPARATELY RECEIVED A LETTER FROM THE HELLENIC CAPITAL MARKET COMMISSION (THE "HCMC") REGARDING SHORT-SALE ORDERS FOR A SECURITY PLACED ON MAY 6, 2014. HCMC HAS ALLEGED THAT, IN ITS VIEW, EACH ELLIOTT FUND VIOLATED AN EU SHORT-SELLING RULE BY PLACING THE SHORT-SALE ORDER ON THE DAY BEFORE THE SECURITY WAS APPROVED FOR TRADING, WITHOUT ADEQUATELY ENSURING THAT THE SECURITY WOULD BE AVAILABLE FOR SETTLEMENT WHEN DUE.

- 8. Current Status? 

   Pending
   On Appeal
   Final
- 9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

- 10. How was matter resolved:
- 11. Resolution Date (MM/DD/YYYY):
  - Exact Explanation

Δ Δ Δ Δ Δ -					
A. were any	A. Were any of the following Sanctions Ordered (check all appropriate items)?				
Monet	ary/Fine Amount: \$				
Revoca	ation/Expulsion/Denial		Disgorgement/Restitution		
🗖 Censu	re		Cease and Desist/Injunction	1	
🗖 Bar		I	Suspension		
B. Other San	B. Other Sanctions Ordered:				
Financial C requalify/r disgorgem	operations Principal, etc.). If req etrain, type of exam required ar	ualification by exam/retraining and whether condition has been	was a condition of the sanction satisfied. If disposition resulted	fected (General Securities Principal, , provide length of time given to d in a fine, penalty, restitution, <i>ry affiliate</i> , date paid and if any porti	
	summary of details related to th the space provided).	ne action status and (or) dispos	ition and include relevant terms	s, conditions and dates (your respor	
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DELIVERED PRIC SETTLEMENT DA NOVEMBER 28, 64,500 ON THE RECEIVED A SU DECISIONS ARE his Disclosure Report 1.E., 11.F. or 11.G. of heck item(s) being 11.C(1)	TE AND WERE DELIVERED TO THE 2017, ELLIOTT'S COUNSEL RECELIVERPOOL LIMITED PARTNERSH BSTANTIALLY IDENTICAL EMAIL NOT CONSIDERED FINAL AND A Thing Page (DRP ADV) is an o If of Form ADV.	HE BUYER ON THE SETTLEMENT IVED AN EMAIL FROM THE HCM HIP RELATING TO THE ABOVE-RI FROM THE HCMC IMPOSING A F ARE SUBJECT TO APPEAL.	DATE. THESE MATTERS REMAIN C STATING THAT THE HCMC DEP EFERENCED ACTIVITY. ON FEBR INE OF EURO 116,500 ON ELLIC CTIONS se used to report details for aff tion	PENDING BEFORE THE HCMC. ON CIDED TO IMPOSE A FINE OF EURO UARY 21, 2018, ELLIOTT'S COUNSEL DTT INTERNATIONAL, L.P. THESE firmative responses to Items 11.C., 7	
DELIVERED PRIC SETTLEMENT DA NOVEMBER 28, 64,500 ON THE RECEIVED A SU DECISIONS ARE his Disclosure Report 1.E., 11.F. or 11.G. ( heck item(s) being 11.C(1) 11.D(1) 11.E(1) 11.F.	ATE AND WERE DELIVERED TO THE 2017, ELLIOTT'S COUNSEL RECE LIVERPOOL LIMITED PARTNERSH BSTANTIALLY IDENTICAL EMAIL NOT CONSIDERED FINAL AND A The form ADV. responded to: 11.C(2) 11.D(2) 11.E(2) 11.E(2) 11.G.	HE BUYER ON THE SETTLEMENT IVED AN EMAIL FROM THE HCM HIP RELATING TO THE ABOVE-RI FROM THE HCMC IMPOSING A F ARE SUBJECT TO APPEAL.	DATE. THESE MATTERS REMAIN C STATING THAT THE HCMC DE- EFERENCED ACTIVITY. ON FEBR INE OF EURO 116,500 ON ELLIC CTIONS se used to report details for aff tion 11.C(4) 11.D(4) 11.E(4)	PENDING BEFORE THE HCMC. ON CIDED TO IMPOSE A FINE OF EURO UARY 21, 2018, ELLIOTT'S COUNSEL DTT INTERNATIONAL, L.P. THESE firmative responses to Items 11.C., 7	

