

FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: GUARDIAN STRATEGIC	CRD Number: 309025
Annual Amendment - All Sections	Rev. 10 / 2021
2 / 28 / 2023 9:08:48 PM	

WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.

Item 1 Identifying Information

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

A.

Your full legal name (if you are a sole proprietor, your last, first, and middle names):
GUARDIAN STRATEGIC WEALTH ADVISORS, LLC

B.

(1) Name under which you primarily conduct your advisory business, if different from Item 1.A.
GUARDIAN STRATEGIC

List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box ☐

If you check this box, complete a Schedule R for each relying adviser.

C.

If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of
☐ your legal name or ☐ your primary business name:

D.

(1) If you are registered with the SEC as an investment adviser, your SEC file number:

(2) If you report to the SEC as an *exempt reporting adviser*, your SEC file number:

(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:
No Information Filed

E.

(1) If you have a number ("CRD Number") assigned by the *FINRA*'s *CRD* system or by the IARD system, your *CRD* number: **309025**

If your firm does not have a *CRD* number, skip this Item 1.E. Do not provide the *CRD* number of one of your officers, employees, or affiliates.

(2) If you have additional *CRD* Numbers, your additional *CRD* numbers:
No Information Filed

F.

Principal Office and Place of Business

(1) Address (do not use a P.O. Box):
Number and Street 1:
20 TRAFALGAR SQ
City:
NASHUA
State:
New Hampshire
Number and Street 2:
STE 426
Country:
United States
ZIP+4/Postal Code:
03063

If this address is a private residence, check this box: ☐<

Number and Street 1:

City:

State:

Country:

ZIP+4/Postal Code:

Number and Street 2:

If this address is a private residence, check this box: ☐

H.

If you are a sole proprietor, state your full residence address, if different from your *principal office and place of business* address in Item 1.F.:

Number and Street 1:

City:

State:

Country:

ZIP+4/Postal Code:

Number and Street 2:

I.

Do you have one or more websites or accounts on publicly available social media platforms (including, but not limited to, Twitter, Facebook and LinkedIn)?

Yes

No

If "yes," list all firm website addresses and the address for each of the firm's accounts on publicly available social media platforms on Section 1.I. of Schedule D. If a website address serves as a portal through which to access other information you have published on the web, you may list the portal without listing addresses for all of the other information. You may need to list more than one portal address. Do not provide the addresses of websites or accounts on publicly available social media platforms where you do not control the content. Do not provide the individual electronic mail (e-mail) addresses of employees or the addresses of employee accounts on publicly available social media platforms.

J.

Chief Compliance Officer

(1) Provide the name and contact information of your Chief Compliance Officer. If you are an *exempt reporting adviser*, you must provide the contact information for your Chief Compliance Officer, if you have one. If not, you must complete Item 1.K. below.

Name:

Telephone number:

Number and Street 1:

City:

State:

Other titles, if any:

Facsimile number, if any:

Number and Street 2:

Country:

ZIP+4/Postal Code:

Electronic mail (e-mail) address, if Chief Compliance Officer has one:

(2) If your Chief Compliance Officer is compensated or employed by any *person* other than you, a *related person* or an investment company registered under the Investment Company Act of 1940 that you advise for providing chief compliance officer services to you, provide the *person's* name and IRS Employer Identification Number (if any):

Name:

IRS Employer Identification Number:

K.

Additional Regulatory Contact Person: If a person other than the Chief Compliance Officer is authorized to receive information and respond to questions about this Form ADV, you may provide that information here.

Name:

Telephone number:

Number and Street 1:

City:

State:

Titles:

Facsimile number, if any:

Number and Street 2:

Country:

ZIP+4/Postal Code:

Electronic mail (e-mail) address, if contact person has one:

L.

Do you maintain some or all of the books and records you are required to keep under Section 204 of the Advisers Act, or similar state law, somewhere other than your *principal office and place of business*?

Yes

No

If "yes," complete Section 1.L. of Schedule D.

M.

Are you registered with a *foreign financial regulatory authority*?

Yes

No

Answer "no" if you are not registered with a *foreign financial regulatory authority*, even if you have an affiliate that is registered with a *foreign financial regulatory authority*. If "yes," complete Section 1.M. of Schedule D.

N.

Are you a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934?

Yes

No

O.

Did you have \$1 billion or more in assets on the last day of your most recent fiscal year?

If yes, what is the approximate amount of your assets:

☐

\$1 billion to less than \$10 billion

☐

\$10 billion to less than \$50 billion

☐

\$50 billion or more

For purposes of Item 1.O. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P.

Provide your *Legal Entity Identifier*

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names
No Information Filed

SECTION 1.F. Other Offices
No Information Filed

SECTION 1.I. Website Addresses
List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly available social media platform.
Address of Website/Account on Publicly Available Social Media Platform: https://www.youtube.com/channel/UCYjP8mhidQKhxBov3L_xyQ
Address of Website/Account on Publicly Available Social Media Platform: https://www.yelp.com/biz/guardian-strategic-wealth-advisors-nashua
Address of Website/Account on Publicly Available Social Media Platform: https://www.manta.com/c/mm3hrzj/guardian-strategic-wealth-advisors-llc
Address of Website/Account on Publicly Available Social Media Platform: https://www.dnb.com/business-directory/company-profiles/guardian_strategic_wealth_advisors_llc.a3eecdaf82ab89603d9c1e7b9e6f613b .
Address of Website/Account on Publicly Available Social Media Platform: https://www.facebook.com/guardianstrategic
Address of Website/Account on Publicly Available Social Media Platform: https://go.oncehub.com/kennethangellmaster
Address of Website/Account on Publicly Available Social Media Platform: https://www.instagram.com/guardianstrat
Address of Website/Account on Publicly Available Social Media Platform: https://www.tinyurl.com/kenangell
Address of Website/Account on Publicly Available Social Media Platform: https://forms.office.com/Pages/ResponsePage.aspx?id=zy9XNkjQq0Wz_DK092RtVYr7yLCNdMRlo_xJx3SuJdFUNFFUVkhFN0IaR0FNMVpJWU1PWFZDUFE0
Address of Website/Account on Publicly Available Social Media Platform: https://twitter.com/guardianstrat
Address of Website/Account on Publicly Available Social Media Platform: https://www.linkedin.com/company/guardian-strategic-wealth-advisors/about/
Address of Website/Account on Publicly Available Social Media Platform: https://www.linkedin.com/in/kennethangell/
Address of Website/Account on Publicly Available Social Media Platform: https://www.guardianstrategic.com/
Address of Website/Account on Publicly Available Social Media Platform: https://www.guardianstrat.com/

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