



## IAPD Report

### MARK JEFFREY SNYDER

CRD# 1003418

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page  
<http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### MARK JEFFREY SNYDER (CRD# 1003418)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/25/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	OSAIC WEALTH, INC.	CRD# 23131	11/19/1989
<b>IA</b>	SNYDER WEALTH GROUP	CRD# 106425	11/06/2014
<b>IA</b>	OSAIC WEALTH, INC.	CRD# 23131	04/27/2016

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **43** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	LONG ISLAND FINANCIAL ADVISORS, INC.	123439	MEDFORD, NY	03/25/2021 - 12/31/2021
<b>IA</b>	SNYDER MARK J FINANCIAL SERVICES INC	106425	MEDFORD, NY	03/17/2006 - 12/31/2006
<b>B</b>	INTEGRATED RESOURCES EQUITY CORPORATION	6403	MEDFORD, NY	07/24/1986 - 11/19/1989

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 43 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**  
Main Address: 18700 N. HAYDEN ROAD  
SUITE 255  
SCOTTSDALE, AZ 85255  
Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	11/19/1989
B FINRA	General Securities Representative	Approved	11/19/1989
B FINRA	Municipal Fund	Approved	03/24/2003
B Alabama	Agent	Approved	01/10/2011
B Arizona	Agent	Approved	08/21/1997
B Arkansas	Agent	Approved	08/25/2025
B California	Agent	Approved	08/11/1997
B Colorado	Agent	Approved	03/22/1999
B Connecticut	Agent	Approved	01/03/1994
B Delaware	Agent	Approved	11/01/1999
B District of Columbia	Agent	Approved	08/14/1997
B Florida	Agent	Approved	11/19/1989
IA Florida	Investment Adviser Representative	Approved	03/06/2024



## Qualifications

Regulator	Registration	Status	Date
B Georgia	Agent	Approved	08/12/1997
B Illinois	Agent	Approved	08/13/1997
B Indiana	Agent	Approved	10/05/2018
B Iowa	Agent	Approved	05/07/2012
B Kansas	Agent	Approved	11/12/2018
B Kentucky	Agent	Approved	09/20/2016
B Louisiana	Agent	Approved	08/10/2020
B Maine	Agent	Approved	06/19/2015
B Maryland	Agent	Approved	09/11/1997
B Massachusetts	Agent	Approved	09/15/1997
B Michigan	Agent	Approved	05/24/2016
B Minnesota	Agent	Approved	11/04/2005
B Nevada	Agent	Approved	08/11/1997
B New Hampshire	Agent	Approved	08/13/1997
B New Jersey	Agent	Approved	10/03/1990
IA New Jersey	Investment Adviser Representative	Approved	04/27/2016
B New Mexico	Agent	Approved	05/09/2024
B New York	Agent	Approved	11/19/1989
IA New York	Investment Adviser Representative	Approved	04/26/2021



## Qualifications

Regulator	Registration	Status	Date
B North Carolina	Agent	Approved	02/02/1998
IA North Carolina	Investment Adviser Representative	Approved	03/05/2024
B Ohio	Agent	Approved	08/11/1997
B Oklahoma	Agent	Approved	08/15/2016
B Oregon	Agent	Approved	09/16/2016
B Pennsylvania	Agent	Approved	08/19/1997
B Puerto Rico	Agent	Approved	05/09/2024
B Rhode Island	Agent	Approved	04/03/2024
B South Carolina	Agent	Approved	08/18/1997
B South Dakota	Agent	Approved	01/09/2020
B Tennessee	Agent	Approved	05/26/2009
B Texas	Agent	Approved	01/24/1999
IA Texas	Investment Adviser Representative	Approved	03/05/2024
B Utah	Agent	Approved	01/03/2019
B Vermont	Agent	Approved	11/19/1989
B Virginia	Agent	Approved	09/17/1996
B Washington	Agent	Approved	05/02/1996
B West Virginia	Agent	Approved	05/22/2015
B Wisconsin	Agent	Approved	08/20/2002