#### PART I

- The *person(s)* or entity(ies) for whom this DRP is being filed is (are): Α.
  - O You (the advisory firm)
  - O You and one or more of your advisory affiliates
  - One or more of your advisory affiliates

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the advisory affiliate has a CRD number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - ADVISORY AFFILIATE

CR	?D	292248	This <i>advisory affiliate</i> is 🧿 a Firm	O an Individual
Nu	imber:			
Re	gistered:	• Yes • No		
Na	ime:	ELLIOTT ADVISORS (UK)		
		(For individuals, Last, First,		

Mid	d	( <u> </u>
IVIIU	u	IC)

- This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser.
- This DRP should be removed from the ADV record because: (1) the event or *proceeding* occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an *exempt reporting adviser* with the SEC and the event was resolved in the adviser's or *advisory affiliate's* favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:

B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.

O Yes 💿 No

NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.

#### PART II

- Regulatory Action initiated by: SEC Other Federal OState OSRO Foreign (Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) AUTORITÉ DES MARCHÉS FINANCIERS
- Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:
- 3. Date Initiated (MM/DD/YYYY):

12/27/2012 • Exact • Explanation If not exact, provide explanation:

- 4. Docket/Case Number: DEC-DEJI/2010.65
- 5. Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
- Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:

7. Describe the allegations related to this regulatory action (your response must fit within the space provided): ON DECEMBER 28, 2012, ELLIOTT ADVISORS (UK) LIMITED ("EAUK") RECEIVED A LETTER OF GRIEVANCE FROM THE FRENCH FINANCIAL MARKET REGULATOR, THE AUTORITÉ DES MARCHÉS FINANCIERS (THE "AMF"), STATING THAT EAUK MAY HAVE PURCHASED THE STOCK OF AUTOROUTES PARIS RHIN-RHÔNE ("APRR") BASED ON MATERIAL NONPUBLIC INFORMATION RELATING TO A POTENTIAL SALE OF ELLIOTT'S APRR STAKE TO EIFFARIE, APRR'S MAJORITY OWNER. THE AMF ALSO STATED THAT ELLIOTT'S APRR PURCHASES DURING THE RELEVANT PERIOD MAY HAVE CAUSED THE APRR STOCK PRICE TO BE ARTIFICIALLY INFLATED. ON JANUARY 31, 2013, ELLIOTT MANAGEMENT CORPORATION ("EMC") RECEIVED A SUBSTANTIALLY IDENTICAL LETTER OF GRIEVANCE FROM THE AMF. THE LETTER TO EMC DID NOT ASSERT ANY NEW STATEMENTS OR SET FORTH ANY NEW FACTS.

- 8. Current Status? <sup>O</sup> Pending <sup>O</sup> On Appeal <sup>©</sup> Final
- 9. If on appeal, regulatory action appealed to (SEC, *SRO*, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

- 10. How was matter resolved: Decision
- 11. Resolution Date (MM/DD/YYYY):

03/27/2019 C Exact Explanation If not exact, provide explanation: THE FRENCH SUPREME COURT, THE COUR DE CASSATION AFFIRMED THE DECISION OF THE PARIS COURT OF APPEALS.

#### 12. Resolution Detail:

- A. Were any of the following Sanctions Ordered (check all appropriate items)?
  - Monetary/Fine Amount: \$ 22,000,000.00
  - Revocation/Expulsion/Denial
  - Censure
  - 🔲 Bar
- B. Other Sanctions Ordered:

- Disgorgement/Restitution
- Cease and Desist/Injunction
- Suspension

Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

THE SANCTIONS COMMISSION OF THE AMF ASSESSED A PENALTY OF 8 MILLION EUROS AGAINST EMC (APPROXIMATELY \$11 MILLION) AND 8 MILLION EUROS AGAINST EAUK.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

ON MAY 5, 2014, EAUK AND EMC RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF IN FRANCE. THE WRITTEN DECISION (THE "AMF DECISION") RELATED TO ALLEGATIONS BY THE AMF THAT ELLIOTT PURCHASED STOCK OF APRR BASED ON MATERIAL NONPUBLIC INFORMATION AND THAT ELLIOTT MANIPULATED THE STOCK OF APRR. IN THE AMF DECISION, THE SANCTIONS COMMISSION FOUND THAT ELLIOTT USED MATERIAL NONPUBLIC INFORMATION IN THE PURCHASE OF APRR SHARES BETWEEN MAY 28, 2010 AND JUNE 11, 2010 BUT HELD THAT ELLIOTT DID NOT COMMIT MARKET MANIPULATION OR ARTIFICIALLY INFLATE THE PRICE OF APRR SHARES. THE SANCTIONS COMMISSION ASSESSED A PENALTY OF 8 MILLION EUROS AGAINST EMC AND 8 MILLION EUROS AGAINST EAUK. ELLIOTT DISAGREES WITH THE FINDINGS AGAINST EMC AND EAUK IN THIS ADMINISTRATIVE PROCEEDING. IT REMAINS ELLIOTT'S POSITION THAT ITS TRADING IN APRR'S SECURITIES WAS LAWFUL AT ALL TIMES. ELLIOTT'S PURCHASES OF APRR STOCK WERE MADE AS PART OF A LONGSTANDING TRADING STRATEGY DATING BACK TO 2005. ELLIOTT PURCHASED APRR STOCK ON OVER 300 TRADING DAYS BETWEEN DECEMBER 2005 AND JUNE 2010. ELLIOTT HAS LONGSTANDING POLICIES AND PROCEDURES IN PLACE TO PREVENT THE MISUSE OF MATERIAL NONPUBLIC INFORMATION. CONSISTENT WITH THOSE PROCEDURES, ELLIOTT HAD A CHINESE WALL IN PLACE WITH RESPECT TO APRR DURING THE PERIOD IN QUESTION, AND NO MATERIAL NONPUBLIC INFORMATION WAS TRANSMITTED TO THE PERSONNEL WHO DIRECTED THE PURCHASES OF APRR STOCK. DESPITE AN INVESTIGATION WHICH INCLUDED EXTENSIVE REVIEWS OF EMAILS, AUDIOTAPED TRADING LINES AND INTERVIEWS WITH WITNESSES, THE AMF OFFERED NO EVIDENCE THAT ELLIOTT'S CHINESE WALL WAS BREACHED, BUT INSTEAD SIMPLY CONCLUDED THAT THE CIRCUMSTANCES SUPPORTED THEIR INFERENCE THAT THE CHINESE WALL WAS BREACHED. EMC AND EAUK APPEALED THE AMF DECISION TO THE PARIS COURT OF APPEALS. ON JANUARY 14, 2016, THE PARIS COURT OF APPEALS AFFIRMED THE AMF DECISION. ELLIOTT DISAGREES WITH THE DECISION OF THE PARIS COURT OF APPEALS AND EMC AND EAUK APPEALED THE DECISION TO THE FRENCH SUPREME COURT, THE COUR DE CASSATION. ON MARCH 27, 2019, THE COUR DE CASSATION AFFIRMED THE AMF DECISION. ELLIOTT DISAGREES WITH THE DECISION OF THE COUR DE CASSATION. ON FEBRUARY 6, 2020, THE EUROPEAN COURT OF HUMAN RIGHTS DECLINED TO HEAR THE APPLICATION OF EMC AND EAUK. EMC AND EAUK SUBSEQUENTLY SUBMITTED AN APPLICATION TO THE EUROPEAN COMMISSION REGARDING, AMONG OTHER THINGS, THE INCORRECT APPLICATION OF EU LAW, WITH A VIEW TO APPEALING THE DECISION OF THE FRENCH COURTS. ON JUNE 21, 2021, ELLIOTT WAS NOTIFIED THAT THE EUROPEAN COMMISSION HAD REJECTED THE APPLICATION. NONE OF THE COSTS ASSOCIATED WITH THIS MATTER (INCLUDING THE PENALTY ASSESSED BY THE SANCTIONS COMMISSION AND THE COST OF THE APPEAL) HAVE BEEN OR WILL BE BORNE BY THE ELLIOTT FUNDS, AND ELLIOTT CONTINUES TO BELIEVE THAT THIS MATTER DID NOT HAVE AN ADVERSE IMPACT ON THE FUNDS.