## Qualifications

Regulator	Registration	Status	Date

### Branch Office Locations

**OSAIC WEALTH, INC.**  
1733 NORTH OCEAN AVENUE  
SUITE B  
MEDFORD, NY 11763

### Employment 2 of 2

Firm Name: **SNYDER WEALTH GROUP**

Main Address: 1733 N OCEAN AVE  
SUITE B  
MEDFORD, NY 11763

Firm ID#: 106425

Regulator	Registration	Status	Date
IA	New York	Investment Adviser Representative	Approved
IA	Texas	Investment Adviser Representative	Approved

### Branch Office Locations

**SNYDER WEALTH GROUP**  
1733 N OCEAN AVE  
SUITE B  
MEDFORD, NY 11763



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
 Municipal Fund Securities Principal Examination (S51)	Series 51	03/21/2003
 General Securities Principal Examination (S24)	Series 24	04/13/1987

#### General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	10/18/1986
 Direct Participation Programs Representative Examination (S22)	Series 22	10/13/1983
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/26/1981

#### State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	07/30/1996
 Uniform Securities Agent State Law Examination (S63)	Series 63	08/26/1988

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor



representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/25/2021 - 12/31/2021	LONG ISLAND FINANCIAL ADVISORS, INC.	CRD# 123439	MEDFORD, NY
IA	03/17/2006 - 12/31/2006	SNYDER MARK J FINANCIAL SERVICES INC	CRD# 106425	MEDFORD, NY
B	07/24/1986 - 11/19/1989	INTEGRATED RESOURCES EQUITY CORPORATION	CRD# 6403	
B	06/12/1986 - 08/04/1986	HANOVER CONCEPTS, INC.	CRD# 14469	
B	07/02/1984 - 06/17/1986	CARDELL & ASSOCIATES, INCORPORATED	CRD# 7700	
B	09/18/1981 - 07/02/1984	GUARDIAN INVESTOR SERVICES CORPORATION	CRD# 6635	

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2007 - Present	STONY BROOK HILLEL	CORAD OF DIRECTOR/CHAIR OF DEVELOPMENT COMMITTEE	N	STONYBROOK, NY, United States
01/1998 - Present	SNYDER MARK J FINANCIAL SERVICES INC	PRESIDENT & CCO	Y	MEDFORD, NY, United States
11/1989 - Present	OSAIC WEALTH, INC.	MANAGING EXECUTIVE	Y	MEDFORD, NY, United States
09/2002 - 12/2021	LONG ISLAND FINANCIAL ADVISORS, INC	PRESIDENT & CCO	Y	MEDFORD, NY, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. LIFE INSURANCE SALES, FIXED ANNUITIES, BOY SCOUTS, STONY BROOK HILLEL CENTER, PATCHOGUE ROTARY, JTM HOSPITAL FOUNDATION BOARD, MARK J SNYDER FINANCIAL SERVICES, INC, LONG ISLAND FINANCIAL ADVISORS, INC. ARBITRATOR WITH FINRA, RECEIVE COMPENSATION FOR THIS. 1733 NORTH OCEAN AVENUE, MEDFORD, NY 11763



## Registration & Employment History

### OTHER BUSINESS ACTIVITIES

#### 2. JTM CHAIR OF PLANNED GIVING COMMITTEE

POSITION: Chair NATURE: To help with planned giving for the John T Mather Hospital INVESTMENT RELATED: No

NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 08/13/2015

ADDRESS: 75 North Country Road, Port Jefferson NY 11777

DESCRIPTION: To assist with raising donations through planned giving

#### 3. STONYBROOK UNIVERSITY SCHOOL OF BUSINESS DEANS ADVISORY BOARD

POSITION: Member NATURE: Stony Brook University of School of Business Deans Advisory Board Member INVESTMENT

RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 08/13/2014

ADDRESS: Harriman Hall 109, StonyBrook University, Stony Brook NY 11794-3775 DESCRIPTION: Will be on the Deans Advisory Board as a Member

#### 4. MARK J SNYDER FINANCIAL SERVICES INC.

POSITION: President NATURE: Corporation - INVESTMENT RELATED: Yes NUMBER OF HOURS: 100 SECURITIES TRADING