## GENERAL INSTRUCTIONS

This Disclosure Reporting Page (DRP ADV) is an 🕐 INITIAL OR 💿 AMENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G. of Form ADV.

	Regulatory Action						
Check item(s) being	Check item(s) being responded to:						
🗖 11.C(1)	<b>1</b> 1.C(2)	<b>1</b> 1.C(3)	<b>1</b> 1.C(4)	<b>1</b> 1.C(5)			
<b>[</b> 11.D(1)	🗖 11.D(2)	🗖 11.D(3)	🗖 11.D(4)	🗖 11.D(5)			
<b>[</b> 11.E(1)	☑ 11.E(2)	🗖 11.E(3)	🗖 11.E(4)				
🗖 11.F.	<b>[</b> 11.G.						

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.

### PART I

- A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):
  - $^{\circ}$  You (the advisory firm)
    - O You and one or more of your advisory affiliates
    - One or more of your advisory affiliates

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

	ADVISORY	ΛΕΕΠΙΛΤΕ
ADV DRP -	ADVISORY	AFFILIATE

	CRD156601This advisory affiliate is I a FirmIndividualNumber:IndividualIndividual
	Registered: • Yes • No
	Name: ELLIOTT MANAGEMENT CORPORATION
	(For individuals, Last, First,
	Middle)
	<ul> <li>This DRP should be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser.</li> <li>This DRP should be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the adviser's or <i>advisory affiliate's</i> favor.</li> </ul>
	If you are registered or registering with a <i>state securities authority</i> , you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.
	This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:
B.	If the <i>advisory affiliate</i> is registered through the IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided.
	• Yes • No
	NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.
PAR	ГП
1.	Regulatory Action initiated by: SEC Other Federal OState OSRO Foreign
	(Full name of regulator, <i>foreign financial regulatory authority</i> , federal, state, or <i>SRO</i> ) NEW YORK MERCANTILE EXCHANGE
2.	Principal Sanction: Other
	Other Sanctions: MONETARY FINE
3.	Date Initiated (MM/DD/YYYY):
	10/28/2014  Exact  Explanation If not exact, provide explanation:
4.	Docket/Case Number: NYMEX 14-9820-BC
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
6.	Principal Product Type: Futures - Commodity
	Other Product Types:
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): ON APRIL 23, 2014, THE ELLIOTT FUNDS ENGAGED IN TRANSACTIONS IN NATURAL GAS FUTURES WHICH RESULTED IN THE ELLIOTT FUNDS HOLDING A FUTURES EQUIVALENT POSITION IN EXCESS OF THE SPOT MONTH POSITION LIMIT UNDER NEW YORK MERCANTILE EXCHANGE RULE 562.
8.	Current Status? O Pending O On Appeal O Final
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:
lf F	inal or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

	Settled					
11.	Resolution Date (MM/DD/	YYYY):				
	10/28/2014 • Exact	Explanation				
	If not exact, provide expl	·				
12.	Resolution Detail:					
	A. Were any of the foll	owing Sanctions Ord	dered (check all appropriate items)	?		
	Monetary/Fine A	Amount: \$ 30,000.00	)			
	Revocation/Exp	ulsion/Denial	ſ	Disgorgement/Restitution		
	Censure		E	Cease and Desist/Injunctio	n	
	🗖 Bar		ſ	Suspension		
	B. Other Sanctions Ord	dered:				
	Financial Operations requalify/retrain, typ disgorgement or mo of penalty was waiv	Principal, etc.). If repeof exam required pnetary compensation ved:	equalification by exam/retraining v and whether condition has been s on, provide total amount, portion l	vas a condition of the sanction satisfied. If disposition resulte evied against you or an <i>advise</i>		
13.	Provide a brief summary must fit within the space		the action status and (or) disposi	tion and include relevant term	ns, conditions and dates (your response	
	ON APRIL 23, 2014, THE E FUTURES EQUIVALENT PC 28, 2014, A PANEL OF TH PAY A FINE IN THE AMOU	ELLIOTT FUNDS ENG OSITION IN EXCESS ( E NYMEX BUSINESS NT OF \$30,000 WHIL	OF THE SPOT MONTH POSITION LI	MIT UNDER NEW YORK MERCA EMC'S OFFER OF SETTLEMENT IG ANY RULE VIOLATION. EMC	ULTED IN THE ELLIOTT FUNDS HOLDING A NTILE EXCHANGE RULE 562. ON OCTOBER PURSUANT TO WHICH EMC AGREED TO PAID THIS AMOUNT PROMPTLY	
			GENERAL INSTRUC	TIONS		
This	Disclosure Reporting Page	e (DRP ADV) is an 👩	INITIAL OR	se used to report details for a	ffirmative responses to Items 11.C., 11.D.,	
11.E.	, 11.F. or 11.G. of Form Al	DV.				
			Regulatory Act	ion		
Chec	k item(s) being responde	d to:	Regulatory her			
П 1	1.C(1)	🗖 11.C(2)	🗖 11.C(3)	<b>1</b> 1.C(4)	🗖 11.C(5)	
Π1	1.D(1)	🗖 11.D(2)	🗖 11.D(3)	<b>1</b> 1.D(4)	🗖 11.D(5)	
<b>[</b> ] 1	1.E(1)	☑ 11.E(2)	🗖 11.E(3)	<b>1</b> 1.E(4)		
Π1	1.F.	🗖 11.G.				
with One same	Use a separate DRP for each event or <i>proceeding</i> . The same event or <i>proceeding</i> may be reported for more than one <i>person</i> or entity using one DRP. File with a completed Execution Page. One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.					
PART						
A.						
	O You (the advisory firm)					
	O You and one or more of your advisory affiliates					
	$\odot$ One or more of your $_{\hat{c}}$	advisory affiliates				
		-	e, give the full name of the <i>adviso</i> wide that number. If not, indicate	-	ls, Last name, First name, Middle name). the appropriate box.	
	ADV DRP - ADVISORY AFI	FILIATE				
	CRD     156601     This advisory affiliate is I a Firm     an Individual       Number:     Image: CRD     Image: CRD     Image: CRD     Image: CRD					

Registered: • Yes • No

10. How was matter resolved:

Name:	ELLIOTT MANAGEMENT
	CORPORATION
	(For individuals, Last, First
	Middle)

This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser.

This DRP should be removed from the ADV record because: (1) the event or *proceeding* occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an *exempt reporting adviser* with the SEC and the event was resolved in the adviser's or *advisory affiliate's* favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:

B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.

• Yes • No

NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.

### PART II

- Regulatory Action initiated by:
   SEC Other Federal OState SRO Foreign
   (Full name of regulator, foreign financial regulatory authority, federal, state, or SRO)
   CHICAGO BOARD OF TRADE
- Principal Sanction: Disgorgement Other Sanctions: MONETARY FINE
- Date Initiated (MM/DD/YYYY):
   10/28/2014 

   Exact
   Explanation
   If not exact, provide explanation:
- 4. Docket/Case Number: CBOT 14-9838-BC
- 5. Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
- Principal Product Type: Futures - Commodity Other Product Types:

7. Describe the allegations related to this regulatory action (your response must fit within the space provided): ON MAY 8 AND MAY 9, 2014, THE ELLIOTT FUNDS ENGAGED IN TRANSACTIONS IN SOYBEAN MEAL FUTURES CONTRACTS THAT RESULTED IN THE ELLIOTT FUNDS HOLDING A FUTURES EQUIVALENT POSITION IN EXCESS OF THE STANDARD ALL MONTHS LIMIT UNDER CHICAGO BOARD OF TRADE RULE 562.