HOURS: 100 START DATE: 01/01/1991

ADDRESS: 1733 North Ocean Ave, Medford NY 11763

DESCRIPTION: Manage clients accts

#### 5. JTM FOUNDATION BOARD

POSITION: Treasurer NATURE: JTM Foundation Board INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 03/13/2015

ADDRESS: 75 North Country Road, Port Jefferson NY 11777

DESCRIPTION: I am the Treasurer of the Foundation Board My duties are to sign the Tax return. No check writing. It does not involve any investment advice. I am the representative on a brokerage account that is for donations of stocks and mutual funds. The board (not me) gives the instructions to liquidate the donations and to send a check for the proceeds. It does not hold any other investments.

#### 6. SNYDER CAPITAL MANAGEMENT

POSITION: Owner NATURE: DBA name INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 01/01/2019

ADDRESS: 1733 North Ocean Avenue, Suite B, Medford NY 11763

DESCRIPTION: this is our new DBA name All advisory business will remain under Mark J Snyder Financial Services, Inc.

#### 7. EAST END FUNDING

POSITION: INACTIVE PARTNER NATURE: TO PROVIDE FUNDS TO A COMPANY THAT BUYS FORECLOSED HOMES, REFURBISHES, SELLS AND PAYS INTEREST FOR THE MONIES LOANED WHEN THE HOME IS SOLD. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 08/23/2013

ADDRESS: 6 Moriches Middle Island Road, East Moriches NY 11940

DESCRIPTION: TO PROVIDE FUNDS TO THE COMPANY THAT BUYS FORECLOSED HOMES, REFURBISHES, SELLS AND PAYS INTEREST FOR THE MONIES LOANED WHEN THE HOME IS SOLD

#### 8. PORT JEFFERSON ROTARY CLUB

POSITION: member NATURE: To meet with other area businesses INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 4 START DATE: 07/05/2022

ADDRESS: 176 North Country Road, Mt. Sinai NY 11766

DESCRIPTION: No Duties other than active membership

#### 9. JTM INVESTMENT COMMITTEE

POSITION: Review Investments NATURE: Member of the Investment Committee INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 05/01/2023



## Registration & Employment History

### OTHER BUSINESS ACTIVITIES

ADDRESS: 75 North Country Road, Port Jefferson NY 11777

DESCRIPTION: Review

#### 10. FINRA ARBITRATOR

POSITION: Other - Arbitrator NATURE: Other - Arbitrator INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 01/01/2009

ADDRESS: 1733 North Ocean Avenue Medford, NY 11763, Medford NY 11763

DESCRIPTION: Arbitrator to hear both sides of the case.

#### 11. MARK J SNYDER FINANCIAL SERVICES INC

POSITION: Other - Vice Chair of Trust Committee of the SCC BSA NATURE: Corporation^Registered Investment Adviser - INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 07/15/1991

ADDRESS: 1733 North Ocean Avenue, Medford NY 11763

DESCRIPTION: make investment decisions



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	Royal Alliance Associates, Inc.
<b>Allegations:</b>	Estate for deceased client alleges alternative investments were not suitable.
<b>Product Type:</b>	Real Estate Security
<b>Alleged Damages:</b>	\$100,000.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	No
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	Yes
<b>Arbitration/Reparation forum or court name and location:</b>	FINRA
<b>Docket/Case #:</b>	19-00242
<b>Filing date of arbitration/CFTC reparation or civil litigation:</b>	01/18/2019

### Customer Complaint Information

<b>Date Complaint Received:</b>	01/22/2019
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled



<b>Status Date:</b>	02/10/2021
<b>Settlement Amount:</b>	\$7,500.00
<b>Individual Contribution Amount:</b>	\$0.00
<b>Broker Statement</b>	The estate for the deceased client isolated specific underperforming investments and disregarded entire account gains. My broker-dealer settled this claim for a \$7,500 sum that was far less than cost to arbitrate this baseless claim.



## End of Report

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