- 8. Current Status? O Pending O On Appeal O Final
- 9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

- 10. How was matter resolved: Settled
- 11. Resolution Date (MM/DD/YYYY):

10/28/2014 • Exact • Explanation If not exact, provide explanation:

12.	Reso	olution Detail:					
	Α.	Were any of the fo	ollowing Sanctions Order	red (check all appropriate	e items)?		
		Monetary/Fine	Amount: \$ 20,000.00				
		Revocation/Ex	pulsion/Denial		🗹 Disgorgen	ment/Restitution	
		Censure				d Desist/Injuncti	on
		🗖 Bar			🗖 Suspensio	วท	
	В.	B. Other Sanctions Ordered:					
		Financial Operation requalify/retrain, t disgorgement or n of penalty was wa ELLIOTT MANAGEN	ns Principal, etc.). If req ype of exam required ar nonetary compensation, ived: IENT CORPORATION ("EN	ualification by exam/retr nd whether condition has provide total amount, p	raining was a conditi s been satisfied. If c portion levied agains E AMOUNT OF \$20,00	ion of the sanctic disposition result st you or an <i>advi</i> s	affected (General Securities Principal, on, provide length of time given to ted in a fine, penalty, restitution, <i>Sory affiliate</i> , date paid and if any portion 25,082.24 REPRESENTING DISGORGEMENT
				ne action status and (or)	disposition and incl	ude relevant ter	ms, conditions and dates (your response
must fit within the space provided). ON MAY 8 AND MAY 9, 2014, THE ELLIOTT FUNDS ENGAGED IN TRANSACTIONS IN SOYBEAN MEAL FUTURES CONTRACTS THAT RESULTED IN THE ELLIO FUNDS HOLDING A FUTURES EQUIVALENT POSITION IN EXCESS OF THE STANDARD ALL MONTHS LIMIT UNDER CHICAGO BOARD OF TRADE RULE 562. ON OCTOBER 28, 2014, A PANEL OF THE CHICAGO BOARD OF TRADE BUSINESS CONDUCT COMMITTEE ACCEPTED EMC'S OFFER OF SETTLEMENT PURSUANT TO WHICH EMC AGREED TO PAY A FINE IN THE AMOUNT OF \$20,000 AND PAY \$125,082.24 REPRESENTING DISGORGEMENT OF PROFITS WHILE NEITHER ADMITTING OR DENYING ANY RULE VIOLATION. EMC PAID THESE AMOUNTS PROMPTLY FOLLOWING SETTLEMENT OF THIS ACTION. TH ELLIOTT FUNDS WERE NOT ASSESSED ANY FINE AND DID NOT MAKE ANY DISGORGEMENT.					ER CHICAGO BOARD OF TRADE RULE 562. EPTED EMC'S OFFER OF SETTLEMENT ESENTING DISGORGEMENT OF PROFITS		
CIVIL	JUI	DICIAL ACTION DI	SCLOSURE REPORTIN	G PAGE (ADV)			
				CENEDAL	INSTRUCTIONS		
		osure Reporting Pag n 2.F. of Part 1B of I				port details for a	affirmative responses to Item 11.H. of Part
				Civil	l Judicial		
Checl		rt 1A item(s) being 1)(a)	responded to:	(b)	☐ 11.H(1)(c)		□ 11.H(2)
Chec	k Pa	rt 1B item(s) being	responded to:				
2.			2.F(2)	<b>2</b> .F(3)		2.F(4)	<b>2</b> .F(5)
	•	parate DRP for each mpleted Execution		ne same event or <i>procee</i>	eding may be reporte	d for more than	one <i>person</i> or entity using one DRP. File
		•		nswer to Item 11.H. of F reported on separate DF		of Part 1B. Use	only one DRP to report details related to the
PART	I.						
A.		<i>person(s)</i> or entity( /ou (the advisory fir	ies) for whom this DRP i m)	s being filed is (are):			
	0)	ou and one or mor	e of your advisory affiliat	es.			
		One or more of your					
	~		auvisory anniates				
		0	3	give the full name of the de that number. If not, i	3	•	als, Last name, First name, Middle name). g the appropriate box.
	٨D	V DRP - ADVISORY A	FFILIATE				
		mber:		his advisory affiliate is C	a Firm 🗴 an Indiv	vidual	
			No NTERNATIONAL, L.P. iduals, Last, First,				

Middle)

CRD Number:		This <i>advisory affiliate</i> is <sup>1</sup> a Firm <sup>1</sup> an Individual		
Registered: Name:	O Yes O No ELLIOTT ASSOCIATES, L.P. (For individuals, Last, First, Middle)			
<i>CRD</i> Number:	292232	This advisory affiliate is 💿 a Firm 🗢 an Individual		
Registered:	⊙ Yes ○ No			
Name:	ELLIOTT CAPITAL ADVISORS, L.P. (For individuals, Last, First, Middle)			
CRD		nis <i>advisory affiliate</i> is 💿 a Firm 🗢 an Individual		
Number:				
Registered: O Yes O No				
Name:	THE LIVERPOOL LIMITED PARTNERSHIP (For individuals, Last, First, Middle)			
<ul> <li>This DRP should be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser.</li> <li>This DRP should be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the registered or applying for registration with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was readviser's or <i>advisory affiliate's</i> favor.</li> </ul>				
If you are registered or registering with a <i>state securities authority</i> , you may remove a DRP for an event you reported only in response to (1)(a), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRF event listed in Item 11 that occurred more than ten years ago.				
This DRP circumsta		record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the		
	. If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.			
O Yes O M	Ло			
NOTE: The co	ompletion of this form does not re	elieve the advisory affiliate of its obligation to update its IARD or CRD records.		
ART II				
	initiated by: (Name of regulator, CS, INC. AND XPO LOGISTICS FR	<i>foreign financial regulatory authority, SRO</i> , commodities exchange, agency, firm, private plaintiff, etc.) ANCE		
2. Principal Relia Injunction Other Relief S MONEY DAMA	Sought:			
Filing Date of	Court Action (MM/DD/YYYY):			
	Exact O Explanation provide explanation:			
<ol> <li>Principal Proc Equity Listed Other Produc</li> </ol>	(Common & Preferred Stock)			
Number):	Formal Action was brought in (include name of Federal, State or Foreign Court, Location of Court - City or County <u>and</u> State or Country, Docket/C Number): PARIS COMMERCIAL COURT; PARIS, FRANCE; 2015000402			

6. Advisory Affiliate Employing Firm when activity occurred which led to the civil judicial action (if applicable):

Describe the allegations related to this civil action (your response must fit within the space provided):
 THIS DRP DESCRIBES CIVIL LITIGATION RELATED TO ELLIOTT'S (AS HEREINAFTER DEFINED) DECISION NOT TO TENDER ITS SHARES OF NORBERT
 DENTRESSANGLE SA ("ND") IN A TENDER OFFER CONDUCTED BY XPO LOGISTICS, INC. AND XPO LOGISTICS FRANCE (COLLECTIVELY, "XPO"). XPO HAS

ALLEGED THAT ELLIOTT CAPITAL ADVISORS, L.P. AND CERTAIN FUNDS MANAGED BY ELLIOTT MANAGEMENT CORPORATION (TOGETHER WITH THEIR AFFILIATES, "ELLIOTT") ARE IN VIOLATION OF FRENCH LAW SURROUNDING TENDER OFFERS AND THAT XPO WOULD SUFFER SIGNIFICANT DAMAGE AS A RESULT. ELLIOTT HELD APPROXIMATELY 7.6% OF THE OUTSTANDING SHARES OF ND. ELLIOTT HAS ALLEGED THAT XPO LIKELY HAD TAKEN OR HAD IMMINENT PLANS TO TAKE ACTION THAT WAS MANIFESTLY CONTRARY TO THE CORPORATE INTERESTS OF ND AND THE MINORITY SHAREHOLDERS OF ND IN ORDER TO PURSUE THE PROPOSED MERGER AND THEREBY IGNORE THAT XPO DOES NOT OWN 100% OF ND'S SHARES.

- 8. Current Status? <sup>O</sup> Pending <sup>O</sup> On Appeal <sup>©</sup> Final
- 9. If on appeal, action appealed to (provide name of court) and Date Appeal Filed (MM/DD/YYYY):
- 10. If pending, date notice/process was served (MM/DD/YYYY):

• Exact • Explanation If not exact, provide explanation:

- If Final or On Appeal, complete all items below. For Pending Actions, complete Item 14 only.
- 11. How was matter resolved: Settled
- 12. Resolution Date (MM/DD/YYYY):

11/28/2019 • Exact • Explanation If not exact, provide explanation:

13. Resolution Detail:

A. Were any of the following Sanctions Ordered or Relief Granted(check appropriate items)?

- Monetary/Fine Amount: \$
- Revocation/Expulsion/Denial
- Censure
- 🗖 Bar

- Disgorgement/Restitution
- Cease and Desist/Injunction
- **Suspension**

- B. Other Sanctions: SETTLED.
- C. Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement, or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

SETTLED.

14. Provide a brief summary of circumstances related to the action(s), allegation(s), disposition(s) and/or finding(s) disclosed above (your response must fit within the space provided).

ON JULY 8, 2015, THE PARIS COMMERCIAL COURT ISSUED AN EX PARTE ORDER IN RESPONSE TO A CIVIL COMPLAINT FILED BY XPO THAT, AMONG OTHER THINGS, TEMPORARILY BARRED ELLIOTT FROM TRANSFERRING ITS EQUITY INTEREST IN ND TO ANY THIRD PARTY OTHER THAN XPO, UNTIL THE COURT ISSUED A FURTHER ORDER AT OR FOLLOWING A HEARING ON JULY 23, 2015. ON JULY 16, 2015, THE PARIS COMMERCIAL COURT ISSUED AN EX PARTE ORDER AT THE REQUEST OF ELLIOTT THAT, AMONG OTHER THINGS, TEMPORARILY BARRED XPO FROM CARRYING OUT ANY OF ITS CONTEMPLATED TRANSACTIONS WITH ND, INCLUDING PURSUING THE PROPOSED MERGER WITH ND, UNTIL THE COURT ISSUED A FURTHER ORDER AT OR FOLLOWING THE JULY 23 HEARING. ON JULY 29, 2015, THE PARIS COMMERCIAL COURT LIFTED THE RESTRICTIONS CONTAINED IN BOTH EX PARTE ORDERS, AND ADDED ND TO AND REMOVED THE LIVERPOOL LIMITED PARTNERSHIP FROM THE PROCEEDINGS, AND DENIED CERTAIN OTHER RELIEF REQUESTED BY THE PARTIES. ON MAY 2, 2016, ELLIOTT FILED AN ACTION "UT SINGULI," IN THE NATURE OF A DERIVATIVE ACTION, ON BEHALF OF ND (RENAMED "XPO LOGISTICS EUROPE") AGAINST CERTAIN PRESENT AND FORMER EXECUTIVES AND/OR DIRECTORS OF ND. ON NOVEMBER 28, 2019, ELLIOTT SETTLED THESE PROCEEDINGS AND OBTAINED A FULL AND COMPLETE RELEASE. THE MATTER IS NOW CONCLUDED.

## Part 2

### Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Yes No

 $\circ$   $\circ$ 

Brochure ID	Brochure Name	Brochure Type(s)	
340592	EIM FORM ADV PART 2A - APRIL 12,	Private funds or pools	
	2024		

Part 3			
CRS	Type(s)	Affiliate Info	Retire
There are no CRS filir	has to display		

#### **Execution Pages**

#### DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

# Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

### Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
PAUL E. SINGER	04/12/2024
Printed Name:	Title:
PAUL E. SINGER	CO-CEO, CO-CHIEF INVESTMENT OFFICER, PRESIDENT
Adviser <i>CRD</i> Number: 307151	

#### NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

### 1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

### 2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

### 3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

# Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:

Printed Name:

Date: MM/DD/YYYY Title:

Adviser *CRD* Number: 307151